

Research Article

Control Problems for Semilinear Neutral Differential Equations in Hilbert Spaces

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We construct some results on the regularity of solutions and the approximate controllability for neutral functional differential equations with unbounded principal operators in Hilbert spaces. In order to establish the controllability of the neutral equations, we first consider the existence and regularity of solutions of the neutral control system by using fractional power of operators and the local Lipschitz continuity of nonlinear term. Our purpose is to obtain the existence of solutions and the approximate controllability for neutral functional differential control systems without using many of the strong restrictions considered in the previous literature. Finally we give a simple example to which our main result can be applied.

1. Introduction

Let H and V be real Hilbert spaces such that V is a dense subspace in H . Let U be a Banach space of control variables. In this paper, we are concerned with the global existence of solution and the approximate controllability for the following abstract neutral functional differential system in a Hilbert space H :

$$\begin{aligned} \frac{d}{dt} [x(t) + (Bx)(t)] &= Ax(t) + f(t, x(t)) + (Cu)(t), \\ t &\in (0, T], \quad (1) \\ x(0) &= x_0, \quad (Bx)(0) = y_0, \end{aligned}$$

where A is an operator associated with a sesquilinear form on $V \times V$ satisfying Gårding's inequality, f is a nonlinear mapping of $[0, T] \times V$ into H satisfying the local Lipschitz continuity, $B : L^2(0, T; V) \rightarrow L^2(0, T; H)$ and $C : L^2(0, T; U) \rightarrow L^2(0, T; H)$ are appropriate bounded linear mapping.

This kind of equations arises in population dynamics, in heat conduction in material with memory and in control systems with hereditary feedback control governed by an integrodifferential law.

Recently, the existence of solutions for mild solutions for neutral differential equations with state-dependence delay has been studied in the literature in [1, 2]. As for partial neutral integrodifferential equations, we refer to [3–6]. The controllability for neutral equations has been studied by many authors, for example, local controllability of neutral functional differential systems with unbounded delay in [7], neutral evolution integrodifferential systems with state dependent delay in [8, 9], impulsive neutral functional evolution integrodifferential systems with infinite delay in [10], and second order neutral impulsive integrodifferential systems in [11, 12]. Although there are few papers treating the regularity and controllability for the systems with local Lipschitz continuity, we can just find a recent article by Wang [13] in case of semilinear systems. Similar considerations of semilinear systems have been dealt with in many references [14–17].

In this paper, we propose a different approach from the earlier works (briefly introduced in [1–6] about the mild solutions of neutral differential equations. Our approach is that results of the linear cases of Di Blasio et al. [18] and semilinear cases of [19] on the L^2 -regularity remain valid under the above formulation of the neutral differential equation (1). For the basics of our study, the existence of local

solutions of (1) is established in $L^2(0,T;V) \cap W^{1,2}(0,T;V^*) \hookrightarrow C([0,T];H)$ for some $T > 0$ by using fractional power of operators and Sadvoskii's fixed point theorem. Thereafter, by showing some variations of constant formula of solutions, we will obtain the global existence of solutions of (1) and the norm estimate of a solution of (1) on the solution space. Consequently, in view of the properties of the nonlinear term, we can take advantage of the fact that the solution mapping $u \in L^2(0,T;U) \mapsto x$ is Lipschitz continuous, which is applicable for control problems and the optimal control problem of systems governed by nonlinear properties.

The second purpose of this paper is to study the approximate controllability for the neutral equation (1) based on the regularity for (1); namely, the reachable set of trajectories is a dense subset of H . This kind of equations arises naturally in biology, physics, control engineering problem, and so forth.

The paper is organized as follows. In Section 2, we introduce some notations. In Section 3, the regularity results of general linear evolution equations besides fractional power of operators and some relations of operator spaces are stated. In Section 4, we will obtain the regularity for neutral functional differential equation (1) with nonlinear terms satisfying local Lipschitz continuity. The approach used here is similar to that developed in [13, 19] on the general semilinear evolution equations, which is an important role to extend the theory of practical nonlinear partial differential equations. Thereafter, we investigate the approximate controllability for the problem (1) in Section 5. Our purpose in this paper is to obtain the existence of solutions and the approximate controllability for neutral functional differential control systems without using many of the strong restrictions considered in the previous literature.

Finally, we give a simple example to which our main result can be applied.

2. Notations

Let Ω be a region in an n -dimensional Euclidean space \mathbb{R}^n and closure $\bar{\Omega}$.

$C^m(\Omega)$ is the set of all m -times continuously differential functions on Ω .

$C_0^m(\Omega)$ will denote the subspace of $C^m(\Omega)$ consisting of these functions which have compact support in Ω .

$W^{m,p}(\Omega)$ is the set of all functions $f = f(x)$ whose derivative $D^\alpha f$ up to degree m in distribution sense belong to $L^p(\Omega)$. As usual, the norm is then given by

$$\|f\|_{m,p,\Omega} = \left(\sum_{\alpha \leq m} \|D^\alpha f\|_{p,\Omega}^p \right)^{1/p}, \quad (2)$$

$$1 \leq p < \infty,$$

$$\|f\|_{m,\infty,\Omega} = \max_{\alpha \leq m} \|D^\alpha f\|_{\infty,\Omega}, \quad (3)$$

where $D^0 f = f$. In particular, $W^{0,p}(\Omega) = L^p(\Omega)$ with the norm $\|\cdot\|_{p,\Omega}$.

$W_0^{m,p}(\Omega)$ is the closure of $C_0^\infty(\Omega)$ in $W^{m,p}(\Omega)$.

For $p = 2$ we denote $W^{m,2}(\Omega) = H^m(\Omega)$ and $W_0^{2,p}(\Omega) = H_0^m(\Omega)$.

Let $p' = p/(p-1)$, $1 < p < \infty$. $W^{-1,p}(\Omega)$ stands for the dual space $W_0^{1,p'}(\Omega)^*$ of $W_0^{1,p}(\Omega)$ whose norm is denoted by $\|\cdot\|_{-1,p,\infty}$.

If X is a Banach space and $1 < p < \infty$,

$L^p(0,T;X)$ is the collection of all strongly measurable functions from $(0,T)$ to X , the p th powers of norms are integrable,

$C^m([0,T];X)$ will denote the set of all m -times continuously differentiable functions from $[0,T]$ to X .

If X and Y are two Banach spaces, $B(X,Y)$ is the collection of all bounded linear operators from X to Y , and $B(X,X)$ is simply written as $B(X)$.

For an interpolation couple of Banach spaces X_0 and X_1 , $(X_0, X_1)_{\theta,p}$ and $[X_0, X_1]_\theta$ denote the real and complex interpolation spaces between X_0 and X_1 , respectively.

