



# Final overall survival in JO22903, a phase II, open-label study of first-line erlotinib for Japanese patients with *EGFR* mutation-positive non-small-cell lung cancer

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## Abstract

**Background** In Japan, the clinical efficacy of erlotinib monotherapy in *epidermal growth factor receptor (EGFR)* mutation-positive non-small-cell lung cancer was demonstrated in the phase II JO22903 trial, which reported a median progression-free survival of 11.8 months. Here we report final overall survival data from JO22903.

**Methods** JO22903 (JapicCTI-101085) was a single-arm, multicenter, phase II, open-label, non-randomized study of first-line erlotinib monotherapy in *EGFR* mutation-positive non-small-cell lung cancer. Eligible patients ( $\geq 20$  years) with stage IIIB/IV or recurrent non-small-cell lung cancer and confirmed activating mutations of *EGFR* (exon 19 deletion or L858R point mutation in exon 21) received oral erlotinib 150 mg/day until disease progression or unacceptable toxicity. The primary endpoints were progression-free survival and safety; overall survival was a secondary endpoint.

**Results** At the final analysis, 102 patients were included in the modified intent-to-treat population and 103 in the safety

population. Median follow-up was 32.3 months. Median overall survival was 36.3 months (95 % confidence interval 29.4–not reached). Subgroup analyses of overall survival suggested that the presence of brain metastases was a negative prognostic factor (median overall survival 22.7 months, 95 % confidence interval 19.6–29.4). The impact on overall survival of using versus not using *EGFR* tyrosine kinase inhibitors in any line of treatment following disease progression was unclear (median 32.8 versus 36.3 months, respectively). No new safety issues were observed.

**Conclusion** In this survival update, single-agent erlotinib achieved a median overall survival of more than 3 years in patients with *EGFR* mutation-positive non-small-cell lung cancer.

**Keywords** Erlotinib · *EGFR* mutations · Non-small-cell lung cancer (NSCLC) · First line · Japanese patients · Overall survival

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## Introduction

In non-small-cell lung cancer (NSCLC), platinum doublet chemotherapy followed by second-line docetaxel monotherapy [1] or pemetrexed maintenance therapy following first-line platinum doublet chemotherapy [2] prolongs survival outcomes for patients with non-squamous NSCLC. Based on the efficacy of these treatments, it has been anticipated that they will improve long-term survival of patients with epidermal growth factor receptor (*EGFR*) mutation-positive NSCLC after the administration of *EGFR* tyrosine kinase inhibitors (TKIs).

The treatment of NSCLC has changed considerably in recent years. Following the discovery of the pivotal oncogenic role of *EGFR* in unselected NSCLC [3, 4], the subsequent development of *EGFR* TKIs provided new therapeutic options for the treatment of this disease. Greater understanding of tumor biology has since led to the discovery that tumors with sensitizing *EGFR* mutations, particularly the somatic mutations in *EGFR* exons 19 and 21, respond favorably to *EGFR* TKIs compared with chemotherapy [5]. To reflect this, *EGFR* TKIs are recommended in clinical treatment guidelines for NSCLC.

Currently, gefitinib, erlotinib and afatinib are the only *EGFR* TKIs approved (US Food and Drug Administration, EU and Japan) for the treatment of *EGFR* mutation-positive NSCLC [6, 7]. These approvals were supported by data from several phase III clinical trials, which consistently reported that *EGFR* TKIs demonstrate significant progression-free survival (PFS) benefits compared with standard chemotherapy [8]. Median PFS with first-line gefitinib in *EGFR* mutation-positive NSCLC ranged between 9.6 and 10.4 months in the pan-Asian IPASS study of gefitinib versus carboplatin/paclitaxel [9], the Japanese NEJ002 study of gefitinib versus carboplatin-paclitaxel [10], and the WJTOG3405 study of gefitinib versus cisplatin/docetaxel [11]. However, despite similar PFS results with gefitinib in these studies, median OS was not consistent; the IPASS study reported a median OS of 21.6 months with gefitinib [9], whereas a longer median OS of 27.7 months was published in the NEJ002 study [10] and a median OS of 34.8 months was reported with gefitinib in the Japanese WJTOG3405 study [11].

