



THE UNIVERSITY *of* EDINBURGH

Edinburgh Research Explorer

The sustainability of changes in agricultural technology

Citation for published version:

Gathorne-Hardy, A 2016, 'The sustainability of changes in agricultural technology: The carbon, economic and labour implications of mechanisation and synthetic fertiliser use' *AMBIO*, vol. 45, no. 8, pp. 885-894.
DOI: 10.1007/s13280-016-0786-5

Digital Object Identifier (DOI):

[10.1007/s13280-016-0786-5](https://doi.org/10.1007/s13280-016-0786-5)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

AMBIO

Publisher Rights Statement:

© Royal Swedish Academy of Sciences 2016

General rights

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy

The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact openaccess@ed.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.



1 The sustainability of changes in agricultural technology - the carbon, economic and labour
2 implications of mechanisation and synthetic fertiliser use

3 **Abstract**

4 New agricultural technologies bring multiple impacts which are hard to predict. Two changes taking
5 place in Indian agriculture are a transition from bullocks to tractors and an associated replacement
6 of manure with synthetic fertilisers.

7 This paper uses primary data to model social, environmental and economic impacts of these
8 transitions in South India. It compares ploughing by bullocks or tractors and the provision of nitrogen
9 from manure or synthetic urea for irrigated rice from the greenhouse gas (GHG), economic and
10 labour perspective.

11 Tractors plough nine times faster than bullocks, use substantially less labour, with no significant
12 difference in GHG emissions. Tractors are twice as costly as bullocks yet remain more popular to
13 hire.

14 The GHG emissions from manure-N paddy are 30% higher than for urea-N, largely due to the organic
15 matter in manure driving methane emissions. Labour use is significantly higher for manure, and the
16 gender balance is more equal. Manure is substantially more expensive as a source of nutrients
17 compared to synthetic nutrients, yet remains popular when available.

18 This paper demonstrates the need to take a broad approach to analysing the sustainability impacts
19 of new technologies, as trade-offs between different metrics are common.

20 **Keywords:** Paddy; Oxen; India; Draught animals; Livelihoods; Life cycle assessment; LCA

21

22

23 **Introduction**

24 The history of agriculture is a history of technological change. These changes have brought impacts –
25 often ambiguous in their sustainability - on both society and the environment that go far beyond the
26 initial social, economic, cultural, political, institutional and agro-ecological factors that fuelled the
27 technological change in the first place (Astill and Langdon 1997, Piesse and Thirtle 2010,
28 Montgomery 2012). Key technological changes in today's global agriculture include: mechanisation;
29 seed breeding; and the increase in synthetic fertiliser and pesticide use. Analysing the drivers of
30 change is beyond the scope of this paper. Instead it uses rice production in South India as a case
31 study to highlight the complexity of impacts from two key technological changes taking places in
32 today's global agriculture. Specifically it uses labour-demand, costs and GHG emissions as examples
33 of social, economic and environmental sustainability metrics to analyse (a) the mechanisation of
34 ploughing, and (b) the shift from manure to urea as a source of nitrogen.

35 The impacts of agricultural technology transitions have been widely studied within individual
36 disciplines, for example the economic implications of farm energy options at the macro-economic
37 level (Musa and Bello , Pearson 1991, Thomas 2000), and energy and the greenhouse gas (GHG)
38 implications at the farm scale (Schramski et al. 2013, Spugnoli and Dainelli 2013, Cerutti et al. 2014,
39 Gathorne-Hardy et al. 2016). However, the wide-reaching social, economic and physical impacts of
40 technology transition requires a multi-disciplinary understanding of sustainability, yet there is a
41 dearth of studies that combine primary data and interdisciplinary research. To the best of our
42 knowledge this is the first study to use primary field data to study technological transitions from an
43 interdisciplinary approach.

44 Both mechanisation and fertiliser use are energy intensive compared to bullocks or manure, and
45 fertilisers are associated with direct and indirect pollution (Erisman et al. 2008, Starkey 2010,
46 Schramski et al. 2013). Further, transition up the energy ladder is often associated with reduced
47 employment.

48 This research is based around primary data collected from irrigated rice farms in South India. Rice
49 was chosen due to its global importance; it is the staple food for 50- 60% of the global population
50 (Stoop et al. 2009) and provider of employment for approximately 1bn people (Dawe 2000).

51 This paper first identifies key sustainability issues associated with different traction and fertiliser
52 options. After describing the methods it presents the results, including discussing the apparently
53 counterintuitive choices made by farmers.

54 **Greenhouse gas emissions**

55 **Displacing fossil fuels through the use of draught traction**

56 For centuries bullocks have been emblematic of sustainable agriculture in India, providing draught
57 energy while recycling waste straw into fertiliser and fuel. Today, however, tractors have replaced
58 bullocks over vast tracts of India. From 122,000 per year in 1989, tractor sales increased by 4.4% per
59 year between 1989 to 2009 (Sarkar 2013), and tractors per 1000 hectares increased from 0.19 in
60 1961 to 27.38 in 2013 (Evenson et al. 1998, Singh 2013). From 2003 to 2012 the number of bullocks
61 decreased from 78m to 61m (Gol 2012).

62 Ploughing GHG emissions are determined by input: output efficiency, energy source and waste gas
63 composition. Tractors and livestock are approximately equal in their net efficiency, converting about
64 30% of input energy into useful energy (Pearson (1991) quoted in Fuller and Aye (2012)). While
65 tractors typically use non-renewable fossil fuels and produce CO₂ as a waste gas, bullocks use
66 potentially sustainable biomass fuel, but generate methane in their waste gases, a gas with a 25
67 times greater global warming potential (GWP) compared with CO₂ over 100yrs (Forster et al. 2007).

68 **Displacement of GHG intensive fertilisers with manure.**

69 Energetically, environmentally and agronomically, nitrogen (N) is the most important plant nutrient
70 (Ladha et al. 2005, Erisman et al. 2008) and this paper focuses on nitrogen. With adequate water
71 supply, and providing damage from pests or diseases is not excessive, then, within a single season,

72 nitrogen availability typically determines yield. In India, synthetic fertiliser use has increased
73 dramatically, augmenting or replacing traditional organic manures. The total quantity of NPK
74 fertiliser expanded 9 fold from 2 to 18 m tonnes from 1969/70 to 1999/00 (FAO 2005, Sarkar 2013)
75 82% of total synthetic nitrogen is supplied in the form of urea (FAO 2005).

