

# Review Article

# The Technological Development of Minimally Invasive Spine Surgery

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Minimally invasive spine surgery has its roots in the mid-twentieth century with a few surgeons and a few techniques, but it has now developed into a large field of progressive spinal surgery. A wide range of techniques are now called "minimally invasive," and case reports are submitted constantly with new "minimally invasive" approaches to spinal pathology. As minimally invasive spine surgery has become more mainstream over the past ten years, in this paper we discuss its history and development.

# 1. Introduction

Although humans have attempted to treat spinal pathology since the times of Hippocrates and Paul of Aegina, minimally invasive spine surgery has only been recently developed in the past 50 years. However, in that time, remarkable innovation has occurred in terms of the indications for its use and the procedures performed. The goal of minimally invasive surgery, to reduce iatrogenic tissue trauma and thus reduce resultant postoperative pain and disability for patients, is one appealing to patients and surgeons alike. We will describe in this paper some of the major developments in minimally invasive spine surgery. However, we must emphasize that there is no specific timeline for minimally invasive spine surgery as many of these developments occurred concurrently and interdependently.

# 2. Improvements in Visualization Improving Technique

*2.1. Spinal Endoscopy.* Some of the earliest advancements in attempting to create a more minimally invasive spine procedure stemmed from improving visualization. In 1931, Burman

introduced the concept of myeloscopy for direct spinal cord visualization [1]. In 1938, Pool expanded on Burman's work of myeloscopic inspection of the cauda equina and in 1942 introduced the concept of intrathecal endoscopy. He reported the results of more than 400 myeloscopic procedures [2, 3]. Myeloscopy fell out of favor for a time because of the morbidity associated with insertion of a large-bore scope into the dural cavity. The state of spinal endoscopy remained essentially the same until Ooi et al. [4] used an endoscope to examine the intrathecal space before surgery. Using improved technology, Ooi et al. [5] were able to describe pathological features in greater detail, including chronic arachnoiditis and nerve root excursion during claudication associated with lumbar spinal stenosis.

2.2. Thoracoscopic Spine Surgery. Jacobeus, a professor of internal medicine in Stockholm, Sweden, is credited with performing the first thoracoscopic procedure in 1910 [6]. As an internist, his major aim was to observe the pleural space in the diagnosis and treatment of pulmonary tuberculosis. After his initial diagnostic procedure, Jacobeus described the technique of lysis of tuberculous pleural adhesions, which was performed with a cystoscope and a heated platinum

loop [7]. In 1990, with the introduction of video imaging to standard endoscopy, the modern era of thoracoscopy began. Mack et al. [8] in the United States and Rosenthal et al. [9] in Europe first reported the technique of videoassisted thoracoscopic surgery (VATS) in 1993 and 1994. Thoracoscopic spine procedures were initially implemented for disc herniations, sympathectomies, pathologies of the vertebral body, abscess drainage, and tumor biopsies. In the ensuing years, it has been implemented for scoliosis correction, anterior interbody fusion, osteotomies and bone grafting, corpectomies, and vertebral instrumentation in the treatment of tumors and fractures.

2.3. Percutaneous Arthroscopic Discectomy. Ottolenghi [10] in Argentina in 1955 and Craig [11] in 1956 described posterolateral biopsy of the spine. In 1975, Hijikata et al. [12] demonstrated a percutaneous nucleotomy by utilizing intradiscal arthroscopic techniques for disc removal in the treatment of posterior or posterolateral lumbar disc herniations under local anesthesia. After discography using Evans blue dye, specifically designed instruments were placed in a 5 mm cannula and inserted against the lateral annulus. A circular incision was made in the annulus, and the bluestained nucleus pulposus was removed with pituitary forceps. Refinements to the technique involved the use of an automated system.

In 1983, Kambin and Gellman [13] performed a discectomy by inserting a Craig cannula and a small forceps into the disk space after an open laminectomy to evacuate the nucleus pulposus and observed the effects on the surrounding anatomic features. In 1985, Onik et al. [14] reported the development of a 2 mm blunt-tipped suction-cutting probe for automated percutaneous discectomy at L4-L5 or higher levels. Their reported outcomes indicate an overall success rate of 75%, with a complication rate of 1%.

