# Graphs with Bounded Maximum Average Degree and Their Neighbor Sum Distinguishing Total-Choice Numbers 

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#### Abstract

Let $G$ be a graph and $\phi: V(G) \cup E(G) \rightarrow\{1,2,3, \ldots, k\}$ be a $k$-total coloring. Let $w(v)$ denote the sum of color on a vertex $v$ and colors assigned to edges incident to $v$. If $w(u) \neq w(v)$ whenever $u v \in E(G)$, then $\phi$ is called a neighbor sum distinguishing total coloring. The smallest integer $k$ such that $G$ has a neighbor sum distinguishing $k$-total coloring is denoted by tndi $\sum_{\Sigma}(G)$. In 2014, Dong and Wang obtained the results about $\operatorname{tndi}_{\Sigma}(G)$ depending on the value of maximum average degree. A $k$-assignment $L$ of $G$ is a list assignment $L$ of integers to vertices and edges with $|L(v)|=k$ for each vertex $v$ and $|L(e)|=k$ for each edge $e$. A total-L-coloring is a total coloring $\phi$ of $G$ such that $\phi(v) \in L(v)$ whenever $v \in V(G)$ and $\phi(e) \in L(e)$ whenever $e \in E(G)$. We state that $G$ has a neighbor sum distinguishing total-L-coloring if $G$ has a total-L-coloring such that $w(u) \neq w(v)$ for all $u v \in E(G)$. The smallest integer $k$ such that $G$ has a neighbor sum distinguishing total- $L$-coloring for every $k$-assignment $L$ is denoted by $\mathrm{Ch}_{\Sigma}^{\prime \prime}(G)$. In this paper, we strengthen results by Dong and Wang by giving analogous results for $\mathrm{Ch}_{\Sigma}^{\prime \prime}(G)$.


## 1. Introduction

Let $G$ be a simple, finite, and undirected graph. We use $V(G), E(G)$, and $\Delta(G)$ to denote the vertex set, edge set, and maximum degree of a graph $G$, respectively. A vertex $v$ is called a $k$-vertex if $d(v)=k$. The length of a shortest cycle in $G$ is called the girth of a graph $G$, denoted by $g(G)$. The maximum average degree of $G$ is defined by $\operatorname{mad}(G)=$ $\max _{H \subseteq G}(2|E(H)| /|V(H)|)$. The well-known observation for a planar graph $G$ is $\operatorname{mad}(G)<2 g(G) /(g(G)-2)$. Let $\phi: V(G) \cup$ $E(G) \rightarrow\{1,2,3, \ldots, k\}$ be a $k$-total coloring. We denote the sum (set, resp.) of colors assigned to edges incident to $v$ and the color on the vertex $v$ by $w(v)(C(v)$, resp.); that is, $w(v)=$ $\sum_{u v \in E(G)} \phi(u v)+\phi(v)$ and $C(v)=\{\phi(v)\} \cup\{\phi(u v) \mid u v \in E(G)\}$. The total coloring $\phi$ of $G$ is a neighbor sum distinguishing (neighbor distinguishing, resp.) total coloring if $w(u) \neq w(v)$ $(C(u) \neq C(v)$, resp.) for each edge $u v \in E(G)$. The smallest integer $k$ such that $G$ has a neighbor sum distinguishing (neighbor distinguishing, resp.) total coloring is called the neighbor sum distinguishing total chromatic number (neighbor distinguishing total chromatic number, resp.), denoted by $\operatorname{tndi}_{\sum}(G)(\operatorname{tndi}(G)$, resp.). In 2005, a neighbor distinguishing
total coloring of graphs was introduced by Zhang et al. [1]. They obtained tndi( $G$ ) for many basic graphs and brought forward the following conjecture.

Conjecture 1 (see [1]). If $G$ is a graph with order at least two, then $\operatorname{tndi}(G) \leq \Delta(G)+3$.

Conjecture 1 has been confirmed for subcubic graphs, $K_{4}{ }^{-}$ minor free graphs, and planar graphs with large maximum degree [2-4].

In 2015, Pilśniak and Woźniak [5] obtained tndi ${ }_{\Sigma}(G)$ for cycles, cubic graphs, bipartite graphs, and complete graphs. Moreover, they posed the following conjecture.

