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Connections on Non-Abelian Gerbes and their Holonomy

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Abstract

We introduce an axiomatic framework for the parallel transport of connections on gerbes. It incorporates parallel transport along curves and along surfaces, and is formulated in terms of gluing axioms and smoothness conditions. The smoothness conditions are imposed with respect to a strict Lie 2-group, which plays the role of a band, or structure 2-group. Upon choosing certain examples of Lie 2-groups, our axiomatic framework reproduces in a systematic way several known concepts of gerbes with connection: non-abelian differential cocycles, Breen-Messing gerbes, abelian and non-abelian bundle gerbes. These relationships convey a well-defined notion of surface holonomy from our axiomatic framework to each of these concrete models. Till now, holonomy was only known for abelian gerbes; our approach reproduces that known concept and extends it to non-abelian gerbes. Several new features of surface holonomy are exposed under its extension to non-abelian gerbes; for example, it carries an action of the mapping class group of the surface.

Keywords: Parallel transport, surface holonomy, path 2-groupoid, gerbes, 2-bundles, 2-groups, non-abelian differential cohomology, non-abelian bundle gerbes

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1 Introduction

Giraud introduced gerbes in order to achieve a geometrical understanding of *non-abelian* cohomology [Gir71]. However, already *abelian* gerbes turned out to be interesting: Brylinski introduced the notion of a *connection* on an abelian gerbe, and showed that these represent classes in a certain *differential* cohomology theory, namely Deligne cohomology [Bry93]. Deligne cohomology in degree two has before been related to two-dimensional conformal field theory by Gawędzki [Gaw88]. This relation is established by means of the *surface holonomy* of a connection on an abelian gerbe, which provides a term in the action functional of the field theory.

Surface holonomy of connections on abelian gerbes is today well understood; see [Wal10, FNSW08] for reviews. While definitions of connections on *non-abelian* gerbes have appeared [BM05, ACJ05], it remained unclear what the surface holonomy of these connections is supposed to be, how it is defined, and how it can be used.

In the present article we propose a general and systematic approach to connections on non-abelian gerbes, including notions of parallel transport and surface holonomy. Our approach is *general* in the sense that it works for gerbes whose band is an arbitrary Lie 2-groupoid, and whose fibres are modelled by an arbitrary 2-category. Our approach is *systematic* in the sense that it is solely based on axioms for parallel transport along surfaces, formulated in terms of gluing laws and smoothness conditions. The whole theory of connections on non-abelian gerbes is then derived as a consequence.

In order to illustrate how this axiomatic formulation works we shall briefly review a corresponding formulation in a more familiar setting, namely the one of connections on fibre bundles; see [SW09]. It shows that for a Lie group G the category of principal G -bundles with connection over a smooth manifold X is equivalent to a category consisting of functors

$$F : \mathcal{P}_1(X) \longrightarrow G\text{-Tor}. \quad (1.1)$$

These functors are defined on the path groupoid $\mathcal{P}_1(X)$ of the manifold X ; its objects are the points of X , and its morphisms are (certain classes of) paths in X . The functors (1.1) take values in the category of G -torsors, i.e. smooth manifolds with a free and transitive G -action.

The correspondence between principal G -bundles with connection and functors (1.1) is established by letting the functor F assign to points the fibres of a given bundle, and to paths the corresponding parallel transport maps. The *gluing laws* of parallel transport are precisely the axioms of a functor. The *smoothness conditions* of parallel transport are more involved; they can be encoded in the functors (1.1) by requiring *smooth descend data* with respect to an open cover of X . Functors (1.1) with smooth descend data are called *transport functors with G -structure* – they constitute an axiomatic formulation of the parallel transport of connections in G -bundles.

In Sections 2 and 3 of the present article we generalize this axiomatic formulation to connections on gerbes. Our formulation does not use any existing concept of a gerbe with connection — such concepts are an *output* of our approach. It is based on *2-functors* defined on the *path 2-groupoid* $\mathcal{P}_2(X)$ of X , with values in some “target” 2-category T ,

$$F : \mathcal{P}_2(X) \longrightarrow T. \quad (1.2)$$

In Section 2.1 we review the path 2-groupoid: it is like the path groupoid but with additional 2-morphisms, which are essentially fixed-end homotopies between paths.

For example, if T is the 2-category of algebras (over some fixed field), bimodules, and intertwiners, a 2-functor (1.2) provides for each point $x \in X$ an algebra $F(x)$, which is supposed to be the fibre of the gerbe at the point x . Further, it provides for each path γ from x to y a $F(x)$ - $F(y)$ -bimodule $F(\gamma)$, which is supposed to be the parallel transport of the connection on that gerbe along the curve parameterized by γ . Finally, it provides for each homotopy Σ between paths γ and γ' an intertwiner

$$F(\Sigma) : F(\gamma) \longrightarrow F(\gamma'),$$

which is supposed to be the parallel transport of the connection on that gerbe along the surface parameterized by Σ . The axioms of the 2-functor (1.2) describe how these parallel transport structures are compatible with the composition of paths and gluing of homotopies. These axioms and all other 2-categorical structure we use can be looked up in [SW, Appendix A].

Apart from the evident generalization from functors to 2-functors, more work has to be invested into the generalization of the smoothness conditions. Imposing smoothness conditions relies on a notion of *local triviality* for 2-functors defined on path 2-groupoids. A 2-functor

$$F : \mathcal{P}_2(X) \longrightarrow T$$

is considered to be trivializable, if it factors through a prescribed 2-functor $i : \text{Gr} \longrightarrow T$, with Gr a strict Lie 2-groupoid. The Lie 2-groupoid Gr plays the role of the “typical fibre”, and the 2-functor i indicates how the typical fibre is realized in the target 2-category T . A local trivialization of the 2-functor F is a cover of X by open sets U_α , a collection of locally defined “trivial” 2-functors $\text{triv}_\alpha : \mathcal{P}_2(U_\alpha) \longrightarrow \text{Gr}$ and of equivalences

$$t_\alpha : F|_{U_\alpha} \xrightarrow{\cong} i \circ \text{triv}_\alpha$$

between 2-functors defined on U_α . Local trivializations lead to *descend data*, generalizing the transition functions of a bundle. The descent data of a 2-functor with a local trivialization consists of the 2-functors triv_α , of transformations

$$g_{\alpha\beta} : i \circ \text{triv}_\alpha \longrightarrow i \circ \text{triv}_\beta$$

between 2-functors over $U_\alpha \cap U_\beta$, and of higher coherence data that we shall ignore for the purposes of this introduction. The theory of local trivializations and descent data for 2-functors is developed in our paper [SW] and reviewed in Section 2.2.

The smoothness conditions we want to formulate are imposed with respect to descent data; they are the content of Section 3. First of all, we require that the 2-functors triv_α are smooth. This makes sense since they take values in the Lie 2-groupoid Gr . For certain Lie 2-groupoids, a theory developed in our paper [SW11] identifies the smooth functors triv_α with certain 2-forms B_α on U_α — the curving of the gerbe connection. In order to treat the transformations $g_{\alpha\beta}$, we apply an observation in abstract 2-category theory: the transformations $g_{\alpha\beta}$ can be regarded as a collection of functors

$$\mathcal{F}(g_{\alpha\beta}) : \mathcal{P}_1(U_\alpha \cap U_\beta) \longrightarrow \Lambda T,$$

for ΛT a certain category of diagrams in T . The smoothness condition that we impose for the transformation $g_{\alpha\beta}$ is that the functors $\mathcal{F}(g_{\alpha\beta})$ are transport functors. According to the before-mentioned

correspondence between transport functors and fibre bundles with connection, we thus obtain a smooth fibre bundle $\mathcal{F}(g_{\alpha\beta})$ with connection over two-fold overlaps $U_\alpha \cap U_\beta$ — a significant feature of a gerbe.

Summarizing this overview, our axiomatic formulation of connections on gerbes consists of transport 2-functors: 2-functors $F : \mathcal{P}_2(X) \rightarrow T$ that are locally trivializable with respect to a typical fibre $i : \text{Gr} \rightarrow T$, and have smooth descent data.

In Section 4 of this article we test our axiomatic formulation by choosing examples of target 2-categories T and 2-functors $i : \text{Gr} \rightarrow T$. In these examples the Lie 2-groupoids are “deloopings” of strict Lie 2-groups, $\text{Gr} = \mathcal{B}\mathfrak{G}$; these Lie 2-groups \mathfrak{G} play the same role for gerbes as Lie groups for principal bundles. We find the following results:

- (i) For a general Lie 2-group \mathfrak{G} and the identity 2-functor

$$i = \text{id}_{\mathcal{B}\mathfrak{G}} : \mathcal{B}\mathfrak{G} \rightarrow \mathcal{B}\mathfrak{G}$$

we prove (Theorem 4.1.6) that there is a bijection

$$\text{h}_0\text{Trans}_{\mathcal{B}\mathfrak{G}}(X, \mathcal{B}\mathfrak{G}) \cong \hat{H}^2(X, \mathfrak{G})$$

between isomorphism classes of transport 2-functors and the degree two differential non-abelian cohomology of X with coefficients in \mathfrak{G} . These cohomology groups have been explored in [BM05] and [BS07]; they are an extension of Giraud’s non-abelian cohomology by differential form data. Upon setting $\mathfrak{G} = \mathcal{B}S^1$ it reduces to Deligne cohomology.

- (ii) The Lie 2-group $\mathcal{B}S^1$ has a monoidal functor $\mathcal{B}S^1 \rightarrow S^1\text{-Tor}$ to the monoidal category of S^1 -torsors, by sending the single objects of $\mathcal{B}S^1$ to S^1 considered as a torsor over itself. Delooping yields a 2-functor

$$i : \mathcal{B}\mathcal{B}S^1 \rightarrow \mathcal{B}(S^1\text{-Tor}).$$

We prove (Theorem 4.2.1) that there is an equivalence of 2-categories

$$\text{Trans}_{\mathcal{B}\mathcal{B}S^1}(X, \mathcal{B}(S^1\text{-Tor})) \cong \left\{ \begin{array}{l} S^1\text{-bundle gerbes with} \\ \text{connection over } X \end{array} \right\}.$$

Bundle gerbes have been introduced by Murray [Mur96]. The equivalence arises by realizing that the transport functor $\mathcal{F}(g_{\alpha\beta})$ in the descent data corresponds in the present situation to an S^1 -bundle with connection.

- (iii) Let H be a Lie group and let $\text{AUT}(H)$ be the automorphism 2-group of H . It has a monoidal functor $\text{AUT}(H) \rightarrow H\text{-BiTor}$ to the monoidal category of H -bitorsors. Delooping yields a 2-functor

$$i : \mathcal{B}\text{AUT}(H) \rightarrow \mathcal{B}(H\text{-BiTor}).$$

We prove (Theorem 4.3.1) that there is an equivalence of 2-categories

$$\text{Trans}_{\mathcal{B}\text{AUT}(H)}(X, \mathcal{B}(H\text{-BiTor})) \cong \left\{ \begin{array}{l} \text{Non-abelian } H\text{-bundle gerbes} \\ \text{with connection over } X \end{array} \right\}.$$

Non-abelian bundle gerbes are a generalization of S^1 -bundle gerbes introduced in [ACJ05], and the above equivalence arises by proving that the transport functor $\mathcal{F}(g_{\alpha\beta})$ corresponds in the present situation to a “principal H -bibundle with twisted connection”.

The relations (i) to (iii) show that all these existing concepts of gerbes with connection fit into our axiomatic formulation.

Apart from these relations to existing gerbes with connection, transport 2-functors are able to determine systematically new concepts in cases when only the target 2-category T and the 2-group \mathfrak{G} are given. We demonstrate this in Section 4.4 with the examples of connections on vector 2-bundles, string 2-bundles, and principal 2-bundles.

Finally, we discuss in Section 5 the notion of parallel transport along surfaces, which is manifestly included in the concept of a transport 2-functor. We introduce a notion of surface holonomy for transport 2-functors, defined for closed oriented surfaces with a marking, i.e. a certain presentation of its fundamental group. It is obtained by evaluating the transport 2-functor on a homotopy that realizes the single relation in this presentation.

The existing notion of surface holonomy for *abelian* gerbes takes values in S^1 [Gaw88, Mur96], while our notion of surface holonomy takes values in the 2-morphisms of the target 2-category T . In order to compare the two notions, we propose a “reduction” procedure which can be applied in the case that typical fibre of the transport 2-functor is of the form $i : \mathcal{B}\mathfrak{G} \rightarrow T$, where \mathfrak{G} is a Lie 2-group. The first part of this procedure is the definition of an abelian group \mathfrak{G}_{red} which can be formed for any Lie 2-group \mathfrak{G} (Definition 5.2.2). Heuristically, it generalizes the abelianization of an ordinary Lie group. As the second part of the reduction procedure, we show (Proposition 5.2.5) that the surface holonomy of every transport 2-functor with $\mathcal{B}\mathfrak{G}$ -structure can consistently be reduced to a function with values in \mathfrak{G}_{red} .

Our main results in Section 5 concern this reduced surface holonomy of transport 2-functors with $\mathcal{B}\mathfrak{G}$ -structure. We prove in Theorem 5.3.2 a rigidity result for reduced surface holonomy, namely that it depends only on the isomorphism class of the transport 2-functor, and only on the equivalence class of the marking. The isomorphism invariance allows us to transfer the reduced surface holonomy from transport 2-functors through the equivalences (i), (ii), and (iii) described above. In particular, we equip non-abelian \mathfrak{G} -gerbes with a well-defined notion of a \mathfrak{G}_{red} -valued surface holonomy; such a concept was not known before.

Finally, we show that our new concept of reduced surface holonomy is compatible with the existing notion of S^1 -valued surface holonomy of abelian gerbes. Namely, in the case $\mathfrak{G} = \mathcal{B}S^1$ we find for the reduction $(\mathcal{B}S^1)_{red} = S^1$, so that both concepts take values in the same set. We prove then in Proposition 5.3.3 that the two concepts indeed coincide. Thus, our new notion of (reduced) surface holonomy consistently extends the existing notion from abelian to non-abelian gerbes.

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2 Foundations of the Transport Functor Formalism

The present paper is the last part of a project carried out in a sequence of papers [SW09, SW11, SW]. In these papers, we have prepared the foundations for transport 2-functors – our axiomatic formulation of connection on non-abelian gerbes. The purpose of this section is to make the present paper self-contained; we collect and review the most important definitions and results from the previous papers.

2.1 The Path 2-Groupoid of a Smooth Manifold

The basic idea of the path 2-groupoid is very simple: for a smooth manifold X , it is a strict 2-category whose objects are the points of X , whose 1-morphisms are smooth paths in X , and whose 2-morphisms are smooth homotopies between these paths. We recall some definitions from [SW09, SW11].

For points $x, y \in X$, a *path* $\gamma : x \longrightarrow y$ is a smooth map $\gamma : [0, 1] \longrightarrow X$ with $\gamma(0) = x$ and $\gamma(1) = y$. Since the composition $\gamma_2 \circ \gamma_1$ of two paths $\gamma_1 : x \longrightarrow y$ and $\gamma_2 : y \longrightarrow z$ should again be a smooth map we require sitting instants for all paths: a number $0 < \epsilon < \frac{1}{2}$ with $\gamma(t) = \gamma(0)$ for $0 \leq t < \epsilon$ and $\gamma(t) = \gamma(1)$ for $1 - \epsilon < t \leq 1$. The set of these paths is denoted by PX . In order to make the composition associative and to make paths invertible, we consider the following equivalence relation on PX : two paths $\gamma, \gamma' : x \longrightarrow y$ are called *thin homotopy equivalent* if there exists a smooth map $h : [0, 1]^2 \longrightarrow X$ such that

- (1) h is a homotopy from γ to γ' through paths $x \longrightarrow y$ and has sitting instants at γ and γ' .
- (2) the differential of h has at most rank 1.

The set of equivalence classes is denoted by P^1X . We remark that any path γ is thin homotopy equivalent to any orientation-preserving reparameterization of γ . The composition of paths induces a well-defined associative composition on P^1X for which the constant paths id_x are identities and the reversed paths γ^{-1} are inverses; see [SW09, Section 2.1] for more details.

A homotopy h between two paths γ_0 and γ_1 like above but without condition (2) on the rank of its differential is called a *bigon* in X and denoted by $\Sigma : \gamma_0 \Longrightarrow \gamma_1$. These bigons form the 2-morphisms of the path 2-groupoid of X . We denote the set of bigons in X by BX . Bigons can be composed in two natural ways. For two bigons $\Sigma : \gamma_1 \Longrightarrow \gamma_2$ and $\Sigma' : \gamma_2 \Longrightarrow \gamma_3$ we have a *vertical composition*

$$\Sigma' \bullet \Sigma : \gamma_1 \Longrightarrow \gamma_3.$$

If two bigons $\Sigma_1 : \gamma_1 \Longrightarrow \gamma'_1$ and $\Sigma_2 : \gamma_2 \Longrightarrow \gamma'_2$ are such that $\gamma_1(1) = \gamma_2(0)$, we have a *horizontal composition*

$$\Sigma_2 \circ \Sigma_1 : \gamma_2 \circ \gamma_1 \Longrightarrow \gamma'_2 \circ \gamma'_1.$$

Like in the case of paths, we consider an equivalence relation on BX in order to make the two compositions associative and to make bigons invertible: two bigons $\Sigma : \gamma_0 \Longrightarrow \gamma_1$ and $\Sigma' : \gamma'_0 \Longrightarrow \gamma'_1$ are called *thin homotopy equivalent* if there exists a smooth map $h : [0, 1]^3 \longrightarrow X$ such that

- (1) h is a homotopy from Σ to Σ' through bigons and has sitting instants at Σ and Σ' .
- (2) the induced homotopies $\gamma_0 \Longrightarrow \gamma'_0$ and $\gamma_1 \Longrightarrow \gamma'_1$ are thin.
- (3) the differential of h has at most rank 2.

Condition (1) assures that we have defined an equivalence relation on BX , and condition (2) asserts that two thin homotopy equivalent bigons $\Sigma : \gamma_0 \rightrightarrows \gamma_1$ and $\Sigma' : \gamma'_0 \rightrightarrows \gamma'_1$ start and end on thin homotopy equivalent paths $\gamma_0 \sim \gamma'_0$ and $\gamma_1 \sim \gamma'_1$. We denote the set of equivalence classes by B^2X . The two compositions \circ and \bullet between bigons induce a well-defined composition on B^2X . The *path 2-groupoid* $\mathcal{P}_2(X)$ is the 2-category whose set of objects is X , whose set of 1-morphisms is P^1X and whose set of 2-morphisms is B^2X . The path 2-groupoid is strict and all 1-morphisms are strictly invertible. We refer the reader to [SW11, Section 2.1] for a detailed discussion.

In this article we describe connections on gerbes by transport 2-functors – certain (not necessarily strict) 2-functors

$$F : \mathcal{P}_2(X) \longrightarrow T,$$

for some 2-category T , the *target 2-category*. We note that 2-functors can be pulled back along smooth maps $f : M \longrightarrow X$: such a map induces a strict 2-functor $f_* : \mathcal{P}_2(M) \longrightarrow \mathcal{P}_2(X)$, and we write

$$f^*F := F \circ f_*.$$

If we drop condition (3) from the definition of thin homotopy equivalence between bigons we would still get a strict 2-groupoid, which we denote by $\Pi_2(X)$ and which we call the *fundamental 2-groupoid* of X . The projection defines a strict 2-functor $\mathcal{P}_2(X) \longrightarrow \Pi_2(X)$. We say that a 2-functor $F : \mathcal{P}_2(M) \longrightarrow T$ is *flat* if it factors through the 2-functor $\mathcal{P}_2(M) \longrightarrow \Pi_2(M)$. We show in Section 3.4 that this abstract notion of flatness is equivalent to the vanishing of a certain curvature 3-form.

2.2 Local Trivializations and Descent Data

Let T be a 2-category. A key feature of a transport 2-functor is that it is locally trivializable. Local trivializations of a 2-functor $F : \mathcal{P}_2(M) \longrightarrow T$ are defined with respect to three attributes:

1. A strict 2-groupoid Gr , the *structure 2-groupoid*. In Section 2.3 we will require that Gr is a *Lie* 2-groupoid, and formulate smoothness conditions with respect to its smooth structure.
2. A 2-functor $i : \text{Gr} \longrightarrow T$ that indicates how the structure 2-groupoid is realized in the target 2-category.
3. A surjective submersion $\pi : Y \longrightarrow M$, which serves as an “open cover” of the base manifold M .

For a surjective submersion $\pi : Y \longrightarrow M$ the fibre products $Y^{[k]} := Y \times_M \dots \times_M Y$ are again smooth manifolds in such a way that the projections $\pi_{i_1 \dots i_p} : Y^{[k]} \longrightarrow Y^{[p]}$ (to the indexed factors) are smooth maps. An example is an open cover $\mathfrak{U} = \{U_\alpha\}$ of M , for which the disjoint union of all open sets U_α together with the projection to M is a surjective submersion. In this example, the k -fold fibre product is the disjoint union of the k -fold intersections of the open sets U_α .

Definition 2.2.1. A π -local i -trivialization of a 2-functor $F : \mathcal{P}_2(M) \longrightarrow T$ is a pair (triv, t) of a strict 2-functor

$$\text{triv} : \mathcal{P}_2(Y) \longrightarrow \text{Gr}$$

and a pseudonatural equivalence

$$\begin{array}{ccc}
\mathcal{P}_2(Y) & \xrightarrow{\pi_*} & \mathcal{P}_2(M) \\
\text{triv} \downarrow & \nearrow & \downarrow F \\
\text{Gr} & \xrightarrow{i} & T.
\end{array}$$

For the notion of a pseudonatural equivalence we refer to [SW, Appendix A]. According to the conventions we fixed there, it *includes* a weak inverse \bar{t} together with modifications

$$i_t : \bar{t} \circ t \Longrightarrow \text{id}_{\pi^*F} \quad \text{and} \quad j_t : \text{id}_{\text{triv}_i} \Longrightarrow t \circ \bar{t} \quad (2.2.1)$$

satisfying the so-called zigzag identities.

In the following we use the abbreviation $\text{triv}_i := i \circ \text{triv}$, and we write $\text{Triv}_\pi^2(i)$ for the 2-category of 2-functors $F : \mathcal{P}_2(M) \rightarrow T$ with π -local i -trivializations (together with all pseudonatural transformations and all modifications). Next we come to the definition of a 2-category $\mathfrak{Des}_\pi^2(i)$ of *descent data* with respect to a surjective submersion $\pi : Y \rightarrow M$ and a structure 2-groupoid $i : \text{Gr} \rightarrow T$.

Definition 2.2.2. A descent object is a quadruple $(\text{triv}, g, \psi, f)$ consisting of a strict 2-functor

$$\text{triv} : \mathcal{P}_2(Y) \rightarrow \text{Gr},$$

a pseudonatural equivalence

$$g : \pi_1^* \text{triv}_i \rightarrow \pi_2^* \text{triv}_i,$$

and invertible, coherent modifications

$$\psi : \text{id}_{\text{triv}_i} \Longrightarrow \Delta^* g \quad \text{and} \quad f : \pi_{23}^* g \circ \pi_{12}^* g \Longrightarrow \pi_{13}^* g.$$

The coherence conditions for the modifications ψ and f can be found in [SW, Definition 2.2.1].

Let us briefly rephrase the above definition in case that Y is the union of open sets U_α : first there are strict 2-functors $\text{triv}_\alpha : \mathcal{P}_2(U_\alpha) \rightarrow \text{Gr}$. To compare the difference between triv_α and triv_β on a two-fold intersection $U_\alpha \cap U_\beta$ there are pseudonatural equivalences $g_{\alpha\beta} : (\text{triv}_\alpha)_i \rightarrow (\text{triv}_\beta)_i$. If we assume for a moment that $g_{\alpha\beta}$ was the transition function of some fibre bundle, one would demand that $1 = g_{\alpha\alpha}$ on every U_α and that $g_{\beta\gamma} g_{\alpha\beta} = g_{\alpha\gamma}$ on every three-fold intersection $U_\alpha \cap U_\beta \cap U_\gamma$. In the present situation, however, these equalities have been replaced by modifications: the first one by a modification $\psi_\alpha : \text{id}_{(\text{triv}_\alpha)_i} \Longrightarrow g_{\alpha\alpha}$ and the second one by a modification $f_{\alpha\beta\gamma} : g_{\beta\gamma} \circ g_{\alpha\beta} \Longrightarrow g_{\alpha\gamma}$.

Next we describe how to extract a descent object from a local trivialization of a 2-functor following [SW, Section 2.3]. Let $F : \mathcal{P}_2(M) \rightarrow T$ be a 2-functor with a π -local i -trivialization (triv, t) . Using the weak inverse $\bar{t} : \text{triv}_i \rightarrow \pi^*F$ of t we define

$$g := \pi_2^* t \circ \pi_1^* \bar{t} : \pi_1^* \text{triv}_i \rightarrow \pi_2^* \text{triv}_i.$$

This composition is well-defined since $\pi_1^* \pi^* F = \pi_2^* \pi^* F$. Let i_t and j_t be the modifications (2.2.1). We obtain $\Delta^* g = t \circ \bar{t}$, so that the definition $\psi := j_t$ yields the invertible modification $\psi : \text{id}_{\text{triv}_i} \Longrightarrow \Delta^* g$. Similarly, one defines with i_t the invertible modification f . The quadruple $(\text{triv}, g, \psi, f)$ obtained like this is a descent object in the sense of Definition 2.2.2; see [SW, Lemma 2.3.1].

