

# Designing and recasting LHC analyses with MADANALYSIS 5

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**Abstract** We present an extension of the expert mode of the MADANALYSIS 5 program dedicated to the design or reinterpretation of high-energy physics collider analyses. We detail the predefined classes, functions and methods available to the user and emphasize the most recent developments. The latter include the possible definition of multiple sub-analyses and a novel user-friendly treatment for the selection criteria. We illustrate this approach by two concrete examples: a CMS search for supersymmetric partners of the top quark and a phenomenological analysis targeting hadronically decaying monotop systems.

## 1 Introduction

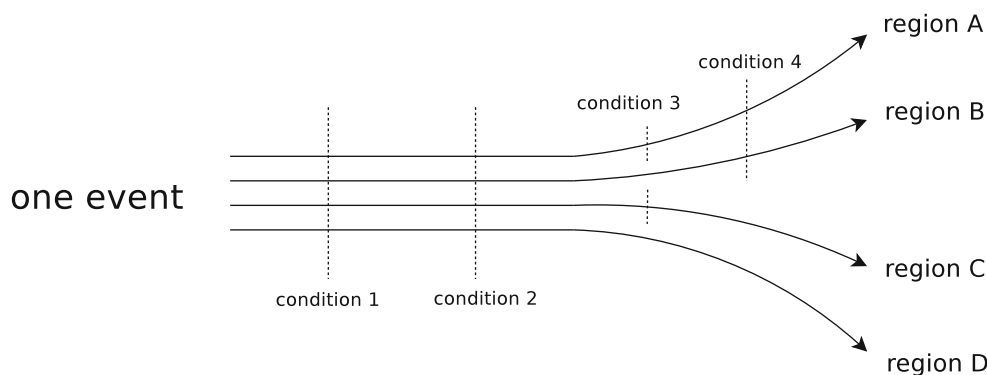
For every experimental analysis at the CERN Large Hadron Collider (LHC), selection criteria, widely referred to as *cuts*, are necessary for the reduction of the data-recording rate to a technically feasible level and the discrimination between interesting and irrelevant events for a specific physics question. At the experimental level, it is important to distinguish between two classes of cuts: those imposed at the trigger level, and those imposed offline. Events failing the former are not recorded at all and the information is lost, whereas events failing the latter are merely not considered for the final analysis. This distinction is less important for the reinterpretation of an analysis based on any sample of events other than real observed data, notably events generated by Monte Carlo simulations of collisions to be observed assuming a given (new) physics model. In this case, both types of cuts simply amount to conditions on whether a given generated event is considered in the analysis or not. However, the reinterpreta-

tion of an analysis in general requires *ex novo* implementation of the full set of cuts.

Several frameworks [1–3] have recently been released with this aim, based on simulated collisions including an approximate modeling of the detector response. Although the description of the detector is highly simplified when compared to the full ATLAS or CMS software, public fast detector simulations, most notably the DELPHES program [4], have been found to provide reasonably accurate results. Detector effects could instead be mimicked by scale factors derived from unfolded data as done in RIVET [5]. While this is an excellent approach for Standard Model measurements, detector unfolding is however not yet practicable for beyond the Standard Model searches in general. Another alternative way, which does not rely on event simulation, uses results published by the experimental collaborations in the context of so-called Simplified Models Spectra, as in the works of Refs. [6,7]. While much faster, this is, however, less general.

In the present work, we focus on the expert mode of the MADANALYSIS 5 program [1,8] dedicated to the implementation of any analysis based on a cut-and-count flow (in contrast to analyses relying on multivariate techniques) and the investigation of the associated effects on any Monte Carlo event sample. The implementation of an analysis is facilitated by the large number of predefined functions and methods included in the SAMPLEANALYZER library shipped with the package, but is however often complicated in cases where one has several sub-analyses which we refer to as *regions* (with a nod to the terms *signal* and *control regions* commonly used in searches for physics beyond the Standard Model). The complication arose from the internal format handled by SAMPLEANALYZER, which assumed the existence of a single

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**Fig. 1** Schematic illustration of the definition of different regions in which a given event can be counted (or not), based on different combinations of selection cuts

region. While this assumption is convenient for prospective studies, *i.e.*, the design of new analyses, it is rarely fulfilled by existing analyses that one may want to recast. In order to allow the user to both design and recast analyses, we have consequently extended the `SAMPLEANALYZER` internal format to support analyses with multiple regions defined by different sets of cuts. We have also expanded the code with extra methods and routines to facilitate the implementation of more complex analyses by the user.

In the context of analyses which effectively contain sub-analyses, a further useful classification of cuts can be made: namely into those which are common/shared by different regions, and those which are not, the latter serving to define the different sub-analyses themselves. Figure 1 schematically illustrates an analysis containing four regions, which are defined by two region-specific cuts imposed after two common cuts. Some thought is required concerning the best way to capture in an algorithm the set of selection requirements shown in Fig. 1. For the common cuts (cuts 1 and 2 on the figure) this is clear: if the selection condition is failed, the event is vetoed (*i.e.*, we ignore it and move on to analyzing the next event). Thereafter we have two conditions to check (cuts 3 and 4), but they apply to different regions. In terms of pseudo-code the most obvious, although not the most efficient, method for implementing these third and fourth cuts is

```
count the event in region D
if (condition 3)
{
  count the event in region C
  if (condition 4)
  {
    count the event in region A
  }
}
if (condition 4)
{
  count the event in region B
}
```

One important drawback of this naive approach is the duplication of the check of the fourth condition. In the simple style of implementation of the cuts above, this is unavoidable: condition 4 must be checked both inside and outside the scope of condition 3. With the two region-specific cuts that we have here, there is only one such clumsy duplication present in the code. However as the number of such cuts grows, the situation rapidly gets worse. For instance, considering growing the decision tree shown in Fig. 1 to include  $N$  region-specific cuts, combined in all possible permutations to define  $2^N$  regions would deepen the nesting of the above pseudo-code and lead to  $2^N - (N + 1)$  unnecessary duplications of checks. Moreover, each of those needs to be carefully implemented by the user in the correct scope, a task becoming less and less straightforward for large values of  $N$ .

Ideally the algorithm should be structured so that there is *no* unnecessary duplication, which is one of the new features of the latest version of `SAMPLEANALYZER`, the C++ core of the `MADANALYSIS 5` program. Both can be obtained from the `MADANALYSIS 5` website, <https://launchpad.net/madanalysis5> and all the features described in this paper are available from version 1.1.10 of the code onwards. This document supersedes the previous version of the manual for the expert mode of the program [1].

The remainder of this paper is organized as follows. In Sect. 2, we recall the basic functionalities of `MADANALYSIS 5` for the implementation of physics analyses in the expert mode of the program, which has been extended according to the needs of our users. Moreover, we introduce the new features of the `SAMPLEANALYZER` kernel. Two concrete examples are then provided in Sect. 3: the first is the reimplementation of a CMS search for supersymmetric partners of the top quark in events with a single lepton and missing energy [9], and the second the design of a monotop analysis where the monotop system decays in the hadronic mode [10]. Our work is summarized in Sect. 4.

## 2 The expert mode of MADANALYSIS 5

In this section we present the manner in which physics analyses are implemented in the expert mode of MADANALYSIS 5. We begin with the creation of an analysis template (Sect. 2.1), followed by the addition of several analyses to the same template (Sect. 2.2). We then discuss the methods and classes allowing effective implementation of an analysis (Sect. 2.3), its compilation and execution (Sect. 2.4) and finally the structure of the output files (Sect. 2.5).

### 2.1 Creation of an analysis template

In the *expert mode* of the program, the user is asked to write his/her analysis in C++, using all the classes and methods of the SAMPLEANALYZER library. To begin implementing a new analysis, the user is recommended to use the PYTHON interpreter of MADANALYSIS 5 to create a working directory. This is achieved by starting MADANALYSIS 5 with the command

```
./bin/ma5 <mode> -E
```

where the value of <mode> refers to an analysis of events generated at the parton level (-P), hadron level (-H) or reconstructed level (-R). It is then enough to follow the instructions displayed on the screen—the user is asked for the names of the working directory and of his/her analysis, which we denote by name in the rest of Sect. 2. The directory that has been created contains three subdirectories: the Input, Output and Build directories.

Use of the Input directory is optional. It has been included in the analysis template in order to have a unique structure for both the normal and expert modes of MADANALYSIS 5. In the normal mode, its purpose is to collect text files with the lists of paths to the event samples to analyze. The Output directory has been conceived to store the results of each execution of the analysis. The Build directory includes a series of analysis-independent files organized into several sub-directories, together with files to be modified by the user.

At the root of the Build directory, one finds one BASH script together with its TCSH counterpart. These scripts set appropriately the environment variables necessary for the compilation and execution of an analysis within the MADANALYSIS 5 framework. They are initiated by typing in a (bash or tcsh) shell the respective commands

```
source setup.sh      source setup.csh
```

A Makefile is also available so that the standard commands

```
make      make clean      make mrproper
```

can be used to (re)compile the analysis (see Sect. 2.4). The final executable is obtained from two pieces—a library and

the main program. The library originates from the merging of the SAMPLEANALYZER library and the analysis of the user, and is stored in the subdirectory Build/Lib. The main program is located in the Build/Main subdirectory and has a simple structure. It first initializes the analysis, then runs the analysis over all events (possibly collected into several files) and eventually produces the results in the Output directory previously mentioned.

The Build directory contains moreover the SampleAnalyzer subdirectory that stores the source and header files associated with the analysis being implemented (Analyzer/name.cpp and Analyzer/name.h), together with a PYTHON script, newAnalyzer.py, dedicated to the implementation of several analyses into a single working directory. The Analyzer subdirectory additionally includes a list with all analyses implemented in the current working directory (analysisList.h). More information about those files is provided in the next subsections.

