

Research Article

Average and Small Signal Modeling of Negative-Output KY Boost Converter in CCM Operation

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Negative-output KY Boost converter, which can obtain the negative output voltage and could be driven easily, is a good topology to overcome traditional Boost and Buck-Boost converters and it is believed that this converter will be widely used in engineering applications in the future. In this study, by using the averaging method and geometrical technique, the average and small signal model of the negative-output KY Boost converter are established. The DC equilibrium point and transfer functions of the system are derived and analyzed. Finally, the effectiveness of the established model and the correctness of the theoretical analysis are confirmed by the circuit experiment.

1. Introduction

In recent years, exploring new topologies of DC-DC converters has attracted much attention of many researchers since it plays an important role in DC-DC switching power supplies to improve their performance, and different kinds of DC-DC converters have been proposed [1–9], such as Buck converter [1, 2], Boost converter [3], Buck-Boost converter [3], Cuk converter [3], Sepic converter [4], Luo converter [5], Superbuck converter [6], and single-inductor-multiple-output converter [7, 8]. Among them, a good topology of DC-DC converter, named negative-output KY Boost converter, has been proposed by Hwu et al. in 2009 [9]. For this new converter, it can obtain negative-output voltage; that is, the polarity of output voltage is in contrast to its input voltage so that it makes up the drawbacks of the traditional Boost converter who obtain the positive output voltage only. Additionally, this new converter could be driven easily since its power switch is connected to ground directly. Therefore, to obtain the negative-output voltage, this new converter is superior to the traditional Buck-Boost converter since the latter one is floating. Accordingly, it is believed that the negative-output KY Boost converter will gain the popularity

in the field of needing the negative electricity source, which is indispensable for the audio amplifier, signal generator, or data transmission interface and so forth. Therefore, modeling and analysis of this new converter are an important and valuable work for its real designing in practical engineering. But, until now, there are only a few studies on this new converter [9, 10]. For example, in [9], the negative-output KY Boost converter and its operational principle have been presented. Under the assumption that the energy-transferring capacitor is large enough to keep the voltage on itself constant at the output voltage, the DC equilibrium point of the negative-output KY Boost converter has been derived; that is, the DC output voltage is equal to the negative DC input voltage over the results of one minus the DC duty cycle. In [10], the discrete model of the voltage-controlled negative-output KY Boost converter has been derived for investigating its stability and bifurcation, and the result indicates that the energy-transferring capacitor has an important influence on its dynamical behaviors; that is, the energy-transferring capacitor is bigger; the output voltage ripple is smaller whereas the instability region is larger. Thus, a compromise must be done when choosing the energy-transferring capacitor. So, the assumption in [9] is only an extreme case. If the condition of this extreme

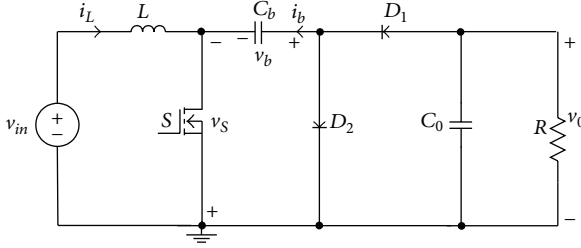


FIGURE 1: Circuit schematic of negative-output KY Boost converter.

case is not satisfied, the result about the DC equilibrium point in [9] will not be accurate, and this point will be investigated in this paper by establishing the average model of the negative-output KY Boost converter. Moreover, the small signal model of the negative-output KY Boost converter is derived and corresponding transfer functions are also presented, analyzed, and confirmed.

The rest of this paper is organized as follows. In Section 2, the circuit operation, mathematical model, and some PSIM simulations of the negative-output KY Boost converter are briefly given. In Section 3, by using the averaging method and geometrical technique, the average and small signal model of the system are established. The corresponding transfer functions are also derived and analyzed. In Section 4, the circuit experimental results are given for confirmation. Finally, some concluding remarks and comments are given in Section 5.

