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# Photoelectrochemical properties of mesoporous NiO<sub>x</sub> deposited on technical FTO via nanopowder sintering in conventional and plasma atmospheres

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article

## Abstract

Nanoporous nickel oxide (NiO<sub>x</sub>) has been deposited with two different procedures of sintering (CS and RDS). Both samples display solid state oxidation at about 3.1 V vs Li+/Li. Upon sensitization of CS/RDS NiO<sub>x</sub> with erythrosine b (ERY), nickel oxide oxidation occurs at the same potential. Impedance spectroscopy revealed a higher charge transfer resistance for ERY-sensitized RDS NiO<sub>x</sub> with respect to sensitized CS NiO<sub>x</sub>. This was due to the chemisorption of a larger amount of ERY on RDS with respect to CS NiO<sub>x</sub>. Upon illumination the photoinduced charge transfer between ERY layer and NiO<sub>x</sub> could be observed only with oxidized CS. Photoelectrochemical effects of sensitized RDS NiO<sub>x</sub> were evidenced upon oxide reduction. With the addition of iodine RDS NiO<sub>x</sub> electrodes could give the reduction iodine → iodide in addition to the reduction of RDS NiO<sub>x</sub>. p-type dye sensitized solar cells were assembled with RDS NiO<sub>x</sub> photocathodes sensitized either by ERY or Fast Green. Resulting overall efficiencies ranged between 0.02 and 0.04 % upon irradiation with solar spectrum simulator (*I*<sub>in</sub>: 0.1 W cm<sup>-2</sup>).

**Keywords:** Nickel oxide, Nanopowder, p-type semiconductor, electrochemistry, Dye-sensitized solar cell, FTO, Solar conversion

## Background

Among semiconductors the class of metal oxides represents a particularly important group mainly because of the high chemical and physical stability in bulk (Ramanathan 2010) and nanocrystalline phases (Hagfeldt and Grätzel 1995). Other remarkable properties of semiconducting metal oxides are the display of mixed valence properties (cases of Nb, Ta, V, Mo, W or Ni oxides), (Cotton and Wilkinson 1988) and their electroactivity (Morrison 1980) that consists in the capability of being reversibly reduced and/or oxidized in the configuration of thin film (Yohe et al. 1968; Passerini and Scrosati 1994; Awais et al. 2013). Semiconducting oxides undergo also reversible solid state transitions of the type *semiconductor-to-metal* upon chemical/electrochemical doping (Dickens and Whittingham 1968; Masetti et al. 1995; Bueno et al. 2003). Such ensemble of features render metal oxides particularly interesting in those technologies (Smith and Granqvist

2010) based on the controlled modulation of the electrical, optical and dielectric properties. Within this class of functional materials a particularly important case is represented by nickel oxide the electrochemical (Awais et al. 2010, 2013; Marrani et al. 2014), electrochromic (Estrada et al. 1991; Decker et al. 1992) and photoelectrochemical (Awais et al. 2011, 2013; Sheehan et al. 2015) properties of which have been characterized and interpreted. In the most technologically relevant applications nickel oxide is in the configuration of thin film (thickness,  $l$ ,  $0.15 \leq l \leq 10 \mu\text{m}$ ), and represents a defective system with non stoichiometric features (general formula:  $\text{NiO}_x$ ). The stoichiometric coefficient  $x$  is comprised in the range  $1 < x < 1.5$  depending on the relative concentration of Ni(III) centers with respect to Ni(II) centers (Mitoff 1961). The Ni(III) sites host the electronic vacancies (holes) that represent the positive charge carrier in neutral  $p$ -type  $\text{NiO}_x$ . The concentration, mobility, diffusion properties and lifetime of the holes can be opportunely altered in  $\text{NiO}_x$  with the consequent modification of all electronic properties which depend on hole concentration (electrical conductivity, photoconductivity, redox properties, optical absorption, reflectance and dielectric constant) (Passerini and Scrosati 1994; Awais et al. 2010, 2011, 2013; Marrani et al. 2014; Estrada et al. 1991; Decker et al. 1992; Sheehan et al. 2015; Mitoff 1961; D'Amario et al. 2014; Boschloo and Hagfeldt 2001; He et al. 1999). One important application that utilizes  $\text{NiO}_x$  as functional material is the dye-sensitized solar cell (DSC) (O'Regan and Grätzel 1991). In these solar conversion devices  $\text{NiO}_x$  constitutes the photoelectroactive cathode (He et al. 2000). The main features of the  $\text{NiO}_x$  electrodes for  $p$ -type DSCs ( $p$ -DSCs) are the mesoporosity, the electrical connectivity between oxide nanoparticles, the large surface area, the optical neutrality and the capability of being efficaciously sensitized by NIR/vis light absorbers (He et al. 1999, 2000; Vera et al. 2005; Awais et al. 2014, 2015; Gibson et al. 2013).  $\text{NiO}_x$  for  $p$ -DSC purposes has been prepared in a variety of ways that differ for the nature of the precursors, procedure of preparation/deposition and thermal treatment (Dini et al. 2015). The photoelectrochemical properties of the resulting  $\text{NiO}_x$  films were dependent on the method of preparation and in a series of recent works we demonstrated the feasibility of a new scalable method of deposition and procedure of sintering for the preparation of  $\text{NiO}_x$  thin films which displayed very promising performances in  $p$ -DSC devices (Sheehan et al. 2015; Awais et al. 2014, 2015; Gibson et al. 2013). In fact, the combination of spray deposition and microwave plasma sintering (Awais et al. 2011) afforded  $\text{NiO}_x$  photocathodes that gave efficiencies in the order of 0.12 % when P1 was the sensitizer (Gibson et al. 2013). In these studies we defined also new indirect criteria for the evaluation of  $\text{NiO}_x$  electrode performance through the correlation between the redox properties of bare  $\text{NiO}_x$  in a three electrode cell and the photoelectrochemical properties of sensitized  $\text{NiO}_x$  in a two-electrode cell when a redox couple was present in the electrolyte (Sheehan et al. 2015; Awais et al. 2015; Gibson et al. 2013). In doing so, the electrochemical processes based on electroactive  $\text{NiO}_x$  and those based on the redox shuttle could be distinguished (Sheehan et al. 2015). Moreover, a mechanism of electrochemical switching could be verified with the occurrence of the recombination hole/reduced form of redox shuttle following the electrochemical injection of holes in  $\text{NiO}_x$  electrodes (Sheehan et al. 2015). Beside electrolyte composition, another factor controlling the electrochemical processes at  $\text{NiO}_x$  electrodes in DSCs is the nature of the supporting conductive substrate on which  $\text{NiO}_x$  coatings are deposited (Awais et al. 2015).

In DSCs the supporting substrate must be transparent in order to warrant the transmission of light to the photoactive sensitized electrode. Another requirement of the transparent conductive substrate is its capability of transferring charge between NiO<sub>x</sub> and the substrate itself for charge collection in the external circuit. The choices of the transparent conductive substrates for the support of NiO<sub>x</sub> thin film electrodes for DSCs purposes are confined usually to indium-doped tin oxide (ITO) (Awais et al. 2013, 2015), fluorine-doped tin oxide (FTO) (Sheehan et al. 2015) and doped ZnO (Rousset et al. 2011). In the past, the influence of the nature of the substrate on the electrochemical properties of NiO<sub>x</sub> for DSCs has been analyzed only in the case of ITO (Awais et al. 2013, 2015). Charge trapping phenomena with irreversible features were verified in ITO substrate when this was cathodically polarized (Awais et al. 2013, 2015). For this reason the choice of ITO as supporting substrate of NiO<sub>x</sub> photocathodes for DSCs should be generally avoided or considered very carefully depending on the range of working potential. Since the study of the electrochemical properties of FTO substrate in relation with the redox and photoelectrochemical properties of NiO<sub>x</sub> coating has never been attempted for *p*-DSCs purposes, the main motivation of the present work is to analyze for the first time the influence of the nature of FTO substrate (Granqvist 2007; Švegl et al. 2012; Nattestad et al. 2010; Powar et al. 2013) on the electrochemical properties of nanostructured NiO<sub>x</sub> coatings deposited onto FTO (Gibson et al. 2013; Novelli et al. 2015; Bode et al. 1966; Lyons and Brandon 2008) via the two scalable methods of conventional sintering (CS) and microwave assisted plasma sintering (RDS) of preformed metal oxide nanoparticles (diameter <40 nm) (Awais et al. 2011; Sheehan et al. 2015). CS and RDS NiO<sub>x</sub> samples were spray deposited onto transparent conducting oxide FTO to carry out the electrochemical and photoelectrochemical characterization of bare and dye-sensitized mesoporous NiO<sub>x</sub> in non aqueous electrolyte. Both CS and RDS samples were sensitized with erythrosin B (ERY), i.e. a benchmark sensitizer for *p*-type DSCs (*p*-DSCs) (He et al. 1999, 2000; Vera et al. 2005; Awais et al. 2014, 2015; Gibson et al. 2013). The study has involved also the electrochemical characterization of uncoated FTO utilizing the same set of electrolytes used for the electrochemical/photoelectrochemical characterization of NiO<sub>x</sub> coatings. In particular, we have considered the process of electrochemical oxidation of CS and RDS NiO<sub>x</sub> in non aqueous electrolyte with various supporting electrolytes. We have also analysed the process of electrochemical reduction of nickel oxide samples in presence of iodine as redox species (O'Regan and Grätzel 1991; Boschloo and Hagfeldt 2009; Hagfeldt et al. 2010). The *p*-DSCs with the RDS NiO<sub>x</sub> cathodes sensitized with ERY and Fast Green (Perera et al. 2003) colorants have been characterized.

## Experimental section

### Deposition of NiO<sub>x</sub> coatings

Mesoporous NiO<sub>x</sub> layers (thickness,  $l$ , varying in the range  $0.4 \leq l < 6 \mu\text{m}$ ) were deposited by spraying onto FTO a suspension of NiO nanoparticles with diameter  $d < 50 \text{ nm}$  (from Aldrich-Sigma) dispersed in alcoholic medium and then sintered with two different procedures: (1) conventional sintering (CS) (Awais et al. 2013); (2) rapid discharge sintering (RDS) (Awais et al. 2011). The maximum temperature of sintering was 450 °C in both CS and RDS procedures. Spray deposition of NiO<sub>x</sub> nanoparticles was conducted

on FTO substrate at room temperature. FTO-covered glass panels were purchased from Solaronix (TCO22-7). They were square-shaped and had a total area of 25 cm<sup>2</sup>. The description of the experimental setup for spraying the suspension of nickel oxide nanopowder has been reported by Halme et al. (2006). In the alcoholic dispersion the mass concentration of nickel oxide nanopowder was 20 mg mL<sup>-1</sup> (medium: 2-propanol, from Aldrich). All chemicals were used as received.

#### **NiO<sub>x</sub> sensitization**

Prior the sensitization, CS and RDS NiO<sub>x</sub> films on FTO were rinsed with ethanol and heated up to 100 °C for few minutes in order to remove possible adsorbed water. At that temperature they were immersed in the solution of ERY sensitizer [0.3 mM ERY (from Sigma-Aldrich) in ethanol (from Fisher)] for sixteen hours.

RDS sample has been sensitized also with Fast Green (Perera et al. 2003) upon immersion of the electrode in 0.2 mM Fast Green in acetonitrile (dipping time: 16 h). The dipping solutions were kept at ambient temperature. After removing the electrodes from the tincture solution, the colored electrodes were thoroughly washed with pure ethanol and pure acetonitrile to remove non chemisorbed dye molecules in the case of ERY and Fast Green sensitization, respectively.