Median OS with erlotinib in *EGFR* mutation-positive NSCLC was 22.7 months in the phase III OPTIMAL study of erlotinib versus gemcitabine plus carboplatin [12], and 22.9 months in the phase III EURTAC study of erlotinib versus chemotherapy [13]. However, as these two studies were conducted outside of Japan, the median OS with erlotinib in Japanese patients with *EGFR* mutation-positive NSCLC is currently unknown. PFS for the single-agent erlotinib arm of the Japanese phase II

JO25567 study was 9.7 months [14], which was similar to the 11.8 months median PFS (primary endpoint) reported for the phase II Japanese JO22903 study [15]. Here, we report final OS data with erlotinib monotherapy in the JO22903 study and present exploratory analyses of OS with respect to *EGFR* mutation subtype. We also evaluated whether OS was impacted by the use of post-progression therapy.

## Patients and methods

### Study design and patients

JO22903 (JapicCTI-101085) was a phase II, single-arm, multicenter, open-label, non-randomized study of first-line erlotinib monotherapy for the treatment of *EGFR* mutation-positive NSCLC. Full study design information has been previously published [15]. Briefly, the study was conducted at 25 centers in Japan. Patients were aged  $\geq 20$  years with stage IIIB/IV or recurrent NSCLC, with no prior chemotherapy, Eastern Cooperative Oncology Group performance status of 0 or 1, and tumors harboring confirmed activating mutations of *EGFR* (exon 19 deletions or L858R point mutations in exon 21). Patients were excluded if they had symptomatic brain metastases or if they had co-existence or history of interstitial lung disease (ILD). After discontinuation of the protocol treatment, patients were treated at the investigators discretion.

JO22903 was carried out in accordance with the Declaration of Helsinki and also the Japanese Good Clinical Practice Guidelines. All patients provided written informed consent for study participation. The study protocol was approved by the local ethics committees.

### Procedures

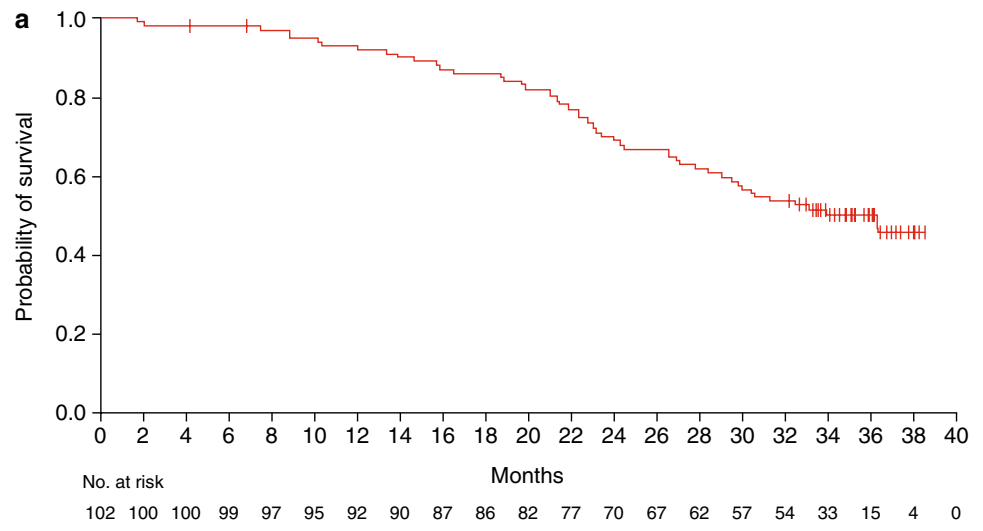
Full treatment procedures have been published previously [15]. Briefly, patients received oral erlotinib 150 mg/day until disease progression (PD) or unacceptable toxicity. Treatment was interrupted if ILD was suspected; for patients with confirmed ILD diagnosis, erlotinib was discontinued immediately. In cases of gastrointestinal perforation or any grade 4 adverse events (AEs), erlotinib was discontinued. Patients were screened for *EGFR* mutations in a local or central laboratory; *EGFR* mutation status was determined using Scorpion ARMS as described previously [15]. Lung and abdominal scans [computed tomography (CT)/magnetic resonance imaging (MRI)] were mandatory at baseline and during treatment until PD. Brain scans were mandatory at baseline (CT/MRI).