### 2.2 Merging several analyses in a single working directory

In Sect. 2.1, we have explained how to create a working directory containing a single (empty) analysis that is called, in our example, name. The analysis itself is implemented by the user in a pair of files name.cpp and name.h, which should be consistently referred to in the file analysisList.h. In addition, the main program (the file Build/Main/main.cpp) takes care of initializing and executing the analysis. The structure of this analysis provides guidelines for the implementation of any other analysis—newname for the sake of the example—in the same working directory. This new analysis has to be written in the two files newname.cpp and newname.h (stored in the Build/SampleAnalyzer/Analyzer directory) and referred to in the analysisList.h file. The main program also needs to be modified in order to initialize and execute the new analysis, in addition to the first analysis (name).

All these tasks have been automated (with the exception of the implementation of the analysis itself) so that the user is only required to run the python script newAnalyzer.py by typing in a shell the command

```
./newAnalysis.py newname
```

from the Build/SampleAnalyzer directory.

### 2.3 Implementing an analysis in the MADANALYSIS 5 framework

#### 2.3.1 General features

As briefly sketched in the previous subsections, the implementation of a specific analysis within the MADANALYSIS 5

**Table 1** Methods of the `RegionSelectionManager` class

<code>AddCut(...)</code>	Declares a cut and links it to a set of regions. A single string must be passed as an argument, corresponding to the user-defined name of one of the selection cuts of the analysis. If no other argument is provided, the cut is associated with all declared signal regions. Otherwise, an additional single string or an array of strings, corresponding to the name(s) of the region(s) associated with the cut, can optionally be specified
<code>AddHisto(...)</code>	Declares a histogram. The first argument is the name of the histogram, the second one is the number of bins (an integer number), the third and fourth arguments define the lower and upper bounds of the $x$ axis (given as floating-point numbers), respectively. The last argument is optional and links all or some of the declared regions to the histogram (see the <code>AddCut</code> method for more information on this feature)
<code>AddRegionSelection(...)</code>	Declares a new region. This method takes a string, corresponding to a user-defined name for the region, as its argument
<code>ApplyCut(...)</code>	Applies a given cut. This method takes two mandatory arguments. The first is a boolean variable and indicates whether the selection requirement associated with a given cut is satisfied. The second argument is the name of the considered cut, provided as a string. The method returns <code>true</code> if at least one region defined anywhere in the analysis is still passing all cuts so far, or <code>false</code> otherwise
<code>FillHisto(...)</code>	Fills a histogram. The first argument is a string specifying the name of the considered histogram, and the second is a floating-point number providing the value of the observable being histogrammed
<code>InitializeForNewEvent(...)</code>	To be called prior to the analysis of each event at the beginning of the <code>Execute</code> function. This method tags all regions as surviving the cuts, and initializes the weight associated with the current event to the value defined by the user passed as an argument (given as a floating-point number)
<code>IsSurviving(...)</code>	Takes as an argument the name of a region (a string). The method returns <code>true</code> if the region survives all cut applied so far, <code>false</code> otherwise
<code>SetCurrentEventWeight(...)</code>	Modifies the weight of the current event to a user-defined value passed as an argument (given as a floating-point number)

framework consists of providing the analysis C++ source and header files name `.h` and name `.cpp`.

The header file contains the declaration of a class dedicated to the analysis under consideration. This class is defined as a child class inheriting from the base class `AnalysisBase`, and includes, in addition to constructor and destructor methods, three functions to be implemented by the user (in the source file name `.cpp`) that define the analysis itself. The first of these, dubbed `Initialize`, is executed just once prior to the reading of the user's set of events. In particular, it enables one both to declare selection regions and to associate them with a series of cuts and histograms. It returns a boolean quantity indicating whether the initialization procedure has been achieved properly. If not, the execution of the main program is stopped. The second method, named `Execute`, is the core of the analysis and is applied to each simulated event provided by the user. Among others things, it takes care of the application of the selection cuts and the filling of the various histograms. This function returns a boolean quantity that can be used according to the needs of the user, although it is by default not employed. Finally, the last function, a function of void type called `Finalize`, is called once all events have been read and analyzed. Moreover, the user is allowed to define his/her own set of functions and variables according to his/her purposes.

The splitting of the analysis into regions, the application of the selection criteria, and the filling of his-

tograms are all controlled through the automatically initialized object `Manager()`—a pointer to an instance of the class `RegionSelectionManager`. The member methods of this class are listed in Table 1 and will be detailed in the next subsections, in which we also provide guidelines for the implementation of the functions `Initialize`, `Execute` and `Finalize` in the C++ source file name `.cpp`.

### 2.3.2 Initialization of an analysis

When the analysis is executed from a shell, the program first calls the `Initialize` method before starting to analyze one or several event samples.

Prior to the declaration of regions, histograms and cuts, we first encourage the user to include an electronic signature to the analysis being implemented and to ask the program to display it to the screen. Although this is neither mandatory nor standardized, it improves the traceability of a given analysis and provides information to the community about who has implemented the analysis and which reference works have been used. In particular for analyses that are being made public, we strongly recommend including at least the names and e-mail addresses of the authors, a succinct description of the analysis and related experimental notes or publications. Taking the example of the CMS stop search in monoleptonic events [9] presented in Sect. 3, an electronic signature could be

```

INFO << "Analysis: CMS-SUS-13-011,
        arXiv:1308.1586"
        << " (stop search, single lepton)"
        << endmsg;
INFO << "Recasted by: Conte, Dumont,
        Fuks, Wymant"
        << endmsg;
INFO << "E-mails: " << "conte@iphc.
                        cnrs.fr, "
        << "dumont@lpsc.
                        in2p3.fr, "
        << "fuks@cern.ch, "
        << "wymant@lapth.
                        cnrs.fr"
        << endmsg;
INFO << "Based on MadAnalysis 5 v1.1.
        10" << endmsg;
INFO << "DOI: xx.yyyy/zzz" << endmsg;
INFO << "Please cite arXiv:YMMN.NNNN
        [hep-ph]"
        << endmsg;

```

where the last three lines refer to the Digital Object Identifier [11] of the analysis code (if available) and the physics publication for which this analysis reimplementation has been developed. The sample of code above also introduces the INFO message service of the SAMPLEANALYZER framework, which is presented in Sect. 2.3.7.

As already mentioned, each analysis region must be properly declared within the `Initialize` function. This is achieved by making use of the `AddRegionSelection` method of the `RegionSelectionManager` class (see Table 1). This declaration requires provision of a name (as a string) which serves as a unique identifier for this region within both the code itself (to link the region to cuts and histograms) and the output files that will be generated by the program. For instance, the declaration of two regions, dedicated to the analysis of events with a missing transverse energy  $\cancel{E}_T > 200$  GeV and 300 GeV could be implemented as

```

Manager()->AddRegionSelection
    ("MET>200");
Manager()->AddRegionSelection
    ("MET>300");

```

As shown in these lines of code, the declaration of the two regions is handled by the `Manager()` object, an instance of the `RegionSelectionManager` class that is automatically included with any given analysis. As a result, two new regions are created and the program internally assigns the intuitive identifiers "MET>200" and "MET>300" to the respective regions.

Once all regions have been declared, the user can continue with the declaration of cuts and histograms. As for

regions, each declaration requires a string name which acts as an identifier in the code and the output. Histogram declaration also asks for the number of bins (an integer number) and the lower and upper bounds defining the range of the  $x$  axis (two floating-point numbers) to be specified. Both histograms and cuts must also be associated with one or more regions. In the case of cuts, this finds its source at the conceptual level: each individual region is *defined* by its unique set of cuts. In the case of histograms, this enables one to establish the distribution of a particular observable *after* some region-specific cuts have been applied. The association of both types of objects to their regions follows a similar syntax, using an optional argument in their declaration. This argument is either a string or an array of strings, each being the name of one of the previously declared regions. If this argument is absent, the cut/histogram is automatically associated with all regions. This feature can be used, for example, for preselection cuts that are requirements common to all regions.

As an illustrative example, the code

```

Manager()->AddCut("l1lepton");
std::string SRlist[] = {"MET>200", "
                        MET>300"};
Manager()->AddCut("MET>200 GeV",
                SRlist);

```

would create two preselection cuts, "l1lepton" and "MET>200 GeV", and assign them to the two previously declared regions "MET>200" and "MET>300". Although both cuts are associated with both regions, for illustrative purposes we have shown two methods of doing this—using the syntax for automatically linking to all regions (here there are two) and explicitly stating both regions. As a second example, we consider the declaration of a histogram of 20 bins representing the transverse momentum distribution of the leading lepton,  $p_T(\ell_1)$ , in the range [50, 500] GeV. In the case where the user chooses to associate it with the second region only, the line

```

Manager()->AddHisto("ptl1", 20, 50, 500,
                   "MET>300");

```

should be added to the analysis code.

Finally, the `Initialize` method can also be used for the initialization of one or several user-defined variables that have been previously declared in the header file `name.h`.