#### **Electrochemical characterization of uncoated FTO**

Similar to the electrochemical characterization we conducted previously on bare (Awais et al. 2013) uncoated FTO was employed as working electrode in a three-electrode cell and treated according to the procedure therein reported. Prior to any electrochemical test, bare FTO was kept in ultrasonic bath using isopropyl alcohol as solvent. The ultrasonic treatment lasts 30 min. Successively the ultrasonically cleaned FTO was dried in oven at 60 °C. After the cleaning treatment the FTO substrate was introduced in an Ar filled glove box. Uncoated FTO substrate was manipulated in the glove-box for cell assembly utilizing a three-electrode cell with Li rods (from Aldrich) as counter and reference electrodes (Masetti et al. 1995; Dini et al. 1996), and 0.7 M LiClO<sub>4</sub> (from Aldrich) in anhydrous propylene carbonate (PC, from Fisher) as electrolyte. For the electrochemical characterization of FTO the potential values have been referred to the Li<sup>+</sup>/Li redox couple. The chemicals for the preparation of the electrolyte were used as received and were stored in an Ar filled glove-box (Innovative Technology, Newburyport, Massachusetts, USA). O<sub>2</sub> and H<sub>2</sub>O content was below 10 ppm and 5 ppm, respectively. The electrochemical cell with FTO as working electrode was assembled in the Ar atmosphere of the glove-box. A supernatant Ar atmosphere was always maintained in the cell during the electrochemical experiments. The experiments of cyclic voltammetries and electrochemical impedance spectroscopy (EIS) were conducted with the CH electrochemical analyzer (model 604C, Austin, Texas, USA). EI spectra were recorded in the frequency range 5 × 10<sup>-3</sup>–1 × 10<sup>5</sup> Hz in going from the highest to the lowest frequency.

#### **Electrochemical characterization of CS and RDS NiO<sub>x</sub> films**

The electrochemical characterization of the differently sintered NiO<sub>x</sub> coatings was carried out in electrochemical cells with three-electrode configurations. Three-electrode cell configuration was the same as in case of bare FTO (vide supra) with working

electrodes: glass/FTO/CS-NiO<sub>x</sub>, glass/FTO/RDS-NiO<sub>x</sub>, and the ERY-sensitized versions of both types of NiO<sub>x</sub>. In one type of three electrode configuration Li rods were used as counter and reference electrodes and the potential values were expressed relatively to the redox couple Li<sup>+</sup>/Li. The electrolyte had the same composition of the one used for the electrochemical tests of uncoated FTO.

In the second type of three-electrode configuration, the counter electrode was a Pt wire while reference was a standard calomel electrode (SCE). Nickel oxide based working electrodes were of CS and RDS types either in the bare or ERY-sensitized state. Electrolyte compositions were: (1) 0.5 M LiClO<sub>4</sub> in PC; (2) 0.5 M TBAPF<sub>6</sub> in PC (TBA: tetra-butyl-ammonium); (3) 0.5 M LiI in PC; (4) 0.5 M LiClO<sub>4</sub>, 0.05 M I<sub>2</sub> in PC; (5) 0.5 M TBAPF<sub>6</sub>, 0.05 M I<sub>2</sub> in PC. In some electrochemical experiments with the three-electrode cell we also used the non aqueous reference electrodes Ag/AgNO<sub>3</sub> (Dini et al. 1999) and Ag/AgCl in anhydrous acetonitrile (Nattestad et al. 2010). In the two-electrode cell configuration, platinumized FTO was used as counter electrode while the potential values were expressed as the difference of electrical potential built up by NiO<sub>x</sub> working and Pt/FTO counter electrodes.

Cyclic voltammeteries and EIS experiments with NiO<sub>x</sub> working electrodes were conducted with the same set-up utilized for the analysis of the electrochemical properties of uncoated FTO (vide supra). In the experiments of photoelectrochemistry, the irradiation of bare and sensitized CS/RDS NiO<sub>x</sub> electrodes was realized with a halogen lamp (power,  $P = 50$  W), using an electrochemical cell provided with optical windows (optical window area: 25 cm<sup>2</sup>).

#### p-DSC assembly

*p*-type DSCs with sensitized RDS NiO<sub>x</sub> electrodes (photoelectroactive area: 5 × 5 mm<sup>2</sup>) were assembled by sealing the photocathodes and the counter electrode (platinum coated FTO) face-to-face in a sandwich configuration. Sealant was a 30 μm thick pre-cut Surlyn<sup>®</sup> thermoplastic frame with interior area of 6 × 6 mm<sup>2</sup> having the additional function of separator. The device was filled with the electrolyte 0.5 M I<sub>2</sub>, 0.05 M LiI in acetonitrile through a pre-drilled hole in the counter electrode under reduced pressure. The hole was sealed with Surlyn<sup>®</sup> and a glass cover-slide. For the determination of the characteristic *JV* curves RDS NiO<sub>x</sub> coatings were masked using a 4 × 4 mm opaque frame and in all cases the cells were illuminated from the side of the NiO<sub>x</sub> working electrode (front illumination mode). The photovoltaic performance of NiO<sub>x</sub> sensitized with ERY and Fast Green was evaluated using a solar simulator with AM1.5G spectral distribution.

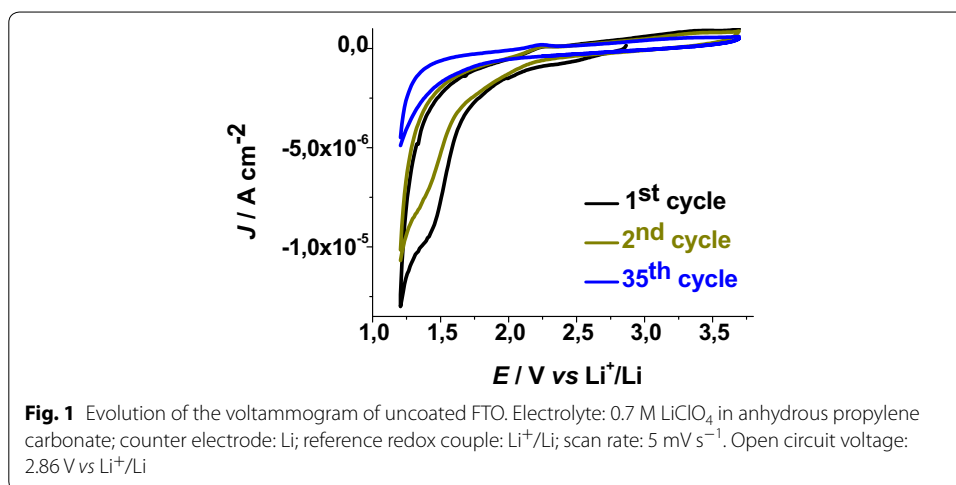
## Results and discussion

### Electrochemical properties of FTO substrate

Technical FTO (95:5 ≤ SnO<sub>2</sub>:SnF<sub>4</sub> < 99:1 molar ratio range; general formula: SnF<sub>x</sub>O<sub>2</sub>) with a sheet resistance of 7 Ω/□ and thickness <1 μm presents an irreversible wave of reduction between 1.1 and 2.2 V vs Li<sup>+</sup>/Li. The amplitude of this cathodic wave decreased upon continuous cycling (Fig. 1).

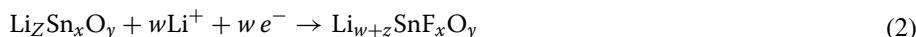
In analogy to ITO (Awais et al. 2013), such an electrochemical behavior is attributed to the initial irreversible uptake of lithium cations inside FTO according to the reaction:



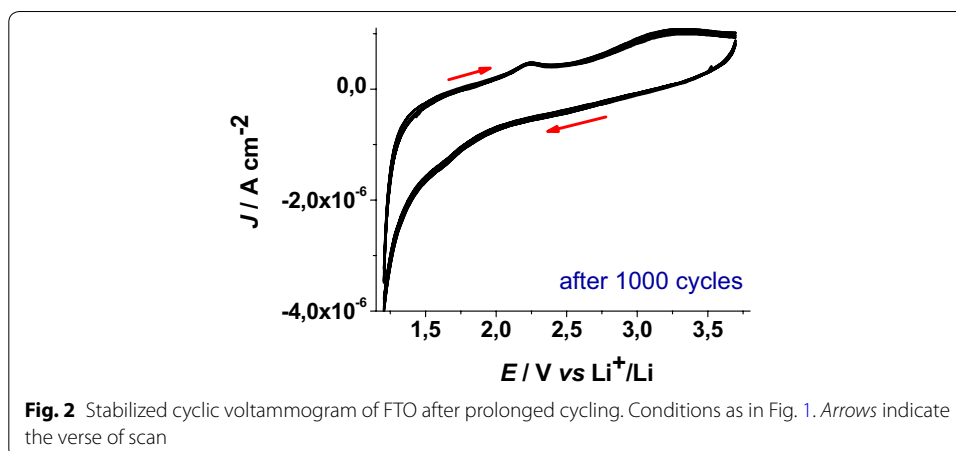


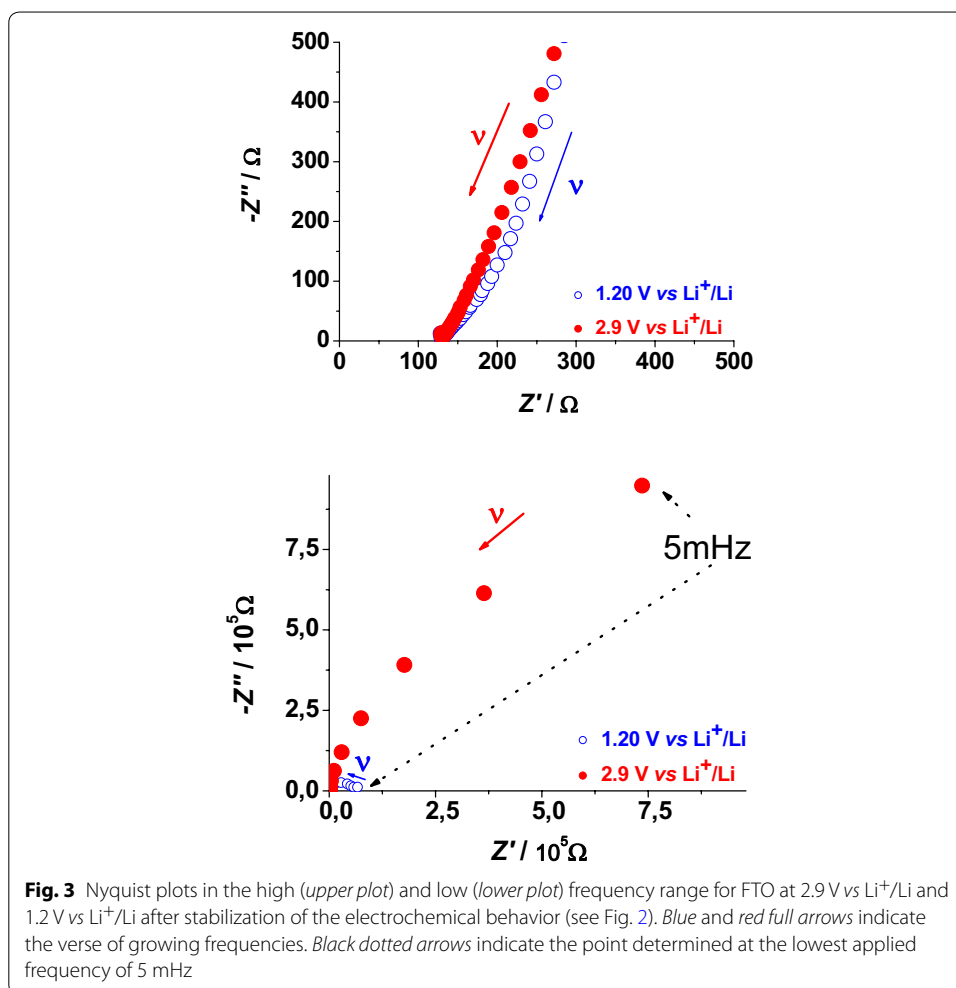
The electrochemically driven process of Eq. 1 leads to the permanent rearrangement of the FTO structure with the formation of Li<sub>z</sub>SnF<sub>x</sub>O<sub>y</sub> (here indicated as lithiated FTO). Upon prolonged cycling the voltammogram of uncoated lithiated FTO stabilizes with the observation of the profile plotted in Fig. 2.