Next suppose $(\text{triv}, g, \psi, f)$ and $(\text{triv}', g', \psi', f')$ are descent objects. A *descent 1-morphism* $(\text{triv}, g, \psi, f) \longrightarrow (\text{triv}', g', \psi', f')$ is a pair (h, ϵ) consisting of a pseudonatural transformation

$$h : \text{triv}_i \longrightarrow \text{triv}'_i$$

and an invertible modification

$$\epsilon : \pi_2^* h \circ g \Longrightarrow g' \circ \pi_1^* h$$

satisfying two natural coherence conditions; see [SW, Definition 2.2.2]. Finally, we suppose that (h_1, ϵ_1) and (h_2, ϵ_2) are descent 1-morphisms from a descent object $(\text{triv}, g, \psi, f)$ to another descent object $(\text{triv}', g', \psi', f')$. A *descent 2-morphism* $(h_1, \epsilon_1) \Longrightarrow (h_2, \epsilon_2)$ is a modification

$$E : h_1 \Longrightarrow h_2$$

satisfying another coherence condition; see [SW, Definition 2.2.3].

Descent objects, 1-morphisms and 2-morphisms form a 2-category $\mathfrak{Des}_\pi^2(i)$, called the *descent 2-category*. In concrete examples of the target 2-category T these structures have natural interpretations in terms of smooth maps and differential forms, as we show in Section 4. The extraction of a descent object from a local trivialization outlined above extends to a 2-functor

$$\text{Ex}_\pi : \text{Triv}_\pi^2(i) \longrightarrow \mathfrak{Des}_\pi^2(i), \quad (2.2.2)$$

which we have described in [SW, Section 2.3].

In order to avoid the dependence to the fixed surjective submersion $\pi : Y \longrightarrow M$, we have shown in [SW, Section 4.2] that the two 2-categories $\text{Triv}_\pi^2(i)$ and $\mathfrak{Des}_\pi^2(i)$ form a direct system for refinements of surjective submersions over M . The corresponding direct limits are 2-categories

$$\text{Triv}^2(i)_M := \lim_{\overleftarrow{\pi}} \text{Triv}_\pi^2(i) \quad \text{and} \quad \mathfrak{Des}^2(i)_M := \lim_{\overleftarrow{\pi}} \mathfrak{Des}_\pi^2(i).$$

For instance, an object in the direct limit is a pair of a surjective submersion π and an object in the corresponding 2-category $\text{Triv}_\pi^2(i)$ or $\mathfrak{Des}_\pi^2(i)$. 1-morphisms and 2-morphisms are defined over common refinements. The 2-functor Ex_π from (2.2.2) induces an equivalence

$$\text{Triv}^2(i)_M \cong \mathfrak{Des}^2(i)_M$$

between these two direct limit 2-categories [SW, Proposition 4.2.1].

Finally, we want to get rid of the chosen trivializations that are attached to the objects of $\text{Triv}^2(i)_M$. We denote by $\text{Funct}_i(\mathcal{P}_2(M), T)$ the 2-category of locally i -trivializable 2-functors, i.e. 2-functors which admit a π -local i -trivialization, for *some* surjective submersion π . We have shown [SW, Theorem 4.3.1]:

Theorem 2.2.3. *There is an equivalence*

$$\text{Funct}_i(\mathcal{P}_2(M), T) \cong \mathfrak{Des}^2(i)_M$$

between 2-categories of locally i -trivializable 2-functors and their descend data.

In Section 3 we select a sub-2-category of $\mathfrak{Des}^2(i)_M$ consisting of *smooth descend data*. The corresponding sub-2-category of $\text{Funct}_i(\mathcal{P}_2(M), T)$ is the one we are aiming at – the 2-category of transport 2-functors.

2.3 Smooth 2-Functors

This section and the forthcoming Section 2.4 prepare two tools we need in Section 3.1 in order to specify the sub-2-category of smooth descend data. The first tool is the concept of *smooth 2-functors*.

The general idea behind “smooth functors” is to consider them internal to smooth manifolds. That is, the sets of objects and morphisms of the involved categories are smooth manifolds, and a smooth functor consists of a smooth map between the objects and a smooth map between the morphisms. Categories internal to smooth manifolds are called *Lie categories*, internal groupoids are called *Lie groupoids*. The same idea applies to 2-functors between 2-categories.

In the context of the present paper, we want to consider smooth 2-functors defined on the path 2-groupoid $\mathcal{P}_2(X)$ of a smooth manifold X , respectively. However, $\mathcal{P}_2(X)$ is *not* internal to smooth manifolds, not even infinite-dimensional ones. Instead, we consider it internal to a larger category of generalized manifolds, so-called *diffeological spaces* [Sou81]. Diffeological spaces and diffeological maps form a category D^∞ that enlarges the category C^∞ of smooth manifolds by means of a full and faithful functor $C^\infty \rightarrow D^\infty$. For an introduction to diffeological spaces we refer the reader to [BH11] or [SW09, Appendix A.2].

Diffeological spaces admit many constructions that are not possible in the category of smooth manifolds. We need three of them. Firstly, if X and Y are diffeological spaces, the set $D^\infty(X, Y)$ of smooth maps from X to Y is again a diffeological space. In particular, the set of smooth maps between smooth manifolds is a diffeological space. Secondly, every subset of a diffeological space is a diffeological space. Thirdly, the quotient of every diffeological space by any equivalence relation is a diffeological space. These constructions are relevant because they show that the set P^1X of thin homotopy classes of paths in X as well as the set B^2X of thin homotopy classes of bigons in X are diffeological spaces. We conclude that the path 2-groupoid $\mathcal{P}_2(X)$ of a smooth manifold X is internal to diffeological spaces, and we have a corresponding 2-category $\text{Func}^\infty(\mathcal{P}_2(X), S)$ of smooth 2-functors with values in some Lie 2-category S .

2.4 Transport Functors

The second tool we need for Section 3 is the concept of a transport functor. Transport functors are an axiomatic formulation of connections in fibre bundles – they are the one-dimensional analogue of transport 2-functors, the axiomatic formulation of connections on non-abelian gerbes we are aiming at in the present article. We have introduced and discussed transport functors in [SW09].

From a general perspective, the definition of a “transport n -functor” is supposed to rely on a recursive principle in the sense that it uses transport $(n - 1)$ -functors. This is one reason to recall the definition of a transport functor. The other reason is to highlight the analogy between the two definitions, which might be helpful to notice:

- (a) Instead of the path 2-groupoid $\mathcal{P}_2(X)$, we are looking at the *path groupoid* $\mathcal{P}_1(X)$, obtained by just taking objects and 1-morphisms of $\mathcal{P}_2(X)$. A transport functor is a certain functor

$$F : \mathcal{P}_1(X) \longrightarrow T,$$

for some target category T : it assigns objects in T – the “fibres” – to the points of X , and morphisms in T – the “parallel transport maps” – to paths in X .

- (b) In order to say which functors are transport functors we need a Lie groupoid Gr and a functor $i : \text{Gr} \rightarrow T$. A *local i -trivialization* of F is a surjective submersion $\pi : Y \rightarrow X$, a functor $\text{triv} : \mathcal{P}_1(Y) \rightarrow \text{Gr}$, and a natural equivalence

$$\begin{array}{ccc} \mathcal{P}_1(Y) & \xrightarrow{\pi_*} & \mathcal{P}_1(X) \\ \text{triv} \downarrow & \swarrow t & \downarrow F \\ \text{Gr} & \xrightarrow{i} & T. \end{array}$$

- (c) Associated to a local trivialization is a *descent object*: it is a pair (triv, g) consisting of the functor $\text{triv} : \mathcal{P}_1(Y) \rightarrow \text{Gr}$ and of a natural equivalence

$$g : \pi_1^* \text{triv}_i \rightarrow \pi_2^* \text{triv}_i$$

satisfying a cocycle condition.

The final step in the definition of a transport functor is the characterization of *smooth descent data*.

- (d) A descent object (triv, g) is called *smooth*, if the functor

$$\text{triv} : \mathcal{P}_1(X) \rightarrow \text{Gr}$$

is smooth, i.e. internal to diffeological spaces, and if the components map

$$g : Y^{[2]} \rightarrow \text{Mor}(T)$$

of the natural equivalence g is the composition of a *smooth* map $\tilde{g} : Y^{[2]} \rightarrow \text{Mor}(\text{Gr})$ with $i : \text{Gr} \rightarrow T$.

In view of the analogy between (a) - (c) and Sections 2.1 and 2.2, (d) is the analogue of the forthcoming Section 3.1. Summarizing, we have:

Definition 2.4.1 ([SW09, Definition 3.6]). A transport functor on X with values in T and with Gr -structure is a locally i -trivializable functor

$$F : \mathcal{P}_1(X) \rightarrow T$$

with smooth descent data.

Transport functors form a category which we denote by $\text{Trans}_{\text{Gr}}^1(X, T)$. The main result of our paper [SW09] is that transport functors are an axiomatic formulation of connection on fibre bundles.

In order to illustrate that, and since we need this result later several times, we provide the following example. let G be a Lie group, and let $\mathfrak{Bun}_G^\nabla(X)$ be the category of principal G -bundles with connection over X . Further, we denote by $\mathcal{B}G$ the Lie groupoid with one object and morphisms G , by $G\text{-Tor}$ the category of G -torsors, and by $i : \mathcal{B}G \rightarrow G\text{-Tor}$ the functor that sends the single object of $\mathcal{B}G$ to G , regarded as a G -torsor over itself. Then, we have:

Theorem 2.4.2 ([SW09, Theorem 5.8]). *Let X be a smooth manifold. The assignment*

$$\mathfrak{Bun}_G^\nabla(X) \rightarrow \text{Trans}_{\mathcal{B}G}^1(X, G\text{-Tor}) : (P, \omega) \mapsto F_{P, \omega}$$

defined by

$$F_{P, \omega}(x) := P_x \quad \text{and} \quad F_{P, \omega}(\gamma) := \tau_\gamma,$$

where $x \in X$, $\gamma \in PX$, and τ_γ is the parallel transport of ω along γ , establishes a surjective equivalence of categories.

3 Transport 2-Functors

In this section we introduce the central definition of this paper: transport 2-functors. For this purpose, we define in Section 3.1 a 2-category of smooth descent data, based on the notions of smooth 2-functors and transport functors. In Section 3.2 we define transport 2-functors as those 2-functors that correspond to smooth descent data under the equivalence of Theorem 2.2.3. Section 3.3 describes some basic properties of transport 2-functors, and in Section 3.4 we construct an explicit example.

3.1 Smooth Descent Data

In this section we select a sub-2-category $\mathfrak{Des}_\pi^2(i)^\infty$ of *smooth descent data* in the 2-category $\mathfrak{Des}_\pi^2(i)$ of descent data described in Section 2.2. If $(\text{triv}, g, \psi, f)$ is a descent object, we demand that the strict 2-functor $\text{triv} : \mathcal{P}_2(Y) \rightarrow \text{Gr}$ has to be smooth in the sense of Section 2.3, i.e. internal to diffeological spaces. Imposing smoothness conditions for the pseudonatural transformation g and the modifications ψ and f is more subtle since they do not take values in the Lie 2-category Gr but in the 2-category T which is not assumed to be a Lie 2-category.

Briefly, we proceed in the following two steps. We explain first how the pseudonatural transformation

$$g : \pi_1^* \text{triv}_i \rightarrow \pi_2^* \text{triv}_i$$

can be viewed as a certain functor $\mathcal{F}(g)$ defined on $\mathcal{P}_1(Y^{[2]})$. Secondly, we impose the condition that $\mathcal{F}(g)$ is a transport functor. A little motivation might be the observation that $\mathcal{F}(g)$ corresponds then (at least in some cases, by Theorem 2.4.2) to a principal bundle with connection over $Y^{[2]}$ – one of the well-known ingredients of a (bundle) gerbe, see Sections 4.2 and 4.3.

Let us first explain in general how a pseudonatural transformation between two 2-functors can be viewed as a functor. We consider 2-functors F and G between 2-categories S and T . Since a pseudonatural transformation $\rho : F \rightarrow G$ assigns 1-morphisms in T to objects in S and 2-morphisms in T to 1-morphisms in S , the general idea is to construct a category $S_{0,1}$ consisting of objects and 1-morphisms of S and a category ΛT consisting of 1-morphisms and 2-morphisms of T such that ρ yields a functor

$$\mathcal{F}(\rho) : S_{0,1} \rightarrow \Lambda T.$$

We assume that S is strict, so that forgetting its 2-morphisms produces a well-defined category $S_{0,1}$. The construction of the category ΛT is more involved.

If T is strict, the objects of ΛT are the 1-morphisms of T . A morphism between objects $f : X_f \rightarrow Y_f$ and $g : X_g \rightarrow Y_g$ is a pair of 1-morphisms $x : X_f \rightarrow X_g$ and $y : Y_f \rightarrow Y_g$ and a 2-morphism

$$\begin{array}{ccc} X_f & \xrightarrow{x} & X_g \\ f \downarrow & \circlearrowleft & \downarrow g \\ Y_f & \xrightarrow{y} & Y_g. \end{array} \tag{3.1.1}$$

This gives indeed a category ΛT , whose composition is defined by putting the diagrams (3.1.1) next to each other. Clearly, any strict 2-functor $f : T' \rightarrow T$ induces a functor $\Lambda f : \Lambda T' \rightarrow \Lambda T$. For a more detailed discussion of these constructions we refer the reader to Section 4.2 of [SW11].

Now let $\rho : F \rightarrow G$ be a pseudonatural transformation between two strict 2-functors from S to T . Sending an object X in S to the 1-morphism $\rho(X)$ and sending a 1-morphism f in S to the 2-morphism $\rho(f)$ now yields a functor

$$\mathcal{F}(\rho) : S_{0,1} \rightarrow \Lambda T.$$

It respects the composition due to axiom (T1) for ρ and the identities due to [SW, Lemma A.7]. Moreover, a modification $\mathcal{A} : \rho_1 \Rightarrow \rho_2$ defines a natural transformation $\mathcal{F}(\mathcal{A}) : \mathcal{F}(\rho_1) \Rightarrow \mathcal{F}(\rho_2)$, so that the result is a functor

$$\mathcal{F} : \text{Hom}(F, G) \rightarrow \text{Funct}(S_{0,1}, \Lambda T) \quad (3.1.2)$$

between the category of pseudonatural transformations between F and G and the category of functors from $S_{0,1}$ to ΛT , for S and T strict 2-categories and F and G strict 2-functors.

In case that the 2-category T is not strict, the construction of ΛT suffers from the fact that the composition is not longer associative. The situation becomes treatable if one requires the objects X_f, Y_f and X_g, Y_g and the 1-morphisms x and y in (3.1.1) to be contained the image of a strict 2-category T^{str} under some 2-functor $i : T^{\text{str}} \rightarrow T$. The result is a category $\Lambda_i T$, in which the associativity

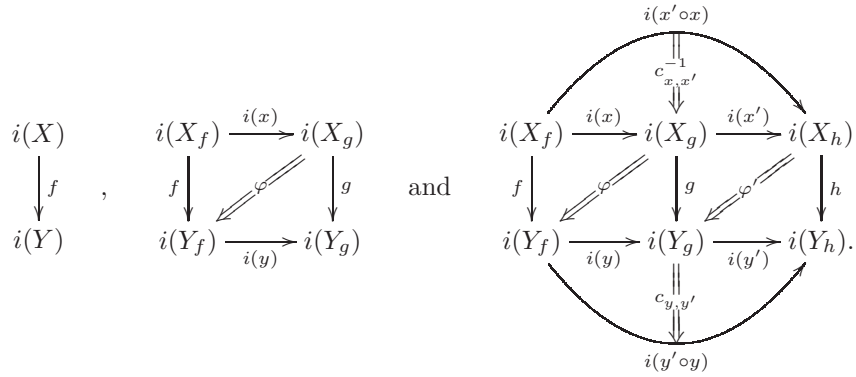


Figure 1: Objects, morphisms and the composition of the category $\Lambda_i T$ (the diagram on the right hand side ignores the associators and the bracketing of 1-morphisms). Here, c is the compositor of the 2-functor i .

of the composition is restored by axiom (F3) on the compositor of the 2-functor i . We omit a more formal definition and refer the reader to Figure 1 for an illustration. For any 2-functor $f : T \rightarrow T'$, a functor

$$\Lambda f : \Lambda_i T \rightarrow \Lambda_{F \circ i} T'$$

is induced by applying f to all involved objects, 1-morphisms, and 2-morphisms. We may now consider strict 2-functors F and G from S to T^{str} . Then, the functor (3.1.2) generalizes straightforwardly to a functor

$$\mathcal{F} : \text{Hom}(i \circ F, i \circ G) \rightarrow \text{Funct}(S_{0,1}, \Lambda_i T)$$

between the category of pseudonatural transformations between $i \circ F$ and $i \circ G$ and the category of functors from $S_{0,1}$ to $\Lambda_i T$. The following lemma follows directly from the definitions.

Lemma 3.1.1. *The functor \mathcal{F} has the following properties:*

(i) It is natural with respect to strict 2-functors $f : S' \rightarrow S$ in the sense that the diagram

$$\begin{array}{ccc} \mathrm{Hom}(i \circ F, i \circ G) & \xrightarrow{\mathcal{F}} & \mathrm{Funct}(S_{0,1}, \Lambda_i T) \\ f^* \downarrow & & \downarrow f^* \\ \mathrm{Hom}(i \circ F \circ f, i \circ G \circ f) & \xrightarrow{\mathcal{F}} & \mathrm{Funct}(S'_{0,1}, \Lambda_i T) \end{array}$$

is strictly commutative.

(ii) It preserves the composition of pseudonatural transformations in the sense that if $F, G, H : S \rightarrow T^{\mathrm{str}}$ are three strict 2-functors, the diagram

$$\begin{array}{ccc} \mathrm{Hom}(i \circ G, i \circ H) \times \mathrm{Hom}(i \circ F, i \circ G) & \xrightarrow{\mathcal{F} \times \mathcal{F}} & \mathrm{Funct}(S_{0,1}, \Lambda_i T) \times \mathrm{Funct}(S_{0,1}, \Lambda_i T) \\ \circ \downarrow & & \downarrow \otimes \\ \mathrm{Hom}(i \circ H, i \circ F) & \xrightarrow{\mathcal{F}} & \mathrm{Funct}(S_{0,1}, \Lambda_i T) \end{array}$$

is commutative.

In Lemma 3.1.1 (ii) the symbol \otimes has the following meaning. The composition of morphisms in $\Lambda_i T$ was defined by putting the diagrams (3.1.1) next to each other as shown in Figure 1. But one can also put the diagrams of appropriate morphisms on top of each other, provided that the arrow on the bottom of the upper one coincides with the arrow on the top of the lower one. This is indeed the case for the morphisms in the image of composable pseudonatural transformations under $\mathcal{F} \times \mathcal{F}$, so that the diagram in (ii) makes sense. In a more formal context, the tensor product \otimes can be discussed in the formalism of *weak double categories*, but we will not stress this point.

In the following discussion the strict 2-category S is the path 2-groupoid of some smooth manifold, $S = \mathcal{P}_2(X)$. Notice that $S_{0,1} = \mathcal{P}_1(X)$ is then the path groupoid of X . The 2-category T is the target 2-category, and the strict 2-category T^{str} is the Lie 2-groupoid Gr .

Let $(\mathrm{triv}, g, \psi, f)$ be a descent object in the descent 2-category $\mathfrak{Des}_\pi^2(i)$. The pseudonatural transformation $g : \pi_1^* \mathrm{triv}_i \rightarrow \pi_2^* \mathrm{triv}_i$ induces a functor

$$\mathcal{F}(g) : \mathcal{P}_1(Y^{[2]}) \rightarrow \Lambda_i T.$$

In order to impose the condition that $\mathcal{F}(g)$ is a transport functor, we will use the functor

$$\Lambda i : \Lambda \mathrm{Gr} \rightarrow \Lambda_i T$$

as its structure Lie groupoid. Further, the modification $\psi : \mathrm{id}_{\mathrm{triv}_i} \rightarrow \Delta^* g$ induces via Lemma 3.1.1 (i) a natural transformation

$$\mathcal{F}(\psi) : \mathcal{F}(\mathrm{id}_{\mathrm{triv}_i}) \Rightarrow \Delta^* \mathcal{F}(g).$$

Finally, the modification f induces via Lemma 3.1.1 (i) and (ii) a natural transformation

$$\mathcal{F}(f) : \pi_{23}^* \mathcal{F}(g) \otimes \pi_{12}^* \mathcal{F}(g) \Rightarrow \pi_{13}^* \mathcal{F}(g).$$

Definition 3.1.2. A descent object $(\mathrm{triv}, g, \psi, f)$ is called smooth if the following conditions are satisfied:

(i) the 2-functor $\mathrm{triv} : \mathcal{P}_2(Y) \rightarrow \mathrm{Gr}$ is smooth.

(ii) the functor $\mathcal{F}(g)$ is a transport functor with ΛGr -structure.

(iii) the natural transformations $\mathcal{F}(\psi)$ and $\mathcal{F}(f)$ are morphisms between transport functors.

In the same way we qualify smooth descent 1-morphisms and descent 2-morphisms. A descent 1-morphism

$$(h, \epsilon) : (\text{triv}, g, \psi, f) \longrightarrow (\text{triv}', g', \psi', f')$$

is converted into a functor

$$\mathcal{F}(h) : \mathcal{P}_1(Y) \longrightarrow \Lambda_i T$$

and a natural transformation

$$\mathcal{F}(\epsilon) : \pi_2^* \mathcal{F}(h) \otimes \mathcal{F}(g) \Longrightarrow \mathcal{F}(g') \otimes \pi_1^* \mathcal{F}(h).$$

We say that (h, ϵ) is *smooth*, if $\mathcal{F}(h)$ is a transport functor with ΛGr -structure and $\mathcal{F}(\epsilon)$ is a 1-morphism between transport functors. A descent 2-morphism $E : (h, \epsilon) \Longrightarrow (h', \epsilon')$ is converted into a natural transformation

$$\mathcal{F}(E) : \mathcal{F}(h) \Longrightarrow \mathcal{F}(h'),$$

and we say that E is *smooth*, if $\mathcal{F}(E)$ is a 1-morphism between transport functors. We claim two obvious properties of smooth descent data:

- (i) Compositions of smooth descent 1-morphisms and smooth descent 2-morphisms are again smooth, so that smooth descent data forms a sub-2-category of $\mathfrak{Des}_\pi^2(i)$, which we denote by $\mathfrak{Des}_\pi^2(i)^\infty$.
- (ii) Pullbacks of smooth descent objects, 1-morphisms and 2-morphisms along refinements of surjective submersions are again smooth, so that the direct limit

$$\mathfrak{Des}^2(i)_M^\infty := \varinjlim_{\overline{\pi}} \mathfrak{Des}_\pi^2(i)^\infty$$

is a well-defined sub-2-category of $\mathfrak{Des}^2(i)_M$.

In Section 4 we show that the 2-category $\mathfrak{Des}^2(i)_M^\infty$ of smooth descent data becomes nice and familiar upon choosing concrete examples for the structure 2-groupoid $i : \text{Gr} \longrightarrow T$.

3.2 Transport 2-Functors

Now we come to the central definition of this paper.

Definition 3.2.1. *Let M be a smooth manifold, Gr be a strict Lie 2-groupoid, T be a 2-category and $i : \text{Gr} \longrightarrow T$ be a 2-functor. A transport 2-functor on M with values in T and with Gr -structure is a 2-functor*

$$\text{tra} : \mathcal{P}_2(M) \longrightarrow T$$

such that there exists a surjective submersion $\pi : Y \longrightarrow M$ and a π -local i -trivialization (triv, t) whose descent object $\text{Ex}_\pi(\text{tra}, \text{triv}, t)$ is smooth.

A 1-morphism between transport 2-functors tra and tra' is a pseudonatural transformation $A : \text{tra} \longrightarrow \text{tra}'$ such that there exists a surjective submersion π together with π -local i -trivializations of tra and tra' for which the descent 1-morphism $\text{Ex}_\pi(A)$ is smooth. 2-morphisms are defined in the

same way. Transport 2-functors $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ with Gr-structure, 1-morphisms, and 2-morphisms form a sub-2-category of the 2-category of locally i -trivializable 2-functor $\text{Funct}_i(\mathcal{P}_2(M), T)$, and we denote this sub-2-category by $\text{Trans}_{\text{Gr}}^2(M, T)$. We emphasize that being a transport 2-functor is a property, not additional structure. In particular, no surjective submersion or open cover is contained in the structure of a transport 2-functor: they are manifestly *globally defined* objects.

We want to establish an equivalence between transport 2-functors and their smooth descent data. In order to achieve this equivalence we have to make a slight assumption on the 2-functor i . We call a 2-functor $i : \text{Gr} \rightarrow T$ *full and faithful*, if it induces an equivalence on Hom-categories. In particular, i is full and faithful if it is an equivalence of 2-categories, which is in fact true in all examples we are going to discuss.

Theorem 3.2.2. *Let M be a smooth manifold, and let $i : \text{Gr} \rightarrow T$ be a full and faithful 2-functor. Then, the equivalence of Theorem 2.2.3 restricts to an equivalence*

$$\text{Trans}_{\text{Gr}}^2(M, T) \cong \mathfrak{Dcs}^2(i)_M^\infty$$

between transport 2-functors and their smooth descent data.

Theorem 3.2.2 is proved by the following two lemmata. As an intermediate step we introduce – for a surjective submersion π – the sub-2-category $\text{Triv}_\pi^2(i)^\infty$ of $\text{Triv}_\pi^2(i)$ as the preimage of $\mathfrak{Dcs}_\pi^2(i)^\infty$ under the 2-functor Ex_π .

Lemma 3.2.3. *The 2-functor Ex_π restricts to an equivalence of 2-categories*

$$\text{Triv}_\pi^2(i)^\infty \cong \mathfrak{Dcs}_\pi^2(i)^\infty.$$

Proof. It is clear that Ex_π restricts properly. Recall from [SW, Section 3] that inverse to Ex_π is a “reconstruction” 2-functor Rec_π . In order to prove that the image of the restriction of Rec_π is contained in $\text{Triv}_\pi^2(i)^\infty$ we have to check that $\text{Ex}_\pi \circ \text{Rec}_\pi$ restricts to an endo-2-functor of $\mathfrak{Dcs}_\pi^2(i)^\infty$. In the proof of [SW, Lemma 4.1.2] we have explicitly computed this 2-functor, and by inspection of the corresponding expressions one recognizes its image as smooth descent data.

