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1 Draft Manuscript

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3 **Vegetation monitoring using multispectral sensors – best practices and**
4 **lessons learned from high latitudes**

5

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16

17 **Abstract**

18 Rapid technological advances have dramatically increased affordability and accessibility of
19 Unmanned Aerial Vehicles (UAVs) and associated sensors. Compact multispectral drone
20 sensors capture high-resolution imagery in visible and near-infrared parts of the
21 electromagnetic spectrum, allowing for the calculation of vegetation indices such as the
22 Normalised Difference Vegetation Index (NDVI) for productivity estimates and vegetation
23 classification. Despite the technological advances, challenges remain in capturing high-
24 quality data, highlighting the need for standardized workflows. Here, we discuss challenges,
25 technical aspects and practical considerations of vegetation monitoring using multispectral
26 drone sensors and propose a workflow based on remote sensing principles and our field
27 experience in high-latitude environments, using the Parrot Sequoia (Paris, France) sensor
28 as an example. We focus on the key error sources associated with solar angle, weather
29 conditions, geolocation and radiometric calibration and estimate their relative contributions

30 that can lead to uncertainty of greater than $\pm 10\%$ in peak season NDVI estimates of our
31 tundra field site. Our findings show that these errors can be accounted for by improved flight
32 planning, meta-data collection, ground control point deployment, use of reflectance targets
33 and quality control. With standardized best practice, multispectral sensors can provide
34 meaningful spatial data that is reproducible and comparable across space and time.

35

36 Keywords: Ecological Monitoring, Drone, UAV, Multispectral Sensors, Parrot Sequoia,
37 Arctic, Tundra.

38

39 **Introduction**

40 Aerial imagery collected with drones is increasingly recognised by the ecological research
41 community as an important tool for monitoring vegetation and ecosystems (Anderson and
42 Gaston 2013; Salamí et al. 2014; Cunliffe et al. 2016; Pádua et al. 2017; Torresan et al.
43 2017; Manfreda et al. 2018). Rapid advances in technology have resulted in increasing
44 affordability and use of light-weight multispectral sensors for drones for a variety of scientific
45 applications. Despite the increased presence of drone-sensor derived products in the
46 published literature, standardized protocols and best practices for fine-grain multispectral
47 drone-based mapping have yet to be developed by the ecological research community
48 (Manfreda et al. 2018). In this methods paper, we lay out the challenges of collecting and
49 analysing multispectral data acquired with drone platforms and propose common protocols
50 that could be implemented in the field, drawing from examples of applying drone technology
51 to research in high-latitude ecosystems. The concepts developed herein are aimed at
52 researchers with limited prior experience in remote sensing and spectroscopy, providing the
53 tools and guidance needed to plan high quality drone-based multispectral data collection.

54

55 Multispectral imagery is widely used in satellite- and airplane-based remote sensing and has
56 many benefits for vegetation monitoring when compared to conventional broad band visible-
57 spectrum imagery. Including near-infrared parts of the spectrum, certain vegetation indices

58 (VIs) can be calculated that allow for more detailed spectral discrimination among plant
59 types and development stages. Such VIs can be highly useful for estimating biological
60 parameters such as vegetation productivity and the leaf-area index (LAI; e.g. see Aasen et
61 al. 2015; Wehrhan et al. 2016), and for the purpose of vegetation classification (Juszek et al.
62 2017; Ahmed et al. 2017; Müllerová et al. 2017; Samiappan et al. 2017; Dash et al. 2017).
63 Particularly in remote high-latitude ecosystems, where satellite records suggest a ‘greening’
64 of tundra ecosystems from NDVI time series (Fraser et al. 2011; Guay et al. 2014; Ju and
65 Masek 2016), multispectral drone monitoring could play an important role in validating
66 satellite remotely-sensed productivity trends (Laliberte et al. 2011; Matese et al. 2015).

67

68 A variety of multispectral camera and sensor options are available and have been deployed
69 with drones. These range from modified off-the-shelf digital cameras (Lebourgeois et al.
70 2008; for examples see Berra et al. 2017; Müllerová et al. 2017), to compact purpose-built
71 multi-band drone sensors such as the Parrot Sequoia (Ahmed et al. 2017; Fernández-
72 Guisuraga et al. 2018) and the MicaSense Red-Edge (Samiappan et al. 2017; Dash et al.
73 2017). The Parrot Sequoia and MicaSense Red-Edge sensors are compact bundles (rigs) of
74 4-5 cameras with Complementary Metal-Oxide-Semiconductor (CMOS) (Weste 2011)
75 sensors, a type of imaging sensor commonly found in phones and digital single lens reflex
76 (DSLRs) consumer cameras. Each camera in the rig is equipped with an individual narrow-
77 band filter that removes all but a discrete section of the visible and/or near-infrared parts of
78 the spectrum (Table 1). New multispectral camera and sensor options continue to be
79 released as technologies develop rapidly, yet many common considerations exist with the
80 use of these type of sensors for the collection of vegetation monitoring data that we describe
81 below.

82

83 The purpose-made design of the recent generation of multiband drone sensors provide
84 many improvements that increase the ease of use, quality and accuracy of the collected
85 multispectral aerial imagery. These include: precise co-registration of bands, characterised

86 sensor responses, well defined narrow bands, sensor attitude correction, ambient light
87 sensors, geo-tagged imagery, and seamless integration into photogrammetry software such
88 as Pix4Dmapper (Pix4D SA, Lausanne, Switzerland) and PhotoScan Pro (Aigsoft, St.
89 Petersburg, Russia). Despite these advances, acquiring multispectral drone imagery that is
90 comparable across sensors, space, and time requires careful planning and best practices to
91 minimise the effect of measurement errors caused by three main sources 1) differences
92 among sensors and sensor units, 2) changes in ambient light (weather and position of sun),
93 and 3) spatially-constraining the imagery (Kelcey and Lucieer 2012; Turner et al. 2014;
94 Salamí et al. 2014; Aasen et al. 2015; Pádua et al. 2017).

95
96 With the goal of collecting comparable and reproducible drone imagery in mind, we discuss
97 the fundamental technical background of multispectral drone sensors (Section 1), outline the
98 proposed workflow for data collection and processing (Section 2) and conclude by reviewing
99 the most important steps of the protocol in more detail (Section 3-6). These perspectives
100 emerged from protocols originally developed for the High Latitude Drone Ecology Network
101 (HiLDEN – arcticdrones.org) and build on examples drawn from data collected with a Parrot
102 Sequoia at our focal study site Qikiqtaruk – Herschel Island (QHI), Yukon Territory, in north-
103 western Canada and processed in Pix4Dmapper. Nonetheless, much of the discussed
104 content should transfer directly to other multispectral drone sensors, including the
105 MicaSense RedEdge and Tetracam products, as well as to a lesser degree modified
106 conventional cameras.

107

108 **Technical Background on Multispectral Drone Sensors (Section 1)**

109 A fundamental aim of vegetation surveys with multispectral drone sensors is to measure
110 surface reflectance across space for two or more specific bands of wavelengths (e.g. the red
111 and near-infrared bands), which then serve as a base for calculating VIs (such as the NDVI)
112 or to inform surface cover classifications. Reflectance is the fraction of incident light reflected
113 at the interface of a surface. VIs enhance the characteristic electromagnetic reflectance

114 signatures of different surfaces (such as bare ground, sparse or dense vegetation), whereas
115 classifications often partition images based on these differences. Leaf structure and
116 chlorophyll content influence the spectral signatures of plants, and VIs transform spectra-
117 specific variability into single variables that can be related to other measures of vegetation
118 productivity and leaf area index (LAI) (e.g. see Tucker 1979; Guay et al. 2014; Aasen et al.
119 2015). In practice, drone-based reflectance maps are usually created by collecting many
120 overlapping images of an area of interest, which are then combined into a single
121 orthomosaic (map) with a photogrammetry software package (such as Pix4Dmapper or
122 Agisoft PhotoScan).