2. Circuit Operation, Mathematical Model, and PSIM Simulations

The circuit schematic of the negative-output KY Boost converter is shown in Figure 1. It consists of one input voltage v_{in} , one power switch S , two diodes: D_1 and D_2 , one energy-transferring capacitor C_b , one input inductor L , one output capacitor C_0 , and one load R . Note that the power switch S is directly controlled by the PWM signal v_d and all the components are assumed as ideal. The current through L and C_b are defined as i_L and i_b , respectively. The voltage across C_b , C_0 , and S is defined as v_b , v_0 , and v_s , respectively. Also, this paper only takes the continuous conduction mode (CCM) operations into consideration, in which there are two operation modes (Figure 2) in this converter. The circuit parameters here are chosen as $v_{in} = 8$ V, $L = 1$ mH, $C_b = 2$ μ F, $C_0 = 40$ μ F, $R = 100$ Ω , $G = 1/R$, $f = 25$ kHz, $T = 1/f$, and $D = 0.5$.

Mode 1 (Figure 2(a)) shows that the power switch S is turned on and the diode D_1 is conducted whereas the diode D_2 is opened. Accordingly, the voltage across the inductor L is equal to the input voltage v_{in} , thereby causing the inductor L to be magnetized. The voltage across the energy-transferring capacitor C_b is the same as the voltage across the output capacitor C_0 . The sum of the current through the energy-transferring capacitor C_b , the current through the output capacitor C_0 , and the current through the load R equals zero.

Therefore, the mathematical model for this mode can be derived as follows:

$$\begin{aligned} \frac{di_L}{dt} &= \frac{v_{in}}{L}, & \frac{dv_0}{dt} &= -\frac{i_b}{C_0} - \frac{v_0}{RC_0}, \\ \frac{dv_b}{dt} &= \frac{i_b}{C_b}, & v_b &= v_0. \end{aligned} \quad (1)$$

Mode 2 (Figure 2(b)) shows that the power switch S is turned off and the diode D_1 is opened whereas the diode D_2 is conducted. In this mode, the input voltage v_{in} plus the voltage across the energy-transferring capacitor C_b equals the voltage across the inductor L . The current through the output capacitor C_0 plus the current through the load R equals zero. The current through the energy-transferring capacitor C_b plus the current through the inductor L equals zero. Thus, the mathematical model for this mode can be derived as follows:

$$\begin{aligned} \frac{di_L}{dt} &= \frac{v_{in} + v_b}{L}, & \frac{dv_0}{dt} &= -\frac{v_0}{RC_0}, \\ \frac{dv_b}{dt} &= \frac{i_b}{C_b}, & i_b &= -i_L. \end{aligned} \quad (2)$$

According to Figure 1 and using PSIM which is widely used in power electronics and motor drives simulating [11, 12], the time-domain waveforms for the voltage v_b , v_0 , and v_s can be obtained and shown in Figures 3(a) and 3(b), respectively. Note that the voltage v_s reflects the PWM signal v_d . That is, if the voltage v_s equals zero, it describes that the power switch S is turned on; that is, the PWM signal v_d is high level. But, when the power switch S is turned off, that is, the PWM signal v_d is low level, v_s is equal to the voltage v_b . Hereafter, the average and small signal model for the negative-output KY Boost converter are going to be derived and analyzed.

3. Derivations for Average and Small Signal Model

By using the averaging method [13], the average model of the negative-output KY Boost converter can be derived by averaging circuit variables of the system within each switching period:

$$\begin{aligned} \frac{d\langle i_L \rangle}{dt} &= \frac{\langle v_{in} \rangle}{L} + \frac{(1-d)\langle v_b \rangle}{L}, \\ \frac{d\langle v_0 \rangle}{dt} &= -\frac{\langle i_b \rangle d}{C_0} - \frac{\langle v_0 \rangle}{RC_0}, \end{aligned} \quad (3)$$

where $\langle i_L \rangle$, $\langle v_0 \rangle$, $\langle v_b \rangle$, $\langle i_b \rangle$, and $\langle v_{in} \rangle$ are the average values of i_L , v_0 , v_b , i_b , and v_{in} , respectively. Obviously, it is necessary to derive the expressions for $\langle i_b \rangle$ and $\langle v_b \rangle$ in (3) to obtain the average model for the negative-output KY Boost converter completely.