Subsequent developments led to the design of a 2.7 mm glass arthroscope combined with a videodiscoscope with a single working portal [15]. This development enabled observation of periannular structures, including the foramen and the spinal nerve. Arthroscopic disc surgery allows removal of herniated discs via a posterolateral approach. This is accomplished with biportal access via triangulation into the intravertebral disc with inline irrigation and suction [15].

Numerous studies on the efficacy of arthroscopic disc surgery have been published. Kambin and colleagues [13, 16] reported an 88% excellent or good outcome rate with arthroscopic microdiscectomy, and others have reported similar success. In a prospective randomized study evaluating the efficacy of microscopic disc surgery compared with endoscopic disc extraction, Mayer and Brock [17] achieved favorable outcomes with minimal complications using the percutaneous arthroscopic technique.

2.4. Laparoscopic Lumbar Spine Surgery. The modern era of laparoscopy began in the 1980s when Kurt Semm performed the first appendectomy in Germany [18]. Semm, a physician and an engineer, developed many tools that are still in use. The first human laparoscopic cholecystectomy was performed in 1987 by Dubois et al. [19]. With the advantages

of laparoscopic exposure being championed by urological, gynecological, and general surgeons, it is natural that spine surgeons would consider extending these technologies to the anterior lumbar spine. The advantages of transperitoneal laparoscopic spinal surgery include improved observation of surgical anatomic features, marked reductions in postoperative pain, early hospital discharges, and reduced incidence of postoperative ileus. In 1991, Obenchain [20] reported the first use of a laparoscopic approach to the lumbar spine for a discectomy. Regan et al. [21] described the technique and reported preliminary results for laparoscopic anterior lumbar fusion. Gaur [22] was the first to describe an endoscopic retroperitoneal approach for urological procedures, and Fessler first described this retroperitoneal endoscopic approach in the lumbar spine in 1992 and a lumbar fusion via this technique in 1997 [23]. McAfee et al. demonstrated that they too had good results in eighteen patients in 1998 [24].

# 3. Minimally Invasive Methods Treating Disc Pathology

3.1. Chemonucleolysis. In 1941, Eugene Jansen and Arnold Balls isolated chymopapain from crude papain which had been derived from the latex of *Carica papaya* [25]. Lewis Thomas intravenously injected rabbits with crude papain in 1956 and observed that their ears drooped [26]. Intrigued by its potential uses, Smith et al., in 1963, were the first to inject chymopapain in a herniated nucleus pulposus for the treatment of sciatica [27]. This process, called chemonucleolysis, alters the characteristics of the nucleus pulposus by liberation of chondroitin sulfate and keratin sulfate through hydrolysis of noncollagenous mucopolysaccharide proteins, leading to polymerization of the nucleus pulposus.

Three double-blinded studies reported the efficacy of chemonucleolysis to be 74% and in 13 retrospective studies it was reported as 77% [28–30]. Nordby et al. had an 87.2% success rate in over 3000 patients, but as Phase III trials demonstrated mixed results, chemonucleolysis was not uniformly adapted in orthopedic and neurosurgical communities [30–32]. However, literature reviews demonstrate that chemonucleolysis can still be safely and effectively used for treatment of disc herniation as long patients are carefully selected and a proper injection technique is used [30, 33–36].

3.2. Percutaneous Laser Discectomy. Ascher and Heppner [37] were the first to use the technique of percutaneous laser discectomy to treat lumbar disc disease. With fluoroscopic verification of the level and placement of the needle and coupling through a fiber, laser energy is passed into the disc space. The laser energy is transmitted in short bursts to avoid excessive heating of the adjacent tissues. Their technique involved measuring the intradiscal pressure before and after laser discectomy using a saline manometer. They postulated that the removal of even a small volume of tissue from the disk caused a corresponding decrease in intradiscal pressure [38].

The results of percutaneous laser disc decompression in cases involving back and leg pain with disc protrusions are still unclear. No controlled prospective studies have been performed to evaluate the results of percutaneous laser discectomy. The largest experience in the literature was reported by Choy et al. [39]. They reported an 87.4% excellent result rate in a study of 333 patients, with a mean follow-up of 26 months. Early experience with the KTP/532 laser device was reported by Davis [40], who achieved an 85% success rate. Yeung [41] reported good to excellent results in 86.4% with the KTP/532 device. Fiume et al. found no differences between the treated and control groups by analyzing responses to pain questionnaires or by becoming aware of physical symptoms [42].