123

124 Reflectance is not directly measured by multispectral imaging sensors, instead they
125 measure at-sensor radiance, the radiant flux received by the sensor (Figure 1). Surface
126 reflectance is a property of the surface independent on the incident radiation (ambient light),
127 whereas at-sensor radiance is a function of surface radiance (flux of radiation from the
128 surface) and atmospheric disturbance between surface and sensor (see Wang and Myint
129 2015 for a detailed discussion). Surface radiance itself is highly dependent on the incident
130 radiation, and disturbance between surface and sensors is often assumed to be negligible
131 for drone-based surveys (Duffy et al. 2017). At-sensor radiance measurements are stored as
132 arbitrary digital numbers (DN) in the image files for each band at a determined bit depth.
133 Without modification, the DNs may serve as a proxy for relative differences of surface
134 reflectance during the ambient light conditions of a particular survey, but if absolute surface
135 reflectance measurements are desired - e.g. for cross site, sensor or time comparison - a
136 conversion (“calibration”) of the digital numbers into absolute surface reflectance values is
137 essential (Figure 1).

138

139 There are several ways to convert image DNs into absolute surface reflectance, but the
140 most common is the so-called empirical line approach: Images of surfaces with known
141 reflectance are used to establish an assumed linear relationship (empirical line) between

142 image DNs and surface reflectance under the specific light conditions of the survey
143 (Laliberte et al. 2011; Turner et al. 2014; Wang and Myint 2015; Aasen et al. 2015; Wehrhan
144 et al. 2016; Ahmed et al. 2017; Crusiol et al. 2017; Dash et al. 2017). Additionally,
145 information from incident light sensors, such as the Parrot Sequoia sunshine sensor may be
146 incorporated to account for changes in irradiation during the flight. We would like to highlight
147 here that this is not a calibration of the sensor itself, but a calibration of the output data.
148 Practical aspects of radiometric calibration are discussed later in Section 6.

149
150 The relationship between DN and the surface reflectance value of a pixel is also influenced
151 by the optical apparatus and the spectral response of the sensor, which require additional
152 corrections (see Kelcey and Lucieer 2012 and Wang and Myint 2015 for in-depth
153 discussions). For the latest generation of sensors (e.g. MicaSense RedEdge and Parrot
154 Sequoia) the processing software packages (such as Pix4Dmapper) automatically apply
155 these corrections and little input is required from the user in this respect. Instructions on how
156 to carry out the calibrations manually has been made available by some manufacturers
157 (Parrot 2017a; Agisoft 2018; MicaSense 2018c) and may be used by advanced users to
158 develop their own processing workflow. However, understanding the principles of these
159 corrections and why they are required can be helpful to all users when planning multispectral
160 drone surveys and handling the data outputs.

161
162 Firstly, the optical apparatus (i.e. filters and lenses) distort the light on its way to the sensor
163 and therefore influence the relative amount of radiation reaching each pixel. Effects such as
164 vignetting - pixels on the outsides of the images receive less light than those in the centre of
165 the image (Kelcey and Lucieer 2012) – can produce desirable aesthetic effects in
166 conventional photography, but bias data in different parts of the images when mapping
167 surface reflectance. Converting the DNs of all pixels the same way would incorrectly
168 estimate reflectance values towards the extremes of each image. This can be corrected for if

169 the effects of the optical apparatus of the sensor have been characterised sufficiently
170 (Kelcey and Lucieer 2012; Salamí et al. 2014).

171

172 Secondly, the relationship between DN and radiant flux is dependent on the sensitivity of the
173 CMOS sensor unit in the specific band of the spectrum, the shutter speed, as well as the
174 aperture and ISO value (signal current amplification at the sensor pixel level) settings during
175 image capture. In the case of the Parrot Sequoia, this relationship is a linear function for
176 which the parameters are characterised for each individual sensor unit at production. This is
177 one of the major advantages of using purpose-built sensors such as the Parrot Sequoia and
178 alike over modified consumer cameras. The relevant parameters of this relationship can be
179 extracted from the image EXIF tags and applied to each image to obtain arbitrary reflectance
180 values common to all Sequoias. These arbitrary reflectance values can then be converted
181 into absolute reflectance using a standard of known reflectance (see Parrot 2017c).

182

183 When using Pix4Dmapper for processing Parrot Sequoia or MicaSense RedEdge data these
184 corrections are automatically carried out by the software (Pix4d Personal Communication
185 June 2017). Apart from defining the radiometric calibration image to establish the empirical
186 line relationship, no additional input is required. The exact algorithms of Pix4Dmapper are
187 proprietary and will likely remain a black box to the scientific community and may change
188 between software versions. To the best of our knowledge, at this time, there is no open
189 source software currently available with the same scope and ease of handling of
190 Pix4Dmapper for processing multispectral drone data. During the completion of this
191 manuscript, radiometric calibration features have been added to recent releases of Agisoft
192 PhotoScan Pro (St. Petersburg, Russia), a similar proprietary photogrammetric software
193 (Agisoft 2018).

194

195 **Data collection and processing – Workflow overview (Section 2)**

196 Specific research questions and scientific objectives should be used to determine the exact
197 methods used and the data outputs required from a multispectral drone survey (Figure 2).
198 However, using a standardized workflow will help users avoid common pitfalls that affect
199 data quality, and thus ensure repeatable and comparable data collection through time and
200 across sites. We suggest starting by identifying the spatial and temporal scales required to
201 address the research questions and scientific objectives (Step 1). Explicit consideration of
202 scale is critical to the quantification and interpretation of any environmental pattern (Turner
203 et al. 1989; Levin 1992), thus particular attention is required when planning drone surveys
204 due to the scale-dependent nature of these inherently spatial data and its associated errors.

205

206 The selected spatial and temporal scales, together with the capabilities of the drone platform
207 form the basis for flight planning (Step 2). Flight paths and image overlap (Section 3), as well
208 as weather conditions and solar position (Section 4) are especially important to consider
209 when planning multispectral drone surveys because of their impact on mosaicking and
210 radiometric calibration. Once the flight plan is established, ground control points (GCPs) and
211 radiometric in-flight targets need to be deployed on site, their locations determined with a
212 high-accuracy global navigation satellite systems (GNSS) device (e.g. a survey-grade GPS
213 receiver), and radiometric calibration imagery taken (Steps 3 and 4). We will discuss
214 practical aspects of GCPs deployment and radiometric calibration in the final two sections
215 (Section 5 and 6, respectively).

216

217 Once pre-flight preparations are completed, the drone is launched and the image data
218 collected (Step 5). Though this may sound straight forward, in practice this can be
219 challenging. Technical issues such as aircraft material failure, weather impacts on realized
220 vs. planned flight path, and/or compass issues are not uncommon. Operator skill and
221 logistical experience in the field should not be discounted, particularly when operating in
222 extreme environments such as those found in the high latitudes (Duffy et al. 2017).

223 Manufacturer guidance, online discussion boards and email lists (such as the HiLDEN

224 network: arcticdrones.org) can provide help and information on these technical problems.

225 Upon completion of the flight, image data can be retrieved from the sensors and transferred

226 to a computer for processing. We recommend backing up the drone / sensor memory after

227 every flight to reduce the risk of data loss due to hardware failure and crashes.

228

229 Processing will vary with the type of sensor / software that is used. Figure 2 outlines the core

230 steps when processing Parrot Sequoia data with Pix4Dmapper Desktop. The initial

231 processing step (Step 6) creates a rough model of the area surveyed using Structure from

232 Motion – Multiview Stereo algorithms (SfM-MVS) (Westoby et al. 2012). The user then

233 manually places GCP markers for improving estimates of the camera positions and lens

234 model parameters (Step 7) and carries out the radiometric calibration (Step 8). These inputs

235 are then incorporated by the software in a final processing step (Step 9), producing

236 reflectance map and VI map outputs.

