

1 **Using prokaryotes for Carbon Capture Storage**

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15 **Keywords:**

16 **Abstract**

17 Geological storage of CO₂ is a fast-developing technology that can mitigate rising carbon
18 emissions. However, there are environmental concerns with long-term storage and
19 implications of a leak from a carbon capture storage (CCS) site. Traditional monitoring lacks
20 clear protocols and relies heavily on physical methods. Here we discuss the potential of
21 biotechnology, focusing on microbes with a natural ability to utilize and assimilate CO₂
22 through different metabolic pathways. We propose the use of natural microbial communities
23 for CCS monitoring and CO₂ utilization, and, with examples, demonstrate how synthetic
24 biology may maximize CO₂ uptake within and above storage sites. An integrated physical
25 and biological approach, combined with metagenomics data and biotechnological advances,
26 will enhance CO₂ sequestration and prevent large-scale leakages.

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32 *Exploiting properties of natural occurring prokaryotes for enhanced CCS performance*

33 Recently, an *in-situ* carbon capture and storage (CCS: see Glossary) leak simulation
34 experiment showed that only a very small fraction (~15%) of injected CO₂ into the sub-
35 surface sediments was accounted for across the sediment-water interface [1]. During the gas
36 release, an increase in abundance of CO₂-fixing bacterial taxa accompanied with changes in
37 bacterial activity was seen in the surface sediments [2]. Diverse naturally occurring
38 prokaryotic taxa are able to utilize CO₂ through several CO₂ assimilation pathways (Figure
39 1), to convert CO₂ into value-added chemicals, or to induce calcium carbonate precipitation.
40 Prokaryotes have a wide range of possible applications in CCS projects, from revealing CO₂
41 leakages across overlying sediments, to enhanced sequestration by biomineralization of CO₂
42 and converting the reservoirs to bioreactors for value-added chemicals. Here, we discuss the
43 possibilities of exploiting natural and modified prokaryotic **assemblages** (see Glossary) in
44 CCS projects within a multidisciplinary framework.

45

46 *Carbon Capture Storage and Carbon Capture Utilization*

47 CCS is a rapidly developing technology mitigating the impact of anthropogenic CO₂
48 production by capturing CO₂ from large point source emitters and storing it in sub-surface
49 reservoirs, where it should remain for sequestration (see Box 1). A recent CCS pilot study
50 demonstrated rapid mineralization (<2 years) of injected CO₂ into basaltic rocks [3].
51 However, the potential for sequestration of CO₂ in form of carbonization is limited in
52 conventional CO₂ storage reservoirs such as deep saline aquifers and depleted oil and gas
53 reservoirs[3]. Monitoring of CCS has largely focused on identifying potential causes and
54 implications of a leak (see Box 2). While existing monitoring programs rely heavily on
55 modeling predictions [4] there is a lack of clear regulatory procedures in place to ensure
56 effective monitoring, particularly over a long term basis [5]. Offshore facilities (such as gas
57 and oil reservoirs under the seabed) are particularly challenging, due to the difficulties of
58 access and detection presented by the marine environment (as the reservoir itself is beneath
59 the depth of the ocean water and below the seafloor). Whilst advances have been made on the
60 compliance and monitoring aspects of CCS technology, there is a clear opportunity to not
61 only enhance existing management policies and prevent leaks, but also to monitor changes
62 within and overlying the storage area where the CO₂ is contained. Much of the activity
63 occurring within the storage site and overlying sediments is driven by the activity of
64 microorganisms. The microorganisms' ubiquitous presence in all environments on Earth,
65 combined with their ability to respond rapidly to environmental changes and their various

66 pathways for assimilating CO₂, makes them ideal candidates for biological CCS monitoring.
67 Targeting prokaryotic taxa or functional genes associated with CO₂ assimilation should be a
68 feasible way of monitoring leakages from CCS. Alongside the development of CCS
69 technology, a complementary field of research has focused on novel carbon capture and
70 utilization (CCU) techniques [6]. CCU technology typically uses a chemical reaction to
71 convert the carbon dioxide into fuels or chemicals for industrial use (e.g. production of urea
72 or salicylic acid, [6]). Despite this synthetic use of CO₂ through chemical reactions, natural
73 biological systems (e.g. microorganisms, photosynthetic organisms) are much more efficient
74 at utilizing large amounts of CO₂ [6]. As such, biological CCU research has started to explore
75 the potential of biotechnology and synthetic biology to enhance these biological processes,
76 and prokaryotic microorganisms are the key to this approach.

77 *Prokaryotes and marine sediments*

78 Marine sediments play a vital role in global biogeochemical cycles, particularly in the carbon
79 cycle [7,8]. The biogeochemical processes in these sediments are driven by physical
80 parameters and the presence and metabolic activity of organisms that dwell in and on the
81 sediment surface. The oceans are huge sinks for carbon, and as the carbon reaches the seabed,
82 a large proportion is sequestered in the sediment, particularly in the deep-sea sediments
83 where light does not penetrate to the **benthos** (see Glossary). The role of **macrofauna** (see
84 Glossary) in benthic biogeochemical processes (e.g., nutrient flux, oxygen cycling, redox
85 reactions) is extensively documented, and the presence and activity of macrofauna enhances
86 this **benthic-pelagic coupling** (see Glossary) [9]. Many of the processes stimulated by
87 macrofaunal activity are mediated by microbial activity [10,11]. Changes in environmental
88 variables such as light, temperature, pH, flow and concentration and availability of organic
89 matter can modify the contribution of species to ecosystem processes [12-15]. Long-term
90 CCS leakages are likely to have several implications for benthic systems (see Box 3 for case
91 study).