The second part of the proof is to show that the components of two pseudonatural equivalences $\rho : \text{Ex}_\pi \circ \text{Rec}_\pi \rightarrow \text{id}$ and $\eta : \text{id} \rightarrow \text{Rec}_\pi \circ \text{Ex}_\pi$ that establish the equivalence of [SW, Proposition 4.1.1] are in $\mathfrak{Dcs}_\pi^2(i)^\infty$ and $\text{Triv}_\pi^2(i)^\infty$, respectively. For the transformation ρ , this is again by inspection of the formulae in the proof of [SW, Lemma 4.1.2]. For the transformation η , we suppose F is a 2-functor with a π -local i -trivialization (t, triv) with smooth descent data $(\text{triv}, g, \psi, f)$. We have to prove that the descent 1-morphism $\text{Ex}_\pi(\eta(F, t, \text{triv}))$ is smooth. Indeed, according to the definition of η given in the proof of [SW, Lemma 4.1.3] it is given by the pseudonatural transformation g and a modification composed from the modifications f and ψ . The descent object is by assumption smooth, and so is $\eta(F)$. The same argument shows that the component $\eta(A)$ of a pseudonatural transformation $A : F \rightarrow F'$ with smooth descent data is smooth. \square

Next we go to the direct limit

$$\text{Triv}^2(i)_M^\infty := \varinjlim_{\pi} \text{Triv}_\pi^2(i)^\infty.$$

The equivalence of Lemma 3.2.3 induces an equivalence

$$\text{Ex} : \text{Triv}^2(i)_M^\infty \rightarrow \mathfrak{Dcs}^2(i)^\infty$$

in the direct limit. Next we show that the 2-categories $\text{Triv}^2(i)_M^\infty$ and $\text{Trans}_{\text{Gr}}^2(M, T)$ are equivalent. We have an evident 2-functor

$$v^\infty : \text{Triv}^2(i)_M^\infty \longrightarrow \text{Trans}_{\text{Gr}}^2(X, T)$$

induced by forgetting the chosen trivialization.

Lemma 3.2.4. *Under the assumption that the 2-functor i is full and faithful, the 2-functor v^∞ is an equivalence of 2-categories.*

Proof. It is clear that an inverse functor w^∞ takes a given transport 2-functor and picks some smooth local trivialization for some surjective submersion $\pi : Y \longrightarrow M$. It follows immediately that $v^\infty \circ w^\infty = \text{id}$. It remains to construct a pseudonatural equivalence $\text{id} \cong w^\infty \circ v^\infty$, i.e. a 1-isomorphism

$$A : (\text{tra}, \pi, \text{triv}, t) \longrightarrow (\text{tra}, \pi', \text{triv}', t')$$

in $\text{Triv}^2(i)_M^\infty$, where the original π -local trivialization (triv, t) has been forgotten and replaced by a new π' -local trivialization (triv', t') . But since the 1-morphisms in $\text{Triv}^2(i)_M^\infty$ are just pseudonatural transformation between the 2-functors ignoring the trivializations, we only have to prove that the identity pseudonatural transformation

$$A := \text{id}_{\text{tra}} : \text{tra} \longrightarrow \text{tra}$$

of a transport 2-functor tra has smooth descent data (h, ϵ) with respect to *any* two trivializations (π, triv, t) and (π', triv', t') .

The first step is to choose a refinement $\zeta : Z \longrightarrow Y \times_M Y'$ of the common refinement of the two surjective submersions. One can choose Z such that it has contractible connected components. If $c : Z \times [0, 1] \longrightarrow Z$ is such a contraction, it defines for each point $z \in Z$ a path $c_z : z \longrightarrow z_k$ that moves z to the distinguished point z_k to which the component of Z that contains z is contracted. It further defines for each path $\gamma : z_1 \longrightarrow z_2$ a bigon $c_\gamma : \gamma \rightrightarrows c_{z_2}^{-1} \circ c_{z_1}$. Axiom (T2) for the pseudonatural transformation

$$h := t' \circ \bar{t} : \text{triv}_i \longrightarrow \text{triv}'_i$$

applied to the bigon c_γ yields the commutative diagram

$$\begin{array}{ccc} h(z_2) \circ \text{triv}_i(\gamma) & \xrightarrow{h(\gamma)} & \text{triv}'_i(\gamma) \circ h(z_1) \\ \text{id} \circ \text{triv}_i(c_\gamma) \Downarrow & & \Downarrow \text{triv}'_i(c_\gamma) \circ \text{id} \\ h(z_2) \circ \text{triv}_i(c_{z_2}^{-1} \circ c_{z_1}) & \xrightarrow{h(c_{z_2}^{-1} \circ c_{z_1})} & \text{triv}'_i(c_{z_2}^{-1} \circ c_{z_1}) \circ h(z_1). \end{array}$$

Notice that the 1-morphisms $h(z_j) : \text{triv}_i(z_j) \longrightarrow \text{triv}'_i(z_j)$ have by assumption preimages $\kappa_j : \text{triv}(z_j) \longrightarrow \text{triv}'(z_j)$ under i in Gr , and that the 2-morphism $h(c_{z_2}^{-1} \circ c_{z_1})$ also has a preimage Γ in Gr . Thus,

$$h(\gamma) = i((\text{triv}'(c_\gamma) \circ \text{id})^{-1} \bullet \Gamma \bullet (\text{id} \circ \text{triv}(c_\gamma))).$$

This is nothing but the Wilson line $\mathcal{W}_{z_1, z_2}^{\mathcal{F}(h), \Lambda^i}$ of the functor $\mathcal{F}(h)$ and it is smooth since triv and triv' are smooth 2-functors. Hence, by Theorem 3.12 in [SW09], $\mathcal{F}(h)$ is a transport functor with ΛGr -structure.

It remains to prove that the modification $\epsilon : \pi_2^* h \circ g \implies g' \circ \pi_1^* h$ induces a morphism $\mathcal{F}(\epsilon)$ of transport functors. This simply follows from the general fact that under the assumption that the functor $i : \text{Gr} \rightarrow T$ is full, every natural transformation η between transport functors with Gr-structure is a morphism of transport functors. We have not stated that explicitly in [SW09] but it can easily be deduced from the naturality conditions on trivializations t and t' and on η , evaluated for paths with a fixed starting point. \square

With Theorem 3.2.2 we have established an equivalence between globally defined transport 2-functors and locally defined smooth descent data. In Section 4 we will identify smooth descent data with various models of gerbes with connections. Under this identifications, Theorem 3.2.2 describes the relation between these gerbes with connections and their parallel transport.

3.3 Some Features of Transport 2-Functors

In this section we provide several features of transport 2-functors.

3.3.1 Operations on Transport 2-Functors

It is straightforward to see that transport 2-functors allow a list of natural operations.

- (i) *Pullbacks*: Let $f : M \rightarrow N$ be a smooth map. The pullback $f^*\text{tra}$ of any transport 2-functor on N is a transport 2-functor on M .
- (ii) *Tensor products*: Let $\otimes : T \times T \rightarrow T$ be a monoidal structure on a 2-category T . For transport 2-functors $\text{tra}_1, \text{tra}_2 : \mathcal{P}_2(M) \rightarrow T$ with Gr-structure, the pointwise tensor product $\text{tra}_1 \otimes \text{tra}_2 : \mathcal{P}_2(M) \rightarrow T$ is again a transport 2-functor with Gr-structure, and makes the 2-category $\text{Trans}_{\text{Gr}}^2(M, T)$ a monoidal 2-category.
- (iii) *Change of the target 2-category*: Let T and T' be two target 2-categories equipped with 2-functors $i : \text{Gr} \rightarrow T$ and $i' : \text{Gr} \rightarrow T'$, and let $F : T \rightarrow T'$ be a 2-functor together with a pseudonatural equivalence

$$\rho : F \circ i \rightarrow i'.$$

If $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ is a transport 2-functor with Gr-structure, $F \circ \text{tra}$ is also a transport 2-functor with Gr-structure. In particular, this is the case for $i' := F \circ i$ and $\rho = \text{id}$.

- (iv) *Change of the structure 2-groupoid*: Let $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ be a transport 2-functor with Gr-structure, for a 2-functor $i : \text{Gr} \rightarrow T$ which is a composition

$$\text{Gr} \xrightarrow{F} \text{Gr}' \xrightarrow{i'} T$$

in which F is a smooth 2-functor. Then, tra is also a transport 2-functor with Gr' -structure, since for any local i -trivialization (triv, t) of tra we have a local i' -trivialization $(F \circ \text{triv}, t)$.

3.3.2 Structure Lie 2-Groups

We describe some examples of Lie 2-groupoids and outline the role of the corresponding transport 2-functors. First we recall the following generalization of a Lie group.

Definition 3.3.1. A Lie 2-group is a strict monoidal Lie category $(\mathfrak{G}, \boxtimes, \mathbf{I})$ together with a smooth

functor $inv : \mathfrak{G} \rightarrow \mathfrak{G}$ such that

$$X \boxtimes inv(X) = \mathbf{I} = inv(X) \boxtimes X \quad \text{and} \quad f \boxtimes inv(f) = id_{\mathbf{I}} = inv(f) \boxtimes f$$

for all objects X and all morphisms f in \mathfrak{G} .

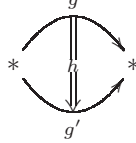
The strict monoidal category $(\mathfrak{G}, \boxtimes, \mathbf{I})$ defines a strict 2-category $\mathcal{B}\mathfrak{G}$ with a single object [SW, Example A.2]. The additional functor inv assures that $\mathcal{B}\mathfrak{G}$ is a strict 2-groupoid. All our examples in Section 4 discuss transport 2-functors with $\mathcal{B}\mathfrak{G}$ -structure, for \mathfrak{G} a Lie 2-group.

Lie 2-groups can be obtained from the following structure.

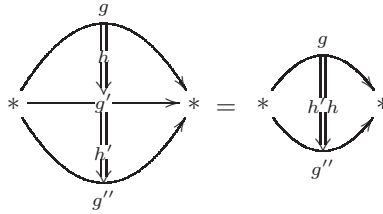
Definition 3.3.2. A smooth crossed module is a quadruple (G, H, t, α) of Lie groups G and H , of a Lie group homomorphism $t : H \rightarrow G$, and of a smooth left action $\alpha : G \times H \rightarrow H$ by Lie group homomorphisms such that

- a) $t(\alpha(g, h)) = gt(h)g^{-1}$ for all $g \in G$ and $h \in H$.
- b) $\alpha(t(h), x) = h x h^{-1}$ for all $h, x \in H$.

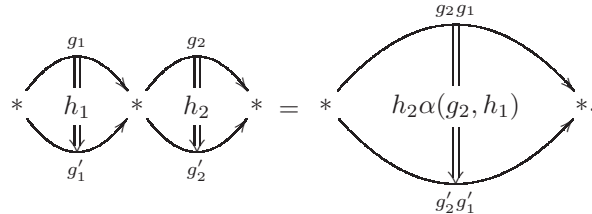
The construction of a Lie 2-group $\mathfrak{G} = \mathfrak{G}(G, H, t, \alpha)$ from a given smooth crossed module (G, H, t, α) can be found in the Appendix of [SW11]. We shall explicitly describe the corresponding Lie 2-groupoid $\mathcal{B}\mathfrak{G}$. It has one object denoted $*$. A 1-morphism is a group element $g \in G$, the identity 1-morphism is the neutral element, and the composition of 1-morphisms is the multiplication, $g_2 \circ g_1 := g_2 g_1$. The 2-morphisms are pairs $(g, h) \in G \times H$, considered as 2-morphisms



with $g' := t(h)g$. The vertical composition is



with $g' = t(h)g$ and $g'' = t(h')g' = t(h'h)g$, and the horizontal composition is



Summarizing, one can go from smooth crossed modules to Lie 2-groups, and then to Lie 2-groupoids.

Example 3.3.3.

- (i) Let A be an abelian Lie group. A smooth crossed module is defined by $G = \{1\}$ and $H := A$. This fixes the maps to $t(a) := 1$ and $\alpha(1, a) := a$. Notice that axiom b) is satisfied because A is abelian. The associated Lie 2-group is denoted by $\mathcal{B}A$. Transport 2-functors with $\mathcal{B}A$ -structure play the role of *abelian gerbes with connection*; see Section 4.2.
- (ii) Let G be a Lie group. A smooth crossed module is defined by $H := G$, $t = \text{id}$ and $\alpha(g, h) := ghg^{-1}$. The associated Lie 2-group is denoted by $\mathcal{E}G$. This notation is devoted to the fact that the geometric realization of the nerve of the category $\mathcal{E}G$ yields the universal G -bundle EG . Transport 2-functors with $\mathcal{B}\mathcal{E}G$ -structure arise as the *curvature* of transport functors; see Section 3.4.
- (iii) Let H be a connected Lie group, so that the group of Lie group automorphisms of H is again a Lie group $G := \text{Aut}(H)$. The definitions $t(h)(x) := h x h^{-1}$ and $\alpha(\varphi, h) := \varphi(h)$ yield a smooth crossed module whose associated Lie 2-group is denoted by $\text{AUT}(H)$, it is called the *automorphism 2-group* of H . Transport 2-functors with $\mathcal{B}\text{AUT}(H)$ -structure play the role *non-abelian gerbes with connection*; see Section 4.3.
- (iv) Let

$$1 \longrightarrow N \xrightarrow{t} H \xrightarrow{p} G \longrightarrow 1$$

be an exact sequence of Lie groups, not necessarily central. There is a canonical action α of H on N defined by requiring

$$t(\alpha(h, n)) = ht(n)h^{-1}.$$

This defines a smooth crossed module, whose associated Lie 2-group we denote by \mathfrak{N} . Transport 2-functors with $\mathcal{B}\mathfrak{N}$ -structure correspond to (non-abelian) *lifting gerbes*. They generalize the abelian lifting gerbes [Bry93, Mur96] for central extensions to arbitrary short exact sequences of Lie groups.

3.3.3 Transgression to Loop Spaces

Transport 2-functors on a smooth manifold M induce interesting structure on the loop space LM . This comes from the fact that there is a canonical smooth functor

$$\ell : \mathcal{P}_1(LM) \longrightarrow \Lambda\mathcal{P}_2(M)$$

expressing that a point in LM is just a particular path in M , and that a path in LM is just a particular bigon in M [SW11, Section 4.2]. If $\text{tra} : \mathcal{P}_2(M) \longrightarrow T$ is a transport 2-functor, then the composition of ℓ with

$$\Lambda\text{tra} : \Lambda\mathcal{P}_2(M) \longrightarrow \Lambda_{\text{tra}}T$$

yields a functor

$$\mathcal{T}_{\text{tra}} := \Lambda\text{tra} \circ \ell : \mathcal{P}_1(LM) \longrightarrow \Lambda_{\text{tra}}T$$

that we call the *transgression of tra to the loop space*. In order to abbreviate the discussion of the functor \mathcal{T}_{tra} we make three simplifying assumptions:

- (i) We restrict our attention to the based loop space ΩM (for some fixed base point) and identify \mathcal{T}_{tra} with its pullback along the embedding $\iota : \Omega M \longrightarrow LM$.

- (ii) We assume that there exists a surjective submersion $\pi : Y \rightarrow M$ for which tra admits smooth local trivializations and for which $\Omega\pi : \Omega Y \rightarrow \Omega M$ is also a surjective submersion.
- (iii) We assume that the target 2-category T is strict, so that ΛT is the target category of the functor \mathcal{T}_{tra} .

Proposition 3.3.4. *Let $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ be a transport 2-functor with Gr-structure satisfying (ii) and (iii). Then,*

$$\mathcal{T}_{\text{tra}} : \mathcal{P}_1(\Omega M) \rightarrow \Lambda T$$

is a transport functor with ΛGr -structure.

Proof. Let $t : \pi^*\text{tra} \rightarrow \text{triv}_i$ be a π -local i -trivialization of tra for π a surjective submersion satisfying (ii). A local trivialization \tilde{t} of \mathcal{T}_{tra} is given by

$$\begin{array}{ccc}
 \mathcal{P}_1(\Omega Y) & \xrightarrow{(\Omega\pi)_*} & \mathcal{P}_1(\Omega M) \\
 \ell \downarrow & & \downarrow \ell \\
 \Lambda\mathcal{P}_2(Y) & \xrightarrow{\pi_*} & \Lambda\mathcal{P}_2(M) \\
 \Lambda\text{triv} \downarrow & \swarrow \Lambda\tilde{t} & \downarrow \Lambda\text{tra} \\
 \Lambda\text{Gr} & \xrightarrow{\Lambda i} & \Lambda T
 \end{array}$$

in which the upper subdiagram is commutative on the nose. If $g : \pi_1^*\text{triv}_i \rightarrow \pi_2^*\text{triv}_i$ is the pseudonatural transformation in the smooth descent object $\text{Ex}_\pi(\text{tra}, t, \text{triv})$, and \tilde{g} is the natural transformation in the descent object $\text{Ex}_\pi(\mathcal{T}_{\text{tra}}, \tilde{t}, \ell^*\Lambda\text{triv})$ associated to the above trivialization, we find $\tilde{g} = \ell^*\Lambda g$. Since $\mathcal{F}(g)$ is a transport 2-functor with ΛGr -structure, it has smooth Wilson lines [SW09]: for a fixed point $\alpha \in Y^{[2]}$ there exists a smooth natural transformation $g' : \pi_1^*\ell^*\Lambda\text{triv} \rightarrow \pi_2^*\ell^*\Lambda\text{triv}$ with $g = i(g')$. This shows that \tilde{g} factors through a smooth natural transformation $\ell^*\Lambda g'$, so that \mathcal{T}_{tra} is a transport functor. \square

Having in mind that transport functors correspond to fibre bundles with connection, Proposition 3.3.4 shows that transport 2-functors on a manifold M naturally induce fibre bundles with connection on the loop space ΩM . In general, these are so-called groupoid bundles [MM03, SW09], whose structure groupoid is ΛGr . However, in the abelian case, i.e. $\text{Gr} = \mathcal{B}A$ for an abelian Lie group A , we have $\Lambda\text{Gr} \cong \mathcal{B}A$ (see Lemma 4.2.3 below), so that the transgression \mathcal{T}_{tra} is – via Theorem 2.4.2 – a principal A -bundle with connection over ΩM . This fits well into Brylinski’s picture of transgression [Bry93].

3.3.4 Curving and Curvature

Suppose $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ is a transport 2-functor with $\mathcal{B}\mathfrak{G}$ -structure, for \mathfrak{G} some Lie 2-group coming from a smooth crossed module (G, H, t, α) . Since such 2-functors are supposed to describe (non-abelian) gerbes with connection, we want to identify a *3-form curvature*. Just as for (non-abelian) principal bundles, this curvature is only locally defined.

First we need the following fact: if \mathfrak{g} and \mathfrak{h} denote the Lie algebras of the Lie groups G and H , respectively, there is a bijection

$$\left\{ \begin{array}{l} \text{Smooth 2-functors} \\ F : \mathcal{P}_2(X) \rightarrow \mathcal{B}\mathfrak{G} \end{array} \right\} \cong \left\{ \begin{array}{l} \text{Pairs } (A, B) \in \Omega^1(X, \mathfrak{g}) \times \Omega^2(X, \mathfrak{h}) \\ \text{satisfying } t_*(B) = dA + [A \wedge A] \end{array} \right\}, \quad (3.3.1)$$

where $t_* : \mathfrak{h} \rightarrow \mathfrak{g}$ is the differential of t . This bijection is the lowest level of an equivalence of 2-categories that we will review in more detail in Section 4.1; see Theorem 4.1.1.

Definition 3.3.5. *Let $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ be a transport functor with \mathcal{BG} -structure over M , let $\pi : Y \rightarrow M$ be a surjective submersion, and let (t, triv) be a π -local trivialization with smooth descent data.*

(i) *The differential forms $A \in \Omega^1(Y, \mathfrak{g})$ and $B \in \Omega^2(Y, \mathfrak{h})$ that correspond to the smooth 2-functor triv under the above bijection, are called the 1-curving and the 2-curving of tra .*

(ii) *The 3-form*

$$\text{curv}(\text{tra}) = dB + \alpha_*(A \wedge B) \in \Omega^3(Y, \mathfrak{h}),$$

where $\alpha_ : \mathfrak{g} \times \mathfrak{h} \rightarrow \mathfrak{h}$ is the differential of the action $\alpha : G \times H \rightarrow H$ of the crossed module, is called the curvature of tra .*

We recall that we called a 2-functor $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ *flat* if it factors through the projection $\mathcal{P}_2(M) \rightarrow \Pi_2(M)$ of thin homotopy classes of bigons to homotopy classes. The next proposition shows that this notion of flatness is equivalent to the vanishing of the curvature.

Proposition 3.3.6. *Suppose that the 2-functor $i : \mathcal{BG} \rightarrow T$ is injective on 2-morphisms. A transport 2-functor $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ with \mathcal{BG} -structure is flat if and only if its local curvature 3-form $\text{curv}(\text{tra}) \in \Omega^3(Y, \mathfrak{h})$ with respect to any smooth local trivialization vanishes.*

Proof. We proceed in two parts. (a): $\text{curv}(\text{tra})$ vanishes if and only if triv is a flat 2-functor, and (b): tra is flat if and only if triv is flat. The claim (a) follows from Lemma A.11 in [SW11]. To see (b) consider two bigons $\Sigma_1 : \gamma \rightrightarrows \gamma'$ and $\Sigma_2 : \gamma \rightrightarrows \gamma'$ in Y which are smoothly homotopic so that they define the same element in $\Pi_2(Y)$. Suppose tra is flat and let $\Sigma := \Sigma_2^{-1} \bullet \Sigma_1$. Axiom (T2) for the trivialization t is then

$$\begin{array}{ccc} t(y) \circ \pi^* \text{tra}(\gamma) & \xrightarrow{t(\gamma)} & \text{triv}_i(\gamma) \circ t(x) \\ \text{id}_{t(y)} \circ \pi^* \text{tra}(\Sigma) \Downarrow & & \Downarrow \text{triv}_i(\Sigma) \circ \text{id}_{t(x)} \\ t(y) \circ \pi^* \text{tra}(\gamma) & \xrightarrow{t(\gamma)} & \text{triv}_i(\gamma) \circ t(x) \end{array}$$

and since $\pi^* \text{tra}(\Sigma) = \text{id}$ by assumption it follows that $\text{triv}_i(\Sigma) = \text{id}$, i.e. triv is flat. Conversely, assume that triv is flat. The latter diagram shows that tra is then flat on all bigons in the image of π_* . This is actually enough: let $h : [0, 1]^3 \rightarrow M$ be a smooth homotopy between two bigons Σ_1 and Σ_2 which are not in the image of π_* . Like explained in Appendix A.3 of [SW11] the cube $[0, 1]^3$ can be decomposed into small cubes such that h restricts to smooth homotopies between small bigons that bound these cubes. The decomposition can be chosen so small that each of these bigons is contained in the image of π_* , so that tra assigns the same value to the source and the target bigon of each small cube. By 2-functoriality of tra , this infers $\text{tra}(\Sigma_1) = \text{tra}(\Sigma_2)$. \square

3.4 Curvature 2-Functors

In this section we provide a class of examples of transport 2-functors coming from transport functors, i.e. fibre bundle with connections. If P is a principal G -bundle with connection ω over M , one can

compare the parallel transport maps along two paths $\gamma_1, \gamma_2 : x \rightarrow y$ by an automorphism of P_y , namely the holonomy around the loop $\gamma_2 \circ \gamma_1^{-1}$,

$$\tau_{\gamma_2} = \text{Hol}_\omega(\gamma_2 \circ \gamma_1^{-1}) \circ \tau_{\gamma_1}.$$

If the paths γ_1 and γ_2 are the source and the target of a bigon $\Sigma : \gamma_1 \Rightarrow \gamma_2$, this holonomy is related to the curvature of ∇ . So, a principal G -bundle with connection does not only assign fibres P_x to points $x \in M$ and parallel transport maps τ_γ to paths, it also assigns a curvature-related quantity to bigons Σ .

Under the equivalence between principal G -bundles with connection and transport functors on X with $\mathcal{B}G$ -structure (Theorem 2.4.2), the principal bundle (P, ω) corresponds to the transport functor

$$\text{tra}_P : \mathcal{P}_1(M) \rightarrow G\text{-Tor}$$

that assigns the fibres P_x to points $x \in M$ and the parallel transport maps τ_γ to paths γ . Adding an assignment for bigons yields a ‘‘curvature 2-functor’’

$$K(\text{tra}_P) : \mathcal{P}_2(M) \rightarrow \widehat{G\text{-Tor}}$$

where $\widehat{G\text{-Tor}}$ is the category $G\text{-Tor}$ regarded as a strict 2-category with a unique 2-morphism between each pair of 1-morphisms. The uniqueness of the 2-morphisms expresses the fact that the curvature is determined by the parallel transport.

More generally, let us start with a transport functor $\text{tra} : \mathcal{P}_1(M) \rightarrow T$ with $\mathcal{B}G$ -structure for some Lie group G and some functor $i : \mathcal{B}G \rightarrow T$. The *curvature 2-functor* of tra is the strict 2-functor

$$K(\text{tra}) : \mathcal{P}_2(M) \rightarrow \widehat{T}$$

which is on objects and 1-morphisms equal to tra and on 2-morphisms determined by the fact that \widehat{T} has a exactly one 2-morphism between each pair of 1-morphisms. In the same way, we obtain a strict 2-functor

$$K(i) : \widehat{\mathcal{B}G} \rightarrow \widehat{T}$$

We observe that the Lie 2-groupoids $\widehat{\mathcal{B}G}$ and $\mathcal{B}\mathcal{E}G$ (see Section 3.3) are canonically isomorphic under the assignment

so that we obtain a 2-functor $\mathcal{B}\mathcal{E}G \rightarrow \widehat{\mathcal{B}G} \rightarrow \widehat{T}$. Now we are in the position to introduce our explicit example of a transport 2-functor.