237

238 We suggest a final quality control step (Step 10) to assess the accuracy of the geo-location

239 and radiometric calibration of the outputs, before using them in the analysis to answer the

240 research questions. We also highlight that drone surveys can produce large amounts of data

241 that can create challenges for data handling and archiving. It is helpful to produce a storage

242 and archiving plan before data collection begins, test flights can provide valuable insights on

243 data volume expectations for the project.

244

245 **Flight planning and overlap (Section 3)**

246 A well-designed flight plan ensures that the full extent of the area of interest is covered at the

247 appropriate grain size to fulfil the scientific objectives of the survey. The capabilities of drone

248 and sensor, the terrain and meteorological conditions, as well as local regulations will

249 constrain what is practically achievable. Flight planning software and manufacture guidance

250 can assist, and a wealth of information on flight planning and practise is available on the

251 internet, including guidance on the legal aspects of operating drones in different jurisdictions.

252 Furthermore, pre-flight site visits (“recces”) can be highly valuable for identifying obstacles
253 and can inform about topographic constraints that may affect flight planning and geolocation.
254 Here, we will focus on two aspects of mission planning particularly important for
255 multispectral surveys: 1) image overlap - the proportion of overlap between neighbouring
256 individual images in the pool of images covering the area of interest; and 2) spatial grain size
257 or ground sampling distance (GSD) - the width of the ground area represented by each pixel
258 in the imagery. Both are closely linked to, and limited by, flight height and speed, as well as
259 sensor size, resolution, focal length and trigger rate.

260

261 Image overlap influences the percentage of pixels captured near to nadir view angles
262 (sensor at 90° above surface of interest). Vegetative surfaces do not have lambertian
263 reflectance properties; *i.e.*, they do not reflect light evenly in all directions, instead their
264 reflectance is a function of both angle of incident light and angle of view. These relationships
265 can be complex and are commonly described with so called bidirectional reflectance
266 distribution functions (BRDFs) (for example Kimes 1983; Bicheron and Leroy 2000). For
267 multispectral drone surveys, non-uniform reflectance functions pose a challenge as they
268 hamper the comparison of pixels captured at different angles of view (Aasen and Bolten
269 2018).

270

271 When obtaining surface reflectance imagery with wide-angled lenses, as those employed in
272 many drone sensors, pixels near to the edges of the image have viewing angles notably
273 different from 90° (up to 32° different for the Parrot Sequoia and up to 23.6° for the
274 MicaSense RedEdge-M). If a nadir angle of view (observer 90° above observed point) is
275 assumed for these pixels the reflectance values in the extremes of the image maybe under
276 or overestimated. High amounts of image overlap (75% - 90% front lap and side lap) ensure
277 that the whole area of interest is captured by pixels taken at near-nadir view. During
278 processing these pixels can then be preferentially selected as best estimates for surface

279 reflectance at nadir view. Pix4Dmapper carries out such a selection when creating
280 reflectance maps (Pix4D Personal Communication, June 2017).

281

282 We recommend a minimum of 75% of for multispectral flights for both side- and front-lap
283 (also recommended by MicaSense 2018a). Greater overlap might not always be better as
284 there are penalties for very high amounts of overlap, affecting data storage and processing
285 requirements. However, imagery can be thinned to reduce excessive overlap at the
286 processing stage. We found that 80% overlap worked well for our data collection in low
287 canopy tundra environments, in this case all parts of the area surveyed are within 10% of the
288 image centre (near nadir-view for a stabilised sensor) in at least one image and support
289 reliable reconstructions and good quality reflectance map outputs using Pix4Dmapper.

290

291 If high amounts of side- and front-lap are not achievable due to limitations of the aircraft or
292 shutter speed of the sensor (*e.g.*, due to high flight speeds and wide turns required by fixed-
293 wing aircraft), adding cross-flight lines to the flight plan (Figure 3a) or repeating the flight
294 plan twice with a slightly shifted grid of the same orientation may be two of the many
295 possible solutions. This will allow the coverage of larger proportions of the surveyed area at
296 near-nadir angles and may reduce BRDF effects. In the case of the Parrot Sequoia, the
297 RGB camera can also be disabled to increase trigger rates for the monochromatic multiband
298 imagery. If problems occur with reconstruction of uniform vegetated surfaces or because of
299 complicated terrains, two diagonal cross-flight lines may be added to the flight plan (Figure
300 3b), this provides additional coverage of the area and may result in improved
301 reconstructions.

302

303 The ground sampling distance has a strong influence on the signal to noise ratio. GSD is a
304 function of flight altitude, sensor resolution and optics. Imagery of vegetated surfaces at very
305 small GSDs may contain a lot of noise due to non-uniform reflectance functions and
306 movement of plant parts, such as leaves, between image acquisitions. High amounts of

307 noise hamper key-point matching during SfM-MVS model reconstructions and can reduce
308 the quality of reflectance map outputs, resulting in artefacts, blurry patches and distorted
309 geometry. Pix4D recommends a GSD of 10 cm or coarser for densely vegetated areas
310 (Pix4D 2018a). Nonetheless, we obtained consistently good results with slightly finer (5 cm)
311 and coarser (15 cm) GSDs for the tussock sedge and shrub tundra vegetation types at our
312 field site QHI in Arctic Canada during the data collection campaigns in 2016 and 2017.

313

314 When selecting a GSD it is particularly important to consider the scientific objectives of the
315 survey and factor in the scale at which reflectance varies across the area of interest: If the
316 objective is to monitor the distribution of large shrubs, then a larger GSD might be sufficient
317 with the added benefits of reduced noise, the potential to cover larger areas due to higher
318 flight altitudes, less required data storage and faster processing times. In contrast, if the
319 objective is to monitor distribution of small grass tussocks, a smaller GSD might be required
320 with potential penalties due to increased noise in the imagery and reduction in area that can
321 be covered.

322

323 **Weather and Sun (Section 4)**

324 Weather and sun are additional factors that influence drone-captured multispectral imagery
325 quality. Most drones will be unable to operate in high winds and rain; but cloud cover and
326 solar position also influence the spectral composition of the ambient light and shadows, thus
327 affecting image acquisition with multispectral drone sensors (Salamí et al. 2014, Pádua et al.
328 2017). Variation in solar angle may introduce variation in VI estimates even within a single
329 day or flight period (Figure 4). Radiometric calibration of the imagery (Section 6) is a key tool
330 to account for the majority of this variation, but additional steps during flight planning and in-
331 field data collection can be taken to control for some of these factors.

332

333 To minimise variations in solar angle, flights should be conducted as close to solar noon as
334 possible. As a rule of thumb, we recommend a maximum of 2-3 hours before and after solar

335 noon. Seasonal and diurnal variation in solar angle and position can be calculated using
336 solar calculators (such as <https://www.esrl.noaa.gov/gmd/grad/solcalc/index.html>). At high
337 latitude sites, solar angle will vary across the year in more dramatic ways than at lower
338 latitudes, whereas lower latitudes experience stronger variation in diurnal angle. On clear
339 days, solar position also determines the size and direction of shadows cast on the landscape
340 by micro- and macro-variation in topography (i.e. furrows and ridges, vegetation and hills)
341 (Figure 5).

342

343 Under clear sky conditions, sun glint and hotspots can be present in the imagery, creating
344 radiometric inaccuracies and potential issues for photogrammetric processing. Some efforts
345 have been made towards detecting and mitigating these effects through post-processing of
346 the imagery, and the relative position of sun and aircraft can be incorporated during flight
347 planning to reduce their impact (Ortega-Terol et al. 2017). However, due to the low solar
348 angles, sun glint and hotspots are less of a problem at high latitudes.