76 The GHG emissions associated with manure are complex. Globally, slurry and manure are major
77 source of GHGs (IPCC 2007) yet emissions are highly variable depending upon manure composition
78 and environmental conditions: methane emissions increase with high proportions of volatile solids
79 and anaerobic storage conditions (for example wet manure piles or lagoons). Nitrous Oxide (N₂O)
80 emissions increase with N content and in moist but not anaerobic conditions (IPCC 1996).

81 Most manure-emissions data is from developed-world agricultural systems. Gupta et al (2007)
82 looked at manure emissions in three Delhi-based dairies and found emissions equating to 21.67kg
83 CO₂eq cow⁻¹ yr⁻¹. Unfortunately there was no description of manure storage conditions, but the large
84 herd size suggests housed livestock, urine collection and large manure piles or 'lagoons'. In contrast,
85 most rural manure is from small herds, without urine collection, stored in small aerobic mounds,
86 minimising CH₄ and N₂O emissions.

87 Manure use can also impact soil carbon. In contrast to most arable systems, which through
88 cultivation and disturbance tend to loose soil organic carbon (SOC), the anaerobic soils of irrigated
89 rice inhibits the oxidation of organic matter, encouraging a build-up of SOC (Pan et al. 2004, Ci and
90 Yang 2013). The application of supplementary organic matter such as manure further increases the
91 SOC in paddy systems (Ghosh et al. 2012).

92 Soil-based GHGs dominate total GHG emissions from arable farming. In aerobic arable systems N₂O
93 dominates emissions, and soils act as a net sink for CH₄. In contrast, the anaerobic nature of rice soils
94 effectively supresses' soil-based N₂O emissions (Hou et al. 2000)and GHG emissions are instead
95 dominated by methane. Under anaerobic conditions, the supply of substrate for the soil methanogenic

96 community is the commonest limiting factor for methane production. Organic matter substrate
97 originates from both direct by-products of rice production (such as sloughed-off root cells and root
98 exudates) and from added materials including manure (Qin et al. 2010).

99 **Yield gains through increased soil fertility**

100 Yield is an important sustainability metric as lower yields increase environmental impacts per unit of
101 production, assuming input factors remain constant. In addition to nutrients, manure is a source of
102 organic carbon which in most arable soils is positively correlated with soil fertility (Bronick and Lal
103 2005, Mueller et al. 2010).

104 However it cannot be assumed that the same benefits accrue from increased SOC in paddy fields,
105 especially as many of the key attributes of SOC are associated with their benefits to soil structure.

106 Irrigated rice fields are 'puddled' (repeated ploughing under waterlogged conditions) to deliberately
107 break down soil structure and provide a fine, more waterproof soil.

108 Experiments to understand the contribution of SOC to irrigated rice yields have been inconclusive.

109 Pan (2009) found a positive relationship between SOC and yield, but it is unclear if the SOC is driving
110 the yield advantage, or vice versa. Ghosh et al (2012) found increased yields with increased manure,
111 yet their study methods do not compensate for the additional nutrients imported into the system
112 with the additional organic amendments. In contrast, research in which the nitrogen was
113 compensated for by organic amendments showed yield declines when part or all of the N was
114 applied as FYM (Bhatia et al., 2010). This however was a single year study. These results may be due
115 to lack of N availability from more tightly-bound FYM N compared with mineral N. At present there is
116 insufficient evidence to suggest that higher levels of SOC are likely to be associated with higher
117 yields.

118 **Economic return to the farmer**

119 The economic return to a farm is fundamental to its long-term sustainability and at present the
120 economic conditions for much of Indian agricultural is poor. In a survey of over 8000 farmers, 10% of

121 families had endured days with no food over the previous year, and 45% of the 90% of farmers with
122 ration cards are below the poverty line (CIDS 2014). Agricultural poverty is especially common in the
123 85% of Indian farms below 2ha in size (GOI 2014). While this is not the place for a detailed discussion
124 about farmer poverty in India, for the 118.9m cultivators in India, an improvement in agricultural
125 returns can help reduce poverty.

126 **Employment**

127 Economic poverty remains endemic in the Indian countryside (GoI 2013) and is especially prevalent
128 amongst landless labour - two thirds of the landless agricultural labour force are below the poverty
129 line (Harriss-White and Gooptu 2000). Poor people derive most of their income from work (Hull
130 2009) and while recent developments are reducing agriculture's employment dominance (including
131 the MGNREGA¹ (GoI 2013, Carswell and De Neve 2014)) agricultural employment still represents up
132 to two thirds of India's rural workforce (Harriss-White et al. 2004).

133 This alone does not suggest that more agricultural labour demand is a positive sustainability metric,
134 especially as agriculture is arguably some of the worst work in rural India – lowly paid, physically
135 difficult and of low status. But India has followed a unique development pathway, resulting in
136 massive of un- and under-employment nationally. Unlike other developing nations, India has had
137 'job-less growth' with the majority of growth represented by high-paying, low employment service
138 industry (Corbridge et al. 2014). So instead of agriculture mechanisation releasing workers for the
139 industrial economy, it releases people to un- or underemployment. Therefore, in this paper, there is
140 an assumption that while much agricultural employment is very low quality, people are employed in
141 agriculture due to lack of alternative options, and as such, more agricultural employment is better
142 than less.

¹ The Mahatma Gandhi National Rural Employment Guarantee Act was designed as a social security measure and provides every rural household with the right to 100 days work a year and growing off-farm sources of income

143 **Materials and methods**

144 Streamlined, attributional, Life Cycle Assessment (LCA) was used to determine the GHG emissions
145 based on the standards and criteria of ISO 14040, PAS 2050, and the ILCD handbook (ISO 2006,
146 European Commission 2010). Costs and labour requirements were mapped simultaneously.