To investigate the changes of the electron transport properties in lithiated FTO, electrochemical impedance spectroscopy (EIS) has been utilized (Ho et al. 1980). The impedance spectra have been recorded when Li<sub>y</sub>SnF<sub>x</sub>O<sub>2</sub> was polarized at 1.2 and 2.9 V vs Li<sup>+</sup>/Li (electrolyte: 0.7 M LiClO<sub>4</sub> in anhydrous PC, Fig. 3). The electrochemical reaction of Li<sub>z</sub>SnF<sub>x</sub>O<sub>y</sub> (Fig. 2) is the process of electrons uptake and release by Li<sub>y</sub>SnF<sub>x</sub>O<sub>2</sub> respectively at 1.2 and 2.9 V vs Li<sup>+</sup>/Li with the accompanying uptake and release of lithium cations in order to preserve the electrical neutrality within Li<sub>w+z</sub>SnF<sub>x</sub>O<sub>y</sub> (Eq. 2) (Cogan et al. 1985).



The EIS profile of Li<sub>z</sub>SnF<sub>x</sub>O<sub>y</sub> recorded at 2.9 V vs Li<sup>+</sup>/Li presents an incomplete semi-circle at low frequency whereas the signal of the lithiated system Li<sub>w+z</sub>SnF<sub>x</sub>O<sub>y</sub> electrochemically formed at 1.2 V vs Li<sup>+</sup>/Li (Figs. 1, 2) is characterized by a completely defined semicircle in the same range of frequencies with amplitude smaller than that of





Li<sub>z</sub>SnF<sub>x</sub>O<sub>y</sub> (Fig. 3). Following lithiation (Eq. 1), the charge transport through the Li<sub>z</sub>SnF<sub>x</sub>O<sub>y</sub> at 2.9 V and Li<sub>w+z</sub>SnF<sub>x</sub>O<sub>y</sub> at 1.2 V layer is of mixed nature, i.e. both ionic and electronic (Chernyak et al. 1996). Anyhow, in the frequency range here analyzed there are no clear evidences of the diffusive characteristics usually associated with Warburg elements (Ho et al. 1980). We observe an increase of the through-layer resistance  $R_l$  (Ho et al. 1980) determined by the horizontal amplitude of the low frequency semicircle when the system passes from Li<sub>z</sub>SnF<sub>x</sub>O<sub>y</sub> (56.7 kΩ) to Li<sub>w+z</sub>SnF<sub>x</sub>O<sub>y</sub> (>100 kΩ). This trend indicates that the process of simultaneous lithium and electrons uptake in Li<sub>z</sub>SnF<sub>x</sub>O<sub>y</sub> polarized at 1.2 V vs Li<sup>+</sup>/Li brings about the general increase of both electronic and ionic conductivity through the lithiated layer of FTO. The high frequency features associated with the capacitance built up by charge separation at the Li<sub>z</sub>SnF<sub>x</sub>O<sub>y</sub> (or Li<sub>w+z</sub>SnF<sub>x</sub>O<sub>y</sub>)/electrolyte interface (double layer capacitance,  $C_{DL}$ ), and the parallel charge transfer resistance ( $R_{CT}$ ) of ionic insertion/extraction through the same interface at high frequency are not well defined. When compared to lithiated ITO (Awais et al. 2015) the corresponding values of the electrical parameters of lithiated FTO show a general diminution of the resistive terms.

Solid-state reduction of  $\text{Li}_z\text{SnF}_x\text{O}_y$  into  $\text{Li}_{w+z}\text{SnF}_x\text{O}_y$  represents a process of electrochemical  $n$ -doping with the formation of an accumulation layer which is expected to improve the surface-confined process of ionic charge transfer at the electrode/electrolyte interface. The resistive term  $R_l$  associated with the process of charge transfer through the layer is assumed to be inversely proportional to the number of mobile charge carriers (both ionic and electronic), which are present in the layer. This accounts for the decrease of  $R_l$  in passing from 2.9 to 1.2 V vs  $\text{Li}^+/\text{Li}$  since the number of mobile charge carriers of both nature increases within lithiated FTO upon occurrence of the solid state reduction reported in Eq. 2.

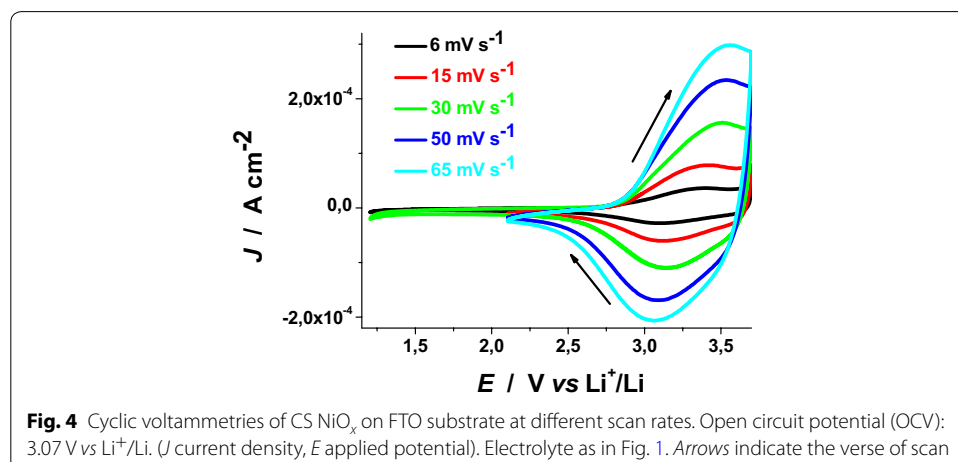
#### Electrochemical properties of RDS and CS $\text{NiO}_x$ samples

CS  $\text{NiO}_x$  sample presents a quasi-reversible oxidation peak centred approximately at 3.40 V vs  $\text{Li}^+/\text{Li}$  when scan rate is  $6 \text{ mV s}^{-1}$  (Fig. 4). Such a peak presents broad features and refers to the conversion of Ni(II) into Ni(III) within the oxide film (Boschloo and Hagfeldt 2001).

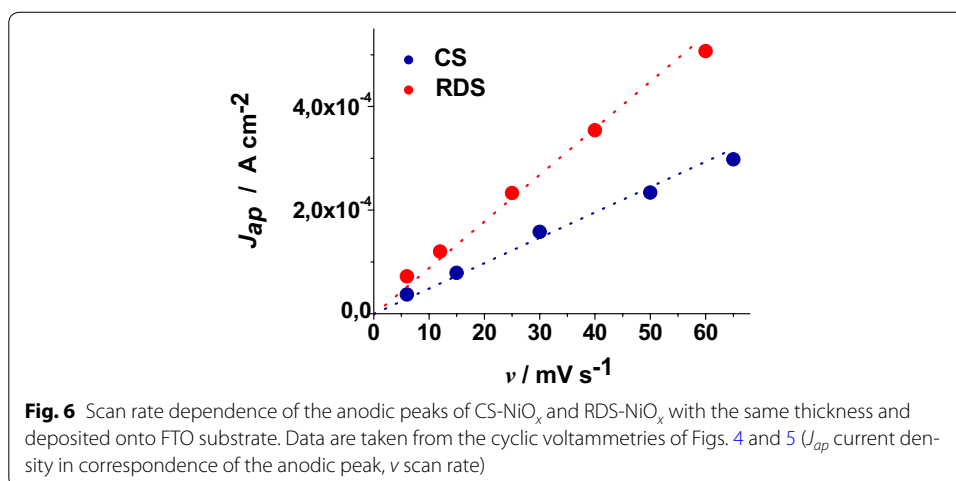
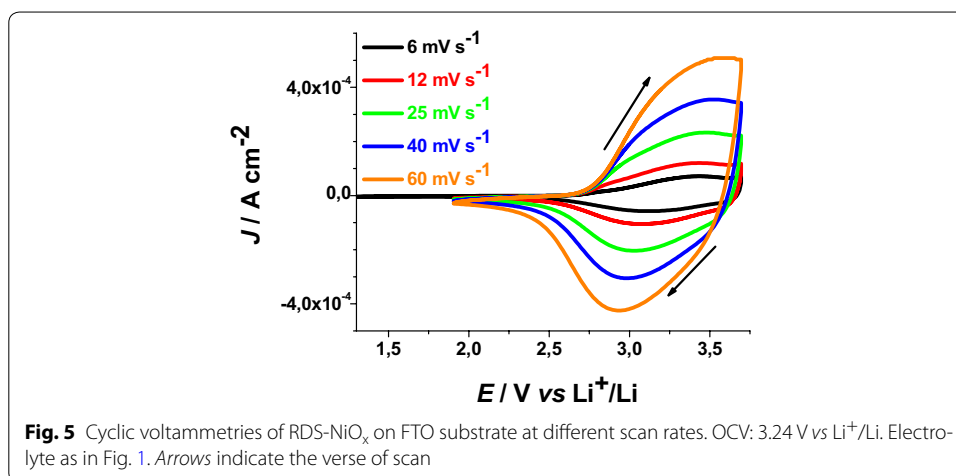
The onset of CS oxidation is about 2.85 V vs  $\text{Li}^+/\text{Li}$ . This indicates that the pristine film of CS contains a fraction of Ni(III) sites the open circuit voltage (OCV) being 3.07 V vs  $\text{Li}^+/\text{Li}$ . This has been further confirmed by XPS data that present signals associated to the presence of Ni(III) in pristine mesoporous  $\text{NiO}_x$  (Marrani et al. 2014; Gibson et al. 2013). Analogous considerations hold in the case of RDS nickel oxide samples (Fig. 5). RDS  $\text{NiO}_x$  is characterized by the onset of oxidation at about 2.75 V vs  $\text{Li}^+/\text{Li}$ , and by an OCV value of 3.24 V vs  $\text{Li}^+/\text{Li}$ .

When the scan rate dependence of the oxidation peaks of CS  $\text{NiO}_x$  and RDS  $\text{NiO}_x$  is analysed (Fig. 6), a linear relationship between peak height and scan rate is found. This corresponds to the occurrence of a surface confined redox process (Bard and Faulkner 2001) the rate of which does not depend on the diffusion of charge carriers or mass transfer processes.

These results have been determined in anhydrous electrolyte and are consistent with the data reported by Boschloo et al. (2001) who characterized sol-gel  $\text{NiO}_x$  films in aqueous and non aqueous electrolytes. The comparative analysis of the electrochemical properties of CS  $\text{NiO}_x$  and RDS  $\text{NiO}_x$  shows that RDS sample in the pristine state