**Assessments**

Tumor response was assessed by an independent review committee (IRC) using Response Evaluable Criteria in Solid Tumours (RECIST) version 1.0. The analysis of safety parameters was descriptive; safety was assessed according to the Medical Dictionary for Regulatory

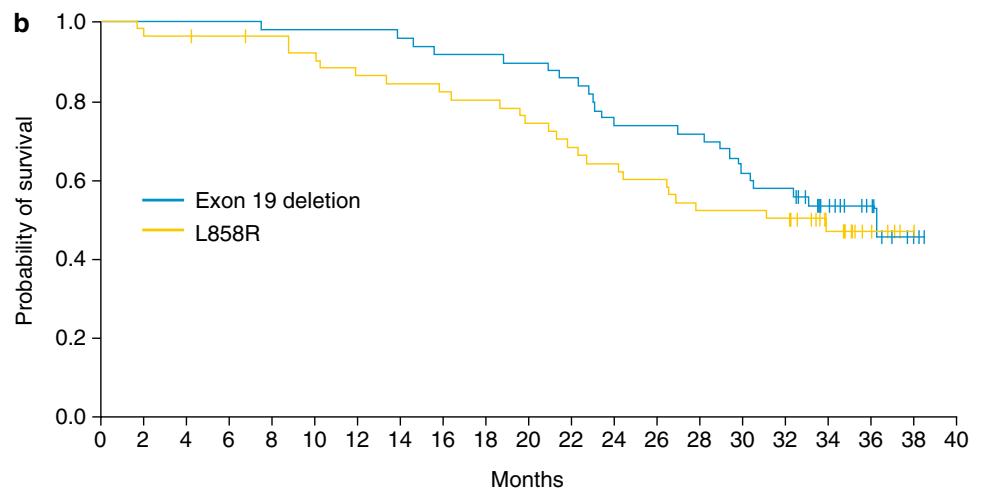
Activities (version 14.0) preferred terms and tabulated by grade. All patients who received at least one dose of study treatment were included in the safety population. A modified intent-to-treat (ITT) population was used for the efficacy analysis, which included all patients from the safety population without major protocol violations.

**Fig. 1** Overall survival with a erlotinib monotherapy in the modified ITT population and b by *EGFR* mutation type



| <i>n</i> | Events | Median OS (95 % CI), months | 1-year survival (95 % CI), % | 2-year survival (95 % CI), % | 30-month survival (95 % CI), % |
|----------|--------|-----------------------------|------------------------------|------------------------------|--------------------------------|
| 102      | 50     | 36.3 (29.4–NR)              | 92 (87–97)                   | 69 (60–78)                   | 57 (47–67)                     |

Follow-up (range): 1.6–38.5 months



| <i>EGFR</i> mutation | <i>n</i> | Events | Median OS (95 % CI), months | 1-year survival (95 % CI), % | 2-year survival (95 % CI), % | 30-month survival (95 % CI), % |
|----------------------|----------|--------|-----------------------------|------------------------------|------------------------------|--------------------------------|
| Exon 19 deletion     | 50       | 24     | 36.3 (29.9–NR)              | 98 (94–100)                  | 74 (62–86)                   | 62 (49–75)                     |
| L858R                | 52       | 26     | 34 (24.2–NR)                | 86 (77–96)                   | 64 (51–77)                   | 52 (38–66)                     |

## Study endpoints

The co-primary endpoints were PFS in the modified ITT population as assessed by IRC according to RECIST version 1.0, and safety. Secondary endpoints included OS and overall response rate.

## Statistical analyses

Kaplan–Meier methodology was used to estimate median and 95 % confidence intervals (CI) for OS, and hazard ratios (HR) were estimated by the use of a Cox model. CI limits were calculated according to the Greenwood method.