147 **Goal and scope definition**

148 The goal of this research was to understand the implications for GHG, costs and labour demand
149 of two independent technological transitions in agriculture: tractors compared to bullocks for traction,
150 and manure compared to urea as a source of nitrogen, in irrigated rice production in India. Two
151 discrete functional units were used:

- 152 a. The ploughing of 1 hectare, once, for irrigated rice
- 153 b. The application of 1kg nitrogen-N for irrigated rice.

154 These two functional units were independent of each other. Questionnaire responses demonstrated
155 that neither the quantity or quality of ploughing varied between bullock and tractor.

156 **System boundaries.**

157 The setting of LCA boundaries – what is and what is not included within the analysis - has the potential
158 dramatically to affect the final result, especially when dealing with an input as complicated as the
159 draught power of, and manure from, livestock.

160 The GHG boundaries associated with ploughing of 1ha of land for flooded rice include the embodied
161 emissions (production emissions for tractors, non-utilised juvenile life for bullocks) and running
162 emissions per hour for bullocks or tractors. The background emissions associated with bullock's
163 enteric fermentation were allocated according to the number of hours of both on- and off-farm jobs
164 per year, and similarly the embodied emissions associated with tractors. The allocation of feed to
165 bullocks would have been important to include except that no dedicated crops were used; the only
166 cropped feed for bullocks in this study was rice straw, an otherwise un-utilised by-product of rice
167 production.

168 The GHG boundaries associated with the provision of 1kg of N for flooded rice are complicated by the
169 lack of perfect substitutability between the two nitrogen sources. While both provide nitrogen,
170 manure provides a range of alternative nutrients, and is itself typically a co-product with milk, meat
171 and draught power. However the dominant source of manure in the study villages was dairy cows, a
172 situation that is likely to increase as the number of bullocks decreases, so analysis was restricted to
173 these and used economic allocation to accommodate the co-products of manure, including other
174 macro nutrients (see Table 1) - it allocates cow GHG emissions to different co-products according to
175 their price. Thus if the manure and milk produced per year were equally valuable, cow GHG emissions
176 would be split equally between these. For more detail on economic allocation see Kindred et al (2008).
177 Included in the boundary of the second functional unit is: production, transport, SOC impacts, co-
178 products of manure, and soil GHG emissions associated with both input systems. Yield changes
179 associated with the high SOC of manure were excluded from this analysis due to lack of evidence to
180 justify such yield gains in paddy systems.
181 Indirect N₂O emissions were not included within the manure-N urea-N analysis, as these are constant
182 independent of N source.

183 **Inventory analysis and data sources**

184 This analysis uses a combination of primary and secondary data, collected as part of a larger project
185 examining interactions of social, economic and environmental factors in rice production and supply
186 chains, see [http://www.southasia.ox.ac.uk/resources-greenhouse-gases-technology-and-jobs-indias-](http://www.southasia.ox.ac.uk/resources-greenhouse-gases-technology-and-jobs-indias-informal-economy-case-rice)
187 [informal-economy-case-rice](http://www.southasia.ox.ac.uk/resources-greenhouse-gases-technology-and-jobs-indias-informal-economy-case-rice).

188 The primary data used for this analysis was collected from 77 farmers in 2012 using an extensive (31-
189 page) questionnaire. Data collection took place in three semi-arid areas of South: Janagaon region of
190 Warangal District in the state of Andhra Pradesh (n=25); Vanthavaasi of Thiruvannamalai district
191 (n=20), Tamil Nadu state and Nagapattinam district, Tamil Nadu (n=32). Farms were chosen to
192 reflect the distribution of holding sizes in the Indian Agricultural census for each region.

193 Input assumptions are detailed in Table 1.

194 **Assessment of global warming potentials**

195 To calculate GHG equivalents, we used GWP₁₀₀ as specified by IPCC 2007 (Forster et al. 2007). The
196 gases included in this analysis were carbon dioxide (CO₂, GWP:1), methane (CH₄ GWP:25), nitrous
197 oxide (N₂O GWP:298)

198 **Analysis**

199 Analysis was carried out using a LCA model built in Excel, and statistics (t-tests) were tested in SPSS.

200 **Costs**

201 All costs are given in USD, using September 2012 conversion rate (1USD = 54.415INR)

202 **Ploughing.**

203 No farms hired bullocks for ploughing, so it was not possible to gather the market rate for ploughing
204 with bullocks. However, bullocks were regularly hired for levelling (flattening the ground prior to
205 transplanting) and as bullocks are hired out on an hourly rate independent of task, levelling costs
206 were used as proxy for ploughing costs.

207 **Including hidden costs**

208 The use of family labour is common on small scale farms around the world. While this labour is often
209 treated as 'free' by farmers, in reality there is often an opportunity cost – members of the family could
210 be otherwise employed elsewhere at potentially higher rates of pay. This analysis includes both the
211 actual costs (free family labour) and imputed costs (included family labour – based on local casual
212 labour pay).

213

214

215

Description	Figure	Source, comment
Kg of N t manure ⁻¹ (assuming 20% moisture)	12	Tennakoon and Bandara (2003)
Tractor composition	Assumed to be 100% steel	
Tractor weight (kg)	1952.5	(John Deere 2012, Mahindra 2012)
Embodied emissions associated with steel (kg CO ₂ -eq kg ⁻¹)	2.4	(CSE 2012)
Tractor diesel use (l hr ⁻¹)	4	Response from interviews (interviews rather than tractor specifications for actual rather than factory engine efficiency)
GHG intensity diesel (kgCO ₂ eq l ⁻¹)	3.0168	(EU 2009)
Tractor life span (yrs)	20	
Average tractor working hours per yr (hrs)	1129	Interview responses
Emission factor for indigenous working bullock (kg CO ₂ animal ⁻¹ yr ⁻¹)	823.5	(Singh et al., 2002)
Emissions factor for indigenous cows milking (kg CO ₂ animal ⁻¹ yr ⁻¹)	899.25	(Singh et al., 2002)
Emissions for crossbred females (milking) (kg CO ₂ animal ⁻¹ yr ⁻¹)	970.75	(Singh et al., 2002)
Manure emissions (kg GHG per animal per yr) (kg CO ₂ animal ⁻¹ yr ⁻¹)	50	(IPCC 2006)