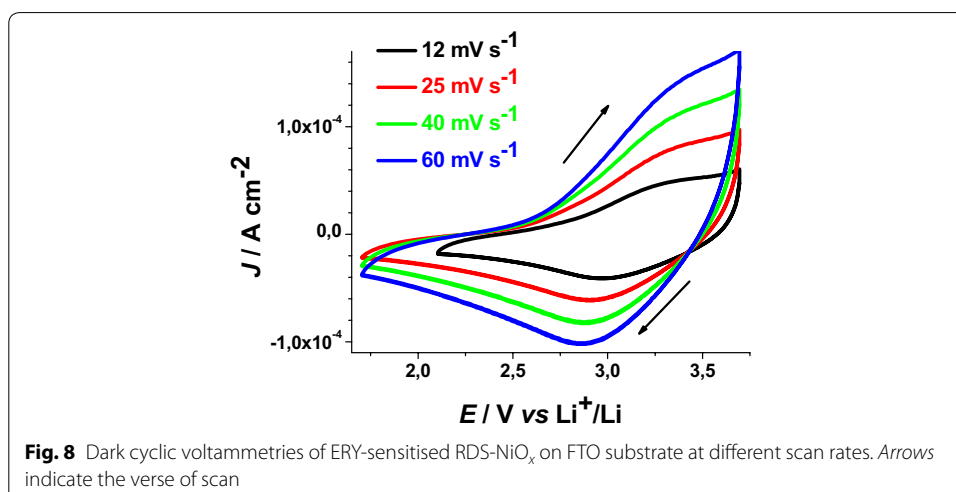
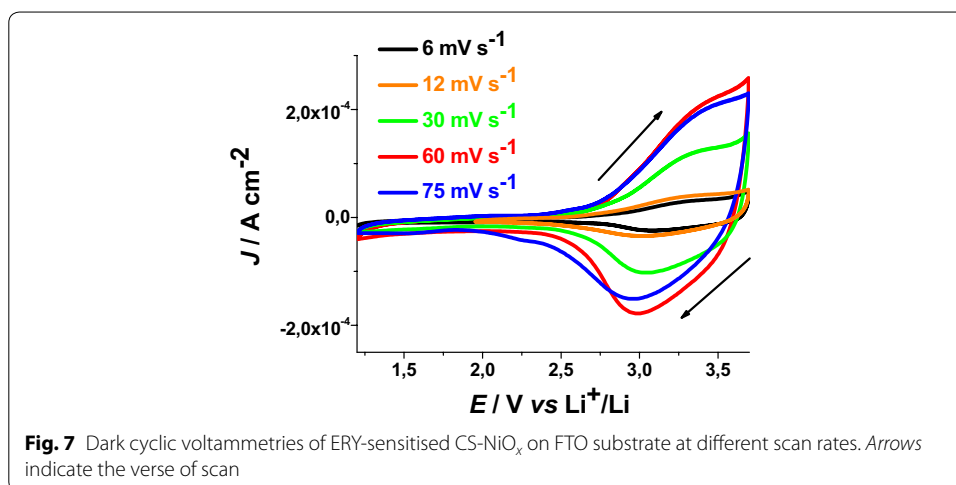






contains a larger amount of Ni(III) sites with respect to CS sample due to the larger OCV of the RDS oxide. Moreover, the larger current densities (Figs. 4, 5), and the larger slope of the curve  $J_{ap}$  vs  $\nu$  for RDS with respect to CS NiO<sub>x</sub> (Fig. 6), indicate that the RDS oxide has a larger density of surface-confined electroactive sites in comparison to the CS sample. This consideration is valid when the process of NiO<sub>x</sub> oxidation is considered taking into account that the two samples have the same film thickness. This is similar to what we found with CS and RDS NiO<sub>x</sub> when the electrolyte was aqueous and contained a phosphate buffer (Gibson et al. 2013). Dye-sensitisation of both NiO<sub>x</sub> samples with ERY generally leads to a decrease of the dark current densities (Figs. 7, 8), with respect to the corresponding curves determined with the bare nickel oxides (Figs. 4, 5).

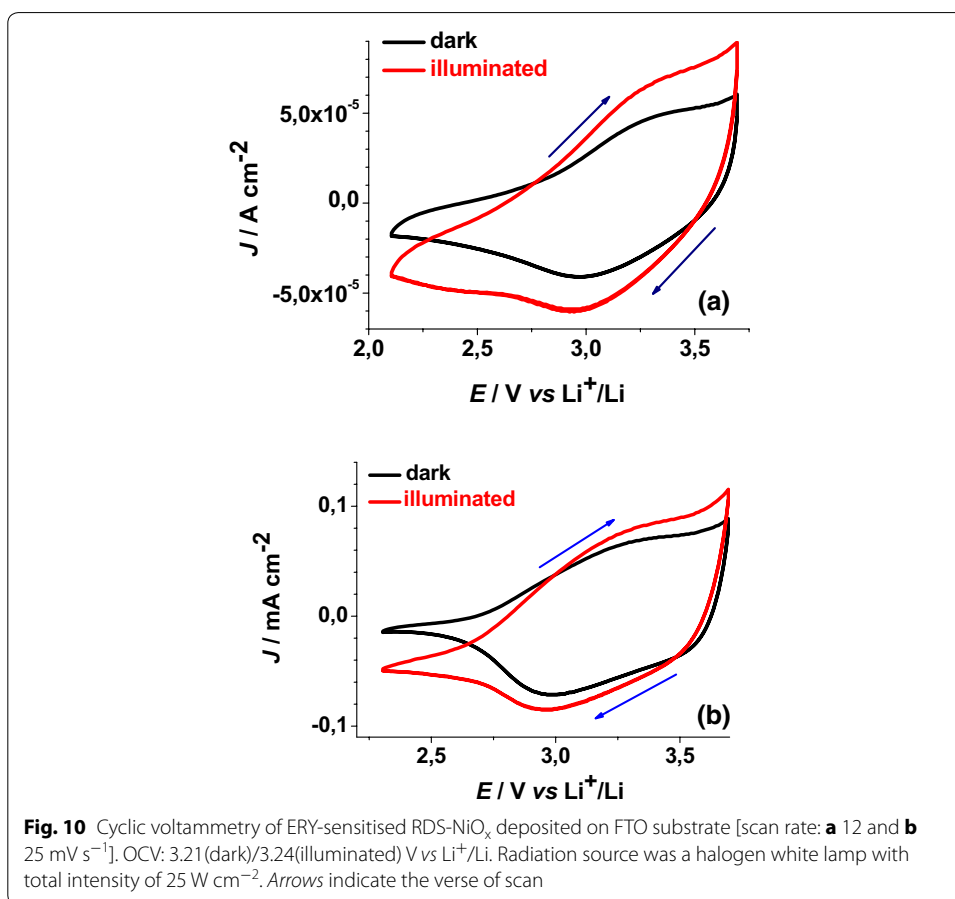
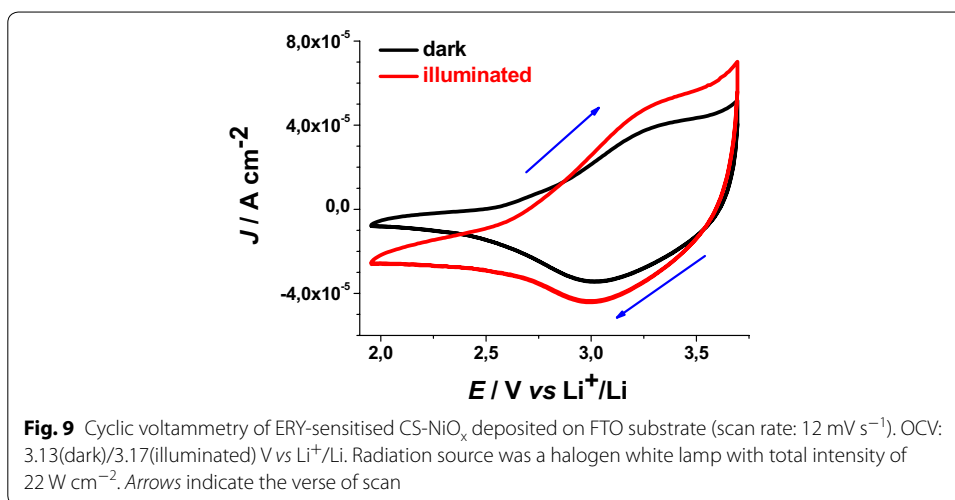
No additional current peaks related to ERY electrochemistry are found within the experimental range of applied potential. Under these circumstances the layer of ERY behaves as a passivating agent towards the process of ionic charge transport through ERY-NiO<sub>x</sub>/electrolyte interface. Moreover, ERY layer is electrochemically inert due to the absence of any faradic process associated to ERY. White light illumination of the dye-sensitised oxide samples produces several effects: a positive photopotential, an increase of the oxidation current density, and the negative shift of the current baseline when no



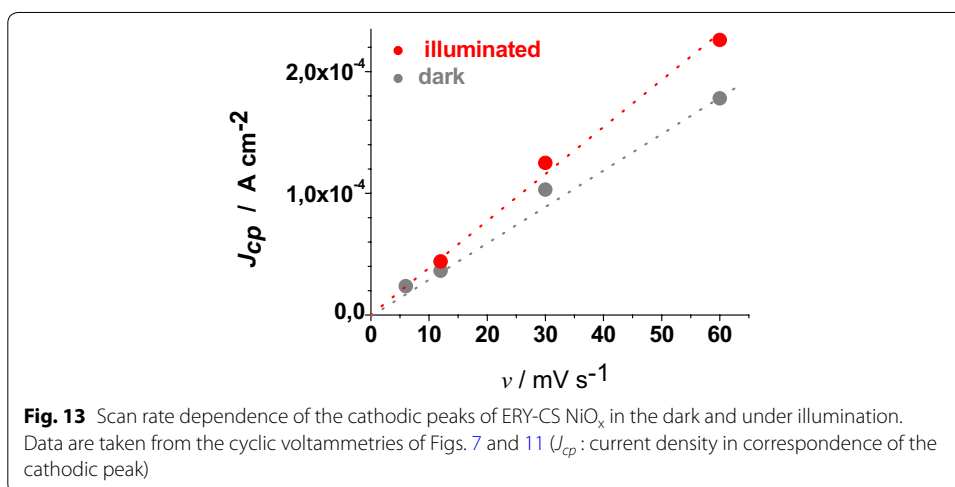
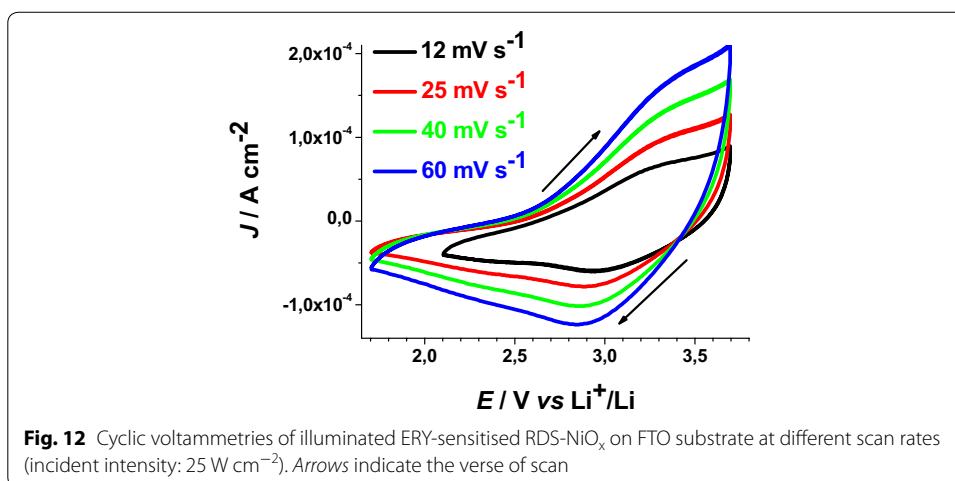
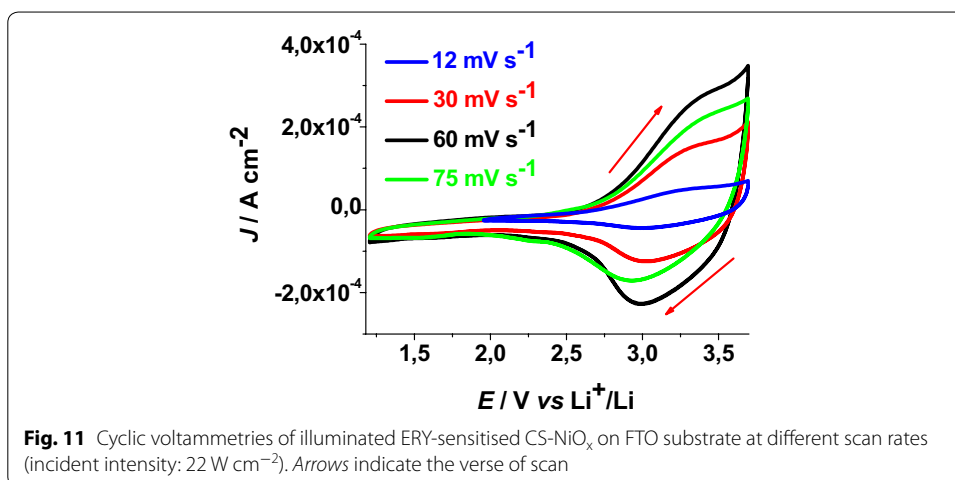
redox processes occur (Figs. 9, 10). This combination of facts is due to the photogeneration of positive charge carriers (Gerischer and Willig 1976) in dye-sensitized NiO<sub>x</sub> when visible light is absorbed by the ERY layer (He et al. 1999, 2000; Vera et al. 2005). Cyclic voltammograms of ERY-sensitized RDS and ERY-sensitized CS NiO<sub>x</sub> have been carried out at different scan rates under white light illumination (Figs. 11, 12).