3.3. Intradiscal Electrical and Radiofrequency Thermocoagulation. Intradiscal electrical thermocoagulation (IDET) and percutaneous intradiscal radiofrequency thermocoagulation (PIRFT) have been used to treat primary discogenic back pain, mostly pain derived from internal disk disruption and annular tears. IDET involves threading a flexible heating electrode percutaneously into the disc, such that the electrode passes circumferentially around the inner surface of the disc. The heating of the electrode denatures the collagen of the annulus and coagulates the pain fibers supplying the annulus. PIRFT is thought to work via the same mechanism, except the heat is generated by energy from a radiofrequency probe.

In 2000, J. A. Saal and J. S. Saal [43] reported on 62 patients with low back pain treated with IDET; 71% of patients experienced a mean improvement of 3 points in their VAS back pain score. At two-year follow-up, Bogduk and Karasek found that patients with IDET did significantly better than those who did not receive the treatment with 54% of treated patients achieving at least 50% relief of their pain and no longer using opioids and returning to work [44]. Two prospective randomized trials demonstrated pain relief of IDET, although these studies were limited by total sample sizes of 64 and 57 patients [45, 46]. In 2005, Kapural et al. prospectively matched 42 patients for either IDET or PIRFT and concluded that patients who received IDET had significantly improved pain scores than those who received PIRFT [47]. Recently the treatments of IDET and PIFRT have fallen out of favor as recent systematic reviews concluded that there was a paucity of evidence demonstrating benefit [48, 49].

#### 4. Bone Augmentation

The spine is composed of a rich trabecular lattice of cancellous bone encased in a hard cortical shell. Moreover, the spine is exposed to degrees of compressive loads and tensile stresses that are in symbiotic biomechanical play with the inner and outer matrices of the vertebral bodies. Osteoporotic bone loss or neoplastic invasion of the vertebral bodies results in erosion of the cancellous network and development of vertebral compression fractures (VCFs), which can contribute to debilitating pain, neurological deficit, gross spinal instability, and resultant deformity. Surgical management involves considerable risk because of the high prevalence of significant comorbidities in these patients. Surgical decompression and reconstruction involves internal fixation using screws, plates, wires, cages, or rods and requires extensive surgical exposure. The time required for recuperation from open fixation procedures can be lengthy. Obtaining satisfactory fixation in osteoporotic bone can be technically difficult, and the failure rate for spinal arthrodesis is significant.

4.1. Vertebroplasty. In an attempt to reduce such invasive operative treatment, percutaneous vertebroplasty (PVP) was developed in 1984 by Galibert and Déramond [50] in France as a minimally invasive outpatient procedure to offer immediate pain relief by the injection of polymethylmethacrylate (PMMA) bone cement into the vertebral body through a transpedicular approach. Although rapidly popularized in Europe, PVP was not adopted in the United States until 1994 [51].

4.2. *Kyphoplasty.* In an effort to reduce the high incidence of cement extravasation and detrimental sequelae such as infection, cement toxicity, and adjacent fracture development due to altered sagittal balance, kyphoplasty was developed in the mid-1990s by Garfin et al. [52]. Kyphoplasty implements inflatable bone tamps inserted via a bilateral percutaneous transpedicular approach. Balloon inflation ultimately both decreases intravertebral pressure by creating a cavity which is filled with PMMA and also distracts the vertebral endplates to restore vertebral height [53].

4.3. Application of Image-Guidance Systems in the Spine. Image-guidance systems are widely used in intracranial surgery and have been adapted to assist with screw placement since the mid-1990s. The use of image-guidance systems for pedicle screw placement is intended to improve overall accuracy. These systems typically rely upon precise localization of the bony anatomy with preoperative computed tomography (CT). In this way, the transverse width, longitudinal depth, and trajectory angle can be easily measured on a computerassisted work station.

Nolte et al. [54] described the principles of computerassisted pedicle screw fixation. The overall accuracy of their system was 1.74 mm, using CT scans with 22 mm slice increments. Intraoperative surgical exposure of the posterior vertebral elements was performed using standard surgical techniques. An infrared camera (Optotrak, Northern Digital, Waterloo, Ontario, Canada) tracked specific instruments (i.e., pedicle probe, awl, and space pointer) equipped with lightemitting diodes. The dynamic reference was fixed to the spinous process of the vertebra to be instrumented. Normal bony landmarks and their correlations with the images confirmed the calibration accuracy. Using that computerized system, they reported a pedicle screw misplacement rate of 4.3% under clinical conditions.