Bullock life expectancy (yrs)	18	Farmer response
Emissions 1-5 years of bullock life (kg CO ₂ animal ⁻¹)	2270.5	(Singh et al. 2002)
Number of days a bullock works yr ⁻¹ (days)	175	Interview responses
Working life of a bullock (yrs)	13	Interview responses
Manure produced per cow (kg cow ⁻¹ yr ⁻¹)	2344.7	Interview responses
Allocation of the adults' emissions to manure (compared to milk and carcass value).	6%	Economic allocation was using farmer responses.
Allocation of labour and costs of manure to manure-N	0.19	Derived from the costs of mineral alternatives, assuming manure consists of only NPK at the manure nutrient concentration described by Tennakoon and Bandara (2003)
Speed of livestock pulling manure (km/hr)	3.4	Interview responses
DAP production emissions (kg CO ₂ eq/kg active product (N and P)	1.38	(Wood and Cowie 2004)
Urea production emissions (kg CO ₂ kg Urea-N ⁻¹)	0.7	(CSE 2009)
Transport GHG emissions (assumed 4000km)(kg CO ₂ kg Urea-N ⁻¹)	0.23	From main project transport data analysis
SOC from manure (kg CO ₂ ha ⁻¹ yr ⁻¹)		(IPCC 2006)

Family labour costs		Modelling allowed family labour costs to be imputed. Family labour costs were based on local casual labour rates
---------------------	--	--

216 Table 1. Input assumptions and sources of data

217

218 Results and discussion

219 Ploughing

220 GHG emissions.

221 Bullocks are substantially less polluting per hour of work than tractors, producing just 20% of
 222 tractors' emissions, see Table 2. Yet bullocks are slow to plough a field – taking an average of 18
 223 hours to plough a hectare (similar to the 23hours in Indonesia, see Teleni et al (1993)). This is 6 times
 224 slower than with a tractor. Consequently, there is no statistically significant difference in the GHG
 225 emissions between ploughing with bullocks or ploughing with tractors ($p > 0.05$), see Table 2.

226 Table 2

	Tractor	Bullocks (pair)
GHG emissions per hour	12.27 (including embodied emissions at 0.21)	2.59
Mean time to plough a hectare once (hrs)	2.8 (0.3)	17.7 (2.1)
GHG emission to plough a hectare (kg CO ₂ ha ⁻¹)	33.9 (3.5)	45.99 (7.4)

227 Table 2. The GHG emissions associated with ploughing by tractor or bullocks, either per hour or per hectare.

228 Figures in brackets represent standard error.

229 The composition of emissions from tractors and bullocks differ – tractors’ emissions are dominated
230 by use (98%), while bullocks have no specific emissions associated with use. Bullocks have two sets
231 of embodied emissions: firstly the emissions associated with its immature stage (the first 5 years as a
232 calf representing 21% of total emissions) and secondly the emissions associated with staying alive
233 independent of the actual hours of work. In contrast to tractors which, once purchased, only
234 produce GHGs when working, bullocks cannot be ‘turned off’. Bullocks from our data-set typically
235 worked for 5 hours a day, on average 175 days a year (similar to Misra and Pandey (2000) who
236 suggest bullocks are typically used for an average of 154 days). Thus every hour worked had an
237 associated 10 hours of non-work emissions.

238 Emissions from both tractors and bullocks can be reduced through increased ploughing efficiency –
239 better plough designs, more fuel-efficient tractor engines, better harnesses for bullocks. Bullocks can
240 also be made substantially more efficient through working more days: the background emissions
241 associated with enteric fermentation are the dominant GHGs from bullocks. The larger the number
242 of hours worked by bullocks, the smaller the emissions per hour (the small embodied GHG emissions
243 of tractors compared to the diesel based use-emissions minimises the potential to reduce tractor
244 emissions through increased tractor use). For example if the number of days worked by bullocks is
245 reduced to 100 days year⁻¹, the emissions almost double to 80.5 kg CO₂ ha⁻¹. Bullock use from our
246 data ranged from 100 to 280 days yr⁻¹. At 280 days yr⁻¹ the emissions to plough a hectare fall below
247 that of the tractor to 28.8kg CO₂ ha⁻¹. Clearly there are limits to this method of efficiency gain. In
248 addition, anecdotally, harder working bullocks have shorter lives.

249 Thus, while the present working patterns found show no significant difference in GHG emissions, it is
250 possible to modify existing use patterns to radically reduce emissions from bullocks, especially
251 through increasing the workload of individual bullock-pairs (although the potential of sharing
252 between farmers for ploughing is limited due to time pressure at key points in the growing season).

253 These overall results are in line with Spugnoli and Dainelli (2013), who found tractors reduce GHG
254 emissions compared to bullocks when ploughing in Indonesia. In contrast, when comparing tractors
255 and non-ruminant based draught animal power, livestock reduced GHG emissions, for example
256 Cerutti et al (2014).

257 **Costs**

258 The overall cost for ploughing with bullocks is USD 48.01 hectare⁻¹, less than half of the USD 100.01
259 ha⁻¹ to plough using a rented tractor ($p < 0.01$). Yet bullocks are rarely hired to plough when tractors
260 are available. Why is hiring bullocks unpopular, when the cost is substantially lower than tractors?
261 Our data cannot answer this, but it is possible that their slower work and inability to work long days
262 reduces their practical use; rapid work rates are important to prepare fields for subsequent crops in
263 a timely manner (Agarwal 1984).

264 **Labour**

265 Labour requirements for ploughing are directly proportional to the length of time to plough a field.
266 The employment is 100% male for both activities. Importantly, few owners of tractors or bullocks
267 allowed others to use them. Instead the owner tended to manage the animals/machine himself. So
268 while bullocks require substantially more labour, it is unlikely that ploughing by either method is an
269 important source of employment for landless labour. Furthermore, the increased timeliness
270 provided by tractors can increase overall labour demand by allowing cropping in an additional
271 seasons (Sarma 1981).