Theorem 3.4.1. *The curvature 2-functor $K(\text{tra})$ is a transport 2-functor with $\mathcal{B}\mathcal{E}G$ -structure.*

Proof. We construct a local trivialization of $K(\text{tra})$ starting with a local trivialization (triv, t) of tra with respect to some surjective submersion $\pi : Y \rightarrow M$. Let $\text{dtriv} : \mathcal{P}_2(Y) \rightarrow \mathcal{B}\mathcal{E}G$ be the derivative 2-functor associated to triv [SW11]: on objects and 1-morphisms it is given by triv , and it sends every bigon $\Sigma : \gamma_1 \Rightarrow \gamma_2$ in Y to the unique 2-morphism in $\mathcal{B}\mathcal{E}G$ between the images of γ_1 and γ_2 under triv . A pseudonatural equivalence

$$K(t) : \pi^* K(\text{tra}) \rightarrow K(i) \circ \text{dtriv}$$

is defined as follows. Its component at a point $a \in Y$ is the 1-morphism

$$K(t)(a) := t(a) : \text{tra}(\pi(a)) \longrightarrow i(*)$$

in T . Its component $t(\gamma)$ at a path $\gamma : a \longrightarrow b$ is the unique 2-morphism in \widehat{T} . Notice that since t is a natural transformation, we have a commutative diagram

$$\begin{array}{ccc} \text{tra}(\pi(a)) & \xrightarrow{\text{tra}(\pi(\gamma))} & \text{tra}(\pi(b)) \\ t(a) \downarrow & & \downarrow t(b) \\ i(*) & \xrightarrow{\text{triv}_i(\gamma)} & i(*) \end{array}$$

meaning that $t(\gamma) = \text{id}$. This defines the pseudonatural transformation t as required.

Now we assume that the descent data (triv, g_t) associated to the local trivialization (triv, t) is smooth, and show that then also the descent object $(\text{dtriv}, g_{K(t)}, \psi, f)$ is smooth. As observed in [SW11], the derivative 2-functor dtriv is smooth if and only if triv is smooth. To extract the remaining descent data according to the procedure described in Section 2.2. It turns out that the only non-trivial descent datum is the pseudonatural transformation

$$g_{K(t)} : \pi_1^* \text{dtriv}_{K(i)} \longrightarrow \pi_2^* \text{dtriv}_{K(i)}.$$

Its component at a point $\alpha \in Y^{[2]}$ is given by $g_{K(t)}(\alpha) := g_t(\alpha)$, and its component at some path $\Theta : \alpha \longrightarrow \alpha'$ is the identity.

The last step is to show that

$$\mathcal{F}(g_{K(t)}) : \mathcal{P}_1(Y^{[2]}) \longrightarrow \Lambda_{K(i)} \widehat{T}$$

is a transport functor with $\Lambda\mathcal{B}\mathcal{E}\mathcal{G}$ -structure. To do so we have to find a local trivialization with smooth descent data. This is here particularly simple: the functor $\mathcal{F}(g_{K(t)})$ is *globally* trivial in the sense that it factors through the functor

$$\Lambda K(i) : \Lambda\mathcal{B}\mathcal{E}\mathcal{G} \longrightarrow \Lambda_{K(i)} \widehat{T}.$$

To see this we use the smoothness condition on the natural transformation g_t , namely that it factors through a smooth natural transformation \tilde{g}_t . We obtain a smooth pseudonatural transformation $\tilde{g}_{K(t)} : \pi_1^* \text{dtriv} \longrightarrow \pi_2^* \text{dtriv}$ such that $g_{K(t)} = K(i)(\tilde{g}_{K(t)})$. This finally gives us

$$\mathcal{F}(g_{K(t)}) = \Lambda K(i) \circ \mathcal{F}(\tilde{g}_{K(t)})$$

meaning that $\mathcal{F}(g_{K(t)})$ is a transport functor with $\Lambda\mathcal{B}\mathcal{E}\mathcal{G}$ -structure. \square

Since the value of the curvature 2-functor $K(\text{tra})$ on bigons does not depend on the bigon itself but only on its source and target path, we have the following.

Proposition 3.4.2. *The curvature 2-functor $K(\text{tra})$ of any transport functor is flat.*

This proposition gains a very nice interpretation when we relate the curvature of a connection ω in a principal G -bundle $p : P \longrightarrow M$ to the curvature 2-functor $K(\text{tra}_P)$ associated to the corresponding transport functor tra_P . First we show:

Lemma 3.4.3. *The curvature 2-functor $K(\text{tra}_P) : \mathcal{P}_2(M) \longrightarrow \widehat{G\text{-Tor}}$ has a canonical smooth p -local trivialization (p, t, triv) . The classical curvature $\text{curv}(\omega) \in \Omega^2(P, \mathfrak{g})$ is the 2-curving of $K(\text{tra}_P)$ with respect to the trivialization (p, t, triv) .*

Proof. As described in detail in [SW09, Section 5.1], tra_P admits local trivializations with respect to the surjective submersion $p : P \rightarrow M$ and with smooth descent data (triv', g) such that the connection 1-form $\omega \in \Omega^1(P, \mathfrak{g})$ of the bundle P corresponds to the smooth functor $\text{triv}' : \mathcal{P}_1(P) \rightarrow \mathcal{B}G$ under the bijection of [SW09, Proposition 4.7]. Then, by [SW11, Lemma 3.5], the 2-form B' associated to dtriv' under the bijection (3.3.1), which is by Definition 3.3.5 (i) the 2-curving of $K(\text{tra}_P)$, is given by

$$B' = d\omega + [\omega \wedge \omega].$$

The latter is by definition the curvature of the connection ω . □

The announced interpretation of Proposition 3.4.2 now is as follows: using Lemma 3.4.3 one can calculate the curvature $\text{curv}(K(\text{tra}_P))$ of the curvature 2-functor of tra_P . The calculation involves the second Bianchi identity for the connection ω on the principal G -bundle P , and the result is

$$\text{curv}(K(\text{tra}_P)) = 0,$$

which is according to Proposition 3.3.6 an independent proof of Proposition 3.4.2. In other words, Proposition 3.4.2 is equivalent to the *second Bianchi identity* for connections on fibre bundles.

4 Transport 2-Functors are Non-Abelian Gerbes

In this section we show that transport 2-functors reproduce – systematically, by choosing appropriate target 2-categories and structure 2-groups – four known concepts of gerbes with connections: Deligne cocycles and Breen-Messing cocycles (Section 4.1), abelian bundle gerbes (Section 4.2), and non-abelian bundle gerbes (Section 4.3). In Section 4.4 we establish a further relation between transport 2-functors and 2-vector bundles with connection. Conversely, all these structures are examples of transport 2-functors.

4.1 Differential Non-Abelian Cohomology

In this section we set up a classifying theory for transport 2-functors $\mathcal{P}_2(M) \rightarrow T$ with structure Lie 2-groupoid $i : \mathcal{B}\mathfrak{G} \rightarrow T$, for \mathfrak{G} a Lie 2-group coming from a smooth crossed module (G, H, t, α) , T an arbitrary 2-category, and i an equivalence of categories. The latter condition together with Theorem 3.2.2 implies that we have equivalences

$$\text{Trans}_{\mathcal{B}\mathfrak{G}}^2(M, T) \cong \mathfrak{D}\mathfrak{e}\mathfrak{s}^2(i)_M^\infty \cong \mathfrak{D}\mathfrak{e}\mathfrak{s}^2(\text{id}_{\mathcal{B}\mathfrak{G}})_M^\infty \quad (4.1.1)$$

of 2-categories.

Our strategy is to translate the structure of the 2-category $\mathfrak{D}\mathfrak{e}\mathfrak{s}^2(\text{id}_{\mathcal{B}\mathfrak{G}})_M^\infty$ into an equivalent 2-category of “non-abelian differential cocycles” made up of smooth functions and differential forms, with respect to open covers of M . Isomorphism classes of non-abelian differential cocycles form a set which we define as the *non-abelian differential cohomology* of M ; it classifies transport 2-functors on M with $\mathcal{B}\mathfrak{G}$ -structure up to isomorphism.

4.1.1 Smooth Functors and Differential Forms

For the translation of the 2-category $\mathfrak{D}\mathfrak{e}\mathfrak{s}^2(\text{id}_{\mathcal{B}\mathfrak{G}})_M^\infty$ into smooth functions and differential forms we recall a general result about the 2-category $\text{Funct}^\infty(\mathcal{P}_2(X), \mathcal{B}\mathfrak{G})$ of smooth 2-functors, smooth pseudonatural

transformations, and smooth modifications defined on a smooth manifold X , with values in the Lie 2-groupoid \mathcal{BG} . Following [SW11, Section 2.2], it corresponds to the following structure expressed in terms of smooth functions and differential forms:

- (i) A smooth 2-functor $F : \mathcal{P}_2(X) \longrightarrow \mathcal{BG}$ induces a pair of differential forms: a 1-form $A \in \Omega^1(X, \mathfrak{g})$ with values in the Lie algebra of G , and a 2-form $B \in \Omega^2(X, \mathfrak{h})$ with values in the Lie algebra of H , such that

$$dA + [A \wedge A] = t_* \circ B. \quad (4.1.2)$$

- (ii) A smooth pseudonatural transformation $\rho : F \longrightarrow F'$ gives rise to a 1-form $\varphi \in \Omega^1(X, \mathfrak{h})$ and a smooth map $g : X \longrightarrow G$, such that

$$A' + t_* \circ \varphi = \text{Ad}_g(A) - g^* \bar{\theta} \quad (4.1.3)$$

$$B' + \alpha_*(A' \wedge \varphi) + d\varphi + [\varphi \wedge \varphi] = (\alpha_g)_* \circ B. \quad (4.1.4)$$

The identity $\text{id} : F \longrightarrow F$ has $\varphi = 0$ and $g = 1$. If ρ_1 and ρ_2 are composable pseudonatural transformations, the 1-form of their composition $\rho_2 \circ \rho_1$ is $(\alpha_{g_2})_* \circ \varphi_1 + \varphi_2$, and the smooth map is $g_2 g_1 : X \longrightarrow G$.

- (iii) A smooth modification $\mathcal{A} : \rho \Longrightarrow \rho'$ gives rise to a smooth map $a : X \longrightarrow H$, such that

$$g' = (t \circ a) \cdot g \quad \text{and} \quad \varphi' + (r_a^{-1} \circ \alpha_a)_*(A') = \text{Ad}_a(\varphi) - a^* \bar{\theta}. \quad (4.1.5)$$

The identity modification id_ρ has $a = 1$. If two modifications \mathcal{A}_1 and \mathcal{A}_2 are vertically composable, $\mathcal{A}_2 \bullet \mathcal{A}_1$ has the map $a_2 a_1$. If two modifications $\mathcal{A}_1 : \rho_1 \Longrightarrow \rho'_1$ and $\mathcal{A}_2 : \rho_2 \Longrightarrow \rho'_2$ are horizontally composable, $\mathcal{A}_2 \circ \mathcal{A}_1$ has the map $a_2 \alpha(g_2, a_1)$.

The structure (i), (ii) and (iii) forms a strict 2-category $Z_X^2(\mathcal{G})^\infty$, which we call the *2-category of \mathcal{G} -connections on X* [SW11].

Theorem 4.1.1 ([SW11, Theorem 2.20]). *The correspondences described above furnish a strict 2-functor*

$$\text{Funct}^\infty(\mathcal{P}_2(X), \mathcal{BG}) \xrightarrow{\mathcal{D}} Z_X^2(\mathcal{G})^\infty,$$

which is an isomorphism of 2-categories.

We remark that we have already used this isomorphism on the level of objects as a bijection (3.3.1).

For a general Lie 2-group \mathcal{G} the correspondence between a smooth 2-functor $F : \mathcal{P}_2(X) \longrightarrow \mathcal{BG}$ and the pair (A, B) with $A \in \Omega^1(X, \mathfrak{g})$ and $B \in \Omega^2(X, \mathfrak{h})$ is established by an iterated integration and described in detail in [SW11, Section 2.3.1]. For the Lie 2-group $\mathcal{G} = \mathcal{BS}^1$ it reduces to the following relation.

Lemma 4.1.2. *Let $B \in \Omega^2(X)$ be a 2-form. Then, the smooth 2-functor $F : \mathcal{P}_2(X) \longrightarrow \mathcal{BBS}^1$ that corresponds to B under the isomorphism of Theorem 4.1.1 is given by*

$$F(\Sigma) = \exp \left(- \int_{[0,1]^2} \Sigma^* B \right),$$

for all bigons $\Sigma \in BX$.

Proof. We go through the construction in [SW11, Section 2.3.1] and reduce everything to the case $\mathfrak{G} = \mathcal{B}S^1$. The 1-form \mathcal{A}_Σ in [SW11, Equation 2.26] is

$$\mathcal{A}_\Sigma = - \int_{[0,1]} \Sigma^* B \in \Omega^1([0,1]),$$

with the integration performed over the second factor of $[0,1]^2$. It extends (due to the sitting instants of Σ) to a 1-form on \mathbb{R} , and so corresponds via [SW09, Proposition 4.7] to a smooth functor $F_{\mathcal{A}_\Sigma} : \mathcal{P}_1(\mathbb{R}) \rightarrow \mathcal{B}S^1$, which is just a smooth map $f_\Sigma : \mathbb{R} \times \mathbb{R} \rightarrow S^1$. The correspondence between the 1-form \mathcal{A}_Σ and the function f_Σ is by [SW09, Lemma 4.1]:

$$f_\Sigma(t_0, t_1) = \exp \left(\int_{t_0}^{t_1} -\mathcal{A}_\Sigma \right).$$

According to the prescription, [SW11, Equation 2.27] and [SW11, Proposition 2.17] define

$$F(\Sigma) = f_\Sigma(0,1)^{-1} = \exp \left(\int_0^1 \mathcal{A}_\Sigma \right) = \exp \left(- \int_{[0,1]^2} \Sigma^* B \right).$$

□

4.1.2 Non-abelian Differential Cocycles

We want to translate the structure of the 2-category $\mathfrak{Des}_\pi^2(\text{id}_{\mathcal{B}\mathfrak{G}})^\infty$ of smooth descent data with respect to a surjective submersion $\pi : Y \rightarrow M$ into smooth functions and differential forms, using Theorem 4.1.1 as a dictionary.

We recall that an object in $\mathfrak{Des}_\pi^2(\text{id}_{\mathcal{B}\mathfrak{G}})^\infty$ is a collection $(\text{triv}, g, \psi, f)$ containing a smooth 2-functor $\text{triv} : \mathcal{P}_2(Y) \rightarrow \mathcal{B}\mathfrak{G}$, a pseudonatural transformation $g : \pi_1^* \text{triv} \rightarrow \pi_2^* \text{triv}$ whose associated functor $\mathcal{F}(g_0) : \mathcal{P}_1(Y^{[2]}) \rightarrow \mathcal{B}\mathfrak{G}$ is a transport functor, and modifications ψ and f whose associated natural transformations $\mathcal{F}(\psi)$ and $\mathcal{F}(f)$ are morphisms between transport functors.

We begin with looking at a sub-2-category $\mathcal{U} \subseteq \mathfrak{Des}_\pi^2(\text{id}_{\mathcal{B}\mathfrak{G}})^\infty$ in which all pseudonatural transformations and modifications are smooth, i.e. correspond to trivial transport functors and morphisms between trivial transport functors. An object $(\text{triv}, g_\infty, \psi_\infty, f_\infty)$ in \mathcal{U} corresponds under Theorem 4.1.1 to the following structure:

(a) an object $(A, B) := \mathcal{D}(\text{triv})$ in $Z_Y^2(\mathfrak{G})^\infty$, i.e. differential forms $A \in \Omega^1(Y, \mathfrak{g})$ and $B \in \Omega^2(Y, \mathfrak{h})$ satisfying relation (4.1.2).

(b) a 1-morphism

$$(g, \varphi) := \mathcal{D}(g_\infty) : \pi_1^*(A, B) \rightarrow \pi_2^*(A, B)$$

in $Z_{Y^{[2]}}^2(\mathfrak{G})^\infty$, i.e. a smooth function $g : Y^{[2]} \rightarrow G$ and a 1-form $\varphi \in \Omega^1(Y^{[2]}, \mathfrak{h})$ satisfying the relations (4.1.3) and (4.1.4).

(c) a 2-morphism

$$f := \mathcal{D}(f_\infty) : \pi_{23}^*(g, \varphi) \circ \pi_{12}^*(g, \varphi) \rightrightarrows \pi_{13}^*(g, \varphi)$$

in $Z_{Y^{[3]}}^2(\mathfrak{G})^\infty$ and a 2-morphism

$$\psi := \mathcal{D}(\psi_\infty) : \text{id}_{(A,B)} \rightrightarrows \Delta^*(g, \varphi)$$

in $Z_Y^2(\mathfrak{G})^\infty$; these are smooth functions $f : Y^{[3]} \rightarrow H$ and $\psi : Y \rightarrow H$ satisfying relations (4.1.5).

The coherence conditions for f_∞ and ψ_∞ [SW, Definition 2.2.1] imply the following equations of smooth functions, expressed as pasting diagrams:

$$\begin{array}{ccc}
\begin{array}{c} \pi_2^*(A, B) \xrightarrow{\pi_{23}^*(g, \varphi)} \pi_3^*(A, B) \\ \uparrow \pi_{12}^*(g, \varphi) \quad \swarrow \pi_{123}^* f \\ \pi_1^*(A, B) \xrightarrow{\pi_{14}^*(g, \varphi)} \pi_4^*(A, B) \\ \downarrow \pi_{34}^*(g, \varphi) \end{array} & = & \begin{array}{c} \pi_2^*(A, B) \xrightarrow{\pi_{23}^*(g, \varphi)} \pi_3^*(A, B) \\ \uparrow \pi_{12}^*(g, \varphi) \quad \swarrow \pi_{234}^* f \\ \pi_1^*(A, B) \xrightarrow{\pi_{14}^*(g, \varphi)} \pi_4^*(A, B) \\ \downarrow \pi_{34}^*(g, \varphi) \end{array}
\end{array} \quad (4.1.6)$$

in the 2-category $Z_{Y^{[4]}}^2(\mathfrak{G})^\infty$ and

$$\begin{array}{ccc}
\begin{array}{c} \pi_2^*(A, B) \xrightarrow{\text{id}_{\pi_2^*(A, B)}} \pi_2^*(A, B) \\ \uparrow \pi_{12}^*(g, \varphi) \quad \swarrow \pi_{12}^* \psi \\ \pi_1^*(A, B) \xrightarrow{(g, \varphi)} \pi_2^*(A, B) \\ \downarrow \Delta_{22}^*(g, \varphi) \end{array} & = \text{id}_{(g, \varphi)} = & \begin{array}{c} \pi_1^*(A, B) \xrightarrow{\text{id}_{\pi_1^*(A, B)}} \pi_1^*(A, B) \\ \uparrow \pi_{11}^*(g, \varphi) \quad \swarrow \pi_{11}^* \psi \\ \pi_1^*(A, B) \xrightarrow{(g, \varphi)} \pi_2^*(A, B) \\ \downarrow \Delta_{11}^*(g, \varphi) \end{array}
\end{array} \quad (4.1.7)$$

in the 2-category $Z_{Y^{[2]}}^2(\mathfrak{G})^\infty$. We call the structure (a), (b), (c) subject to the two conditions (4.1.6) and (4.1.6) a *differential \mathfrak{G} -cocycle* for the surjective submersion $\pi : Y \rightarrow X$.

We proceed similarly with a 1-morphism $(h_\infty, \epsilon_\infty)$ between objects $(\text{triv}, g_\infty, \psi_\infty, f_\infty)$ and $(\text{triv}', g'_\infty, \psi'_\infty, f'_\infty)$ in \mathcal{U} , and obtain:

- (d) a 1-morphism $(h, \phi) := \mathcal{D}(h_\infty) : (A, B) \rightarrow (A', B')$ in $Z_Y^2(\mathfrak{G})^\infty$, i.e. a smooth function $h : Y \rightarrow G$ and a 1-form $\phi \in \Omega^1(Y, \mathfrak{h})$ satisfying relations (4.1.3) and (4.1.4),
- (e) a 2-morphism $\epsilon := \mathcal{D}(\epsilon_\infty) : \pi_2^*(h, \phi) \circ (g, \varphi) \Rightarrow (g', \varphi') \circ \pi_1^*(h, \phi)$ in $Z_{Y^{[2]}}^2(\mathfrak{G})^\infty$, i.e. a smooth function $\epsilon : Y^{[2]} \rightarrow H$ satisfying (4.1.5).

The coherence conditions of Definition [SW, Definition 2.2.2] result in the identities

$$\begin{array}{ccc}
\begin{array}{c} \text{id} \\ \downarrow \psi \\ (A, B) \xrightarrow{\Delta^*(g, \varphi)} (A, B) \\ \downarrow (h, \phi) \quad \swarrow \Delta^* \epsilon \\ (A', B') \xrightarrow{\Delta^*(g', \varphi')} (A', B') \\ \downarrow (h, \phi) \end{array} & = & \begin{array}{c} (A, B) \xrightarrow{\text{id}} (A, B) \\ \downarrow (h, \phi) \quad \swarrow \text{id}_{(h, \phi)} \\ (A', B') \xrightarrow{\text{id}} (A', B') \\ \downarrow (h, \phi) \end{array}
\end{array} \quad (4.1.8)$$

in the 2-category $Z_Y^2(\mathfrak{G})^\infty$ and

$$\begin{array}{ccc}
\begin{array}{ccc}
& \pi_2^*(A, B) & \\
\pi_{12}^*(g, \varphi) \nearrow & \Downarrow & \searrow \pi_{23}^*(g, \varphi) \\
\pi_1^*(A, B) & \xrightarrow{\pi_{13}^*(g, \varphi)} & \pi_3^*(A, B) \\
\pi_1^*(h, \phi) \downarrow & \swarrow \pi_{13}^* \epsilon & \downarrow \pi_3^*(h, \phi) \\
\pi_1^*(A', B') & \xrightarrow{\pi_{13}^*(g', \varphi')} & \pi_3^*(A', B')
\end{array} & = & \begin{array}{ccc}
\pi_1^*(A, B) & \xrightarrow{\pi_{12}^*(g, \varphi)} & \pi_2^*(A, B) & \xrightarrow{\pi_{23}^*(g, \varphi)} & \pi_3^*(A, B) \\
\pi_1^*(h, \phi) \downarrow & \swarrow \pi_{12}^* \epsilon & \downarrow \pi_2^*(h, \phi) & \swarrow \pi_{23}^* \epsilon & \downarrow \pi_3^*(h, \phi) \\
\pi_1^*(A', B') & \xrightarrow{\pi_{12}^*(g', \varphi')} & \pi_2^*(A', B') & \xrightarrow{\pi_{23}^*(g', \varphi')} & \pi_3^*(A', B') \\
& \searrow \pi_{13}^* \epsilon & \downarrow \pi_{13}^* \epsilon & \swarrow \pi_{13}^* \epsilon & \\
& & \pi_1^*(A', B') & & \pi_3^*(A', B')
\end{array}
\end{array} \quad (4.1.9)$$

in the 2-category $Z_{Y[3]}^2(\mathfrak{G})^\infty$. The structure (d), (e) subject to the conditions (4.1.8) and (4.1.9) is called a *1-morphism between differential \mathfrak{G} -cocycles* for the surjective submersion π .

Finally, a 2-morphism induces a 2-morphism $E : (h, \phi) \rightrightarrows (h', \phi')$ in $Z_Y^2(\mathfrak{G})^\infty$, i.e. a smooth function $E : Y \rightarrow H$ that satisfies (4.1.5), and the coherence condition of [SW, Definition 2.2.3] infers the identity

$$\begin{array}{ccc}
\begin{array}{ccc}
\pi_1^*(A, B) & \xrightarrow{(g, \varphi)} & \pi_2^*(A, B) \\
\pi_1^*(h', \phi') \swarrow \leftarrow \pi_1^* E & \downarrow \pi_1^*(h, \phi) \epsilon & \downarrow \pi_2^*(h, \phi) \\
\pi_1^*(A', B') & \xrightarrow{(g', \varphi')} & \pi_2^*(A', B')
\end{array} & = & \begin{array}{ccc}
\pi_1^*(A, B) & \xrightarrow{(g, \varphi)} & \pi_2^*(A, B) \\
\pi_1^*(h', \phi') \downarrow & \swarrow \epsilon & \downarrow \pi_2^* h_2 \\
\pi_1^*(A', B') & \xrightarrow{(g', \varphi')} & \pi_2^*(A', B')
\end{array}
\end{array}$$

in the 2-category $Z_{Y[2]}^2(\mathfrak{G})^\infty$. Such data is called a *2-morphism between differential \mathfrak{G} -cocycles*.

We have now collected, in a systematical way, objects, 1-morphisms, and 2-morphisms of a 2-category, the *2-category of degree two differential \mathfrak{G} -cocycles*, which we denote by $Z_\pi^2(\mathfrak{G})^\infty$. By construction we have a 2-functor

$$\mathfrak{Dcs}_\pi^2(\text{id}_{\mathcal{B}\mathfrak{G}})^\infty \supseteq \mathcal{U} \xrightarrow{\mathcal{D}_\pi} Z_\pi^2(\mathfrak{G})^\infty,$$

and Theorem 4.1.1 implies immediately that it is an isomorphism of 2-categories.