By applying the ampere-second balance on the capacitor C_b , $\langle i_b \rangle$ can be expressed as a function of $\langle i_L \rangle$ to be

$$\langle i_b \rangle = \frac{1-d}{d} \langle i_L \rangle. \quad (4)$$

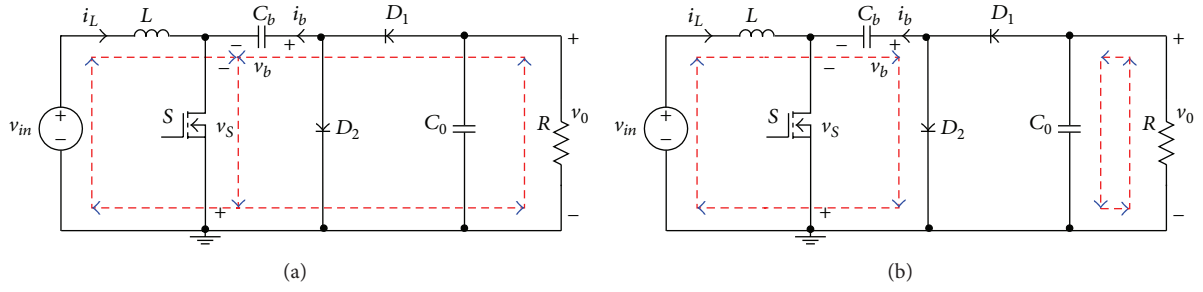
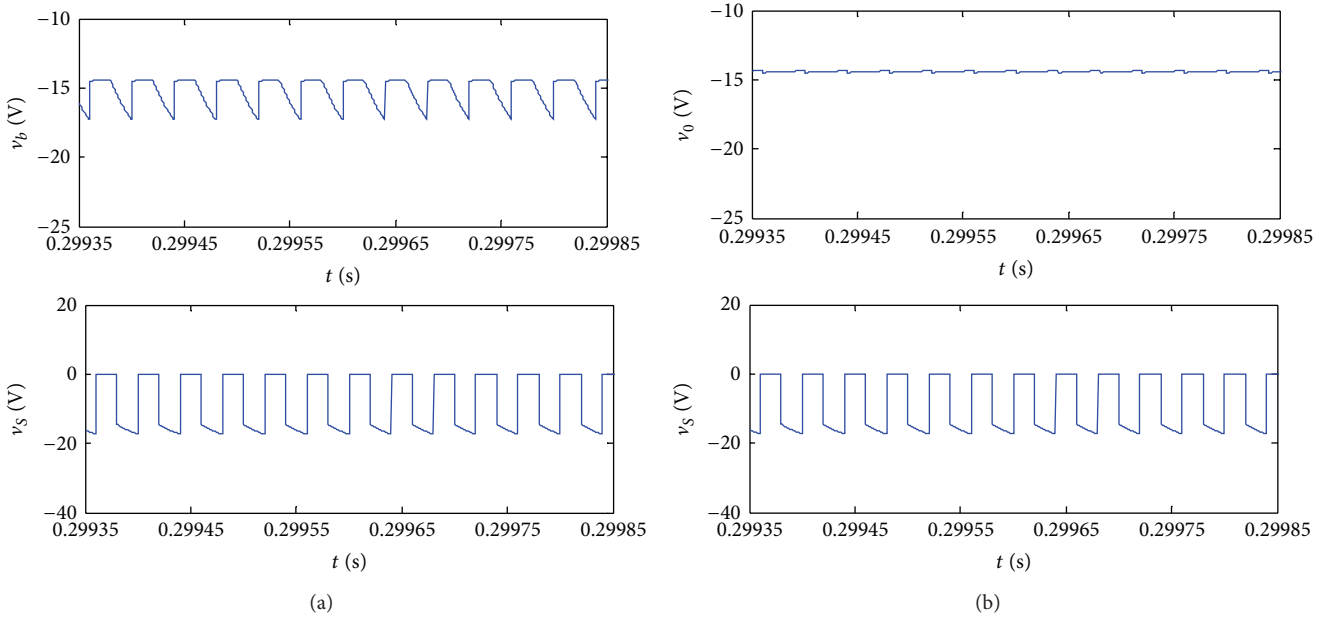


FIGURE 2: Power flows for negative-output KY Boost converter. (a) Mode 1. (b) Mode 2.


