

Research Article

Vibration Analysis for Monitoring of Ancient Tie-Rods

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This paper presents an application of vibration analysis to the monitoring of tie-rods. An algorithm for the axial load estimation based on experimentally measured natural frequencies is introduced and its application to a case study is reported. The proposed model of a tie-rod incorporates elastic bed-type boundary conditions that represent the contact between stonework and the tie-rod. The weighed differences between experimentally and numerically determined frequencies are minimized with respect to the parameters of the model, the main being the axial load and the stiffness at the tie-rod/wall interface. Thus, the multidimensional optimization problem is solved. Results are analysed in comparison to a model with simple fixed-end boundary conditions. In addition, the analytical formulation of the problem is delivered.

1. Introduction

The present paper reviews the applications of vibration analysis to the monitoring of the so-called “*tie-rods*.” Tie-rods are metal beams used in a wide range of civil constructions. The main purpose of these structural elements is to provide support for masonry arches and vaults in ancient buildings, like churches, cathedrals, and castles, which are known to lurch and founder in course of time. Tie-rods are subjected to axial tension and, thus, help the building resist lateral loads exerted by walls and facades. Figure 1 pictures a typical layout of tie-rods supporting arches of the first floor terrace in the medieval *Castello di Torrechiara* (Castle of Torrechiara) in the province of Parma, Italy.

Over the years, deformations of masonry walls and eventual displacements in the building may cause significant changes in the axial loads of tie-rods. In the extremes, this can lead to either of two scenarios: failure in structural integrity of tie-rods (damages and cracks) or loss of loads and subsequent performance decline, a phenomenon referred to as the “laziness” of tie-rods. Both of the scenarios are dangerous for the safety and integrity of buildings and can lead to irretrievable harm to the precious historical heritage of the human race. For this reason regular monitoring of tie-rods’ condition is of a great importance.

Health monitoring of tie-rods includes two major steps. The first one is identification of axial load and the second

one is damage identification. As for the first one, multiple methods have been developed to accomplish this task and the details on the state of art are provided in the next section. Such experimental techniques should be as less invasive as possible and at the same time provide sufficient data on the beam condition. Generally this type of testing is referred to as “nondestructive.”

In particular, nondestructive testing (NDT) is the process of investigating structures and elements for characteristics, discontinuities, changes in properties, and so forth without harming the continuity and usability of the part under testing. One of the relatively cheap, easily executable, and reliable NDT techniques is vibration analysis (VA). This way of health monitoring of the structures can be applied to testing whole buildings as well as its smallest parts depending on the scope and approach used. VA is based on investigation of dynamics of a structure under a certain excitation: it can be an impact hammer or a shaker. The response to the excitation is registered via sensors: accelerometers, optic sensors, laser, and so forth. Vibrational response contains information about the main structural characteristics of the system: mass and stiffness. Based on the knowledge of modal parameters, conclusions are drawn on the loading and structural integrity of the elements.

A reliable experimental technique helps to validate analytical and numerical models used for prediction of cracked beam dynamics. The purpose of this research is to develop a



FIGURE 1: Tie-rods in the Castle of Torrechiara in Langhirano, Parma, Italy.

VA procedure based on quantitative and qualitative analysis of frequency response functions.

The further sections describe a method for axial load identification in tie-rods developed by the Department of Industrial Engineering of the University of Parma. This approach combines in situ dynamic tests and computations that make use of a beam model with complex boundary conditions. The method was tested and improved throughout some years since it was applied for multiple case studies of monitoring such famous Italian historic buildings as *Duomo di Parma* (Cathedral of Parma), *Basilica della Madonna dell'Umiltà* in Pistoia (Church of Our Lady of Humility), *Rocca Sanvitale di Fontanellato* (Sanvitale Fortress of Fontanellato), and *Casa Romei* (Romei House) in Ferrara, as reported by the authors Collini et al. in [1–7].

2. State of the Art in Axial Load Identification in Tie-Rods

The structural characterization of tie-rods is crucial for the safety assessment of historical buildings. The main parameters that characterize the behaviour of tie-rods are the tensile force, the modulus of elasticity of the material, and the rotational stiffness at both restraints. In the last decades several techniques for an indirect nondestructive evaluation of such parameters have been proposed. The nondestructive procedures currently available for the structural characterization of tie-rods can be grouped in static, static-dynamic, and pure dynamic approaches. Pioneering static methods presented, for instance, in works of Pozzati [8] and in [9, 10], in spite of minor differences, are based on measures of displacement and/or strain at few cross-sections of the tie-rod due to applied static loads. Bati and Tonietti introduced a static approach for force identification that consisted of measuring three vertical displacements and strains variations at three sections of the tie-rod under a concentrated load [10]. Even if the data postprocessing is quite straightforward, these methods are extremely sensitive to the experimental error in the measures of displacement. In addition, since tie-rods

are usually positioned at considerable heights, the need of measuring vertical deflections with respect to a reference fixed base makes static methods difficult in practice.

Mixed approaches try to identify the unknown parameters by combining static and dynamic measures. Blasi and Sorace [11–13] modelled tie-rods as simply supported Euler beams with rotational springs of similar stiffness added on each edge. The stiffness of the spring and the force were the two unknowns obtained from the system of equations, built with a static equation for deflection and a dynamic equation for natural frequencies. Thus, this method required data from two separate experiments, that is, in situ measurements of the central deflection under static load and of the fundamental natural frequency, which can be obtained by hammer impact testing and Fourier transforming the recorded accelerations. Testing of this method in laboratory conditions showed good results; however, measurement errors can cause significant deviation in results for the two unknowns. Even though static-dynamic methods can exploit additional dynamic information for the characterization, they are still affected by the shortcomings related to deflection measurements.

Such drawbacks are avoided in pure dynamic procedures [14–28], where, in general, the difference between the experimental and the calculated natural frequencies of vibration is minimized in order to identify the unknown parameters.