349

350 We recommend recording sky conditions during the flight (Table 2) to account for cloud-
351 induced changes in the spectral composition of light and avoiding days where scattered
352 cumulus clouds (“popcorn-clouds”) are partially shading survey area(s) (Figure 5). The
353 collection of additional meteorological observations such as wind speed (may impact
354 movement of vegetation), temperature and presence of dew/snow may be helpful to account
355 for additional sources of variation in surface reflectance estimates.

356

357 **Geolocation and Ground Control Points (Section 5)**

358 Accurate geolocation is essential when the image data is: part of a time-series, combined
359 with other sources of geo-referenced data such as satellite or ground-based observations, or
360 used to build structural models. Photogrammetry software packages commonly use two
361 sources of geolocation information: the coordinates of the of the camera during each image
362 capture as recorded by the sensor or drone, and/or coordinates of ground control points

363 (GCPs) identified in the imagery. Two problems complicate the accurate geolocation of
364 multispectral imagery products: 1) The accuracy of image geo-tags may be insufficient (at
365 best ca. \pm 2-3 m horizontally) for some applications, and 2) conventional GCP designs can
366 be difficult to identify in the low-resolution monochromatic images.

367

368 The accuracy of geo-tags is limited by the low precision of common drone / sensor GNSS
369 modules. On-board differential positioning systems can be deployed for high accuracy direct
370 georeferencing of the images, but integration can be time consuming and the modules may
371 increase the cost of the aircraft system considerably (Ribeiro-Gomes et al. 2016). A common
372 and practical alternative for the generation of sub-meter geo-located reflectance maps is to
373 incorporate GCPs in the photogrammetry process, whose location is determined in-field with
374 a high accuracy survey grade GNSS.

375

376 When mapping with the Parrot Sequoia and processing with Pix4D, we recommend the use
377 of around five GCPs well distributed across the area of interest (Harwin et al. 2015; Pix4D
378 2018b). More may be required for large sites (>1 ha) or sites with varying topography, but
379 higher numbers might not substantially improve geolocation (Pix4D 2018b). We tested the
380 influence of number of GCPs and marking effort (images marked per GCP) on 2D
381 geolocation accuracy for small (1 ha) and flat tundra plots and found rapidly diminishing
382 improvements in geolocation accuracy beyond 4 GCPs marked on 3 images each (Figure
383 6a). Additional GCPs not included in constraining the photogrammetric reconstructions
384 should be used to assess the accuracy of each reconstruction (Step 10), we recommend at
385 least one additional independent GCP for this purpose.

386

387 The compact size and power requirements limit the spatial resolution of CMOS imaging
388 sensors used in multi-camera rigs such as the Parrot Sequoia. This, combined with the
389 reduced spectral bandwidth, can cause difficulties when identifying GCPs in the
390 monochromatic single-band imagery. To achieve maximum visibility of the GCPs, we

391 suggest using square targets composed of four alternating black and white fields arranged in
392 a checkerboard pattern (Figure 7a) with an overall side length of 7-10x the GSD. The choice
393 of material is important, as white areas of the targets need to reflect strongly across the
394 whole spectrum of the sensor independently of the angle of view (near-lambertian), while
395 black areas should have a low reflectivity to provide a strong contrast. What appears
396 distinctly black and white to the human eye may have similar reflectance properties in the
397 NIR. In our experience, painted canvas and sailcloth are suitable materials that are
398 affordable, readily available and reasonably light. We also achieved good results success
399 with vinyl flooring tiles; however, these can be heavy and therefore impractical in remote
400 field conditions. We strongly recommend testing the visibility of the targets using the
401 multispectral sensors prior field deployment.

402

403 Accurate co-registration of pixels among bands is essential when calculating VIs (Turner et
404 al. 2014). Incorporating GCPs in the processing can aid in constraining the relative shifts
405 between the bands. However, we found that increasing the effort in GCP placement (number
406 of GCPs and images marked per GCP) in Pix4D for Parrot Sequoia imagery had little impact
407 on constraining the co-registration between bands. High degrees of co-registration
408 (1-2 pixels) were achieved even with the lowest effort of marker placement (Figure 6b).
409 Turner et al. (2014) reported similar levels of co-registration accuracy between reflectance
410 maps of bands collected with a multiband Tetracam mini-MCA (GSD 0.03 m / pixel) at moss
411 sites in Antarctica.

412

413 **Radiometric calibration (Section 6)**

414 The aim of the radiometric calibration is to convert at-sensor radiance (in form of DN) into
415 absolute surface reflectance values, accounting for variation caused by differences in
416 ambient light due to weather and sun, and between sensors types and units (Kelcey and
417 Lucieer 2012). The relationship (empirical line) between image DN values and surface
418 reflectance is established from a sample of pixels covering areas of known reflectance,

419 theoretically this could be a naturally occurring homogeneous area in the area of interest
420 measured with a field spectrometer, but artificial standards (“reflectance targets”) of known
421 reflectance are more commonly used to carry out the calibration.

422

423 When processing Parrot Sequoia outputs in Pix4Dmapper a single image is used to calibrate
424 each band (Step 8). A single image is sufficient to establish the empirical line if the sensor
425 response is known and linear (Wang and Myint 2015), as is the case for the Parrot Sequoia
426 (Parrot 2017c). The calibration is carried out by manually selecting the area of the
427 reflectance target on the calibration image (Figure 8) and assigning the known reflectance
428 value of the target. In our experience, a larger sample of pixels produces better calibration
429 results, *i.e.* the more pixels that are taken up by the reflectance target the better. Sample
430 size is likely to be of importance here as it mitigates for variations caused by the inherent
431 noise across the image stemming from the sensor, illumination of the target, and bleeding
432 effects from adjacent non-target surfaces. These findings are consistent with advice from
433 Pix4D (2018b) and MicaSense, who recommend at least 1/3 of the total image footprint to
434 be covered by the calibration area of the reflectance target (MicaSense 2018b).

435

436 Calibration images can be collected either before, after or during the flight. For pre- and
437 post-flight calibration, drone and sensor are held manually above the target and images for
438 all bands are acquired (Step 4). In-flight calibration targets are placed within the area of
439 interest and calibration images acquired during the survey. In-flight targets need to be
440 sufficiently large to ensure a good sample of pixels. Especially when operating in remote
441 areas, weight and size of targets may be limited and quality in-flight calibration imagery can
442 be difficult to obtain. Nonetheless, smaller in-flight reflectance targets (about 100+ pixels =
443 10+ x 10+ GSD) can be of great use for quality control of the final reflectance map output
444 (see for example Aasen et al. 2015) and may serve as an emergency back-up should pre-
445 /post-flight calibration imagery fail. It is important that both in-flight and pre-/post- flight

446 reflectance targets are placed as level as possible to ensure even illumination of the target
447 surface.

448

449 We recommend always obtaining both pre-/post-flight calibration imagery of a reflectance
450 target and, if possible, the use of at least two in-flight reflectance targets for quality control
451 and redundancy. Avoiding overexposure (saturated sensor) and shading of all reflectance
452 targets is critical as this will render the images unusable for radiometric calibration. The
453 Parrot Sequoia has a calibration image acquisition feature for pre-/post-flight calibration
454 accessible via the Wi-Fi interface, which obtains a bracketed exposure reducing the risk of
455 over-exposure.

456

457 When taking pre-/post-flight calibration imagery, ensure that as little radiation as possible is
458 reflected onto the target by surrounding objects, including the person taking the calibration
459 picture. Avoiding bright clothing and taking the image with the sun to the photographer's rear
460 while stepping aside to avoid casting a shadow over the target may reduce the risk of
461 contamination by light scattered from the body (see MicaSense 2018b and Pix4D 2018b for
462 additional guidance). Aasen and Bolten (2018) observed notable errors introduced to their
463 calibration imagery by the presence and position of the person / drone in the hemisphere
464 above the target, suggesting that the development of reliable calibration methods requires
465 further attention.