 FIGURE 3: Time-domain waveforms from PSIM simulations. (a) v_b and v_s . (b) v_0 and v_s .

Here, in order to see the relationship between the voltage v_b and v_0 clearly and derive the expression for $\langle v_b \rangle$, the closed-up view of the voltage v_0 , v_b , and v_s is replotted in Figure 4. One can see that the voltage v_b is the same as v_0 within $(NT, (N+d)T)$ and they are denoted as V_N at the instant NT and V_{Nd} at $(N+d)T$. But, within $((N+d)T, (N+1)T)$, these two voltages (v_b and v_0) are not equal to each other and they are denoted as V_{Nb} and V_{N0} at the $(N+1)T$ before abruptly changing point, respectively. After abruptly changing point, they are denoted as V_{N+1} and $V_N = V_{N+1}$ for the characteristic of the negative-output KY Boost converter.

Therefore, the voltage v_b cannot be considered as equaling v_0 within the whole switching period. That is, the assumption that the energy-transferring capacitor is large enough to keep the voltage on itself at the output voltage in [9] is only the extreme case and not suitable here.

Assuming that both the voltage v_b and voltage v_0 increase linearly within $(NT, (N+d)T)$ and v_b decreases and v_0 increases linearly within $((N+d)T, (N+1)T)$, the following

equation for $\langle v_0 \rangle$ can be obtained by applying the geometric technique:

$$\langle v_0 \rangle = \frac{V_N + V_{Nd}}{2} d + \frac{V_{Nd} + V_{N0}}{2} (1-d), \quad (5)$$

where

$$V_{N0} = V_{Nd} - \frac{\langle v_0 \rangle}{RC_0} (1-d) T. \quad (6)$$

In the same way, the equation for $\langle v_b \rangle$ can also be derived and shown as follows:

$$\langle v_b \rangle = \frac{V_N + V_{Nd}}{2} d + \frac{V_{Nd} + V_{Nb}}{2} (1-d), \quad (7)$$

where

$$V_{Nb} = V_{Nd} - \frac{\langle i_L \rangle}{C_b} (1-d) T. \quad (8)$$

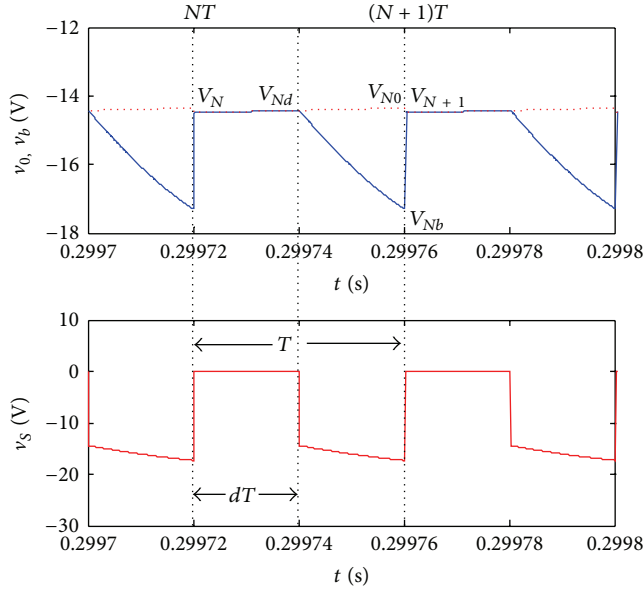


FIGURE 4: Closed up view of the voltage v_0 (dashed line), v_b (solid line), and v_s .

