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1 **D-GENIES : Dot plot large GENomes in an** 2 **Interactive, Efficient and Simple way**

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8 **ABSTRACT**

9 Dot plots are widely used to quickly compare sequence sets. They provide a synthetic similarity overview,
10 highlighting repetitions, breaks and inversions. Different tools have been developed to easily generated
11 genomic alignment dot plots, but they are often limited in the input sequence size. D-GENIES is
12 a standalone and WEB application performing large genome alignments using minimap2 software
13 package and generating interactive dot plots. It enables users to sort query sequences along the
14 reference, zoom in the plot and download several image, alignment or sequence files. D-GENIES is an
15 easy to install open source software package (GPL) developed in Python and JavaScript. The source
16 code is available at <https://github.com/genotoul-bioinfo/dgenies> and it can be tested at
17 <http://dgenies.toulouse.inra.fr/>.

18 **INTRODUCTION**

19 Dot plots are commonly used to visually compare two sets of sequences. They present insertions, deletions,
20 inversions or repeats in an easily understandable manner. They can represent similarity differences using
21 variable line thickness, line forms or colors. With the increasing numbers of genome assemblies produced
22 there is a need for simple-to-use and efficient tools to produce dot plots of large genomes.

23 Existing Dot plot tools can be classified in two generations. The first, and oldest, comprises command
24 line tools producing static graphics and includes among others `tupple_plot` Szafranski et al. (2006) and
25 `dot-matrix` Sonnhammer and Durbin (1995). They usually chain two processing steps, the first of which
26 produces a match files used in the second step which renders the graphical output. They are often limited
27 to single sequence fasta files and do not enable any interaction with the produced graphic. Both mentioned
28 tools are only running on Unix computers. The software packages of the second generation have been
29 developed in java in order to be platform independent and user friendlier. They include tools such as
30 `JDotter` Brodie et al. (2004), `Gepard` Krumsiek et al. (2007) and `r2cat` Husemann and Stoye (2009).
31 The user interaction permits to add new dynamic features such as sequence orientation and ordering to
32 maximize the diagonal alignment matches in order to ease the visual comparison. These tools are also
33 limited in the size of processed sequences. For example, `Guepard` takes over an hour to align Human
34 chromosomes 1 versus itself and plot the result.

35 A new generation of JavaScript based dot plot visualization tools emerges. One of its early members
36 is <https://dnanexus.github.io/dot/>. To render the graphic, users have to generate the
37 coordinate and index files. They can add annotations which will be displayed in the graph margins.

38 We present hereafter D-GENIES, an interactive, rapid and easy to use standalone and WEB application
39 permitting to produce a complete human versus chimpanzee genome dotplot in one hour and ten minutes.

40 **PROGRAM FEATURES**

41 **Fast dotplot computation**

42 D-GENIES takes advantage of `minimap2` Li (2017), one of the latest nucleic sequence alignment program
43 which is able to map very large lowly similar multi-fasta files. D-GENIES can only produce dot plots
44 for nucleic sequences. In order to limit memory consumption and lower processing time, the program