Lagomarsino and Calderini [14] developed an algorithm to identify the axial tensile force in ancient tie-rods by using the first three natural frequencies. The tie-rod was modelled as an Euler beam of uniform cross-section, neglecting the shear deformation and rotary inertia, and was assumed to be simply supported at the ends with additional rotational springs.

Recently Maes et al. introduced a method that enables definition of axial loads in slender beams with unknown boundary conditions, taking into account effects of rotational inertia of the beam and masses of sensors [15]. However, it requires data from five or more sensors along the length of the beam to determine all the introduced unknowns of the inverted problem. A similar technique of the axial force identification was developed by Li et al. [16], focusing on studies of Euler–Bernoulli beams and takes into account bending stiffness effects.

Rebecchi et al. established an analytical method of processing experimental data from five instrumented sections of a prismatic slender beam, which showed excellent results in estimation of the axial load in tie-rods [17]. The method does not require any exact value of effective length of the beam but neglects both rotary inertia and shear deformations effects in the solution for beam vibrations. For cases of similar beams their colleagues Tullini et al. proposed a static method of axial force identification [18–20]. The analytical algorithm makes use of any set of experimental data represented by flexural displacements or curvatures measured at five cross-sections of the beam subjected to an additional concentrated lateral load. Gentilini et al. developed in [21] a procedure that combines dynamical testing with FEM simulations using added masses. The method was tested out for tie-rods of various lengths and load intensity, showing reliable results.

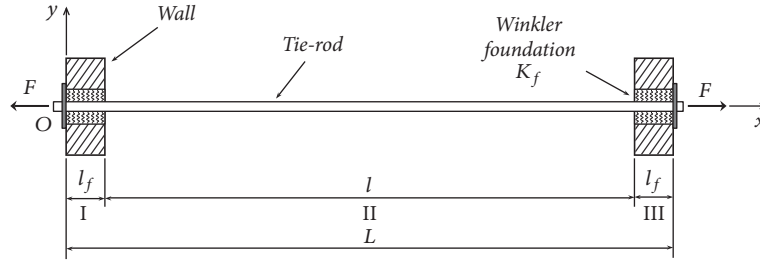


FIGURE 2: Tie-rod with elastic bed-type boundaries.

Livingston et al. identified the tensile force in prismatic beams of uniform section by using modal data and assuming rotational and vertical springs at each end of the beam [22]. Shear deformation and rotary inertia were neglected (according to the Euler beam model).

Another fully dynamic procedure has been proposed by Kim and Park [23]. It allows identifying the tension force and flexural and axial stiffness of the cable from measured natural frequencies. Anyway this technique is not immediately applicable to tie-rods since they cannot be modelled as cables and present uncertain constraints due to the portion of the rod inserted into the masonry wall or column.

Amabili et al. [1–6] developed a two-step method consisting of in situ measurements of tie-rods' natural frequencies and further elaboration of the data via an optimization algorithm based on the Rayleigh–Ritz method [7]. Varying certain parameters, the main of which was the sought axial load, this algorithm matched estimated sets of frequencies with those determined from experiments. The considered numerical models allowed analysis of ancient tie-rods affected by non-perfect constraints, added masses, discontinuities, irregular cross-sections, and complex boundary conditions. Different importance of the natural frequencies can be as well taken into account. The technique is of simple execution and allows minimizing the measurement error. Its functionality and reliability have been proved as it has been applied with success to many case studies.

3. Dynamic Method for Load Identification

The first step of the method is the in situ experimental identification of natural frequencies of the tie-rods by measuring the frequency response functions (FRFs) via instrumented hammer excitation. Precisely the testing technique used for the investigation of tie-rods in Casa Romei located in the city of Ferrara, Emilia-Romagna, Italy, was described in [1–3]. The first four to six natural frequencies, which can be extracted with high precision, were in the focus. Subsequently, an axially loaded tie-rod was modelled in finite element method (FEM) software Abaqus 6.13 as a general case of a Timoshenko beam, using three-dimensional beam elements retaining shear deformation and rotational inertia. The parametric model enabled considering nonuniform cross-sections of rods, since this is often the case for hand-made tie-rods in old buildings.

The interface tie-rod/wall was assumed to be a continuous elastic bed; that is, extremities of tie-rods inserted inside

masonry walls were modelled as resting on Winkler-type foundation. This type of boundary has been used in dynamics of particular cases, for example, for beams or rails subjected to travelling loads, as reported by Farghaly and Zeid [29], Ruge and Birk [30], and Koroma et al. [31]. In our case we discretized the elastic bed into separate springs equispaced along the length of the bed l_f , each of a stiffness k_f (see Figure 2).

Clearly, the foundation may have a nonuniformly distributed stiffness, which would result in different k_f assigned to each spring. The advantage of the Winkler bed compared to other types of boundaries generally used for tie-rods is that a number of springs placed closely exhibit more complex behaviour than linear and/or rotational springs attached to a single node. Hence, it is a reliable way of modelling a real wall-rod contact condition. The optimization parameters in this case were the unknown axial load, the stiffness of the foundation, and the length of the rod inside the wall. In real cases the lengths of the extremities inserted into the masonry and the stiffness of the foundation most likely differ for each end of the tie-rod. This complication has been avoided in the reported case study; however, the method proposed hereby is capable of taking into account other desired parameters of the model.

3.1. Analytical Formulation of the Problem. As shown in Figure 2, tie-rod was divided into three sections of length l_f , section I; l , section II; and again l_f , section III, where l_f identifies the portion inserted into the wall and l is the measured “free” length. At the tips of the rod free-end boundary conditions were applied and between the sections, correspondingly, the conditions of congruence.

Assuming the hypotheses of Bernoulli–Euler beam theory for the analytical formulation, we chose to neglect the shear deformation and rotational inertia, because the subject of this study was a slender rod, for which the ratio of linear dimensions of cross-sections to length is a very small number.