Conjecture 2 (see [5]). If $G$ is a graph with at least two vertices, then $\operatorname{tndi}_{\Sigma}(G) \leq \Delta(G)+3$.

Li et al. verified this conjecture for $K_{4}$-minor free graphs [6] and planar graphs with the large maximum degree [7]. Wang et al. [8] confirmed this conjecture by using the famous Combinatorial Nullstellensatz that holds for any triangle free planar graph with maximum degree of at least 7. Several
results about $\operatorname{tndi}_{\Sigma}(G)$ for planar graphs can be found in [911].

In 2014, Dong and Wang [12] proved the following results.
Theorem 3. If $G$ is a graph with $\operatorname{mad}(G)<3$, then $\operatorname{tndi} \sum_{\Sigma}(G) \leq$ $\max \{\Delta(G)+2,7\}$.

Corollary 4. If $G$ is a graph with $\operatorname{mad}(G)<3$ and $\Delta(G) \geq 5$, then $\operatorname{tndi} \sum_{\sum}(G) \leq \max \Delta(G)+2$.

Corollary 5. Let $G$ be a planar graph. If $g(G) \geq 6$ and $\Delta(G) \geq$ 5, then $\operatorname{tndi}_{\Sigma}(G) \leq \Delta(G)+2$; and tndi $\sum_{\sum}(G)=\Delta(G)+2$ if and only if $G$ has two adjacent vertices of maximum degree.

The concept of list coloring was introduced by Vizing [13] and by Erdös et al. [14]. A $k$-assignment $L$ of $G$ is a list assignment $L$ of integers to vertices and edges with $|L(v)|=k$ for each vertex $v$ and $|L(e)|=k$ for each edge $e$. A total-$L$-coloring is a total coloring $\phi$ of $G$ such that $\phi(v) \in L(v)$ whenever $v \in V(G)$ and $\phi(e) \in L(e)$ whenever $e \in E(G)$. We state that $G$ has a neighbor sum distinguishing total-Lcoloring if $G$ has a total-L-coloring such that $w(u) \neq w(v)$ for all $u v \in E(G)$. The smallest integer $k$ such that $G$ has a neighbor sum distinguishing total-L-coloring for every $k$ assignment $L$, denoted by $\mathrm{Ch}_{\sum}^{\prime \prime}(G)$, is called the neighbor sum distinguishing total-choice number.

Qu et al. [15] proved that $\mathrm{Ch}_{\sum}^{\prime \prime}(G) \leq \Delta(G)+3$ for any planar graph $G$ with $\Delta(G) \geq 13$. Yao et al. [16] studied $\mathrm{Ch}_{\sum}^{\prime \prime}(G)$ of $d$-degenerate graphs. Later, Wang et al. [17] confirmed Conjecture 2 true for planar graphs without 4 -cycles. For $H \subseteq G$, we let $L_{H}$ denote a list $L$ restricted to any proper subgraph $H$ of $G$. In this paper, we strengthen Theorem 3 by giving analogous results for $\mathrm{Ch}_{\sum}^{\prime \prime}(G)$.

## 2. Main Results

The following lemma is obvious, so we omit the proof.
Lemma 6. Let $\left|S_{1}\right|=\left|S_{2}\right|=\cdots=\left|S_{k}\right|=k+1$ and $S^{*}=$ $\left\{a_{1}+a_{2}+\cdots+a_{k} \mid a_{i} \in S_{i}, a_{i} \neq a_{j}, 1 \leq i<j \leq k\right\}$. Then $\left|S^{*}\right| \geq k+1$.

