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## Review Article

# Optimizing Dendritic Cell-Based Immunotherapy: Tackling the Complexity of Different Arms of the Immune System

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Earlier investigations have revealed a surprising complexity and variety in the range of interaction between cells of the innate and adaptive immune system. Our understanding of the specialized roles of dendritic cell (DC) subsets in innate and adaptive immune responses has been significantly advanced over the years. Because of their immunoregulatory capacities and because very small numbers of activated DC are highly efficient at generating immune responses against antigens, DCs have been vigorously used in clinical trials in order to elicit or amplify immune responses against cancer and chronic infectious diseases. A better insight in DC immunobiology and function has stimulated many new ideas regarding the potential ways forward to improve DC therapy in a more fundamental way. Here, we discuss the continuous search for optimal *in vitro* conditions in order to generate clinical-grade DC with a potent immunogenic potential. For this, we explore the molecular and cellular mechanisms underlying adequate immune responses and focus on most favourable DC culture regimens and activation stimuli in humans. We envisage that by combining each of the features outlined in the current paper into a unified strategy, DC-based vaccines may advance to a higher level of effectiveness.

## 1. Introduction

Dendritic cells (DCs), originally described by Steinman and Cohn [1], serve as a crucial link between innate and adaptive immunity [2]. Although they represent only a small population of leukocytes, they are the most powerful antigen presenting cells (APC) with the unique ability to activate naive T cells [3]. As sentinel members of the *innate immune system*, DCs respond to antigens and molecules containing pathogen-associated molecular patterns (PAMPs) or damage-associated molecular patterns (DAMPs)—so-called danger signals—by the generation of protective cytokines [4]. As members of the *acquired immune system*, DCs respond to these harmful molecules by efficient antigen uptake, processing, and presentation, and hence DCs are crucial in the initiation of adaptive immune responses. Besides their potent capacity to stimulate naive T cells, effector T cells and

memory T cells, as well as B cells, they are also involved in the maintenance of tolerance against harmless (auto)antigens [4, 5].

Because of their immunoregulatory capacities and because very small numbers of activated DCs are highly efficient at generating immune responses against antigens [6], DCs have been vigorously used in clinical trials in order to elicit or amplify immune responses against cancer and chronic infectious diseases [7]. Although an impressive amount of data has been obtained from these clinical trials completed thus far, the outcomes were not in line with initial expectations [8–10]. A critical issue in the development of DC-based vaccines is that their ability to stimulate immune responses depends largely on the activation state of DCs. In this paper, we discuss the continuous quest for the best *in vitro* conditions in order to generate clinical-grade DCs with a potent immunogenic potential. For this, we

explore the molecular and cellular mechanisms underlying adequate immune responses and focus on optimal DC culture regimens and activation stimuli in humans.

## 2. Origin and Subsets of DCs

DCs originate from CD34<sup>+</sup> haematopoietic stem cells in the bone marrow and circulate as precursors through the blood stream to target tissues. Additionally, it is well established that during physiological stress, monocytes are also a source of DC precursors and differentiate into immature DCs in the presence of GM-CSF and a variety of other cytokines. Immature DCs take residence at sites of potential antigen entry and are specialized in antigen capturing and processing. They recognize the so-called pathogen-associated molecular patterns (PAMPs) which are evolutionary conserved structures, including microbial lipids, carbohydrates, nucleic acids and intermediates of viral replication (double-stranded (ds)RNA), via pattern recognition receptors (PRRs) [11]. There are several types of PRRs that are involved in innate recognition of pathogens, including toll-like receptors (TLRs), nucleotide-binding-oligomerization-domain (NOD-like) receptors, interferon (IFN-induced) dsRNA-activated protein kinase (PKR), and RIG-I-like helicases [12]. Once DCs have captured a foreign nonself-antigen, they undergo a highly regulated maturation process and remodel into fully activated antigen-presenting DCs [13] capable to elicit effective immune responses. Indeed, mature DCs express high levels of several costimulatory molecules as well as major histocompatibility complex (MHC) molecules on their surface [14]. Maturation of DCs also induces the production of chemokines that attract naive and memory T cells. During the maturation process, DCs exit the nonlymphoid tissues to migrate via afferent lymph to lymphoid tissues. Subsequently, mature DCs will activate (naive) T- and B-lymphocytes that recognize the presented antigen as peptide-MHC complexes on the surface of the DC. Yet, additionally, positive amplification of antigen presentation via costimulation and secretion of various cytokines is also crucial to induce proper immune responses [3, 15] (cf. 3.1).