272 **Nitrogen from manure or urea**

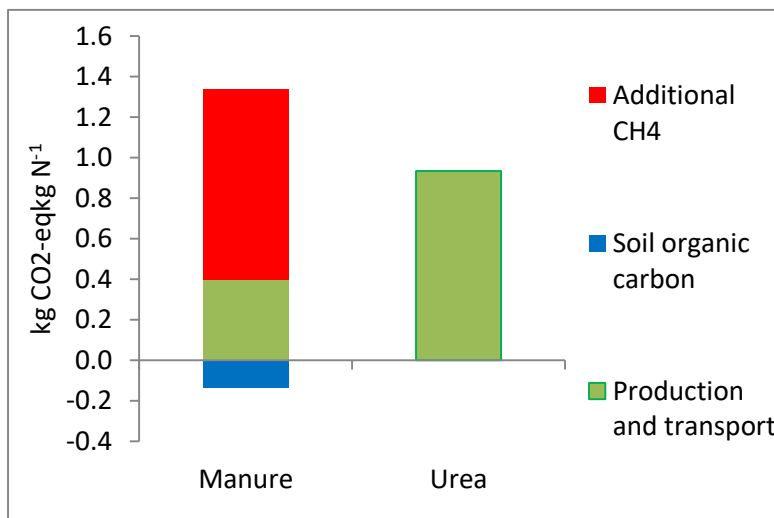
273 **GHG emissions**

274 GHG emissions are 30% higher when manure is used as a source of nitrogen compared to urea, yet
275 with very different constituent emissions, see Figure 1. The production of manure, including GHG
276 emissions associated with enteric fermentation and manure storage, has less than half the emissions

277 associated with the production and transport of synthetic urea. Urea GHG production arises largely
278 from fossil energy driven CO₂ emissions, and the use of methane as a source of hydrogen for urea
279 feedstock, ammonia.

280 **Figure 1**

281



282

283 Figure 1 The GHGs associated with 1kg of nitrogen in manure or urea. Additional CH₄ refers to CH₄ produced
284 in response to the organic matter within manure Urea production in India is already some of the most
285 efficient in the world (CSE 2009), reducing the potential for efficiency-based reductions in urea GHG
286 emissions. The efficiency of India's road network could be improved, reducing GHG emissions in urea
287 transport. In contrast, transport is largely irrelevant to manure due to the small distances travelled,
288 but substantial efficiency gains might be possible, through collecting a greater proportion of the
289 nitrogen excreted from a cow. While solid matter is collected, the urine – which can contain a
290 substantial portion of total N (Rotz 2004) – is often released straight onto the soil.

291 The high organic matter content of manure, when used under flooded rice production systems,
292 sequesters 0.14kg of CO₂ as SOC. However, the high organic matter also acts as feedstock for
293 methogenic species, increasing methane production from the rice fields and producing the single

294 largest source of GHG associated with manure production. While it is outside the scope of this paper
295 to analyse the relative benefits of manure and urea in dryland agriculture, Figure 1 suggests that in
296 dryland agriculture – including reduce SOC storage and zero CH₄ emissions - manure would offer
297 overall GHG savings compared to urea. Also outside the direct scope of this paper, but important to
298 mention, is that manure has a host of wider environmental qualities compared to urea, most
299 especially concerning biodiversity (Mandal et al. 2008, Rahmann 2011, Gabriel et al. 2013).

300 The emissions from manure are highly sensitive to a number of variables and assumptions. These
301 include the quantity of N in manure, the value ascribed to N in manure compared with other
302 nutrients, and the economic value of the manure compared to the milk. In the study areas the
303 majority of manure came from cows rather than bullocks (partially reflecting diminishing bullock
304 numbers), and cow GHG emissions between milk and manure were allocated using economic
305 measures (for more details see methods). Due to the relatively high value of milk, manure
306 production represented only 6% of the total cow emissions. If, to take an extreme example, cows
307 were to exist solely for the production of manure, then the production phase of manure alone would
308 be responsible for 6.94 kg carbon kgN⁻¹ – an order of magnitude more than urea. In contrast, if the
309 value of milk doubled then the GHG emissions associated with manure reduce by 20%.

310 **Costs**

311 Manure-N is substantially more expensive than urea-N. The costs in Table 3 represent the value of N
312 in the manure rather than the total manure costs (19% of the total value of manure). Many farmers
313 used their own manure but even assuming the manure was free the labour costs of application still
314 make it more expensive per kg N.

315 If family labour is imputed at the casual labour rate, the cost of urea-N increases by 69% and
316 manure-N by 16%. However manure-N remains substantially more expensive than urea-N, see Table
317 3.

318 **Table 3**

319

	Family labour at zero cost		Imputed family labour costs	
	Manure –N	Urea -N	Manure –N	Urea -N
Purchase (including transport)	0.02 (0.002)	0.13 (0.021)	0.02 (0.002)	0.13 (0.021)
Application costs	0.0 (0.00)	0.03 (0.010)	0.01 (0.00)	0.05 (0.007)
Total	0.02	0.16	0.04	0.18

320 Table 3. The accounting costs entailed with applying 1kg nitrogen (USD). Figures in parentheses are standard
 321 errors.

322 The question of why farmers still use manure when a far cheaper source of nutrients is available is
 323 hard to answer. For farmers producing their own manure through their own livestock, manure then
 324 a free resource. But many farmers were willing to buy manure at considerable cost, demonstrating a
 325 high perceived value. Furthermore, as discussed above, there is no clear evidence that manure is
 326 associated with yield gains in rice.

327 **Labour**

328 Manure has over three times the labour requirements of synthetic fertilisers, an average of 51.4min
 329 to spread 1kg of manure-N compared to 6.5min to spread 1kg of urea-N ($p < 0.01$). In a country with a
 330 considerable shortage of employment, manure offers the advantage of increased labour provision.
 331 Between the different nitrogen sources there were also substantial differences in the proportion of
 332 male to female workers, and of wage- labour compared with family labour, see [Table 4](#).