The energy approach was used to obtain the equations of motion via Lagrangian of the system [1]. Thus, the energy functionals were given by (1)–(4) as follows.

Kinetic energy is

$$T = \frac{1}{2} \int_0^L \left[\rho A \left(\frac{\partial w}{\partial t} \right)^2 + \rho I \left(\frac{\partial^2 w}{\partial x \partial t} \right)^2 \right] dx. \quad (1)$$

Potential energy of elastic strain is

$$U = \frac{1}{2} \int_0^L \left[EI \left(\frac{\partial^2 w}{\partial x^2} \right)^2 \right] dx. \quad (2)$$

Potential energy associated with the axial load is

$$V_F = \frac{1}{2} \int_0^L \left[F \left(\frac{\partial w}{\partial x} \right)^2 \right] dx. \quad (3)$$

Potential energy associated with the elastic foundation is

$$V_w = \frac{1}{2} \int_0^L K_f w^2 \left[H(l_f - x) + H(x - L + l_f) \right] dx. \quad (4)$$

Total potential energy is

$$\Pi = U + V_F + V_w. \quad (5)$$

The Heaviside function $H(x)$ in (4) allows us to write down a single expression for the whole tie-rod, taking into account different conditions for its parts.

We proceeded defining the Lagrangian of the system, which is equal to the difference between the overall kinetic and potential energy:

$$L \left(w, \frac{\partial w}{\partial x}, \frac{\partial w}{\partial t}, \frac{\partial^2 w}{\partial x^2}, \frac{\partial^2 w}{\partial x \partial t} \right) = T - \Pi. \quad (6)$$

Applying Hamilton's principle, we obtained the system of Lagrange equations of motion (7), where q_k indicated every generalized coordinate (degree of freedom) and the number of differential equations was equal to the number of dofs. In our case the degree of freedom was represented by the function $w(x, t)$.

$$\frac{\partial}{\partial t} \left(\frac{\partial L}{\partial \dot{q}_k} \right) - \frac{\partial L}{\partial q_k} = 0. \quad (7)$$

Sequentially substituting (1)–(5) into (6) and then differentiating the Lagrangian as shown in (7), we obtained the equation of natural vibrations of the rod:

$$EI \frac{\partial^4 w}{\partial x^4} + \rho A \frac{\partial^2 w}{\partial t^2} - F \frac{\partial^2 w}{\partial x^2} + K_f w \left[H(l_f - x) + H(x - L + l_f) \right] = 0. \quad (8)$$

As stated above, the ends of tie-rods (where $x = 0$ and $x = L$) were considered free and between parts I-II and II-III

eight conditions of continuity emerged; this provides twelve conditions that were expressed in form of the following:

$$\begin{aligned} x = 0 : & \begin{cases} Q_I = 0 \\ M_I = 0, \end{cases} \\ x = L : & \begin{cases} Q_{III} = 0 \\ M_{III} = 0, \end{cases} \\ x = l_f : & \begin{cases} w_I = w_{II} \\ \frac{\partial w_I}{\partial x} = -\frac{\partial w_{II}}{\partial x} \\ Q_I = Q_{II} \\ M_I = M_{II}, \end{cases} \\ x = L - l_f : & \begin{cases} w_{II} = w_{III} \\ \frac{\partial w_{II}}{\partial x} = -\frac{\partial w_{III}}{\partial x} \\ Q_{II} = Q_{III} \\ M_{II} = M_{III}. \end{cases} \end{aligned} \quad (9)$$

The shearing force Q and the bending moment M were defined by

$$Q = EI \frac{\partial^3 w}{\partial x^3} - F \frac{\partial w}{\partial x}, \quad (10)$$

$$M = EI \frac{\partial^2 w}{\partial x^2}.$$

For each section of the tie-rod (see Figure 2 for the reference system), a separate function w was introduced: $w_I(x, t)$, $w_{II}(x, t)$, $w_{III}(x, t)$. The differential equation of motion (8) was solved by means of the Fourier method (11), where $W_i(x)$ is the form function, $\tau_i(t)$ is the time function, and i takes on a value from 1 to 3 according to each section of the rod.

$$w_i(x, t) = W_i(x) \tau_i(t). \quad (11)$$

It is evident that the parts vibrate with the same time frequency; thus, we could get rid of the index i in $\tau_i(t)$. For the time function we obtained (12) for all three sections of the rod, with ω being a natural frequency in rad/s and B_1 and B_2 being coefficients defining the phase.

$$\tau(t) = B_1 \cos \omega t + B_2 \sin \omega t. \quad (12)$$

After the substitution of (12) and (11) into (8), the form of (13) for parts I, III, and II was delivered.

$$\begin{aligned} \frac{d^4 W_{II}}{dx^4} - \frac{F}{\rho A c^2} \frac{d^2 W_{II}}{dx^2} - \frac{\omega^2}{c^2} W_{II} &= 0, \\ \frac{d^4 W_{I,III}}{dx^4} - \frac{F}{\rho A c^2} \frac{d^2 W_{I,III}}{dx^2} + \left(\frac{K_f}{\rho A c^2} - \frac{\omega^2}{c^2} \right) W_{I,III} &= 0. \end{aligned} \quad (13)$$

Ordinary differential equations (13) were solved using the general solution given as

$$W_{I,II,III}(x) = Ce^{sx}. \quad (14)$$

Further, the algebraic equations (15) in coefficients s were obtained throughout substitution of the general solution (14) into the differential equations (13).

$$s_{II}^4 - \frac{F}{\rho Ac^2} s_{II}^2 - \frac{\omega^2}{c^2} = 0, \quad (15)$$

$$s_{I,III}^4 - \frac{F}{\rho Ac^2} s_{I,III}^2 + \left(\frac{K_f}{\rho Ac^2} - \frac{\omega^2}{c^2} \right) = 0.$$