Besides the above-delineated classical view of the DC life cycle, it has gradually become clear that DCs do not represent a homogeneous population. Briefly, the first division is the distinction between plasmacytoid and myeloid or conventional DCs (cDCs). Plasmacytoid DCs (pDCs), also referred to as type I IFN-producing cells (IPC), are the key effectors in the innate immune system because of their extraordinary capacity to produce type I IFN upon viral infection [16, 17]. The conventional DCs can be further subdivided according to their localization: (i) lymphoid organ-resident DCs, (ii) peripheral tissue-resident DCs (e.g., langerhans cells and interstitial DCs), and (iii) circulating DCs. In human blood, differences in DC subsets can be identified based on differential expression of specific markers: pDCs express CD303 (BDCA-2), CD304 (BDCA-4), and CD123 (IL-3R $\alpha$ ), whereas cDCs are characterized by their expression of CD1c (BDCA-1) and CD11c [18, 19]. In addition, pDCs and cDCs also express a different set of toll-like receptors

TLRs [20]. In brief, pDCs express mainly TLR7 and TLR9, whereas cDCs exhibit strong expression of TLR1, TLR2, TLR3, TLR4, and TLR8. Accordingly, pDCs mainly recognize viral components and produce a large amount of IFN- $\alpha$ . In contrast, cDCs recognize bacterial components and produce proinflammatory cytokines such as TNF- $\alpha$ , IL-6, and IL-12p70 to activate proinflammatory T-cell subsets [T helper type 1 (Th1)/Th17] and consequently recruit cytotoxic T-lymphocytes (CTL). Because of the unique biological function of each DC subset, it was proposed that a specific DC lineage determines the outcome of T-cell contact, that is, tolerance or immunity. Indeed, it was initially thought that cDCs were inducers of immunity, while pDCs induced tolerance [21]. However, nowadays pDCs are believed to be the key effector cells in the early antiviral innate immune response by producing large amounts of type I interferons upon viral infection. Furthermore, it has been shown that pDCs augment immune responses by cross-talking with cDCs by the production of IFN- $\alpha$ , thereby playing a key role in effective stimulation of adaptive immunity as well. In addition to IFN- $\alpha$  production, it has been demonstrated that mouse pDCs also express CD40L, which activates cDCs to produce IL-12p70 [22] (Figure 1).

Recently, several groups identified a unique human DC subset (CD11c<sup>+</sup>BDCA-3<sup>+</sup>) as the homologue of mouse CD8 $\alpha$ <sup>+</sup> DC [23–26]. Of particular importance is their superior antigen cross-presentation capacity, expression of the XC chemokine receptor 1 (XCR1), and their capacity to produce high levels of bioactive IL-12p70. Initially, it was suggested that BDCA-3<sup>+</sup> DCs and BDCA-1<sup>+</sup> DCs may represent maturational stages of the same cell type. The fact that BDCA-3 expression is induced on a reasonable proportion of BDCA-1<sup>+</sup> DCs after culture-induced maturation may be considered an argument in favour of the former concept. However, since the same observation was also made for IL-3-stimulated pDC, such data could also be taken as an argument in favour of a similar relationship between BDCA-3<sup>+</sup> DCs and pDCs [27]. Nowadays, it is well accepted that BDCA-3<sup>+</sup> DCs represent a unique myeloid DC subset that effectively activates CD8<sup>+</sup> CTL, in analogy with mouse CD8 $\alpha$ <sup>+</sup> DCs. This supports a potential key role for the myeloid BDCA-3<sup>+</sup> DC subset in immunity to viruses, as well as other intracellular pathogens [28–30] and may have important implications in the design of human DC vaccines.

## 3. The Immune System against Cancer and Chronic Infectious Diseases

*3.1. 3-Signal Theory for T-Cell Activation.* Therapeutic vaccines to treat chronic infectious diseases (such as human immunodeficiency virus (HIV), cytomegalovirus (CMV), hepatitis B virus (HBV), and hepatitis C virus (HCV)), or numerous tumor types (including melanoma, leukaemia, breast, and prostate cancer) mainly aim to induce antigen-specific cell-mediated immunity to clear infected cells and eliminate tumor cells. Recent studies have shown that DCs play a critical role in directing effector T-cell responses towards a Th1, Th2, Th17, or regulatory T cell (Treg) response [31, 32].