Let A be a closed linear operator in a Banach space. Then

$D(A)$ denotes the domain of (A) and $R(A)$ the range of A ;

$\rho(A)$ denotes the resolvent set of A , $\sigma(A)$ the spectrum of A , and $\sigma_p(A)$ the point spectrum of A ;

the kernel or null space $\{x \in D(A) : Ax = 0\}$ of A is denoted by $\text{Ker}(A)$.

3. Regularity for Linear Equations

If H is identified with its dual space we may write $V \subset H \subset V^*$ densely and the corresponding injections are continuous. The norm on V , H , and V^* will be denoted by $\|\cdot\|$, $|\cdot|$ and $\|\cdot\|_*$, respectively. The duality pairing between the element v_1 of V^* and the element v_2 of V is denoted by (v_1, v_2) , which is the ordinary inner product in H if $v_1, v_2 \in H$.

For $l \in V^*$ we denote (l, v) by the value $l(v)$ of l at $v \in V$. The norm of l as element of V^* is given by

$$\|l\|_* = \sup_{v \in V} \frac{|(l, v)|}{\|v\|}. \quad (4)$$

Therefore, we assume that V has a stronger topology than H and, for brevity, we may consider

$$\|u\|_* \leq |u| \leq \|u\|, \quad \forall u \in V. \quad (5)$$

Let $a(\cdot, \cdot)$ be a bounded sesquilinear form defined in $V \times V$ and satisfying Gårding's inequality:

$$\text{Re } a(u, u) \geq \delta \|u\|^2, \quad \delta > 0. \quad (6)$$

Let A be the operator associated with this sesquilinear form:

$$(Au, v) = a(u, v), \quad u, v \in V. \quad (7)$$

Then A is a bounded linear operator from V to V^* by the Lax-Milgram theorem. The realization of A in H which is the restriction of A to

$$D(A) = \{u \in V : Au \in H\} \tag{8}$$

is also denoted by A . From the following inequalities

$$\delta \|u\|^2 \leq \operatorname{Re} a(u, u) \leq C |Au| |u| \leq C \|u\|_{D(A)} |u|, \tag{9}$$

where

$$\|u\|_{D(A)} = (|Au|^2 + |u|^2)^{1/2} \tag{10}$$

is the graph norm of $D(A)$, it follows that there exists a constant $C_0 > 0$ such that

$$\|u\| \leq C_0 \|u\|_{D(A)}^{1/2} |u|^{1/2}. \tag{11}$$

Thus we have the following sequence:

$$D(A) \subset V \subset H \subset V^* \subset D(A)^*, \tag{12}$$

where each space is dense in the next one and continuous injection.

Lemma 1. *With the notations (11), (12), one has*

$$\begin{aligned} (V, V^*)_{1/2,2} &= H, \\ (D(A), H)_{1/2,2} &= V, \end{aligned} \tag{13}$$

where $(V, V^*)_{1/2,2}$ denotes the real interpolation space between V and V^* (Section 1.3.3 of [20]).

It is also well known that A generates an analytic semigroup $S(t)$ in both H and V^* . The following lemma is from Lemma 3.6.2 of [21].

Lemma 2. *Let $S(t)$ be the semigroup generated by $-A$. Then there exists a constant M such that*

$$|S(t)| \leq M, \quad \|s(t)\|_* \leq M. \tag{14}$$

For all $t > 0$ and every $x \in H$ or V^* there exists a constant $M > 0$ such that the following inequalities hold:

$$|S(t)x| \leq Mt^{-1/2} \|x\|_*, \quad \|S(t)x\| \leq Mt^{-1/2} |x|. \tag{15}$$

By virtue of (6), we have that $0 \in \rho(A)$ and the closed half plane $\{\lambda : \operatorname{Re} \lambda \geq 0\}$ is contained in the resolvent set of A . In this case, there exists a neighborhood U of 0 such that

$$\rho(A) \supset \{\lambda : |\arg \lambda| > \omega\} \cup U. \tag{16}$$

Hence, we can choose that the path Γ runs in the resolvent set of A from $\infty e^{i\theta}$ to $\infty e^{-i\theta}$, $\omega < \theta < \pi$, avoiding the negative axis. For each $\alpha > 0$, we put

$$A^{-\alpha} = \frac{1}{2\pi i} \int_{\Gamma} \lambda^{-\alpha} (A - \lambda)^{-1} d\lambda, \tag{17}$$

where $\lambda^{-\alpha}$ is chosen to be for $\lambda > 0$. By assumption, $A^{-\alpha}$ is a bounded operator. So we can assume that there is a constant $M_0 > 0$ such that

$$\|A^{-\alpha}\|_{\mathcal{L}(H)} \leq M_0, \quad \|A^{-\alpha}\|_{\mathcal{L}(V^*, V)} \leq M_0. \tag{18}$$

For each $\alpha \geq 0$, we define an operator A^α as follows:

$$A^\alpha = \begin{cases} (A^{-\alpha})^{-1} & \text{for } \alpha > 0, \\ I & \text{for } \alpha = 0. \end{cases} \tag{19}$$

The subspace $D(A^\alpha)$ is dense in H and the expression

$$\|x\|_\alpha = \|A^\alpha x\|, \quad x \in D(A^\alpha) \tag{20}$$

defines a norm on $D(A^\alpha)$.

Lemma 3. (a) A^α is a closed operator with its domain dense.
 (b) If $0 < \alpha < \beta$, then $D(A^\alpha) \supset D(A^\beta)$.
 (c) For any $T > 0$, there exists a positive constant C_α such that the following inequalities hold for all $t > 0$:

$$\|A^\alpha S(t)\|_{\mathcal{L}(H)} \leq \frac{C_\alpha}{t^\alpha}, \quad \|A^\alpha S(t)\|_{\mathcal{L}(H, V)} \leq \frac{C_\alpha}{t^{3\alpha/2}}. \tag{21}$$

Proof. From [21, Lemma 3.6.2] it follows that there exists a positive constant C such that the following inequalities hold for all $t > 0$ and every $x \in H$ or V^* :

$$|AS(t)x| \leq \frac{C}{t} |x|, \quad \|AS(t)x\| \leq \frac{C}{t^{3/2}} |x|, \tag{22}$$

which implies (21) by properties of fractional power of A . For more details about the above lemma, we refer to [21, 22]. \square

Let the solution spaces $\mathcal{W}(T)$ and $\mathcal{W}_1(T)$ of strong solutions be defined by

$$\begin{aligned} \mathcal{W}(T) &= L^2(0, T; D(A)) \cap W^{1,2}(0, T; H), \\ \mathcal{W}_1(T) &= L^2(0, T; V) \cap W^{1,2}(0, T; V^*). \end{aligned} \tag{23}$$

Here, we note that by using interpolation theory, we have

$$\mathcal{W}(T) \subset C([0, T]; V), \quad \mathcal{W}_1(T) \subset C([0, T]; H). \tag{24}$$

Thus, there exists a constant $M_1 > 0$ such that

$$\|x\|_{C([0, T]; V)} \leq M_1 \|x\|_{\mathcal{W}(T)}, \quad \|x\|_{C([0, T]; H)} \leq M_1 \|x\|_{\mathcal{W}_1(T)}. \tag{25}$$

First of all, consider the following linear system:

$$\begin{aligned} x'(t) + Ax(t) &= k(t), \\ x(0) &= x_0. \end{aligned} \tag{26}$$

By virtue of Theorem 3.3 of [6] (or Theorem 3.1 of [3, 21]), we have the following result on the corresponding linear equation of (26).