Next we argue that for certain surjective submersions, the sub-2-category \mathcal{U} is in fact equivalent to $\mathfrak{Dcs}_\pi^2(\text{id}_{\mathcal{B}\mathfrak{G}})^\infty$. These are submersions for which Y and $Y^{[2]}$ have contractible connected components; we will call these *two-contractible*. Any good open cover gives rise to a two-contractible surjective submersion; in particular, any surjective submersion can be refined by a two-contractible one.

Proposition 4.1.3. *Let \mathfrak{G} be a Lie 2-group and let $\pi : Y \rightarrow M$ be a two-contractible surjective submersion. Then, the inverse of the 2-functor \mathcal{D}_π induces an equivalence of categories:*

$$Z_\pi^2(\mathfrak{G})^\infty \cong \mathfrak{Dcs}_\pi^2(\text{id}_{\mathcal{B}\mathfrak{G}})^\infty.$$

Proof. By [SW09, Corollary 3.13] transport functors over contractible manifolds are naturally equivalent to smooth functors. This applies to the transport functors $\mathcal{F}(g)$ contained in a descent object $(\text{triv}, g, \psi, f)$, and to the transport functor $\mathcal{F}(h)$ contained in a descent 1-morphism (h, ϵ) . Such natural equivalences induce a descent 1-morphism $(\text{triv}, g, \psi, f) \cong (\text{triv}, g_\infty, \psi', f')$ and a descent 2-morphism $(h, \epsilon) \cong (h_\infty, \epsilon')$ with g_∞ and h_∞ smooth. Since the embedding of smooth functors into transport functors is full, all the modifications ψ', f', ϵ' are then automatically smooth. This shows that $\mathfrak{Dcs}_\pi^2(\text{id}_{\mathcal{B}\mathfrak{G}})^\infty$ is equivalent to its subcategory \mathcal{U} . \square

4.1.3 Explicit Local Data

In order to make the structure of the 2-category $Z_\pi^2(\mathfrak{G})^\infty$ of degree two differential \mathfrak{G} -cocycles more transparent, we shall spell out all details in case that the surjective submersion π comes from an open cover $\mathfrak{V} = \{V_i\}$ of M . In particular, we express the diagrammatic equations above in terms of actual equations, using the relation between the Lie 2-groupoid $\mathcal{B}\mathfrak{G}$ and the crossed module (G, H, t, α) established in Section 3.3.

Firstly, a differential \mathfrak{G} -cocycle $((A, B), (g, \varphi), \psi, f)$ has the following smooth functions and differential forms:

- (a) On every open set V_i ,

$$\psi_i : V_i \longrightarrow H \quad , \quad A_i \in \Omega^1(V_i, \mathfrak{g}) \quad \text{and} \quad B_i \in \Omega^2(V_i, \mathfrak{h}).$$

- (b) On every two-fold intersection $V_i \cap V_j$,

$$g_{ij} : V_i \cap V_j \longrightarrow G \quad \text{and} \quad \varphi_{ij} \in \Omega^1(V_i \cap V_j, \mathfrak{h}).$$

- (c) On every three-fold intersection $V_i \cap V_j \cap V_k$,

$$f_{ijk} : V_i \cap V_j \cap V_k \longrightarrow H.$$

The cocycle conditions are the following:

1. Over every open set V_i ,

$$dA_i + [A_i \wedge A_i] = t_*(B_i) \tag{4.1.10}$$

$$g_{ii} = t(\psi_i) \tag{4.1.11}$$

$$\varphi_{ii} = -(r_{\psi_i}^{-1} \circ \alpha_{\psi_i})_*(A_i) - \psi_i^* \bar{\theta}.$$

2. Over every two-fold intersection $V_i \cap V_j$,

$$\begin{aligned} A_j &= \text{Ad}_{g_{ij}}(A_i) - g_{ij}^* \bar{\theta} - t_*(\varphi_{ij}) \\ B_j &= (\alpha_{g_{ij}})_*(B_i) - \alpha_*(A_j \wedge \varphi_{ij}) - d\varphi_{ij} - [\varphi_{ij} \wedge \varphi_{ij}] \\ 1 &= f_{ijj} \psi_j = f_{ijj} \alpha_{g_{ij}}(\psi_i). \end{aligned} \tag{4.1.12}$$

3. Over every three-fold intersection $V_i \cap V_j \cap V_k$,

$$\begin{aligned} g_{ik} &= t(f_{ijk}) g_{jk} g_{ij} \\ \text{Ad}_{f_{ijk}}(\varphi_{ik}) &= (\alpha_{g_{jk}})_*(\varphi_{ij}) + \varphi_{jk} + (r_{f_{ijk}}^{-1} \circ \alpha_{f_{ijk}})_*(A_k) + f_{ijk}^* \bar{\theta}. \end{aligned}$$

4. Over every four-fold intersection $V_i \cap V_j \cap V_k \cap V_l$,

$$f_{ikl} \alpha(g_{kl}, f_{ijk}) = f_{ijl} f_{jkl}. \tag{4.1.13}$$

Additionally, the curvature of the differential cocycle is according to Definition 3.3.5 given by

$$H_i := dB_i + \alpha_*(A_i \wedge B_i) \in \Omega^3(V_i, \mathfrak{h}).$$

Secondly, a 1-morphism $((h, \epsilon), \phi)$ between differential cocycles $((A, B), (g, \varphi), \psi, f)$ and $((A', B'), (g', \varphi'), \psi', f')$ has the following structure:

(a) On every open set V_i ,

$$h_i : V_i \longrightarrow G \quad \text{and} \quad \phi_i \in \Omega^1(V, \mathfrak{h}).$$

(b) On every two-fold intersection $V_i \cap V_j$,

$$\epsilon_{ij} : V_i \cap V_j \longrightarrow H.$$

The following conditions have to be satisfied:

1. Over every open set V_i ,

$$B'_i = (\alpha_{h_i})_*(B_i) - \alpha_*(A'_i \wedge \phi_i) - d\phi_i - [\phi_i \wedge \phi_i] \quad (4.1.14)$$

$$A'_i = \text{Ad}_{h_i}(A_i) - t_*(\phi_i) - h_i^* \bar{\theta} \quad (4.1.15)$$

$$\psi'_i = \epsilon_{ii} \alpha(h_i, \psi_i). \quad (4.1.16)$$

2. Over every two-fold intersection $V_i \cap V_j$,

$$g'_{ij} = t(\epsilon_{ij}) h_j g_{ij} h_i^{-1} \quad (4.1.17)$$

$$\varphi'_{ij} = \text{Ad}_{\epsilon_{ij}}((\alpha_{h_j})_*(\varphi_{ij}) + \phi_j) - (\alpha_{g'_{ij}})_*(\phi_i) - (r_{\epsilon_{ij}}^{-1} \circ \alpha_{\epsilon_{ij}})_*(A'_j) - \epsilon_{ij}^* \bar{\theta} \quad (4.1.18)$$

3. Over every three-fold intersection $V_i \cap V_j \cap V_k$,

$$f'_{ijk} = \epsilon_{ik} \alpha(h_k, f_{ijk}) \alpha(g'_{ik}, \epsilon_{ij}^{-1}) \epsilon_{jk}^{-1}. \quad (4.1.19)$$

Finally, a 2-morphism E between differential cocycles has, for any open set V_i , a smooth function $E_i : V_i \longrightarrow H$ such that on every open set V_i

$$h'_i = t(E_i) h_i$$

$$\phi'_i = \text{Ad}_{E_i}(\phi_i) - (r_{E_i}^{-1} \circ \alpha_{E_i})_*(A'_i) - E_i^* \bar{\theta}$$

and, on every 2-fold intersection $V_i \cap V_j$,

$$\epsilon'_{ij} = \alpha(g'_{ij}, E_i) \epsilon_{ij} E_j^{-1}.$$

Concluding this discussion we note the following normalization lemma, which we need for the discussion of surface holonomy. It can be seen as a variant of the maybe familiar normalization result for Čech cocycles.

Lemma 4.1.4. *Every differential \mathfrak{G} -cocycle with respect to an open cover $\{V_i\}_{i \in I}$ is 1-isomorphic to a differential \mathfrak{G} -cocycle with*

$$\psi_i = 1 \quad , \quad g_{ii} = 1 \quad , \quad f_{iij} = f_{ijj} = 1 \quad \text{and} \quad f_{iji} = y_{ij}^{-1} \alpha(x_{ij}, y_{ij})$$

for all $i, j \in I$, and elements $x_{ij} \in G$ and $y_{ij} \in H$. In particular, if $\mathfrak{G} = \mathcal{B}A$ for an abelian Lie group A , then $f_{iji} = 1$.

Proof. Suppose $((A, B), (g, \varphi), \psi, f)$ is a differential \mathfrak{G} -cocycle with respect to $\{V_i\}$. It is easy to see that one can always pass to a 1-isomorphic cocycle with $\psi_i = 1$, $g_{ii} = 1$, and $f_{iij} = f_{ijj} = 1$. In order to achieve the remaining condition for f_{iji} , we choose a total order on the index set I of the open cover, and look at smooth maps

$$\epsilon_{ij} := \begin{cases} 1 & i \leq j \\ f_{iji} & i > j \end{cases}$$

defined on $V_i \cap V_j$. Then we define yet another differential \mathfrak{G} -cocycle $((A', B'), (g', \varphi'), \psi', f')$ via equations (4.1.14) to (4.1.19), and it is straightforward to see that this one has the claimed form. \square

4.1.4 Non-abelian Differential Cohomology

The 2-categories $Z_\pi^2(\mathfrak{G})^\infty$ of degree two differential \mathfrak{G} -cocycles form a direct system for surjective submersions over M , and so do the sets $h_0(Z_\pi^2(\mathfrak{G})^\infty)$ of isomorphism classes of objects.

Definition 4.1.5. *The direct limit*

$$\hat{H}^2(M, \mathfrak{G}) := \lim_{\substack{\longrightarrow \\ \pi}} h_0(Z_\pi^2(\mathfrak{G})^\infty)$$

is called the degree two differential non-abelian cohomology of M with values in \mathfrak{G} .

The terminology “non-abelian differential cohomology” is motivated by the following observation. If one drops all differential forms from the local data described in Section 4.1.3, and only keeps the smooth functions, the corresponding limit coincides with the *non-abelian cohomology* $H^2(M, \mathfrak{G})$, as it appears for instance in [Gir71, Bre94, Bar04, Woc11]. Thus, Definition 4.1.5 extends ordinary non-abelian cohomology by differential form data.

We remark that by Lemma 4.1.4 and the fact that every surjective submersion can be refined by an open cover, one can define non-abelian differential cohomology by only using cocycles that are normalized in the sense of Lemma 4.1.4.

Since every surjective submersion can be refined to a two-contractible one (for example, by a good open cover), it also suffices to take the limit over the two-contractible surjective submersions. Then, Proposition 4.1.3 and Theorem 3.2.2 imply via (4.1.1) the following classification result.

Theorem 4.1.6. *Let \mathfrak{G} be a Lie 2-group, and let $i : \mathcal{B}\mathfrak{G} \rightarrow T$ be an equivalence of 2-categories. Then, there is a bijection*

$$h_0 \text{Trans}_{\text{Gr}}^2(M, T) \cong \hat{H}^2(M, \mathfrak{G}).$$

In other words, transport 2-functors on M with $\mathcal{B}\mathfrak{G}$ -structure are classified up to isomorphism by the non-abelian differential cohomology of M with values in \mathfrak{G} .

Let us specify two particular examples of differential non-abelian cohomology which have appeared before in the literature:

1. The Lie 2-group $\mathfrak{G} = \mathcal{B}S^1$. We leave it as an easy exercise to the reader to check that our differential non-abelian cohomology is precisely degree two Deligne cohomology,

$$\hat{H}^2(M, \mathcal{B}S^1) = H^2(M, \mathcal{D}(2)).$$

Deligne cohomology [Bry93] is a well-known local description of abelian gerbes with connection.

2. The Lie 2-group $\mathfrak{G} = \text{AUT}(H)$ for H some ordinary Lie group H . We also leave it to the reader to check that our differential cocycles are precisely the local description of connections in non-abelian gerbes given by Breen and Messing [BM05] (see Remark 4.1.7 below). Thus, we have an equality

$$\hat{H}^2(M, \text{AUT}(H)) = \left\{ \begin{array}{c} \text{Equivalence classes of local data} \\ \text{of Breen-Messing } H\text{-gerbes} \\ \text{with connection over } M \end{array} \right\}.$$

Summarizing, the theory of transport 2-functors covers both Deligne cocycles and Breen-Messing cocycles.

Remark 4.1.7. We remark that condition (4.1.10) between the 1-curving A and the 2-curving B of a differential \mathfrak{G} -cocycle is present neither in Breen-Messing gerbes [BM05] nor in the non-abelian bundle gerbes of [ACJ05], which we discuss in Section 4.3. Breen and Messing call the local 2-form

$$t_*(B_i) - dA_i - [A_i \wedge A_i]$$

which is here zero by (4.1.10), the *fake curvature* of the gerbe. In this terminology, transport 2-functors only cover Breen-Messing gerbes with vanishing fake curvature.

The crucial point is here that unlike transport 2-functors, neither Breen-Messing gerbes nor non-abelian bundle gerbes have concepts of holonomy or parallel transport. And indeed, equation (4.1.10) comes from an important consistency condition on this parallel transport, namely from the target-source matching condition for the transport 2-functor, which makes it possible to decompose parallel transport into pieces. So we understand equation (4.1.10) as an *integrability condition* which is necessarily for a consistent parallel transport. In other words, vanishing fake curvature is not specifying a subclass of connections, it is a *necessary condition* every connection has to satisfy.

4.2 Abelian Bundle Gerbes with Connection

In this section we consider the target 2-category $T = \mathcal{B}(S^1\text{-Tor})$, the monoidal category of S^1 -torsors viewed as a 2-category with a single object [SW, Example A.2]. Associated to this 2-category is the 2-functor

$$i_{S^1} : \mathcal{BBS}^1 \longrightarrow \mathcal{B}(S^1\text{-Tor})$$

that sends the single 1-morphism of \mathcal{BBS}^1 to the circle, viewed as an S^1 -torsor over itself. The main result of this section is:

Theorem 4.2.1. *There is an equivalence*

$$\text{Trans}_{\mathcal{BBS}^1}(M, \mathcal{B}(S^1\text{-Tor})) \cong \mathfrak{BGrb}^\nabla(M)$$

between the 2-category of transport 2-functors on M with values in $\mathcal{B}(S^1\text{-Tor})$ and with \mathcal{BBS}^1 -structure, and the 2-category of bundle gerbes with connection over M .

Let us first recall the definition of bundle gerbes following [Mur96, MS00, Ste00, Wal07]. For a surjective submersion $\pi : Y \longrightarrow M$, we first define the following 2-category $\mathfrak{BGrb}^\nabla(\pi)$:

1. An object is a tuple (B, L, ω, μ) consisting of a 2-form $B \in \Omega^2(Y)$, a principal S^1 -bundle L with connection ω over $Y^{[2]}$ of curvature $\text{curv}(\omega) = \pi_1^*B - \pi_2^*B$, and an associative, connection-preserving bundle isomorphism

$$\mu : \pi_{23}^*L \otimes \pi_{12}^*L \longrightarrow \pi_{13}^*L$$

over $Y^{[3]}$.

2. A 1-morphism $(B, L, \omega, \mu) \longrightarrow (B', L', \omega', \mu')$, also known as *stable isomorphism*, is a principal S^1 -bundle A with connection ς over Y of curvature $\text{curv}(\varsigma) = B - B'$ together with a connection-preserving bundle isomorphism

$$\alpha : \pi_2^* A \otimes L \longrightarrow L' \otimes \pi_1^* A$$

over $Y^{[2]}$, such that the diagram

$$\begin{array}{ccc}
\pi_3^* A \otimes \pi_{23}^* L \otimes \pi_{12}^* L & \xrightarrow{\text{id} \otimes \mu} & \pi_3^* A \otimes \pi_{13}^* L \\
\pi_{23}^* \alpha \otimes \text{id} \downarrow & & \downarrow \pi_{13}^* \alpha \\
\pi_{23}^* L' \otimes \pi_2^* A \otimes L & & \\
\text{id} \otimes \pi_{12}^* \alpha \downarrow & & \\
\pi_{23}^* L' \otimes \pi_{12}^* L' \otimes \pi_1^* A & \xrightarrow{\mu' \otimes \text{id}} & \pi_{13}^* L' \otimes \pi_1^* A
\end{array} \tag{4.2.1}$$

of bundle isomorphisms over $Y^{[3]}$ is commutative.

3. A 2-morphism $(A, \varsigma, \alpha) \Longrightarrow (A', \varsigma', \alpha')$ is a connection-preserving bundle isomorphism $\varphi : A \longrightarrow A'$ over Y , such that the diagram

$$\begin{array}{ccc}
\pi_2^* A \otimes L & \xrightarrow{\alpha} & L' \otimes \pi_1^* A \\
\pi_2^* \varphi \otimes \text{id}_L \downarrow & & \downarrow \text{id}_{L'} \otimes \pi_1^* \varphi \\
\pi_2^* A' \otimes L & \xrightarrow{\alpha'} & L' \otimes \pi_1^* A'
\end{array} \tag{4.2.2}$$

of bundle isomorphisms over $Y^{[2]}$ is commutative.

The full 2-category of bundle gerbes with connection over M is then defined as the direct limit

$$\mathfrak{BGrb}^\nabla(M) := \lim_{\overrightarrow{\pi}} \mathfrak{BGrb}^\nabla(\pi).$$

The strategy to prove Theorem 4.2.1 is to first establish the equivalence on a local level:

Proposition 4.2.2. *For every surjective submersion $\pi : Y \longrightarrow M$ there is a surjective equivalence of 2-categories:*

$$\mathfrak{Des}_\pi^2(i_{S^1})^\infty \cong \mathfrak{BGrb}^\nabla(\pi).$$

A 2-functor $\mathfrak{Des}_\pi^2(i_{S^1})^\infty \longrightarrow \mathfrak{BGrb}^\nabla(\pi)$ realizing the claimed equivalence is defined in the following way. For a smooth descent object $(\text{triv}, g, \psi, f)$, the smooth 2-functor $\text{triv} : \mathcal{P}_2(Y) \longrightarrow \mathcal{BBS}^1$ defines by Theorem 4.1.1 a 2-form $B \in \Omega^2(Y)$, this is the first ingredient of the bundle gerbe. The pseudonatural transformation g yields a transport functor

$$\mathcal{F}(g) : \mathcal{P}_1(Y^{[2]}) \longrightarrow \Lambda_{i_{S^1}} \mathcal{B}(S^1\text{-Tor})$$

with $\Lambda\mathcal{BBS}^1$ -structure. Despite of the heavy notation, the following lemma translates this functor into familiar language.

Lemma 4.2.3. *For X a smooth manifold, there is a canonical surjective equivalence of monoidal categories*

$$\mathfrak{Bun}_{S^1}^\nabla(X) \cong \text{Trans}_{\Lambda\mathcal{BBS}^1}^1(X, \Lambda_{i_{S^1}}\mathcal{B}(S^1\text{-Tor}))$$

between principal S^1 -bundles with connection and transport functors with $\Lambda\mathcal{BBS}^1$ -structure.

Proof. First of all, we have evidently $\Lambda\mathcal{BBS}^1 = \mathcal{B}S^1$. Second, there is a canonical equivalence of categories

$$\Lambda_{i_{S^1}}\mathcal{B}(S^1\text{-Tor}) \cong S^1\text{-Tor}. \quad (4.2.3)$$

This comes from the fact that an object is in both categories an S^1 -torsor. A morphism between S^1 -torsors V and W in $\Lambda_{i_{S^1}}\mathcal{B}(S^1\text{-Tor})$ is by definition a 2-morphism

$$\begin{array}{ccc} * & \xrightarrow{S^1} & * \\ V \downarrow & \swarrow f & \downarrow W \\ * & \xrightarrow{S^1} & * \end{array}$$

in $\mathcal{B}(S^1\text{-Tor})$, and this is in turn an S^1 -equivariant map

$$f : W \otimes S^1 \longrightarrow S^1 \otimes V.$$

It can be identified canonically with an S^1 -equivariant map $f^{-1} : V \longrightarrow W$, i.e. a morphism in $S^1\text{-Tor}$. It is straightforward to see that (4.2.3) is even a monoidal equivalence. Then, the claim is proved by Theorem 2.4.2. \square

Now, via Lemma 4.2.3 the transport functor $\mathcal{F}(g)$ is a principal S^1 -bundle L with connection ω over $Y^{[2]}$. This circle bundle will be the second ingredient of the bundle gerbe.

Lemma 4.2.4. *The curvature of the connection ∇ on the circle bundle L satisfies*

$$\text{curv}(\omega) = \pi_1^*B - \pi_2^*B.$$

Proof. Let U_α be open sets covering $Y^{[2]}$, and let $(\widetilde{\text{triv}}, \tilde{t})$ be a local i_{S^1} -trivialization of the transport functor $\mathcal{F}(g)$ consisting of smooth functors $\widetilde{\text{triv}}_\alpha : \mathcal{P}_1(U_\alpha) \longrightarrow \mathcal{B}S^1$ and natural transformations

$$\tilde{t}_\alpha : \mathcal{F}(g)|_{U_\alpha} \longrightarrow (\widetilde{\text{triv}}_\alpha)_{i_{S^1}}.$$

We observe that the functors $\widetilde{\text{triv}}_\alpha$ and the natural transformation \tilde{t}_α lie in the image of the functor \mathcal{F} , such that there exist smooth pseudonatural transformations $\rho_\alpha : \pi_1^*\text{triv}|_{U_\alpha} \longrightarrow \pi_2^*\text{triv}|_{U_\alpha}$ and modifications $t_\alpha : g|_{U_\alpha} \Longrightarrow \rho_\alpha$ with

$$\widetilde{\text{triv}}_\alpha = \mathcal{F}(\rho_\alpha) \quad \text{and} \quad \tilde{t}_\alpha = \mathcal{F}(t_\alpha).$$

As found in [SW11] and reviewed in Section 2.3 of the present article, associated to the smooth pseudonatural transformation ρ_α is a 1-form $\varphi_\alpha \in \Omega^1(U_\alpha)$, and equation (4.1.4) infers in the present situation

$$\pi_1^*B - \pi_2^*B = d\varphi_\alpha.$$

It remains to trace back the relation between φ_α and the curvature of the connection ω on circle bundle L . Namely, if A_α is the 1-form corresponding to the smooth functor $\widetilde{\text{triv}}_\alpha$, we have

$$A_\alpha = \varphi_\alpha \quad \text{and} \quad dA_\alpha = \text{curv}(\omega).$$

This shows the claim. \square

In order to complete the construction of the bundle gerbe, we note that the modification $f : \pi_{23}^*g \circ \pi_{12}^*g \implies \pi_{13}^*g$ induces an isomorphism

$$\mathcal{F}(f) : \pi_{23}^*\mathcal{F}(g) \otimes \pi_{12}^*\mathcal{F}(g) \longrightarrow \pi_{13}^*\mathcal{F}(g)$$

of transport functors; again by Lemma 4.2.3 this defines an isomorphism

$$\mu : \pi_{12}^*L \otimes \pi_{23}^*L \longrightarrow \pi_{13}^*L$$

of circle bundles with connection, which is the last ingredient of the bundle gerbe. The coherence axiom of [SW, Definition 2.2.1] implies the associativity condition on μ . This shows that (B, L, ∇, μ) is a bundle gerbe with connection. The descent datum ψ has been forgotten by this construction.

Using Lemma 4.2.3 in the same way as just demonstrated it is easy to assign bundle gerbe 1-morphisms to descent 1-morphisms and bundle gerbe 2-morphisms to descent 2-morphisms. Here the coherence conditions of [SW, Definitions 2.2.2 and 2.2.3] translate into the commutative diagrams (4.2.1) and (4.2.2). The composition for 1-morphisms and 2-morphisms of bundle gerbes (which we have not carried out above) is precisely reproduced by the composition laws of the descent 2-category $\mathfrak{Des}_\pi^2(i_{S^1})^\infty$. This defines the 2-functor of Proposition 4.2.2.

It is evident that this 2-functor is an equivalence of 2-categories, since all manipulations we have made are equivalences according to Lemma 4.2.3 and Theorem 4.1.1. It remains to remark that the descent datum ψ can be reproduced in a canonical way from a given bundle gerbe using the existence of dual circle bundles, see Lemma 1 in [Wal07]. This finishes the proof of Proposition 4.2.2.

It is clear that the equivalence of Proposition 4.2.2 is compatible with the refinement of surjective submersions, so that it given an equivalence in the direct limits:

$$\mathfrak{Des}_\pi^2(i_{S^1})_M^\infty \cong \mathfrak{Bib}^\nabla(M).$$

With Theorem 3.2.2 this proves Theorem 4.2.1.

4.3 Non-Abelian Bundle Gerbes with Connection

In this section we generalize the equivalence of the previous section to so-called non-abelian bundle gerbes, as defined in [ACJ05]. The difference between abelian and non-abelian bundle gerbes can roughly be summarized as follows:

1. The Lie group S^1 is replaced by some connected Lie group H .
2. Principal S^1 -bundles are replaced by *principal H -bibundles*, bundles with commuting left and right principal H -action. Morphisms between H -bibundles are bi-equivariant bundle morphisms.
3. Connections on S^1 -bundles are replaced by so-called *twisted connections* on bibundles.

Non-abelian H -bundle gerbes with connection over M form a 2-category which we denote by $H\text{-}\mathfrak{B}\mathfrak{G}\mathfrak{r}\mathfrak{b}^\nabla(M)$; some details are reviewed below. There is a sub-2-category $H\text{-}\mathfrak{B}\mathfrak{G}\mathfrak{r}\mathfrak{b}^{\nabla ff}(M)$ of fake-flat non-abelian H -bundle gerbes, related to Remark 4.1.7.