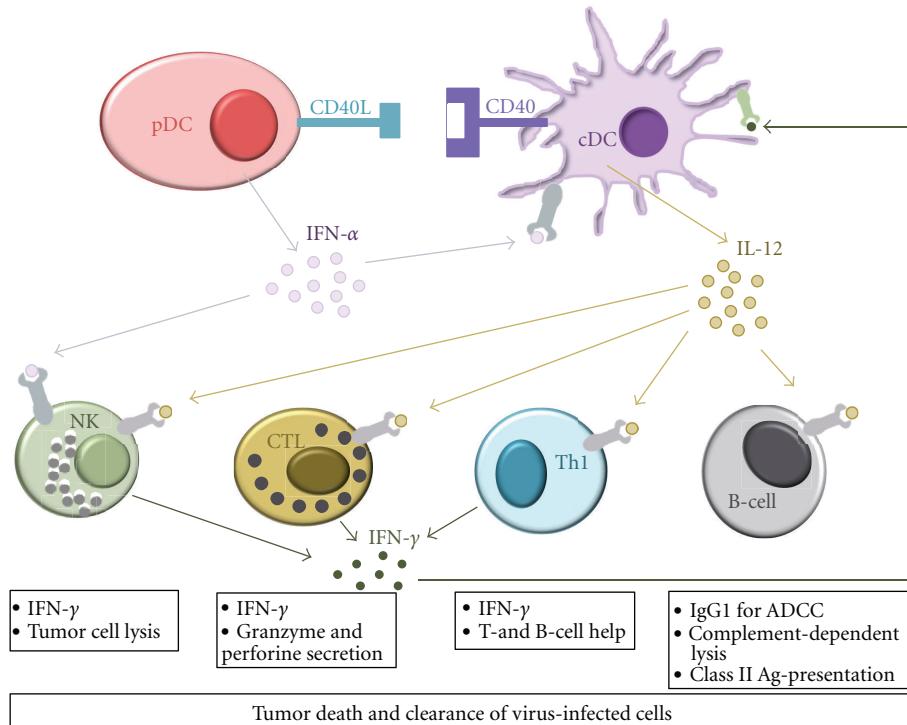


FIGURE 1: Cooperative action of different DC subsets to tackle both innate and adaptive immunity for clearance of tumors and viral infection.

Briefly, upon maturation, DCs upregulate the expression of certain products necessary to supply T lymphocytes with the 3 signals that will determine their activation status and general fate [33]: antigen-specific signalling via the T cell receptor (TCR), mediated by the binding of MHC-peptide complexes to the TCR drives the initial interaction between DCs and T cells (i.e., signal 1). Costimulation by surface molecules on APC, such as DCs, can either amplify or regulate the interaction with T cells (i.e., signal 2) [34]. Costimulatory molecules can be divided in two classes: Ig superfamily members, including CD28, that interact with several members of the B7 family (CD80/CD86) [35–37] on the one hand, and TNF receptor superfamily members, including CD27 and CD40, that bind to membrane-bound proteins of the TNF superfamily [35, 38, 39] on the other hand. CD28 is expressed on T cells, and is the receptor for CD80 and CD86 expressed on activated APC [37]. Ligation of CD28 provides costimulatory signals required for T cell activation: (i) altering the threshold level of TCR ligation required for activation, (ii) reducing the time needed to stimulate naive T cells and (iii) enhancing the magnitude of the T cell response. Without CD28 signalling, the T cell would either become apoptotic or anergic [40]. The B7 family of costimulatory molecules has been extensively reviewed elsewhere [41, 42]. CD27, a member of the TNF receptor superfamily, is constitutively expressed on the surface of naive T cells, in contrast to other members of the TNF receptor family [43] and can thus play a role during the initiation of T cell responses [35]. The contribution of CD27 to the immune response is dependent upon CD70 expression [44]. While during primary T cell activation there seems to

be a certain redundancy in CD80/CD86 and CD70 costimulation, it is triggering of CD27 on T-lymphocytes by its ligand CD70 that enhances the magnitude of antigen-specific cytotoxic T cell responses [38, 45], which is required for effective immunotherapy. CD27/CD70 interaction increases the initial expansion and survival of antigen-specific T cells [46] and improves their cytotoxic capacity [47]. Furthermore, a recent study has shown that CD70 expressed on mouse DEC205<sup>+</sup> cDCs represents an IL-12p70-independent Th1-inducing factor [48] (vide infra). Taken together, enhancing CD70 expression on DCs would lead to the development of a vaccine strategy capable of facilitating the CD27/CD70 interaction, and hence the induction of an adequate anti-tumor or antiviral immune response. Finally, mature DCs can secrete a variety of pro- and anti-inflammatory cytokines for differentiation from naive T cells to effector T cells (i.e., signal 3). One well-studied third signal agent is interleukin (IL)-12p70 for the induction of Th1 and CTL [49], which are essential for efficient tumor/pathogen rejection [50]. IL-12p70 is a multifunctional proinflammatory cytokine with pleiotropic effects and comprises two subunits: p35 and p40. Highly-coordinated p35 and p40 gene expression results in the formation of the biologically active form IL-12p70 and is essential for initiation of an effective immune response. Indeed, IL-12p70 activates natural killer (NK) and T cells to produce mainly IFN- $\gamma$ , it favours the generation of CTL and it enhances the cytotoxic activation of activated NK cells [51]. Besides the activation of innate and antigen-specific adaptive immunity against the tumor cells, the antitumor effects of IL-12p70 are based on the ability to inhibit tumor angiogenesis through IFN- $\gamma$  [52, 53]. In addition, IL-12p70

is crucial in the early phase of host defence against microbial infections [52, 54, 55], where it is produced within a few hours after bacterial, fungal or parasitic infection [52]. Thus, to develop an efficient vaccine against tumors or chronic infectious diseases, DCs producing the biologically active form IL-12p70 are desired [56].