Lemma 4. Suppose that the assumptions for the principal operator A stated above are satisfied. Then the following properties hold:

- (1) for $x_0 \in V = (D(A), H)_{1/2,2}$ (see Lemma 1) and $k \in L^2(0, T; H)$, $T > 0$, there exists a unique solution x of (26) belonging to $\mathcal{W}(T) \subset C([0, T]; V)$ and satisfying

$$\|x\|_{\mathcal{W}(T)} \leq C_1 (\|x_0\| + \|k\|_{L^2(0, T; H)}), \tag{27}$$

where C_1 is a constant depending on T ;

- (2) let $x_0 \in H$ and $k \in L^2(0, T; V^*)$, $T > 0$; then there exists a unique solution x of (26) belonging to $\mathcal{W}_1(T) \subset C([0, T]; H)$ and satisfying

$$\|x\|_{\mathcal{W}_1(T)} \leq C_1 (|x_0| + \|k\|_{L^2(0, T; V^*)}), \tag{28}$$

where C_1 is a constant depending on T .

Lemma 5. For every $k \in L^2(0, T; H)$, let $x(t) = \int_0^t S(t-s)k(s)ds$ for $0 \leq t \leq T$. Then there exists a constant C_2 such that

$$\|x\|_{L^2(0, T; V)} \leq C_2 \sqrt{T} \|k\|_{L^2(0, T; H)}. \tag{29}$$

Proof. By (27) we have

$$\|x\|_{L^2(0, T; D(A))} \leq C_1 \|k\|_{L^2(0, T; H)}. \tag{30}$$

Since

$$\begin{aligned} \|x\|_{L^2(0, T; H)}^2 &= \int_0^T \left| \int_0^t S(t-s)k(s)ds \right|^2 dt \\ &\leq M \int_0^T \left(\int_0^t |k(s)| ds \right)^2 dt \\ &\leq M \int_0^T t \int_0^t |k(s)|^2 ds dt \\ &\leq M \frac{T^2}{2} \int_0^T |k(s)|^2 ds, \end{aligned} \tag{31}$$

it follows that

$$\|x\|_{L^2(0, T; H)} \leq T \sqrt{M/2} \|k\|_{L^2(0, T; H)}. \tag{32}$$

From (11), (30), and (32) it holds that

$$\|x\|_{L^2(0, T; V)} \leq C_0 \sqrt{C_1 T} \left(\frac{M}{2} \right)^{1/4} \|k\|_{L^2(0, T; H)}. \tag{33}$$

So, the proof is completed. \square

4. Semilinear Differential Equations

Consider the following abstract neutral functional differential system:

$$\begin{aligned} \frac{d}{dt} [x(t) + (Bx)(t)] &= Ax(t) + f(t, x(t)) + k(t), \\ t &\in (0, T], \end{aligned} \tag{34}$$

$$x(0) = x_0, \quad (Bx)(0) = y_0.$$

Then we will show that the initial value problem (34) has a solution by solving the integral equation:

$$\begin{aligned} x(t) &= S(t) [x_0 + y_0] - (Bx)(t) \\ &+ \int_0^t AS(t-s)Bx(s)ds \\ &+ \int_0^t S(t-s) \{f(s, x(s)) + k(s)\} ds. \end{aligned} \tag{35}$$

Now we give the basic assumptions on the system (34).

Assumption B. Let $B : L^2(0, T; V) \rightarrow L^2(0, T; H)$ be a bounded linear mapping such that there exist constants $\beta > 1/3$, $L > 0$, and a continuous nondecreasing function $b(t) : [0, T] \rightarrow \mathbb{R}$ with $b(0) = 0$ such that

$$\begin{aligned} \|A^\beta Bx\|_{L^2(0, t; H)} &\leq b(t) \|x\|_{L^2(0, t; V)}, \\ \forall (t, x) &\in (0, T] \times L^2(0, T; V). \end{aligned} \tag{36}$$

Assumption F. f is a nonlinear mapping of $[0, T] \times V$ into H satisfying the following.

- (i) There exists a function $L_1 : \mathbb{R}_+ \rightarrow \mathbb{R}$ such that

$$|f(t, x) - f(t, y)| \leq L_1(t) \|x - y\|, \quad t \in [0, T], \tag{37}$$

hold for $\|x\| \leq r$ and $\|y\| \leq r$.

- (ii) The inequality

$$|f(t, x)| \leq L_1(t) (\|x\| + 1) \tag{38}$$

holds for every $t \in [0, T]$ and $x \in V$.

Let us rewrite $(Fx)(t) = f(t, x(t))$ for each $x \in L^2(0, T; V)$. Then there is a constant, denoted again by $L_1(t)$, such that

$$\begin{aligned} \|Fx\|_{L^2(0, T; H)} &\leq L_1(t) (\|x\|_{L^2(0, T; V)} + 1), \\ \|Fx_1 - Fx_2\|_{L^2(0, T; H)} &\leq L_1(t) \|x_1 - x_2\|_{L^2(0, T; V)} \end{aligned} \tag{39}$$

hold for $x \in L^2(0, T; V)$ and $x_1, x_2 \in B_r(T) = \{x \in L^2(0, T; V) : \|x\|_{L^2(0, T; V)} \leq r\}$.

From now on, we establish the following results on the solvability of (34).

Theorem 6. Let Assumptions B and F be satisfied. Assume that $x_0 \in H$, $k \in L^2(0, T; V^*)$ for $T > 0$. Then, there exists a solution x of (34) such that

$$x \in \mathcal{W}_1(T) \equiv L^2(0, T; V) \cap W^{1,2}(0, T; V^*) \subset C([0, T]; H). \tag{40}$$

Moreover, there is a constant C_3 independent of x_0 and the forcing term k such that

$$\|x\|_{\mathcal{W}_1(T)} \leq C_3 (1 + |x_0| + \|k\|_{L^2(0, T; V^*)}). \tag{41}$$

One of the main useful tools in the proof of existence theorems for functional equations is the following Sadovskii's fixed point theorem.