### 2.3.3 Using general information on Monte Carlo samples

Simulated events can be classified into two categories: Monte Carlo events either at the parton or at the hadron level, and reconstructed events after object reconstruction.<sup>1</sup> Contrary to

<sup>1</sup> Strictly speaking, there exists a third class of events once detector simulation has been included. In this case, the event final state consists of

**Table 2** Methods of the `SampleFormat` class

<code>mc()-&gt;beamE().first</code>	Returns, as a floating-point number, the energy of the first of the colliding beams
<code>mc()-&gt;beamE().second</code>	Same as <code>mc()-&gt;beamE().first</code> but for the second of the colliding beams
<code>mc()-&gt;beamPDFauthor().first</code>	Returns, as an integer number, the identifier of the group of parton densities that have been used for the first of the colliding beams. The numbering scheme is based on the PDFLIB [12] and LHAPDF [13] packages
<code>mc()-&gt;beamPDFauthor().second</code>	Same as <code>mc()-&gt;beamPDFauthor().first</code> but for the second of the colliding beams
<code>mc()-&gt;beamPDFID().first</code>	Returns, as an integer number, the code associated with the parton density set (within a specific group of parton densities) that has been used for the first of the colliding beams. The numbering scheme is based on the PDFLIB [12] and LHAPDF [13] packages
<code>mc()-&gt;beamPDFID().second</code>	Same as <code>mc()-&gt;beamPDFID().first</code> but for the second of the colliding beams
<code>mc()-&gt;beamPDGID().first</code>	Returns, as an integer number, the Particle Data Group identifier defining the nature of the first of the colliding beams. The numbering scheme is based on the Particle Data Group review [14]
<code>mc()-&gt;beamPDGID().second</code>	Same as <code>mc()-&gt;beamPDGID().first</code> but for the second of the colliding beams
<code>mc()-&gt;processes()</code>	Returns a vector of instances of the <code>ProcessFormat</code> class associated with the set of subprocesses described by the sample. A <code>ProcessFormat</code> object contains information about the process identifier fixed by the generator (an integer number accessed via the <code>processId()</code> method), the associated cross section in pb (a floating-point number accessed via the <code>xsection()</code> method) and the related uncertainty (a floating-point number accessed via the <code>xsection_error()</code> method), and the maximum weight carried by any event of the sample (a floating-point number accessed via the <code>maxweight()</code> method)
<code>mc()-&gt;xsection()</code>	Returns, as a floating-point number, the cross section in pb linked to the event sample
<code>mc()-&gt;xsection_error()</code>	Returns, as a floating-point number, the (numerical) uncertainty on the cross section associated with the event sample

reconstructed event samples, Monte Carlo samples in general contain global information on the generation process, such as cross section, the nature of the parton density set that has been used, *etc.* In the MADANALYSIS 5 framework, these pieces of information are collected under the form of instances of the `SampleFormat` class and can be retrieved by means of the methods provided in Table 2.

The function `Execute` takes, as a first argument, a `SampleFormat` object associated with the current analyzed sample. In this way, if the sample is encoded in the LHE [15, 16], STDHEP [17] or HEPMC [18] format, the user may access most of the available information passed by the event generator. In contrast, the other event formats supported by MADANALYSIS 5, namely the LHCO [19] and (ROOT-based [20]) DELPHES 3 [4] format,<sup>2</sup> do not include any information of this kind so that the first argument of the `Execute` function is a null pointer. In the case where the user may need such information, it will have to be included by hand.

For instance, assuming that an event sample containing  $N = 10000$  events ( $N$  being stored as a double-precision

number in the `nev` variable) is analyzed, the weight of each event could be calculated (and stored in the `wgt` variable for further use within the analysis) by means of the code sample

```
double lumi = 20000.;
double nev = 10000.;
double wgt = MySample.mc()->xsection()*
             lumi/nev;
```

The `MySample` object is an instance of the `SampleFormat` class associated with the sample being analyzed and we impose the results to be normalized to  $20 \text{ fb}^{-1}$  of simulated collisions (stored in  $\text{pb}^{-1}$  in the `lumi` variable). For efficiency purposes, such a computation should be performed once and for all at the time of the initialization of the analysis, and not each time an event is analyzed. The variable `wgt` is then promoted to a member of the analysis class being implemented.

### 2.3.4 Internal data format for event handling

In the SAMPLEANALYZER framework, both Monte Carlo and reconstructed events are internally handled as instances of a class named `EventFormat`. At the time of execution of the analysis on a specific event, the `Execute` function receives such an `EventFormat` object as its second argument. The properties of this object reflect those of the current event and can be retrieved via the two methods

Footnote 1 continued

tracks and calorimeter deposits. MADANALYSIS 5 has not been designed to analyze those events and physics objects such as (candidate) jets and electrons must be reconstructed prior to be able to use the program.

<sup>2</sup> In order to activate the support of MADANALYSIS 5 for the output format of DELPHES 3, the user is requested to start the MADANALYSIS 5 interpreter (in the normal execution mode of the program) and to type `install delphes`.

**Table 3** Methods of the `MCEventFormat` class

<code>alphaQCD()</code>	Returns, as a floating-point number, the employed value for the strong coupling constant
<code>alphaQED()</code>	Returns, as a floating-point number, the employed value for the electromagnetic coupling constant
<code>particles()</code>	Returns, as a vector of <code>MCParticleFormat</code> objects, all the final-, intermediate- and initial-state particles of the event
<code>processId()</code>	Returns, as an integer number, the identifier of the physical process related to the considered event
<code>scale()</code>	Returns, as a floating-point number, the employed value for the factorization scale
<code>weight()</code>	Returns, as a floating-point number, the weight of the event
<code>MET()</code>	Returns, as an <code>MCParticleFormat</code> object, the missing transverse momentum $\cancel{p}_T$ of the event. The particles relevant for the calculation must be properly tagged as invisible (see Sect. 2.3.8)
<code>MHT()</code>	Returns, as an <code>MCParticleFormat</code> object, the missing transverse hadronic momentum $\cancel{H}_T$ of the event. The particles relevant for the calculation must be properly tagged as invisible and hadronic (see Sect. 2.3.8)
<code>TET()</code>	Returns, as a floating-point number, the total visible transverse energy of the event $E_T$ . The particles relevant for the calculation must not be tagged as invisible (see Sect. 2.3.8)
<code>THT()</code>	Returns, as a floating-point number, the total visible transverse hadronic energy of the event $H_T$ . The particles relevant for the calculation must be properly tagged as hadronic, and not tagged as invisible (see Sect. 2.3.8)

**Table 4** Methods of the `MCParticleFormat` class

<code>ctau()</code>	Returns, as a floating-point number, the lifetime of the particle in millimeters
<code>daughters()</code>	Returns, as a vector of pointers to <code>MCParticleFormat</code> objects, a list with the daughter particles that are either produced from the decay of the considered particle or from its scattering with another particle
<code>momentum()</code>	Returns, as a (ROOT) <code>TLorentzVector</code> object [20], the four-momentum of the particle. All the properties of the four-momentum can be accessed either from the methods associated with the <code>TLorentzVector</code> class, or as direct methods of the <code>MCParticleFormat</code> class, after changing the method name to be entirely lower case. For instance, <code>pt()</code> is equivalent to <code>momentum().Pt()</code> . In addition, the methods <code>dphi_0_2pi(...)</code> and <code>dphi_0_pi(...)</code> return the difference in azimuthal angle normalized in the $[0, 2\pi]$ and $[0, \pi]$ ranges, respectively, between the particle and any other particle passed as an argument, whereas <code>dr(...)</code> returns their angular distance, the second particle being provided as an argument as well
<code>mothers()</code>	Returns, as a vector of pointers to <code>MCParticleFormat</code> objects, a list with all the mother particles of the considered particle. In the case of an initial particle, this list is empty, while for a decay and a scattering process, it contains one and two elements, respectively
<code>mt_met()</code>	Returns, as a floating-point number, the transverse mass obtained from a system comprised of the considered particle and the invisible transverse momentum of the event. The particles relevant for the calculation must be properly tagged as invisible (see Sect. 2.3.8)
<code>pdgid()</code>	Returns, as an integer number, the Particle Data Group identifier defining the nature of the particle. The numbering scheme is based on the Particle Data Group review [14]
<code>spin()</code>	Returns, as a floating-point number, the cosine of the angle between the three-momentum of the particle and its spin vector. This quantity is computed in the laboratory reference frame
<code>statuscode()</code>	Returns, as an integer number, an identifier fixing the initial-, intermediate- or final-state nature of the particle. The numbering scheme is based on Ref. [15]
<code>toRestFrame(...)</code>	Boosts the four-momentum of the particle to the rest frame of a second particle (an <code>MCParticleFormat</code> object given as argument). The method modifies the momentum of the particle

`event.mc()`                      `event.rec()`

which return a pointer to an `MCEventFormat` object encompassing information at the Monte Carlo event level, and a pointer to a `RecEventFormat` object specific for managing information at the reconstructed event level, respectively.

Focusing first on Monte Carlo events, the properties of all initial-state, intermediate-state and final-state particles can be retrieved by means of the `MCEventFormat` class (see Table 3). Particles are encoded as instances of

the `MCParticleFormat` class whose associated methods are shown in Table 4. Additionally, general event information, such as the values for the gauge couplings or the factorization scale used, is also available if properly stored in the event file. Finally, the `MCEventFormat` class also contains specific methods for the computation of four global event observables: the amount of (missing) transverse energy  $E_T$  ( $\cancel{E}_T$ ) and of (missing) transverse hadronic energy  $H_T$  ( $\cancel{H}_T$ ). These quantities are calculated from the transverse momentum of the final-state particles according to

$$\begin{aligned}
 E_T &= \sum_{\text{visible particles}} |\mathbf{p}_T|, & H_T &= \sum_{\text{hadronic particles}} |\mathbf{p}_T|, \\
 \cancel{E}_T &= |\cancel{\mathbf{p}}_T| = \left| - \sum_{\text{visible particles}} \mathbf{p}_T \right|, \\
 \cancel{H}_T &= |\cancel{\mathbf{H}}_T| = \left| - \sum_{\text{hadronic particles}} \mathbf{p}_T \right|,
 \end{aligned} \tag{1}$$

once the user has defined, in the initialization part of the analysis, which particles are invisible and which ones are hadronizing (by means of the configuration functions described in Sect. 2.3.8). However, the definitions of Eq. (1) may be not appropriate if the user wants to include only specific visible/hadronic particles in the sums. In this case, he/she should perform their implementation within the `Execute` function of the analysis according to his/her needs. The entire set of properties that can be employed to analyze a Monte Carlo event is shown in Table 3.