Thus, the voltage $\langle v_b \rangle$ can be derived by taking (7) minus (5) and using (6) and (8), and its expression is

$$\langle v_b \rangle = \langle v_0 \rangle + \frac{\langle v_0 \rangle (1-d)^2}{2fRC_0} - \frac{\langle i_L \rangle (1-d)^2}{2fC_b}. \quad (9)$$

Accordingly, the overall average equations can be obtained by substituting (4) and (9) into (3):

$$\begin{aligned} \frac{d\langle i_L \rangle}{dt} &= \frac{\langle v_{in} \rangle}{L} + \frac{(1-d)\langle v_0 \rangle}{L} \\ &+ \frac{(1-d)^3\langle v_0 \rangle}{2LfRC_0} - \frac{\langle i_L \rangle (1-d)^3}{2LfC_b}, \end{aligned} \quad (10)$$

$$\frac{d\langle v_0 \rangle}{dt} = -\frac{(1-d)\langle i_L \rangle}{C_0} - \frac{\langle v_0 \rangle}{RC_0}.$$

In order to obtain the small signal model of the system, it is necessary to use the perturbation and linearization of (10). Assume that I_L , V_0 , V_{in} , and D are the DC value of $\langle i_L \rangle$, $\langle v_0 \rangle$, $\langle v_{in} \rangle$, and d , respectively, and \hat{i}_L , \hat{v}_0 , \hat{v}_{in} , and \hat{d} are their small AC values. The following equations are also assumed to be:

$$\begin{aligned} \langle i_L \rangle &= I_L + \hat{i}_L \quad \text{with } \hat{i}_L \ll I_L, \\ \langle v_0 \rangle &= V_0 + \hat{v}_0 \quad \text{with } \hat{v}_0 \ll V_0, \\ \langle v_{in} \rangle &= V_{in} + \hat{v}_{in} \quad \text{with } \hat{v}_{in} \ll V_{in}, \\ d &= D + \hat{d} \quad \text{with } \hat{d} \ll D. \end{aligned} \quad (11)$$

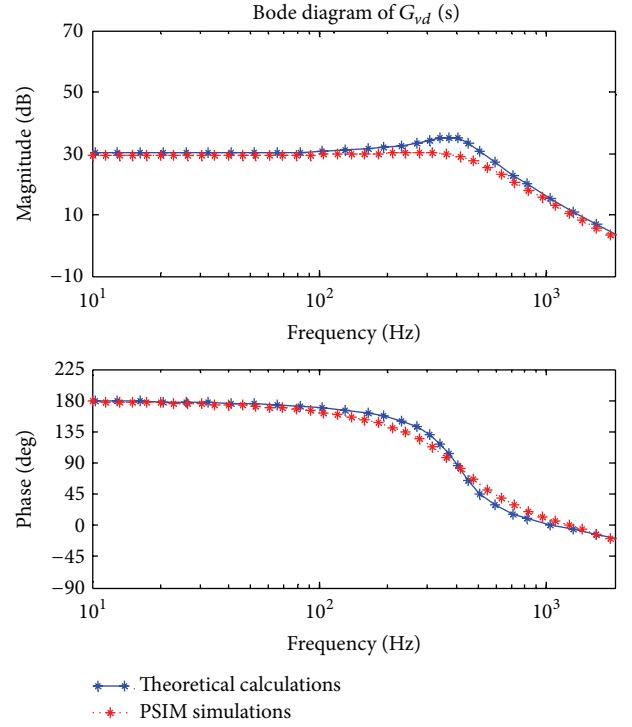


FIGURE 5: Bode diagram of $G_{vd}(s)$ from the theoretical calculations and PSIM simulations.

Therefore, the DC equilibrium point of the negative-output KY Boost converter can be calculated by substituting (11) into (10) and then extracting the DC values:

$$\begin{aligned} V_0 &= -\frac{V_{in}}{\left(1 + (1-D)^2/2fRC_0\right)(1-D) + (1-D)^2/2RfC_b}, \\ I_L &= \frac{V_{in}}{\left(1 + (1-D)^2/2fRC_0\right)R(1-D)^2 + (1-D)^3/2fC_b}. \end{aligned} \quad (12)$$

From (12), one can see that the DC output voltage and DC inductor current include not only the DC duty cycle and DC input voltage, but also the switching frequency f , load R , energy-transferring capacitor C_b , and output capacitor C_0 . Thus, (12) are very different from the results in [9].