Lemma 7 (see [23]). *Suppose that Σ is a closed convex subset of a Banach space X . Assume that K_1 and K_2 are mappings from Σ to X such that the following conditions are satisfied:*

- (i) $(K_1 + K_2)(\Sigma) \subset \Sigma$,
- (ii) K_1 is a completely continuous mapping,
- (iii) K_2 is a contraction mapping.

Then the operator $K_1 + K_2$ has a fixed point in Σ .

Proof of Theorem 6. Let

$$r_0 = 2C_1 |x_0 + y_0|, \tag{42}$$

where C_1 is constant in Lemma 4. Let $\beta > 1/3$, and choose $0 < T_1 < T$ such that

$$T_1^{3\beta/2} \left[\{C_2 L_1(r_0)(r_0 + 1) + C_2 \|k\|_{L^2(0,T_1;V)}\} + 2r_0 b(T_1) C_{1-\beta} (3\beta)^{-1/2} (3\beta - 2)^{-1} \right] \tag{43}$$

$$+ r_0 M_0 b(T_1) \leq C_1 |x_0 + y_0|,$$

where C_2 is constant in Lemma 5. Let

$$\widehat{M} \equiv T_1^{3\beta/2} \left\{ C_2 L_1(r_0) + 2(3\beta)^{-1/2} (3\beta - 2)^{-1} C_{1-\beta} b(T_1) \right\} + M_0 b(T_1) < 1. \tag{44}$$

Define a mapping $J : L^2(0, T_1; V) \rightarrow L^2(0, T_1; V)$ as

$$\begin{aligned} (Jx)(t) &= S(t)(x_0 + y_0) - (Bx)(t) \\ &+ \int_0^t AS(t-s)(Bx)(s) ds \\ &+ \int_0^t S(t-s) \{f(s, x(s)) + k(s)\} ds. \end{aligned} \tag{45}$$

It will be shown that the operator J has a fixed point in the space $L^2(0, T_1; V)$. By Assumptions B and F, it is easily seen that J is continuous from $C([0, T_1]; H)$ in itself. Let

$$\Sigma = \{x \in L^2(0, T_1; V) : \|x\|_{L^2(0, T_1; V)} \leq r_0, x(0) = x_0\}, \tag{46}$$

which is a bounded closed subset of $L^2(0, T_1; V)$. From (27) it follows that

$$\|S(\cdot)(x_0 + y_0)\|_{L^2(0, T_1; V)} \leq C_1 |x_0 + y_0|. \tag{47}$$

By (21), (25), and assumption B we have

$$\begin{aligned} \|Bx\|_{L^2(0, T_1; V)} &= \|A^{-\beta} A^\beta Bx\|_{L^2(0, T_1; V)} \\ &\leq \|A^{-\beta}\|_{\mathcal{L}(H, V)} \|A^\beta Bx\|_{L^2(0, T_1; H)} \\ &\leq r_0 M_0 b(T_1). \end{aligned} \tag{48}$$

By virtue of (29) in Lemma 5, for $0 < t < T_1$, it holds that

$$\begin{aligned} &\left\| \int_0^t S(t-s) \{f(s, x(s)) + k(s)\} ds \right\|_{L^2(0, T_1; V)} \\ &\leq C_2 \sqrt{T_1} \|Fx + k\|_{L^2(0, T_1; H)} \\ &\leq C_2 \sqrt{T_1} \{L_1(r_0) (\|x\|_{L^2(0, T_1; V)} + 1) + \|k\|_{L^2(0, T_1; V)}\} \\ &\leq C_2 \sqrt{T_1} \{L_1(r_0)(r_0 + 1) + \|k\|_{L^2(0, T_1; V)}\}. \end{aligned} \tag{49}$$

Since (21) and Assumption F the following inequality holds:

$$\begin{aligned} \|AS(t-s)Bx(s)\| &= \|A^{1-\beta}S(t-s)A^\beta Bx(s)\| \\ &\leq \frac{C_{1-\beta}}{(t-s)^{3(1-\beta)/2}} r_0 b(T_1). \end{aligned} \tag{50}$$

Let

$$(Wx)(t) = \int_0^t AS(t-s)Bx(s) ds. \tag{51}$$

Then there holds

$$\begin{aligned} \|Wx\|_{L^2(0, T_1; V)} &= \left[\int_0^{T_1} \left\| \int_0^t AS(t-s)Bx(s) ds \right\|^2 dt \right]^{1/2} \\ &\leq \left[\int_0^{T_1} \left(\int_0^t \frac{C_{1-\beta}}{(t-s)^{3(1-\beta)/2}} r_0 b(T_1) ds \right)^2 dt \right]^{1/2} \\ &\leq 2r_0 b(T_1) C_{1-\beta} (3\beta - 2)^{-1} \left(\int_0^{T_1} t^{3\beta-1} dt \right)^{1/2} \\ &= 2r_0 b(T_1) C_{1-\beta} (3\beta)^{-1/2} (3\beta - 2)^{-1} T_1^{3\beta/2}. \end{aligned} \tag{52}$$

Therefore, from (43), (47)–(52) it follows that

$$\begin{aligned} \|Jx\|_{L^2(0, T_1; V)} &\leq C_1 |x_0 + y_0| + r_0 M_0 b(T_1) \\ &+ T_1^{3\beta/2} \left[\{C_2 L_1(r_0)(r_0 + 1) + C_2 \|k\|_{L^2(0, T_1; V)}\} \right. \\ &\quad \left. + 2(3\beta)^{-1/2} (3\beta - 2)^{-1} r_0 b(T_1) C_{1-\beta} \right] \leq r_0, \end{aligned} \tag{53}$$

and hence J maps Σ into Σ .