For example, the selection of all the final-state electrons and positrons that are present in an event and whose transverse momentum is larger than 50 GeV could be implemented as

```

std::vector<const MCParticleFormat*>
    electrons;

for(unsigned int i=0;
    i<event.mc()->particles().
    size(); i++)
{
    const MCParticleFormat* prt =
        &event.mc()->particles()[i];

    if(prt->statusCode() != 1) continue;

    if(std::abs(prt->pdgid()) == 11)
    {
        if(prt->momentum().Pt()>50)
            electrons.push_back(prt);
    }
}

```

The first line of the code above indicates the declaration of a vector, dubbed `electrons`, of pointers to (constant) `MCParticleFormat` objects that contain the selected electrons. With the next block of C++ commands, we loop over all the event particles (the `for` loop) and store the current particle into a temporary variable `prt`. We then discard non-final-state particles, which have a status code different from one (the first `if` statement). Finally, we fill the `electrons` vector with all electrons and positrons (with a Particle Data Group code equal to  $\pm 11$ , as shown in the second `if` statement) whose transverse momentum is greater than 50 GeV (the third `if` statement).

We next present the methods that have been designed for the analysis of reconstructed events and which are part of the `RecEventFormat` class. This class contains functions (see Table 5) allowing access to two series of containers, the first ones gathering final state objects of a given nature and the second ones collecting specific generator-level (or equivalently parton-level) objects. All these containers can be further employed within an analysis so that the properties of the different objects can be retrieved and subsequently used, *e.g.*, for cuts and histograms. All the available methods associated with reconstructed objects have been collected in Table 6, while we recall that the `MCParticleFormat` class has been described in Table 4 (necessary for the handling of generator-level objects). In the case where some pieces of information (either specific properties of a given particle species or a given container itself) are absent from the event file, the related methods return null results.

Finally, as for the `MCEventFormat` class, specific functions (see Table 5) have been implemented to access the (missing) transverse energy and (missing) hadronic transverse energy of the event. While the value of the  $\cancel{E}_T$  variable is taken from the event file and not calculated on the fly, the other variables are computed from the information on the reconstructed objects,

$$\begin{aligned}
 E_T &= \sum_{\text{jets, charged leptons, photons}} |\mathbf{p}_T|, \\
 H_T &= \sum_{\text{jets}} |\mathbf{p}_T|, \\
 \cancel{H}_T &= |\cancel{\mathbf{H}}_T| = \left| - \sum_{\text{jets}} \mathbf{p}_T \right|.
 \end{aligned} \tag{2}$$

As an example, we show how an isolation requirement on final-state muons can be implemented. To do this we define an isolation variable  $I_{\text{rel}}$  as the amount of transverse energy, relative to the transverse momentum of the muon, present in a cone of radius  $R = 0.4$  centered on the muon. We constrain this quantity to satisfy  $I_{\text{rel}} < 20\%$ . A possible corresponding sample of C++ code is

```

std::vector<const RecLeptonFormat*>
    MyMuons;
for(unsigned int i=0;
    i<event.rec()->muons().size(); i++)
{
    const RecLeptonFormat *Muon =
        &event.rec()->muons()[i];

    for(unsigned int j=0;
        j<Muon->isolCones().size(); j++)
    {
        const IsolationConeType *cone =
            &Muon->isolCones()[j];
    }
}

```



**Table 5** Methods of the `RecEventFormat` class

<code>electrons()</code>	Returns, as a vector of <code>RecLeptonFormat</code> objects, all the reconstructed electrons of the event
<code>jets()</code>	Returns, as a vector of <code>RecJetFormat</code> objects, all the reconstructed jets of the event
<code>muons()</code>	Returns, as a vector of <code>RecLeptonFormat</code> objects, all the reconstructed muons of the event
<code>photons()</code>	Returns, as a vector of <code>RecPhotonFormat</code> objects, all the reconstructed photons of the event
<code>taus()</code>	Returns, as a vector of <code>RecTauFormat</code> objects, all the reconstructed hadronic taus of the event
<code>tracks()</code>	Returns, as a vector of <code>RecTrackFormat</code> objects, all the reconstructed tracks of the event
<code>genjets()</code>	Returns, as a vector of <code>RecJetFormat</code> objects, all the parton-level jets of the event
<code>MCBquarks()</code>	Returns, as a vector of pointers to <code>MCParticleFormat</code> objects, all the parton-level <i>b</i> -quarks of the event
<code>MCCquarks()</code>	Returns, as a vector of pointers to <code>MCParticleFormat</code> objects, all the parton-level <i>c</i> -quarks of the event
<code>MCElectronicTaus()</code>	Returns, as a vector of pointers to <code>MCParticleFormat</code> objects, all the parton-level tau leptons that have decayed into an electron and a pair of neutrinos
<code>MCHadronicTaus()</code>	Returns, as a vector of pointers to <code>MCParticleFormat</code> objects, all the parton-level tau leptons that have decayed hadronically
<code>MCMuonicTaus()</code>	Returns, as a vector of pointers to <code>MCParticleFormat</code> objects, all the parton-level tau leptons that have decayed into a muon and a pair of neutrinos
<code>MET()</code>	Returns, as a <code>RecParticleFormat</code> object, the missing transverse momentum of the event as stored in the event file
<code>MHT()</code>	Returns, as a <code>RecParticleFormat</code> object, the missing transverse hadronic momentum $\mathbf{H}_T$ of the event
<code>TET()</code>	Returns, as a floating-point number, the total visible transverse energy of the event $E_T$
<code>THT()</code>	Returns, as a floating-point number, the total visible transverse hadronic energy of the event $H_T$

```

if (std::fabs (cone->deltaR() - 0.4)
    < 1e-3)
{
    if (cone->sumET() / Muon->momentum() .
        Pt() < .20)
        MyMuons.push_back (Muon);
}
}
}

```

With those lines of code, we start by declaring the `MyMuons` variable, a vector of pointers to `RecLeptonFormat` objects, that will refer to the reconstructed muons tagged as isolated. Then, we proceed with a `for`-loop dedicated to the computation of the  $I_{\text{rel}}$  variable for each of the final state muons. In the case where  $I_{\text{rel}}$  is smaller than 20 %, the muon is added to the `MyMuons` container. In more detail, this `for`-loop works as follows. The current muon is stored in a temporary variable called `Muon`. The calculation of  $I_{\text{rel}}$  relies, first, on the amount of calorimetric energy in a cone of radius  $R = 0.4$  centered on the muon and second, on the transverse momentum of the current muon. The first of these two quantities is evaluated via the `isolCones()` method of the `RecLeptonFormat` class (see Table 6) whereas the second one is derived from the muon four-momentum (obtained from the `momentum()` method of the `RecLeptonFormat` class). In the example above, we assume that information on muon isolation associated with several cone sizes is available, including the choice  $R = 0.4$ . The second `for`-loop that has been implemented

selects the desired value of  $R$ . The subsequent computation of the  $I_{\text{rel}}$  quantity is immediate. We refer to Ref. [21] for more detailed examples on this topic, in cases where event simulation is based on a modified version of DELPHES 3 properly handling such a structure for the isolation information.

### 2.3.5 Applying cuts and filling histograms

The cuts for the analysis, having been declared in the `Initialize` function (see Sect. 2.3.2), are applied in the `Execute` function by means of the `RegionSelectionManager` method `ApplyCut` (see Table 1). Its two arguments consist of a boolean quantity governing the cut condition (*i.e.*, it indicates whether the current event satisfies this cut) and a string which should be the name of one of the declared cuts.

This method starts by cycling through all regions associated with this cut. For each region, it checks whether the region is still surviving all cuts applied so far by evaluating an internal boolean variable. If a given region is found to be already failing one of the preceding cuts (indicated by the internal surviving variable having the value `false`), the `ApplyCut` method continues with the next region associated with the considered cut. On the other hand if the region is surviving, the cut-flow for this region is updated according to the cut condition (the boolean argument of the `ApplyCut` method) and the internal surviving variable will be kept as `true` or changed to `false` as appropriate. The aforementioned internal boolean variables indicating the survival of

**Table 6** Methods giving access the properties of the reconstructed objects represented as instances of the `RecLeptonFormat`, `RecJetFormat`, `RecPhotonFormat`, `RecTauFormat`, `RecTrackFormat` and `RecParticleFormat` classes