92 Offshore CCS sites are typically situated under extensive layers of sediment and overlying
93 rock formations. Deeper subsurface sediment layers harbor a wide range of
94 **chemolithoautotrophic** (see Glossary) prokaryotes that assimilate energy from inorganic
95 substrates deposited with the sediments or that diffuse into the sediments from below or from
96 above [16]. Due to sediment porosity, oxygen rarely penetrates more than a few mm (or cm)
97 into marine sediments with moderate to high concentrations of organic matter [16]. As a
98 result, the residing prokaryotic communities here rely on metabolic strategies based on

99 chemical redox-reactions. Prokaryotic communities are able to quickly respond to changes in
100 biotic and abiotic environmental conditions [17], ranging from complete shifts in the species
101 that make up the community through natural selection to changes in **metabolic pathways**
102 (see Glossary) through gene regulation, selection of advantageous genes (and gene variants)
103 present within a population, horizontal gene transfer between closely related (see [18]) or
104 very distantly related microbes (see [19]) or even between kingdoms (such as bacteria and
105 unicellular eukaryotes: see [20]). Whether this effect is due to natural selection at the species
106 level, gene regulation, selection for genes or gene variants or horizontal gene transfer, the
107 final result is a shift in community structure or a shift in metabolic capacity and networks
108 within the community (see [21,22]).

109 *CO₂ assimilating prokaryotes*

110 Prokaryotic communities from marine sediments are linked to sediment type or geographic
111 province, likely reflecting site-specific geochemical and physical conditions[23]. Natural
112 assemblages of prokaryotic communities respond to elevated CO₂ levels by altered
113 community structure, changes in their functional repertoire and shifted biomass
114 measurements [2,17,24-27]. A phylogenetically diverse group of prokaryotes assimilate CO₂
115 into organic carbon, and to date, six metabolic pathways for CO₂ assimilation have been
116 identified (Figure 1). Prokaryotes capable of assimilating CO₂ are found in a large spectrum
117 of ecologically niches, ranging from environments with low to moderate temperatures to
118 environments with high temperatures; they are found in niches that spans from photic to non-
119 photic zones (Figure 1) and niches that extends to extreme environments at the
120 thermodynamic limit (For more details see [28,29]). The **enzymes** (see Glossary) of the
121 different pathways vary in their degree of oxygen sensitivity, and the pathways can therefore
122 roughly be categorized as aerobic and anaerobic [28]. Assimilation of CO₂ into organic
123 carbon requires four reducing equivalents and an input of energy [30]. Whereas anaerobic
124 prokaryotes often use low-potential electron donors like reduced ferredoxin for CO₂ fixation,
125 aerobes often depend on NAD(P)H as a reductant [28].

126 In surface sediments and in terrestrial environments, the oxygen-tolerant (Figure 1) reductive
127 pentose phosphate cycle (the Calvin-Benson-Bassham cycle (CBB)), the hydroxypropionate
128 bicycle, and the 3-hydroxypropionate-4-hydroxybutyrate cycle are important. The key CO₂
129 fixing enzyme of CBB, ribulose-1,5-bisphosphate carboxylase (Rubisco), is the quantitatively
130 most important mechanism of fixing CO₂ in nature, and is utilized by eukaryotes (such as
131 plants and algae) as well as microorganisms. In anaerobic marine sediments overlying

132 potential CCS sites, the oxygen-sensitive CO₂ fixation pathways (reductive tricarboxylic acid
133 (rTCA) cycle (also known as the Arnon-Buchanan cycle), the reductive acetyl-CoA pathway
134 (Wood-Ljungdahl pathway) and the dicarboxylate-4-hydroxybutyrate cycle) are of particular
135 interest (see Figure 1). The key enzymes for the different pathways are listed in Figure 1.
136 These pathways are only found in prokaryotes, and specific microbes displaying these
137 pathways have been suggested as candidate species for CCS monitoring ([31], Figure 1).
138 Furthermore, specific microbes that have these pathways are capable of converting CO₂ into
139 compounds that can further be utilized, such as methane ([32], Figure 1) and formic acid
140 ([33], Figure 1). Prokaryotic strains have also been shown to trap CO₂ within calcium
141 carbonate (CaCO₃) structures [34].