Proof. We proceed by induction on $k$.
If $k=1$, then $\left|S_{1}\right|=2$; then Lemma 6 holds. Assume that $k>1$. Suppose that Lemma 6 holds for $k-1$. Let $a=$ $\min \left(S_{1} \cup S_{2} \cup \cdots \cup S_{k}\right)$. Without loss of generality, let $a \in S_{1}$. Let $T_{i} \subseteq S_{i}$ be such that $\left|T_{i}\right|=k$ and $a \notin T_{i}$ for $i=1,2, \ldots, k$. By induction hypothesis, we have $\left|T^{*}\right| \geq k$. Thus $\left\{a+t_{2}+\right.$ $\left.t_{3}+\cdots+t_{k}\right\} \subseteq S^{*}$, where $t_{i} \in T_{i}, t_{j} \in T_{j}$ for $2 \leq i, j \leq k$ and $t_{i} \neq t_{j}$ for $i \neq j$. So $\left|S^{*}\right| \geq k$. Let $t_{2}^{\prime}+\cdots+t_{k}^{\prime}=\max T^{*}$ with $t_{i}^{\prime} \in T_{i}, t_{j}^{\prime} \in T_{j}$ for $2 \leq i, j \leq k$ and $t_{i}^{\prime} \neq t_{j}^{\prime}$ for $i \neq j$ and $b \in S_{1} \backslash\left\{a, t_{2}^{\prime}, t_{3}^{\prime}, \ldots, t_{k}^{\prime}\right\}$. Thus $b+t_{2}^{\prime}+t_{3}^{\prime}+\ldots+t_{k}^{\prime}>$ $\max \left\{a+t_{2}+t_{3}+\cdots+t_{k}\right\}$ and $b+t_{2}^{\prime}+t_{3}^{\prime}+\cdots+t_{k}^{\prime} \in S^{*}$. Therefore, we obtain $\left|S^{*}\right| \geq k+1$.

Lemma 7 (see [12]). Let $S_{1}, S_{2}$ be two sets and let $S_{3}=\{a+b \mid$ $\left.a \in S_{1}, b \in S_{2}, a \neq b\right\}$. If $\left|S_{1}\right| \geq 2$ and $S_{2} \geq 3$, then $\left|S_{3}\right| \geq 3$.

Theorem 8. If $G$ is a graph with $\operatorname{mad}(G)<3$, then $\mathrm{Ch}_{\sum}^{\prime \prime}(G) \leq$ $k$, where $k=\max \{\Delta(G)+2,7\}$.

Proof. The proof is proceeded by contradiction. Assume that $G$ is a minimum counterexample. Let $|L(v)| \geq k$ for each vertex $v$ and $|L(e)| \geq k$ for each edge $e$ in $G$. For any proper subgraph $G^{\prime}$ of $G$, we always assume that there is a neighbor sum distinguishing total $-L_{G^{\prime}}$-coloring $\phi$ of $G^{\prime}$ by minimality of $G$. For convenience, we use a total $-L_{G^{\prime}}$-coloring $\phi$ of $G^{\prime}$ to denote a neighbor sum distinguishing total $-L_{G^{\prime}}$-coloring $\phi$ of $G^{\prime}$ and we use $F(v)=\left\{\phi(u), \phi(u v) \mid u v \in E\left(G^{\prime}\right)\right\}$ for $v \in V(G)$ and $F(u v)=\left\{\phi(u), \phi(v), \phi(u r), \phi(v s) \mid u r \in E\left(G^{\prime}\right), v s \in\right.$ $\left.E\left(G^{\prime}\right)\right\}$ for $u v \in E(G)$.

Let $H$ be the graph obtained by removing all leaves of $G$. Then $H$ is a connected $\operatorname{graph}$ with $\operatorname{mad}(H) \leq \operatorname{mad}(G)<3$. The properties of the graph $H$ are collected in the following claims.

Claim 1. Each vertex in $H$ has degree of at least 2.
Proof. Suppose to the contrary that $H$ contains a vertex $v$ with $d_{H}(v) \leq 1$. If $d_{H}(v)=0$, then $G$ is the star $K_{1, \Delta(G)-1}$ and $\mathrm{Ch}_{\sum}^{\prime \prime}(G)=\Delta(G)$; then we obtain a total $-L_{G}$-coloring $\phi$ of $G$, a contradiction to the choice of $G$. Assume that $d_{H}(v)=1$. Let $u$ and $v_{i}$ be the neighbors of $v$ where $i=1,2, \ldots, l=\Delta(G)-1$ and $d_{G}\left(v_{i}\right)=1$. Let $G^{\prime}=G-v v_{1}$. First, we uncolor $v_{i}$ where $i=1,2, \ldots, \Delta(G)-1$. Then we color $v v_{1}$ with a color in $L\left(v v_{1}\right) \backslash\left(F\left(v v_{1}\right) \cup\{w(u)-w(v)\}\right)$. Next, we color $v_{i}$ with a color in $L\left(v_{i}\right) \backslash\left(F\left(v_{i}\right) \cup\left\{\left(w(v)-w\left(v_{i}\right)\right\}\right)\right.$ for $i=1,2, \ldots, \Delta(G)-1$; then we obtain a total- $L_{G}$-coloring $\phi$ of $G$, a contradiction to the choice of $G$.