<code>btag()</code>	This method is specific to <code>RecJetFormat</code> objects and returns a boolean quantity describing whether the jet has been tagged as a <i>b</i> -jet
<code>ctag()</code>	This method is specific to <code>RecJetFormat</code> objects and returns a boolean quantity describing whether the jet has been tagged as a <i>c</i> -jet
<code>charge()</code>	Returns, as an integer number, the electric charge of the object (relative to the fundamental unit of electric charge <i>e</i> ). This method is available for the <code>RecLeptonFormat</code> , <code>RecTauFormat</code> and <code>RecTrackFormat</code> classes
<code>etaCalo()</code>	This method is specific to the <code>RecTrackFormat</code> class and returns, as a floating-point number, the pseudorapidity corresponding to the entry point of the track in the calorimeter
<code>isolCones()</code>	Returns a vector of pointers to instances of the <code>IsolationConeType</code> class. This class allows one to retrieve information about the isolation of the object after defining a cone of a given size (a floating-point number accessed via the <code>deltaR()</code> method of the class) centered on it. The (integer) number of tracks in the cone is obtained by means of the <code>ntracks()</code> method, the sum of the transverse momenta of these tracks by means of the <code>sumPT()</code> method and the amount of calorimetric (transverse) energy in the cone by means of the <code>sumET()</code> method. The <code>isolCones()</code> method has only been implemented for the <code>RecTrackFormat</code> , <code>RecLeptonFormat</code> , <code>RecPhotonFormat</code> and <code>RecJetFormat</code> classes. A modified version of DELPHES 3 that supports this structure has been introduced in Ref. [21]
<code>momentum()</code>	Returns, as a (ROOT) <code>TLorentzVector</code> object [20], the four-momentum of the particle. This method is available for all types of reconstructed objects. All the properties of the four-momentum can be accessed either from the methods associated with the <code>TLorentzVector</code> class, or as direct methods of the different classes of objects, after changing the method name to be entirely lower case. For instance, the method <code>pt()</code> is equivalent to <code>momentum().Pt()</code> . In addition, the methods <code>dphi_0_2pi(...)</code> and <code>dphi_0_pi(...)</code> return the difference in azimuthal angle normalized in the $[0, 2\pi]$ and $[0, \pi]$ ranges, respectively, between the object and any other object passed as an argument, whereas <code>dr(...)</code> returns their angular distance, the second object being provided as an argument as well
<code>mt_met()</code>	Returns, as a floating-point number, the transverse mass obtained from a system comprised of the considered particle and the missing transverse momentum of the event
<code>ntracks()</code>	Returns, as an integer number, the number of charged tracks associated with the reconstructed object. This method has been implemented for the <code>RecTauFormat</code> and <code>RecJetFormat</code> classes
<code>pdgid()</code>	This method is specific to the <code>RecTrackFormat</code> class and returns, as an integer number, the Particle Data Group identifier defining the nature of the particle giving rise to the track. The numbering scheme is based on the Particle Data Group review [14]
<code>phiCalo()</code>	This method is specific to the <code>RecTrackFormat</code> class and returns, as a floating-point number, the azimuthal angle with respect to the beam direction corresponding to the entry point of the track in the calorimeter
<code>sumET_isol()</code>	Returns, as a floating-point number, the amount of calorimetric (transverse) energy lying in a specific cone centered on the object. The cone size is fixed at the level of the detector simulation and this method is available for the <code>RecLeptonFormat</code> class (this information is available in the LHCO format)
<code>sumPT_isol()</code>	Returns, as a floating-point number, the sum of the transverse momenta of all tracks lying in a given cone centered on the object. The cone size is fixed at the level of the detector simulation and this method is available for the <code>RecLeptonFormat</code> class (this information is available in the LHCO format)
<code>EEoverHE()</code>	Returns, as a floating-point number, the ratio of the electromagnetic to hadronic calorimetric energy associated with the object. This method is available for the <code>RecLeptonFormat</code> , <code>RecTauFormat</code> and <code>RecJetFormat</code> classes
<code>ET_PT_isol()</code>	Returns, as a floating-point number, the amount of calorimetric (transverse) energy lying in a given cone centered on the object calculated relatively to the sum of the transverse momentum of all tracks in this cone. The cone size is fixed at the level of the detector simulation and this method is available for the <code>RecLeptonFormat</code> class (this information is available in the LHCO format)
<code>HEoverEE()</code>	Returns, as a floating-point number, the ratio of the hadronic to electromagnetic calorimetric energy associated with the object. This method is available for the <code>RecLeptonFormat</code> , <code>RecTauFormat</code> and <code>RecJetFormat</code> classes

each region should all be initialized to `true` when starting to analyze a given event. This is achieved by adding, at the beginning of the `Execute` function,

```
Manager()->InitializeForNewEvent
    (myWeight);
```

where `MyWeight` is a floating-point number representing the weight of the event. The weight is used when histograms are filled and cut-flow charts calculated, and can be mod-

ified within the analysis by making use of the `SetCurrentEventWeight` method of the `RegionSelectionManager` class.

The analysis manager also stores internally the total number of surviving regions, which is updated when a specific region fails a cut. This enables the `ApplyCut` method to determine and return, after cycling through the associated `RegionSelection` instances, a boolean quantity which is set to `false` in the case where not a single surviving

region remains. The output of the `ApplyCut` method is thus equal to the boolean value of the statement *there is at least one region in the analysis, not necessarily one of those associated with this specific cut, which is still passing all cuts so far*. When it switches from `true` to `false`, the present event should no longer be analyzed, and one should move on with the next event. It is therefore recommended, for efficiency purposes, to always call the `ApplyCut` method in the following schematic manner,

```
if ( !ApplyCut(...) )
    return true;
```

with the `return` command terminating the analysis of the current event if all regions are failing the set of cuts applied so far.

Since, trivially, cuts keep some events and reject others, the distribution of an observable is affected by the placement of its histogram-filling command within the full sequence of cuts. Then since each region has its own unique set of cuts (by definition), the distribution of any observable is in general different for any two regions. However, it is meaningful to consider a single histogram as associated with multiple regions, *if* it is filled before any cuts are made that distinguish the regions. As an example, a typical format for processing an event would be a set of common preselection cuts, then the filling of various histograms (which are thus associated with all regions), then the application of the region-specific cuts (possibly followed by some further histogramming).

In **MADANALYSIS 5**, we deal with this within the histogram-filling method of the `RegionSelectionManager` class, `FillHisto`, which takes as arguments a string and a floating-point number. The string should be the name of one of the declared histograms, and the floating-point number represents the value of the histogrammed observable for the event under consideration. This method can be called as in

```
Manager()->FillHisto("pt11", val);
```

where "pt11" is the name of the considered histogram (continuing with the example from Sect. 2.3.2) and `val` is the value of the observable of interest, namely the transverse momentum of the leading lepton in our case. The `FillHisto` method begins by verifying whether each of the regions associated with this histogram is surviving all cuts applied so far (via the internal surviving variable above-mentioned). In the case where all the associated regions are found surviving (failing) the cuts, the histogram is (not) filled. If a mixture of surviving and non-surviving regions is found, the program stops and displays an error message to the screen, as this situation implies that the histogram filling command has been called *after* at least one cut yields a distinction among the associated regions. This indicates an error in the design of the analysis.

### 2.3.6 Finalizing an analysis

Once all the events have been processed, the program calls the function `Finalize`. The user can make use of it for drawing histograms or deriving cut-flow charts as indicated in the manual for older versions of the program [1]; however, from the version of **MADANALYSIS 5** introduced in this paper onwards, the `Finalize` function does not need to be implemented anymore. Output files written according to the SAF format (see Sect. 2.5) are automatically generated.

### 2.3.7 Message services

The C++ core of **MADANALYSIS 5** includes a class of functions dedicated to the display of text on the screen at the time of the execution of the analysis. Whereas only two distinct levels of message are accessible by using the standard C++ streamers (`std::cout` and `std::cerr` for normal and error messages), the **SAMPLEANALYZER** library enables the user to print messages that can be classified into four categories. In this way, information (the `INFO` function), warning (the `WARNING` function), error (the `ERROR` function) and debugging (the `DEBUG` function) messages can be displayed as in the following sample of code,

```
INFO      << "... " << endlmsg;
WARNING   << "... " << endlmsg;
ERROR     << "... " << endlmsg;
DEBUG     << "... " << endlmsg;
```

Additionally, warning and error messages provide information on the line number of the analysis code that is at the source of the message. The effect of a given message service can finally be modified by means of the methods presented in Table 7.

### 2.3.8 Physics services

The **SAMPLEANALYZER** core includes a series of built-in functions aiming to facilitate the writing of an analysis from the user viewpoint. More precisely, these functions are specific for particle identification or observable calculation and have been grouped into several subcategories of the C++ pointer **PHYSICS**. All the available methods are listed in Table 8, and we provide, in the rest of this section, a few more details, together with some illustrative examples.

As mentioned in Sect. 2.3.4, **MADANALYSIS 5** can compute the (missing) transverse energy and (missing) hadronic transverse energy associated with a given Monte Carlo event. This calculation however relies on a correct identification of the invisible and hadronizing particles. This information must be provided by means of the `mcConfig()` category of physics services, as for instance, in

**Table 7** Methods associated with a given message service. The available services are INFO, WARNING, ERROR and DEBUG

<code>DisableColor()</code>	Switches off the display of messages in color. Colors are switched on by default, and the color scheme is hard-coded
<code>EnableColor()</code>	Switches on the display of messages in color. Colors are switched on by default, and the color scheme is hard-coded
<code>Mute()</code>	Switches off a specific message service. Services are switched on by default
<code>SetStream(...)</code>	Takes a pointer of type <code>ofstream</code> as an argument and redirect the output of a given service to a file
<code>UnMute()</code>	Switches on a specific message service. Services are switched on by default

```
PHYSICS->mcConfig().AddInvisibleId
    (1000039);
PHYSICS->mcConfig().AddHadronicId(5);
```

These intuitive lines of code indicate to the program that the gravitino (whose Particle Data Group identifier is 1000039) yields missing energy and that the bottom quark (whose Particle Data Group identifier is 5) will eventually hadronize.