Define mapping $K_1 + K_2$ on $L^2(0, T_1; V)$ by the formula

$$\begin{aligned} (Jx)(t) &= (K_1 x)(t) + (K_2 x)(t), \\ (K_1 x)(t) &= -(Bx)(t), \end{aligned}$$

$$\begin{aligned} (K_2 x)(t) &= S(t)(x_0 + y_0) \\ &+ \int_0^t AS(t-s)(Bx)(s) ds \\ &+ \int_0^t S(t-s) \{f(s, x(s)) + k(s)\} ds. \end{aligned} \tag{54}$$

We can now employ Lemma 7 with Σ . Assume that a sequence $\{x_n\}$ of $L^2(0, T_1; V)$ converges weakly to an element $x_\infty \in L^2(0, T_1; V)$; that is, $w - \lim_{n \rightarrow \infty} x_n = x_\infty$. Then we will show that

$$\lim_{n \rightarrow \infty} \|K_1 x_n - K_1 x_\infty\| = 0, \tag{55}$$

which is equivalent to the complete continuity of K_1 since $L^2(0, T_1; V)$ is reflexive. For a fixed $t \in [0, T_1]$, let $x_t^*(x) = (K_1 x)(t)$ for every $x \in L^2(0, T_1; V)$. Then $x_t^* \in L^2(0, T_1; V^*)$ and we have $\lim_{n \rightarrow \infty} x_t^*(x_n) = x_t^*(x_\infty)$ since $w - \lim_{n \rightarrow \infty} x_n = x_\infty$. Hence,

$$\lim_{n \rightarrow \infty} (K_1 x_n)(t) = (K_1 x_\infty)(t), \quad t \in [0, T_1]. \tag{56}$$

By (21), (25), and assumption B we have

$$\begin{aligned} \|(K_1 x)(t)\| &= \|(Bx)(t)\| = \|A^{-\beta} A^\beta Bx(t)\| \\ &\leq \|A^{-\beta}\|_{\mathcal{L}(H, V)} \|A^\beta Bx\|_{L^2(0, T_1; H)} \leq \infty. \end{aligned} \tag{57}$$

Therefore, by Lebesgue's dominated convergence theorem it holds that

$$\lim_{n \rightarrow \infty} \int_0^{T_1} \|(K_1 x_n)(t)\|^2 dt = \int_0^{T_1} \|(K_1 x_\infty)(t)\|^2 dt; \tag{58}$$

that is, $\lim_{n \rightarrow \infty} \|K_1 x_n\|_{L^2(0, T_1; V)} = \|K_1 x_\infty\|_{L^2(0, T_1; V)}$. Since $L^2(0, T_1; V)$ is a Hilbert space, the relation (55) holds. Next, we prove that K_2 is a contraction mapping on Σ . Indeed, for every x_1 and $x_2 \in \Sigma$, we have

$$\begin{aligned} &(K_2 x_1)(t) - (K_2 x_2)(t) \\ &= \int_0^t AS(t-s) \{(Bx_1)(s) - (Bx_2)(s)\} ds \\ &+ \int_0^t S(t-s) \{f(s, x_1(s)) - f(s, x_2(s))\} ds. \end{aligned} \tag{59}$$

Similar to (49) and (52), we have

$$\begin{aligned} &\|K_2 x_1 - K_2 x_2\|_{L^2(0, T_1; V)} \\ &\leq T_1^{3\beta/2} \left\{ C_2 L_1(r_0) + 2(3\beta)^{-1/2} \right. \\ &\quad \left. \times (3\beta - 2)^{-1} C_{1-\beta} b(T_1) \right\} \\ &\times \|x_1 - x_2\|_{L^2(0, T_1; V)}. \end{aligned} \tag{60}$$

So by virtue of condition (44) the contraction mapping principle gives that the solution of (34) exists uniquely in $[0, T_1]$.

So by virtue of condition (44), K_2 is contractive. Thus, Lemma 7 gives that the equation of (34) has a solution in $\mathcal{W}_1(T_1)$.

From now on we establish a variation of constant formula (41) of solution of (34). Let x be a solution of (34) and $x_0 \in H$. Then we have that from (47)-(52) it follows that

$$\begin{aligned} &\|x\|_{L^2(0, T_1; V)} \\ &\leq C_1 |x_0 + y_0| + M_0 b(T_1) \|x\|_{L^2(0, T_1; V)} \\ &+ T_1^{3\beta/2} \left\{ C_2 L_1(r_0) (\|x\|_{L^2(0, T_1; V^*)} + 1) \right. \\ &\quad \left. + C_2 \|k\|_{L^2(0, T_1; V^*)} \right\} + 2(3\beta)^{-1/2} \\ &\quad \times (3\beta - 2)^{-1} C_{1-\beta} b(T_1) \|x\|_{L^2(0, T_1; V)}. \end{aligned} \tag{61}$$

Taking into account (44) there exists a constant C_3 such that

$$\begin{aligned} &\|x\|_{L^2(0, T_1; V)} \\ &\leq (1 - \widehat{M})^{-1} \\ &\quad \times \left[C_1 |x_0 + y_0| + r_0 M_0 b(T_1) + T_1^{3\beta/2} \right. \\ &\quad \left. \times \left\{ C_2 L_1(r_0) + C_2 \|k\|_{L^2(0, T_1; V^*)} \right\} \right] \\ &\leq C_3 (1 + |x_0| + \|k\|_{L^2(0, T_1; V^*)}) \end{aligned} \tag{62}$$

which obtain the inequality (41). Since the conditions (43) and (44) are independent of initial value and by (25)

$$|x(T_1)| \leq \|x\|_{C([0, T_1; H])} \leq M_1 \|x\|_{\mathcal{W}_1(T)}, \tag{63}$$

by repeating the above process, the solution can be extended to the interval $[0, T]$. \square

Corollary 8. *If $M_0 b(T_1) < 1$, then the uniqueness of the solution of (34) in $\mathcal{W}_1(T)$ is obtained.*

Proof. Let $M_0 L < 1$. Then instead of condition (44), we can choose T_1 such that

$$\begin{aligned} &M_0 b(T_1) + T_1^{3\beta/2} \left\{ C_2 L_1(r_0) + 2(3\beta)^{-1/2} \right. \\ &\quad \left. \times (3\beta - 2)^{-1} C_{1-\beta} b(T_1) \right\} < 1. \end{aligned} \tag{64}$$

For every x_1 and $x_2 \in \Sigma$, we have

$$\begin{aligned} &(Jx_1)(t) - (Jx_2)(t) \\ &= (Bx_2)(t) - (Bx_1)(t) \\ &+ \int_0^t AS(t-s) \{Bx_1(s) - Bx_2(s)\} ds \\ &+ \int_0^t S(t-s) \{f(s, x_1(s)) - f(s, x_2(s))\} ds. \end{aligned} \tag{65}$$

Similar to (49) and (52), we have

$$\begin{aligned} &\|Jx_1 - Jx_2\|_{L^2(0, T_1; V)} \\ &\leq \left[M_0 b(T_1) + T_1^{3\beta/2} \left\{ C_2 L_1(r_0) + 2(3\beta)^{-1/2} (3\beta - 2)^{-1} \right. \right. \\ &\quad \left. \left. \times C_{1-\beta} b(T_1) \right\} \right] \|x_1 - x_2\|_{L^2(0, T_1; V)}. \end{aligned} \tag{66}$$

So by virtue of condition (64) the contraction mapping principle gives that the solution of (34) exists uniquely in $[0, T_1]$. \square

Remark 9. Let Assumptions B and F be satisfied and $(x_0, k) \in D(A) \times L^2(0, T; H)$. Then by the argument of the proof of Theorem 6 term by term, we also obtain that there exists a solution x of (34) such that

$$x \in \mathcal{W}(T) \equiv L^2(0, T; D(A)) \cap W^{1,2}(0, T; H) \subset C([0, T]; V). \tag{67}$$

Moreover, there exists a constant C_3 such that

$$\|x\|_{\mathcal{W}(T)} \leq C_3 (1 + \|x_0\| + \|k\|_{L^2(0, T; H)}), \tag{68}$$

where C_3 is a constant depending on T .