466

467 It is key that all reflectance targets employed have homogenous and near-lambertian
468 reflectance properties. For pre-/post-flight imagery, we recommend medium sized (approx.
469 15 x 15 cm) Polytetrafluoroethylene (PTFE) based targets, such as Spectralon (Labsphere
470 2018), Zenith (Sphereoptics 2018) or similar, due to their durability, off-the shelf calibration
471 and ease of maintenance. Durability and ease of maintenance are particularly important
472 when working in environments with harsh climates. We experienced substantial degradation
473 in commercially manufactured reflectance targets over a single field season (3 months),

474 likely due to exposure to dust, insects, moisture and temperature fluctuations experienced in
475 the Arctic tundra (Figure 9). For larger targets used in-flight, we recommend tarpaulins made
476 of canvas, sailcloth, felt or similar materials (see Ahmed et al. 2017; Crusiol et al. 2017;
477 Mosaic Mill Ltd. 2018). A variety of other materials have also been successfully employed as
478 reflectance targets (Laliberte et al. 2011; Turner et al. 2014; Wang and Myint 2015; Aasen et
479 al. 2015; Wehrhan et al. 2016; Dash et al. 2017).

480

481 Target maintenance and quality control is essential (also discussed by Wang and Myint
482 2015). Changes in target reflectance can have notable effects on the calibration outputs
483 (Figure 10). It is key to handle targets as carefully as possible to avoid surface degradation.
484 We recommend regular cleaning according to manufacturers' guidance and frequent re-
485 measurement of reflectance values. Field spectroscopy facilities can provide assistance and
486 expertise in obtaining and maintaining targets. Re-measurement of the reflectance values
487 can be carried out in-field prior each flight (e.g. Laliberte et al. 2011). However, this might
488 not always be feasible when operating in remote areas, in which case careful handling,
489 maintenance and measurements of reflectance values before and after a field season may
490 have to suffice.

491

492 Optical filters directly affect the radiation reaching the sensor and influence the relationship
493 between surface radiance and image DN, see Kelcey and Lucieer (2012) for further
494 discussion. It is therefore essential that all radiometric calibration imagery and survey
495 photographs are consistently taken either with or without the removable filter. The Parrot
496 Sequoia is shipped with a protective lens cover (a clear filter), which can be useful when
497 operating in difficult terrains such as the tundra where rough landings are possible, which
498 could scratch the sensor lenses. Parrot does not characterise the transmissivity of the
499 protective lens covers shipped with the Sequoia. As the presence / absence of filters is
500 difficult to detect *post hoc* during automated processing (such as online cloud services),

501 Parrot recommends refraining from using them during multispectral data acquisition flights
502 (Parrot 2017b).

503

504 We measured the transmissivity of the filters shipped with two Sequoias obtained in 2016
505 (Figure 11). We observed a small reduction in transmitted radiation across all four bands,
506 and a small effect of angle of view across the horizontal field of view on the radiation
507 transmitted in the near-infrared band. These findings suggest that the protective lens cover
508 may be used with little to no effect on the final reflectance map outputs, if the filter is applied
509 consistently for all flights under comparison (see also Figure 12).

510

511 **Estimated combined error**

512 We estimate that the combined effect of the main sources of error discussed in this
513 manuscript – if not properly accounted for - could be as much as 0.094 in magnitude for
514 landscape level estimates (1 ha mean) in NDVI for the drone surveys conducted with a
515 Parrot Sequoia at 5 cm GSD at our Arctic research site Qikiqtaruk during the 2016 field
516 campaign (Figure 13). This combined error equates to approximately 10-13% of the peak
517 growing season NDIV (0.60 - 0.68) of the tussock-sedge and dryas-vetch tundra types at the
518 site. These estimates highlight the importance of controlling for these sources of error, by
519 carrying out radiometric calibration, surveying at constant solar angles, monitoring
520 reflectance target degradation and using the protective lens cover consistently. Nonetheless,
521 a notable error will remain even if everything except cloud conditions is controlled for, we
522 estimate that our ability to then confidently detect change in landscape scale (1 ha) mean
523 NDVI is limited to differences above 0.02 - 0.03 in absolute magnitude across space and
524 time.

525

526 **Conclusions**

527 Vegetation monitoring using drones could provide key datasets to quantify vegetation
528 responses to global change (Anderson and Gaston 2013; Salamí et al. 2014; Torresan et al.

529 2017). However, accurately quantifying and accounting for the common sources of error and
530 variation in multispectral data collection is a key part of the workflow for scientific
531 applications (Aasen et al. 2015; Manfreda et al. 2018). As technologies advance and our
532 understanding of multispectral drone products increases we may be able to better quantify
533 the sources of error and improve our measures to account for them; however, it is critical
534 that the drone data collection of today is done as cautiously and rigorously as possible as it
535 will provide the baseline for future ecological monitoring studies.

536

537 The rapid and ongoing development of drone and sensor technology (Anderson and Gaston
538 2013; Pádua et al. 2017) has made the collection of multispectral imagery with drones
539 accessible to many ecological research projects, even those operating with small budgets.
540 Despite the plug-and-play nature of the latest generation of multispectral sensors, such as
541 the Parrot Sequoia and the MicaSense RedEdge, a handful of factors require careful
542 consideration if the aim is to collect high-quality multispectral data that is comparable across
543 sensors, space and time. For example, variation in ambient light and sensors require
544 radiometric calibration of the imagery, and ground control points may be necessary to
545 achieve accurate geolocation of reflectance and vegetation index maps (Kelcey and Lucieer
546 2012; Turner et al. 2014; Salamí et al. 2014; Aasen et al. 2015; Pádua et al. 2017).

547

548 Standardized workflows for multispectral drone surveys that incorporate flight planning, the
549 influence of weather and sun, as well as aspects of geolocation and radiometric calibration
550 will produce data that is comparable across different study regions, plots, sensors and time.
551 We encourage drone survey practitioners in the field of ecology and beyond to incorporate
552 these methods and perspectives in their planning and data collection to promote higher data
553 quality and allow for cross site comparisons. Standardised procedures and practises across
554 research groups (*e.g.*, those developed by the HiLDEN network) have the potential to
555 provide highly-valuable baseline data that can be used to address urgent and emerging

556 topics, such as identifying the landscape patterns and processes of vegetation responses to
557 global change at high latitudes and across the world's biomes.

558

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575

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776
777 **Figure Captions**

778
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780 multispectral drone sensors. Surface radiance is measured as at-sensor radiance for each
781 band by the drone sensor and saved as digital numbers (DNs) in an image file. Image DN
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783 standard acquired at the time point of the survey. The resulting reflectance maps for each of
784 the sensor’s bands can then be used to calculate vegetation indices or as direct inputs for
785 classification. Drone symbol by Mike Rowe from the Noun Project (CC-BY,
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812 Figure 5: RGB photographs of different cloud and sun angle conditions and their effect on
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814 landscape. B) Thin continuous stratus scattering light, resulting in even illumination of the
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819 Figure 6: A) Ground Control Point (GCP) marker placement effort and mean geolocation
820 accuracy for eight reflectance maps (red and near-infrared bands) collected at four sites on
821 Qikiqtaruk – Herschel Island. Insert shows data on finer scale excluding the “no GCPs” data
822 point. Images were captured with a Parrot Sequoia at 5 cm per pixel GSD and processed in
823 Pix4D. Error bars indicate standard deviation of the sites from the grand mean. Marking