An important category of methods shipped with the physics services consists of functions dedicated to the identification of particles and to the probing of their nature (invisible, hadronizing, *etc.*). They are collected within the `Id` structure attached to the `PHYSICS` object. For instance (see Table 8 for the other methods),

```
PHYSICS->Id->IsInvisible(prt)
```

allows one to test the (in)visible nature of the particle referred to by the pointer `prt`. Also, basic isolation tests on `RecLeptonFormat` objects can be performed when analyzing reconstructed events. Including in the analysis

```
PHYSICS->Id->IsIsolatedMuon(muon,
    event)
```

yields a boolean value related to the (non-)isolated nature of the reconstructed lepton `muon`, `event` being here a `RecEventFormat` object. Two isolation algorithms can be employed. By default, the program verifies that no reconstructed jet lies in a cone of radius  $R = 0.5$  centered on the lepton. The value of  $R$  can be modified via the `recConfig()` category of physics services,

```
PHYSICS->recConfig().UseDeltaRIsolation
    (dR);
```

where `dR` is a floating-point variable with the chosen cone size. The user can instead require the program to tag leptons as isolated when both the sum of the transverse momenta of all tracks in a cone (of radius fixed at the level of the detector simulation) centered on the lepton is smaller than a specific threshold and when the amount of calorimetric energy in this cone, calculated relative to the sum of the transverse momenta of all tracks in the cone, is smaller than another threshold. This uses the information provided by the `sumPT_isol()` and `ET_PT_isol()` methods of the `RecLeptonFormat` class (see Table 6) and can be activated by implementing

```
PHYSICS->recConfig().UseSumPTIsolation
    (sumpt, et_pt);
```

where `sumpt` and `et_pt` are the two mentioned thresholds. For more sophisticated isolation tests, such as those based on the information encompassed in `IsolationConeType` objects possibly provided for reconstructed jets, leptons and photons (see Sect. 2.3.4), it is left to the user to manually implement the corresponding routines in his/her analysis.

In addition to identification routines, physics services include built-in functions allowing one to compute global event observables, such as several transverse variables that are accessible through the `Transverse` structure attached to the `PHYSICS` object. More information on the usage of these methods is provided in Table 8.

### 2.3.9 Sorting particles and objects

In most analyses, particles of a given species are identified according to an ordering in their transverse momentum or energy. In contrast, vector of particles as returned after the reading of an event are in general unordered and therefore need to be sorted. This can be achieved by means of sorting routines that can be called following the schematic form:

```
SORTER->sort(parts, crit)
```

In this line of code, `parts` is a vector of (Monte Carlo or reconstructed) objects and `crit` consists of the ordering criterion. The allowed choices for the latter are `ETAordering` (ordering in pseudorapidity), `ETordering` (ordering in transverse energy), `Eordering` (ordering in energy), `Pordering` (ordering in the norm of the three-momentum), `PTordering` (ordering in the transverse momentum), `PXordering` (ordering in the  $x$ -component of the three-momentum), `PYordering` (ordering in the  $y$ -component of the three-momentum) and `PZordering` (ordering in the  $z$ -component of the three-momentum). The objects are always sorted in terms of decreasing values of the considered observable.

## 2.4 Compiling and executing the analysis

In Sect. 2.1, we have pointed out that the `Build` subdirectory of the analysis template contains a `Makefile` script

**Table 8** Physics service methods

<code>mcConfig().AddHadronicId(...)</code>	Adds a particle species, identified via its Particle Data Group code (an integer number given as argument), to the list of hadronizing particles. Mandatory for the computation of $H_T$ and $\cancel{H}_T$ in the case of Monte Carlo events (see Sect. 2.3.4)
<code>mcConfig().AddInvisibleId(...)</code>	Adds a particle species, identified via its Particle Data Group code (an integer number given as argument), to the list of invisible particles. Mandatory for the computation of $E_T$ and $\cancel{E}_T$ in the case of Monte Carlo events (see Sect. 2.3.4)
<code>mcConfig().Reset()</code>	Reinitializes the lists of invisible and hadronizing particles to empty lists
<code>recConfig().Reset()</code>	Defines (reconstructed) leptons as isolated when no jet is present in a cone of radius $R = 0.5$ centered on the lepton
<code>recConfig().UseDeltaRIsoIation(...)</code>	Defines (reconstructed) leptons as isolated when no jet is present in a cone, with a radius given as a floating-point number in argument, centered on the lepton
<code>recConfig().UseSumPTIsoIation(...)</code>	Defines (reconstructed) leptons as isolated when both the sum $\Sigma_1$ of the transverse momenta of all tracks in a cone (of radius fixed at the level of the detector simulation) centered on the lepton is smaller than a specific threshold (the first argument) and the amount of calorimetric energy in this cone, relative to $\Sigma_1$ , is smaller than another threshold (the second argument). This uses the information provided by the <code>sumPT_isol()</code> and <code>ET_PT_isol()</code> methods of the <code>RecLeptonFormat</code> class (see Table 6)
<code>Id-&gt;IsBHadron(...)</code>	Returns a boolean quantity indicating whether an <code>MCParticleFormat</code> object passed as argument is a hadron originating from the fragmentation of a $b$ -quark
<code>Id-&gt;IsCHadron(...)</code>	Returns a boolean quantity indicating whether an <code>MCParticleFormat</code> object passed as argument is a hadron originating from the fragmentation of a $c$ -quark
<code>Id-&gt;IsFinalState(...)</code>	Returns a boolean quantity indicating whether an <code>MCParticleFormat</code> object passed as argument is one of the final-state particles of the considered event
<code>Id-&gt;IsHadronic(...)</code>	Returns a boolean quantity indicating whether an <code>MCParticleFormat</code> or a reconstructed object passed as argument yields any hadronic activity in the event
<code>Id-&gt;IsInitialState(...)</code>	Returns a boolean quantity indicating whether an <code>MCParticleFormat</code> object passed as argument is one of the initial-state particles of the considered event
<code>Id-&gt;IsInterState(...)</code>	Returns a boolean quantity indicating whether an <code>MCParticleFormat</code> object passed as argument is one of the intermediate-state particles of the considered event
<code>Id-&gt;IsInvisible(...)</code>	Returns a boolean quantity indicating whether an <code>MCParticleFormat</code> or a reconstructed object passed as argument gives rise to missing energy
<code>Id-&gt;IsIsolatedMuon(...)</code>	Returns a boolean quantity indicating whether a <code>RecLeptonFormat</code> object passed as a first argument is isolated within a given reconstructed event, passed as a second argument (under the format of a <code>RecEventFormat</code> object)
<code>Id-&gt;SetFinalState(...)</code>	Takes an <code>MCEventFormat</code> object as argument and defines the status code number associated with final-state particles
<code>Id-&gt;SetInitialState(...)</code>	Takes an <code>MCEventFormat</code> object as argument and defines the status code number associated with initial-state particles
<code>Transverse-&gt;AlphaT(...)</code>	Returns the value of the $\alpha_T$ variable [22], as a floating-point number, for a given (Monte Carlo or reconstructed) event passed as argument
<code>Transverse-&gt;MT2(...)</code>	Returns, as a floating-point number, the value of the $M_{T2}$ variable [23, 24] computed from a system of two visible objects (the first two arguments, any particle class being accepted), the missing momentum (the third argument) and a test mass (a floating-point number given as the last argument)
<code>Transverse-&gt;MT2W(...)</code>	Returns, as a floating-point number, the value of the $M_{T2}^W$ variable [25] computed from a system of jets (a vector of <code>RecJetFormat</code> objects in the first argument), a visible particle (given as the second argument, any particle class being accepted) and the missing momentum (the third argument). Only available for reconstructed events

readily to be used. In this way, the only task left to the user after having implemented his/her analysis is to launch this script in a shell, directly from the `Build` directory. This leads first to the creation of a library that is stored in the `Build/Lib` subdirectory, which includes all the anal-

yses implemented by the user and the set of classes and methods of the `SAMPLEANALYZER` kernel. Next, this library is linked to the main program and an executable named `MadAnalysis5Job` is generated (and stored in the `Build` directory).

The program can be run by issuing in a shell the command  
`./MadAnalysis5Job <inputfile>`

where `<inputfile>` is a text file with a list of paths to all event files to analyze. All implemented analyses are sequentially executed and the results, generated according to the SAF format (see Sect. 2.5), are stored in the Output directory.

## 2.5 The structure of the output of an analysis

As indicated in the previous section, the program stores, after its execution, the results of the analysis or analyses that have been implemented by the user in the Output subdirectory of the working directory. First, a subdirectory with the same name as the input file (`<inputfile>` in the schematic example of Sect. 2.4) is created. If a directory with this name exists already, the code uses it without deleting its content. It contains a SAF file (updated if already existing) with global information on the analyzed event samples organized following an XML-like syntax:

```
<SampleGlobalInfo>
# xsection  xsec_error  nevents  sum_wgt+  sum_wgt-
0.00e+00   0.00e+00   0         0.00e+00  0.00e+00
</SampleGlobalInfo>
```

where we have set the numerical values to zero for the sake of the illustration. In reality these values are extracted from the event file that is read; they are kept equal to zero if not available. In addition, the format includes header and footer tags (`SAFheader` and `SAFfooter`) omitted for brevity.

Secondly, a subdirectory specific to each of the executed analyses is created within the `<inputfile>` directory. The name of the subdirectory is the name of the associated analysis followed by an integer number chosen in such a way that the directory name is unique. This directory contains a SAF file with general information on the analysis (name.saf, name denoting a generic analysis name), a directory with histograms (Histograms) and a directory with cut-flow charts (Cutflows).

In addition to a header and a footer, the name.saf file, still encoded according to an XML-like structure, contains a list with the names of the regions that have been declared in the analysis implementation. They are embedded in a `RegionSelection` XML structure, as in

```
<RegionSelection>
"MET>200 "
"MET>300 "
</RegionSelection>
```

when taking the example of Sect. 2.3.2.

The Histograms subdirectory contains a unique SAF file with, again in addition to a possible header and footer,

all the histograms implemented by the user. The single histogram declared in Sect. 2.3.2 would be encoded in the SAF format as in the following self-explanatory lines of code:

```
<Histo>
<Description>
"pt11"
# nbins          xmin          xmax
20              50             500
# associated RegionSelections
MET>300 # Region nr. 1
</Description>
<Statistics>
0 0 # nevents
0 0 # sum of event-weights over
    events
0 0 # nentries
0 0 # sum of event-weights over
    entries
0 0 # sum weights^2
0 0 # sum value*weight
0 0 # sum value^2*weight
0 0 # sum value*weight^2
</Statistics>
<Data>
0 0 # number of nan
0 0 # number of inf
0 0 # underflow
0 0 # bin 1 / 20
...
0 0 # bin 20 / 20
0 0 # overflow
</Data>
</Histo>
```

where the dots stand for the other bins that we have omitted for brevity. Again, for the sake of the example we have set all values to zero.