**3.2. Other Arms of Cellular Immunity Required to Fight Cancer and Chronic Infectious Diseases.** Rather than simply recruiting Th1 cells and CTL, vaccines should be designed to recruit other cellular arms of the immune system as well, for example, NK cells and antibody-producing B cells. In this perspective, it has been shown that DCs also play a key role in the activation of NK cells that can have powerful effects against tumor cells, particularly those with attenuated MHC expression [57]. Indeed, in response to DC-derived cytokines, such as IL-12p70 and IL-18, NK cells are able to produce IFN- $\gamma$  [58]. In turn, exposure to signals provided by activated NK cells subsequently induces DCs to mature into a highly stimulatory phenotype that produces sustained IL-12p70, thereby promoting adaptive immunity [59, 60]. Overall, these findings support the concept to include DC-NK interactions in order to improve DC-based immunotherapy. Furthermore, recent studies that have resulted in reappraisal of the potential of antibodies in the control of tumors and viruses support the strategy that DC-based vaccines should also be designed with antibody production in mind [61–63]. In addition to priming of T cells and NK cells, the group of Banchereau have recently demonstrated that DCs may also directly signal naive B-cell differentiation through the production IL-12p70 [64] and indirectly by promoting the differentiation of IL-21-producing T follicular helper cells (T<sub>fh</sub>) in an IL-12p70-dependent manner [65, 66]. These observations suggest that IL-12p70 could constitute a potent vaccine adjuvant in situations when both the cellular and humoral arms of the immune system are required, such as cancer [62, 63] and HIV [61]. Indeed, studies with rhesus macaques have concluded that IL-12p70 enhances the induction of specific antibody responses in vivo when used as vaccine adjuvant [67–69]. Noteworthy, IL-12p70 also possesses a number of powerful nonimmunologically related anticancer activities. For example, IL-12p70 plays a role as an antiangiogenic agent that can strongly inhibit the formation of neovasculature [53].

Taken together, the goal of many DC-based vaccination protocols is to cultivate DCs that are capable of expressing immunostimulatory cytokines (IL-12p70) and costimulatory molecules (CD70) in parallel with antigen presentation. Since expression of costimulatory molecules and cytokine secretion can be influenced by environmental signals during DC maturation, it is necessary to find an optimal cytokine environment for DC maturation in order to create a powerful vaccine against several cancer types or chronic infectious diseases. Various attempts have subsequently been made in order to harness DC to achieve most powerful immunity, including strategies to enhance or stabilise antigen-specific stimulation, as well as essential costimulatory modulation of DCs.

## 4. Harnessing DCs for Clinical Use

**4.1. Antigen Loading Strategy.** To maximize the efficiency and stability of antigen presentation by DCs, several strategies have been developed. These include direct in vivo delivery of antigen to circulating DCs in patients [70], as well as a variety of ways for in vitro loading of DCs with antigen. Indeed, antigens coupled to antibodies specific for DC markers, such as 33D1 or DEC-205, have already been used in preclinical models to deliver antigens to DCs in vivo [71]. Additionally, DCs transduced ex vivo with tumor- or viral-derived mRNA or DNA [72–74], fused with tumor cells [75, 76], or directly loaded with tumor- or viral-derived peptides [77, 78] have been tested for the induction of antigen-specific immune responses in vitro and in vivo. While the use of peptides as a source of antigen has several limitations when implementing clinical trials with antigen-loaded DCs, including human leukocyte antigen (HLA) restriction as well as a limited number of identified immunodominant tumor- and virus-associated antigens, we [79] and others [80–84] have previously shown that DCs transfected with mRNA-encoding antigens are superior to other loading strategies to induce immune responses. In general, there are several advantages regarding the use of mRNA for antigen loading of DCs [72, 85] as compared to tumor-associated peptides. mRNA transfection will generate multiple antigenic epitopes, possibly more immunogenic than those already characterized, independent of the patient's HLA haplotype. In addition, mRNA can be isolated and amplified from autologous tumor or virally infected cells in order to obtain mRNA encoding patient-specific antigens [86–88]. Moreover, because mRNA only has a short half-life and does not integrate in the host genome, genetic modification of DCs by mRNA electroporation is considered to be highly safe and an easily applicable clinical tool.

**4.2. Different Sources for Isolation or Generation of DCs.** The earliest studies on DC vaccination were initiated in 1993 and utilized whole blood leukapheresis products with subsequent gradient centrifugation procedures to enrich for rare immature DC precursors of the peripheral blood before antigen loading and maturation [89]. However, because of low yield of circulating DCs and difficulty to obtain them, the clinical utility of DC vaccines was initially limited. In a second attempt to directly isolate DCs from peripheral blood, they were first mobilized by cytokines such as Flt3-ligand [90, 91]. Unfortunately, the in vivo expanded cells lacked efficient protein uptake properties [89]. Moreover, although blood DCs from patients with a malignant or chronic infectious disease may seem to have normal distributions, they might have some functional defects, such as a lower expression of costimulatory molecules or an impaired capacity to stimulate autologous antigen-specific T cells [92, 93]. Currently, DCs for vaccination studies are generally obtained in large numbers after in vitro generation. At first, human DCs were cultured from CD34<sup>+</sup> haematopoietic progenitors in the presence of granulocyte-macrophage colony stimulating factor (GM-CSF) and tumor necrosis factor alpha (TNF- $\alpha$ ) [94, 95]. However, only few studies that used CD34<sup>+</sup>-derived



DC preparations for vaccination protocols in clinical phase I studies have been reported [96, 97]. Nowadays, generating DCs from peripheral blood CD14<sup>+</sup> monocytes is a generally-accepted method and is extensively used in experimental and clinical vaccination studies. In doing so, large numbers of monocyte-derived (mo-)DCs are obtained without necessity for pretreatment of donors with any cytokines to mobilize DC progenitor cells [98]. Yet, the design of DC-based clinical trials varies greatly, including DC preparation, and therefore, standardization and further improvement for clinical use are needed [99].