On the side of transport 2-functors, we write $H\text{-BiTor}$ for the category whose objects are smooth manifolds with commuting, free and transitive, smooth left and right H -actions, and whose morphisms are smooth bi-equivariant maps. Using the product over H this is naturally a (non-strict) monoidal category, and we write $\mathcal{B}(H\text{-BiTor})$ for the corresponding one-object (non-strict) 2-category. Let $\text{AUT}(H)$ be the Lie 2-group associated to H via Example 3.3.3 (iii). A 2-functor

$$i : \mathcal{B}\text{AUT}(H) \longrightarrow \mathcal{B}(H\text{-BiTor}) \quad (4.3.1)$$

is defined as follows. It sends a 1-morphism $\varphi \in \text{Aut}(H)$ to the H -bitorsor ${}_\varphi H$ which is the group H on which an element h acts from the right by multiplication and from the left by multiplication with $\varphi(h)$. The compositors of i are given by the canonical identifications

$$c_{g_1, g_2} : {}_{g_1} H \times_H {}_{g_2} H \longrightarrow {}_{g_2 g_1} H,$$

and the unitor is the identity. The 2-functor i further sends a 2-morphism $h : \varphi_1 \implies \varphi_2$ to the bi-equivariant map

$${}_{\varphi_1} H \longrightarrow {}_{\varphi_2} H : x \longmapsto hx.$$

While the bi-equivariance with respect to the right action is obvious, the one with respect to the left action follows from the condition $\varphi_2(x) = h\varphi_1(x)h^{-1}$ we have for the 2-morphisms in $\text{AUT}(H)$ for all $x \in H$.

The main result of this section is:

Theorem 4.3.1. *There is a canonical injective map*

$$\mathfrak{h}_0(H\text{-}\mathfrak{B}\mathfrak{G}\mathfrak{r}\mathfrak{b}^{\nabla ff}(M)) \longrightarrow \mathfrak{h}_0(\text{Trans}_{\mathcal{B}\text{AUT}(H)}^2(M, \mathcal{B}(H\text{-BiTor})))$$

from isomorphism classes of fake-flat non-abelian H -bundle gerbes to transport 2-functors with values in $\mathcal{B}(H\text{-BiTor})$ and $\mathcal{B}\text{AUT}(H)$ -structure.

That we do not achieve a bijection or an equivalence of 2-categories is due to the fact that the category of bibundles with twisted connections – as defined in [ACJ05] – oversees a class of morphisms, which in principle should be allowed and arise naturally from the theory of transport functors.

Anyway, Theorem 4.3.1 provides non-trivial examples of transport 2-functors. The injective map of Theorem 4.3.1 is constructed as

$$H\text{-}\mathfrak{B}\mathfrak{G}\mathfrak{r}\mathfrak{b}^{\nabla ff}(M) \longrightarrow \mathfrak{D}\mathfrak{c}\mathfrak{s}^2(i)_M^\infty \cong \text{Trans}_{\mathcal{B}\text{AUT}(H)}^2(M, \mathcal{B}(H\text{-BiTor})),$$

where the first 2-functor is constructed in Proposition 4.3.8 and the equivalence is the one of Theorem 3.2.2. We first review necessary material.

4.3.1 Twisted Connections on Bibundles

Let P be a principal H -bibundle over X . We denote the left and right actions by an element $h \in H$ on P by l_h and r_h , respectively. Measuring the difference between the left and the right action in the sense of $l_h(p) = r_{g(h)}(p)$ furnishes a smooth map

$$g : P \longrightarrow \text{Aut}(H). \quad (4.3.2)$$

In the following we denote by $\mathfrak{aut}(H)$ the Lie algebra of $\text{Aut}(H)$. Further, we denote by $t : H \longrightarrow \text{Aut}(H)$ the assignment of inner automorphisms and by $\alpha : \text{Aut}(H) \times H \longrightarrow H$ the evaluation.

Definition 4.3.2 ([ACJ05]). *Let $p : P \longrightarrow X$ be a principal H -bibundle, and let $A \in \Omega^1(X, \mathfrak{aut}(H))$ be a 1-form on the base space. An A -twisted connection on P is a 1-form $\phi \in \Omega^1(P, \mathfrak{h})$ satisfying*

$$\phi_{\rho h} \left(\frac{d}{dt}(\rho h) \right) = \text{Ad}_h^{-1} \left(\phi_\rho \left(\frac{d\rho}{dt} \right) \right) - (r_h \circ \alpha_h)_* \circ (p^*A) + \theta_h \left(\frac{dh}{dt} \right) \quad (4.3.3)$$

for all smooth curves $\rho : [0, 1] \longrightarrow P$ and $h : [0, 1] \longrightarrow H$. A morphism $f : P \longrightarrow P'$ respects A -twisted connections ϕ on P and ϕ' on P' if $f^*\phi' = \phi$.

We write $\mathfrak{Bibun}_H^\nabla(X, A)$ for the category of principal H -bibundles with A -twisted connection over X , and $\mathfrak{Bibun}_H^\nabla(X)$ for the union of these categories over all 1-forms A .

Remark 4.3.3. For $A = 0$ an A -twisted connection on P is the same as an ordinary connection on P regarded as a right principal bundle.

Lemma 4.3.4 ([ACJ05]). *Let $A \in \Omega^1(X, \mathfrak{aut}(H))$ be a 1-form and let $p : P \longrightarrow X$ be a principal H -bibundle. For any A -twisted connection ϕ on P there exists a unique 1-form $A_\phi \in \Omega^1(X, \mathfrak{aut}(H))$ satisfying*

$$p^*A_\phi = \text{Ad}_g(p^*A) - g^*\bar{\theta} - t_* \circ \phi,$$

where g is the map from (4.3.2).

A twisted connection in a principal bibundle P gives rise to parallel transport maps

$$\tau_\gamma : P_x \longrightarrow P_y$$

between the fibres of P over points x, y associated to any path $\gamma : x \longrightarrow y$. It is obtained in the same way as in an ordinary principal bundle but using equation (4.3.3) instead of the usual one. As a result of the twist, the maps τ_γ are not bi-equivariant; they satisfy

$$\tau_\gamma(l_{F_\phi(\gamma)(h)}(p)) = l_{h^{-1}}(\tau_\gamma(p)) \quad \text{and} \quad \tau_\gamma(r_h(p)) = r_{F(\gamma)^{-1}(h^{-1})}\tau_\gamma(p) \quad (4.3.4)$$

where $F, F_\phi : PX \longrightarrow \text{Aut}(H)$ are the parallel transport maps associated to the 1-forms A and A_ϕ ; see [SW09, Proposition 4.7]. These complicated relations have a very easy interpretation, as we will see in the next section.

Finally, an A -twisted connection ϕ on a principal H -bundle P has a curvature: this is the 2-form

$$\text{curv}(\phi) := d\phi + [\phi \wedge \phi] + \alpha_*(A \wedge \phi) \in \Omega^2(P, \mathfrak{h}).$$

For two principal H -bibundles P and P' over X one can fibrewise take the tensor product of P and P' yielding a new principal H -bibundle $P \times_H P'$ over X . If the two bibundles are equipped with twisted connections, the bibundle $P \times_H P'$ inherits a twisted connection only if the two twists satisfy an appropriate matching condition. Suppose the principal H -bibundle P is equipped with an A -twisted connection ϕ , and P' is equipped with an A' -twisted connection ϕ' , and suppose that the matching condition

$$A'_{\phi'} = A \quad (4.3.5)$$

is satisfied. Then, the tensor product bibundle $P \times_H P'$ carries an A' -twisted connection $\phi_{\text{tot}} \in \Omega^1(P \times_H P, \mathfrak{h})$ characterized uniquely by the condition that

$$\text{pr}^* \phi_{\text{tot}} = (g \circ p')_* \circ p^* \phi + p'^* \phi',$$

where $\text{pr} : P \times_X P' \rightarrow P \times_H P'$ is the projection to the tensor product and p and p' are the projections to the two factors. This tensor product, defined only between appropriate pairs of bibundles with twisted connections, turns $\mathfrak{Bibun}_H^\nabla(X)$ into a “monoidal” category.

A better point of view is to see it as a 2-category: the objects are the twists, i.e. 1-forms $A \in \Omega^1(X, \mathfrak{aut}(H))$, a 1-morphism $A \rightarrow A'$ is a principal H -bibundle P with A' -twisted connection ϕ such that $A'_{\phi'} = A$, and a 2-morphism $(P, \phi) \Rightarrow (P', \phi')$ is just a morphism of principal H -bibundles that respects the A' -twisted connections.

4.3.2 Transport Functors for Bibundles with Twisted Connections

We are now going to relate the category $\mathfrak{Bibun}_H^\nabla(X)$ of principal H -bibundles with twisted connections over X to a category of transport functors. Using the terminology of Section 3.1, we have:

Proposition 4.3.5. *There is a surjective and faithful functor*

$$\mathfrak{Bibun}_H^\nabla(X) \rightarrow \text{Trans}_{\Lambda \mathcal{B} \text{AUT}(H)}^1(X, \Lambda_i \mathcal{B}(H\text{-BiTor})).$$

Proof. Given a principal H -bibundle P with A -twisted connection, we define the associated transport functor by

$$\text{tra}_P : x \xrightarrow{\gamma} y \mapsto \begin{array}{ccc} & i(*) & \xrightarrow{i(F(\gamma))} & i(*) \\ & \downarrow P_x & \nearrow \tau_\gamma^{-1} & \downarrow P_y \\ & i(*) & \xrightarrow{i(F_\phi(\gamma))} & i(*) \end{array}$$

Here $F, F_\phi : PX \rightarrow \text{Aut}(H)$ are the maps defined by A and A_ϕ that we have already used in the previous section. The definition contains the claim that the parallel transport map τ_γ gives a bi-equivariant map

$$\tau_\gamma^{-1} : P_y \times_H F(\gamma)H \rightarrow F_\phi(\gamma)H \times_H P_x;$$

it is indeed easy to check that this is precisely the meaning of equations (4.3.4). A morphism $f : P \rightarrow P'$ between bibundles with A -twisted connections induces a natural transformation $\eta_f : \text{tra}_P \rightarrow \text{tra}_{P'}$ between the associated functors, whose component at a point x is the bi-equivariant map $f_x : P_x \Rightarrow P'_x$. This is a particular morphism in $\Lambda_i \mathcal{B}(H\text{-BiTor})$ for which the horizontal 1-morphisms are identities. Here it becomes clear that the assignments

$$(P, \phi) \mapsto \text{tra}_P \quad \text{and} \quad f \mapsto \eta_f$$

define a functor which is faithful but not full.

It remains to check that the functor tra_P is a transport 2-functor. We leave it as an exercise for the reader to construct a local trivialization (t, triv) of tra_P with smooth descent data. Hint: use an ordinary local trivialization of the bibundle P and follow the proof of Proposition 5.2 in [SW09]. \square

Both categories in Proposition 4.3.5 have the feature that they have tensor products between appropriate objects. Concerning the bibundles with twisted connections, we have described this in terms of the matching condition (4.3.5) on the twists. Concerning the category of transport functors, this tensor product is inherited from the one on $\Lambda_i\mathcal{B}(H\text{-BiTor})$, which has been discussed in Section 3.1.

Lemma 4.3.6. *The matching condition (4.3.5) corresponds to the required condition for tensor products in $\Lambda_i\mathcal{B}(H\text{-BiTor})$ under the functor of Proposition 4.3.5. Moreover, the functor respects tensor products whenever they are well-defined.*

Proof. Suppose that the matching condition $A'_{\phi'} = A$ holds, so that principal H -bibundles P and P' with connections ϕ and ϕ' have a tensor product. It follows that the map $F_{\phi'}$ which labels the horizontal 1-morphisms at the bottom of the images of $\text{tra}_{P'}$ is equal to the map F which labels the ones at the top of the images of tra_P ; this is the required condition for the existence of the tensor product $\text{tra}_{P'} \otimes \text{tra}_P$. That the tensor products are respected follows from the definition of the twisted connection ϕ_{tot} on the tensor product bibundle. \square

An alternative formulation of Lemma 4.3.6 would be that the functor of Proposition 4.3.5 respects the “monoidal structures”, or, that it is a double functor between (weak) double categories.

4.3.3 Non-Abelian Bundle Gerbes as Transport 2-Functors

Let $\pi : Y \rightarrow M$ be a surjective submersion. We recall:

Definition 4.3.7 ([ACJ05]). *A non-abelian H -bundle gerbe with connection with respect to π is a 2-form $B \in \Omega^2(Y, \mathfrak{h})$, a 1-form $A \in \Omega^1(Y, \text{aut}(H))$, a principal H -bibundle $p : P \rightarrow Y^{[2]}$ with A -twisted connection ϕ such that*

$$\text{curv}(\phi) = (\pi_1 \circ p)^*B - (\alpha_g)_* \circ (\pi_2 \circ p)^*B, \quad (4.3.6)$$

and an associative morphism

$$\mu : \pi_{23}^*P \times_H \pi_{12}^*P \rightarrow \pi_{13}^*P$$

of bibundles over $Y^{[3]}$ that respects the twisted connections.

In (4.3.6), g is the smooth map (4.3.2) and $\alpha : \text{Aut}(H) \times H \rightarrow H$ is the evaluation. The definitions of 1-morphisms and 2-morphisms generalize in a straightforward way the definitions from the abelian case. This defines the 2-category $H\text{-}\mathcal{B}\mathcal{G}\text{rb}^\nabla(\pi)$. The 2-category $H\text{-}\mathcal{B}\mathcal{G}\text{rb}^\nabla(M)$ of non-abelian H -bundle gerbes over M is defined as the direct limit,

$$H\text{-}\mathcal{B}\mathcal{G}\text{rb}^\nabla(M) := \varinjlim_{\pi} H\text{-}\mathcal{B}\mathcal{G}\text{rb}^\nabla(\pi).$$

We remark:

- (i) A non-abelian S^1 -bundle gerbe is *not* the same as an abelian S^1 -bundle gerbe: for the non-abelian bundle gerbes also the automorphisms are important, and $\text{Aut}(S^1) \cong \mathbb{Z}_2$. For transport 2-functors this is even more obvious: the Lie 2-groups $\mathcal{B}S^1$ and $\text{AUT}(S^1)$ are not equivalent.
- (ii) The non-abelian bundle gerbes of Definition 4.3.7 are a priori not “fake-flat”, i.e. the relation

$$t_*(B) = dA + [A \wedge A]$$

may not be satisfied, see Remark 4.1.7. We denote the sub-2-categories of fake-flat non-abelian H -bundle gerbes by $H\text{-}\mathfrak{B}\mathfrak{G}\mathfrak{r}\mathfrak{b}^{\nabla\text{ff}}(-)$.

Proposition 4.3.8. *For every surjective submersion $\pi : Y \rightarrow M$, there is a 2-functor*

$$H\text{-}\mathfrak{B}\mathfrak{G}\mathfrak{r}\mathfrak{b}^{\nabla\text{ff}}(\pi) \rightarrow \mathfrak{Des}_\pi^2(i)^\infty.$$

which is surjective and faithful on Hom-categories. In particular, it induces an injective map on isomorphism classes of objects.

Proof. All relations concerning the bimodules are analogous to those in the abelian case, upon generalizing Lemma 4.2.3 to Proposition 4.3.5 and Lemma 4.3.6. Relation (4.3.6) for the 2-form B can be proved in the same way as Lemma 4.2.4, but now using the full version of equation (4.1.4). The comments concerning the descent datum ψ also remain valid. \square

In the direct limit, the 2-functors of Proposition 4.3.8 induce a 2-functor

$$H\text{-}\mathfrak{B}\mathfrak{G}\mathfrak{r}\mathfrak{b}^{\nabla\text{ff}}(M) \rightarrow \mathfrak{Des}_M^2(i)^\infty. \tag{4.3.7}$$

Since the direct limit respects the operation of forming isomorphism classes of objects, and on the level of sets a direct limit of injective maps is injective, the 2-functor (4.3.7) induces an injective map on isomorphism classes of objects. This completes the proof of Theorem 4.3.1.

4.4 2-Bundles with Connections

Apart from the equivalence between transport functors and principal G -bundles with connection (Theorem 2.4.2), our paper [SW09] also contains an analogous equivalence for vector bundles with connection. It has an immediate generalization to 2-vector bundles with many applications, on which we shall give a brief outlook.

Suppose 2Vect is some 2-category of 2-vector spaces. Given a 2-group \mathfrak{G} , a representation of \mathfrak{G} on such a 2-vector space is a 2-functor

$$\rho : \mathcal{B}\mathfrak{G} \rightarrow 2\text{Vect}.$$

A 2-vector bundle with connection and structure 2-group \mathfrak{G} is nothing but a transport 2-functor $\text{tra} : \mathcal{P}_2(X) \rightarrow 2\text{Vect}$ with $\mathcal{B}\mathfrak{G}$ -structure. Important classes of 2-vector bundles are line 2-bundles and string bundles.

4.4.1 Models for 2-Vector Spaces

Depending on the precise application there is some flexibility in what one may want to understand under a 2-vector space. Usually 2-vector spaces are abelian module categories over a given monoidal category. For k a field, two important classes of examples are the following. First, let \widehat{k} be the discrete monoidal category over k . Then, 2Vect is 2-category of module categories over \widehat{k} . This is equivalent to the 2-category of categories internal to k -vector spaces. These *Baez-Crans 2-vector spaces* [BC04] are appropriate for the discussion of Lie 2-algebras.

The second model for 2Vect is the 2-category of module categories over the monoidal category $\text{Vect}(k)$ of k -vector spaces,

$$2\text{Vect} := \text{Vect}(k)\text{-Mod}.$$

In its totality this is rather unwieldy, but it contains two important sub-2-categories: the 2-category $\text{KV}(k)$ of *Kapranov-Voevodsky 2-vector spaces* [KV94] and the 2-category $\text{Bimod}(k)$, whose objects are k -algebras, whose 1-morphisms are bimodules over these algebras and whose 2-morphisms are bimodule homomorphisms [Shu08]. Indeed, there is an inclusion 2-functor

$$\iota : \text{Bimod}(k) \hookrightarrow \text{Vect}(k)\text{-Mod}$$

that sends a k -algebra A to the category $A\text{-Mod}$ of ordinary (say, right) A -modules. This is a module category over $\text{Vect}(k)$ by tensoring a right module from the left by a vector space. A 1-morphism, an A - B -bimodule N , is sent to the functor that tensors a right A -module from the right by N , yielding a right B -module. A bimodule morphism induces evidently a natural transformation of these functors.

If one restricts the 2-functor ι to the full sub-2-category formed by those algebras that are direct sums $A = k^{\oplus n}$ of the ground field algebra, the 2-vector spaces in the image of ι are of the form $\text{Vect}(k)^n$, i.e. tuples of vector spaces. The 1-morphisms in the image are $(m \times n)$ -matrices whose entries are k -vector spaces. These form the 2-category of Kapranov-Voevodsky 2-vector spaces [KV94].

4.4.2 The canonical Representation of a 2-Group

Every automorphism 2-group $\text{AUT}(H)$ of a Lie group H has a canonical representation on 2-vector spaces, namely

$$\mathcal{BAUT}(H) \xrightarrow{A} \text{Bimod}(k) \xrightarrow{\iota} \text{Vect}(k)\text{-Mod}, \quad (4.4.1)$$

where the 2-functor A is defined similar as the one we have used for the non-abelian bundle gerbes in (4.3.1). It sends the single object to k regarded as a k -algebra, it sends a 1-morphism $\varphi \in \text{Aut}(H)$ to the bimodule ${}_{\varphi}k$ in the notation of Section 4.3.2, and it sends a 2-morphism $(\varphi, h) : \varphi \rightrightarrows c_h \circ \varphi$ to the multiplication with h from the left.

Now let \mathfrak{G} be any smooth Lie 2-group corresponding to a smooth crossed module (G, H, t, α) . We have a canonical 2-functor

$$\mathcal{BG} \longrightarrow \mathcal{BAUT}(H) \quad : \quad \begin{array}{c} \begin{array}{ccc} & g & \\ \curvearrowright & \downarrow & \curvearrowleft \\ * & \downarrow & * \\ \curvearrowleft & \downarrow & \curvearrowright \\ & g' & \end{array} & \mapsto & \begin{array}{ccc} & \alpha_g & \\ \curvearrowright & \downarrow & \curvearrowleft \\ * & \downarrow & * \\ \curvearrowleft & \downarrow & \curvearrowright \\ & \alpha_{g'} & \end{array} \end{array} \quad (4.4.2)$$

whose composition with (4.4.1) gives a representation of \mathfrak{G} , that we call the *canonical k -representation*.

Example 4.4.1. A very simple but useful example is the canonical \mathbb{C} -representation of \mathcal{BC}^\times . In this case the composition (4.4.1) is the 2-functor

$$\rho : \mathcal{BBC}^\times \longrightarrow \text{Vect}(\mathbb{C})\text{-Mod} \quad : \quad \begin{array}{ccc} \begin{array}{ccc} & \text{id} & \\ \curvearrowright & \downarrow & \curvearrowleft \\ * & \downarrow & * \\ \curvearrowleft & \downarrow & \curvearrowright \\ & \text{id} & \end{array} & \mapsto & \begin{array}{ccc} & - \otimes \mathbb{C} & \\ \curvearrowright & \downarrow & \curvearrowleft \\ \text{Vect}(\mathbb{C}) & \downarrow z & \text{Vect}(\mathbb{C}) \\ \curvearrowleft & \downarrow & \curvearrowright \\ & - \otimes \mathbb{C} & \end{array} \end{array}$$

for all $z \in \mathbb{C}^\times$. Notice that $\text{Vect}(\mathbb{C})$ is the canonical 1-dimensional 2-vector space over \mathbb{C} in the same sense in that \mathbb{C} is the canonical 1-dimensional complex 1-vector space. Therefore, transport 2-functors

$$\text{tra} : \mathcal{P}_2(M) \longrightarrow \text{Vect}(\mathbb{C})\text{-Mod}$$

with \mathcal{BBC}^\times -structure deserve to be addressed as *line 2-bundles with connection*. Two remarks:

1. Going through the discussion of abelian bundle gerbes with connection in Section 4.2 it is easy to see that line 2-bundles with connection are equivalent to bundle gerbes with connection defined via line bundles instead of circle bundles.
2. The fibre $\text{tra}(x)$ of a line 2-bundle tra at a point x is an algebra which is Morita equivalent to the ground field \mathbb{C} . These are exactly the finite rank operators on a separable Hilbert space. Thus, line 2-bundles with connection are a form of bundles of finite rank operators with connection, this is the point of view taken in [BCM⁺02].

The 2-functor $A : \mathcal{BAUT}(H) \rightarrow \text{Bimod}(k)$ we have used above can be deformed to a 2-functor A^ρ using an ordinary representation $\rho : \mathcal{BH} \rightarrow \text{Vect}(k)$ of H . It sends the object of $\mathcal{BAUT}(H)$ to the algebra $A^\rho(*)$ which is the vector space generated from all the linear maps $\rho(h)$. A 1-morphism $\varphi \in \text{Aut}(H)$ is again sent to the bimodule ${}_\varphi A^\rho(*)$, and the 2-morphisms as before to left multiplications. The original 2-functor is reproduced by $A = A^{\text{triv}_k}$ from the trivial representation of H on k .

Example 4.4.2. For G a compact simple and simply-connected Lie group, we consider the level k central extension $H_k := \hat{\Omega}_k G$ of the group of based loops in G . For a positive energy representation $\rho : \mathcal{B}\hat{\Omega}_k G \rightarrow \text{Vect}(k)$ the algebra $A^\rho(*)$ turns out to be a von Neumann-algebra while the bimodules ${}_\varphi A^\rho(*)$ are Hilbert bimodules. In this infinite-dimensional case we have to make the composition of 1-morphisms more precise: here we take not the algebraic tensor product of these Hilbert bimodules but the Connes fusion tensor product [ST04]. Connes fusion product still respects the composition: for A a von Neumann algebra and ${}_\varphi A$ the bimodule structure on it induced from twisting the left action by an algebra automorphism φ , we have

$${}_\varphi A \otimes {}_{\varphi'} A \simeq {}_{\varphi' \circ \varphi} A$$

under the Connes fusion tensor product. Now let $\mathfrak{G} = \text{String}_k(G)$ be the string 2-group defined from the crossed module $\hat{\Omega}_k G \rightarrow P_0 G$ of Fréchet Lie groups [BCSS07]. Together with the projection 2-functor (4.4.2) we obtain an induced representation

$$i : \mathcal{B}\text{String}_k(G) \rightarrow \text{Bimod}_{\text{CF}}(k)$$

The fibres of a transport 2-functor

$$\text{tra} : \mathcal{P}_2(M) \rightarrow \text{Bimod}_{\text{CF}}(k) \tag{4.4.3}$$

with $\mathcal{B}\text{String}_k(G)$ -structure are hence von Neumann algebras, and its parallel transport along a path is a Hilbert bimodule for these fibres. In conjunction with the result [BS09, BBK12] that $\text{String}_k(G)$ -2-bundles have the same classification as ordinary fibre bundles whose structure group is the topological String group, this says that transport 2-functors (4.4.3) have to be addressed as *String 2-bundles with connection*, see [ST04].

4.4.3 Twisted Vector Bundles

Vector bundles over M twisted by a class $\xi \in H^3(M, \mathbb{Z})$ are the same thing as gerbe modules for a bundle gerbe \mathcal{G} whose Dixmier-Douady class is ξ [BCM⁺02]. These modules are in turn nothing else but certain (generalized) 1-morphisms in the 2-category of bundle gerbes $\mathfrak{BGrb}(M)$ [Wal07]. The

same is true for connections on twisted vector bundles. More precisely, a twisted vector bundle with connection is the same as a 1-morphism

$$\mathcal{E} : \mathcal{G} \longrightarrow \mathcal{I}_\rho$$

from the bundle gerbe \mathcal{G} with connection to the trivial bundle gerbe \mathcal{I} equipped with the connection 2-form $\rho \in \Omega^2(M)$.