Each of equations in (15) provided correspondingly four solutions for s : two complex $s_3 = \pm ik_3$ and two real roots $s_4 = \pm k_4$ for the first equation and four complex roots $s_{1,2} = \pm k_1 \pm ik_2$ for the second one. All of the parameters s in a certain form contain ω , F , and K_f . Still, to keep our expressions

simplified, we used parameters s in the form functions for the natural modes of the tie-rod:

$$W_I(x) = C_1 e^{s_1 x} + C_2 e^{-s_1 x} + C_3 e^{s_2 x} + C_4 e^{-s_2 x},$$

$$W_{II}(x) = C_5 e^{s_3 x} + C_6 e^{-s_3 x} + C_7 e^{s_4 x} + C_8 e^{-s_4 x}, \quad (16)$$

$$W_{III}(x) = C_9 e^{s_1 x} + C_{10} e^{-s_1 x} + C_{11} e^{s_2 x} + C_{12} e^{-s_2 x}.$$

Substituting the forms (16) into twelve conditions (9), we hence obtained a homogeneous system of twelve equations containing twelve unknowns $C_1 \dots C_{12}$, which in a matrix form is expressed as

$$[M] \{C\} = \{0\}. \quad (17)$$

In order to provide a nontrivial solution the determinant of the matrix M in (17) was supposed to be equal to zero (18), which resulted in a characteristic equation of the eigenvalue problem.

$$\det \begin{bmatrix} s_1^2 & s_1^2 & s_2^2 & s_2^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ s_1^3 & -s_1^3 & s_2^3 & -s_2^3 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ e^{s_1 l_f} & e^{-s_1 l_f} & e^{s_2 l_f} & e^{-s_2 l_f} & -e^{s_3 l_f} & -e^{-s_3 l_f} & -e^{s_4 l_f} & -e^{-s_4 l_f} & 0 & 0 & 0 & 0 \\ s_1 e^{s_1 l_f} & -s_1 e^{-s_1 l_f} & s_2 e^{s_2 l_f} & -s_2 e^{-s_2 l_f} & -s_3 e^{s_3 l_f} & s_3 e^{-s_3 l_f} & -s_4 e^{s_4 l_f} & s_4 e^{-s_4 l_f} & 0 & 0 & 0 & 0 \\ s_1^2 e^{s_1 l_f} & s_1^2 e^{-s_1 l_f} & s_2^2 e^{s_2 l_f} & s_2^2 e^{-s_2 l_f} & -s_3^2 e^{s_3 l_f} & -s_3^2 e^{-s_3 l_f} & -s_4^2 e^{s_4 l_f} & -s_4^2 e^{-s_4 l_f} & 0 & 0 & 0 & 0 \\ s_1^3 e^{s_1 l_f} & -s_1^3 e^{-s_1 l_f} & s_2^3 e^{s_2 l_f} & -s_2^3 e^{-s_2 l_f} & -s_3^3 e^{s_3 l_f} & s_3^3 e^{-s_3 l_f} & -s_4^3 e^{s_4 l_f} & s_4^3 e^{-s_4 l_f} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & e^{s_3(L-l_f)} & e^{-s_3(L-l_f)} & e^{s_4(L-l_f)} & e^{-s_4(L-l_f)} & -e^{s_1(L-l_f)} & -e^{-s_1(L-l_f)} & -e^{s_2(L-l_f)} & -e^{-s_2(L-l_f)} \\ 0 & 0 & 0 & 0 & s_3 e^{s_3(L-l_f)} & -s_3 e^{-s_3(L-l_f)} & s_4 e^{s_4(L-l_f)} & -s_4 e^{-s_4(L-l_f)} & -s_1 e^{s_1(L-l_f)} & s_1 e^{-s_1(L-l_f)} & -s_2 e^{s_2(L-l_f)} & s_2 e^{-s_2(L-l_f)} \\ 0 & 0 & 0 & 0 & s_3^2 e^{s_3(L-l_f)} & s_3^2 e^{-s_3(L-l_f)} & s_4^2 e^{s_4(L-l_f)} & s_4^2 e^{-s_4(L-l_f)} & -s_1^2 e^{s_1(L-l_f)} & -s_1^2 e^{-s_1(L-l_f)} & -s_2^2 e^{s_2(L-l_f)} & -s_2^2 e^{-s_2(L-l_f)} \\ 0 & 0 & 0 & 0 & s_3^3 e^{s_3(L-l_f)} & -s_3^3 e^{-s_3(L-l_f)} & s_4^3 e^{s_4(L-l_f)} & -s_4^3 e^{-s_4(L-l_f)} & -s_1^3 e^{s_1(L-l_f)} & s_1^3 e^{-s_1(L-l_f)} & -s_2^3 e^{s_2(L-l_f)} & s_2^3 e^{-s_2(L-l_f)} \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & s_1^2 e^{s_1 L} & s_1^2 e^{-s_1 L} & s_2^2 e^{s_2 L} & s_2^2 e^{-s_2 L} \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & s_1^3 e^{s_1 L} & -s_1^3 e^{-s_1 L} & s_2^3 e^{s_2 L} & -s_2^3 e^{-s_2 L} \end{bmatrix}. \quad (18)$$

Equation (18) is to be solved for the natural frequencies ω by means of, for instance, the Newton-Raphson method. Furthermore, the dispersion between analytically and experimentally determined frequencies can be minimized with respect to parameters F and K_f , following the optimization procedure described in the next sections. This approach in a closed form delivers solution for the sought axial load in tie-rod.

3.2. Numerical Model and Optimization Procedure. Tie-rods were modelled in FEM software using beam elements. In this case the beam is represented with a one-dimension body, that is, a wire (line, curve, polyline), and cross-section shapes and dimensions are assigned to this body as one of the properties, which allowed taking into account irregular cross-sections, added masses, elastic supports, and so forth. The beam model shows good results for analyses of long slender beams. For beam elements there is an option of modelling the axial tensile load as a bolt pretension load. Tie-rods were modelled

using 50–60 B31 2-node linear beam elements in 3D space implying Timoshenko's beam theory.