824 effort was staggered by incorporating 0, 3, 4 or 10 GCPs and increasing the number of
825 images marked per GCP from low (3 images per GCP) to high (8 images per GCP). The
826 relationship suggests diminishing returns for efforts of more than 3 GCPs, with a potential
827 optimum effort-return ratio for 4 GCPs marked at low effort (accuracy approx. 7 x GSD).
828 Sites are 1 ha in size and composed of graminoid dominated tundra on predominantly flat
829 terrain with medium amounts of variation in altitude (max 30 m). GCP locations were
830 determined with a survey grade GNSS with a horizontal accuracy of 0.02 m. GCP marker
831 dimensions were 0.265 m x 0.265 m (ca. 5 x 5 GSD) and made from soft plastic or plastic
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834 We estimate marker centres were manually identified to ca. two pixels (0.05-0.10 m).
835 Geolocation accuracy of the reflectance maps was assessed by visually locating centre
836 points of 13 GCPs on the final reflectance map outputs in QGIS (QGIS Development Team
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839 B) GCP marker placement effort and mean accuracy of co-registration of red and near-
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872 Figure 11: Transmissivity of Parrot Sequoia Lens-Protector filter across the a) horizontal and
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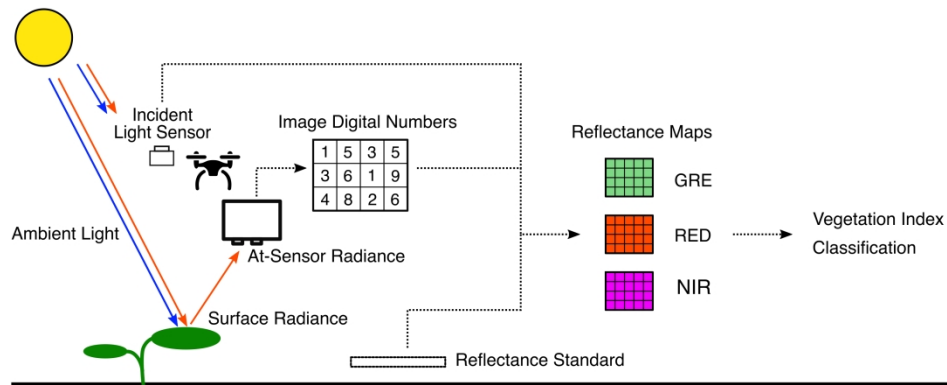


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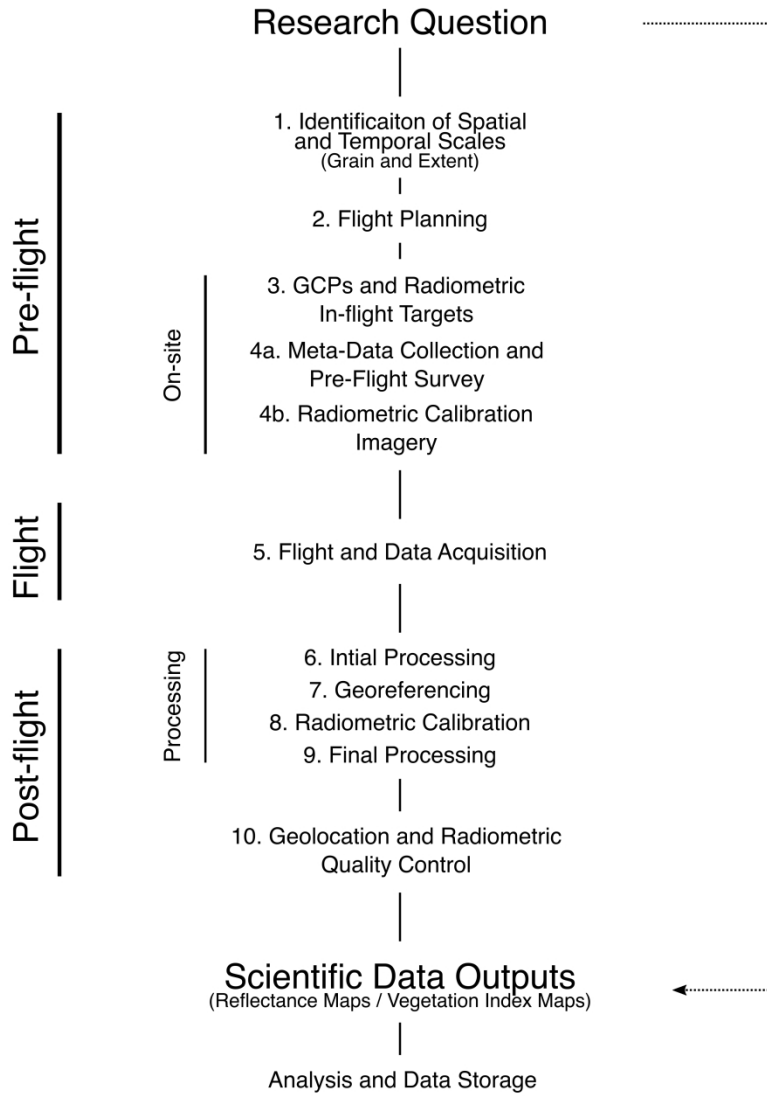


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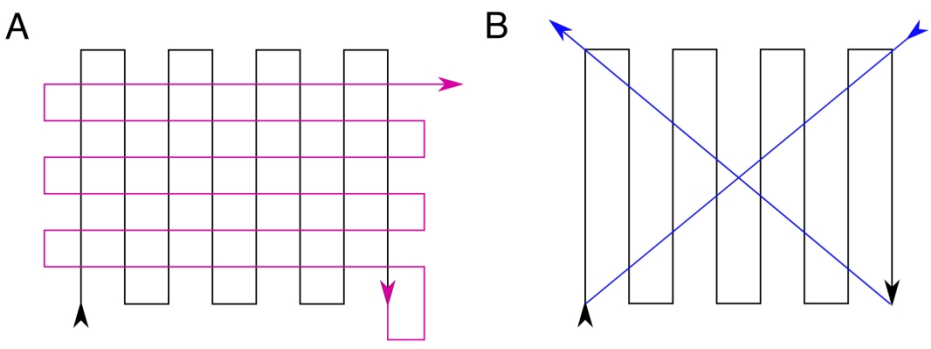


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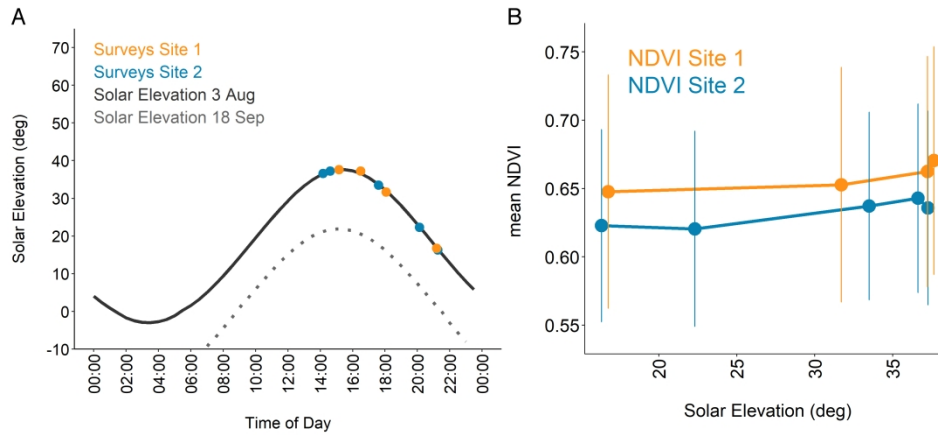