**Table 1** Subgroup analysis of median overall survival

| Characteristics             | <i>n</i> | Events | Median OS (months) | 95 % CI   |
|-----------------------------|----------|--------|--------------------|-----------|
| <b>Gender</b>               |          |        |                    |           |
| Female                      | 69       | 33     | 36.3               | 29.4–NR   |
| Male                        | 33       | 17     | 34.0               | 23.4–NR   |
| <b>Age</b>                  |          |        |                    |           |
| <75 years                   | 88       | 43     | 36.3               | 28.3–NR   |
| ≥75 years                   | 14       | 7      | 31.2               | 18.6–NR   |
| <b>Stage</b>                |          |        |                    |           |
| IIIB/IV                     | 77       | 43     | 31.2               | 26.5–NR   |
| Recurrence                  | 25       | 7      | NR                 | 28.3–NR   |
| <b>Smoking status</b>       |          |        |                    |           |
| Yes                         | 44       | 24     | 31.2               | 23.4–NR   |
| No                          | 58       | 26     | 36.3               | 29.8–NR   |
| <b>EGFR mutation status</b> |          |        |                    |           |
| Exon 19 deletion            | 50       | 24     | 36.3               | 29.8–NR   |
| L858R                       | 50       | 24     | 34.0               | 22.7–NR   |
| L858R + T790M               | 2        | 2      | 20.0               | 15.8–24.2 |
| <b>Brain metastases</b>     |          |        |                    |           |
| Yes                         | 21       | 16     | 22.7               | 19.6–29.4 |
| No                          | 81       | 34     | NR                 | 32.4–NR   |

CI confidence interval, EGFR epidermal growth factor receptor, OS overall survival, NR not reached

**Table 2** Characteristics of patients who had CNS progression in erlotinib treatment

| Number | Age (years) | Gender | ECOG PS | EGFR mutation | Baseline CNS metastases | Erlotinib dose at PD (mg) | PFS (days) | OS (days) |
|--------|-------------|--------|---------|---------------|-------------------------|---------------------------|------------|-----------|
| 1      | 50          | M      | 1       | 19 del        | No                      | 100                       | 106        | 420       |
| 2      | 55          | M      | 1       | 19 del        | Yes                     | 150                       | 335        | 823       |
| 3      | 55          | F      | 0       | L858R         | No                      | 150                       | 168        | 363       |
| 4      | 73          | F      | 1       | L858R         | Yes                     | 150                       | 80         | 266       |

CNS central nervous system, del deletion, ECOG PS Eastern Cooperative Oncology Group performance status, EGFR epidermal growth factor receptor, F female, M male, OS overall survival, PD progressive disease, PFS progression-free survival

## Results

### Patients

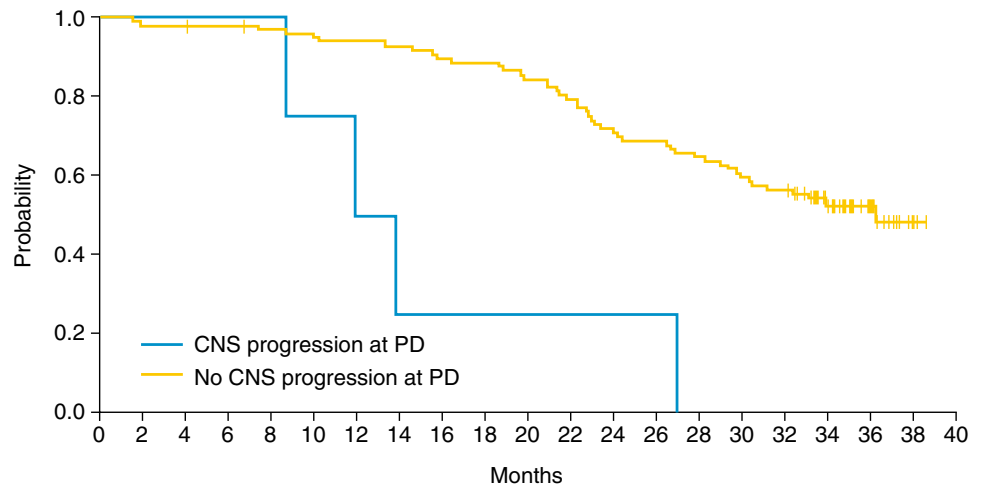
Patients were enrolled between April 2010 and October 2010. Median follow-up was 32.2 months. At the time of this final analysis, 103 patients with confirmed EGFR mutations were included in the study. The safety population comprised all 103 patients whilst the modified ITT population comprised 102 patients; one patient was excluded due to a major protocol violation (receipt of incorrect study medication) after enrolment.