While a combination of granulocyte-macrophage colony-stimulating factor (GM-CSF) with IL-4 is most commonly used to induce immature DCs from monocytes [100, 101], a variety of other cytokines, such as IFN- $\alpha$  [102–104], TNF- $\alpha$  [105, 106], and IL-15 [107] have been used in combination with GM-CSF for this purpose. In this perspective, Santini et al. [102] as well as Arimoto-Miyamoto et al. [34] reported independently that IFN- $\alpha$  induces rapid differentiation of freshly isolated GM-CSF-treated human monocytes into mo-DCs endowed with potent functional activities, both in vitro and in vivo [102, 103], possibly mediated by IFN- $\alpha$ -dependent induction of CD70 expression [34]. It must be noted however that IFN- $\alpha$  also induces activity of RNases [108], and can not therefore be used in in vitro culture regimens for DCs when mRNA-based in vitro modification of DCs is wanted [109]. In addition, others have demonstrated that CD14<sup>+</sup> monocytes respond to IL-15 by undergoing morphological transformation and acquiring characteristic DC features that facilitate antigen-specific responses of T cells [110]. In contrast to IFN- $\alpha$ -modulated DCs, mRNA electroporation appeared to serve as an efficient antigen-loading strategy for IL-15-treated DCs [111]. Furthermore, Chomarat et al. described that TNF- $\alpha$  facilitates the induction of adaptive immunity also by promoting DC differentiation from CD14<sup>+</sup> blood precursors in vitro [106]. However, it has been reported in contrast that TNF- $\alpha$ -treated semi mature DCs induce tolerance in experimental acute encephalitis (EAE), a mouse model for multiple sclerosis [112]. Moreover, due to strong plastic adherence before and to a lesser extent also after maturation, IL-15 and TNF- $\alpha$  treatment for DC generation results in a lower DC yield [34]. Consequently, the well-established and generally used combination of GM-CSF and IL-4 [100, 101] is the most efficient method to obtain mo-DCs that express acceptable levels of CD70 with minimal loss of cells by adherence [34] and with good compatibility with a mRNA approach [79].

**4.3. Various Stimuli to Obtain Mature DC.** Regardless of how they are generated, it is important that DCs are activated to a mature phenotype, since immature DCs are no longer considered as competent candidates for vaccination trials because of their low T-cell activation potential [113–115]. Most DC culture regimens that have been commonly employed in clinical trials have activated DCs through the use of individual cytokines associated with inflammation [101] or inflammatory cytokine cocktails [116].

Indeed, in an attempt to resemble a physiological environment for DC maturation, balanced cocktails of maturation agents that may be the most representative of various inflammatory states have often been used. In 1996, Romani was the first to describe a method to mature DCs from human blood by using a conditioned medium containing an unidentified cytokine mixture produced by adherent peripheral blood mononuclear cells (PBMC) stimulated by human immunoglobulins or fixed *Staphylococcus aureus* Cowan I strain [98]. Only one year later, Morse and colleagues described a way to mature mo-DCs by adding TNF- $\alpha$  to the culture medium. TNF- $\alpha$  appeared to enhance the number of cells expressing the maturation marker CD83, which seemed to be the most potent allostimulatory cells in mixed lymphocyte reactions [117]. Also, Jonuleit et al. reported for the first time a well-defined cytokine cocktail to induce DC maturation, consisting of IL-1 $\beta$ , IL-6, TNF- $\alpha$ , and PGE<sub>2</sub> [116]. This combination of proinflammatory mediators represents current “golden” standard for activation of DCs, although the concentration of the diverse components varies among studies. Fully-mature DCs induced by this combination of inflammatory cytokines have been consistently observed as superior to immature DCs in promoting a higher degree of specific T-cell priming in vitro and in vivo. While PGE<sub>2</sub> increases the expression of CCR7 and hence the capacity of DCs to migrate to the regional lymph nodes through chemotaxis by CCL-19 and/or -21 [118], PGE<sub>2</sub> also inhibits IL-12p70 secretion by DCs [56]. Although some details remain incompletely clarified, expression of IL-12p70 appears to be under unusually tight regulation and requires at least 2 signals activating both MyD88 (myeloid differentiation factor 88)- and TRIF (TIR domain-containing adapter-inducing IFN- $\beta$ )-dependent pathways simultaneously for maximal expression [119, 120]. Of note, TLRs, commonly used for activation of DCs, are divided in those that are MyD88-dependent and those that are TRIF-dependent, hence explaining observed requirements of multiple TLR engagement for maximized IL-12p70 production. In this perspective, mature DCs with the potential to produce high amounts of biologically active IL-12p70 (10–15 ng/mL) were obtained by Mailliard et al. in 2004, who used a combination of IL-1 $\beta$ , TNF- $\alpha$ , IFN- $\alpha$ , IFN- $\gamma$ , and poly I:C [121]. Although these mature mo-DCs displayed a slightly decreased migratory capacity [121], they induced significantly more antigen-specific cytotoxic T cells than did the “golden standard” counterparts, dependent on the high IL-12p70 secretion. In 2007, Zobywalski et al. proposed a cytokine cocktail consisting of TNF- $\alpha$ , IL-1 $\beta$ , IFN- $\gamma$ , R848 and PGE<sub>2</sub> as the best cocktail to allow large-scale processing of clinical-grade mo-DCs with the capacity to secrete IL-12p70 [56]. Addition of poly I:C to this cocktail significantly increased IL-12p70 production even more, yet it disabled the mature DCs to express the transgene after exogenous RNA electroporation and it led to a decline in cell viability [56]. Dohnal et al. used a mixture of LPS and IFN- $\gamma$  to mature DCs [122]. Although high IL-12p70 secretion by mature mo-DCs was previously attributed to the addition of IFN- $\gamma$  [121], IFN- $\gamma$  also appeared to be responsible for the low migratory ability of DCs cultivated in the presence of LPS and IFN- $\gamma$