Now let

$$\text{tra} : \mathcal{P}_2(M) \longrightarrow \text{Vect}(\mathbb{C})\text{-Mod}$$

be a transport 2-functor which plays the role of the bundle gerbe \mathcal{G} , but we allow an arbitrary structure 2-group \mathfrak{G} and any representation $\rho : \mathfrak{B}\mathfrak{G} \longrightarrow \text{Vect}(\mathbb{C})\text{-Mod}$. Let $\text{tra}^\infty : \mathcal{P}_2(M) \longrightarrow \mathfrak{B}\mathfrak{G}$ be a smooth 2-functor which plays the role of the trivial bundle gerbe. We shall now consider transport transformations

$$A : \text{tra} \longrightarrow \text{tra}_\rho^\infty.$$

Let $\pi : Y \longrightarrow M$ be a surjective submersion for which tra admits a local trivialization with smooth descent data $(\text{triv}, g, \psi, f)$. The descent data of tra^∞ is of course $(\pi^*\text{tra}^\infty, \text{id}, \text{id}, \text{id})$. Now the transport transformation A has the following descent data: the first part is a pseudonatural transformation $h : \text{triv} \longrightarrow \pi^*\text{tra}^\infty$ whose associated functor $\mathcal{F}(h) : \mathcal{P}_1(Y) \longrightarrow \Lambda_\rho(\text{Vect}(\mathbb{C})\text{-Mod})$ is a transport functor with $\Lambda\mathfrak{B}\mathfrak{G}$ -structure. The second part is a modification $\epsilon : \pi_2^*h \circ g \Longrightarrow \text{id} \circ \pi_1^*h$ whose associated natural transformation

$$\mathcal{F}(\epsilon) : \pi_2^*\mathcal{F}(h) \otimes \mathcal{F}(g) \longrightarrow \pi_1^*\mathcal{F}(h)$$

is a morphism of transport functors over Y ^[2]. According to the coherence conditions on descent 1-morphisms, it fits into the commutative diagram

$$\begin{array}{ccc} \pi_3^*\mathcal{F}(h) \otimes \pi_{23}^*\mathcal{F}(g) \otimes \pi_{12}^*\mathcal{F}(g) & \xrightarrow{\pi_{23}^*\mathcal{F}(\epsilon) \otimes \text{id}} & \pi_2^*\mathcal{F}(h) \otimes \pi_{12}^*\mathcal{F}(g) \\ \text{id} \otimes \mathcal{F}(f) \downarrow & & \downarrow \pi_{12}^*\mathcal{F}(\epsilon) \\ \pi_3^*\mathcal{F}(h) \otimes \pi_{13}^*\mathcal{F}(g) & \xrightarrow{\pi_{13}^*\mathcal{F}(\epsilon)} & \pi_1^*\mathcal{F}(h) \end{array} \quad (4.4.4)$$

of morphisms of transport functors over Y ^[3] and satisfies $\Delta^*\mathcal{F}(\epsilon) \circ \mathcal{F}(\psi) = \text{id}$. The transport functor

$$\mathcal{F}(h) : \mathcal{P}_1(Y) \longrightarrow \Lambda_\rho(\text{Vect}(\mathbb{C})\text{-Mod})$$

together with the natural transformation $\mathcal{F}(\epsilon)$ is the general version of a vector bundle with connection twisted by a transport 2-functor tra . According to Sections 4.1 and 4.3, the twists can thus be Breen-Messing gerbes or non-abelian bundle gerbes with connection.

Depending on the choice of the representation ρ , our twisted vector bundles can be translated into more familiar language. Let us demonstrate this in the case of Example 4.4.1, in which the twist is a line 2-bundle with connection, i.e. a transport 2-functor

$$\text{tra} : \mathcal{P}_2(M) \longrightarrow \text{Vect}(\mathbb{C})\text{-Mod}$$

with $\mathfrak{B}\mathfrak{B}\mathbb{C}^\times$ -structure. In order to obtain the usual twisted vector bundles, we restrict the target 2-category to $\mathfrak{B}\text{Vect}(\mathbb{C})$, the monoidal category of complex vector spaces considered as a 2-category. The following Lie category Gl is appropriate: its objects are the natural numbers \mathbb{N} , and it has only morphisms between equal numbers, namely all matrices $\text{Gl}_n(\mathbb{C})$. The composition is the product of

matrices. The Lie category Gl is strictly monoidal: the tensor product of two objects $m, n \in \mathbb{N}$ is the product $nm \in \mathbb{N}$, and the one of two matrices $A \in \mathrm{Gl}(m)$ and $B \in \mathrm{Gl}(n)$ is the ordinary tensor product $A \otimes B \in \mathrm{Gl}(m \times n)$. In fact, Gl carries a second monoidal structure coming from the sum of natural numbers and the direct sum of matrices, so that Gl is actually a *bipermutative category*, see Example 3.1 of [BDR04].

Notice that we have a canonical inclusion functor $\iota : \mathcal{BC}^\times \hookrightarrow \mathrm{Gl}$, which induces another inclusion

$$\iota_* : \mathrm{Trans}_{\mathcal{BC}^\times}^2(M, \mathcal{BVect}(\mathbb{C})) \longrightarrow \mathrm{Trans}_{\mathcal{BGl}}^2(M, \mathcal{BVect}(\mathbb{C}))$$

of line 2-bundles with connection into more general vector 2-bundles with connection. Here we have used the representation

$$\rho : \mathcal{BGl} \longrightarrow \mathcal{BVect}$$

obtained as a generalization of Example 4.4.1 from $\mathbb{C}^\times = \mathrm{Gl}_1(\mathbb{C})$ to $\mathrm{Gl}_n(\mathbb{C})$ for all $n \in \mathbb{N}$. The composition $\rho \circ \iota$ reproduces the representation of Example 4.4.1.

Using the above inclusion, the given transport 2-functor tra induces a transport 2-functor $\iota_* \circ \mathrm{tra} : \mathcal{P}_2(M) \longrightarrow \mathcal{BVect}(\mathbb{C})$ with \mathcal{BGl} -structure, and one can study transport transformations

$$A : \mathrm{tra} \longrightarrow \mathrm{tra}_\rho^\infty$$

in that greater 2-category $\mathrm{Trans}_{\mathcal{BGl}}^2(M, \mathcal{BVect}(\mathbb{C}))$. Along the lines of the general procedure described above, we have transport functors $\mathcal{F}(g)$ and $\mathcal{F}(h)$ coming from the descent data of tra and A , respectively. In the present particular situation, the first one takes values in the category $\Lambda_{\rho \circ \iota} \mathcal{BVect}_1(\mathbb{C})$ whose objects are one-dimensional complex vector spaces and whose morphisms from V to W are invertible linear maps $f : W \otimes \mathbb{C} \longrightarrow \mathbb{C} \otimes V$. Similar to Lemma 4.2.3, this category is equivalent to the category $\mathrm{Vect}_1(\mathbb{C})$ of one dimensional complex vector spaces itself. Thus, the transport functor $\mathcal{F}(g)$ with \mathcal{BC}^\times -structure is a complex line bundle L with connection over $Y^{[2]}$. The second transport functor, $\mathcal{F}(h)$, takes values in the category $\Lambda_{\rho \circ \iota} \mathcal{BVect}(\mathbb{C})$. This category is equivalent to the category $\mathrm{Vect}(\mathbb{C})$ itself. It has $\Lambda_\iota \mathcal{BGl}$ -structure, which is equivalent to Gl . Thus, $\mathcal{F}(h)$ is a transport functor with values in $\mathrm{Vect}(\mathbb{C})$ and Gl -structure. It thus corresponds to a finite rank vector bundle E over Y with connection.

Since all identifications we have made so far are functorial, the morphisms $\mathcal{F}(f)$ and $\mathcal{F}(\epsilon)$ of transport functors induce morphisms of vector bundles that preserve the connections, namely an associative morphism

$$\mu : \pi_{23}^* L \otimes \pi_{12}^* L \longrightarrow \pi_{13}^* L$$

of line bundles over $Y^{[2]}$, and a morphism

$$\varrho : \pi_2^* E \otimes L \longrightarrow \pi_1^* E$$

of vector bundles over Y which satisfies a compatibility condition corresponding to (4.4.4). This reproduces the definition of a twisted vector bundle with connection [BCM⁺02]. We remark that the 2-form ρ that corresponds to the smooth 2-functor tra_ρ^∞ which was the target of the transport transformation A we have considered, is related to the curvature of the connection on the vector bundle E : it requires that

$$\mathrm{curv}(E) = I_n \cdot (\mathrm{curv}(L) - \pi^* \rho),$$

where I_n is the identity matrix and n is the rank of E . This condition can be derived similar to Lemma 4.2.4.

5 Surface Holonomy

From the viewpoint of a transport 2-functor, parallel transport and holonomy are basically evaluation on paths or bigons. Let $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ be a transport 2-functor with $\mathcal{B}\mathfrak{G}$ -structure on M . Its fibres over points $x, y \in M$ are objects $\text{tra}(x)$ and $\text{tra}(y)$ in T , and we say that its *parallel transport along a path* $\gamma : x \rightarrow y$ is given by the 1-morphism

$$\text{tra}(\gamma) : \text{tra}(x) \rightarrow \text{tra}(y)$$

in T , and its *parallel transport along a bigon* $\Sigma : \gamma \Rightarrow \gamma'$ is given by the 2-morphism

$$\text{tra}(\Sigma) : \text{tra}(\gamma) \Rightarrow \text{tra}(\gamma')$$

in T . The rules how parallel transport behaves under the composition of paths and bigons are precisely the axioms of the 2-functor tra ; see [SW, Definition A.5]. We give some examples. If $\gamma_1 : x \rightarrow y$ and $\gamma_2 : y \rightarrow z$ are composable paths, the separate parallel transports along the two paths are related to the one along their composition by the compositor

$$c_{\gamma_1, \gamma_2} : \text{tra}(\gamma_2) \circ \text{tra}(\gamma_1) \Rightarrow \text{tra}(\gamma_2 \circ \gamma_1)$$

of the 2-functor tra . If id_x is the constant path at x , the parallel transport along id_x is related to the identity at the fibre $\text{tra}(x)$ by the unitor

$$u_x : \text{tra}(\text{id}_x) \Rightarrow \text{id}_{\text{tra}(x)}.$$

The parallel transports along vertically composable bigons $\Sigma : \gamma_1 \Rightarrow \gamma_2$ and $\Sigma' : \gamma_2 \Rightarrow \gamma_3$ obey

$$\text{tra}(\Sigma' \bullet \Sigma) = \text{tra}(\Sigma') \bullet \text{tra}(\Sigma).$$

In the following we focus on certain bigons that parameterize surfaces; the parallel transport along these bigons will be called the holonomy of the transport 2-functor tra .

5.1 Markings and Fundamental Bigons

Surface holonomy of gerbes has so far only been studied in the abelian case, i.e. for gerbes with structure 2-group $\mathfrak{G} = \mathcal{B}S^1$; see e.g. [Mur96]. It is understood that an abelian gerbe with connection over M provides an S^1 -valued surface holonomy for smooth maps $\phi : S \rightarrow M$ defined on *closed oriented surfaces* S . Various extensions have been studied for oriented surfaces with boundary [CJM02, GR02], closed unoriented (in particular unorientable) surfaces [SSW07], and unoriented surfaces with boundary [GSW11].

Let us try to explain why the step from abelian to non-abelian surface holonomy is so difficult, by looking at the analogous but easier situation of ordinary holonomy of a connection on a principal bundle P over M . In the abelian case, say with structure group S^1 , holonomy is defined for *closed oriented curves* in M . Passing to a non-abelian structure group G , we have two possibilities. The first is to choose additional structure on the closed oriented curve, namely a point $x \in M$, in which case the holonomy is a well-defined automorphism of the fibre P_x of the bundle P over the point x . The second possibility is to project the value of the holonomy into an appropriate quotient, for example along the map $\text{Aut}(P_x) \rightarrow G_{\text{red}}$ into the set G_{red} of conjugacy classes of G . This quotient is designed such

that a different choice of x yields the same element in G_{red} , and so P has a well-defined G_{red} -valued holonomy for closed oriented curves.

Choosing a point in a closed oriented curve means to represent it as a path (up to thin homotopy). The objective of this section is to describe how to represent a closed oriented surface $\phi : S \rightarrow M$ as a bigon in M . It will be convenient to adapt the following standard terminology from the theory of Riemann surfaces to our setting. Suppose S is a closed oriented surface of genus g . A *marking* of S is a point $x \in S$ together with a set $\mathcal{M} = \{\alpha_i, \beta_i\}_{i=1}^g$ of paths $\alpha_i : x \rightarrow x$ and $\beta_i : x \rightarrow x$ such that the homotopy classes $[\alpha_i]$ and $[\beta_i]$ of the paths form a presentation of the fundamental group of S based at x with the relation that $[\tau_{\mathcal{M}}] = 1$, where

$$\tau_{\mathcal{M}} := \beta_g^{-1} \circ \alpha_g^{-1} \circ \beta_g \circ \alpha_g \circ \dots \circ \beta_1^{-1} \circ \alpha_1^{-1} \circ \beta_1 \circ \alpha_1.$$

In other words,

$$\pi_1(S, x) = \langle [\alpha_i], [\beta_i] \mid [\tau_{\mathcal{M}}] = 1 \rangle.$$

Next we formulate — in the language of bigons — the statement that the path $\tau_{\mathcal{M}}$ can be collapsed to the point x in such a way that the collapsing homotopy parameterizes the surface S . The challenge is that such a homotopy cannot simultaneously be a bigon and a good parameterization. We propose the following notion.

Definition 5.1.1. A fundamental bigon with respect to the marking (x, \mathcal{M}) is a bigon $\Sigma : \tau_{\mathcal{M}} \rightrightarrows \text{id}_x$ such that there exist:

- (a) a polygon $\mathcal{P} \subseteq \mathbb{R}^2$ and a surjective smooth map $\pi : \mathcal{P} \rightarrow S$ that is an embedding when restricted to the interior of \mathcal{P} and preserves the orientations.
- (b) a surjective continuous map $k : [0, 1]^2 \rightarrow \mathcal{P}$ that restricts to a diffeomorphism between the interiors and preserves the orientations, such that there exists a vertex v_0 of \mathcal{P} with $v_0 = k(s, 0) = k(s, 1) = k(1, t)$ for all $0 \leq s, t \leq 1$.
- (c) a smooth map $h : [0, 1] \times \mathcal{P} \rightarrow S$ with $h_0 = \pi$ and $h_1 \circ k = \Sigma$, such that for each edge e of \mathcal{P} the restriction $h|_{[0, 1] \times e}$ has rank one, and $h(-, v) = x$ for each vertex v .

In this definition the polygon \mathcal{P} serves as the standard parameter domain of the surface, and the map $\pi : \mathcal{P} \rightarrow S$ is such a parameterization. The map $k : [0, 1]^2 \rightarrow \mathcal{P}$ is responsible for the transition between the standard parameter domain \mathcal{P} and the parameter domain $[0, 1]^2$ for bigons. The map h ensures that the fundamental bigon Σ is homotopic to the “bigonized” standard parameterization, $\pi \circ k$. The various conditions on k and h ensure that the homotopy $h \circ k$ is constantly equal to x over the boundary parts of $[0, 1]^2$ that are parameterized by $(s, 0)$, $(s, 1)$, and $(1, t)$, and ensure that it restricts to a thin homotopy between the path $(\pi \circ k)(0, -)$ and $\tau_{\mathcal{M}}$ over the remaining boundary part $(0, t)$.

A marking will be called *good*, if it admits a fundamental bigon. The following three lemmata elaborate some properties of good markings and fundamental bigons.

Lemma 5.1.2. *Every closed oriented surface S has a good marking.*

Proof. It is well-known that every surface S of positive genus $g > 0$ has a “fundamental polygon” $\mathcal{P} \subseteq \mathbb{C}$ with $4g$ edges, together with a map $\pi : \mathcal{P} \rightarrow S$ that has all required properties. It can be

arranged such that all vertices go to a single point $x \in S$, and — if the $4g$ edges are parameterized by $A_1, B_1, A'_1, B'_1, A_2, \dots : [0, 1] \longrightarrow \mathcal{P}$ in counter-clockwise order starting at a vertex v_0 — then

$$\pi(A_i(1-t)) = \pi(A'_i(t)) \quad \text{and} \quad \pi(B_i(1-t)) = \pi(B'_i(t)). \quad (5.1.1)$$

Let $D \subseteq \mathbb{C}$ denote the disc centered at $-1 \in \mathbb{C}$. It is obvious that \mathcal{P} and D are homeomorphic. The homeomorphism can be arranged such that (i) it preserves orientations, (ii) it is a smooth embedding when restricted to the complement of the vertices of \mathcal{P} , and (iii) the vertices map to points equidistantly distributed over the boundary of D , with v_0 at $0 \in \mathbb{C}$. We define

$$k' : [0, 1]^2 \longrightarrow D : (s, t) \longmapsto (1-s)(e^{2\pi it} - 1).$$

Under the homeomorphism between \mathcal{P} and D , this gives the map k with all required properties.

The map $h : [0, 1] \times \mathcal{P} \longrightarrow S$ is chosen such that $h_1 : \mathcal{P} \longrightarrow S$ is constantly equal to x in neighborhoods of all vertices, and such that (5.1.1) remains true with h_1 instead of π . Then we define

$$\Sigma := h_1 \circ k$$

This is smooth because h_1 is locally constant at all points where k is not smooth. We consider for $0 \leq k \leq 4g - 1$ the map $p_k : [0, 1] \longrightarrow [0, 1] : t \longmapsto \frac{k+t}{4g}$ that squeezes the interval into the k -th of $4g$ many pieces. Then, we define for $i = 1, \dots, g$

$$\alpha_i := \pi \circ \Sigma(0, -) \circ p_{4i-4} \quad \text{and} \quad \beta_i := \pi \circ \Sigma(0, -) \circ p_{4i-3},$$

which give smooth maps with sitting instants, each going from x to x . Thus, (x, \mathcal{M}) with $\mathcal{M} := \{\alpha_i, \beta_i\}$ is a marking, and (5.1.1) imply that Σ is a bigon $\Sigma : \tau_{\mathcal{M}} \Longrightarrow \text{id}_x$.

The case of genus $g = 0$ can be treated in a similar way using the disc D_1 with one marked point; this is left as an exercise. \square

The main idea behind the definition of a fundamental bigon is that the integral of a 2-form $\omega \in \Omega^2(S)$ over S can be computed over a fundamental bigon.

Lemma 5.1.3. *Suppose S is a closed oriented surface with a good marking (x, \mathcal{M}) . Let Σ be a fundamental bigon with respect to (x, \mathcal{M}) . Then,*

$$\int_S \omega = \int_{[0,1]^2} \Sigma^* \omega$$

for all 2-forms $\omega \in \Omega^2(S)$.

Proof. We choose the structure (\mathcal{P}, k, π, h) of Definition 5.1.1. Then,

$$\int_S \omega = \int_{\mathcal{P}} \pi^* \omega = \int_{\mathcal{P}} h_1^* \omega = \int_{\text{int}(\mathcal{P})} h_1^* \omega = \int_{(0,1)^2} \Sigma^* \omega = \int_{[0,1]^2} \Sigma^* \omega.$$

The second equality holds because the homotopy h between $h_0 = \pi$ and h_1 has rank one on the boundary. The other equalities hold immediately due to the assumptions on all the involved maps. \square

Finally, we show that the choice of a fundamental bigon is essentially unique.

Lemma 5.1.4. *Let S be a closed oriented surface and (x, \mathcal{M}) be a marking. Suppose $\Sigma : \tau_{\mathcal{M}} \Longrightarrow \text{id}_x$ and $\Sigma' : \tau_{\mathcal{M}} \Longrightarrow \text{id}_x$ are two fundamental bigons with respect to the marking (x, \mathcal{M}) . Then, Σ and Σ' are thin homotopy equivalent in the sense of Section 2.1.*

Proof. We choose for both fundamental bigons the structures (\mathcal{P}, k, π, h) and $(\mathcal{P}', k', \pi', h')$ of Definition 5.1.1. We can assume that $\mathcal{P} = \mathcal{P}'$, since any two polygons are diffeomorphic, and such diffeomorphism can be absorbed into π' and k' . We can assume that \mathcal{P} is convex; in that case it is easy to see that k and k' are homotopic, with the homotopy fixing the three boundary components that map to v_0 , and restricting to a homotopy with values in ∂P over the fourth boundary component. The homotopies h and h' restrict on the boundary to thin homotopies $\pi|_{\partial P} \simeq \tau_{\mathcal{M}}$ and $\pi'|_{\partial P} \simeq \tau_{\mathcal{M}}$. By a version of Alexander's trick, we obtain a smooth homotopy $\pi \simeq \pi'$ that fixes all vertices and has rank one over the edges. Then we have chains of homotopies

$$\Sigma \simeq h_1 \circ k \simeq h_0 \circ k \simeq \pi \circ k \simeq \pi \circ k' \simeq \pi' \circ k' \simeq h'_0 \circ k' \simeq h'_1 \circ k' \simeq \Sigma'$$

which are all smooth and have rank one restricted over the boundary. By dimensional reasons, this homotopy can at most have rank two everywhere. This means that it is a thin homotopy between Σ and Σ' . \square

Next we come to the definition of surface holonomy. Since the generic transport 2-functor is not strict, we have to deal with the difference between the 1-morphism $\text{tra}(\phi_* \tau_{\mathcal{M}})$ and the 1-morphism

$$\text{tra}_{\phi, \mathcal{M}} := \prod_{i=1}^g \text{tra}(\alpha_i) \circ \text{tra}(\beta_i) \circ \text{tra}(\alpha_i^{-1}) \circ \text{tra}(\beta_i^{-1}),$$

for which we may agree for an arbitrary convention how to put parentheses in case T has a non-trivial associator. The relation between these two 1-morphisms is given by a 2-morphism

$$c^{\phi, \text{tra}} : \text{tra}_{\phi, \mathcal{M}} \Longrightarrow \text{tra}(\phi_* \tau_{\mathcal{M}})$$

made up from the compositors of tra in a unique way, due to the coherence axiom for compositors (this is axiom (F3) in [SW, Appendix A]).

Definition 5.1.5. *Let $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ be a transport 2-functor. Suppose S is a closed oriented surface equipped with a good marking (x, \mathcal{M}) and a smooth map $\phi : S \rightarrow M$. Let Σ be a fundamental bigon for (x, \mathcal{M}) . The 2-morphism*

$$\text{Hol}_{\text{tra}}(\phi, x, \mathcal{M}) := \text{tra}(\phi_* \Sigma) \bullet c^{\phi, \text{tra}} : \text{tra}_{\phi, \mathcal{M}} \Longrightarrow \text{tra}(\text{id}_{\phi(x)})$$

in T is called the surface holonomy of tra .

Note that the surface holonomy is independent of the choice of the fundamental bigon due to Lemma 5.1.4. On the other hand, the surface holonomy does depend on the choice of the marking (x, \mathcal{M}) . Even worse, it is not invariant under isomorphisms between transport 2-functors. It is the purpose of the following discussion to improve these dependence issues.

5.2 Reduced Surface Holonomy

We consider a Lie 2-group \mathfrak{G} defined from a smooth crossed module (G, H, t, α) , and restrict our attention to transport 2-functors

$$\text{tra} : \mathcal{P}_2(M) \rightarrow T$$

with $\mathcal{B}\mathfrak{G}$ -structure. Our goal is to replace the 2-functor tra by another 2-functor which takes values in a certain quotient of \mathfrak{G} where surface holonomy becomes more rigid. In order to construct this

quotient, we write $[G, H] \subseteq H$ for the subgroup of H that is generated by all elements of the form $h^{-1}\alpha(g, h)$, for $h \in H$ and $g \in G$. The following lemma follows from the axioms of a crossed module; see Definition 3.3.2).

Lemma 5.2.1. *The subgroup $[G, H]$ is normal in H and contains the commutator subgroup,*

$$[H, H] \trianglelefteq [G, H] \trianglelefteq H.$$

We come to the following important definition.

Definition 5.2.2. *Let \mathfrak{G} be a Lie 2-group. Then, the group $\mathfrak{G}_{\text{red}} := H/[G, H]$ is called the reduction of \mathfrak{G} .*

By Lemma 5.2.1, the reduction $\mathfrak{G}_{\text{red}}$ is a subgroup of the abelianization $H/[H, H]$ and hence abelian. Note that the projection to the quotient yields a strict 2-functor

$$\text{Red} : \mathcal{B}\mathfrak{G} \longrightarrow \mathcal{B}\mathfrak{G}_{\text{red}}.$$

Example 5.2.3. Let us look at examples for Lie 2-groups \mathfrak{G} :

- (a) In the case of the 2-group $\mathcal{B}A$ for A an ordinary abelian Lie group, $[1, A]$ is the trivial group, and $(\mathcal{B}A)_{\text{red}} = A$.
- (b) Let G be a Lie group and let $\mathcal{E}G$ the associated 2-group of inner automorphisms, see Section 3. Since α here is the conjugation action of G on itself, $[G, G]$ is indeed the commutator subgroup. Thus $(\mathcal{E}G)_{\text{red}}$ is an abelian Lie group, the abelianization of G .
- (c) Let H be a connected Lie group and let $\text{AUT}(H)$ be its automorphism 2-group, with $G = \text{Aut}(H)$. In this case $[H, H] = [G, H]$ if and only if all automorphisms of H are inner. For $H = S^1$ with $\text{Aut}(S^1) = \mathbb{Z}_2$ we get $[\mathbb{Z}_2, S^1] = S^1$, so that $\text{AUT}(S^1)_{\text{red}} = 1$.

Let $\text{tra} : \mathcal{P}_2(M) \longrightarrow T$ be a transport 2-functor with $\mathcal{B}\mathfrak{G}$ -structure. The following definition introduces the replacement for tra that we want to consider.

