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#### ABSTRACT

Atmospheric blocking is an important contributor to European temperature 16 variability. It can trigger cold and warm spells, which is of specific relevance 17 in spring because vegetation is particularly vulnerable to extreme tempera-18 tures in the growing season. The spring season is investigated as transition 19 period from predominant connections of blocking with cold spells in winter 20 to predominant connections of blocking with warm spells in summer. Ex-2 treme temperatures are termed cold or warm spells if temperature stays out-22 side the 10th to 90th percentile range for at least 6 consecutive days. Cold 23 and warm spells in Europe over 1979 to 2014 are analyzed in observations 24 from E-Obs data and the connection to blocking is examined in geopotential 25 height fields from ERA-Interim. A highly significant link between blocking 26 and cold and warm spells is found which changes during spring. Blocking 27 over the north-eastern Atlantic and Scandinavia is correlated with the occur-28 rence of cold spells in Europe, particularly early in spring, while blocking 29 over central Europe is associated with warmer conditions, particularly from 30 March onwards. The location of the block also impacts the spatial distribu-3 tion of temperature extremes. More than 80 % of cold spells in south-eastern 32 Europe occur during blocking whereas warm spells are correlated to blocking 33 mainly in northern Europe. Over the analysis period, substantial interannual 34 variability is found but also a decrease in cold spells and an increase in warm 35 spells. The long-term change to a warmer climate holds the potential for even 36 higher vulnerability to spring cold extremes. 37

#### **1. Introduction**

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European weather and climate is strongly influenced by large-scale circulation patterns such as the Atlantic storm tracks, the jet stream, and atmospheric blocking (e.g., Woollings 2010). Atmospheric blocking describes a meteorological situation in which a persistent and stationary high pressure system blocks the climatological westerly flow at mid-latitudes for several days to weeks (Rex 1950; Tibaldi and Molteni 1990; Pelly and Hoskins 2003; Barriopedro et al. 2006; Croci-Maspoli et al. 2007).

Extremes on both ends of the temperature distribution are especially closely connected to atmo-45 spheric blocking. Increased cold spell frequency is found during blocked conditions in European 46 winter (Buehler et al. 2011) and up to 80% of summer hot temperature extremes in northern Eu-47 rope are associated with a co-located blocking (Pfahl and Wernli 2012). Atmospheric blocking 48 has also been identified as main contributor to specific extreme events such as the cold European 49 winter in 2010 (Cattiaux et al. 2010) or the Russian heatwave in summer 2010 (Matsueda 2011). 50 Surface temperatures can be impacted by atmospheric blocking via radiative forcing or advec-51 tion. Radiative effects are mainly constrained to the center of the block where clear-sky conditions 52 favor positive temperature anomalies. The anticyclonic circulation of the block affects tempera-53 tures especially on the eastern and southern flanks by advection of cold air from the north and east 54 (e.g., Trigo et al. 2004; Bieli et al. 2015). A range of studies has either focused on the predominant 55 cooling effect of blocking in winter (Trigo et al. 2004; Barriopedro et al. 2008; Cattiaux et al. 56

summer (Xoplaki et al. 2003; Cassou et al. 2005; Pfahl and Wernli 2012; Stefanon et al. 2012).

2010; Buehler et al. 2011; Sillmann et al. 2011; Whan et al. 2016) or on the warming effect in

<sup>59</sup> Recently, Cassou and Cattiaux (2016) showed that the transition between blocking being linked

to anomalously cold conditions in winter to blocking being linked to warm conditions in summer has shifted by a few days due to climate warming.

Here we investigate the link between atmospheric blocking and European cold and warm spells 62 during spring to provide better insight into the shifting role of blocking for extremes during this 63 transition period. Spring temperature extremes are of special relevance because vegetation during 64 this season is particularly vulnerable to abnormal temperatures. Late spring frost can severely 65 harm or even destroy fresh leaves, subsequently requiring considerable additional resource use 66 by plants. Correspondingly, warm spells in early spring can lead to premature greening onset 67 (Hufkens et al. 2012; Menzel et al. 2015, and references therein). Ma et al. (2016) showed the 68 potential of earlier spring green-up to also impact European warm spells via feedback processes. 69 In this study we analyze the connection of blocking and extreme temperature occurrences, their 70 spatial distribution and change over the last decades. We focus on spring on a month-by-month 71 basis, but also show results for the seasonal mean of other seasons. We describe data and methods 72 in section 2. Results are presented in section 3 and a summary is given in section 4. 73