Baseline patient characteristics have been previously published [15]. Briefly, the majority of patients were female ( $n = 70$ ), with stage IV disease ( $n = 74$ ), adenocarcinoma histology ( $n = 102$ ), and were never-smokers ( $n = 59$ ).

### Efficacy analyses

In the modified ITT population at the updated data cut-off, median OS with first-line erlotinib was 36.3 months (95 % CI: 29.4–not reached [NR]) based on the occurrence of 50 events. The 1-year survival rate was 92 % (95 % CI 87–97), the 2-year survival rate was 69 % (95 % CI 60–78) and the 30-month survival rate was 57 % (95 % CI 47–67) (Fig. 1a). Univariate subgroup analyses showed shorter OS in patients with brain metastases at baseline (median OS 22.7 months, 95 % CI 19.6–29.4) and in those with a T790M EGFR mutation (median OS 20.0 months, 95 % CI 15.8–24.2) (Table 1). When analyzed by the specific type of EGFR mutation, median OS was 36.3 months (95 % CI 29.9–NR) versus 34.0 months (95 % CI 24.2–NR), respectively, for patients with exon 19 deletion versus exon 21 L858R point mutation (HR 0.77, 95 % CI 0.44–1.35,  $p = 0.3662$ ) (Fig. 1b).

Four patients had PD with central nervous system (CNS) progression (Table 2; Fig. 2). Median OS was shorter in patients with CNS PD compared with those without (12.9 months [95 % CI 8.7–27.0] versus 36.3 months [95 % CI 22.9–NR]).

**Fig. 2** Overall survival by CNS progression

| CNS progression at PD | <i>n</i> | Events | Median OS (months) | 95 % CI  |
|-----------------------|----------|--------|--------------------|----------|
| Yes                   | 4        | 4      | 12.9               | 8.7–27.0 |
| No                    | 98       | 46     | 36.3               | 29.9–NR  |

### Post-progression therapy

Following PD, the majority of patients went on to receive either platinum doublet chemotherapy, with or without bevacizumab ( $n = 60$ ), further EGFR TKIs ( $n = 35$ ), or single-agent chemotherapy ( $n = 39$ ) (Table 3). In terms of second-line therapy, median OS was similar in patients who were treated with platinum doublet chemotherapy or other types of therapy [median OS 33.1 months (95 % CI 27.0–NR) versus NR, respectively; Fig. 3a]. The use of further EGFR TKIs in any line of treatment following PD also had no apparent impact on OS compared with not using an EGFR TKI as post-PD therapy in any line [median OS 32.8 months (95 % CI 26.6–NR) versus 36.3 months (95 % CI 27.8–NR), respectively; Fig. 3b].

### Safety

The safety profile of erlotinib did not change at this data update (Table 4) and was as previously reported [15]. The most common all grade treatment-related AEs were rash (82.5 %) and diarrhea (79.6 %), and the most common grade  $\geq 3$  treatment-related AEs were rash (14.6 %) and an increase in alanine aminotransferase (8.7 %).

### Discussion

EGFR TKIs are the standard of care for the first-line treatment of *EGFR* mutation-positive NSCLC [6, 7]. In Japan, the phase II, single-arm JO22903 study demonstrated efficacy of erlotinib monotherapy in *EGFR* mutation-positive NSCLC, with a reported median PFS of 11.8 months [15].