[122, 123]. Nevertheless, this migratory problem could be fixed by including PGE<sub>2</sub> in the maturation-inducing cytokine cocktail [123, 124]. In addition, whereas DC maturation by TLR ligand alone (including LPS, CpG, and poly I:C) has been reported to increase expression of classical activation markers as well as many inflammatory cytokines [125], a TLR agonist alone does not result in a substantial CD8<sup>+</sup> T-cell response, which is probably due to no or very low levels of IL-12p70 secretion as well as insufficient induction of CD70 by TLR ligand stimulation alone [126]. According to Sanchez et al., expression of CD70 on mo-DCs requires combined TLR/CD40 stimulation [125]. In preliminary experiments, we experienced that addition of IFN- $\gamma$  alone to a cocktail of proinflammatory cytokines is neither enough for optimal CD70 induction on mo-DC (unpublished data). In contrast, addition of IFN- $\gamma$  in combination with the TLR7/8 agonist R848 to the standard maturation cocktail from which IL-6 was omitted resulted in a significant increase in CD70 expression (unpublished data).

From the above-mentioned observations, it may be evident that each compound added to a cytokine cocktail can influence DC phenotype and function in its own way and the “ideal” maturation mixture still needs to be well considered. Taken together, the “ideal” maturation cocktail to prime Th1-polarizing mo-DCs must contain PGE<sub>2</sub> [123, 124], for its migration-inducing potential, a TLR ligand (e.g., LPS [125] or R848 (own unpublished data), but not poly I:C [56]) in combination with CD40L [125] or IFN- $\gamma$  [56], and some proinflammatory cytokines that have a positive impact on DC maturation (e.g., TNF- $\alpha$  [117] and IL-1 $\beta$  [116]). In addition, the cocktail must be free of IL-6 which has been described to inhibit IL-12p70 secretion [34, 56], while IL-4 [126] and IL-10 [126] need to be eliminated from the cocktail as well, since these cytokines prevent CD70 expression.

Alternatively, one can optimise DC immunogenicity through molecular modification of the cells [109], for example, by selective overexpression of genes encoding immunostimulatory signals (e.g., IL-12p70 [127, 128], CD40 or CD40 ligands [129, 130], and CD80/CD86) or by selective downmodulation of negative regulatory molecules, such as IL-10 [131, 132], IDO [133], SOCS1 [134, 135], and TGF-beta [136].

**4.4. Influence of Different Oxygen Levels and Culture Media on Mo-DC Physiology.** Mo-DC generation as well as maturation does not solely depend on the cytokine environment, but can also be influenced by oxygen levels, culture media and medium supplements. Mo-DCs are generally differentiated *ex vivo* in incubators that maintain atmospheric oxygen levels of 21% O<sub>2</sub> in combination with 5% CO<sub>2</sub>. In contrast, DCs do not come across such high oxygen levels *in vivo*. Indeed, the oxygen levels in tissues are usually 3–5% [137], whereas approximately 12% in arterial blood [138]. In many inflamed and tumor tissues, even extremely low oxygen levels (<1%) have been found [139]. Therefore it is evident that DCs experience rapid changes of oxygen supply during their migration in different tissues. Although it is well recognized that tissue microenvironments are involved in regulating the