Claim 2. If $d_{H}(u)=2$, then $d_{G}(u)=2$.
Proof. Suppose to the contrary that $d_{G}(u)=k \geq 3$. Let $u_{1}, u_{2}$ be the neighbors of $u$ and $v_{i}$ be all neighbors of $u$ which are leaves in $G$ for $i=1,2, \ldots, l=d_{G}(u)-2$.

Case $1\left(d_{G}(u)=3\right)$. Let $G^{\prime}=G-v_{1}$ and $L^{\prime}\left(u v_{1}\right)=L\left(u v_{1}\right) \backslash$ $\left(F\left(u v_{1}\right) \cup\left\{w\left(u_{1}\right)-w(u), w\left(u_{2}\right)-w(u)\right\}\right)$. We color $u v_{1}$ with a color in $L^{\prime}\left(u v_{1}\right)$ and color $v_{1}$ with a color in $L\left(v_{1}\right) \backslash\left(F\left(v_{1}\right) \cup\right.$ $\left.\left\{w(u)-w\left(v_{1}\right)\right\}\right)$. Thus we obtain a total- $L_{G}$-coloring $\phi$ of $G$, which is a contradiction to the choice of $G$.

Case $2\left(d_{G}(u) \geq 4\right)$. Let $G^{\prime}=G-\left\{v_{1}, \ldots, v_{l}\right\}$, where $l=$ $d_{G}(u)-2$. Let $A_{i}=L\left(u v_{i}\right)-\left\{\phi(u), \phi\left(u u_{1}\right), \phi\left(u u_{2}\right)\right\}$, where $i=1,2, \ldots, l$. Then $\left|A_{i}\right| \geq \Delta(G)-1 \geq l+1 \geq 3$, where $i=1,2, \ldots, l$. By Lemma 6 , we have at least $l+1 \geq 3$ color sets available for the edge set $\left\{u v_{i} \mid i=1,2, \ldots, l\right\}$ to guarantee $w(u)=w\left(u_{i}\right)$ for $i=1,2$. Since at most two color sets may cause $w(u)=w\left(u_{1}\right)$ or $w(u)=w\left(u_{2}\right)$, we have at least one color set available for the edge set $\left\{u v_{i} \mid i=1,2, \ldots, l\right\}$. Finally, we color $v_{i}$ with the color in $L\left(v_{i}\right) \backslash\left(F\left(v_{i}\right) \cup\left\{w(u)-w\left(v_{i}\right)\right\}\right)$ for $i=1,2, \ldots, l=d_{G}(u)-2$; then we obtain a total- $L_{G}$-coloring $\phi$ of $G$, which is a contradiction to the choice of $G$.

Claim 3. A 2 -vertex $u$ is not adjacent to a 3-vertex.
Proof. Suppose to the contrary that $u$ is adjacent to a 3-vertex $v$ in $H$. Let $v_{1}, v_{2}$ be the neighbors of $v$ and $s$ be the other neighbor of $u$.

Case $1\left(d_{G}(v)=3\right)$. Let $G^{\prime}=G-u v$. First, we uncolor $u$. Next, we color $u v$ with a color in $L(u v) \backslash\left(F(u v) \cup\left\{w\left(v_{1}\right)-\right.\right.$ $\left.\left.w(v), w\left(v_{2}\right)-w(v)\right\}\right)$. Later, we color $u$ with a color in $L(u) \backslash$ $(F(u) \cup\{w(v)-w(u), w(s)-w(u)\})$; then we obtain a total- $L_{G^{-}}$ coloring $\phi$ of $G$, which is a contradiction to the choice of $G$.