333

334 **Table 4**

335

	Male		Female		Total
	Family	Employed	Family	Employed	
Manure	15.4**	11.9**	12.4**	11.4**	51.4**
Urea	3.4	1.1	2.0	0.0	6.5

336 **Table 4. Total labour requirements to apply nitrogen (minutes per kg-N). Differences were measured**
 337 **between the different sources of manure. Figures for manure are based on the economic value of manure-N**
 338 **compared to manure-P and manure-K (*=p<0.05, **=p<0.01)**

339 Approximately 50% of the labour for manure-spreading is female, in all sites. However, while in
 340 Andhra Pradesh this is also true for synthetic fertilisers, in both Tamil Nadu sites urea-spreading
 341 labour was dominated by males (97%), even though the work is less arduous.

342 **Conclusions**

343 Livestock have had a long symbiotic relationship with man, producing: food, manure, clothing,
 344 power and companionship in exchange for feed and protection. Yet this relationship is changing as
 345 the provision of energy and of nutrients is increasingly taken over by fossil fuels and synthetic
 346 fertilisers. This change has not been previously analysed using multi-disciplinary data and primary
 347 data.

348 Results from this analysis show that tractors can plough approximately six times faster than bullocks;
 349 offer no, or minimal statistically valid GHG savings per hectare; cost more and reduce labour
 350 demand. The GHG results are highly sensitive to key assumptions, especially the number of days that
 351 bullocks are used per year. As bullock use per year decreases, bullock emissions per unit of work
 352 increase proportionally due to the high background rate of enteric methane emissions. The higher
 353 economic cost of tractors seems to be unimportant to farmers. This is likely to be due to the relative

354 convenience, availability and speed of ploughing by tractors - important in the rapid establishment
355 of the next season's crops. Only male labour was used for ploughing. Due to the speed of tractor
356 ploughing, substantially less labour was required compared with ploughing using bullocks. However,
357 since much of that labour is provided by the owner of the animal/machine, it is rarely an important
358 source of employment for the rural poor.

359 Manure-N compared to urea-N for irrigated rice generates substantially higher GHG emissions,
360 increases costs and increases labour demand. Manure GHG emissions are dominated by increased
361 methane associated with the high manure organic matter content. This suggests that manure could
362 offer GHG emission savings for dryland crops, compared to urea. The higher cost of manure assumes
363 manure is bought, while many farmers have domestic manure production for direct use. There is a
364 substantially higher labour demand associated with manure, which, unless it is family labour (and
365 therefore a hidden cost), results in high application costs even with free manure. In all areas manure
366 generated roughly equal employment for men and women. The spreading of manure offers
367 substantial employment for non-family labour in most sites, a useful form of income for landless
368 labours, and so a net social benefit to the rural economy.

369 This study is the first of its kind to use primary data to compare tractors with bullocks, and manure
370 with urea, from a range of disciplinary perspectives. The results highlight the interplay between
371 different measures of sustainability – for even just using three sustainability metrics there is a clear
372 trade-off between labour provision and GHG emissions between the two sources of nitrogen.
373 However, it is important to note that the purpose of this paper was to highlight the need to take
374 broad approaches to sustainability when analysing technological transformations in agriculture
375 rather than to provide a detailed study of the mechanisation or nitrogen: many sustainability metrics
376 were ignored from this study, and so this study should not be used to recommend any particular
377 policy. Further work that increases the number of criteria to include health, gender, biodiversity,

378 national economic impacts and resilience will be important to allow positive policy decisions that
379 accurately identify and mitigate trade-offs.

380 ACKNOWLEDGMENTS

381 The author would like to thank the constructive comments from four anonymous reviewers.

382 With thanks to the ESRC/Dfid Joint Scheme award RES-167-25-MTRUYG0; ES/1033768/1 for funding.

383 The views expressed are those of the authors.

384 REFERENCES

- 385 Agarwal, B. 1984. Tractors, tubewells and cropping intensity in the Indian Punjab. *The Journal of*
386 *Development Studies* **20**:290-302.
- 387 Astill, G. G., and J. Langdon. 1997. Medieval farming and technology: The impact of agricultural
388 change in Northwest Europe. Brill.
- 389 Bronick, C. J., and R. Lal. 2005. Soil structure and management: a review. *Geoderma* **124**:3-22.
- 390 Carswell, G., and G. De Neve. 2014. MGNREGA in Tamil Nadu: A Story of Success and
391 Transformation? *Journal of Agrarian Change* **14**:564-585.
- 392 Cerutti, A. K., A. Calvo, and S. Bruun. 2014. Comparison of the environmental performance of light
393 mechanization and animal traction using a modular LCA approach. *Journal of Cleaner*
394 *Production* **64**:396-403.
- 395 Ci, E., and L. Yang. 2013. Paddy soils continuously cultivated for hundreds to thousands of years still
396 sequester carbon. *Acta Agriculturae Scandinavica, Section B — Soil & Plant Science* **63**:694-
397 703.
- 398 CIDS. 2014. State of Indian Farmers: A Report Centre for the Study of Developing Societies
- 399 Corbridge, S., J. Harriss, and C. Jeffrey. 2014. 'Lopsided', 'Failed', or 'Tortuous': India's Problematic
400 Transition and its Implications for Labour. *in* D. Davin and B. Harriss-White, editors. *China-*
401 *India: Paths of Economic and Social Development*. Published for The British Academy by
402 Oxford University Press, Oxford.
- 403 CSE. 2009. Green Rating Project, Fertilizers,. [http://www.cseindia.org/userfiles/79-](http://www.cseindia.org/userfiles/79-90%20Fertilizer%281%29.pdf)
404 [90%20Fertilizer%281%29.pdf](http://www.cseindia.org/userfiles/79-90%20Fertilizer%281%29.pdf), New Delhi.
- 405 CSE. 2012. Into the Furnace. Green rating project of Indian Iron and Steel Sector. Page 256 *in* CSE,
406 editor. CSE, Delhi, India.
- 407 Dawe, D. 2000. The contribution of rice research to poverty alleviation. Pages 3-12 *in* P. L. M. J.E.
408 Sheehy and B. Hardy, editors. *Studies in Plant Science*. Elsevier.
- 409 Erisman, J. W., M. A. Sutton, J. Galloway, Z. Klimont, and W. Winiwarter. 2008. How a century of
410 ammonia synthesis changed the world. *Nature Geosci* **1**:636-639.
- 411 EU. 2009. On the promotion of the use of energy from renewable sources and amending and
412 subsequently repealing Directives 2001/77/EC and 2003/30/EC. *in* Official Journal of the
413 European Union, editor., [http://eur-](http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=Oj:L:2009:140:0016:0062:en:PDF)
414 [lex.europa.eu/LexUriServ/LexUriServ.do?uri=Oj:L:2009:140:0016:0062:en:PDF](http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=Oj:L:2009:140:0016:0062:en:PDF).
- 415 European Commission. 2010. International Reference Life Cycle Data System (ILCD) Handbook,
416 General Guide for Life Cycle Assessment,. Joint Research Centre Institute for Environment
417 and Sustainability,.