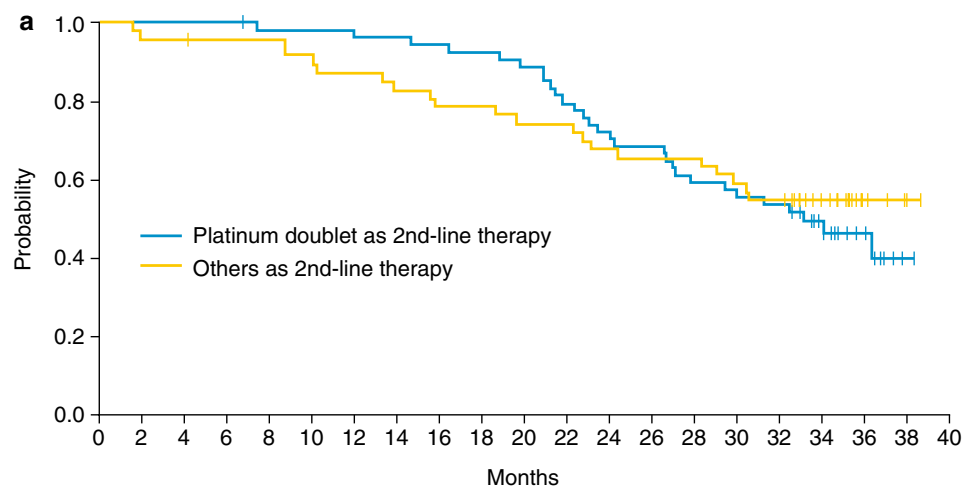
**Table 3** Therapies given upon disease progression (eight patients were receiving study treatment at data collection. Information was unavailable for ten patients)

| Therapy ( <i>n</i> )        | Second-line therapy | All lines of treatment |
|-----------------------------|---------------------|------------------------|
| Platinum doublet            | 46                  | 60                     |
| Without bevacizumab         | 31                  | 41                     |
| With bevacizumab            | 15                  | 21                     |
| EGFR TKI                    | 30                  | 35                     |
| Erlotinib                   | 21                  | 25                     |
| Gefitinib                   | 8                   | 14                     |
| Erlotinib + tivantinib      | 1                   | 1                      |
| Erlotinib + pemetrexed      | 0                   | 1                      |
| Gefitinib + pemetrexed      | 0                   | 1                      |
| Single-agent chemotherapy   | 7                   | 39                     |
| Docetaxel + bevacizumab     | 3                   | 4                      |
| Pemetrexed                  | 3                   | 15                     |
| Docetaxel                   | 1                   | 24                     |
| Pemetrexed + bevacizumab    | 0                   | 1                      |
| Platinum doublet + EGFR TKI | 1                   | 2                      |
| With erlotinib              | 1                   | 2                      |
| Others                      | 0                   | 16                     |

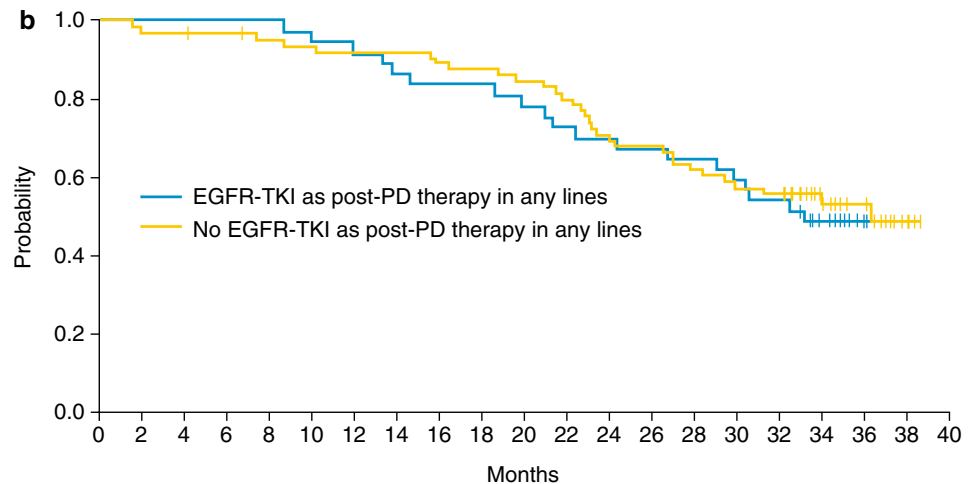
*EGFR TKI* epidermal growth factor receptor tyrosine kinase inhibitor