The following inequality is referred to as the Young inequality.

Lemma 10 (Young inequality). *Let $a > 0, b > 0$, and $1/p + 1/q = 1$, where $1 \leq p < \infty$, and $1 < q < \infty$. Then for every $\lambda > 0$ one has*

$$ab \leq \frac{\lambda^p a^p}{p} + \frac{b^q}{\lambda^q q}. \tag{69}$$

From the following result, we obtain that the solution mapping is continuous, which is useful for physical applications of the given equation.

Theorem 11. *Let Assumptions B and F be satisfied and $(x_0, y_0, k) \in H \times H \times L^2(0, T; V^*)$. Then the solution x of (34) belongs to $x \in \mathcal{W}_1(T) \equiv L^2(0, T; V) \cap W^{1,2}(0, T; V^*)$ and the mapping*

$$H \times H \times L^2(0, T; V^*) \ni (x_0, y_0, k) \mapsto x \in \mathcal{W}_1(T) \tag{70}$$

is continuous.

Proof. From Theorem 6, it follows that if $(x_0, k) \in H \times L^2(0, T; V^*)$, then x belongs to $\mathcal{W}_1(T)$. Let $(x_{0i}, y_{0i}, k_i) \in H \times H \times L^2(0, T; V^*)$ and let $x_i \in \mathcal{W}_1(T)$ be the solution of (34) with (x_{0i}, y_{0i}, k_i) in place of (x_0, y_0, k) for $i = 1, 2$. Let $x_i (i = 1, 2) \in \Sigma$. Then as seen in Theorem 6, it holds that

$$\begin{aligned} \frac{d}{dt} [x_1(t) - x_2(t) + (Bx_1)(t) - (Bx_2)(t)] \\ = A(x_1(t) - x_2(t)) + f(t, x_1(t)) - f(t, x_2(t)) \end{aligned} \tag{71}$$

$$+ k_1(t) - k_2(t), \tag{72}$$

$$x_1(0) - x_2(0) = x_{01} - x_{02}.$$

So the solution of the above equation is represented by

$$\begin{aligned} x_1(t) - x_2(t) \\ = S(t) \{ (x_{01} - x_{02}) + (y_{01} - y_{02}) \} \\ + (Bx_2)(t) - (Bx_1)(t) \\ + \int_0^t AS(t-s) \{ (Bx_1)(t) - (Bx_2)(t) \} ds \\ + \int_0^t S(t-s) \{ f(s, x_1(t)) \\ - f(s, x_2(t) + k_1(s) - k_2(s)) \} ds. \end{aligned} \tag{73}$$

And, hence, it holds that

$$\begin{aligned} \|x_1 - x_2\|_{L^2(0, T; V)} \\ \leq C_1 (|x_{01} - x_{02}| + |y_{01} - y_{02}|) \\ + C_2 T_1^{3\beta/2} \|k_1 - k_2\|_{L^2(0, T; V^*)} \\ + T_1^{3\beta/2} \{ M_0 L + C_2 L_1(r) + 2(3\beta)^{-1/2} \\ \times (3\beta - 2)^{-1} b(T_1) C_{1-\beta} \} \\ \times \|x_1 - x_2\|_{L^2(0, T; V)}. \end{aligned} \tag{74}$$

From (43), we have

$$\begin{aligned} \|x_1 - x_2\|_{L^2(0, T; V)} \\ \leq (1 - \widehat{M})^{-1} (C_1 (|x_{01} - x_{02}| + |y_{01} - y_{02}|) \\ + C_2 T_1^{3\beta/2} \|k_1 - k_2\|_{L^2(0, T; V^*)}). \end{aligned} \tag{75}$$

Hence, repeating this process as seen in Theorem 6, we conclude that the solution mapping is continuous. \square

For $k \in L^2(0, T; V^*)$, let x_k be the solution of (34) with k instead of Bu .

Theorem 12. *Let one assume that the embedding $V \subset H$ is compact. For $k \in L^2(0, T; V^*)$ let x_k be the solution of (34). Then the mapping $k \mapsto x_k$ is compact from $L^2(0, T; V^*)$ to $L^2(0, T; H)$. Moreover, if one defines the operator \mathcal{F} by*

$$\mathcal{F}(k) = f(\cdot, x_k), \tag{76}$$

then \mathcal{F} is also a compact mapping from $L^2(0, T; V^)$ to $L^2(0, T; H)$.*

Proof. If $(x_0, k) \in H \times L^2(0, T; V^*)$, then in view of Theorem 6

$$\|y_k\|_{\mathcal{W}_1(T)} \leq C_2 (|x_0| + \|k\|_{L^2(0, T; V^*)}). \tag{77}$$

Since $x_k \in L^2(0, T; V)$, we have $f(\cdot, x_k) \in L^2(0, T; H)$. Consequently, by (25), we know that $x_k \in \mathcal{W}_1(T) \subset$

$C([0,T];H)$. With aid of (a) of Lemma 3, noting that $\|x_k\|_{L^2(0,T;V)} \leq \|x_k\|_{\mathcal{W}_1(T)}$, we have

$$\|x_k\|_{\mathcal{W}_1(T)} \leq C_3 (1 + |x_0| + \|k\|_{L^2(0,T;V^*)}). \tag{78}$$