142

143 *Metagenomics in CCS monitoring*

144 In order to investigate microbial communities' response to environmental changes and
145 disasters (oil or CCS leak), it has been clearly demonstrated that **high-throughput**
146 **sequencing (HTS: see Glossary)** based methods – either by **amplicon** (see Glossary) or
147 **metagenomic sequencing** (see Glossary) – are superior to traditional methods and can have
148 many applications in environmental monitoring (see [31,35-37]). HTS methods range from
149 very focused ones, unveiling the taxonomic and genetic variation in the communities via
150 detection of specific genetic regions (amplicons, such as the 16S rRNA region), to more
151 holistic approaches (metagenomic sequencing), engulfing all of the genomic information
152 available in a given environmental sample. Establishing a CCS monitoring approach would
153 require information from both amplicons and metagenomes, where genes encoding pathways
154 for CO₂ assimilation revealed by metagenomes can be linked to CCS monitoring candidate
155 species revealed by amplicons. By linking HTS-based data to gathered meta-data through
156 specific hypothesis testing, the distribution of community members and their metabolic
157 potential can be related to environmental conditions and allows for detection of small scale
158 changes in microbial response, such as a CO₂ leak [17]. Approaches that extend beyond
159 descriptive single site/single time point “who is there and what are they doing” studies
160 enhance our understanding of which taxa are being selected under certain conditions [26].
161 The main advantage of HTS methods is the high-resolution data they provide on microbial
162 assemblages and their subsequent response to environmental change, including the
163 differential activation of metabolic pathways. The use of HTS has already been effective in
164 evaluating the response of in situ bacterial populations to increased CO₂, and matching
165 community shifts to metabolic potential [27].

167 A **metagenomics** (see Glossary) approach, paired with the appropriate automated
168 bioinformatics tools, filtering out bad sequences, sequence assembly (metagenomes),
169 clustering of similar sequences (amplicons), annotation and correlation to metadata, can be
170 applied to an integrated CCS monitoring system, allowing collection and subsequent
171 metagenomics analysis of environmental samples. The essential bioinformatics support for
172 such an endeavor requires an automated solution that can tackle all analytical aspects of the
173 complex and difficult to handle metagenomic datasets. This solution may take the form of
174 **bioinformatics pipelines** (see Glossary) [38], comprising numerous tools that can detect and
175 annotate any genetic markers of interest, making it possible to identify whether certain
176 bacterial assemblages, such as those that favor elevated CO₂ conditions, are present. Such
177 automated bioinformatics pipelines can provide a very intuitive and user-friendly
178 environment for analytical tools for novice users, in contrast to current methods requiring
179 informatics training. Furthermore, this modular-based tool availability provides a flexible
180 environment that can be modified (addition of appropriate tools, customization of databases
181 for marker detection and taxa identification, and so on) for use within a CCS monitoring
182 program. Therefore, a sample from a CCS site can be analyzed using these HTS methods to
183 indicate the presence of a CO₂ leak. Monitoring subsurface benthic microbial changes can
184 directly measure prokaryotes that are able to assimilate and utilize CO₂ as a carbon source,
185 and an increase in their abundance and presence could indicate an elevated supply of CO₂
186 (from a leak). In existing CCS sites, where sufficient baseline data is often lacking, use of
187 metagenomics techniques would allow detection of a leak site based on a microbial DNA
188 ‘fingerprint’, and at a smaller leakage scale than that needed to detect biological changes in
189 larger organisms. Candidate genes/species from metagenomic and amplicon studies (Figure
190 1) can furthermore be used to establish a simplified monitoring approach, where target
191 genes/species can be utilized in microbial diagnostic PCR, amplicon sequencing or targeted
192 microarrays [17]. Such a biosensor for application in CCS leakage scenarios, through
193 measurement of microarrays or functional gene assays, is a feasible possibility. Two
194 examples, both PhyloChip® and GeoChip®, provide information on genes present within
195 microbial communities in samples, and could in principle be developed into accessible tools
196 for simply analyzing microbial changes within the environment. In order to apply a biosensor
197 to CCS monitoring, it is essential that a clear link between specific species, or functional
198 genes, and elevated CO₂ due to a CCS leak, is identified through metagenomics research.
199 This approach will refine specific microbiological signals within the framework of a

200 biosensor. These two steps are integral in advancing the potential for development of a
201 ‘geomicrobial’ sensor for use in geosequestration programs, alongside traditional CCS
202 monitoring techniques.

203

204 ***Biological carbon sequestration***

205 In addition to their potential as bioindicators to detect leakages from CO₂ storage projects,
206 prokaryotic communities may play vital roles within the geological CO₂ storage reservoir
207 itself. Over a long timescale (tens of thousands of years), the injected and stored CO₂ may
208 naturally precipitate onto sediment grains within the reservoir as carbonate [39] and be
209 sequestered in a non-labile phase [40]. Several groups of prokaryotes have been reported to
210 be involved in biomineralization processes (microbial induced calcium precipitation, MICP),
211 including sulphate reducing bacteria, ureolytic bacteria and cyanobacteria. Natural
212 prokaryotic communities within the storage reservoir may act as biomediators for enhanced
213 carbon sequestration (e.g. through biomineralization) and ‘speed up’ the process of calcium
214 carbonate (CaCO₃) precipitation at CCS injection sites (mineral trapping) [40]. A growing
215 field of research on biological CCU has started to explore the potential to enhance these
216 biological processes through manipulating the microbial communities. By inoculating or
217 replacing natural prokaryotic communities within the storage reservoirs with strains able to
218 convert CO₂ to into a solid state (e.g. CaCO₃), the rate of mineralization can be significantly
219 increased. MICP can occur as a by-product of several metabolic activities, such as urea
220 hydrolysis, photosynthesis, sulphate reduction or nitrate reduction [41]. Precipitation of
221 carbonates by ureolytic bacteria can produce high amounts of carbonates in short periods of
222 time [34] and provides a viable mechanism to induce subsurface CaCO₃ precipitation [40].
223 Furthermore, bacterial biofilm formation has been shown to reduce the porosity of a synthetic
224 system, mimicking a prospective CO₂ injecting site, and thereby reduce the potential of CO₂
225 leakage from the reservoir to the surface [40]. Introducing prokaryotic taxa that actively
226 convert CO₂ to another form (e.g. CaCO₃), as well as reduce the porosity within the CCS-site
227 through biofilm formation, to CCS sites has huge potential for enhancing carbon
228 sequestration of CCS projects.