#### 74 **2. Data and Methods**

The detection of temperature extremes is based on E-Obs version 12.0 (Haylock et al. 2008), 75 an observational, land-only data set for Europe. It comprises measurements from a network of 76 more than 2000 irregularly distributed meteorological stations interpolated to a regular grid (Klok 77 and Klein Tank 2009). In this study we investigate daily minimum temperature  $(T_{min})$  and daily 78 maximum temperature ( $T_{max}$ ) on a  $0.25^{\circ} \times 0.25^{\circ}$  longitude-latitude grid between 1979 and 2014. 79 We detect cold and warm spells in mainland Europe and the British Isles (12.5°W to 30°E and 80  $35^{\circ}$ N to  $72.5^{\circ}$ N). First, the daily linear trend from 1979 to 2014 is subtracted from each grid point 81 in the E-Obs temperatures to remove the long-term temperature trend. Daily 10th/90th percentiles 82

of  $T_{min}/T_{max}$  are computed over the 36 year period using a 21 day sliding window. A grid point with  $T_{min}$  below the 10th percentile or  $T_{max}$  above the 90th percentile for at least 6 consecutive days is identified as cold or warm extreme, respectively. This study focuses on large scale events on a daily basis. Therefore we define a cold spell day (CSD) or warm spell day (WSD) if at least 400 grid points (i.e.,  $5^{\circ} \times 5^{\circ}$ ) simultaneously are found to be exposed to a cold or warm extreme criterion on a given day. Resulting cold/warm spells are found to be spatially highly coherent, so no separate adjacence-criterion was applied.

The detection of blocking is based on daily geopotential height (GPH) fields from the European 90 Centre for Medium-Range Weather Forecasts (ECMWF) re-analysis Interim (ERA-Interim) (Dee 91 et al. 2011) at a  $2.5^{\circ} \times 2.5^{\circ}$  longitude-latitude grid, which is available from 1979 onward. We 92 apply a standard algorithm utilizing the reversal of mid-latitude 500 hPa GPH gradients (Tibaldi 93 and Molteni 1990; Scherrer et al. 2006; Davini et al. 2012, 2014), detailed in Brunner et al. (2016). 94 The blocking detection algorithm identifies high pressure systems associated with an overturning 95 of the flow and selects extended and persistent events of at least 5 days duration. Therefore this 96 classical approach covers stationary and isolated high pressure systems northward of  $45^{\circ}$ N. We 97 compute blocking frequencies on a grid point basis for climatological conditions as well as for 98 CSDs and WSDs. We subsequently define a blocked day if blocking is found anywhere in the 99 Euro-Atlantic blocking region ( $30^{\circ}$ W to  $45^{\circ}$ E and  $45^{\circ}$ N to  $72.5^{\circ}$ N) (Barriopedro et al. 2010; IPCC 100 2013) on a certain day. We then also investigate the relative frequency of CSDs and WSDs on a 101 grid point basis during blocked and unblocked days. This approach allows to simultaneously 102 investigate the local and remote effects of blocking on CSDs and WSDs. 103

In addition, we analyse selected subdomains and investigate the importance of the location of cold/warm spells and blocking for their connection. For selection for CSDs/WSDs in subdomains we adjust the spatial criterion to consider CSDs/WSDs with more than half of their grid points in the selected subdomain. For selection of blocking in subdomains we consider blocks with at least
 one blocked grid point in the selected subdomain.