The FEM simulation was divided into two steps: as a first step a pretension load F was applied to the beam and as a second step the modal analysis was performed. The FEM model was parametric, since the tensile load and elastic foundation parameters were unknown. The idea here was to "tune" these parameters in order to match results of physical tests and FEM simulations. Optimization criterion given by (19) represented a residual error between n natural frequencies defined via experimental modal analysis $\{f_1^{\text{exp}}, \dots, f_n^{\text{exp}}\}$ and numerically $\{f_1^{\text{FEM}}, \dots, f_n^{\text{FEM}}\}$. The error function contained weight coefficients p_k arbitrary assigned to each natural mode. Hence, the minimum of the multiparameter function R delivered the optimal solution.

$$R = \sqrt{\sum_{k=1}^n p_k^2 (f_k^{\text{exp}} - f_k^{\text{FEM}})^2}. \quad (19)$$



FIGURE 3: Inner yard of Casa Romei and zoom of the ground floor tie-rods.

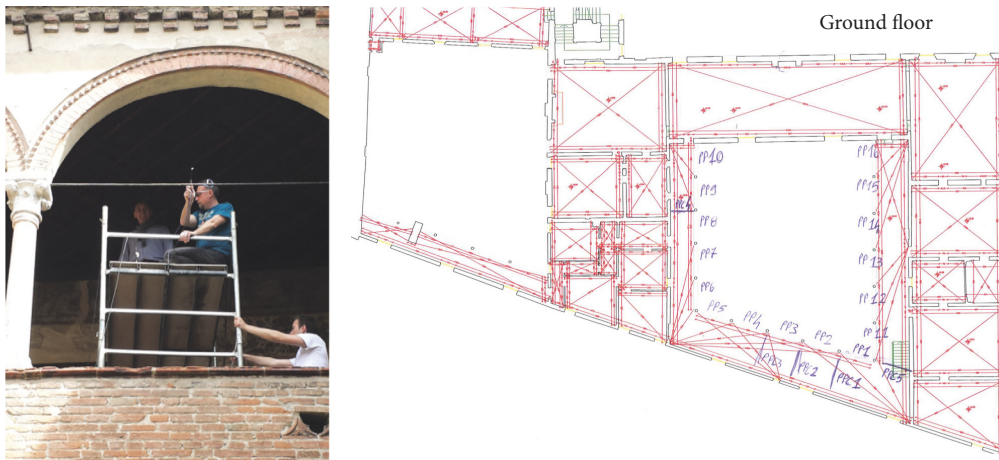


FIGURE 4: Our team during hammer excitation of a tie-rod; map of ground floor.

By using a parametric FEM model the representation of a tie-rod became flexible and first 6 natural frequencies were matched with overall accuracy up to 1%. Parameterisation of the FEM model was achieved by direct coding of the analysis input file, since parametric analysis is not enabled inside the graphical interface of Abaqus. Afterwards the script was executed automatically inside the optimization program coded in C. The latter program automatically extracted the required results from the text files with analysis outputs created by Abaqus.

As a first iteration we analytically investigated the function R (19) for a tie-rod with fixed and/or simply supported conditions, with length L and axial load F being optimization parameters. On a reasonable range of optimization parameters the residual error (19) had only a forced minimum at the minimal value of the length parameter. The found absolute minimum was lying, however, below the measured length of a tie-rod. This behaviour proved the necessity of modelling more complex boundary conditions. However, this first iteration provided a rough idea of the sought axial load and we could set the range for F around this value.

Subsequently we modelled the elastic bed boundaries, representing a general condition of translational and rotational stiffness acting for the length l_f , as in the sketch displayed in Figure 2. Elastic bed consists of equispaced linear

elastic springs each of stiffness k_f . Further the optimization has been done with respect to the sought axial load and the parameters of elastic foundation. We needed to provide sets of experimentally obtained frequencies, ranges, and step sizes of optimization parameters and sets of weight coefficients p_k to the code in C that

- (i) forms a matrix of parameters;
- (ii) launches the FEM analysis for each nod of the grid, extracts and filters natural frequencies from the output;
- (iii) calculates the value of residual error (19) for each step;
- (iv) finds the local minimum of the function (19) and the corresponding combination of parameters including the sought tensile load;
- (v) refines the grid of parameters and repeats the procedure again.

3.3. Application to a Case Study. The method described hereby was applied to investigation of tie-rods installed in “Casa Romei” located in Ferrara, Italy (see Figures 3 and 4). Romei House is a perfect example of a 15th century palace, in which you can see rich gothic decoration of the Late Middle

TABLE 1: Experimental acquisitions.

Tie-rod number	Cross-section A [mm ²]	Length l [mm]	Natural frequencies [Hz]					
			I	II	III	IV	V	VI
PT1	52 × 9	3178	15.30	—	49.00	—	92.30	—
PT2	51 × 9	3233	16.80	—	53.80	—	98.80	—
PT3	52 × 10	3228	16.30	—	53.80	—	103.00	—
PT4	51 × 10	3218	16.00	33.50	51.30	71.80	95.00	121.80
PT5	53 × 10	3188	5.50	—	28.30	—	65.50	91.00
PT6	50 × 20	2748	21.75	49.00	85.25	131.20	188.50	255.20
PT7	50 × 20	2768	20.00	45.50	80.00	123.80	179.20	242.20
PT8	50 × 20	3358	14.75	32.75	55.75	85.25	121.20	176.50
PT9	50 × 20	3248	15.50	34.25	58.75	90.00	128.00	173.00
PT10	50 × 20	3388	17.75	38.00	63.25	94.75	133.00	177.50
PT11	50 × 12	3440	13.25	29.75	51.25	78.25	111.50	150.80
PT12	50 × 12	3298	14.50	30.25	48.25	69.25	94.00	122.20
PT13	50 × 12	3140	13.75	—	47.25	—	95.75	—
PT14	50 × 12	2510	19.25	46.50	—	84.00	—	134.50