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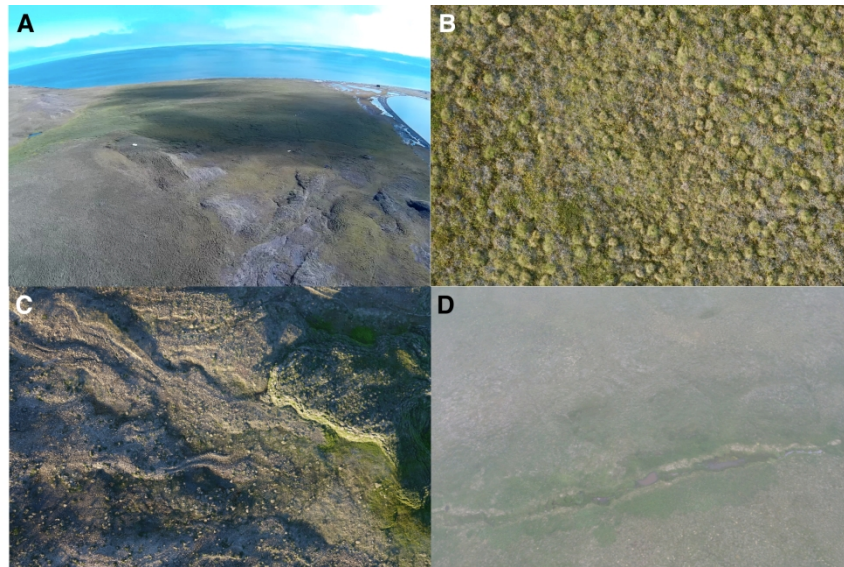


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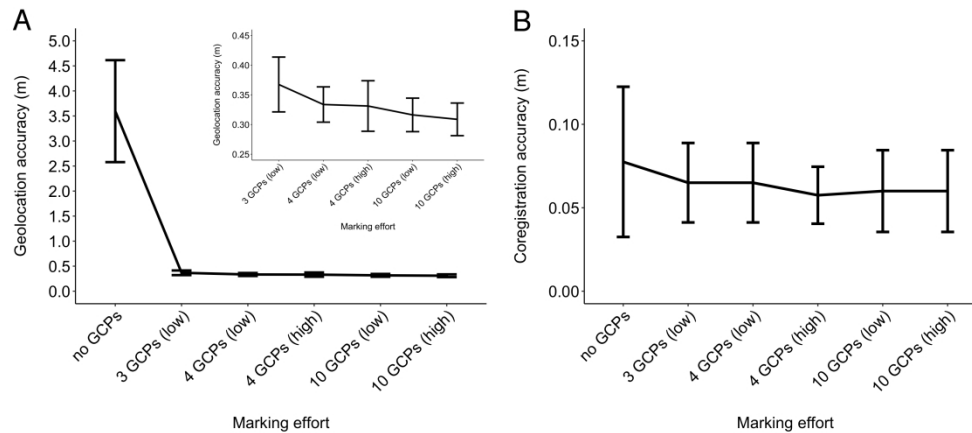


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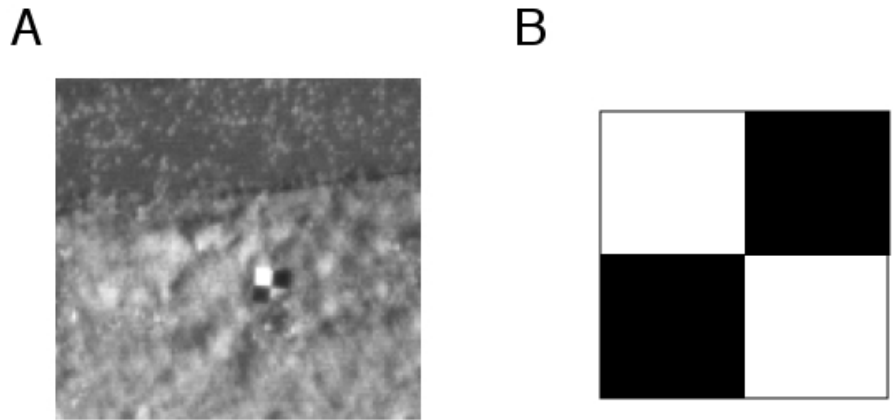


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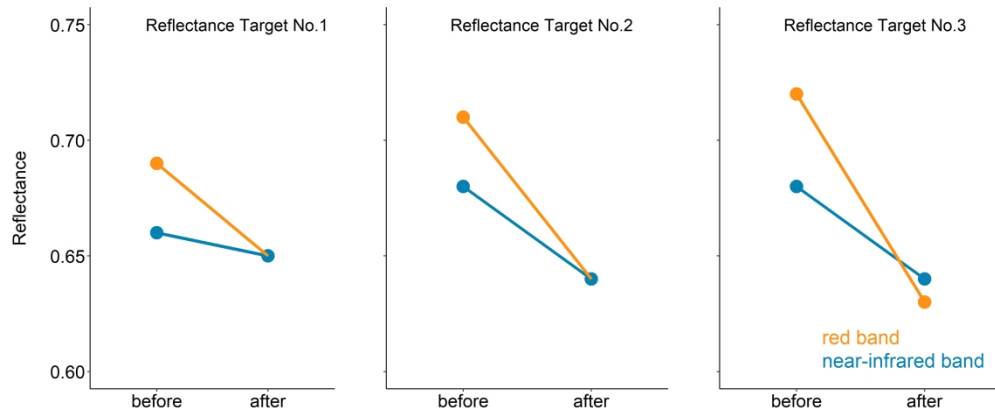


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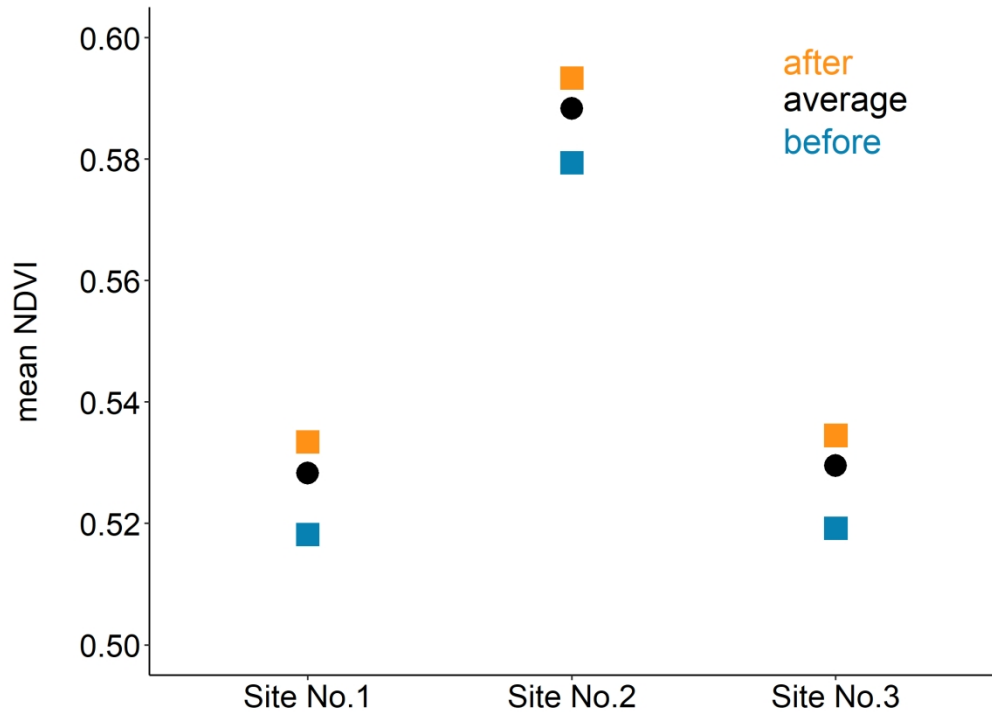