development and function of immune cells, including B- and T cells, only few studies have investigated the effect of hypoxia (<1% oxygen saturation) or physiological oxygen levels ( $\pm$ 3% oxygen saturation) on the differentiation of human DCs from progenitors and their maturation. Yang et al. reported that monocytes remain able to differentiate into DCs under hypoxia. However, these hypoxia-conditioned DCs displayed poor T cell-stimulatory activity and shifted towards a Th2-stimulatory phenotype [140], presumably as a consequence of the marked reduction of MHC class II and costimulatory molecule expression, [141] as well as of reduced Th1-polarizing cytokine secretion [140, 141]. The observed inhibition of DC function by hypoxia could possibly explain why most tumors can efficiently escape from host immune surveillance. However, Wang et al. showed only one year later that reoxygenation of hypoxia-differentiated DCs results in complete recovery of their mature phenotype and function, including a strong ability of the reoxygenated DCs to drive immune responses towards a proinflammatory Th1/Th17 direction [141]. Besides hypoxic conditions, one study investigated the influence of physiological oxygen levels on DC physiology and antigen-presenting capacity. Surprisingly, no difference in expression of surface molecules (CD54, CD40, CD83, CD86, HLA-DR, CXCR4, CCR7) nor secretion of TNF- $\alpha$ , IL-6, and IL-10 was observed between DC cultures under physiological (3%) or atmospherical (21%) oxygen levels [138]. Albeit that DCs stimulated with LPS or CD40L under physiological O<sub>2</sub> conditions secreted higher amounts of IL-12p70, these DCs did not elicit increased CD8<sup>+</sup> T-cell responses *in vitro*, as measured by IFN- $\gamma$  secretion [138]. Taken together, there is still some controversy on whether physiologically or atmospherically oxygen levels must be used for DC culture and not enough data exist to robustly support a conclusion.

For optimal production of clinical-grade DCs from peripheral blood monocytes, it is also important to choose the appropriate culture medium as well as potential serum supplements. Initially, most mo-DCs used for clinical trials were generated in medium supplemented with plasma or serum, such as fetal bovine serum (FBS) containing xenologous proteins. For this, FBS can be immunogenic and possibly transfer bovine-related infections, including bovine spongiform encephalopathy [98, 142]. However also the use of autologous or allogeneic (pooled) serum derived from patients or healthy controls, respectively, might lead to undesired immunomodulatory ingredients that can affect DC phenotype and function [143]. Therefore, it is clear that by eliminating the need for serum, an undesirable variable is removed making the medium more defined and consistent [142, 143]. For this reason, several clinical-grade serum-free media are now commercially available and have been tested, including XVivo15, XVivo20, and AIMV [98, 144, 145]. Although so far only a small amount of studies have compared mo-DCs differentiated in serum-free medium with cells cultured in medium containing serum, they all agree that serum-containing media were more able to generate mature mo-DCs as compared with serum-free media [143, 145, 146]. The latter resulted mainly in the generation of semimature mo-DCs that express CD83 (a mature DC

marker [147]) as well as CD1a (an immature DC marker [98, 101]), and were slightly but consistently less able to produce IL12p70 in response to maturation-inducing stimuli [142, 143]. Other characteristics, including yield, surface expression of maturation markers, in vitro survival, migratory capacities and induction of lymphocyte proliferation, were comparable between DCs differentiated in serum-free or serum-containing media [99, 143, 145, 146]. In vivo assays following transfer of such mo-DCs generated in serum-free medium into humans are needed to decide whether the limited difference in CD1a expression and cytokine production is of true biological relevance.

## 5. Taking DC into the Clinic

**5.1. Completed and Ongoing Clinical Trials.** Despite the use of mature DCs in vaccination trials, results from multiple clinical trials with DC-based vaccines have been contradictory and only fractions of enrolled patients show potent antitumor or antiviral immune responses with moderate clinical response rates (approximately 10–15%) (reviewed in [10, 148–151]) or partial control of viremia and immune reconstitution [77, 152–154], respectively. Several studies suggested that this is because of inefficient activation of Th1-polarized responses due to incomplete DC maturation [155–157]. For this, different strategies are currently being pursued in order to improve the efficacy and outcome of DC-based cancer vaccines. Considering the above-mentioned powerful immune-stimulatory properties possessed by IL-12p70, DC-based vaccination strategies may consistently benefit from incorporation or endogenous induction of this cytokine. In a first phase I clinical trial by the group of Czerniecki [158], 13 breast cancer subjects were injected intranodally with short-term DCs activated with a cytokine-cocktail consisting of IFN- $\gamma$  and LPS in order to induce IL-12p70-secreting DCs. The authors reported induction of robust detectable immunity as evidenced by in vitro monitoring of circulating vaccine-induced antigen-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells, as well as both T- and B-cell infiltrates into tumor region as well as dramatic reductions in tumor volume. Additionally, Dohnal et al. [122] also showed the safety and feasibility of IFN- $\gamma$ /LPS-activated DCs for the treatment of paediatric cancer patients. Besides that no adverse events were reported, they also demonstrated the potential of IL-12p70-secreting DCs to induce cellular immune responses. It should, however, be noted that Traxlmayr et al. [159] reported IL-12p70-dependent proliferation of immunosuppressive  $\gamma\delta$  T cells in cancer patients vaccinated with IL-12p70-secreting DCs, pointing to a negative regulatory feedback mechanism for DC-controlled immune responses.