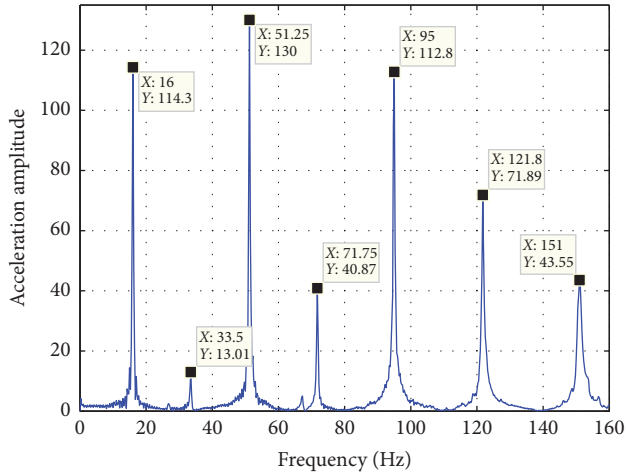


FIGURE 5: FRF plot (acceleration amplitude versus frequency).

Ages combine with elements of the Early Renaissance. Tie-rods have been placed in this building in different times along its existence, differing in dimensions and cross-section shapes.

First, measurements of geometrical characteristics and of natural frequencies were performed for each tie-rod. Then experimental acquisition was carried out to determine the natural frequencies from the analysis of response to dynamical excitation applied to tie-rods in horizontal plane; as an example, a frequency response function (FRF) for a ground floor tie-rod is shown in Figure 5.

For further analysis, first four to six natural frequencies were identified for each tie-rod. Six eigenmodes were considered sufficient, since identification of higher modes might appear inaccurate due to larger possible measurement errors.

Having performed multiple experimental studies of tie-rods, the authors concluded that the variation of the material was less significant than in the boundary conditions. Thus,

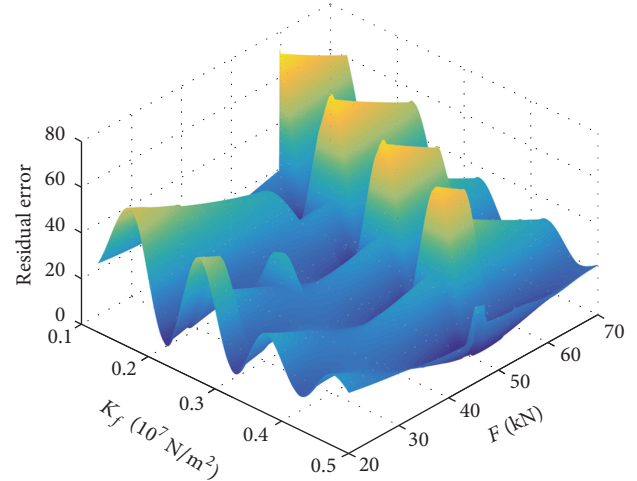


FIGURE 6: Residual error function as function of elastic bed stiffness and axial load.

the material properties were kept constant in this case study: material was assumed to be general iron with characteristics: $E = 210$ GPa, $\nu = 0.3$, $\rho = 7850$ kg/m³.

3.4. Load Identification Results. Table 1 summarizes the data on cross-section A , free length l , and natural frequencies of the ground floor tie-rods (see Figure 4 for layout). Some of the frequencies in Table 1 are missing, due to the eventual position of the accelerometer over a modal node; in these cases higher frequencies were considered in the optimization process.

A typical graphic scenario of the optimization process is depicted in Figure 6. Here several values of the function R (19) were plotted versus axial load F and distributed stiffness of the elastic bed K_f , for the tie-rod PT2. The length of elastic bed l_f (see Figure 2) was kept constant. It is notable that many minima are present in correspondence to certain F - K_f couples and one of them is a local minimum. The grid

TABLE 2: Summary of results.

Tie-rod number	Axial load F [kN]	Stress [MPa]	Bed stiffness K_f [10^7 MN/m 2]	Residual error
PT1	29.40	62.82	50.00	0.31
PT2	46.90	102.18	0.48	6.04
PT3	37.50	72.12	253.00	3.95
PT4	38.70	75.88	3.75	0.77
PT5	1.00	1.89	26.75	1.17
PT6	66.50	66.50	113.00	0.48
PT7	54.50	54.50	57.50	1.43
PT8	46.30	46.30	60.00	6.60
PT9	44.50	44.50	62.50	7.33
PT10	79.90	79.90	76.00	2.22
PT11	30.10	50.17	99.50	6.53
PT12	37.20	62.00	4.25	0.48
PT13	28.20	47.00	5.50	0.27
PT14	38.00	63.33	2.13	5.58

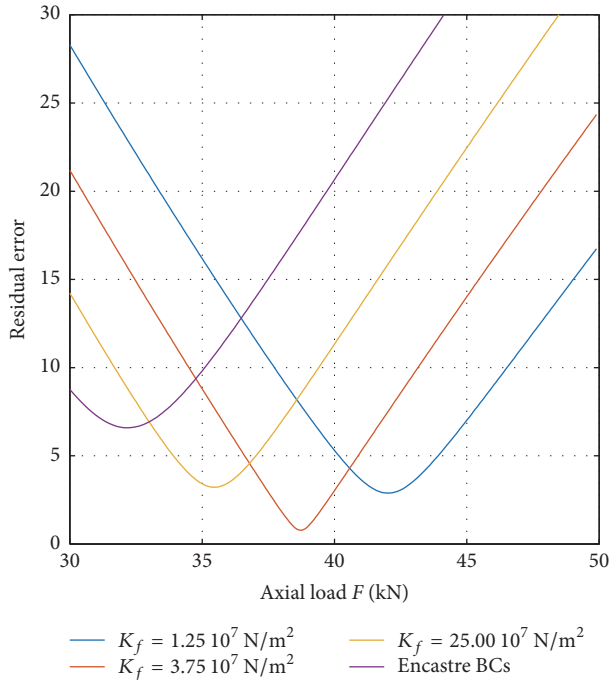


FIGURE 7: Influence of the elastic bed on the axial load determination.

of parameters F and K_f was then reduced around it, in order to refine the search of the optimal values.