229 ***CCS as bioreactors***

230 CO₂ is regarded as a chemically stable and an unattractive raw material based on energy
231 utilization and economic input [42]. By utilizing and maximizing the ability to bioconvert
232 CO₂ into value-added chemicals, CCS may become economically profitable [6]. Research

233 and development on *in-situ* bioconversion of CO₂ in oil reservoirs by prokaryotes is currently
234 an active area with high potential [42-44]. Injected CO₂ from CCS-projects may alter the
235 indigenous microbial community and the metabolic pathways in deep subsurface
236 environments that may, in turn, dictate the fate of CO₂. Several natural occurring anaerobic
237 prokaryotes are able to convert CO₂ to a variety of different chemicals (including ethanol,
238 acetate, acetone, lactate, butanol, 2,3-butanediol, valeroate, caproate, carpylate,
239 closthioamide, methane and formate). Microbial activity depends on many environmental
240 factors, including temperature, pH, concentrations of electron donors and acceptors,
241 concentration and diffusion rates of nutrients and metabolites, so natural microbial
242 assemblages in storage reservoirs may not be suitably adapted to the environment
243 surrounding the injected CO₂. Studies have shown decreasing overall prokaryotic biomass
244 with increasing amounts of CO₂ [2], but nonetheless, a few taxa apparently thrive under
245 elevated CO₂ levels [26]. High-temperature oil reservoirs are promising bioreactors for CO₂
246 bioconversion and have been suggested for production of methane [42]. To successfully
247 utilize CCS reservoirs as bioreactors, the reservoirs may be inoculated with prokaryotic taxa
248 and/or communities that are able to withstand high concentrations of CO₂, whilst at the same
249 time being able to convert the compounds into value-added chemicals. This can be achieved
250 either through inoculating the reservoirs with natural prokaryotes or by introducing
251 engineered or even synthetic prokaryotes. Recently, Yang and colleagues [42] showed that
252 addition of formate (as a source of substrate and for low-potential electron donors, such as
253 ferredoxin) to the production water of high-temperature oil reservoirs resulted in CO₂
254 conversion to methane through syntropic formate oxidation coupled with CO₂ reducing
255 methanogenesis and formate methanogenesis. The methane production in this study was
256 nearly equal to the formate consumed; an indication that the methane produced was by
257 formate reduction directly or indirectly.

258 It is necessary to identify highly CO₂ tolerant prokaryotes to identify the genes that encode
259 enzymes and metabolic pathways that promote withstanding elevated levels of CO₂. Enzymes
260 and metabolic pathways with desired traits (e.g., utilizing CO₂ with maximum efficiency) can
261 then be identified. Using these genes in synthetic and engineered biology could provide a
262 novel way of engineering prokaryotes to alter existing carbon assimilation, fixation or
263 conversion pathways and maximize the efficiency of CO₂ utilization. Genetic engineering of
264 microbes such as *Escherichia coli* and *Saccharomyces cerevisiae* has allowed the conversion
265 of these organisms into valuable chemicals, e.g. carbon-neutral biofuels and ethanol

266 production [6]. In terms of biological CCU, through genetic engineering, it is possible to
267 change the properties of key proteins, such as enzymatic activity, tolerance and
268 thermostability. This approach has, for instance, been used to increase CO₂ selectivity and
269 efficiency through manipulation of Rubisco and carbonic anhydrase [6]. Synthetic biology
270 approaches involving nanotechnology combined with protein engineering is an area with
271 huge advancements and provides a useful tool for CCU applications, particularly in terms of
272 CCS. Recently, as a strategy for artificial photosynthesis, a nanowire-bacteria hybrid was
273 constructed for the targeted synthesis of value-added chemical products from CO₂ fixation
274 [45]. On average, each cell yielded $(1.1 \pm 0.3) \times 10^6$ molecules of acetate per second, a rate
275 that is comparable to conventional gas phase catalysts that require much higher temperatures.
276 Advances like this in synthetic biology and technology illustrate the potential of these
277 approaches for CCS projects.

278

279 *Concluding Remarks and Future Perspectives*

280 The potential of utilizing microorganisms for CO₂ binding and monitoring in CCS projects
281 through an integrated multidisciplinary approach involving all disciplines of physical
282 sciences is enormous, and it could be implemented in marine and terrestrial subsurface CCS
283 projects worldwide. We emphasize that similar approaches, where prokaryotes can be applied
284 to detect environmental changes, and to convert little-valued compounds into value-added
285 compounds, show vast potential. Such uses include a wide array of other environmental
286 monitoring and applications, such as hydrocarbon utilization and detection (and effects of oil
287 spills) and monitoring of various polluting agents through their microbial environmental
288 effects, both in terrestrial and marine environments.