ERY-sensitized samples of RDS and CS present a markedly different behaviour when the dependence of the current density peaks on scan rate was analysed (Figs. 13, 14).

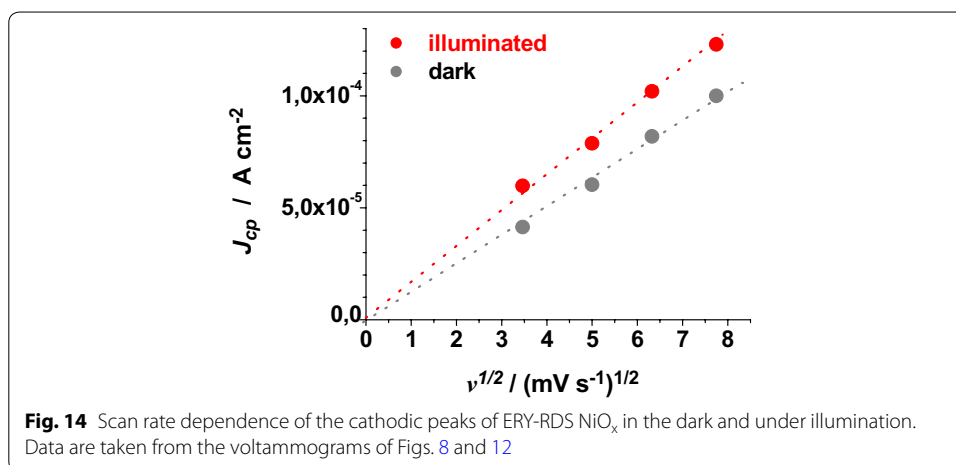
The cathodic peak referring to the process Ni(III) → Ni(II) has been considered for analysis because of its better definition with respect to the associated anodic peak in the same voltammogram (Figs. 13, 14). Like unmodified bare CS, the electrochemical oxidation of ERY-sensitized CS NiO<sub>x</sub> presents the typical features of a surface confined redox process (Fig. 13), whereas the oxidation of ERY-sensitized RDS NiO<sub>x</sub> presents a linear dependence of the current density peak on the square root of scan rate (Fig. 14). The latter feature of the RDS sample indicates that its ERY-sensitized version undergoes an oxidation process which is diffusion controlled rather than surface confined (Bard



and Faulkner 2001). A possible explanation for such a difference could be the growth of a thin film of ERY on the surface of RDS NiO<sub>x</sub>, whereas sensitisation of CS NiO<sub>x</sub> produces solely a monolayer (or sub-monolayer) of dye on the surface of CS NiO<sub>x</sub>. This is explainable if we assume that the number of anchored molecules of ERY is directly proportional



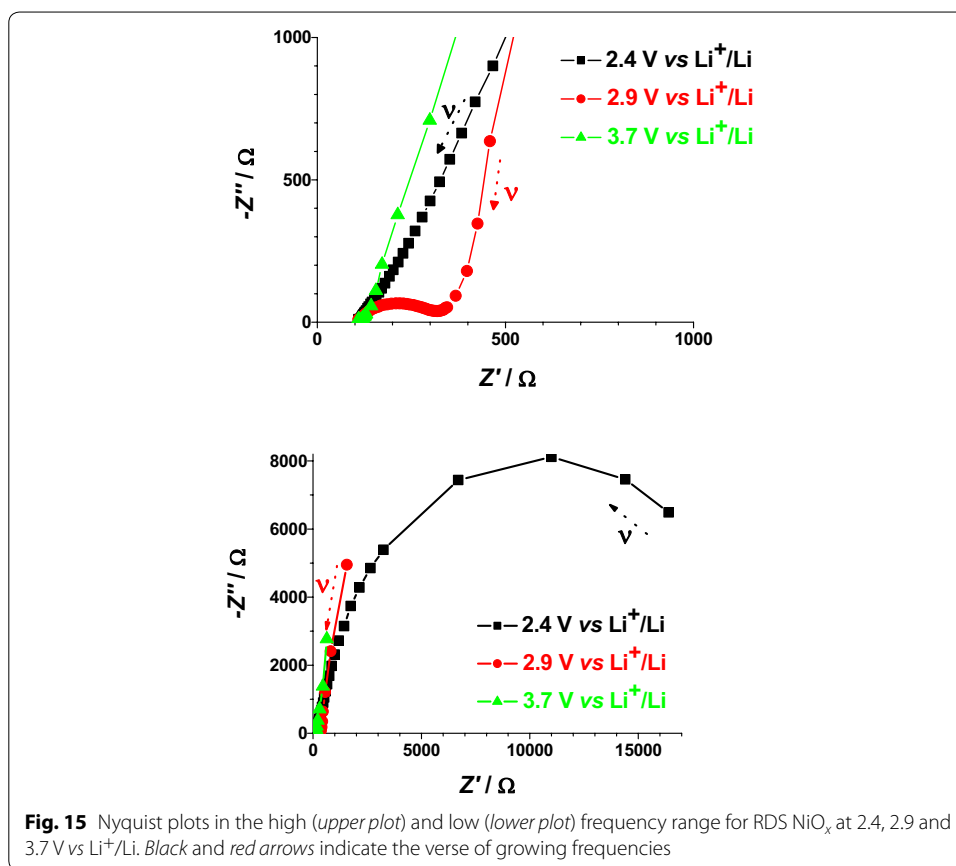
to the number of the electroactive surface sites which constitute the actual sites of dye anchoring on the surface of nickel oxide. Pristine RDS sample is characterised by the presence of a larger number of Ni(III) surface sites states in comparison to CS NiO<sub>x</sub> as



proved by XPS experiments (Gibson et al. 2013) and by the electrochemical measurements here reported (vide supra). As a consequence of that, it is expected that a larger number of dye molecules gets anchored on the surface of RDS with respect to CS.

The electrochemical impedance spectra of CS- and RDS-NiO<sub>x</sub> have been recorded at different applied potential values. The chosen values of polarization correspond to different states of oxidation of the NiO<sub>x</sub> samples (Figs. 4, 5). The impedance spectra reflect the changes of the electrical transport properties that NiO<sub>x</sub> undergoes when the oxide alters the state of oxidation (Decker et al. 1992). Data are presented in Figs. 15 and 16. For semiconducting metal oxides possessing intercalation properties, the model which is usually adopted for the interpretation of their impedance spectra is the one of Ho et al. (1980) who proposed the Randles circuit (Fig. 17). This model accounts for the phenomena related with the charge transfer across the interface NiO<sub>x</sub> electrode/electrolyte through the resistive term  $\theta$  (charge transfer resistance through the interface), and the capacitive term  $C_{DL}$  (double layer capacitance). Moreover it accounts also for the transport properties associated with the diffusive motion of the charge carriers through the electrode itself (term  $Z_{W}^*$  related to the diffusion of electroactive species) (Ho et al. 1980).

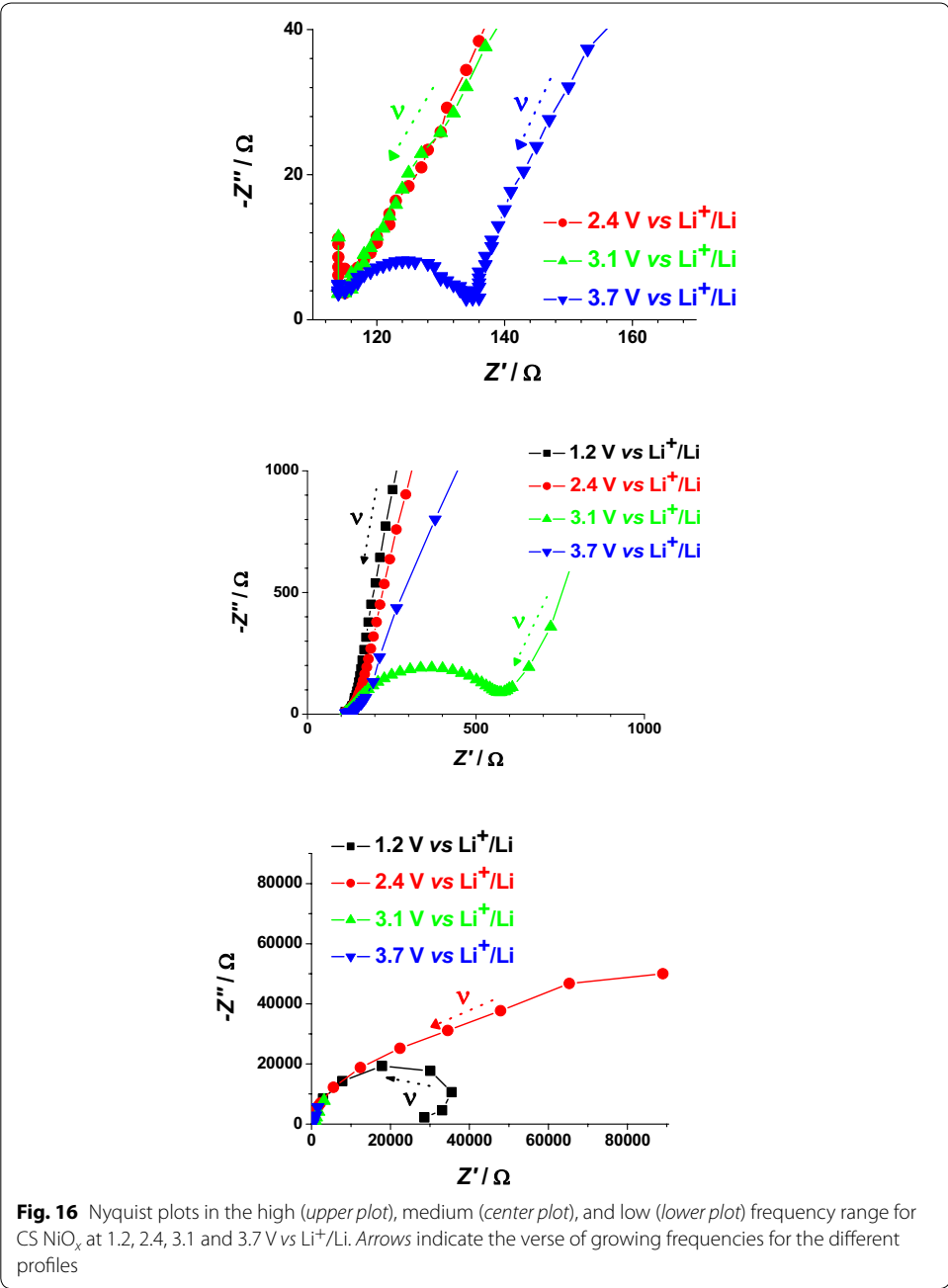
In case of bare NiO<sub>x</sub> the main effect of polarization is the alteration of the interfacial parameters. We can identify states of polarization of NiO<sub>x</sub> with low interfacial resistance when NiO<sub>x</sub> is polarized at  $E_{appl} > 2.9 \text{ V vs Li}^+/\text{Li}$ . In the oxidized state NiO<sub>x</sub> presents a well defined semicircle associated with interfacial processes (high frequency signal). This signal dose not overlap with other impedance spectral features, and is distinct from the diffusive processes associated to the motion of the charges electrochemically introduced in NiO<sub>x</sub>. These processes are controlled by diffusion phenomena and characterize the EIS signal at the lower frequencies. When polarization is applied at  $E_{appl} \leq 2.4 \text{ V vs Li}^+/\text{Li}$ , NiO<sub>x</sub> is either in the neutral or in a partially reduced state. Under these circumstances, NiO<sub>x</sub> presents a very large interfacial resistance (broad and incomplete semicircle in the high frequencies range) no matter of the modality of preparation and sintering (Figs. 15, 16). Upon sensitization with ERY, the dark electrochemical impedance spectra of CS samples polarized at different values of  $E_{appl}$  (Fig. 18) show a trend analogous to that of the bare CS oxide (Fig. 16). This similarity indicates that the