Naturally, the so-defined minima of the residual error (19) were not independent; nevertheless, once the main parameter (axial load F) was optimized, the influence of stiffness of constraints on the first parameter was nearly negligible.

The model with elastic foundation boundaries delivered improvement of results as illustrated in Figure 7. The plot shows residual error curves for the tie-rod PT4 at different values of stiffness K_f compared to the clamped boundaries. It indicates that the minimum of the error (19) decreases from 6.59 (encastré BCs) to 0.77 for the optimal stiffness $K_f =$

3.75×10^7 N/m 2 . The corresponding optimal value of the axial load in this tie-rod increases by 20% from 32.20 kN (encastré BCs) to 38.70 kN (elastic bed). We presume that a model with simple clamped boundaries underestimated the axial load; thus, the proposed method reveals to be conservative for the load estimation.

Table 2 summarizes the results of the computation process of the reported case study.

3.5. Analysis of the Results. Tensile loads cause normal stresses that need to be estimated for evaluation of reliability and integrity of tie-rods. This safety assessment can be carried out based on the values of average axial stress $\bar{\sigma}_{k,N}$, to which each tie-rod is subjected. The stress was calculated with respect to the optimal axial load $F_{k,opt}$ and the minimum cross-section area $A_{k,min}$:

$$\bar{\sigma}_{k,N} = \frac{F_{k,opt}}{A_{k,min}}. \quad (20)$$

This stress (20) is average since it assumes a uniform section without taking into consideration such local effects as screws, fillets, holes, joints, and so forth that tie-rods might incorporate. However, these stress concentrators should be considered, if present, for correct local strength verification, eventually via FEM analysis.

In Figure 8 the stresses in rods PT1–PT14 are plotted. Apart from PT5, all tie-rods worked properly and below the allowable stress of 120 MPa for ancient iron. Rod PT5 was evidently unloaded, which indicated that there was either some damage or malfunction at the anchorages; the issue has been stated in the appropriate technical report of the case study. For comparison, Figure 8 reports also average stresses calculated for the case of encastré boundary conditions: safety improvement was definitely confirmed.

In Section 3.2 we introduced the residual error (19) to compute “distance” between experimental and numerical frequencies. In the formula the difference (in Hz) between each couple of frequencies is multiplied by a weight coefficient

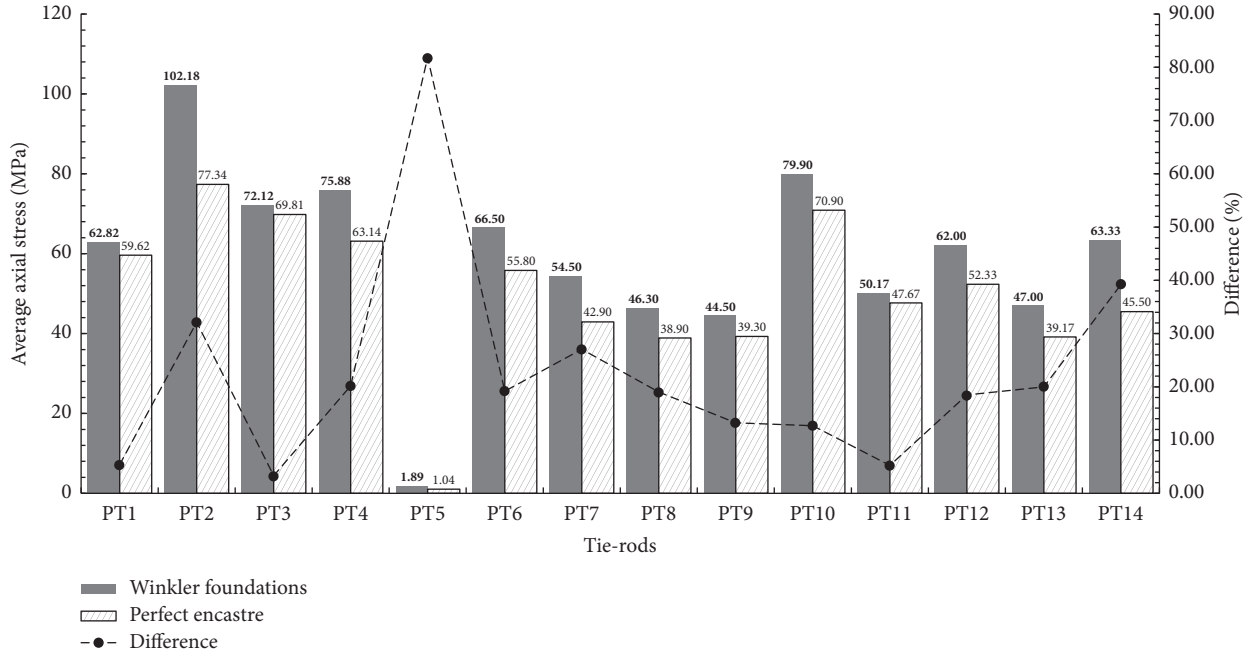


FIGURE 8: Average stress state in tie-rods: comparison between encasté and elastic foundation boundaries.

TABLE 3: Results of computation with three sets of weights for the tie-rod PT4.