Case $2\left(d_{G}(v) \geq 4\right)$. Let $x_{1}, x_{2}, \ldots, x_{t}$ be the other neighbors of $v$ such that $d_{G}\left(x_{i}\right)=1$ for all $i=1,2, \ldots, t=d_{G}(u)-3$. Let $G^{\prime}=G-\left\{u v, v x_{1}\right\}$. First, we uncolor all vertices $u$ and $x_{i}, i=$ $1,2, \ldots, t$. Consider $L^{\prime}\left(v x_{1}\right)=L\left(v x_{1}\right) \backslash F\left(v x_{1}\right)$ and $L^{\prime}(u v)=$ $L(u v) \backslash F(u v)$. We can see that $\left|L^{\prime}\left(v x_{1}\right)\right| \geq 3$ and $\left|L^{\prime}(u v)\right| \geq 2$. By Lemma 7, we can choose $\phi\left(v x_{1}\right) \in L^{\prime}\left(v x_{1}\right)$ and $\phi(u v) \in$ $L^{\prime}(u v)$ such that $w(v) \neq w\left(v_{1}\right)$ and $w(v) \neq w\left(v_{2}\right)$. Next, we color $u$ with a color in $L(u) \backslash(F(u) \cup\{w(v)-w(u), w(s)-w(u)\})$ and color $x_{i}$ with a color in $L\left(x_{i}\right) \backslash\left(F\left(x_{i}\right) \cup\left\{w(v)-w\left(x_{i}\right)\right\}\right)$ for $i=1,2, \ldots, t$; then we obtain a total $-L_{G}$-coloring $\phi$ of $G$, which is a contradiction to the choice of $G$.

Claim 4. A 4 -vertex $u$ is adjacent to at most two 2 -vertices.
Proof. Suppose to the contrary that $u$ is adjacent to three 2vertices $v_{1}, v_{2}, v_{3}$ and the other vertex $v$. Let $v_{i}^{\prime}$ be the neighbor of $v_{i}$ for $i=1,2,3$.

Case $1\left(d_{G}(u)=4\right)$. Let $G^{\prime}=G-u v_{1}$ and $L^{\prime}\left(u v_{1}\right)=$ $L\left(u v_{1}\right) \backslash\left(F\left(u v_{1}\right) \cup\{w(v)-w(u)\}\right)$. First, we uncolor all vertices $v_{1}, v_{2}, v_{3}$. Next, we color $u v_{1}$ with a color in $L^{\prime}\left(u v_{1}\right)$ and color $v_{i}$ with a color in $L\left(v_{i}\right) \backslash\left(F\left(v_{i}\right) \cup\left\{w(u)-w\left(v_{i}\right), w\left(v_{i}^{\prime}\right)-w\left(v_{i}\right)\right\}\right)$ for $i=1,2,3$. Thus we obtain a total- $L_{G}$-coloring $\phi$ of $G$, which is a contradiction to the choice of $G$.

Case $2\left(d_{G}(u) \geq 5\right)$. Let $x_{1}, x_{2}, \ldots, x_{t}$ be the neighbors of $u$ such that $d_{G}\left(x_{i}\right)=1$ for all $i=1,2, \ldots, t=d_{G}(u)-4$. Let $G^{\prime}=$ $G-u x_{1}$. First, we uncolor vertices $v_{i}$ and $x_{j}$ where $1 \leq i \leq 3$, $1 \leq j \leq t$. Next, we choose $\phi\left(u x_{1}\right) \in L\left(u x_{1}\right) \backslash\left(F\left(u x_{1}\right) \cup\{w(v)-\right.$ $w(u)\})$. After that, we color $v_{i}$ with a color in $L\left(v_{i}\right) \backslash\left(F\left(v_{i}\right) \cup\right.$ $\left.\left\{w(u)-w\left(v_{i}\right), w\left(v_{i}^{\prime}\right)-w\left(v_{i}\right)\right\}\right)$ for $i=1,2,3$ and color $x_{j}$ with a color in $L\left(x_{j}\right) \backslash\left(F\left(x_{j}\right) \cup\left\{w(u)-w\left(x_{j}\right)\right\}\right)$ for $j=1,2, \ldots, t$. Thus we obtain a total- $L_{G}$-coloring $\phi$ of $G$, which is a contradiction to the choice of $G$.