289 There are several metagenomics issues that need to be addressed before such approaches can
290 be a reality (see Outstanding Questions). These include a cautious optimization and
291 standardization of molecular methods, excluding as many as possible of the known biases
292 (including contaminations) associated with **nucleic acid** (see Glossary) extraction, **PCR**
293 **amplification** (see Glossary) and sequencing. Furthermore, studies to identify key target
294 species and/or genes among the CO₂ fixing prokaryotes (Figure 1) and thorough testing of
295 prototype monitoring instruments should be performed before these methods can be applied
296 in an automated user-friendly single instrument. Such an instrument may be designed to
297 include all of the steps from sampling to analysis of the samples and would solve the
298 difficulties of collecting sediment through specialized equipment (e.g. U-tube sampling).

299 Enhanced CaCO₃ precipitation by prokaryotic communities may ensure carbon sequestration
300 in the CCS-reservoirs and minimize the risk of leakages through undetected or reactivated
301 fractures and faults, and is an area of research that should further be explored, particularly in
302 the light of a recent study demonstrating that mineralization of CO₂ can occur in much less
303 time than previously assumed [3]. Utilization of engineered or synthetic
304 prokaryotes/nanotechnology approaches coupled with addition of electron donors to convert
305 CCS-reservoirs to bioreactors, is an exciting possibility. Currently, we do not know whether
306 this is possible in a single organism, multiple organisms (community) or in a
307 nanotech/synthetic biology setting – nor how efficient such approaches will be. Little is
308 furthermore known on how production of biomolecules within a reservoir affects the
309 dynamics of the indigenous microbial communities, and subsequently how the indigenous
310 microbes influence the performance and fate of bioconversion. There is obviously a lot of
311 fundamental research needed here including evaluating the suitability of prokaryotic
312 species/communities to be inoculated into reservoirs. Additionally, approaches like these
313 require thorough risk assessment. For instance, *ex-situ* testing and modeling microbial
314 dynamics within potential bioreactors to avoid unwanted effects should be carried out and
315 evaluated before any pilot testing is done. Furthermore, scenarios where value-added
316 chemicals, such as methane, a gas with 25 times more potential than CO₂ in global warming,
317 or genetically modified prokaryotes leaks from potential bioreactors should in all cases be
318 avoided. However, we cannot afford not to look into this potential for generation of value-
319 added chemicals from CO₂, both from an economic and environmental viewpoint, and this
320 research area that will highly profit from focused and extensive multidisciplinary studies.

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324

325 **Box 1: Carbon capture and storage**

326 **Capture**

327 There are three main methods to capture CO₂ generated from fossil fuels (as detailed in the
328 IPCC 2005 report):

- 329 a) Post-combustion (capture of CO₂ from flue gases of fuel combustion, normally using
330 a liquid solvent)
- 331 b) Pre-combustion (production of a saturated synthesis gas in air or oxygen, and
332 separation of CO₂ and hydrogen)
- 333 c) Oxyfuel combustion (pure oxygen used to produce a flue of CO₂ and water)

334 **Storage**

335 After capture, the CO₂ is compressed, often into a ‘**supercritical** fluid’ (see Glossary), and
336 transported to a reservoir where it is stored for geological time scales [46]. Storage reservoirs
337 typically consist of deeply buried porous and permeable rock, blanketed by at least one layer
338 of physically impermeable rock, commonly known as the ‘**cap rock**’ (see Glossary). The
339 cap-rock usually consists of shale and or clay, and sits above the storage formation (see
340 Glossary) [46]. On the most basic level, the reservoir, the cap rock and the “**overburden**”
341 (see Glossary) are shaped in one of a range of possible geometries, each of which means that
342 any fluid injected into the reservoir and which tends to migrate upwards due to buoyancy will
343 be trapped inside the structure. Over time, it is probable that injected CO₂ will firstly dissolve
344 in pre-extant pore fluids within the reservoir (whether this is saline water or hydrocarbons)
345 and may eventually, over tens of thousands of years, precipitate onto sediment grains within
346 the reservoir as carbonate [39].

347 There is a financial incentive to using CCS in oil drilling operations: enhanced oil recovery
348 (EOR). When producing oil from a reservoir, it is common practice to inject a fluid into the
349 reservoir [46]. This fluid (commonly sea water or brine from a saline aquifer) serves two
350 purposes: it replaces a volume of oil that has been extracted and serves to maintain reservoir
351 pressure and aid production, but it can also be used to “sweep” oil from distant areas of the
352 reservoir towards production wells. Injecting CO₂ as a substitute for the fluid will fulfil both
353 of these purposes, but it will also dissolve in the oil. This process reduces the viscosity of the
354 oil and its surface tension, allowing greater production of the reservoir, with retrieval
355 estimates as high as approximately 25% more oil [46].