In this updated analysis of the JO22903 study, the 30-month OS rate was 57 % (95 % CI 47–67) and median OS was 36.3 months (95 % CI 29.4–NR). These findings represent

**Fig. 3** Overall survival by post-PD therapy with **a** second-line platinum doublet chemotherapy and **b** EGFR TKI in any line



| 2nd-line therapy | <i>n</i> | Events | Median OS (months) | 95 % CI |
|------------------|----------|--------|--------------------|---------|
| Platinum doublet | 55       | 29     | 33.1               | 27.0–NR |
| Others           | 47       | 21     | NR                 | 28.3–NR |



| Post-PD therapy in any lines | <i>n</i> | Events | Median OS (months) | 95 % CI |
|------------------------------|----------|--------|--------------------|---------|
| EGFR-TKI                     | 36       | 19     | 32.8               | 26.6–NR |
| No EGFR-TKI                  | 66       | 31     | 36.3               | 27.8–NR |

a more favorable OS than observed in previous studies of first-line erlotinib in *EGFR* mutation-positive NSCLC outside Japan (median OS range 22.9–26.3 months [12, 13, 16]), and are in line with results from prospective studies of other EGFR TKIs in Japanese populations (median OS range 27.7–34.8 months [10, 11]). Recently, a median OS of 46.9 months was reported for Japanese patients who received afatinib in the LUX-Lung 3 study [17], which was longer than that observed in the entire study population [18]. Across these studies, the median PFS values observed in Japanese and global populations were very similar, at approximately 1 year [10–13, 16–18]. Thus, it seems that the current treatment landscape in Japan may be contributing to a longer OS compared with non-Japanese

populations, and that OS in Japanese populations can reasonably be expected to reach beyond 3 years.

Although patients with brain metastases have a poor prognosis, which is reflected by the shorter median OS for this subgroup, the findings of this present analysis suggest that erlotinib could be considered effective for patients with brain metastases, as only four patients had CNS progression. This finding is consistent with the phase II ASPIRATION study in Asian patients, which reported that just 4.3 % of patients treated with post-PD erlotinib had new brain lesions [19]. This role for EGFR TKIs has also been observed in populations not restricted to Japanese or Asian patients [20–22]. A case series of 15 patients with NSCLC with *EGFR* mutations and CNS metastases who received

**Table 4** Treatment-related adverse events, all grades ( $\geq 30\%$ ) and grade  $\geq 3$  ( $\geq 5\%$ )

|                    | All grades ( $\geq 30\%$ )<br><i>n</i> (%) | Grade $\geq 3$ ( $\geq 5\%$ )<br><i>n</i> (%) |
|--------------------|--|---|
| Rash               | 85 (82.5)                                  | 15 (14.6)                                     |
| Diarrhea           | 82 (79.6)                                  | 0 (0.0)                                       |
| Dry skin           | 82 (79.6)                                  | 0 (0.0)                                       |
| Paronychia         | 69 (67.0)                                  | 0 (0.0)                                       |
| Stomatitis         | 65 (63.1)                                  | 0 (0.0)                                       |
| Pruritus           | 67 (65.0)                                  | 0 (0.0)                                       |
| Decreased appetite | 35 (34.0)                                  | 0 (0.0)                                       |
| ALT increased      | 33 (32.0)                                  | 15 (14.6)                                     |

ALT alanine aminotransaminase

cerebrospinal fluid concentration (CSF) examinations during EGFR TKI treatment provides further evidence to support this conclusion. In this case series, CNS response rate was 57 % with a favorable penetration rate of erlotinib in the CSF [23]. The penetration rate of erlotinib may be dependent on its affinity for p-glycoprotein, which pumps drugs out of the CNS. These findings suggest that erlotinib has a favorable pharmacokinetic profile as a treatment option for patients with brain metastases.