In order to test any co-occurrence of CSDs/WSDs and blocked days for significance we perform 109 a Monte-Carlo test. Given N CSDs/WSDs in a period (i.e., month or season), we draw 1000 ran-110 dom samples of N days from the same period. To ensure that each random sample yields the same 111 auto-correlation at all lags the samples are drawn as clusters of days similar as represented in the 112 original data set. We then calculate for each random sample of N days the blocking frequency on 113 a grid point basis as well as the occurrence of blocked days in the blocking region. The correlation 114 between blocking and CSDs/WSDs is considered statistically significant if the blocking frequency 115 during CSDs/WSDs on a grid point or if the number of blocked CSDs/WSDs is smaller than the 116 5th or larger than the 95th percentile of the joined probability density function (PDF) established 117 over all 1000 random samples, respectively. The same considerations are made for the statistical 118 significance of CSDs/WSDs given the number of blocked days in each period. 119

#### 120 3. Results

The time evolution of blocked and extreme days over time is presented in Fig. 1. Over the 121 spring season (MAM), a decrease in the number of CSDs (both, generally and if restricted to 122 blocked days) is found towards late spring (Fig. 1a, right). Over 1979 to 2014, the seasonal mean 123 time series (Fig. 1c, top) show periods with less or more CSDs, pointing at significant interannual 124 variability. A considerable number of CSDs exhibits blocking several days before their onset, 125 indicating that a certain amount of time is necessary to lower the temperature sufficiently for a 126 cold spell to develop (Fig. 1a, main panel), consistent with findings of Buehler et al. (2011). If 127 the trend in the underlying temperature time series is not removed (Fig. 1c, bottom) we find more 128 CSDs at the beginning of the period and a lack of CSDs at the end of the period, indicating that 129

extended cold periods are restrained to winter in a warming climate. However, some lack of cold
spells also occurs after de-trending (Fig. 1c, top), pointing at the role of internal variability.

Over the spring season, the number of WSDs and with it the number of blocked WSDs increases 132 towards summer (Fig. 1b, right). Over the analysis period, the seasonal mean time series also show 133 considerable interannual variability for WSDs (Fig. 1d, top). If the trend is not removed from the 134 underlying temperature time series (Fig. 1d, bottom) an increase of the number of WSDs (both, 135 generally and if restricted to blocked conditions) in the investigated period from 1979 to 2014 is 136 evident, consistent with the detection of changes in the number of temperature extremes in Europe 137 (Zwiers et al. 2011; IPCC 2013; Morak et al. 2013). Note that all subsequent discussions refer 138 exclusively to the de-trended data. 139

A complete summary of statistics for CSDs/WSDs in spring and all individual months of the 140 extended spring season (February to June) is shown in Table 1. We also included results for the 141 summer (JJA), fall (SON), and winter (DJF) seasons for comparison. Our results generally indi-142 cate that blocking plays a strong role in spring/summer warm spells and in fall/winter cold spells, 143 consistent with the literature (e.g., Cassou and Cattiaux 2016). In total about 46% of CSDs in 144 spring are blocked days and about 10% of blocked spring days coincide with a CSD. A statis-145 tically significant link is found in the extended spring season in February (correlation) and June 146 (anti-correlation) as well as in winter (correlation) and in summer (anti-correlation; cf. Table 1). 147 Regarding WSDs in spring, a statistically significant fraction of 54 % is blocked and about 21 % 148 blocked spring days coincide with a WSD. Also most individual months of the extended spring 149 show a significant correlation with blocking (as do summer months), except February on the transi-150 tion from winter to spring exhibits a significant anti-correlation (as do winter months; cf. Table 1). 151 Analyzing blocking on a grid point basis, the climatological blocking frequency in the Euro-152 Atlantic region is generally between 2% and 6% of spring days. The blocking frequency coin-153

ciding with CSDs in spring is depicted in Fig. 2a. Three distinct regions are revealed: west of the British Isles (i) and over northern Scandinavia (ii) the blocking frequency is up to three times higher for CSDs than for climatological conditions and differs statistically significantly from the random sample. This is consistent with cold advection during such blocks into central and western Europe. Over central and eastern Europe (iii) there is significantly less blocking during CSDs (<2 %) than in the climatology since blocking occurring there tends to lead to warmer, fair weather conditions.