Hence if k is bounded in $L^2(0,T;V^*)$, then so is x_k in $\mathcal{W}_1(T) \equiv L^2(0,T;V) \cap W^{1,2}(0,T;V^*)$. Since V is compactly embedded in H by assumption, the embedding

$$\mathcal{W}_1(T) \subset L^2(0,T;H) \tag{79}$$

is compact in view of Theorem 2 of Aubin [24]. Hence $k \mapsto x_k$ is compact from $L^2(0,T;V^*)$. Moreover, we have that \mathcal{F} is a compact mapping of

$$L^2(0,T;V^*) \hookrightarrow \mathcal{W}_1(T) \hookrightarrow L^2(0,T;H), \tag{80}$$

which is of $L^2(0,T;V^*)$ to $L^2(0,T;H)$. □

5. Approximate Controllability

In this section, we show that the controllability of the corresponding linear equation is extended to the nonlinear differential equation. Let U be a Banach space of control variables. Here C is a linear bounded operator from $L^2(0,T;U)$ to $L^2(0,T;H)$, which is called a controller. For $x \in L^2(0,T;H)$ we set

$$(Bx)(t) = \int_0^t N(t-s)x(s)ds, \tag{81}$$

where $N : [0,\infty) \rightarrow \mathcal{L}(H,V)$ is strongly continuous. Then it is immediately seen that $Bx \in C([0,T];V)$ and hence $AS(s)(Bx)(s) = AS(s)(Bx)(s)$ for $0 \leq s \leq T$ because $D(A) = V$. Since $t \rightarrow N(t)$ is strong continuous, by the uniform boundedness principle, there exists a constant M_N such that, for any $T > 0$,

$$\sup_{t \in [0,T]} \|AN(t)\|_{\mathcal{L}(H,V^*)} \leq M_N. \tag{82}$$

Consider the following neutral control equation

$$\frac{d}{dt} [x(t) + (Bx)(t)] = Ax(t) + f(t, x(t)) + (Cu)(t), \tag{83}$$

$$t \in (0, T],$$

$$x(0) = x_0, \quad (Bx)(0) = y_0.$$

Let $x(T;B,f,u)$ be a state value of the system (83) at time T corresponding to the operator B , the nonlinear term f , and the control u . We note that $S(\cdot)$ is the analytic semigroup generated by $-A$. Then the solution $x(t;B,f,u)$ can be written as

$$\begin{aligned} x(t;B,f,u) &= S(t)(x_0 + y_0) - (Bx)(t) \\ &+ \int_0^t S(t-s) \\ &\times \{A(Bx)(s)ds + f(s, x(s)) + (Cu)(s)\} ds. \end{aligned} \tag{84}$$

And in view of Theorem 6,

$$\|x(\cdot;B,f,u)\|_{\mathcal{W}_1(T)} \leq C_3 (|x_0| + \|C\|_{\mathcal{L}(U,H)}\|u\|_{L^2(0,T;U)}). \tag{85}$$

We define the reachable sets for the system (34) as follows:

$$\begin{aligned} R(T) &= \{x(T;B,f,u) : u \in L^2(0,T;U)\}, \\ L(T) &= \{x(T;0,0,u) : u \in L^2(0,T;U)\}. \end{aligned} \tag{86}$$

Definition 13. The system (83) is said to be approximately controllable on $[0,T]$ if for every $z_T \in H$ and $\epsilon > 0$ there exists a control function $u \in L^2(0,T;U)$ such that the solution $x(T;B,f,u)$ of (83) satisfies $|x(T;f,u) - z_T| < \epsilon$; that is, $\overline{R_T}(f) = H$, where $\overline{R}(T)$ is the closure of $R(T)$ in H .

We define the linear operator \widehat{S} from $L^2(0,T;H)$ to H by

$$\widehat{S}p = \int_0^T S(T-s)p(s)ds \tag{87}$$

for $p \in L^2(0,T;H)$.

We need the following hypothesis.

Assumption S. (i) For any $\epsilon > 0$ and $p \in L^2(0,T;H)$, there exists a $u \in L^2(0,T;U)$ such that

$$|\widehat{S}p - \widehat{S}Cu| < \epsilon, \tag{88}$$

$$\|Cu\|_{L^2(0,t;H)} \leq q_1 \|p\|_{L^2(0,t;H)}, \quad 0 \leq t \leq T, \tag{89}$$

where q_1 is a constant independent of p .

(ii) f is a nonlinear mapping of $[0,T] \times H$ into H satisfying the following.

There exists a function $L_1 : \mathbb{R}_+ \rightarrow \mathbb{R}$ such that

$$|f(t,x) - f(t,y)| \leq L_1(r)|x - y|, \quad t \in [0, T], \tag{90}$$

hold for $|x| \leq r$ and $|y| \leq r$.

By virtue of condition (i) of Assumption S we note that $AS(t-s)Bx = S(t-s)ABx$ for each $x \in V$. Therefore, the system (83) is approximately controllable on $[0,T]$ if for any $\epsilon > 0$ and $z_T \in H$ there exists a control $u \in L^2(0,T;U)$ such that

$$\begin{aligned} &\|S(T)(x_0 + y_0) - (Bx)(T) \\ &+ \widehat{S}\{ABx + Fx + Cu\} - z_T\| < \epsilon, \end{aligned} \tag{91}$$

where $(Fx)(t) = f(t,x(t))$ for $t \geq 0$. Throughout this section, invoking (85), we can choose a constant r_1 such that

$$r_1 > C_3 (|x_0| + \|C\|_{\mathcal{L}(U,H)}\|u\|_{L^2(0,T;U)}), \tag{92}$$

and set

$$G(s,x) = A(Bx)(s) + f(s,x(s)). \tag{93}$$

Lemma 14. Let u_1 and u_2 be in $L^2(0,T;U)$. Then under the Assumption S, one has that, for $0 \leq t \leq T$,

$$\begin{aligned} &|x(t; B, f, u_1) - x(t; B, f, u_2)| \\ &\leq M e^{M_2 \sqrt{t}} \|Cu_1 - Cu_2\|_{L^2(0,T;H)}, \end{aligned} \tag{94}$$

where $M_2 = e^{M(M_N T + L_1(r_1))}$.

Proof. Let $x(t) = x(t; B, f, u_1)$ and $x_2(t) = x(t; B, f, u_2)$. Then for $0 \leq t \leq T$, we have

$$\begin{aligned} x_1(t) - x_2(t) &= (Bx_2)(t) - (Bx_1)(t) \\ &+ \int_0^t S(t-s) \{G(s, x_1) - G(s, x_2)\} ds \\ &+ \int_0^t S(t-s) C(u_1(s) - u_2(s)) ds. \end{aligned} \tag{95}$$

So we immediately obtain

$$|A(Bx_2)(t) - A(Bx_1)(t)| \leq M_N \int_0^t |x_2(s) - x_1(s)| ds, \tag{96}$$

and so it holds that

$$\begin{aligned} &\left| \int_0^t S(t-s) A \{(Bx_2)(s) - (Bx_1)(s)\} ds \right| \\ &\leq M M_N T \int_0^t |x_2(s) - x_1(s)| ds. \end{aligned} \tag{97}$$

Moreover, we have

$$\begin{aligned} &\left| \int_0^t S(t-s) \{f(s, x_1(s)) - f(s, x_2(s))\} ds \right| \\ &\leq M L_1(r_1) \int_0^t |x_2(s) - x_1(s)| ds, \\ &\left| \int_0^t S(t-s) \{Cu_1(s) - Cu_2(s)\} ds \right| \\ &\leq M \sqrt{t} \|Cu_1 - Cu_2\|_{L^2(0,T;V)}. \end{aligned} \tag{98}$$

Thus, from (95) it follows that

$$\begin{aligned} &|x(t; B, f, u_1) - x(t; B, f, u_2)| \\ &\leq M \sqrt{t} \|Cu_1 - Cu_2\|_{L^2(0,T;H)} \\ &+ \{M M_N T + M L_1(r_1)\} \int_0^t |x_2(s) - x_1(s)| ds. \end{aligned} \tag{99}$$

Therefore, by using Gronwall's inequality this lemma follows. \square

Theorem 15. Under Assumption S, the system (83) is approximately controllable on $[0, T]$.