356 **Box 2: Environmental impacts of CCS**

357 Much of the environmental concerns around CCS sites center on the potential implications of
358 a CO₂ leak. Despite the many precautions that may be taken prior to implementation of CCS,
359 there remains a possibility of a leak from an injection facility. The two most likely leak
360 scenarios are abrupt leakages (through injection well failure or abandoned well leakage) or
361 gradual leakages (through undetected or reactivated fractures and faults) [46-48]. The leaking
362 CO₂ will migrate upwards and eventually reach the surface sediment layers and overlying
363 water. Large-scale leakage of CO₂ from the storage site into the overlying water and sediment
364 layers will cause the seawater to acidify, resulting in a range of effects on the organisms
365 present [49,50], and directly impact processes such as nutrient cycling. Although marine
366 ecosystems are adapted to cope with temporal and spatial changes in pH, rapid and extreme
367 changes to environmental pH and seawater chemistry outside of this range are likely to be
368 detrimental to organisms, directly impacting health, activity and survival, resulting in high
369 mortality across many species in large scale leakages [51].

370 A growing body of research on the effects of lowered pH in the ocean, as a consequence of
371 ocean acidification driven by elevated atmospheric CO₂, has demonstrated predominantly
372 negative effects on marine organisms [49,50], and ecosystem processes such as primary
373 production and nutrient cycling [52,53]. To date, most research has focused on ‘open ocean’
374 species and ecosystems [54]. The effects of elevated CO₂ on benthic systems and their
375 contribution to biogeochemical cycling remain less understood, with the exception of a few
376 studies which have focused on macrofaunal impacts [9,55,56]. Many benthic processes are
377 driven by the activity and metabolism of microbial communities (often dominated by
378 prokaryotes at depth), but little detailed attention has been given to their role in benthic
379 processes under changing environmental conditions. Research has shown that microbial
380 communities respond to changes in CO₂ [2,17,24,25] through altered community structure
381 and biomass changes. A CO₂ leak from a CCS site will have ecosystem-wide consequences
382 from microbial scale to higher trophic levels, particularly as the concentration of CO₂ will be
383 much higher than that used in manipulative experiments simulating ocean acidification.

384

385 **Box 3: A case study: environmental impacts of CCS**

386 Different approaches have been used to quantify the impacts of a CCS leak, from modelling
387 techniques [57,58], to manipulative **mesocosm** (see Glossary) studies with elevated CO₂ [59-
388 61] and studies around natural CO₂ seeps [62,63]. However, these approaches are not ideal,
389 as they either lack understanding of ecological or biological responses (modelling) [64]; lack
390 natural variability (mesocosms) [65] or provide no opportunity to establish a baseline or
391 measure recovery (natural CO₂ seeps).

392 To address these concerns, a field scale experiment was conducted that simulated the impact
393 of CO₂ leaking from a sub-seabed reservoir [66], whilst providing a baseline and monitor the
394 recovery after release.

395 The experiment took place on the west coast of Scotland in 2012 [1,66,67]. A pipeline drilled
396 into the seabed through which a total 4200 kg of CO₂ was released into the sediments over 37
397 days [66]. Changes in benthic processes and characteristics [68,69]; macrofauna species [70];
398 and microbial response [2] were examined. Monitoring was carried out through geophysical
399 monitoring of gas propagation [71] and modelling of CO₂ bubble dynamics [72,73].

400 During gas release, changes within the pore-water chemistry (lowered pH; increased
401 dissolved inorganic carbon, DIC) [68,69] were measured, although these parameters returned
402 to normal within a month of stopping gas release. Benthic macrofaunal abundance and
403 diversity was negatively affected (i.e., abundance and biodiversity declined) during the gas
404 release phase, but both also recovered quickly once leakage had stopped [74]. Changes in the
405 microbial community were much more rapid than macrofaunal effects [2], and corresponded
406 with the sediment porewater properties. Microbial abundance (as measured as 16S rRNA)
407 increased after 14 days of gas release, both at the gas release point and up to 25m away, and
408 showed clear changes in microbial diversity [2]. However, a decrease in the microbial
409 abundance (16S rRNA genes) was measured during the initial recovery phase, and this
410 corresponded to the highest measured levels of pore-water DIC [2], and the potential increase
411 of toxic metals.

412

413

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597 community structure and diversity. *International Journal of Greenhouse Gas Control*
598 38, 182–192

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601 **Figure 1.**

602 Phylogenetic representation of the diversity of CO₂ assimilating prokaryotes (bacteria; darker grey,
603 Archaea; lighter grey) using either of the 6 CO₂ assimilation pathways; the reductive pentose
604 phosphate cycle (Calvin-Benson-Bassham cycle; cyan), the reductive tricarboxylic acid cycle (Arnon
605 Buchanan cycle; yellow), the reductive acetyl-CoA pathway (Wood-Ljungdahl pathway; grey), the 3-
606 Hydroxypropionate bicycle (light blue), the 3-Hydroxypropionate-4hydroxybutyrate cycle (pink) and
607 the Dicarboxylate-4-hydroxybutyrate cycle (green). The figure legend denotes ¹chemolithotrophic
608 taxa and ²photosynthetic taxa. The key enzyme(s) of each pathway is(are) listed along with their
609 enzymatic reaction(s).