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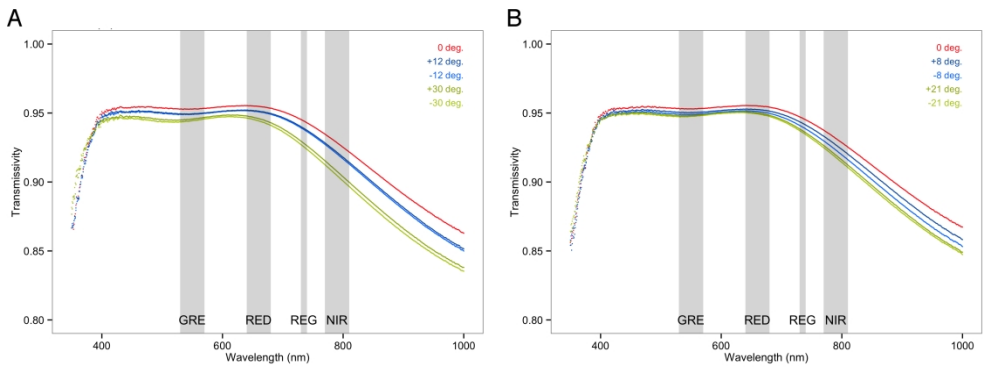


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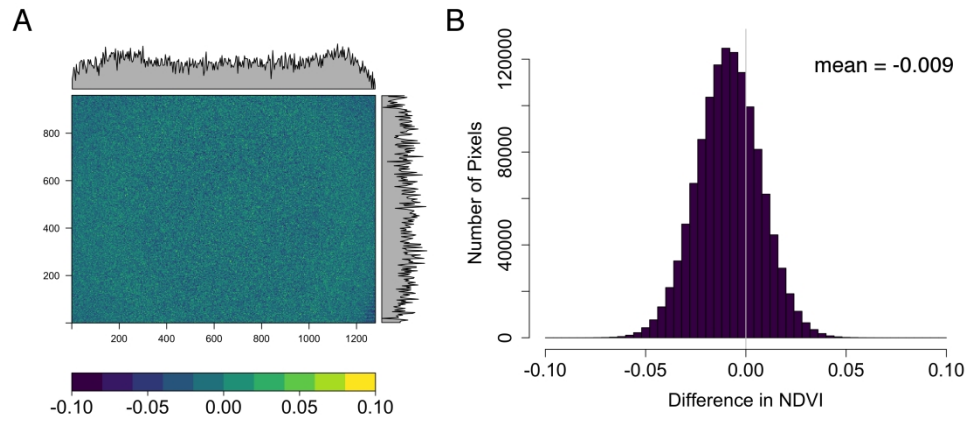


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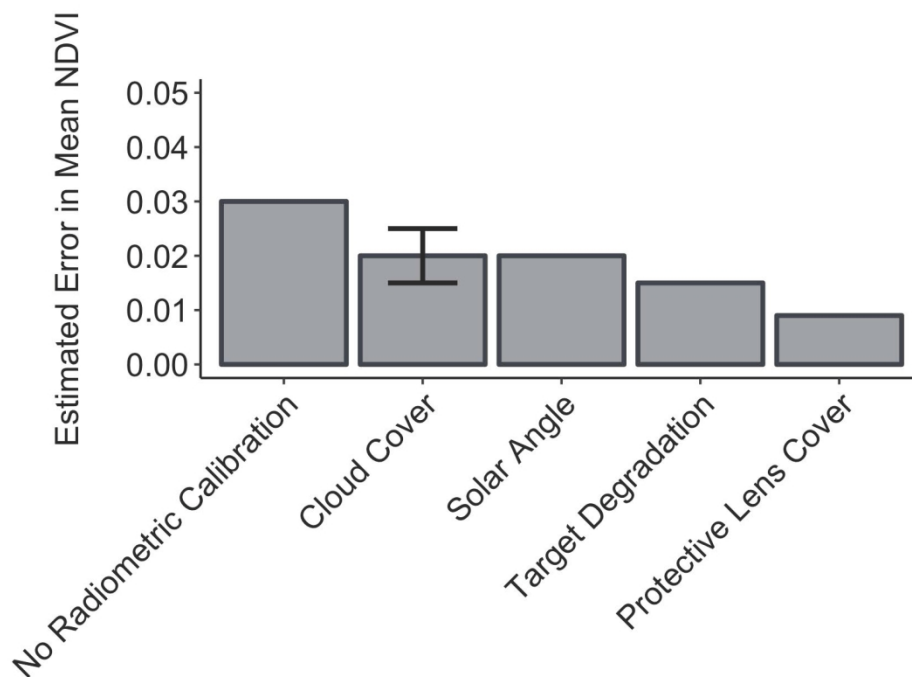


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635x529mm (72 x 72 DPI)

Table 1: Band wavelengths (nm) of the Parrot Sequoia and MicaSense Red-Edge Sensors with comparable Sentinel, Landsat, MODIS and AVHRR bands (Barnes et al. 1998; NOAA 2014; Barsi et al. 2014; European Space Agency 2015; MicaSense 2016a, 2016b).

Vegetation indices such as the NDVI, derived from the red and near-infrared bands, can be notably affected by differences in spectral bandwidth. For the NDVI the position of the red band has been found to be of particular importance (Teillet 1997).

Sensor	Blue	Green	Red	Red-Edge	Near-Infrared
Parrot Sequoia	-	530 - 570	640 - 680	730 - 740	770 - 810
Mica Sense RedEdge	465 - 485	550 - 570	663 - 673	712 - 722	820 - 660
Sentinel 2 (10 m)	457.5 - 522.5	542.5 - 577.5	650 - 680		784.5 - 899.5
Sentinel 2 (20 m)				697.5 - 712.5 (Band 5) 732.5 - 747.5 (Band 6) 773 - 793 (Band 7)	838.75 - 891.25 (Band 8b)
Landsat 8	452 - 512	533 - 590	636 - 673		851 - 879
MODIS (250 m)			620 - 670		841 - 876
MODIS (500 m)	459 - 479	545 - 565			
AVHRR (GIMMS)			580 - 680		725 - 1000

Table 2: Sky-Codes for qualitative classification of cloud related ambient light conditions.

Table courtesy of NERC Field Spectroscopy Facility, Edinburgh UK (2018) based on work by Milton et al. (2009). See also WMO Cloud Identification Guide (World Meteorological Association 2017).

Sky-Code	Condition
0	Clear sky
1	Haze
2	Thin cirrus – sun not obscured
3	Thin cirrus – sun obscured
4	Scattered cumulus – sun not obscured
5	Cumulus over most of sky – sun not obscured
6	Cumulus – sun obscured
7	Complete cumulus cover
8	Stratus – sun obscured
9	Drizzle

Box 1: Quick Glossary**Multispectral Drone Sensor**

A light-weight camera rig with at least two digital imaging sensors that capture monochromatic imagery in well-characterised and narrow bands of the electromagnetic spectrum. Often include bands outside the visible spectrum. Used to determine surface reflectance across space.

Surface Reflectance

Proportion of electromagnetic radiation reflected by a surface. Here specifically, the proportion of electromagnetic radiation reflected by a surface within narrow bands of the electromagnetic spectrum.

Vegetation Index (VI)

Mathematical transformation of surface reflectance values across multiple bands to allow for the estimation of vegetation productivity and surface cover type classifications.

Digital Number (DN)

Sensor-specific value used to denote strength of radiant flux to a sensor pixel. Arbitrary in nature, it requires knowledge of sensor response, optical apparatus and ambient light conditions to allow for conversion into surface reflectance values.

Ground Sampling Distance (GSD)

Distance between pixel centres or pixel-width measured on the ground of a digital aerial image.

Ground Control Points (GCPs)

Artificial or natural features with (often very accurately) known locations used to georectify aerial imagery.

Structure from Motion (SfM)

Computational technique (computer vision) that uses relative positions of pixels from overlapping imagery of the same scene obtained at different angles to construct 3D models and composite orthomosaic images.

Orthomosaic

Mosaic of geometrically corrected (orthorectified) images so that scale is uniform across the mosaic from a nadir perspective (viewer 90° above viewing plane).

Reflectance Map

Orthomosaic of monochromatic imagery in a specific spectral band obtained with a multiband drone sensor. Pixel values contain (often radiometrically calibrated) surface reflectance values (ranging from 0 to 1). Can be used to calculate maps of vegetation indices.