Definition 5.2.4. *A reduction of tra is a transport 2-functor $\text{tra}_{\text{red}} : \mathcal{P}_2(M) \longrightarrow \mathcal{B}\mathfrak{G}$ with $\mathcal{B}\mathfrak{G}$ -structure such that the following two conditions are satisfied:*

- (i) *There exists a pseudonatural equivalence $i \circ \text{tra}_{\text{red}} \cong \text{tra}$.*
- (ii) *The 2-functor $\text{Red} \circ \text{tra}_{\text{red}}$ is normalized in the sense of [SW, Appendix A].*

In the following proposition we prove an existence and uniqueness result for reductions.

Proposition 5.2.5. *If $i : \mathcal{B}\mathfrak{G} \longrightarrow T$ is an equivalence of categories, then every transport 2-functor with i -structure admits a reduction. Moreover, two reductions of the same transport 2-functor are pseudonaturally equivalent.*

Proof. Since $i : \mathcal{B}\mathfrak{G} \longrightarrow T$ is an equivalence of 2-categories we can choose an inverse 2-functor $j : T \longrightarrow \mathcal{B}\mathfrak{G}$, so that $\text{tra}' := j \circ \text{tra} : \mathcal{P}_2(M) \longrightarrow \mathcal{B}\mathfrak{G}$ is a transport 2-functor with $\mathcal{B}\mathfrak{G}$ -structure; see Section 3.3.1. Since j is inverse to i it is clear that $i \circ \text{tra}' \cong \text{tra}$. The 2-functor tra' is the first approximation of the reduction whose existence we want to prove.

We consider for any surjective submersion $\pi : Y \rightarrow M$ the following strictly commutative diagram of 2-categories and 2-functors:

$$\begin{array}{ccccc}
Z_\pi^2(\mathfrak{G})^\infty & \xrightarrow{\mathcal{P}} & \mathfrak{Des}_\pi^2(\text{id}_{\mathcal{B}\mathfrak{G}})^\infty & \xrightarrow{\mathcal{R}ed \circ -} & \mathfrak{Des}_\pi^2(\mathcal{R}ed)^\infty \\
& & \downarrow \text{Rec} & & \downarrow \text{Rec} \\
& & \text{Trans}_{\mathcal{B}\mathfrak{G}}^2(M, \mathcal{B}\mathfrak{G}) & \xrightarrow{\mathcal{R}ed \circ -} & \text{Trans}_{\mathcal{B}\mathfrak{G}}^2(M, \mathcal{B}\mathfrak{G}_{red}).
\end{array}$$

By Theorem 4.1.6 the surjective submersion $\pi : Y \rightarrow M$ can be chosen such that $\text{Rec} \circ \mathcal{P}$ is essentially surjective, i.e. we can choose a degree two differential \mathfrak{G} -cocycle $\xi' \in Z_\pi^2(\mathfrak{G})^\infty$ such that $\text{Rec}(\mathcal{P}(\xi')) \cong \text{tra}'$. After a refinement of the surjective submersion to an open cover, Lemma 4.1.4 allows us to assume that ξ' is isomorphic to another cocycle $\xi'' = ((A, B), (g, \varphi), \psi, f)$ with $\psi_i = 1$, $g_{ii} = 1$, $f_{ij} = f_{ji} = 1$ and $f_{ij} = y_{ij}^{-1} \alpha(x_{ij}, y_{ij})$ for all $i \in I$ and elements $x_{ij} \in G$, $y_{ij} \in H$. Let $\text{tra}'' := \text{Rec}(\mathcal{P}(\xi''))$; we will prove that this is the reduction we are looking for.

Condition (i) for reductions is satisfied because $\text{tra}'' = \text{Rec}(\mathcal{P}(\xi'')) \cong \text{Rec}(\mathcal{P}(\xi')) \cong \text{tra}'$. In order to show that the second condition is satisfied, we claim that the object $\mathcal{R}ed \circ \mathcal{P}(\xi'')$ in $\mathfrak{Des}_\pi^2(\mathcal{R}ed)^\infty$ is normalized in the sense of [SW, Definition 2.2.1]. Then, by [SW, Lemma 3.3.4], the reconstructed transport 2-functor is normalized. By commutativity of the diagram, this 2-functor is $\mathcal{R}ed \circ \text{tra}''$.

In order to prove that claim, we first remark that $(\text{triv}, g, \psi, f) := \mathcal{P}(\xi'')$ is “almost” normalized. Indeed, the conditions $g_{ii} = 1$ and $\psi_i = 1$ imply under the 2-functor \mathcal{P} that $\text{id}_{\text{triv}_i} = \Delta^* g$ and $\psi = \text{id}_{\Delta^* g}$. The remaining conditions $g \circ \Delta_{21}^* g = \text{id}_{\pi_1^* \text{triv}_i}$ and $\Delta_{121}^* f = \text{id}_{\Delta_{11}^* g}$ are not satisfied. However, since $f_{ij} = y_{ij}^{-1} \alpha(x_{ij}, y_{ij})$, after composing with $\mathcal{R}ed$ we do have $\mathcal{R}ed(f_{ij}) = 1$; and hence satisfy the remaining conditions for a normalized descent object.

Finally, the claim that the reduction is unique up to pseudonatural equivalence follows immediately from the assumption that i is an equivalence. \square

In the following we will always replace a given transport 2-functor $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ with $\mathcal{B}\mathfrak{G}$ -structure by a reduction $\text{tra}_{red} : \mathcal{P}_2(M) \rightarrow \mathcal{B}\mathfrak{G}$, and consider the *reduced surface holonomy*

$$\text{RHol}_{\text{tra}}(\phi, x, \mathcal{M}) := \text{Hol}_{\mathcal{R}ed \circ \text{tra}_{red}}(\phi, x, \mathcal{M}) \in \mathfrak{G}_{red}.$$

The following lemma shows that the reduced surface holonomy is well-defined.

Lemma 5.2.6. *The reduced surface holonomy is independent of the choice of the reduction tra_{red} .*

Proof. Suppose we have two choices, whose compositions with $\mathcal{R}ed$ we denote by $t_1, t_2 : \mathcal{P}_2(M) \rightarrow \mathcal{B}\mathfrak{G}_{red}$. Since t_1 and t_2 are normalized we have $\text{RHol}_{t_i}(\phi, x, \mathcal{M}) = t_i(\phi_* \Sigma) \bullet c^{\phi, t_i}$ for $i = 1, 2$.

By Lemma 5.2.5 t_1 and t_2 are related by a pseudonatural equivalence $\eta : t_1 \rightarrow t_2$. In the following we use that the target 2-category $\mathcal{B}\mathfrak{G}_{red}$ of t_1 and t_2 is strict, and both horizontal and vertical composition of 2-morphisms is just multiplication in the group \mathfrak{G}_{red} . Since this group is abelian, it follows that an arbitrary composition of 2-morphisms is just the product of their values, in any order.

Under these preliminaries, axiom (T2) for η applied to the 2-morphism $\phi_* \Sigma$ becomes the identity

$$\eta(\phi_* \tau_{\mathcal{M}}) \cdot t_2(\phi_* \Sigma) = t_1(\phi_* \Sigma) \cdot \eta(\text{id}_{\phi(x)}). \quad (5.2.1)$$

Axiom (T1) for η applied to the 1-morphism $\phi_* \tau_{\mathcal{M}}$ becomes

$$\eta(\phi_* \tau_{\mathcal{M}}) \cdot c^{\phi, t_1} = c^{\phi, t_2} \cdot \prod_{i=1}^g \eta(\alpha_i) \eta(\beta_i) \eta(\alpha_i^{-1}) \eta(\beta_i^{-1}). \quad (5.2.2)$$

We are allowed to permute the factors on the right hand side. Since t_1 and t_2 are normalized, we have by [SW, Lemma A.7 (ii)] that $\eta(\text{id}_{\phi(x)}) = 1$ and $\eta(\alpha_i)\eta(\alpha_i^{-1}) = 1$. Now combining (5.2.1) with (5.2.2) yields $t_1(\phi_*\Sigma) \bullet c^{\phi, t_1} = t_2(\phi_*\Sigma) \bullet c^{\phi, t_2}$, which is the equality between the surface holonomies. \square

5.3 Properties of Reduced Surface Holonomy

The reduced surface holonomy has nice properties that we will reveal in the following. First we treat the dependence of the reduced surface holonomy on the marking.

We arrange the set of markings of S into equivalence classes, as it is common in the theory of Riemann surfaces. Two markings (x, \mathcal{M}) and (x', \mathcal{M}') , with $\mathcal{M} = \{\alpha_i, \beta_i\}$ and $\mathcal{M}' = \{\alpha'_i, \beta'_i\}$, are called *equivalent*, if there exists a path $\gamma : x \rightarrow x'$ and bigons $\Delta_i : \alpha_i \Rightarrow \gamma \circ \alpha'_i \circ \gamma^{-1}$ and $\Delta'_i : \beta_i \Rightarrow \gamma \circ \beta'_i \circ \gamma^{-1}$.

Lemma 5.3.1. *Let (x, \mathcal{M}) and (x', \mathcal{M}') be equivalent good markings. Then,*

$$\text{RHol}_{\text{tra}}(\phi, x, \mathcal{M}) = \text{RHol}_{\text{tra}}(\phi, x', \mathcal{M}').$$

Proof. Each equivalence between markings splits into a sequence of steps, in which a step is either conjugation of all paths in the marking by a path $\gamma : x \rightarrow y$, or changing one of the paths via a bigon. Thus it suffices to prove the invariance of the reduced surface holonomy under each of these steps. Let $\text{tra}_{\text{red}} : \mathcal{P}_2(M) \rightarrow \mathcal{BG}$ be a reduction of tra , and let $t := \text{Red} \circ \text{tra}_{\text{red}}$.

In the first part of the proof we look at a conjugation. Let $\Sigma : \tau_{\mathcal{M}} \Rightarrow \text{id}_x$ be a fundamental bigon for a marking (x, \mathcal{M}) . Denote by (x', \mathcal{M}_γ) the marking obtained by conjugating all paths with γ . We have $\tau_{\mathcal{M}_\gamma} = \gamma \circ \tau_{\mathcal{M}} \circ \gamma^{-1}$, and $\Sigma^\gamma := \text{id}_\gamma \circ \Sigma \circ \text{id}_{\gamma^{-1}}$ is a fundamental bigon for (x', \mathcal{M}_γ) . We have the two paths $t_{\phi, \mathcal{M}}$ and $t_{\phi, \mathcal{M}_\gamma}$ and the compositors $c^{\phi, t} : t_{\phi, \mathcal{M}} \Rightarrow t(\tau_{\mathcal{M}})$ and $c_\gamma^{\phi, t} : t_{\phi, \mathcal{M}_\gamma} \Rightarrow t(\tau_{\mathcal{M}_\gamma})$, so that

$$\text{Hol}_t(\phi, x, \mathcal{M}) = t(\Sigma) \cdot c^{\phi, t} \quad \text{and} \quad \text{Hol}_t(\phi, x', \mathcal{M}') = t(\Sigma^\gamma) \cdot c_\gamma^{\phi, t}.$$

Here, and in the following we will suppress writing ϕ_* when we apply t to paths or bigons in S .

There is a unique compositor 2-morphism $c : t_{\phi, \mathcal{M}_\gamma} \Rightarrow t(\gamma) \circ t_{\phi, \mathcal{M}} \circ t(\gamma)^{-1}$, and another unique compositor 2-morphism $c' : t(\tau_{\mathcal{M}_\gamma}) \Rightarrow t(\gamma) \circ t(\tau_{\mathcal{M}}) \circ t(\gamma)^{-1}$. We claim that the diagram

$$\begin{array}{ccccc} t_{\phi, \mathcal{M}_\gamma} & \xrightarrow{c_\gamma^{\phi, t}} & t(\tau_{\mathcal{M}_\gamma}) & \xrightarrow{t(\Sigma^\gamma)} & \text{id}_{t(\phi(x))} \\ \Downarrow c & & \Downarrow c' & & \Downarrow \text{id} \\ t(\gamma) \circ t_{\phi, \mathcal{M}} \circ t(\gamma)^{-1} & \xrightarrow{\text{id} \circ c^{\phi, t} \circ \text{id}} & t(\gamma) \circ t(\tau_{\mathcal{M}}) \circ t(\gamma)^{-1} & \xrightarrow{\text{id} \circ t(\Sigma) \circ \text{id}} & t(\gamma) \circ \text{id} \circ t(\gamma)^{-1} \end{array}$$

is commutative. The subdiagram on the left commutes because of the coherence of compositors enforced by axiom (F3). In order to see that the subdiagram on the right commutes we note that $c' = c_{\tau_{\mathcal{M}_\gamma} \circ \gamma^{-1}, \gamma} \cdot c_{\gamma^{-1}, \tau_{\mathcal{M}_\gamma}}$. Axiom (F2) applied to the 2-morphisms id , Σ^γ and id gives the commutativity with $c_{\gamma^{-1}, \gamma} \cdot c_{\gamma^{-1}, \text{id}}$ on the right hand side of the diagram. But the latter expression is equal to 1 for the normalized 2-functor t .

Next we compute c . We note the compositor between $t(\gamma \circ \alpha \circ \gamma^{-1})$ and $t(\gamma) \circ t(\alpha) \circ t(\gamma^{-1})$ is $c_{\alpha \circ \gamma^{-1}, \gamma} \cdot c_{\gamma^{-1}, \alpha}$, while the one between $t(\gamma \circ \alpha^{-1} \circ \gamma^{-1})$ and $t(\gamma) \circ t(\alpha^{-1}) \circ t(\gamma^{-1})$ is $c_{\alpha^{-1} \circ \gamma^{-1}, \gamma} \cdot c_{\gamma^{-1}, \alpha^{-1}}$. These two compositors are actually inverse to each other; this follows from axiom (F3) for compositors

and the fact that t is normalized. Also note that $t(\gamma) \circ t(\gamma^{-1}) = \text{id}$; again since t is normalized. Thus, we see that $c = 1$. All together, we see that $\text{Hol}_t(\phi, x, \mathcal{M}) = \text{Hol}_t(\phi, x', \mathcal{M}')$.

In the second part of the proof we look at a change of one of the paths via a bigon. If $\mathcal{M} = \{\alpha_i, \beta_i\}_{i=1}^g$ is a marking, we look at another marking \mathcal{M}' consisting of the same paths except for an index i_0 where a different path α'_{i_0} is present, related to α_{i_0} by a bigon $\Delta : \alpha'_{i_0} \rightrightarrows \alpha_{i_0}$. Let $\Delta^\# : \alpha'_{i_0}{}^{-1} \rightrightarrows \alpha_{i_0}{}^{-1}$ be the ‘‘horizontally inverted’’ bigon given by $\Delta^\#(s, t) := \Delta(s, 1 - t)$. We consider the 2-morphism

$$\tilde{\Delta} := \text{id} \circ (\Delta \circ \text{id}_{\beta_{i_0}} \circ \Delta^\# \circ \text{id}_{\beta_{i_0}^{-1}}) \circ \text{id} : \tau_{\mathcal{M}'} \rightrightarrows \tau_{\mathcal{M}},$$

with the outer identities meant for all factors with indices not equal to i_0 . We also consider the 2-morphism

$$t_\Delta := \text{id} \circ (t(\Delta) \circ \text{id} \circ t(\Delta^\#) \circ \text{id}) \circ \text{id} : t_{\phi, \mathcal{M}'} \rightrightarrows t_{\phi, \mathcal{M}}.$$

If $\Sigma : \tau_{\mathcal{M}} \rightrightarrows \text{id}_x$ is a fundamental bigon for \mathcal{M} , then $\Sigma' := \Sigma \bullet \tilde{\Delta}$ is a fundamental bigon for \mathcal{M}' . We claim that the diagram

$$\begin{array}{ccc} t_{\phi, \mathcal{M}'} & \xrightarrow{c' \phi, t} & t(\tau_{\mathcal{M}'}) \\ \Downarrow t_\Delta & & \Downarrow t(\tilde{\Delta}) \\ t_{\phi, \mathcal{M}} & \xrightarrow{c \phi, t} & t(\tau_{\mathcal{M}}) \end{array} \quad \begin{array}{c} \nearrow t(\Sigma') \\ \searrow \Sigma \\ \text{id} \end{array}$$

is commutative. Indeed, the rectangular part commutes due to axiom (F2) for the 2-functor t , and the triangular part commutes by definition of Σ' . It remains to notice that $t_\Delta = 1 \in \mathfrak{G}_{\text{red}}$, since the contributions of Δ and $\Delta^\#$ cancel. Then, the diagram implies the coincidence of the surface holonomies. \square

We summarize our results about the surface holonomy of non-abelian gerbes in the following theorem, which constitutes the main result of this section.

Theorem 5.3.2. *Let \mathfrak{G} be a Lie 2-group, T be a 2-category, and $i : \mathcal{B}\mathfrak{G} \rightarrow T$ be an equivalence of 2-categories. Let $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ be a transport 2-functor with $\mathcal{B}\mathfrak{G}$ -structure. Let S be a closed oriented surface with a good marking (x, \mathcal{M}) , and let $\phi : S \rightarrow M$ be a smooth map. Then, the reduced surface holonomy*

$$\text{RHol}_{\text{tra}}(\phi, x, \mathcal{M}) \in \mathfrak{G}_{\text{red}}$$

depends only on the equivalence class of the marking, and only on the isomorphism class of tra .

Proof. After Lemma 5.3.1 it only remains to prove that the reduced surface holonomy only depends on the isomorphism class of tra . Indeed, if $\text{tra} \cong \text{tra}'$ is an isomorphism we can choose the *same* reduction for both. \square

In Section 4 we have collected various equivalences between transport 2-functors and concrete models of gerbes with connections. These equivalences were mostly established by zigzags of canonically defined 2-functors. On the level of isomorphism classes, such zigzags give a well-defined bijection. Since the reduced surface holonomy is invariant under isomorphisms, these bijections convey the concept of reduced surface holonomy to a well-defined, isomorphism-invariant concept for each of these concrete models:

- (i) The bijection

$$\hat{H}^2(M, \mathfrak{G}) \cong \text{h}_0 \text{Trans}_{\text{Gr}}^2(M, T)$$

of Theorem 4.1.6 between the non-abelian differential cohomology and transport 2-functors equips non-abelian cohomology with a well-defined, isomorphism-invariant concept of surface holonomy. In particular, it equips degree two Deligne cocycles and (fake-flat) Breen-Messing cocycles with a well-defined, isomorphism-invariant concept of surface holonomy. We show below in Proposition 5.3.3 in the case of Deligne cocycles it reproduces the existing concept of surface holonomy. In the case of Breen-Messing cocycles such a concept was previously unknown; its is one of the main results of this article.

(ii) The equivalence of Theorem 4.2.1 induces a canonical bijection

$$h_0 \mathfrak{BGrb}^\nabla(M) \cong h_0 \text{Trans}_{\mathcal{B}S^1}(M, \mathcal{B}(S^1\text{-Tor}))$$

of between isomorphism classes of bundle gerbes with connections and isomorphism classes of transport 2-functors, and so equips bundle gerbes with connection with a well-defined, isomorphism-invariant concept of surface holonomy. We show below in Proposition 5.3.3 that it reproduces the existing concept of surface holonomy for abelian gerbes.

(iii) The injective map

$$h_0(H\text{-}\mathfrak{BGrb}^{\nabla_{ff}}(M)) \longrightarrow h_0(\text{Trans}_{\mathcal{B}\text{AUT}(H)}^2(M, \mathcal{B}(H\text{-BiTor})))$$

of Theorem 4.3.1 between isomorphism classes of fake-flat non-abelian H -bundle gerbes with connection and transport 2-functors, equips fake-flat non-abelian H -bundle gerbes with connection with a well-defined, isomorphism-invariant concept of surface holonomy. Such a concept was previously unknown; it is one of the main results of this article.

Now we prove that the reduced surface holonomy of Theorem 5.3.2 reduces in the case $\mathfrak{G} = \mathcal{B}S^1$ to the established notion of surface holonomy for connections on $\mathcal{B}S^1$ -gerbes. Let us first recall that established notion.

It can be given for an element $\xi \in \hat{H}^2(M, \mathcal{B}S^1)$ of the (abelian) differential cohomology group which classifies $\mathcal{B}S^1$ -gerbes. It is solely based on the fact that differential cohomology sits in an exact sequence

$$1 \longrightarrow \Omega_{\text{cl}, \mathbb{Z}}^2(M) \longrightarrow \Omega^2(M) \xrightarrow{\mathcal{I}} \hat{H}^2(M, \mathcal{B}S^1) \longrightarrow H^3(M, \mathbb{Z}) \longrightarrow 0,$$

in which $\Omega_{\text{cl}, \mathbb{Z}}^2(M)$ denotes the closed 2-forms with integral periods [Bry93]. If $\phi : S \rightarrow M$ is a smooth map from a closed oriented surface S to M , then $\phi^*\xi \in \hat{H}^2(S, \mathcal{B}S^1)$ projects to zero in $0 \in H^3(S, \mathbb{Z})$ for dimensional reasons, and so is the image of a 2-form $B \in \Omega^2(S)$ under the map \mathcal{I} in the sequence. The abelian surface holonomy of ξ around ϕ is then defined as

$$\text{AbHol}_\xi(\phi) := \exp\left(-\int_S B\right) \in S^1.$$

Since the difference between two choices of 2-forms is a closed 2-form with integral periods, this integral is independent of the choice of B .

Proposition 5.3.3. *Let $\text{tra} : \mathcal{P}_2(M) \rightarrow T$ be a transport 2-functor with $\mathcal{B}S^1$ -structure. Let $\xi \in \hat{H}^2(M, \mathcal{B}S^1)$ be the associated class under the bijection of Theorem 4.1.6. Then,*

$$\text{RHol}_{\text{tra}}(\phi, x, \mathcal{M}) = \text{AbHol}_\xi(\phi),$$

i.e. the reduces surface holonomy of tra coincides with the established notion of surface holonomy for abelian gerbes. In particular, it is independent of the marking.

Proof. For any smooth manifold X , we have an equality between $\Omega^2(X)$ and the objects of the 2-category $Z_X^2(\mathcal{B}S^1)^\infty$. The latter is isomorphic to the 2-category $\text{Func}^\infty(\mathcal{P}_2(X), \mathcal{B}S^1)$ of smooth 2-functors via Theorem 4.1.1, and we have computed in Lemma 4.1.2 that

$$F(\Sigma) = \exp\left(-\int_\Sigma B\right) \quad (5.3.1)$$

for any bigon $\Sigma \in BX$. By construction we have a commutative diagram

$$\begin{array}{ccc} \Omega^2(X) & \xrightarrow{\mathcal{I}} & \hat{H}^2(X, \mathcal{B}S^1) \\ \downarrow & & \downarrow \\ \text{Func}^\infty(\mathcal{P}_2(X), \mathcal{B}S^1) & \longrightarrow & \text{h}_0\text{Trans}_{\mathcal{B}S^1}^2(X, \mathcal{B}S^1), \end{array}$$

in which the map on the bottom is the inclusion of smooth 2-functors in transport 2-functors.

Note that $\mathfrak{G}_{red} = S^1$ with Example 5.2.3 (a) and $\mathcal{R}ed = \text{id}_{\mathcal{B}S^1}$. Let tra_{red} be a reduction of the given transport 2-functor, corresponding to the class ξ under the vertical map on the right hand side of the diagram. After pullback along $\phi : S \rightarrow M$ we obtain the 2-form $B \in \Omega^2(S)$ such that $\mathcal{I}(B) = \xi$. Let $F : \mathcal{P}_2(S) \rightarrow \mathcal{B}S^1$ be the smooth 2-functor that corresponds to B . By commutativity of the diagram, we find an isomorphism

$$F \cong \phi^* \text{tra}_{red} \quad (5.3.2)$$

between transport 2-functors.

If now Σ is a fundamental bigon for the marking (x, \mathcal{M}) , then we have on one side

$$\text{AbHol}_\xi(\phi) := \exp\left(-\int_S B\right) \stackrel{\text{Lemma 5.1.3}}{=} \exp\left(-\int_\Sigma B\right) \stackrel{(5.3.1)}{=} F(\Sigma) = \text{Hol}_F(\text{id}, x, \mathcal{M}),$$

where the last equality is Definition 5.1.5 combined with the fact that F is a strict 2-functor (with trivial compositors). Lemma 5.2.6 applied to the equivalence (5.3.2) shows that

$$\text{Hol}_F(\text{id}, x, \mathcal{M}) = \text{Hol}_{\phi^* \text{tra}_{red}}(\text{id}, x, \mathcal{M}).$$

We have on the other side

$$\text{Hol}_{\phi^* \text{tra}_{red}}(\text{id}, x, \mathcal{M}) = \text{Hol}_{\text{tra}_{red}}(\phi, x, \mathcal{M}) = \text{RHol}_{\text{tra}}(\phi, x, \mathcal{M}),$$

this shows the claimed coincidence. \square

Finally, let us comment on the dependence of the reduced surface holonomy on the equivalence class of a marking. Let S be a closed oriented surface, and let (x, \mathcal{M}) and (x', \mathcal{M}') two markings. A standard result from the theory of Riemann surfaces is:

- (i) There exists an orientation-preserving diffeomorphism $f : S \rightarrow S$ such that $(x', \mathcal{M}') = f(x, \mathcal{M})$,
- (ii) The diffeomorphism f is homotopic to the identity map id_S if and only if (x, \mathcal{M}) and (x', \mathcal{M}') are equivalent.

The quotient of the group of orientation-preserving diffeomorphisms modulo those homotopic to the identity is the mapping class group of S , denoted $\mathcal{M}(S)$. Thus, $\mathcal{M}(S)$ acts on the reduced surface holonomies of a surface $\phi : S \rightarrow M$. Currently we do not know what this action is; in particular, we do not know if it is trivial or not.

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