A closer investigation of the extended spring season based on monthly frequencies reveals how 161 the role of blocking associated with CSDs changes through spring (Fig. 2b-f). February and March 162 show significantly increased blocking frequency northward of 60°N (exceeding 16% and 12%, 163 respectively), indicating a strong link of blocking in this region to cold conditions in Europe in 164 late winter/early spring. Between March and April a distinct change is obvious where maximum 165 blocking frequencies shift from northern Europe to the west of the British Isles. This change may 166 be founded in the temperature seasonality over the European continent: in winter the continent is 167 still relatively cold, such that easterly flow is sufficient to lead to CSDs, while northerly advection 168 with blocking to the west is necessary as the continent warms up in later spring. The CSD blocking 169 frequency in central and eastern Europe is lowered during all spring months highlighting the anti-170 correlation between cold conditions and blocking in this region. In June where only about 3% of 171 total days are associated with a cold spell (cf. Table 1) no significant relationship with blocking is 172 found. 173

The blocking frequency coinciding with WSDs in spring is found to be up to three times higher than during climatological conditions (Fig. 3a) and statistically significantly different from the random sample in most of Europe. Blocks linked to warm spells are distributed across Europe, while there are less than average blocking days associated with WSDs west of the British Isles. The anti-cyclonic motion of blocking highs in the latter area would favor cold advection into Europe, consistent with the results for CSDs (Fig. 2).

Resolving individual months (Fig. 3b-f) reveals that in February the link between blocking 180 and WSDs is mostly negative. Over the entire winter season, a significant and widespread anti-181 correlation is found between warm spells and blocking in the west and north of the Euro-Atlantic 182 blocking region (not shown). However, over central Europe increased blocking frequencies on 183 WSDs can be found in February and in winter, indicating that fair-weather conditions connected 184 with blocking highs can lead to winter warm spells here. From March onward the WSD blocking 185 frequency shows a strong increase and is significantly higher than the climatological mean. The 186 maximum of the frequency shifts slightly to the north towards summer. 187

Having analyzed the distribution of blocking frequencies, we now reversely investigate the spa-188 tial distribution of grid points contributing to CSDs/WSDs (termed CSDs/WSDs per grid point) 189 in the European region. Fig. 4a, b show the number of CSDs and WSDs per grid point over 36 190 springs from 1979 to 2014, respectively. The fraction of CSDs and WSDs per grid point during 191 1363 blocked days in spring (Fig. 4c, d) reveals a distinct dipole pattern for both cases. While in 192 total about 46 % of CSDs are blocked in spring (cf. Table 1), in south-eastern Europe more than 193 80% of CSDs per grid point are blocked. In contrast, a strong anti-correlation is found over the 194 British Isles and in Scandinavia, where less than 30% of CSDs per grid point coincide with block-195 ing. For WSDs per grid point the opposite picture arises with locally more than 80% associated 196 with blocking northward of 50°N. In south-eastern Europe statistically significant anti-correlation 197 is found with less than 40% of WSDs per grid point connected to blocking. This is consistent 198 with the preferential location of blocks during WSDs which is largely limited to Northern Eu-199 rope (Fig. 3), particularly later in spring. Differences of  $T_{min}/T_{max}$  composites of blocked minus 200 unblocked CSDs/WSDs show a similar dipole pattern: both, CSDs and WSDs, with a blocking 201

<sup>202</sup> anywhere in the blocking region are warmer in Scandinavia and colder in mainland Europe than <sup>203</sup> without a blocking.

For a closer investigation of the dipole feature we divide Europe into two subdomains for 204 CSDs/WSDs: northern (> 50°N) and southern (< 50°N) Europe (cf. Fig. 4c, d). Selecting only 205 CSDs/WSDs in these subdomains we show the corresponding blocking frequency in Fig. 5. For 206 the 163 CSDs in northern Europe hardly any blocking is found in the entire Euro-Atlantic block-207 ing region (Fig. 5a) indicating that blocking tends to counteract CSDs here. CSDs (136 days) in 208 southern Europe (Fig. 5c) are clearly linked to the blocking regions west of the British Isles and 209 over Scandinavia indicated by distinct maximum blocking frequencies exceeding 18%. Consider-210 ing in reverse only blocking west of the British Isles (cf. Fig. 2a) we consistently find correlation 211 predominantly with CSDs in south-eastern Europe. Considering only blocking in northern Scan-212 dinavia (cf. Fig. 2a) leads to statistically significantly increased CSDs per grid point in most of 213 central and eastern Europe (not shown). 214

<sup>215</sup> WSDs in northern Europe (247 days) are found clearly connected to blocking over Scandinavia <sup>216</sup> with highest blocking frequencies exceeding 20 % (Fig. 5b). Consistently blocking over Scandi-<sup>217</sup> navia is correlated with increased frequency of WSDs in most of northern Europe in spring. In <sup>218</sup> contrast, WSDs in southern Europe are connected to reduced blocking frequencies northward of <sup>219</sup> 60°N (Fig. 5d). These results show the importance of the location of blocking and are consistent <sup>220</sup> with a strong role of cold advection at the edges of blocks for CSDs and increased solar radiation <sup>221</sup> leading to WSDs in blocked regions.