Furthermore, it has been demonstrated by others [160–162] that DCs electroporated with mRNA encoding CD40 ligand, CD70, and constitutively active toll-like receptor 4, so-called TriMix DCs, display increased potential for the induction and amplification of tumor-specific responses in patients with advanced melanoma. Noteworthy, a positive delayed-type hypersensitivity assay (DTH) postvaccination correlated with IL-12p70 secreting capacity of vaccinated DCs.

**5.2. Overcoming Tumor and Virus Immune Escape.** One of the major obstacles against successful DC vaccination, is certain immunosuppressive mechanisms triggered by the tumor cells or viruses. Indeed, under the influence of the tumorigenic microenvironment, the host DCs may acquire a tolerogenic phenotype. These tumor-conditioned DCs could, in return, produce a variety of immunosuppressive molecules and thus further supporting tumor immune escape [163]. For example, many tumors produce IL-10 [164], a potent immunosuppressive cytokine. We (unpublished data) and others have previously shown that DC differentiation and functional activities are tightly regulated by this cytokine [165, 166]. In return, DCs can secrete IL-10 and effectively inhibit T-cell activation. Additionally, numerous viruses, including human CMV, HIV, herpes simplex virus type 1, and measles virus, target DCs [167, 168], and have evolved strategies to specifically modulate DC phenotype and/or function, thereby promoting virus-mediated immune escape. For example, DCs infected by human CMV are characterized by reduced expression of MHC class I and II molecules, costimulatory molecules, and proinflammatory cytokines, which consequently results in reduced T-cell activation [169]. Nowadays, emerging evidence indicates that one of the most effective ways to enhance the efficacy of DC-based immunotherapy is by targeting the negative arm of immune regulation. For future clinical trials, this may be achieved by the use of small interfering RNA (siRNA) for knocking down IL-10 expression by DCs [131, 132], or other negative regulatory molecules, such as indoleamine 2,3-dioxygenase (IDO) [133], suppressors of cytokine signalling 1 (SOCS1) [134, 135], and transforming growth factor (TGF)- $\beta$  [136]. Indeed, inhibition of expression of these regulatory molecules has been demonstrated to significantly enhance the abilities of DCs to present tumor antigens, to produce IL-12p70, and to induce effectively antitumor responses.

**5.3. Future Perspectives.** With respect to tackle different arms of the immune system, many different approaches are currently being pursued. In particular, considering the distinct ability of different DC subsets in inducing both innate and adaptive immunity, the exploitation of specific subsets of DCs to elicit the desired immune response is foreseen. Although pDCs primarily contribute to innate antiviral immune responses by producing IFN- $\alpha/\beta$  [16], this ability also has been reported to activate other DCs, including those involved in cross-priming [170], and consequently greater activation of adaptive immune responses. In doing so, pDCs may play a critical role in provoking cancer immunity. Hence, combination therapies aiming at interaction of pDCs and cDCs to stimulate T-cell priming, and hence effective anti-tumor or antiviral immunity are needed in cancer patients and chronically infected patients (Figure 1).

Additionally, differentiation of monocytes into DCs with cocktails including GM-CSF and IL-15 will generate cells with the phenotype and characteristics of Langerhans cells (LC), which are far more efficient in vitro in priming antigen-specific CD8<sup>+</sup> T cells than DCs derived with GM-CSF and IL-4 [111, 171]. As described by others, LCs are



very efficient in cross-presenting peptides to CD8<sup>+</sup> T cells, which acquire potent cytotoxicity and are able to efficiently kill target cells, including tumor cell lines that express peptide-HLA complex, only at low amounts [172] in an IL-15-dependent manner. The pivotal role of LC to allow maximal stimulation of both humoral and cellular immune responses, supports the important concept for targeting LC in the design of vaccines that aim at eliciting strong cellular immune responses [66, 173, 174].

The recent identification of human CD141<sup>+</sup> DCs that can effectively cross-present antigens has clear implications for the design of new therapies to treat cancers and infectious diseases with improved efficacy. It has been reported that the limiting cytokine for the development of the murine counterpart is the Fms-related tyrosine kinase 3 ligand (Flt3L), rather than GM-CSF or M-CSF, which has major influence on the development of inflammatory and migratory DCs [175–177]. However, although Poulin et al. [24] made a first attempt to delineate in vitro culturing conditions for the generation of CD141<sup>+</sup> DCs from human progenitor cells, further optimization of such protocols is necessary to allow for their use in adoptive transfer immunotherapy approaches.

## 6. Conclusion

Current efforts for DC-based modalities have been compromised by a failure to utilize the full potential of DCs. However, even though only limited success rates have been achieved to date, DC vaccination remains a promising immunological approach against tumors and/or viruses and deserves further exploration. Alternative strategies to enhance DC immunogenicity by functional conditioning and molecular modifications have been investigated in vitro. The different findings discussed here, indicate that DCs can indeed be functionally conditioned and genetically modified to acquire an enhanced immunogenic phenotype. For this, time has come to bring DC-based immunotherapy to the next level and implement above-mentioned observations in a standardized regimen for alternatively conditioned DCs. Results from first clinical trials will subsequently reveal their potential in order to improve treatment of cancer and chronic infections.

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