By substituting (11) into (10) and detaching the AC values and neglecting the second and higher order AC terms since their values are very small, the small signal model of the negative-output KY Boost converter can be obtained:

$$\begin{aligned} \frac{d\hat{i}_L}{dt} &= \frac{\hat{v}_{in}}{L} + \frac{(1-D)(1+a)\hat{v}_0}{L} \\ &- \frac{(3aV_0 - 3bI_L + V_0)\hat{d}}{L} - \frac{b(1-D)\hat{i}_L}{L}, \end{aligned} \quad (13)$$

$$\frac{d\hat{v}_0}{dt} = -\frac{(1-D)\hat{i}_L - \hat{d}I_L}{C_0} - \frac{\hat{v}_0}{RC_0},$$

where $a = (1-D)^2/(2fRC_0)$ and $b = (1-D)^2/(2fC_b)$.

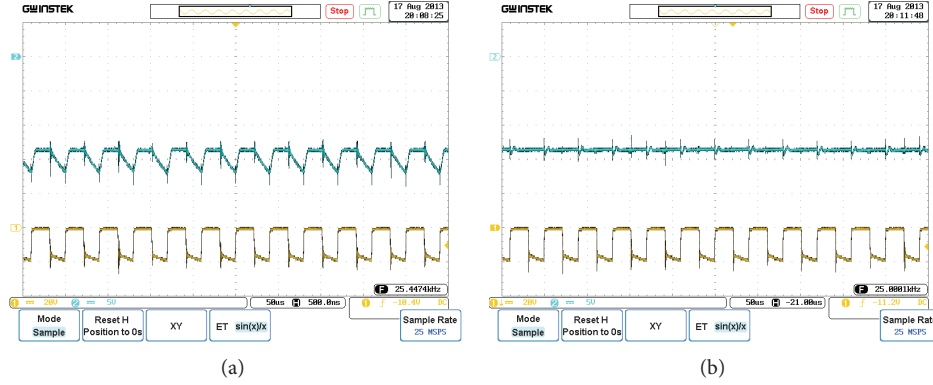


FIGURE 6: Time-domain waveforms from the circuit experiment with time scale: $50 \mu\text{s}/\text{div}$. (a) v_b (upper: $5 \text{ V}/\text{div}$) and v_s (lower: $20 \text{ V}/\text{div}$), (b) v_0 (upper: $5 \text{ V}/\text{div}$), and v_s (lower: $20 \text{ V}/\text{div}$).

Therefore, the transfer functions of the negative-output KY Boost converter can be derived by using Laplace transform on (13). Here, the transfer function from the duty cycle to the output voltage of the negative-output KY Boost converter is concerned. Its expression can be derived by making the perturbation of the input voltage be zero and then calculating $G_{vd}(s) = \hat{v}_0(s)/\hat{d}(s)$:

$$G_{vd}(s) = (sL + b(1-D))I_L + (V_0 + 3aV_0 - 3bI_L)(1-D) \times (s^2LC_0 + (GL + C_0b(1-D))s + Gb(1-D) + (1+a)(1-D)^2)^{-1}. \quad (14)$$

Under the given circuit parameters in Section 2, Bode diagram of $G_{vd}(s)$ is calculated and shown in Figure 5. Also, the PSIM simulations for Bode diagram of $G_{vd}(s)$, which is obtained from the original switch mode form, where no average model is required [14, 15], are shown in Figure 5. Thus, the theoretical calculations are in basic agreement with PSIM simulations. The subtle discrepancy is mainly caused by the assumption in theoretical derivations.

Additionally, the transfer functions from the input voltage to the output voltage ($G_{vv}(s)$), the input voltage to the inductor current ($G_{iv}(s)$), and the duty cycle to the inductor current ($G_{id}(s)$) are listed in appendix.

4. Circuit Experiments

According to Figure 1, the hardware circuit of the negative-output KY Boost converter is constructed by using IRFP460 and MUR1560 for realizing the power switch S and two diodes (D_1 and D_2), respectively. The digital oscilloscope GDS 3254 is applied to capture the measured time-domain waveforms in the probes and the Agilent E5061B LF-RF network analyzer is employed to capture the measured gain and phase in the probes. Under the given circuit parameters in Section 2, the time-domain waveforms from the circuit experiment for the voltage v_b and v_s are shown in Figure 6(a), and the voltage

v_0 and voltage v_s are shown in Figure 6(b). Comparing Figures 6(a) and 6(b) with Figures 3(a) and 3(b), respectively, it is found that they are in basic agreement with each other and it again demonstrates that the voltage v_b cannot be really considered as equaling v_0 within the whole switching period.