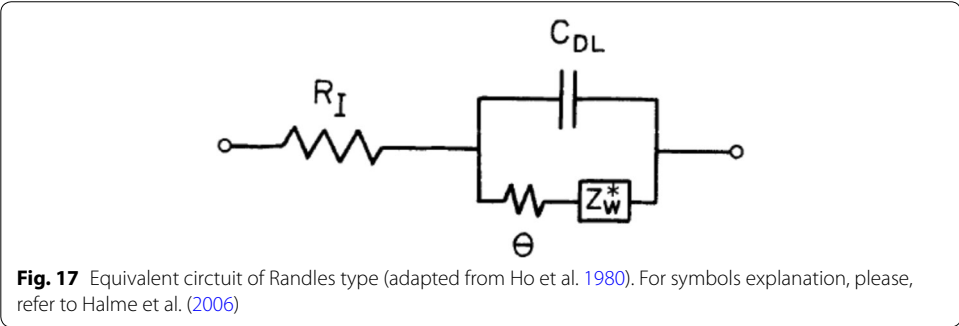
dye layer chemisorbed on the oxide does not form actually an electrochemical interface with the underlying NiO<sub>x</sub> substrate. In the impedance spectra of ERY sensitized RDS samples (Fig. 19) there is no clear distinction between interfacial processes with fast kinetic features and the slowest diffusive phenomena in comparison to the corresponding bare RDS oxide (Fig. 15). This could be due to the larger amount of adsorbed dye in RDS samples with respect to the corresponding CS sample with the same thickness (*vide supra*) (Awais et al. 2013; Gibson et al. 2013). As previously discussed, the formation of a thick layer of ERY on RDS NiO<sub>x</sub> sample creates a barrier against the charge transfer to the underlying oxide. The effect of light on the transport properties of ERY sensitized RDS is very weak (Fig. 20). This is another evidence of the lack of charge transfer processes between RDS and the layer of ERY when the latter does not have the features of a monolayer but behaves as a passivation layer with finite thickness (Awais et al. 2014). For ERY modified CS NiO<sub>x</sub> we verified a photoinduced process of charge transfer between ERY layer and CS NiO<sub>x</sub> substrate through the observation of a second broad incomplete semicircle at lower frequencies following the high frequency signal (Fig. 21).

#### Photoelectrochemical properties of RDS NiO<sub>x</sub> with different electrolytes

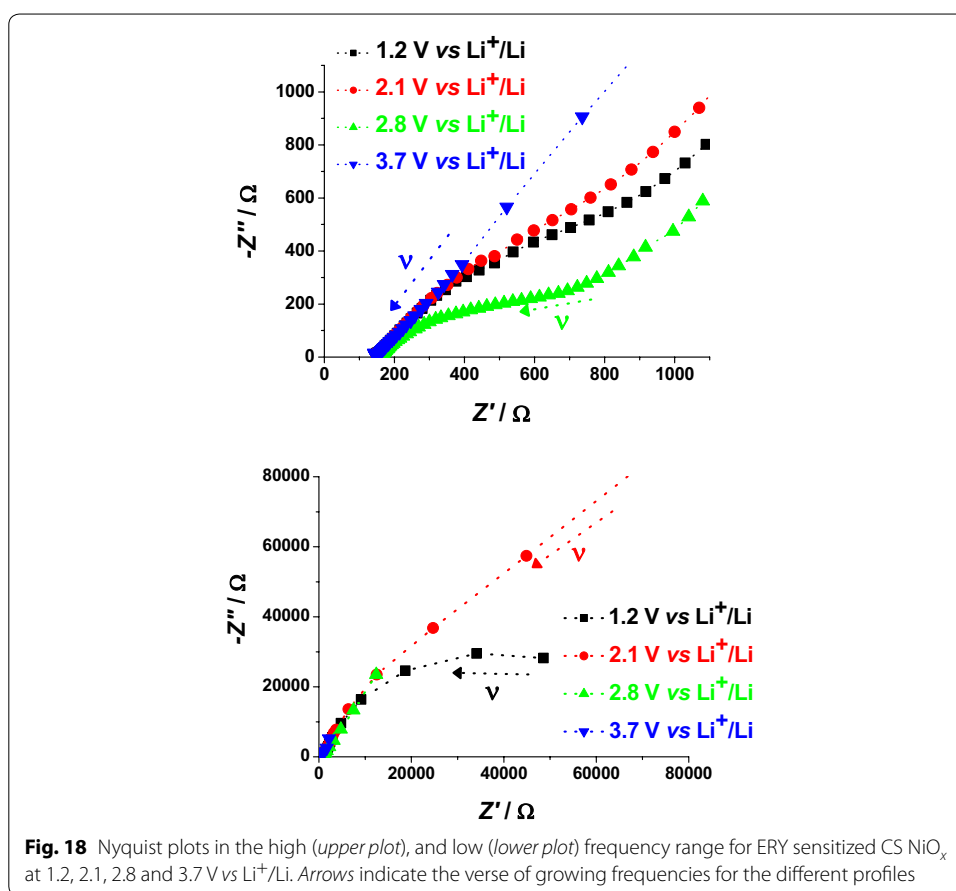
In this section we present a series of voltammetry experiments conducted in anhydrous propylene carbonate with different supporting electrolytes (LiClO<sub>4</sub>, TBAPF<sub>6</sub>) at the



**Fig. 16** Nyquist plots in the high (*upper plot*), medium (*center plot*), and low (*lower plot*) frequency range for CS NiO<sub>x</sub> at 1.2, 2.4, 3.1 and 3.7 V vs Li<sup>+</sup>/Li. Arrows indicate the verse of growing frequencies for the different profiles



**Fig. 17** Equivalent circuit of Randles type (adapted from Ho et al. 1980). For symbols explanation, please, refer to Halme et al. (2006)

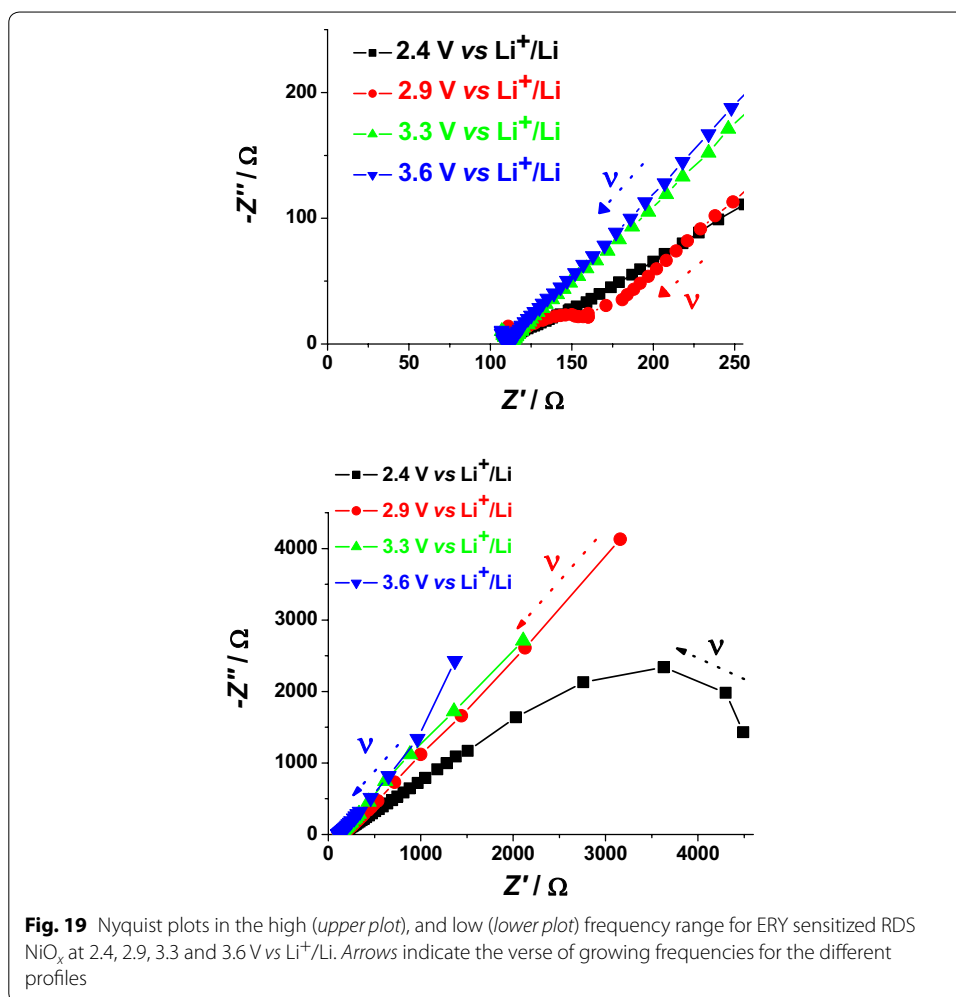


concentration of 0.5 mol per litre. The working electrode was a thin film of RDS-NiO<sub>x</sub> (either bare or in the ERY sensitized state), a Pt wire was the counter electrode, and a standard calomel electrode (SCE) was taken as reference electrode. The very fast kinetics of electron transfer at Pt electrodes renders the kinetics of the overall electrochemical reaction controlled exclusively by the working electrode made of semiconducting NiO<sub>x</sub>. The current profiles have been determined either in the dark or under illumination with AM 1.5 Solar Simulator.

Voltammograms of Figs. 22, 23, 24, 25, 26, 27, 28 have been determined in a single scan in going from +1 to -1 V vs SCE at the scan rate of 20 mV s<sup>-1</sup>. In the potential range here examined RDS NiO<sub>x</sub> can undergo both oxidation and reduction processes (Awais et al. 2013). Moreover, we have considered also electrolytes containing iodine as electroactive species. This is because iodine is one constituent of the main redox couple utilized in DSCs applications (Boschloo and Hagfeldt 2009). The main motivation of this study is the distinction between the electrochemical/photoelectrochemical signals generated by the electroactivity of RDS NiO<sub>x</sub> deposited onto FTO, from those produced by the redox species of *p*-DSCs (Dini et al. 2015).