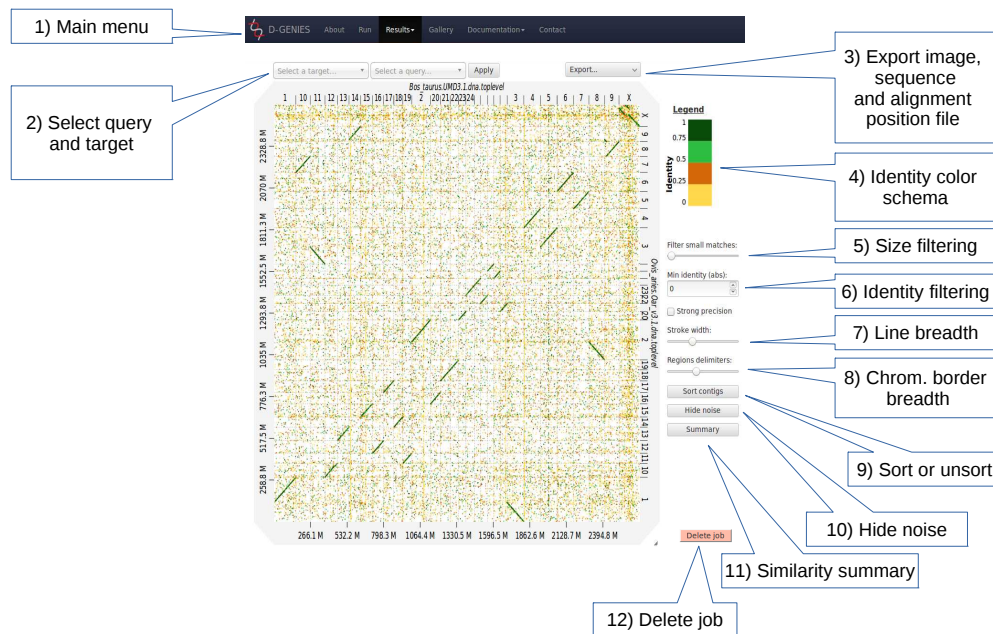


Figure 1. Result page view. 1) main menu to navigate D-GENIES pages. 2) reference and query sequence drop down selection boxes and button to zoom in the alignment. 3) Export menu to download image files (PNG and SVG), alignment, ordered query and unaligned query or reference fasta files. 4) Identity color panel. 5) Match size filtering slider. 6) Identity filtering entry and check boxes. 7) Line width slider. 8) Reference and query border horizontal and vertical border line slider. 9) Query sort and unsort button. 10) Noise filtering button. 11) Similarity summary button. 12) Delete job button.

45 splits large sequence queries, such as chromosomes, in ten mega-base chunks and merges consecutive
 46 matches to produce the final alignment file. Processing time and memory consumption are presented in
 47 the corresponding paragraph hereafter. minimap2 can easily be replaced by any other aligner generating
 48 PAF (Pairwise mApping Format) files.

49 **Simple interactive user friendly interface**

50 The PAF file is rendered in the dotplot by a javascript client developed with d3.js <https://d3js.org/>.
 51 To limit drawing time, only the hundred thousand largest alignments are shown in the dotplot.

52 Both, standalone and WEB application are accessed through a WEB-browser. The page top menu (**Fig**
 53 **1.**) permits to launch a new alignment, visualize results, browse the example gallery or documentation
 54 and send an email for support. To produce a dot plot, a user clicks on the **Run** menu item and fills three
 55 input boxes. A modifiable job name is automatically attributed to the dot plot. The user email address is
 56 mandatory. The application will send a message once the dot plot is rendered. Both, query and target fasta
 57 files can be uploaded from the local machine or given URLs. Reference and query files can be compressed
 58 in gzip format. If no query file is provided, the reference will be aligned on itself and all trivial matches
 59 corresponding to same sequence and same positions will be removed. After hitting the submit button, the
 60 user can follow the upload and processing progression presented with different texts and progress bars.
 61 Once the job is ended, an email containing the result page link is sent to the user. The same link appears
 62 in the monitoring page. If a user has several stored results, they can be accessed using the drop down
 63 menu of the **Results** menu item.

64 The result page (fig. 1), when first accessed, presents the dot plot following the fasta files sequence
 65 order. The alignment matches are presented as colored lines on the graphical panel. The colors correspond
 66 to similarity values which have been binned in four groups (less than 25%, between 25 and 50%, between

67 50 and 75% and over 75% similarity). For colorblind users, clicking on the color scale modifies the
68 schema. Three color schema are already available and others can be easily added. The graphical panel top
69 and right margins display sequence names. Depending on the sequence and name lengths, the names will
70 be fully or partially presented. In order to ease visualization, all sequences smaller than 0.2 percent of the
71 total length are merged in a unique super-sequence for which the margin is grayed. The left and bottom
72 margins show the sequence size scales.

73 At the top of the graphical panel, the user will find, on the left, two drop down text areas and a
74 button enabling to select query and target sequences to zoom to, and on the right the **Export** menu. The
75 other way of zooming in the graphical panel is to click on a given square or to push the CTRL key while
76 turning the mouse wheel forward to zoom in and backward to zoom out. To come back to the initial view
77 the user will click on the icon in the top right angle of the graphical panel, or press the escape key. The
78 **Export** menu enables to retrieve the graphic as a PNG or SVG file, suited for publication, the PAF match
79 file and the association table which links each query with the corresponding reference sequence, as well as
80 the ordered query fasta file. The unaligned query and reference sequences as well as the query sequences
81 reorganized following the reference organization can also be retrieved using this menu.

82 On the graphic right (**Fig 1.**), users will have access to several buttons, sliders and input boxes enabling
83 to change color schema, filter matches on their similarity and size or because they are seen as noise,
84 modify match or border size as well as sort query sequences relatively to the reference. A match is
85 considered noise if its size is small and its size frequency is quite high. Therefore we group matches by
86 size bins, the number of bins corresponds to one tenth of the number of alignments, the bins are scanned
87 in increasing size order to find the most represented one and from this one the one corresponding to one
88 percent of its count is searched. All the alignments in bins smaller in size than this one are considered
89 noise. The delete job button located at the bottom right of the diagram can be used to discard obsolete
90 results.

91 If after sorting, the query sequence orientation does not correspond to the users expectation, it can
92 be changed by right clicking in the graphic and selecting **Reverse query**. Right clicking enables also to
93 export the complete graphic in PNG or SVG format.