Moreover, Bode diagram of $G_{vd}(s)$ from the circuit experiment is tested by using Agilent E5061B LF-RF network analyzer and shown in Figure 7. Also, the corresponding theoretical calculations and PSIM simulations for Bode diagram of $G_{vd}(s)$ are shown in Figure 7. Thus, they are in basic agreement with each other. The subtle discrepancy is mainly caused by the assumption in theoretical derivations and PSIM simulations.

5. Conclusions

By using the averaging method and the geometric technique to calculate the average value, the average model and small signal model of the negative-output KY converter are established. The obtained DC equilibrium point shows that the DC output voltage and DC inductor current are affected by not only the DC duty cycle and DC input voltage, but also the switching frequency, load, energy-transferring capacitor, and output capacitor. Moreover, the transfer function from the duty cycle to the output voltage is also derived, and the theoretical calculations and PSIM simulations are in basic agreement with the circuit experiments. The obtained results here will be helpful for designing the negative-output KY Boost converter in practical engineering.

Appendix

The transfer function from the input voltage to the output voltage of the system, that is, $G_{vv}(s) = \hat{v}_0(s)/\hat{v}_{in}(s)$, is

$$G_{vv}(s) = (D-1) \left(s^2LC_0 + (GL + C_0b(1-D))s + Gb(1-D) + (1+a)(1-D)^2 \right)^{-1}. \quad (A.1)$$

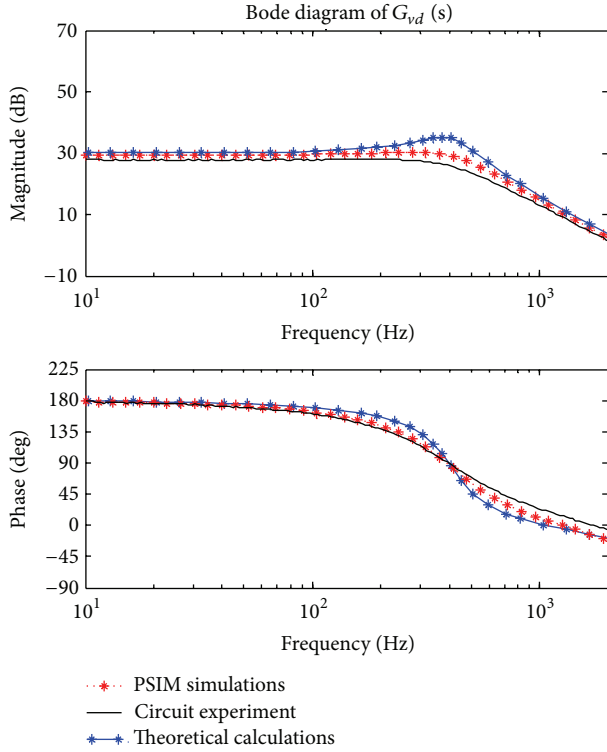


FIGURE 7: Bode diagram of $G_{vd}(s)$ from the PSIM simulations, circuit experiments, and theoretical calculations.

The transfer function from the input voltage to the inductor current of the system, that is, $G_{iv}(s) = \hat{i}_L(s)/\hat{v}_{in}(s)$, is

$$G_{iv}(s) = (sC_0 + G) \left(s^2LC_0 + (GL + C_0b(1-D))s + Gb(1-D) + (1+a)(1-D)^2 \right)^{-1}. \quad (\text{A.2})$$

The transfer function from the duty cycle to the inductor current of the system, that is, $G_{id}(s) = \hat{i}_L(s)/\hat{d}(s)$, is

$$G_{id}(s) = ((3bI_L - V_0 - 3aV_0)(sC_0 + G) + (1+a)(1-D)I_L) \times \left(s^2LC_0 + (GL + C_0b(1-D))s + Gb(1-D) + (1+a)(1-D)^2 \right)^{-1}. \quad (\text{A.3})$$

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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