Sets of weight coefficients	Axial load F [kN]	Bed stiffness K_f [10^7 MN/m 2]	Natural frequencies [Hz]					
			I	II	III	IV	V	VI
$W_1 = \{1, 1, 1, 1, 1, 1\}$	39.00	3.50	16.03	32.91	51.37	72.03	95.32	121.53
$W_3 = \{4, 1, 0.5, 0.25, 0.1, 0.05\}$	38.60	4.50	16.04	32.95	51.48	72.25	95.69	122.13
$W_2 = \{10, 1, 1, 1, 1, 1\}$ optimal values	38.70	3.75	16.00	32.85	51.31	71.97	95.28	121.55
W_2 , encasté BCs	32.20	∞	15.68	32.47	51.33	72.97	97.91	126.50
Experimentally defined frequencies			16.00	33.50	51.30	71.80	95.00	121.80

p_k in order to attribute higher or lower importance to some frequencies rather than others. The set of weight coefficients was arbitrary chosen, but generally higher importance has been given to the first frequencies.

A sensitivity analysis has been conducted to evaluate the influence of weight coefficients on our results. The plot in Figure 9 shows three residual error functions for the tie-rod PT4 corresponding to three different sets of weight coefficients. The sets taken into consideration were $W_1 = \{1, 1, 1, 1, 1, 1\}$, $W_2 = \{10, 1, 1, 1, 1, 1\}$, and $W_3 = \{4, 1, 0.5, 0.25, 0.1, 0.05\}$. We see that each set generated a different interpolated surface; however, the error reached minimum around the same value of the sought axial load, as reported in Table 3. Many other reasonable sets of weight coefficients have been tested, confirming their low influence on the resulting axial load, which proved the stability of our model with respect to these arbitrary assigned parameters.

4. Conclusions

In this paper a procedure for axial load identification in structural tie-rods was demonstrated and approved via an experimental study of an ancient mansion. The method is

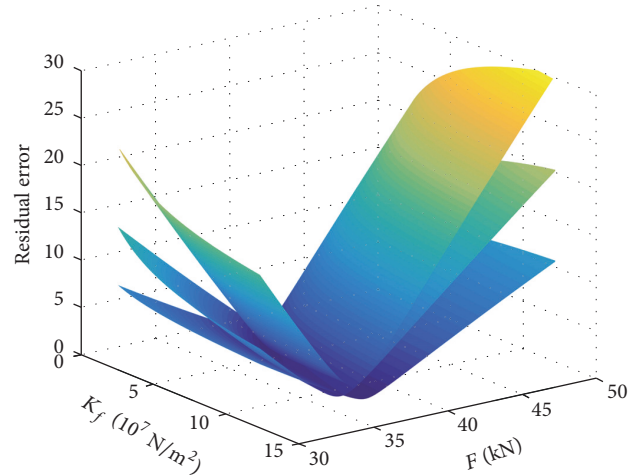


FIGURE 9: Residual error functions for sets of weight coefficients W_1 , W_2 , W_3 .

based on a tie-rod model represented by a beam with ends supported by an elastic Winkler-type foundation. The elastic bed was used to simulate the contact condition between a

tie-rod and a masonry wall. The proposed method consisted of an experimental and a computational stage. The experimental part was a relatively simple vibrational test for natural frequencies identification. The computational part was an optimization procedure for axial load estimation based on finite element modelling. The optimization has been done with respect to two parameters: the sought axial load and the distributed stiffness of the elastic bed at the boundaries. The technique provided a solution for uncertain boundary conditions and is capable of identifying axial load with high accuracy.

Investigation of the behaviour of natural frequencies depending on the parameters showed that axial load tends to shift the set of frequencies (the higher the load the higher the frequencies), while the elastic foundation stiffness changes the “distance” between natural frequencies.

As a result, consideration of elasticity at anchorages exhibited increase in axial load by up to 40%. This means that assumption of simple boundary conditions is not sufficient for modelling a tie-rod dynamic response. The sensitivity analysis has proved that the optimization result was stable to variation of weight coefficients and was converging to the same axial load. Thus, the method has been approved in practice and is suitable for in situ identification of axial load in ancient tie-rods.

Nomenclature

A :	Cross-section area of the tie-rod
B_1, B_2 :	Coefficients in the time function of the solution for tie-rod deflection
C :	Coefficient of the general solution for the form function
C_1, \dots, C_{12} :	Coefficients of the solution for tie-rod deflection that satisfy the specific boundary conditions
C :	Constant equal to the ratio $\sqrt{EI/\rho A}$
E :	Elastic modulus of the tie-rod material
F :	Axial load acting on the tie-rod
f_k^{exp} :	Natural frequency number k determined experimentally
f_k^{FEM} :	Natural frequency number k determined from FEM
$H(x)$:	Heaviside function
I :	Moment of inertia of the cross-section about z -axis
K_f :	Distributed stiffness of the elastic bed
k_f :	Stiffness of the separate springs that discretize the elastic bed
k_1, \dots, k_4 :	Real constants used in the expressions for s
L :	Total length of the tie-rod
l_f :	Lengths of the tie-rod portion inserted into the wall, sections I and III
L :	“Free” length of the tie-rod, section II
M :	Matrix of the system of linear algebraic equations for the unknowns C_1, \dots, C_{12}
N :	Poisson’s ratio

Π :	Total potential energy
p_k :	Weight coefficient of a frequency number k
R :	Residual error between two sets of n natural frequencies
P :	Density of the tie-rod material
$s, s_{\text{I,II,III}}, s_1, \dots, s_4$:	Coefficients in the exponent of the solution for tie-rod deflection
T :	Kinetic energy
$\tau_i(t), \tau(t)$:	Time function in the Fourier solution for tie-rod deflection
U :	Potential energy of elastic strain
V_F :	Potential energy associated with the axial load
V_w :	Potential energy associated with the elastic foundation
Ω :	Natural frequency in rad/s
$w(x, t)$:	Tie-rod deflection in xOy plane
$w_{\text{I,II,III}}(x, t)$:	Deflection for each section of the tie-rod
$W(x)$:	Form function of the tie-rod deflection
$W_{\text{I,II,III}}(x)$:	Form functions for each section of the tie-rod.

Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this paper.

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