Finally, the Cutflows directory contains one SAF file for each of the declared regions, the filename being the name of the region followed by the saf extension. Each of these files contains the cut-flow chart associated with the considered region encoded by means of two types of XML tags. The first one is only used for the initial number of events (`InitialCounter`) whereas the second one is dedicated to each of the applied cuts. Taking the example of the first of the two cuts declared in Sect. 2.3.2, the `MET_gr_200.saf` file (the `>` symbol in the region name has been replaced by `_gr_`) would read

```

<InitialCounter>
  "Initial number of events"   #
  0         0                 # nentries
  0.00e+00  0.00e+00         # sum of weights
  0.00e+00  0.00e+00         # sum of weights^2
</InitialCounter>
<Counter>
  "1lepton"                   # 1st cut
  0         0                 # nentries
  0.00e+00  0.00e+00         # sum of weights
  0.00e+00  0.00e+00         # sum of weights^2
</Counter>

```

which is again self-explanatory.

### 3 Illustrative examples

In this section, we show two examples of analyses making use of the new features of MADANALYSIS 5 introduced in the previous section. First, we focus in Sect. 3.1 on the reinterpretation of a CMS search for stops in 8 TeV events with one single lepton, jets and missing energy [9]. Second, we investigate in Sect. 3.2 the implementation of a recent phenomenological analysis dedicated from the study of monotop systems decaying in the hadronic mode [10].

#### 3.1 Recasting a CMS search for supersymmetric partners of the top quark

We present an implementation of the CMS cut-based strategy for probing stops in the single lepton and missing energy channel as presented in Ref. [9]. The analysis contains 16 overlapping signal regions that share a set of common preselection cuts and that are distinguished by extra requirements. We refer to Refs. [9,21,26] for more details on the cuts, the analysis regions and their reimplementations that strictly obeys the syntax introduced in Sect. 2. For simplicity, we consider below only one of the signal regions, which is defined by the following cuts.

- We select events with a single tightly-isolated electron (muon) with a transverse momentum  $p_T^\ell > 30$  GeV (25 GeV) and a pseudorapidity satisfying  $|\eta^\ell| < 1.4442$  (2.1). Isolation is enforced by constraining the sum of the transverse momenta of all tracks<sup>3</sup> in a cone of radius  $R = 0.3$  centered on the lepton to be smaller than  $\min(5 \text{ GeV}, 0.15 p_T^\ell)$ .
- Events featuring in addition a loosely-isolated lepton with a transverse momentum  $p_T^\ell > 5$  GeV are vetoed. Isolation is enforced by constraining the sum of the trans-

- verse momenta of all tracks in a cone of  $R = 0.3$  centered on the lepton to be smaller than  $0.20 p_T^\ell$ .
- Events featuring an isolated track of transverse momentum  $p_T^{\text{track}} > 10$  GeV and whose electric charge is opposite to the one of the primary lepton are vetoed. Isolation is enforced by constraining the sum of the transverse momenta of all tracks in a cone of radius  $R = 0.3$  centered on the track to be smaller than  $0.10 p_T^{\text{track}}$ .
- Events with reconstructed hadronic taus of transverse momentum greater than 20 GeV are vetoed.
- Jets present in a cone of radius  $R = 0.4$  centered on a lepton are discarded. Four central jets with a transverse momentum  $p_T^j > 30$  GeV and a pseudorapidity  $|\eta^j| < 2.4$  are then required, with at least one of them being  $b$ -tagged.
- The transverse mass  $M_T$  reconstructed from the lepton and the missing transverse momentum is constrained to be larger than 120 GeV.
- The signal region consider events with at least 300 GeV of missing transverse energy, the preselection threshold common to all the analysis regions being 100 GeV. The missing transverse momentum is also required to be separated from the two hardest jets ( $\Delta\phi > 0.8$ ,  $\phi$  denoting the azimuthal angle with respect to the beam direction).
- The final-state configuration is required to contain a hadronically decaying top quark (by means of a  $\chi^2$ -fit based on the reconstructed objects).
- The  $M_{T2}^W$  variable must be greater than 200 GeV.

For the sake of the example, we include below a snippet of code describing the implementation of the veto on the presence of isolated tracks. We start by creating a variable (Tracks) containing all the tracks whose transverse momentum is larger than 10 GeV and pseudorapidity satisfies  $|\eta^{\text{track}}| < 2.1$ :

```

for(unsigned int i=0;
    i<event.rec()->tracks().size();
    i++)
{
  const RecTrackFormat *myTrack =
    &(event.rec()->tracks()[i]);
  double pt = myTrack->momentum().Pt();
  double abseta = std::fabs(myTrack->
    eta());
  if(pt>10.&&abseta<2.1) Tracks.push_
    back(myTrack);
}

```

Next, we iterate over this container and update a boolean variable noIsolatedTrack (initialized to true) to false if an isolated track of opposite charge (compared to the primary lepton charge, stored in the LeptonCharge variable) is found:

<sup>3</sup> The original analysis defines isolation from particle-flow objects [27]. Their correct modeling being difficult to reproduce in our setup, we only consider tracks in the inner detector.

```

noIsolatedTrack = true;
for(unsigned int i=0; i<Tracks.size();
    i++)
{
    if(Tracks[i]->charge() !=LeptonCharge)
    {
        for(unsigned int j=0;
            j<Tracks[i]->isolCones().size();
            j++)
        {
            const IsolationConeType *cone =
                &Tracks[i]->isolCones()[j];
            double pt = Tracks[i]->momentum().
                Pt();
            if(std::fabs(cone->deltaR()-0.3)
                <0.001)
                if(cone->sumPT()<.1*pt)
                    {noIsolatedTrack = false;
                    break; }
        }
    }
}

```

It is then sufficient to implement the verification of the cut condition as explained in Sect. 2.3.5,

```

if(!Manager()->ApplyCut(NoIsolatedTrack,
    "VetoIsTr"))
    return;

```

where we assume that a cut "VetoIsTr" has been declared in the analysis initialization method.

The validation of our reimplementaion relies on the comparison of results obtained with MADANALYSIS 5 with those of the CMS analysis note. We start from parton-level event samples that describe the supersymmetric signal in the context of specific benchmark scenarios, and which have been provided by the CMS collaboration. We then make use of the PYTHIA 6 program [28] for parton showering and hadronization, and of the previously mentioned modified version of the DELPHES 3 package [4,21] for a simulation of the detector response which uses the CMS detector description of Ref. [29]. Our final number of events are normalized to a signal cross section including the merging of the next-to-leading order result with resummed predictions at the next-to-leading logarithmic accuracy [30],  $\sigma = 0.0140$  pb, and an integrated luminosity of  $19.5 \text{ fb}^{-1}$ . More details on the validation procedure can be found in Refs. [21,26].

As an example, Table 9 shows the cut-flow chart for a benchmark point denoted by  $\tilde{t} \rightarrow t\tilde{\chi}_1^0$  (650/50) (using the naming scheme of the original CMS analysis). In this scenario, a pair of top squarks whose mass is equal to 650 GeV is produced, and each squark then decays with a 100 % branching ratio into a top quark and the lightest neutralino. The mass

**Table 9** Cut-flow chart for the benchmark point  $\tilde{t} \rightarrow t\tilde{\chi}_1^0$  (650/50) in the signal region investigated in Sect. 3.1. We present predictions after each of the (pre)selection cuts detailed in the text. The small statistical uncertainties are omitted for brevity. We present number of events for  $19.5 \text{ fb}^{-1}$  of simulated collisions, after normalizing the total production rate to 0.0140 pb

Cut	MADANALYSIS 5	CMS
At least one lepton, four jets and 100 GeV of missing transverse energy	31.4	29.7
At least one $b$ -tagged jet	27.1	25.2
No extra loosely-isolated lepton or track	22.5	21.0
No hadronic tau	22.0	20.6
Angular separation between the missing momentum and the two hardest jets	18.9	17.8
Hadronic top quark reconstruction	12.7	11.9
The transverse mass $M_T$ (defined in the text) is larger than 120 GeV	10.4	9.6
At least 300 GeV of missing transverse energy and $M_{T2}^W > 200$ GeV	5.1	4.2

of the latter is fixed to 50 GeV. We present both the output of MADANALYSIS 5 and the CMS expectation [31] and observe that an agreement at the level of 20 % has been obtained. This order of magnitude is not surprising as we are comparing a fast simulation made with DELPHES to the full simulation of CMS.