#### **4. Summary and discussion**

We analyzed the relationship between blocking occurrence and temperature extremes in European spring for the period 1979 to 2014. Our results show statistically significant correlations of <sup>225</sup> blocking frequency and the occurrence of cold spells and warm spells throughout the spring sea<sup>226</sup> son, with sensitivity to the location of the block. We found blocking in winter and early spring
<sup>227</sup> to be stronger connected to cold conditions while blocking in late spring and summer is stronger
<sup>228</sup> connected to warm conditions. Blocked days in February show a statistically significant correla<sup>229</sup> tion with cold spell days whereas blocking in April is statistically significantly correlated to warm
<sup>230</sup> spell days, suggesting that on average the blocking-temperature relationship changes sign during
<sup>231</sup> this time.

Over the spring season, the number of cold spell days decreases towards late spring whereas 232 the number of warm spell days increases. Over the analysis period, the seasonal mean time se-233 ries show considerable interannual variability for both, cold and warm spells. If the trend is not 234 removed from the underlying temperature time series, a lack of cold spell days and a clustering 235 of warm spell days in late spring in the last 15 years of the investigated period suggest that the 236 underlying long-term global warming trend also influences the frequency of cold spell days and 237 warm spell days. In contrast, there is no apparent trend in the number of blocked days, suggest-238 ing that the trend is due to large scale warming rather than a change in circulation. The shift in 239 probability of less cold extremes towards a higher probability of warm extremes, particularly in 240 late spring, is consistent with recent findings on the earlier onset of summer and disruption of the 241 European seasonal clock (Cassou and Cattiaux 2016). In such a warmer climate the occurrence of 242 a cold spell in spring becomes even more critical and detrimental to vegetation as just recently hap-243 pened in Europe. After exceptionally warm spring temperatures, central and south-eastern Europe 244 were affected by a cold spell in late April 2016 which caused large damages on crops, orchards 245 and vineyards especially in Austria, Slovenia, Slovakia, and Croatia (AGRI4CAST 2016). Our 246 findings lay the basis for further research into these changes, the atmospheric dynamics driving 247

the relationship of blocking and temperature extremes, and potential contributions to improved
 seasonal forecasting.

The location of the block is found also essential for its impact on European extreme temperatures. Blocking west of the British Isles and over northern Scandinavia is clearly connected with cold spells in southern Europe while blocking over central Europe and southern Scandinavia is associated with warm spells in northern Europe. This is consistent with the role of cold advection at the edges of blocks leading to cold spells outside blocked regions and with increased solar radiation leading to warm spells in blocked regions.

The spatial distribution of cold and warm spells during blocking reveals a distinct dipole pattern. 256 Cold spells in south-eastern Europe are found highly correlated with blocking, and more than 80 % 257 of cold spell days co-occur with a blocking. In contrast, cold spells in northern Scandinavia and 258 blocking are anti-correlated with regionally less than 30% co-occurrence. Warm spells show 259 the opposite relationship with locally more than 80% of warm spell days in northern Europe co-260 occurring with blocking, but anti-correlation in southern Europe. An increased occurrence of both, 261 warm and cold spells during blocked conditions is found around  $50^{\circ}N$  indicating that blocking 262 increases the probability for both high and low temperature extremes here. 263

The occurrence of atmospheric blocking in the European region is found to be crucial for the development of both, extended cold and warm spells, in spring. We provide insight into the changing role of blocking in spring as its connection to cold conditions decreases and the connection to warm conditions increases. Our findings furthermore underline the importance of the location of blocking for its correlation with either cold or warm spells, highlighting in particular the remote effects of blocking on European temperatures.