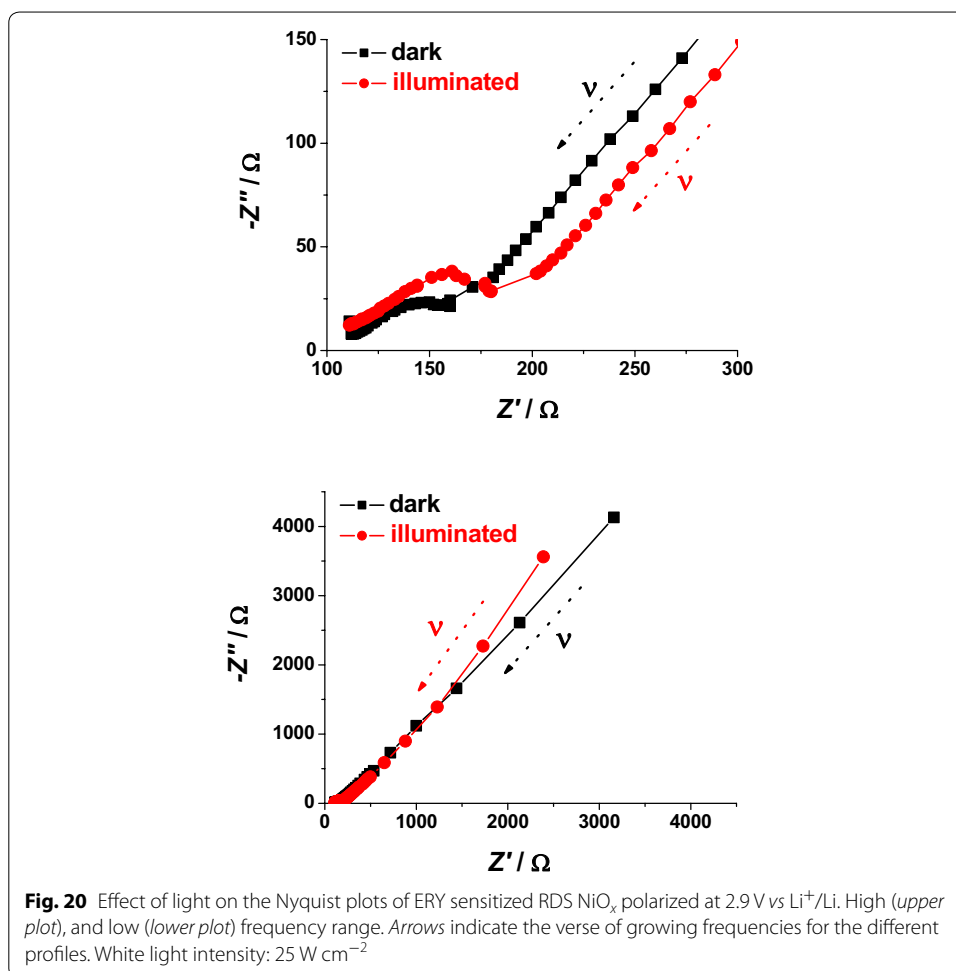
In Fig. 22 the effect of light on the electrochemical processes of bare NiO<sub>x</sub> (oxidation at about 0.25 V vs SCE, and reduction (Passerini and Scrosati 1994) at -0.9 V vs SCE) is shown. We observe that light does not alter considerably the kinetics of bare NiO<sub>x</sub> based





processes when LiClO<sub>4</sub> is the supporting electrolyte. Upon sensitization with ERY there is the introduction of a broad peak of reduction at applied potential values comprised between 0 and  $-0.5$  V vs SCE (Fig. 23). This signal has to be ascribed to the electroactivity of the ERY film (Awais et al. 2013) in the configuration of thick layer when it is anchored on RDS-NiO<sub>x</sub> (vide supra). The main consequence of that is the capability of ERY film of displaying capacitive effects (Awais et al. 2013). When ERY-RDS NiO<sub>x</sub> is illuminated the addition of iodine I<sub>2</sub> at the concentration of 0.05 M introduces a new broad peak of reduction within the potential range  $-0.4 < E_{\text{appl}} < 0.15$  V vs SCE. This additional peak is ascribed to the reduction of I<sub>2</sub> (Fig. 24).

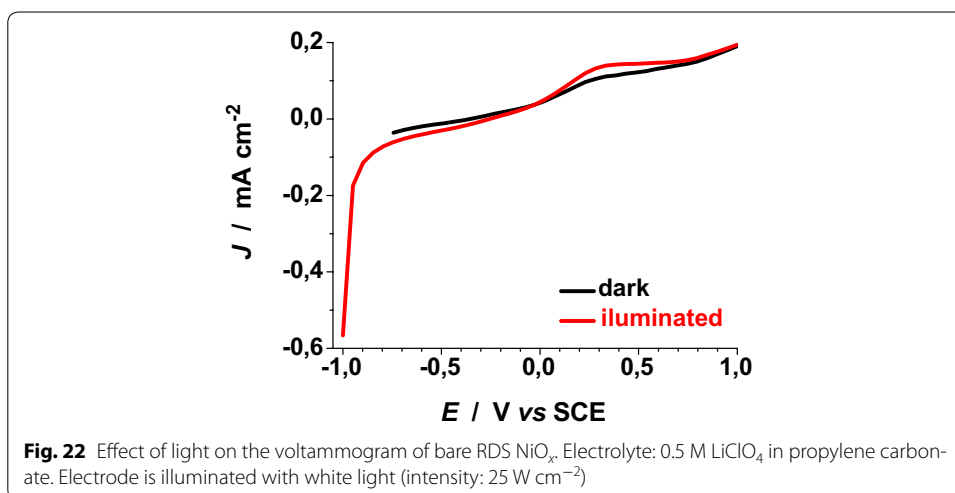
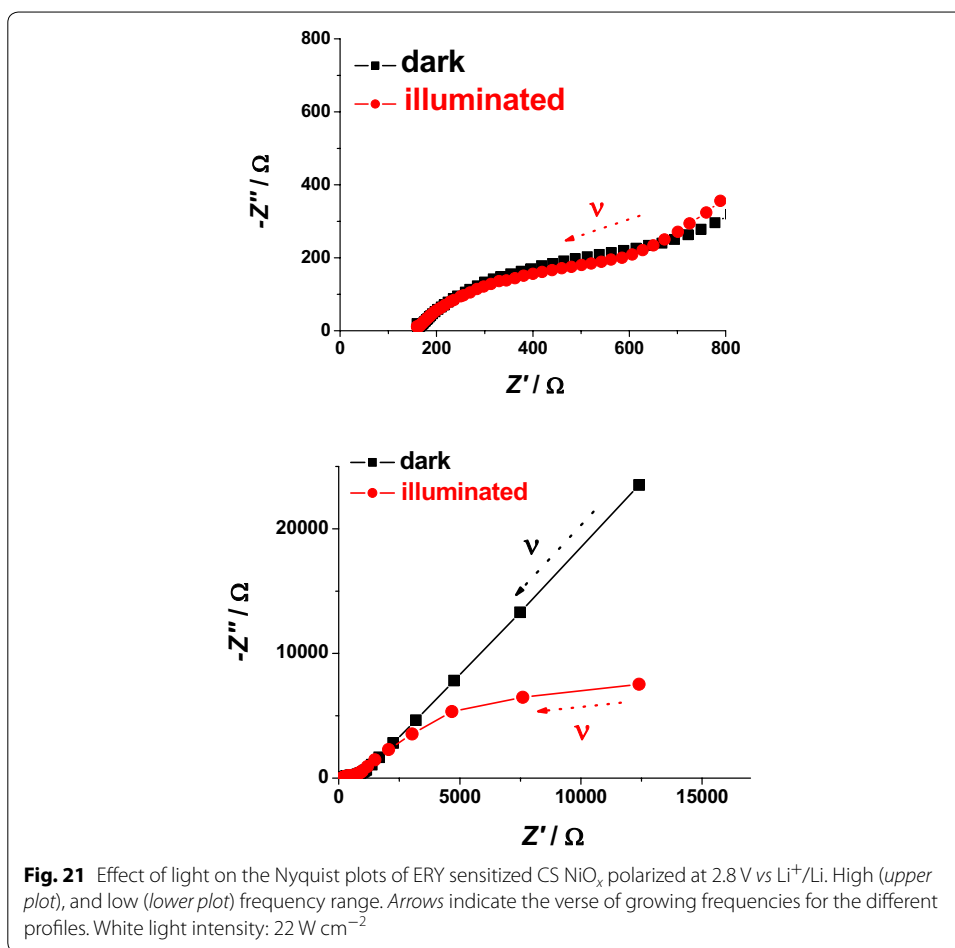
Voltammograms of bare RDS-NiO<sub>x</sub> with TBAPF<sub>6</sub> as supporting electrolyte in dark and illuminated conditions are shown in Figs. 25 and 26, respectively. Like the case of LiClO<sub>4</sub> based electrolytes (Figs. 23, 24), the presence of I<sub>2</sub> introduces a broad peak of reduction the onset of which is located at about 0.3 V vs SCE. The condition of illumination does not seem to affect considerably the kinetic of the process of I<sub>2</sub> reduction at bare RDS-NiO<sub>x</sub> when TBAPF<sub>6</sub> is the supporting electrolyte. Upon ERY sensitization of RDS-NiO<sub>x</sub> the voltammograms recorded in dark (Fig. 27) and illuminated (Fig. 28) conditions present features that resemble the profiles of bare NiO<sub>x</sub> when the electrolyte is the same



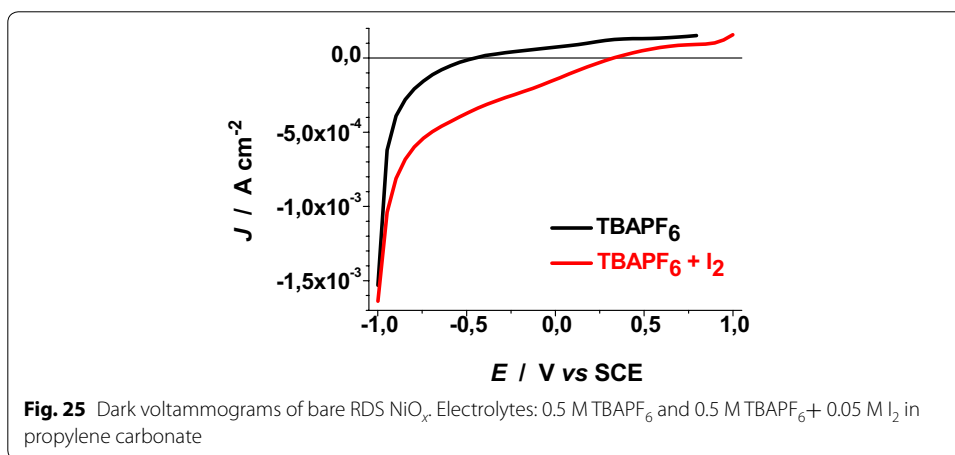
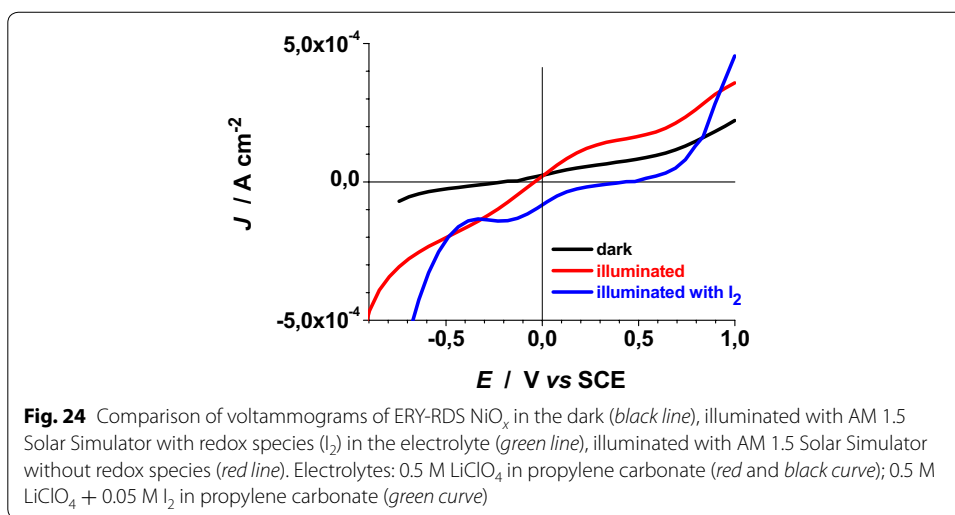
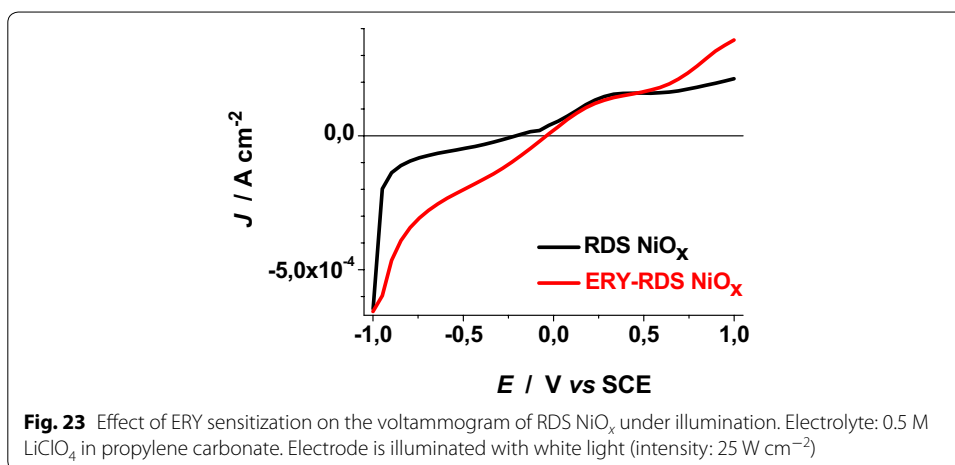
(Figs. 25, 26). The main difference between bare and ERY-sensitized RDS-NiO<sub>x</sub> is the increase of the cathodic current associated with the process of I<sub>2</sub> reduction when ERY-sensitized RDS-NiO<sub>x</sub> is illuminated. Despite the finite thickness of the sensitizer layer, the system ERY-sensitized RDS-NiO<sub>x</sub> is capable to photoreduce I<sub>2</sub> in an effective way.