610

611 **Glossary**

612

613 **Amplicon** – a section of nucleic acid (DNA or RNA) that is the source or the result of
614 amplification or replication (whether an artificial or natural process)

615 **Assemblage** - the identity (presence/absence) and/or relative abundance of species that make
616 up a community (e.g. bacterial assemblage refers to the bacterial species within that
617 community)

618 **Benthic-pelagic coupling** - processes that occur over the sediment-water interface e.g.
619 biogeochemical cycling

620 **Benthos** - seabed / seafloor (can refer to substrate or habitat)

621 **Bioinformatics** - A sub-discipline of biology and computer science concerned with the
622 acquisition, storage, analysis, and dissemination of biological data, most often DNA and
623 amino acid sequences

624 **Bioinformatic pipeline** - A set of bioinformatic tasks that are configured to run
625 consecutively in an automated way

626 **Cap rock** - an impermeable formation located above a storage formation that prevents
627 injected CO₂ from escaping or leaking

628 **CCS** – Carbone Dioxide Capture and Storage or Sequestration

629 **Chemolithoautotrophs** - organisms that utilize chemicals (chemo) from the bedrock (litho)
630 as an energy source for making their own (auto) food (troph)

631 **Enzyme** - A biological catalyst that is almost always a protein. It speeds up the rate of a
632 specific chemical reaction in the cell. A cell contains thousands of different types of
633 enzyme molecules, each specific to a particular chemical reaction.

634 **High throughput sequencing (HTS)** – Nucleotide sequencing where more than one sample
635 can be processed in parallel, generating a high number of sequences, often applied for
636 sequencing platforms such as Illumina, 454 and PacBio

637 **Macrofauna** - invertebrates that live within or on the sediment or hard substrate; often
638 classified by size (often defined as organisms greater than 250 or 500µm)

639 **Mesocosm** - container/tank used as an experimental tool to manipulate and control the
640 natural environment

641 **Metabolic pathway** - series of biochemical reactions occurring within a cell

642 **Metagenomics** - The study of genetic material from mixed templates, such as from
643 environmental samples

644 **Meta-processing** - Data processing that involves handling and filtering of large datasets,
645 advanced search queries and statistical analysis

646 **Nucleic acid** - DNA and RNA

647 **Overburden** - Denotes all formations above a storage formation up to the top surface or
648 seabed/seafloor

649 **Polymerase chain reaction (PCR) amplification** - a laboratory technique used to amplify
650 DNA sequences by using short DNA sequences (primers) to select the portion of the
651 genome to be amplified.

652 **Storage formation** - a reservoir that is used to store any kind of fluids or waste (e.g. cutting
653 injection, captured CO₂, etc.)

654 **Supercritical CO₂** - A fluid state of carbon dioxide where it is held at or above its critical
655 temperature (304.25 K) and critical pressure (72.9 atm or 7.39 MPa)

656 **Underburden** - Denotes all formations below a reservoir storage formation

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Outstanding Questions box

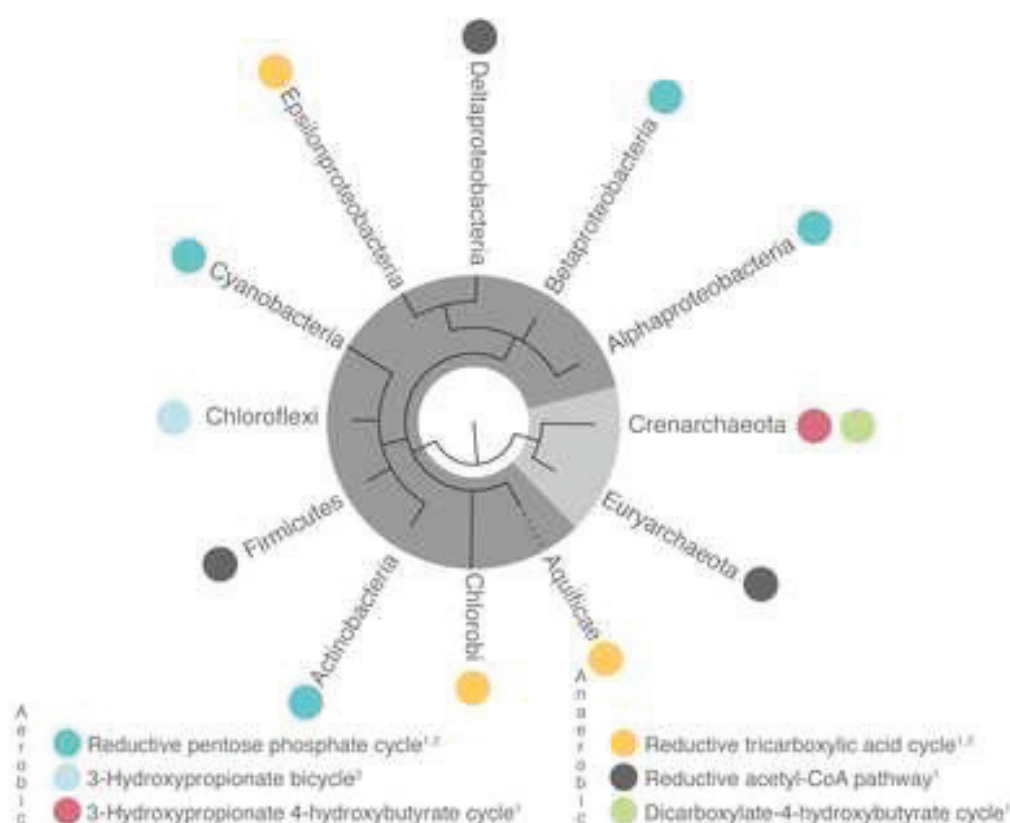
Can microbial use of CO₂ form the principle of a viable approach of monitoring Carbon Capture Storage (CCS) by measuring the genes that drive this process?