Claim 5. A 5-vertex $u$ is adjacent to at most four 2-vertices.
Proof. Suppose to the contrary that $u$ is adjacent to five 2vertices $v_{1}, v_{2}, v_{3}, v_{4}, v_{5}$. Let $x_{1}, x_{2}, \ldots, x_{t}$ be the other neighbors of $u$ (if they exist) such that $d_{G}\left(x_{i}\right)=1$ for all $i=$ $1,2, \ldots, t=d_{G}(u)-5$ and $v_{i}^{\prime}$ be the neighbor of $v_{i}$ for $i=$ $1,2,3,4,5$. Let $i=1,2,3,4,5$ and $j=1,2, \ldots, t=d_{G}(u)-5$ and $G^{\prime}=G-u v_{1}$. First, we uncolor vertices $v_{i}$ and $x_{j}$. Next, we color $u v_{1}$ with a color in $L\left(u v_{1}\right) \backslash F\left(u v_{1}\right)$. After that, we color $v_{i}$ with a color in $L\left(v_{i}\right) \backslash\left(F\left(v_{i}\right) \cup\{w(u)-\right.$ $\left.\left.w\left(v_{i}\right), w\left(v_{i}^{\prime}\right)-w\left(v_{i}\right)\right\}\right)$. Finally, we color $x_{j}$ with a color in $L\left(x_{j}\right) \backslash\left(F\left(x_{j}\right) \cup\left\{w(u)-w\left(x_{j}\right)\right\}\right)$. Thus we obtain a total-$L_{G}$-coloring $\phi$ of $G$, which is a contradiction to the choice of G.

By Claim 1, we have $\Delta(H) \geq 2$.
Suppose that $\Delta(H)=2$. By Claims 1 and $2, G$ is a cycle. One can obtain that $\mathrm{Ch}_{\sum}^{\prime \prime}(G) \leq 7$, a contradiction to the choice of $G$.

Suppose that $\Delta(H)=3$. By Claim 3, $H$ is a 3-regular $\operatorname{graph}$. Thus we have $\operatorname{mad}(H)=3$, which is a contradiction.

Suppose that $\Delta(H) \geq 4$. We complete the proof by using the discharging method. Define an initial charge function $\operatorname{ch}(v)=d_{H}(v)$ for every $v \in V(H)$. Next, rearrange the weights according to the designed rule. When the discharging is finished, we have a new charge $c h^{\prime}(v)$. However, the sum of all charges is kept fixed. Finally, we want to show that $\operatorname{ch}^{\prime}(v) \geq 3$ for all $v \in V(H)$. This leads to the following contradiction:

$$
\begin{align*}
3 & =\frac{3|V(H)|}{|V(H)|} \leq \frac{\sum_{v \in V(H)} w^{\prime}(v)}{|V(H)|}=\frac{\sum_{v \in V(H)} w(v)}{|V(H)|}  \tag{1}\\
& =\frac{2|E(H)|}{|V(H)|} \leq \operatorname{mad}(H)<3
\end{align*}
$$

Let $v \in V(H)$. Assume that $d_{H}(v)=2$ and $u v \in E(H)$. Then vertex $u$ gives charge $1 / 2$ to $v$.

Consider a vertex $v \in V(H)$. By Claim 1, we have $d_{H}(v) \geq$ 2.

If $d_{H}(v)=2$, then $v$ is adjacent to at least two 4 -vertices by Claim 3. Hence $\operatorname{ch}^{\prime}(v) \geq \operatorname{ch}(v)+(2 \times(1 / 2))=3$.

If $d_{H}(v)=3$, then $\mathrm{ch}^{\prime}(v)=\operatorname{ch}(v)=3$.
If $d_{H}(v)=4$, then $v$ is adjacent to at most two 2 -vertices by Claim 4 . Hence $\operatorname{ch}^{\prime}(v) \geq \operatorname{ch}(v)-(2 \times(1 / 2))=3$.

If $d_{H}(v)=5$, then $v$ is adjacent to at most four 2-vertices by Claim 5. Hence $\operatorname{ch}^{\prime}(v) \geq \operatorname{ch}(v)-(4 \times(1 / 2))=3$.

If $d_{H}(v) \geq 6$, then $\operatorname{ch}^{\prime}(v) \geq \operatorname{ch}(v)-\left((1 / 2) d_{H}(v)\right)=$ $(1 / 2) d_{H}(v) \geq 3$.

From the above discussion, we have $\sum_{v \in V(H)} \operatorname{ch}^{\prime}(v) \geq$ 3 , which is a contradiction. This completes the proof of Theorem 8.

## Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this paper.

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