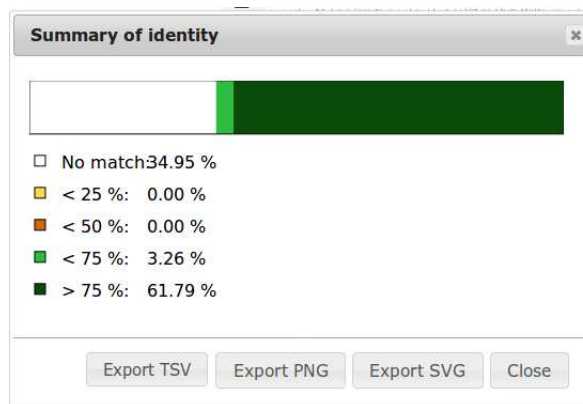


Figure 2. Example of identity summary

94 To ease dot plot comparison, clicking the **Summary** button generates a bar graph presenting the
95 reference similarity profile (**Fig 2.**), meaning the sums of the projections of the matches on the reference
96 per similarity category divided by the total reference length. This graph is produced after sorting the query
97 along the reference, removing included matches and noise filtering; result not shown on the graphical
98 panel. It gives a realistic view of the overall reference and query similarity which is often not very
99 precisely measured through visual inspection.

100 All these features are documented in the **Documentation** menu item of the main menu. The **Gallery**
101 menu item give access to several examples also presented in the “processing time” section of this article.

102 **Easy stand-alone or WEB server installation**

103 D-GENIES can be installed and run as a stand-alone application on Unix or MS-Windows or as a WEB
104 server on Unix only. It uses Flask framework <http://flask.pocoo.org/> back-end to serve WEB

105 pages and submit jobs. In stand-alone mode only one process should be run in a given instance. In WEB
106 server mode several processes will be run simultaneously. Three steps are time and disk space consuming
107 when working with large genomes : file upload, alignment and data preparation. D-GENIES uses three
108 mechanisms to ensure robustness.

109 When installed as a WEB server, it can use a computer cluster to run the memory and disk intensive
110 processes through the DRMAA layer. It also uses a local scheduler storing jobs in a MySQL database
111 which defines process order and manages concurrency on the available cores. Because files can be large
112 and may saturate the server their size is tested before upload. DRMAA, MySQL parameters and maximum
113 file size are set in the configuration file.

114 The file folder storing the input and output files can be cleaned using the delete job button in stand-
115 alone or WEB server mode. A cron job deleting files having more than a given number of days can also
116 be launched periodically in WEB server instances.

117 The software package can be installed using the `- pip install dgenies -` command and and run with
118 the `- dgenies run -` command. By default, under Unix, all data is stored in the user `.dgenies` folder and if
119 needed the `application.properties` configuration file located in the `/etc/dgenies` folder can be updated.

120 The source code can be downloaded from <https://github.com/genotoul-bioinfo/dgenies>.

121 Memory consumption and processing times

122 D-GENIES has been tested on various reference and query fasta files coming from Ensembl 91 <https://www.ensembl.org/>. Test results presented in **Table 1**. have been performed on a 32 cores Intel(R)
123 Xeon(R) CPU E5-2670 v2 @ 2.50GHz with 256GB RAM server, using 4 cores for the minimap2
124 alignments. The results of the tests are presented in table 1.
125

| Reference genome | Query genome | CPU time | Maximum RAM usage |
|------------------------|------------------------|-----------------------|-------------------|
| Human (3.5 Gb) | Chimpanzee (3.4 Gb) | 67 minutes 14 seconds | 36 GB |
| Mouse (3.4 Gb) | Rat (3.0 Gb) | 39 minutes 54 seconds | 24 GB |
| Cow (2.6 Gb) | Sheep (2.5 Gb) | 43 minutes 3 seconds | 27 GB |
| A. thaliana (135 Mb) | A. lyrata (206 Mb) | 1 minute 4 seconds | 2GB |
| Poplar (417 Mb) | Vine (486 Mb) | 3 minutes 21 seconds | 8GB |
| Brassica rapa (284 Mb) | Brassica rapa (284 Mb) | 2 min 52 s | 8.3 Gb |

Table 1. Processing time and memory consumption table for Ensembl 91 datasets.

126 WEB portal

127 D-GENIES can be tested using the <http://dgenies.toulouse.inra.fr/> portal which permits
128 to process up to 3 Gb reference and query sequence fasta files.

129 CONCLUSION

130 New alignment algorithms and JavaScript visualization libraries enable to develop a third generation of
131 dot plot applications. This generation is able to process large genomes in reasonable time and provides
132 user-friendly graphical interfaces. Even if D-GENIES has been developed to process large genomes it is
133 also suited for small or medium size genomes.

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