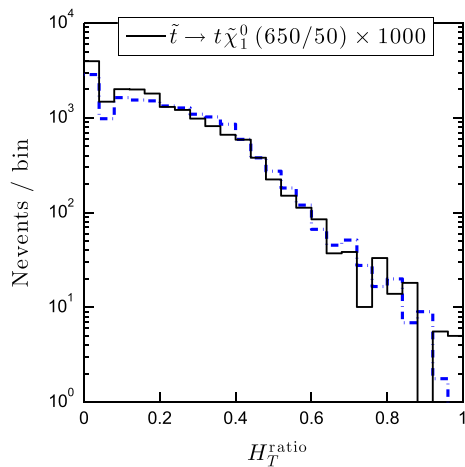
The CMS analysis of Ref. [9] contains, in addition to a cut-based analysis strategy, a second strategy relying on advanced multivariate techniques. One of the key variables of this analysis is a quantity denoted by  $H_T^{\text{ratio}}$ , defined as the fraction of the total scalar sum of the jet transverse energies (including only jets with transverse momentum larger than 30 GeV and pseudorapidity  $|\eta| < 2.4$ ) that lies in the same hemisphere as the missing momentum. For illustrative purposes, we present below a way to fill a histogram representing this variable. The definition of  $H_T$  appropriate here being different from Eq. (2), we recalculate it and store the value in the variable HT. The amount of hadronic energy located in the same hemisphere as the missing momentum is stored in the variable HTsameHemisphere. Both calculations rely on the Jets container, a collection of relevant jets.

```

double HT = 0.;
double HTsameHemisphere = 0.;
for(unsigned int i=0; i<Jets.size();
    i++)
{
    double Et = Jets[i]->momentum().Et();

```





**Fig. 2** Distribution in the  $H_T^{\text{ratio}}$  variable for the  $\tilde{t} \rightarrow t\tilde{\chi}_1^0$  (650/50) scenario investigated in Sect. 3.1. Only the first four cuts of Table 9 have been applied. The solid black line has been obtained with MADANALYSIS 5, while the dashed blue line is the CMS result

```
HT += Et;
if (Jets[i]->dphi_0_pi(pTmiss)
    < 1.5708)
    HTsameHemisphere += Et;
}
double HTratio = HTsameHemisphere / HT;
```

A histogram declared as "HTratio" at the level of the initialization of the analysis can then be filled using the syntax introduced in Sect. 2.3.5,

```
Manager()->FillHisto("HTratio",
                    HTratio);
```

The distribution that is extracted from the corresponding output SAF file is shown in Fig. 2, alongside the CMS result presented in Ref. [9]. The two are in good agreement.

### 3.2 Designing a phenomenological analysis for probing hadronic monotop states

The LHC sensitivity to the observation of a monotop state—a topology where a single, hadronically decaying top quark is produced in association with missing energy—has been recently investigated by means of a phenomenological analysis relying on a cut-and-count technique [10]. It exploits the presence of three final-state jets (including a  $b$ -tagged jet) compatible with a top quark decay, lying in a different hemisphere to the (large) missing transverse momentum. In more detail, events are selected as follows.

- Selected events are required to contain two or three light (non- $b$ -tagged) jets (allowing for one from initial or final state radiation) with a transverse momentum greater than 30 GeV, as well as one  $b$ -tagged jet with a transverse

momentum larger than 50 GeV. The pseudorapidity of each jet must satisfy  $|\eta^j| < 2.5$  and the ratio of their hadronic to electromagnetic calorimetric energy must be above 30 %.

- Events featuring isolated charged leptons with a transverse momentum  $p_T^\ell > 10$  GeV and a pseudorapidity  $|\eta^\ell| < 2.5$  are vetoed. Lepton isolation is enforced by imposing that the sum of the transverse momenta of all tracks in a cone of  $R = 0.4$  centered on the lepton is smaller than  $0.2p_T^\ell$ .
- At least 250 GeV of missing transverse energy is required.
- We select the pair of light jets whose invariant mass is the closest to the  $W$ -boson mass. This quantity is then constrained to lie in the [50, 105] GeV range.
- The missing momentum is constrained to be well separated from the momentum of the reconstructed top quark ( $\Delta\phi \in [1, 5]$ , the azimuthal angular distance being normalized in the  $[0, 2\pi]$  range).
- The missing momentum is constrained to be well separated from the momentum of the hardest jet ( $\Delta\phi \in [0.5, 5.75]$ , the azimuthal angular distance being normalized in the  $[0, 2\pi]$  range).
- The reconstructed top mass is constrained to lie in the [140, 195] GeV range.

As in Sect. 3.1, all these cuts can be easily implemented following the syntax of Sect. 2, so that we again restrict ourselves to the presentation of a few illustrative samples of code.

First, we show how to select the jet candidates relevant for the analysis and store them in a container named TheJets,

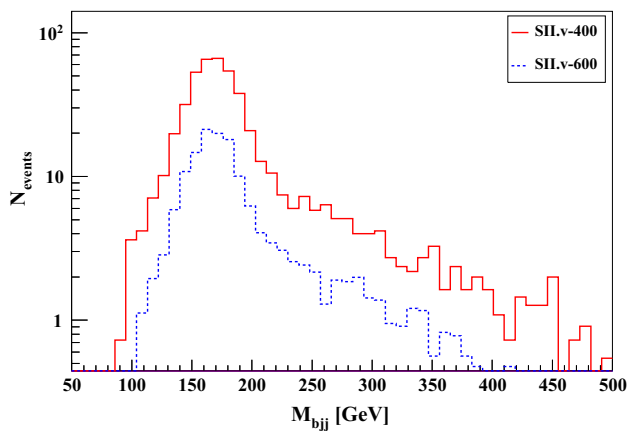
```
for (unsigned int i=0;
     i<event.rec()->jets.size();i++)
{
    const RecJetFormat *myj = &
        (event.rec()->jets[i]);
    double abseta = std::fabs(myj
        ->eta());
    double pt = myj->pt();
    double HEEE = myj->HEoverEE();
    if(abseta<2.5 && pt > 30 && HEEE
        >0.3)
        TheJets.push_back(myj);
}
```

In those self-explanatory lines of code, we have not yet split the jets into  $b$ -tagged and non- $b$ -tagged ones.

Second, we focus on the reconstruction of the  $W$ -boson and the top quark. Assuming that all selected light jets are stored in a vector named ljets and that the  $b$ -tagged jet is represented by the variable bjet, a possible implementation of a code deriving the four-momenta of the reconstructed  $W$ -boson and top quark would be

**Table 10** Cut-flow charts for the two considered monotop scenarios **SIL.v-400** and **SIL.v-600** (the numbers indicating the choice for the invisible state mass in GeV). We present the predicted number of events after each of the cuts detailed in the text, for an integrated luminosity of  $20 \text{ fb}^{-1}$  of LHC collisions at a center-of-mass energy of 8 TeV

Cut	<b>SIL.v-400</b>	<b>SIL.v-600</b>
Jet selection and lepton veto	$3176 \pm 51.2$	$774 \pm 25.2$
Missing energy requirement	$949 \pm 30.0$	$305 \pm 16.8$
$W$ -boson reconstructed mass	$515 \pm 22.4$	$163 \pm 12.5$
Separation of the reconstructed top quark from the missing momentum	$501 \pm 22.1$	$158 \pm 12.3$
Separation of the hardest jet from the missing momentum	$497 \pm 22.0$	$156 \pm 12.3$
Reconstructed top mass	$311 \pm 17.5$	$96 \pm 9.7$



**Fig. 3** Distribution in the reconstructed top mass  $M_{bjj}$  for the two new physics scenarios investigated in Sect. 3.2, **SIL.v-400** and **SIL.v-600** (the numbers indicating the choice for the invisible state mass in GeV). All selection cuts except the one on  $M_{bjj}$  have been applied. The curves are normalized to  $20 \text{ fb}^{-1}$  of simulated LHC collisions at a center-of-mass energy of 8 TeV

```

TLorentzVector w, top;
for(unsigned int i=0; i<ljets.size();
    i++)
for(unsigned int j=i+1; j<ljets.
    size(); j++)
{
    TLorentzVector w_tmp =
        ljets[i]->momentum()+ljets[j]
        ->momentum();

    if(i==0 && j==1 ||
        std::fabs(w_tmp.M()-80.)
        <std::fabs(w.M()-80.))
        w=w_tmp;
}

```

```

}

top = w + bjet->momentum();

```

In the lines above, the double `for`-loop derives the pair of light jets that form the system which is the most compatible with a  $W$ -boson. The four-momentum of the reconstructed  $W$ -boson is saved as an instance of the `TLorentzVector` class (named `w`) and the four-momentum of the reconstructed top quark is then derived by adding the four-momentum of the  $b$ -tagged jet (stored in the `top` variable). The reconstructed top mass could then be histogrammed via the standard command,

```

Manager()->FillHisto("Mtreco",
    top.M());

```

where a histogram named "Mtreco" has been initialized appropriately. Moreover, the selection cut on this variable could be implemented via

```

bool cutcondition = (top.M(>140) &&
    (top.M(<195));
if(!Manager()->ApplyCut(cutcondition,
    "Mtop"))
    return;

```

assuming that the cut named "Mtop" has been correctly initialized.

We apply the above analysis in the context of the **SIL.v** monotop scenario of Ref. [10]. In this setup, the monotop system arises from the flavor-changing interaction of an up quark with a novel invisible vector boson whose mass have been fixed to either 400 GeV or 600 GeV. Using the publicly available FEYNRULES [32,33] monotop model [34], we generate a UFO library [35] that we link to the MADGRAPH 5 event generator [36] that is used to simulate parton-level events that include the decay of the top quark. We then perform parton showering, hadronization and the simulation of the detector response as in Sect. 3.1. From our analysis implementation, we derive, in the context of the two considered new physics models, the distribution in the reconstructed top mass  $M_{bjj}$  of Fig. 3 and the cut-flow charts of Table 10.

## 4 Conclusion

We have presented a major extension of the expert mode of the MADANALYSIS 5 package. Both designing a prospective new physics analysis and recasting an experimental search featuring multiple signal regions can now be achieved in a user-friendly fashion that relies on a powerful handling of regions, histogramming and selection cuts. We have illustrated the strengths of our approach with two examples. First, we have reimplemented a CMS search for stops in events

with a single lepton and missing energy. We have shown that predictions of MADANALYSIS 5 agree with the CMS expectation at the level of 20 % for a specific benchmark scenario. Second, we have implemented a phenomenological study for estimating the LHC sensitivity to hadronically decaying monotop systems that has been employed in a recent publication.

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