418 Evenson, R. E., C. Pray, and M. W. Rosegrant. 1998. Agricultural research and productivity growth in
419 India. Intl Food Policy Res Inst.

420 FAO. 2005. Fertilizer use by crop in India. Food and Agriculture Organization of the United Nations,
421 Rome, Italy.

422 Forster, P., V. Ramaswamy, P. Artaxo, T. Berntsen, R. Betts, D. W. Fahey, J. Haywood, J. Lean, D. C.
423 Lowe, G. Myhre, J. Nganga, R. Prinn, G. Raga, M. Schulz, and R. Van Dorland. 2007. Changes
424 in atmospheric constituents and in radiative forcing. Pages 131-234 *in* S. Solomon, D. Qin, M.
425 Manning, Z. Chen, M. Marquis, K. B. Averyt, M. Tignor, and H. L. Miller, editors. Climate
426 Change 2007: The Physical Science Basis. Contribution of Working Group I to the Fourth
427 Assessment Report of the Intergovernmental Panel on Climate Change. Cambridge
428 University Press, Cambridge, UK and New York, NY, USA

429 Fuller, R. J., and L. Aye. 2012. Human and animal power – The forgotten renewables. *Renewable*
430 *Energy* **48**:326-332.

431 Gabriel, D., S. M. Sait, W. E. Kunin, and T. G. Benton. 2013. Food production vs. biodiversity:
432 comparing organic and conventional agriculture. *Journal of Applied Ecology* **50**:355-364.

433 Gathorne-Hardy, A., D. N. Reddy, M. Venkatanarayana, and B. Harriss-White. 2016. System of Rice
434 Intensification provides environmental and economic gains but at the expense of social
435 sustainability—A multidisciplinary analysis in India. *Agricultural Systems* **143**:159-168.

436 Ghosh, S., B. Wilson, S. Ghoshal, N. Senapati, and B. Mandal. 2012. Organic amendments influence
437 soil quality and carbon sequestration in the Indo-Gangetic plains of India. *Agriculture,*
438 *Ecosystems & Environment* **156**:134-141.

439 Gol. 2012. 19th Livestock Census, .*in* Department of Animal Husbandry Dairying & Fisheries Ministry
440 of Agriculture, editor. Government of India.

441 Gol. 2013. India Rural Development Report 2012 | 13.*in* Ministry of Rural Development, editor.
442 Government of India, [http://rural.nic.in/sites/downloads/annual-](http://rural.nic.in/sites/downloads/annual-report/MoRDEnglish_AR2012_13.pdf)
443 [report/MoRDEnglish_AR2012_13.pdf](http://rural.nic.in/sites/downloads/annual-report/MoRDEnglish_AR2012_13.pdf).

444 GOI. 2014. All India Report on Number and Area of Operational Holdings. Agriculture Census
445 Division, Department of Agriculture & Co-Operation

446 Gupta, P. K., A. K. Jha, S. Koul, P. Sharma, V. Pradhan, V. Gupta, C. Sharma, and N. Singh. 2007.
447 Methane and nitrous oxide emission from bovine manure management practices in India.
448 *Environmental Pollution* **146**:219-224.

449 Harriss-White, B., and N. Gooptu. 2000. Mapping India’s World of Unorganised Labour. Pages 89-118
450 *in* L. Panitch and C. Leys, editors. *Working classes: global realities*. Merlin Press,, London.

451 Harriss-White, B., S. Janakarajan, and D. Colatei. 2004. Heavy Agriculture and Light Industry in South
452 Indian Villages. Pages 3-47 *in* B. Harriss-White and S. Janakarajan, editors. *Rural India Facing*
453 *the 21st Century: Essays on Long Term Change and Recent Development Policy*. Anthem
454 Press, London.

455 Hou, A. X., G. X. Chen, Z. P. Wang, O. Van Cleemput, and W. H. Patrick. 2000. Methane and Nitrous
456 Oxide Emissions from a Rice Field in Relation to Soil Redox and Microbiological Processes.
457 *Soil Sci. Soc. Am. J.* **64**:2180-2186.

458 Hull, K. 2009. Understanding the Relationship between Economic Growth, Employment and Poverty
459 Reduction.*in* DAC Network on Poverty Reduction (POVNET), editor. *Promoting Pro-Poor*
460 *Growth: Employment OECD*, , <http://www.oecd.org/dac/povertyreduction/43514554.pdf>.

461 IPCC. 1996. IPCC Good Practice Guidance and Uncertainties Management in National Greenhouse
462 Gas Inventories.

463 IPCC. 2006. National Guidelines for Greenhouse Gas Inventories.

464 IPCC. 2007. Climate Change 2007: The physical science basis. Contribution of workgroup I to the
465 fourth assessment report of the Intergovernmental Panel on Climate Change. Cambridge
466 University Press, Cambridge, United Kingdom and New York, NY, USA.

467 ISO. 2006. Environment Management – Life Cycle Assessment – Principles and Framework. EN ISO
468 14040 2006. International Organization for Standardization (ISO), Geneva.