If so, what are the best genetic indicators and the most efficient molecular approach for a semi- or fully automated system for measuring this activity?

Can natural microbial communities bind CO₂ in a sufficiently efficient way? Is it feasible to use natural assemblages of microbes capable of utilizing the needed pathways for autotrophic CO₂ fixation (including energy input) as an ecologically adapted system?

What are the implications of methane (CH₄) production as a side effect of CO₂ fixation? Methane is a greenhouse gas that contributes to global warming, and is 20 times more efficient in retaining heat than CO₂. Many microbes use carbonic anhydrase to convert CO₂ to CH₄ in anoxic conditions. Methane is currently the focus of many bioenergy studies, so this could be harnessed to provide energy in the form of natural gas. Would large levels of CO₂ fixing bacteria/communities exposed to CO₂ produce large volumes of CH₄, and how would this be captured in a marine environment? Would we be swapping the solution of one problem (elevated CO₂) for another environmental issue (elevated CH₄)? Can we use additional microbes (i.e. methanotrophs) to utilize the CH₄?

Can genetically modified micro-organisms be introduced at a CCS monitoring site? Release of genetically engineered microbes represents a substantial ecological and environmental risk. Thorough investigations of the biology and ecology of the modified microbes will aid in risk assessment. It should be feasible to engineer the microbes in such a way that they will only survive within a CCS compartment. Risk assessment will have to take into account the benefits of CO₂ capture vs. potential ecological negative effects or risks. A strong research and environmental focus is needed here, while the decision will remain a political issue of international character.



Key enzyme	Enzymatic reaction
Reductive pentose phosphate cycle (Calvin-Benson-Bassham cycle)	
Rubisco	$D\text{-ribulose 1,5-bisphosphate} + \text{CO}_2 + \text{H}_2\text{O} \rightleftharpoons 2 \text{ 3-phospho-D-glycerate}$
Phosphoribulokinase	$\text{ATP} + D\text{-ribulose 5-phosphate} \rightleftharpoons \text{ADP} + D\text{-ribulose 1,5-bisphosphate}$
3-Hydroxypropionate bicycle	
Malonyl-CoA reductase	$\text{malonate semialdehyde} + \text{CoA} + \text{NADP}^+ \rightleftharpoons \text{malonyl-CoA} + \text{NADPH} + \text{H}^+$
3-hydroxypropionyl-CoA synthase	$3\text{-hydroxypropanoyl-CoA} + \text{diphosphate} + \text{AMP} \rightleftharpoons 3\text{-hydroxypropanoate} + \text{CoA} + \text{ATP}$
Malyl-CoA lyase	$(S)\text{-malyl-CoA} \rightleftharpoons \text{acetyl-CoA} + \text{glyoxylate}$ $(2R,3S)\text{-2-methylmalyl-CoA} \rightleftharpoons \text{propanoyl-CoA} + \text{glyoxylate}$
3-Hydroxypropionate 4-hydroxybutyrate cycle	
Acetyl-CoA-propionyl-CoA carboxylase	$\text{ATP} + \text{propionyl-CoA} + \text{HCO}_3^- \rightleftharpoons \text{ADP} + \text{phosphate} + (S)\text{-methylmalonyl-CoA}$
Methylmalonyl-CoA mutase	$(R)\text{-methylmalonyl-CoA} \rightleftharpoons \text{succinyl-CoA}$
4-hydroxybutyryl-CoA dehydratase	$4\text{-hydroxybutanoic acid} + \text{ATP} + \text{CoA} \rightleftharpoons 4\text{-hydroxybutyryl-CoA} + \text{AMP} + \text{diphosphate}$
Reductive tricarboxylic acid cycle (Arnon-Buchanan cycle)	
2-Oxoglutarate synthase	$2 \text{ reduced ferredoxin} + \text{succinyl-CoA} + \text{CO}_2 + 2 \text{ H}^+ \rightleftharpoons 2 \text{ oxidized ferredoxin} + 2\text{-oxoglutarate} + \text{CoA}$
ATP-citrate lyase	$\text{ADP} + \text{phosphate} + \text{acetyl-CoA} + \text{oxaloacetate} \rightleftharpoons \text{ATP} + \text{citrate} + \text{CoA}$
Reductive acetyl-CoA pathway (Wood-Ljungdahl pathway)	
Acetyl-CoA-synthase/CO dehydrogenase	$\text{CO} + \text{CH}_3\text{-CFeSP} + \text{CoA} \rightleftharpoons \text{Acetyl-CoA} + \text{CFeSP}$ $\text{CO}_2 + 2\text{H}^+ + 2\text{e}^- \rightleftharpoons \text{CO} + \text{H}_2\text{O}$
Dicarboxylate-4-hydroxybutyrate cycle	
4-Hydroxybutyryl-CoA dehydratase	$4\text{-hydroxybutanoic acid} + \text{ATP} + \text{CoA} \rightleftharpoons 4\text{-hydroxybutyryl-CoA} + \text{AMP} + \text{diphosphate}$