Choi et al. [55] reported the use of computer-assisted fluoroscopic targeting for pedicle screw fixation. They described a system in which the pedicle entry site and the depth of insertion were determined by intraoperative anteroposterior and lateral fluoroscopic scans. Those authors compared the accuracy of placement with the fluoroscopy-guided system versus the CT-guided system and observed no significant differences.



FIGURE 1: A view of the microendoscope.



FIGURE 2: Tubular dilation in the microendoscopic foraminotomy.

## 5. Expanding Minimally Invasive Indications

In the last few years, the indications for minimally invasive spine surgery have increased profoundly as surgeons' proficiency with techniques has improved (Figure 1). Microendoscopic and microscopic foraminotomies, discectomies, and laminectomies via a lateral incision and tubular dilationretraction have replaced the standard open foraminotomies, discectomies, and laminectomies [56–59]. Surgeons' increasing comfort with the tube allows them to perform these procedures in the cervical, thoracic, and lumbar spine.

Currently, most of these minimally invasive procedures involve using progressive dilators to dilate through the muscle onto the facet at the desired level (Figure 2). The interlaminar space can be visualized through the largest dilation tube and the inferior edge of the lamina is removed using a kerrison or drill (Figure 3). Often a portion of the medial facet will be removed for foraminotomies and discectomies [58, 60]. Suction retractors allow gentle movement of the nerve roots for access to the disc for discectomies. Long and angled instruments have been developed to allow visualization and dissection, including drills, knives, pituitaries, and kerrisons.

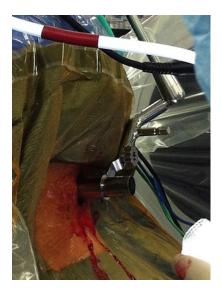


FIGURE 3: Docking of final tubular retractor in microendoscopic foraminotomy.



FIGURE 4: Docking of microendoscope to final tubular retractor with light source.

For visualization, the endoscope or microscope can be used (Figure 4).

In the lumbar spine, angulation of the endoscope or microscope medially allows decompression of the contralateral lateral recess, and minimally invasive laminectomies can be achieved [61]. If greater visualization is necessary, a portion of the inferior spinous process can be removed. Patients have been shown to have similar outcomes in these procedures if not better than those of the traditional open techniques [62–66].

For thoracic disc resection, thoracoscopy nor thoracotomy is necessarily required, especially for soft discs [67, 68]. Thoracic disc removal by microendoscopy involves an incision 3-4 m lateral to midline in combination with partial facetectomy and medical angulation of the endoscope or microscope allows visualization of the disc without retraction of the thoracic cord [69, 70]. Minimally invasive retropleural approaches using tubular retractor systems for central or calcified thoracic disc herniation have been described via a lateral mini-open approach [71].

When fusion with an interbody in these cases is required, the entire inferior facet will be removed via osteotomes or drilling to allow placement of an interbody, all through a larger tube [72]. A skin and fascial entry around 3 cm from the spinous process in minimally invasive transforaminal lumbar fusions, instead of around 1.5 cm from the spinous process in minimally invasive discectomies and laminectomies, allows enough of a lateral entry to medially angle an interbody across the disc space [73, 74]. The same incision site is used as an entry to place pedicle screws with image guidance or percutaneously using a combination of AP and lateral fluoroscopy. If bilateral fixation is desired, dilation is performed via a separate incision on the other side of the spinous processes [75, 76]. Minimally invasive transforaminal lateral interbody fusions can prevent a large amount of muscle dissection, exposure to microbes, and creation of dead space that often occurs from exposing the transverse processes in traditional transforaminal lateral interbody fusion techniques, with similar or better outcomes [77-81].

Minimally invasive techniques have been used to treat multiple pathologies from synovial cysts to metastatic tumors to epidural abscesses [82–85]. For traumatic fractures as well as pathological fractures, minimally invasive corpectomies with reconstruction of the anterior column are possible [86– 88]. Although new case reports appear in spine journals regularly, here we focus on two areas of minimally invasive spine surgery that have expanded rapidly in the last ten years, spinal deformity and intradural pathology.