469 John Deere. 2012. Tractor Specifications.
470 http://www.deere.com/en_IN/home_page/ag_home/products/5104_45HP/5104_45HP.htm
471 [l.](#)

472 Kindred, D., N. Mortimer, R. Sylvester-Bradley, G. Brown, and J. Woods. 2008. Understanding and
473 managing uncertainties to improve biofuel GHG emissions calculations. HGCA London.

474 Ladha, J. K., H. Pathak, T. J. Krupnik, J. Six, and C. van Kessel. 2005. Efficiency of Fertilizer Nitrogen in
475 Cereal Production: Retrospects and Prospects. Pages 85-156 *in* L. S. Donald, editor. *Advances*
476 *in Agronomy*. Academic Press.

477 Mahindra. 2012. Tractor Specifications. [http://www.mahindractorworld.com/Bangladesh-](http://www.mahindractorworld.com/Bangladesh-en/Products-Tractors-MKM-NBP-SERIES-30-50HP/575-DI-MKM-NBP-45HP)
478 [en/Products-Tractors-MKM-NBP-SERIES-30-50HP/575-DI-MKM-NBP-45HP](#).

479 Mandal, S., K. K. Datta, D. K. Hore, and S. Mohanty. 2008. Biodiversity and organic agriculture -
480 Opportunities and challenges for the north-east region of India and a model for the
481 principles involved. *Outlook on Agriculture* **37**:87-94.

482 Misra, A. K., and A. S. Pandey. 2000. Seasonality of bullock power use in rainfed areas. *Draught*
483 *Anim. News* **32** 11-13.

484 Montgomery, D. R. 2012. *Dirt: the erosion of civilizations*. Univ of California Press.

485 Mueller, L., U. Schindler, W. Mirschel, T. GrahamShepherd, B. C. Ball, K. Helming, J. Rogasik, F.
486 Eulenstein, and H. Wiggering. 2010. Assessing the productivity function of soils. A review.
487 *Agronomy for Sustainable Development* **30**:601-614.

488 Musa, H. L., and S. T. Bello. Research and development of draught animal power utilisation in West
489 Africa. *in* FAO, editor. <http://www.fao.org/wairdocs/ilri/x5483b/x5483b1s.htm>,
490 <http://www.fao.org/wairdocs/ilri/x5483b/x5483b1s.htm>.

491 Pan, G., L. Li, L. Wu, and X. Zhang. 2004. Storage and sequestration potential of topsoil organic
492 carbon in China's paddy soils. *Global Change Biology* **10**:79-92.

493 Pan, G., P. Smith, and P. Pan. 2009. The role of soil organic matter in maintaining the productivity
494 and yield stability of cereals in China. *Agriculture, Ecosystems and Environment* **129**:344-
495 348.

496 Pearson, A. 1991. Animal power: matching beast and burden. *Appropriate Technology* **18**:11-14.

497 Piesse, J., and C. Thirtle. 2010. Agricultural R&D, technology and productivity. *Philosophical*
498 *Transactions of the Royal Society of London B: Biological Sciences* **365**:3035-3047.

499 Qin, Y., S. Liu, Y. Guo, Q. Liu, and J. Zou. 2010. Methane and nitrous oxide emissions from organic
500 and conventional rice cropping systems in Southeast China. *Biology and Fertility of Soils*
501 **46**:825-834.

502 Rahmann, G. 2011. Biodiversity and Organic farming: What do we know? *vTI Agriculture and*
503 *Forstery Research* **3**:189-208.

504 Rotz, C. A. 2004. Management to reduce nitrogen losses in animal production. *Journal of Animal*
505 *Science* **82**:E119-E137.

506 Sarkar, A. 2013. Tractor Production and Sales in India, 1989–2009. *Review of Agrarian Studies* **3**.

507 Sarma, J. S. 1981. *Growth and Equity: Policies and Implementation in Indian Agriculture*.
508 International Food Policy Research Institute,, Washington, D.C.

509 Schramski, J. R., K. L. Jacobsen, T. W. Smith, M. A. Williams, and T. M. Thompson. 2013. Energy as a
510 potential systems-level indicator of sustainability in organic agriculture: Case study model of
511 a diversified, organic vegetable production system. *Ecological Modelling* **267**:102-114.

512 Singh, H., D. Mishra, and N. M. Nahar. 2002. Energy use pattern in production agriculture of a typical
513 village in arid zone, India—part I. *Energy Conversion and Management* **43**:2275-2286.

514 Singh, R. S. 2013. Custom Hiring and Scope of Entrepreneurship Development in Farm Machinery.
515 *AMA* **44**:26-32.

516 Spugnoli, P., and R. Dainelli. 2013. Environmental comparison of draught animal and tractor power.
517 *Sustainability Science* **8**:61-72.

518 Starkey, P. 2010. *Livestock for traction: world trends, key issues and policy implications*., FAO

519 Stoop, W. A., A. Adam, and A. Kassam. 2009. Comparing rice production systems: A challenge for
520 agronomic research and for the dissemination of knowledge-intensive farming practices.
521 *Agricultural Water Management* **96**:1491-1501.

522 Teleni, E., R. Campbell, and D. Hoffmann. 1993. Draught animal systems and management: an
523 Indonesian study. ACIAR, Canberra.

524 Tennakoon, N. A., and S. D. Bandara. 2003. Nutrient content of some locally available organic
525 materials and their potential as alternative sources of nutrients for coconut. *COCOS* **15**:23-
526 30.

527 Thomas, C. K. 2000. The role of draught cattle and buffaloes in sustainable agriculture in India.
528 *Draught Animal News*, **33** 4-11.

529 Wood, S., and A. Cowie. 2004. A Review of Greenhouse Gas Emission Factors for Fertiliser
530 Production. Cooperative Research Centre for Greenhouse Accounting, Research and
531 Development Division, State Forests of New South Wales, Beecroft, NSW, Australia.
532 [http://www.ieabioenergy-](http://www.ieabioenergy-task38.org/publications/GHG_Emission_Fertilizer%20Production_July2004.pdf)
533 [task38.org/publications/GHG_Emission_Fertilizer%20Production_July2004.pdf](http://www.ieabioenergy-task38.org/publications/GHG_Emission_Fertilizer%20Production_July2004.pdf).

534