#### Characteristic curves of the *p*-DSCs with RDS NiO<sub>x</sub> photocathodes

The *JV* curves of the *p*-DSCs with ERY and Fast Green sensitized RDS NiO<sub>x</sub> photocathodes have been determined upon irradiation with solar light simulator (Figs. 29, 30). The overall conversion efficiency was higher for the photoelectrochemical cells with ERY sensitizer with respect to the devices utilizing Fast Green dye ( $\eta$ : 0.045 vs 0.018 %). Moreover, the values of short circuit current density ( $J_{SC}$ ) and open circuit potential ( $V_{OC}$ ) were systematically higher for the devices with ERY sensitizer in comparison to those using Fast Green ( $J_{SC}$ : 1.05 vs 0.51 mA cm<sup>-2</sup>;  $V_{OC}$ : 0.120 vs 0.098 V). In terms of cyclic stability the *p*-DSCs with ERY reproduced at least 70 consecutive *JV* cycles whereas the Fast Green based devices presented repeatability of their photoelectrochemical behaviour in at least 30 consecutive cycles. In this context the reproducibility of the *p*-DSC is defined as the number of consecutive *JV* cycles during which the cell displays a diminution of the three relevant cell parameters  $J_{SC}$ ,  $V_{OC}$  and  $\eta$  not larger than 5 % of their maximum

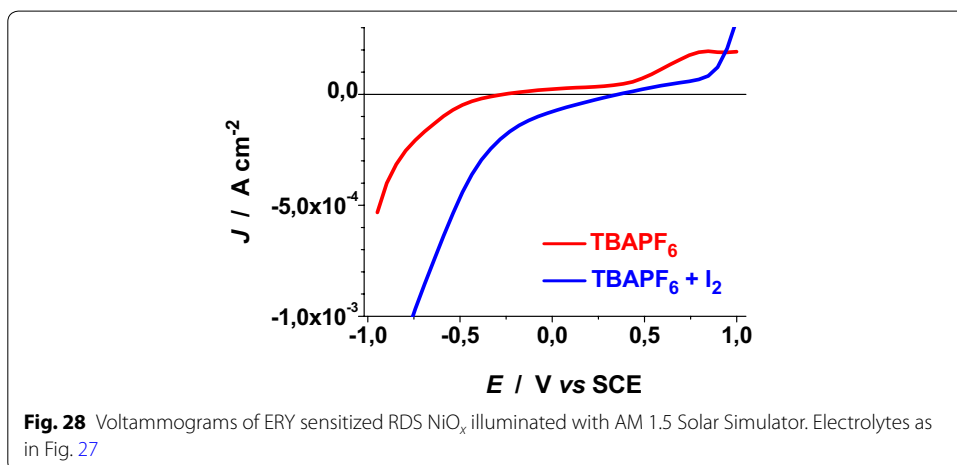
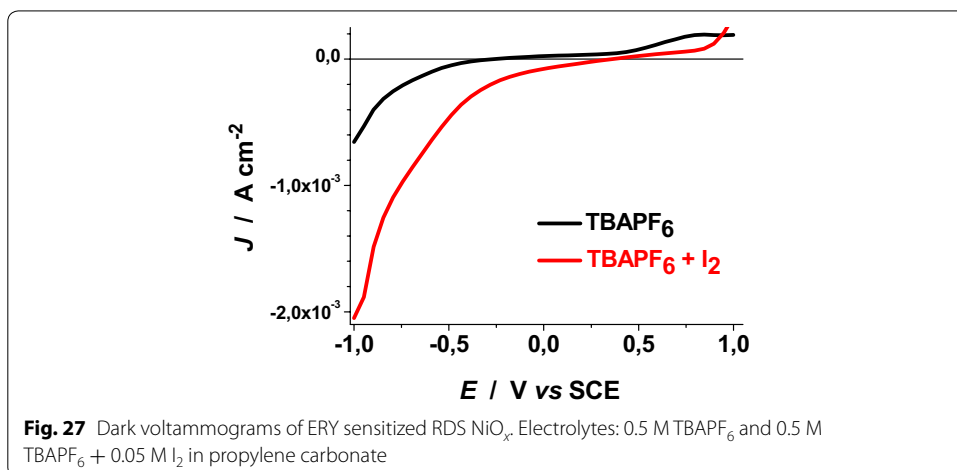
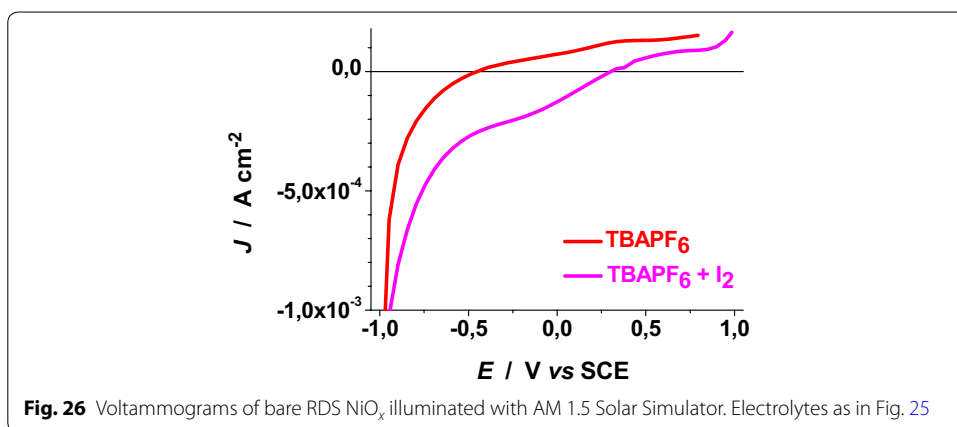


values. The three relevant parameters  $J_{SC}$ ,  $V_{OC}$  and  $\eta$  determined with the *p*-DSCs considered here were comparatively larger than the ones obtained with differently prepared NiO<sub>x</sub> photoelectrodes when the same set of dyes was utilized and nickel oxide film thickness was the same (Awais et al. 2014; Dini et al. 2015; Perera et al. 2005).

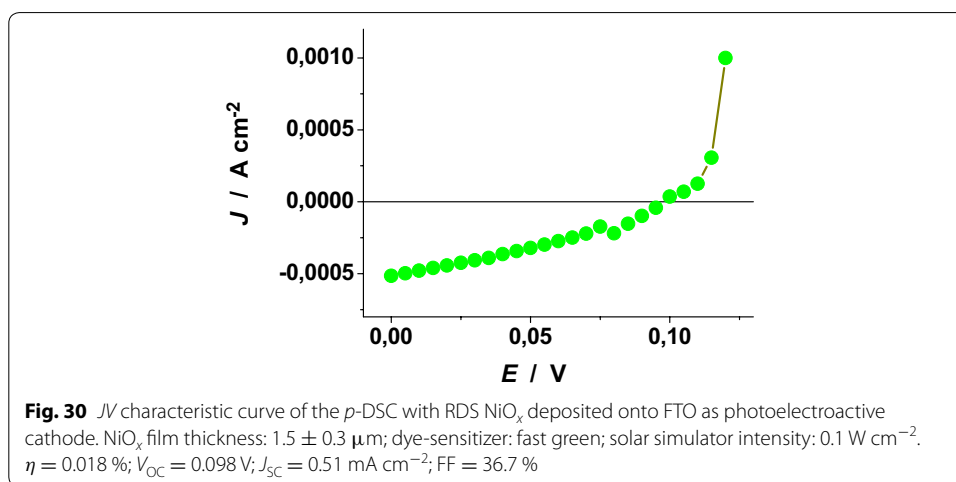
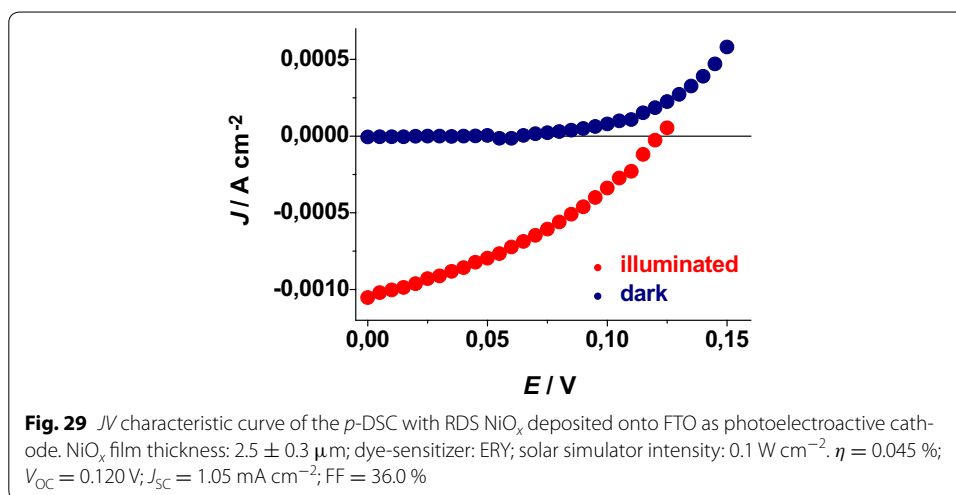


### Conclusions

The electroactivity of two differently prepared NiO<sub>x</sub> samples (CS and RDS) in the configuration of thin films has been verified for the solid state oxidation reaction



Ni(II) → Ni(III) and the reduction process NiO<sub>x</sub> + n e<sup>-</sup> + n Li<sup>+</sup> → Li<sub>n</sub>NiO<sub>x</sub>. CS and RDS NiO<sub>x</sub> showed similar electrochemical behaviours with RDS displaying larger current densities of oxidation and possessing a higher number of Ni(III) surface sites with



respect to CS. Both bare oxide samples undergo surface-confined oxidation as proved by the linear relationship between current peaks and scan rate. Upon sensitisation with ERY colorant Ni(II) oxidation is no longer surface-confined but becomes diffusion controlled in the sole case of RDS. This has been explained in terms of a larger surface concentration of dye molecules on the RDS surface with respect to CS, with the formation of a finite thickness film of dye (and not a monolayer) onto sensitized RDS. This conclusion has been confirmed also through the analysis of the electrochemical impedance spectra. The electrochemical properties of RDS NiO<sub>x</sub> have been characterized in presence of the redox species I<sub>2</sub> in the electrolyte. In this last series of experiments the potential was applied within the typical range of iodine reduction. We could distinguish the redox processes based on the solid state electroactivity of NiO<sub>x</sub> and those based on iodine electrolyte. Moreover, we found out that the photocurrent of ERY-sensitized RDS was cathodic when iodine represented the redox active species. This confirmed the *p*-type character of the ERY sensitized oxide. RDS samples were also employed as photoactive cathodes in *p*-DSC devices. The comparison of the *p*-DSCs characteristic curves with ERY and Fast

## Green sensitizers showed a more effective photoelectrochemical performance of the device with ERY dye-sensitizer in terms of conversion efficiency and cyclability.

### Authors' contribution

On behalf of all co-authors I declare that all authors of this paper have equally contributed to the realization of the work presented in the manuscript. All authors read and approved the final manuscript.

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### Compliance with ethical guidelines

### Competing interests

On behalf of all co-authors I, the corresponding author, state herewith that none of the authors contributing in the present manuscript have competing interests in it.

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