5.1. Spinal Deformity. In 2006, Ozgur et al. described an extreme lateral interbody fusion technique (XLIF; NuVasive, Inc.), a mini-open version of the retroperitoneal endoscopic technique, that had been previously presented by Pimenta [89]. Through the lateral retroperitoneal fat and the psoas muscle, access to the lateral lumbar spine could be obtained to treat degenerative disc disease and provided an anterior lumbar interbody fusion. No access surgeon was needed and a large interbody graft could be placed without bone drilling. The same extreme lateral approach has also been used for resection of far lateral discs [90]. The ability to place multiple interbody grafts through this lateral technique has allowed surgeons to proficiently correct coronal deformities in a minimally invasive way [91–94]. The transpoas approach has become a mainstay of minimally invasive spine surgeons.

The potential of minimally invasive deformity correction has burgeoned a milieu of new techniques and retractor systems. Minimally invasive deformity correction usually includes a transpsoas approach at multiple levels of discectomy, anterior release and interbody fusion, followed by a separate procedure, often on another day, of posterior multilevel percutaneous pedicle screw and rod placement [95–99]. Occasionally, the presacral approach for fixation and interbody fusion at L5-S1 and L4-L5 is used [100–102]. Placement of minimally invasive percutaneous iliac screws



FIGURE 5: Multilevel lumbar fusion via hybrid technique.

also allows for longer-segment deformity fusions with significant biomechanical strength advantages [103]. Preoperative planning and identifying the suitable indications for minimally invasive deformity surgery are imperative as certain deformities such as patients with large Cobb angles and highgrade spondylolisthesis can be difficult to correct with less complication than open techniques. For example, although minimally invasive osteotomies are possible, many surgeons still prefer to perform open traditional techniques to perform them [100, 104]. Appropriate correction of sagittal balance and improvements in lordosis can be difficult to achieve with percutaneous screws alone, and thus some surgeons are now using a combination of mini-open and minimally invasive techniques (Figure 5) [105, 106].

5.2. Intradural Pathology. Minimally invasive techniques have expanded in the role of spinal tumor resection. Surgeons may now use the endoscope or the microscope for visualization in many of these procedures. In 1955, Malis used a binocular microscope intraoperatively in conjunction with bipolar coagulation to aid him with his surgical approach [107]. A unilateral approach to exposure and spinal tumor resection had been championed by Chiou et al. in 1989 and Yaşargil et al. in 1991 [108, 109]. Other authors had followed in performing unilateral limited laminectomies [110, 111]. In 2004, Pompili et al. emphasized this limited approach in thoracolumbar neurofibroma resection [112]. In 2006, Tredway et al. published the first series of minimally invasive resection of intradural tumors using a unilateral dilation technique and self-retaining retractor system that included 6 patients [113]. Other spine surgeons have since adopted this technique and made their own improvements, including those for dural closure [114-116].

As surgeons' comfort with intradural pathology has improved so have their minimally invasive techniques to treat intradural pathologies other than tumors. Other intradural pathologies such as dural arteriovenous fistulas have been treated using minimally invasive techniques as well. Day described treatment of a dural arteriovenous fistula via a partial open hemilaminectomy in 2008 [117]. In 2012, Desai et al. described one patient and Patel et al. described seven patients for whom intradural arteriovenous fistulas treated through a tubular retractor system had good results [118, 119]. Obliteration of the fistula can be confirmed with digital subtraction angiography or with indocyanine green angiography. This work has been continued at other centers [120].

# 6. Conclusion

Although those techniques described and many more minimally invasive techniques are possible, they have only been slowly adopted by spine surgeons as many do not have enough exposure in learning the techniques. The rapid development of this technology requires active and continual learning on the part of spine surgeons to stay up to date. Many spine surgeons do not have the experience to deal with the difficulties that may arise in minimally invasive cases and thus will convert to open surgeries too early to avoid complications. As minimally invasive techniques have progressed extensively over the last 50 years, it is imperative that training programs instill these techniques early on, as they can provide improved outcomes for surgeons and their patients.

## **Conflict of Interests**

The authors declare that there is no conflict of interests regarding the publication of this paper.

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