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377	Table 1.	Overview on statistics of cold spell days (CSDs) and warm spell days (WSDs).
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380		age of total days), (middle) number of CSDs (percentage of total days), (right)
381		number of WSDs (percentage of total days). Right columns: (left) number
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384		of blocked CSDs/WSDs above (below) the 95th (5th) percentile are marked
385		bold (italics)

Period	Days	Blocked days	CSDs	WSDs	Blocked CSDs	Blocked WSDs
MAM	3312	1363 (41.15 %)	299 (9.03%)	519 (15.67 %)	139 (10.20 % / 46.49 %)	280 (20.54 % / 53.95 %)
JJA	3312	961 (29.02%)	81 (2.45%)	565 (17.06%)	11 (1.14 % / 13.58 %)	301 (31.32 % / 53.27 %)
SON	3276	1025 (31.29 %)	308 (9.40%)	421 (12.85%)	116 (11.32 % / 37.66 %)	138 (13.46 % / 32.78 %)
DJF	3240	1176 (36.30 %)	554 (17.10%)	361 (11.14%)	297 (25.26 % / 53.61 %)	102 (8.67 % / 28.25 %)
Feb	1008	423 (41.96%)	157 (15.58%)	103 (10.22 %)	93 (21.99 % / 59.24 %)	24 (5.67 % / 23.30 %)
Mar	1116	395 (35.39%)	135 (12.10%)	105 (9.41 %)	61 (15.44 % / 45.19 %)	46 (11.65 % / 43.81 %)
Apr	1080	449 (41.57%)	80 (7.41%)	183 (16.94%)	27 (6.01 % / 33.75 %)	99 (22.05 % / 54.10 %)
May	1116	519 (46.51 %)	84 (7.53%)	231 (20.70%)	51 (9.83 % / 60.71 %)	135 (26.01 % / 58.44 %)
Jun	1080	393 (36.39%)	30 (2.78%)	181 (16.76%)	4 (1.02 % / 13.33 %)	111 (28.24 % / 61.33 %)

TABLE 1. Overview on statistics of cold spell days (CSDs) and warm spell days (WSDs). Left columns: Period name and number of total days per (top) season and (bottom) month. Middle columns: (left) number of blocked days (percentage of total days), (middle) number of CSDs (percentage of total days), (right) number of WSDs (percentage of total days). Right columns: (left) number of blocked CSDs (percentage of blocked days / CSDs) and (right) number of blocked WSDs (percentage of blocked days / WSDs). Entries with the number of blocked CSDs/WSDs above (below) the 95th (5th) percentile are marked bold (italics).

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FIG. 1. Time evolution of blocking, (a) cold spell days (CSDs), and (b) warm spell days (WSDs) in European spring based on de-trended data. The main panels show blocked days in gray, cold/warm spell days in blue/red, blocked cold/warm spell days in dark blue/red, and blocking within 5 days before a cold/warm spell day in turquoise/orange. The right panels show percentages for each day during spring based on 36 years from 1979 to 2014. The seasonal mean time series are shown for (c) CSDs and (d) WSDs where the trend was removed (top) and not removed (bottom) from the underlying temperature time series.



FIG. 2. Blocking frequency per grid point (shading) coinciding with cold spell days (CSDs) in the European region (gray box). Values that are statistically significantly larger than the number of blocks from random days (above 95th percentile) are marked with a plus sign and values that are statistically significantly lower (below 5th percentile) are marked with a times sign, respectively. (a) Spring (MAM) and (b-f) February to June frequencies. The climatological blocking frequency is indicated by black contour lines.



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FIG. 4. Number of (a) cold spell days (CSDs) and (b) warm spell days (WSDs) per grid point in the European region over 36 springs from 1979 to 2014. Fraction of (c) CSDs and (d) WSDs per grid point during blocked days. Grid points where the fraction is above (below) the mean value of randomly drawn days are shown in orange (blue) shading. Grid points where the fraction is statistically significantly higher (>95th percentile) or lower (<5th percentile) than the random sample are marked with a dot.



FIG. 5. Blocking frequency per grid point (shading) coinciding with (a,c) cold spell days (CSDs) and (b,d) warm spell days (WSDs) that occur over northern (top) and southern (bottom) Europe. The split into north/south is made at 50°N as indicated by the gray boxes. Values that are statistically significantly larger than the number of blocks from random days (above 95th percentile) are marked with a plus sign and values that are statistically significantly lower (below 5th percentile) are marked with a times sign, respectively. The climatological blocking frequency is indicated by black contour lines.