Patients with an exon 19 deletion appeared to have longer OS in our analysis than those with exon 21 L858R EGFR mutation-positive NSCLC. This is similar to the results of a meta-analysis of seven trials ( $n = 1649$ ), which concluded that patients with an exon 19 deletion had better efficacy outcomes than patients with exon 21 L858R EGFR mutation-positive NSCLC, regardless of which EGFR TKI they received [24]. These data suggest that patients with exon 19 deletion and exon 21 L858R EGFR mutation are clinically distinct populations that should be evaluated further.

In the present study, there was no apparent difference in OS according to subsequent treatments. Median OS was similar for patients who received EGFR TKIs as post-PD therapy ( $n = 36$ ), which were mainly continuous erlotinib administration following RECIST PD ( $n = 21$ ) (Table 3), and for those who did not. In contrast to our findings, in a retrospective study of patients with activating EGFR mutations ( $n = 123$ ) who were treated with EGFR TKIs, OS showed a trend in favor of continuing versus discontinuing EGFR TKI treatment following RECIST PD (33.0 versus 21.2 months, respectively;  $p = 0.054$ ) [25]. Furthermore, a retrospective clinical modeling study that evaluated the usefulness of EGFR TKI failure pattern for selecting subsequent management, suggested that the efficacy of EGFR TKI continuation differed between patients with gradual progression, local progression, and dramatic progression [26]. Thus, one hypothesis for the inconsistency between

studies is the difference in the EGFR TKI failure pattern. Meanwhile, in the present study, various EGFR TKIs were used as post-PD therapy (i.e., erlotinib beyond progression, erlotinib re-challenge after another treatment, and other therapies), which should be noted as one of the limitations. As effective post-PD therapy options are important for patients with disease recurrence, any benefit of EGFR TKI re-administration or continuation after PD requires further study.

At this updated analysis, no new safety signals for erlotinib were observed; single-agent erlotinib was well tolerated and had an acceptable and manageable safety profile in EGFR mutation-positive NSCLC. The safety profile of erlotinib was also in line with previous studies of first-line erlotinib [13], with the most common AEs being rash and diarrhea.

In conclusion, single-agent erlotinib resulted in a median OS of 36.3 months in the first-line treatment of EGFR mutation-positive NSCLC. Subgroup analyses of OS suggested that the presence of brain metastases was a negative prognostic factor, as these patients had shorter median OS compared with other subgroups. No further differences in OS between specific EGFR subgroups were observed. Although many patients went on to receive additional EGFR TKI therapy following progression, there was no significant difference in median OS for patients who received EGFR TKI as post-PD therapy compared with those who did not. The findings of this single-arm study should be validated in randomized controlled trials.

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#### Compliance with ethical standards

**Conflict of interest** Noboru Yamamoto received honoraria from AstraZeneca, Eli Lilly, Pfizer and Chugai Pharmaceutical. He also received research funding from Daiichi-Sankyo, Kyowa-Kirin, Chugai Pharmaceutical, Eli Lilly, Takeda, Quintiles, Bristol-Myers Squibb, Astellas, Taiho, Pfizer, Novartis and Eisai. Koichi Goto received research funding from Chugai Pharmaceutical. Makoto Nishio received honoraria from Chugai Pharmaceutical, Boehringer Ingelheim and AstraZeneca. He also received research funding from Chugai Pharmaceutical and AstraZeneca. Kenichi Chikamori received honoraria from Chugai Pharmaceutical. He also received research funding from Chugai Pharmaceutical and Bristol-Myers Squibb. Toyooki Hida received honoraria from Chugai Pharmaceutical, Taiho, AstraZeneca and Boehringer Ingelheim. He also received research funding from Chugai Pharmaceutical, Taiho, AstraZeneca, Boehringer Ingelheim, Clovis Oncology and Astellas. Makoto Maemondo received honoraria from Chugai Pharmaceutical, AstraZeneca and Boehringer Ingelheim. He also received research funding from Chugai Pharmaceutical, AstraZeneca and Boehringer Ingelheim. Nobuyuki Katakami received honoraria and research funding from Chugai Pharmaceutical. Toshiyuki Kozuki received honoraria from

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