Proof. We will show that $D(A) \subset \overline{R_T(g)}$; that is, for given $\varepsilon > 0$ and $z_T \in D(A)$, there exists $u \in L^2(0,T;U)$ such that

$$|z_T - x(T; B, f, u)| < \varepsilon, \tag{100}$$

where

$$\begin{aligned} x(T; B, f, u) &= S(T)(x_0 + y_0) - (Bx)(T) \\ &+ \int_0^T S(T-s) \{G(s, x(\cdot; B, f, u)) + Cu(s)\} ds. \end{aligned} \tag{101}$$

As $z_T \in D(A)$ there exists $p \in L^2(0,T;Z)$ such that

$$\widehat{S}p = z_T + (Bx)(T) - S(T)(x_0 + y_0); \tag{102}$$

for instance, take $p(s) = \{(z_T + (Bx)(T)) - sA(z_T + (Bx)(T))\} - S(s)(x_0 + y_0)/T$. Let $u_1 \in L^2(0,T;U)$ be arbitrary fixed. Since by Assumption S there exists $u_2 \in L^2(0,T;U)$ such that

$$|\widehat{S}(p - G(\cdot, x(\cdot, B, f, u_1))) - \widehat{S}Cu_2| < \frac{\varepsilon}{4}, \tag{103}$$

it follows that

$$\begin{aligned} &|z_T + (Bx)(T) - S(T)(x_0 + y_0) \\ &- \widehat{S}G(\cdot, x(\cdot, B, f, u_1)) - \widehat{S}Cu_2| < \frac{\varepsilon}{4}. \end{aligned} \tag{104}$$

We can also choose $w_2 \in L^2(0,T;U)$ by Assumption S such that

$$\begin{aligned} &|\widehat{S}(G(\cdot, x(\cdot; B, f, u_2)) - G(\cdot, x(\cdot; B, f, u_1))) - \widehat{S}Cw_2| \\ &< \frac{\varepsilon}{8} \end{aligned} \tag{105}$$

and by Assumption S

$$\begin{aligned} &\|Cw_2\|_{L^2(0,t;H)} \\ &\leq q_1 \|G(\cdot, x(\cdot; B, f, u_1)) \\ &- G(\cdot, x(\cdot; B, f, u_2))\|_{L^2(0,t;H)} \end{aligned} \tag{106}$$

for $0 \leq t \leq T$. Therefore, in view of Lemma 14 and Assumption S

$$\begin{aligned} & \|Cw_2\|_{L^2(0,t;H)} \\ & \leq q_1 \left\{ \int_0^t |G(\tau, x(\tau; B, f, u_2)) \right. \\ & \quad \left. - G(\tau, x(\tau; B, f, u_1))|^2 d\tau \right\}^{1/2} \\ & \leq q_1 (M_N + L(r_1)) \left\{ \int_0^t |x(\tau; B, f, u_2) \right. \\ & \quad \left. - x(\tau; B, f, u_1)|^2 d\tau \right\}^{1/2} \\ & \leq q_1 (M_N + L(r_1)) \left\{ \int_0^t (Me^{M_2})^2 \right. \\ & \quad \left. \times \tau \|Cu_2 - Cu_1\|_{L^2(0,\tau;H)}^2 d\tau \right\}^{1/2} \\ & \leq q_1 (M_N + L(r_1)) Me^{M_2} \\ & \quad \times \left(\int_0^t \tau d\tau \right)^{1/2} \|Cu_2 - Cu_1\|_{L^2(0,t;H)} \\ & = q_1 (M_N + L(r_1)) Me^{M_2} \left(\frac{t^2}{2} \right)^{1/2} \|Cu_2 - Cu_1\|_{L^2(0,t;H)}. \end{aligned} \tag{107}$$

Put $u_3 = u_2 - w_2$. We determine w_3 such that

$$\begin{aligned} & |\widehat{S}(G(\cdot, x(\cdot; B, f, u_3)) - G(\cdot, x(\cdot; B, f, u_2))) \\ & \quad - \widehat{S}Cw_3| < \frac{\varepsilon}{8}, \end{aligned} \tag{108}$$

$$\begin{aligned} & \|Cw_3\|_{L^2(0,t;H)} \\ & \leq q_1 \|G(\cdot, x(\cdot; B, f, u_3)) \\ & \quad - G(\cdot, x(\cdot; B, f, u_2))\|_{L^2(0,t;H)} \end{aligned} \tag{109}$$

for $0 \leq t \leq T$. Hence, we have

$$\begin{aligned} & \|Cw_3\|_{L^2(0,t;H)} \\ & \leq q_1 \left\{ \int_0^t |G(\tau, x(\tau; B, f, u_3)) \right. \\ & \quad \left. - G(\tau, x(\tau; B, f, u_2))|^2 d\tau \right\}^{1/2} \\ & \leq q_1 (M_N + L(r_1)) \\ & \quad \times \left\{ \int_0^t |x(\tau; B, f, u_3) - x(\tau; B, f, u_2)|^2 d\tau \right\}^{1/2} \end{aligned}$$

$$\begin{aligned} & \leq q_1 (M_N + L(r_1)) Me^{M_2} \\ & \quad \times \left\{ \int_0^t \tau \|Cu_3 - Cu_2\|_{L^2(0,\tau;H)}^2 d\tau \right\}^{1/2} \\ & \leq q_1 (M_N + L(r_1)) Me^{M_2} \\ & \quad \times \left\{ \int_0^t \tau \|Cw_2\|_{L^2(0,\tau;H)}^2 d\tau \right\}^{1/2} \\ & \leq q_1 (M_N + L(r_1)) Me^{M_2} \\ & \quad \times \left\{ \int_0^t \tau (q_1 (M_N + L(r_1)) Me^{M_2})^2 \frac{\tau^2}{2} \right. \\ & \quad \left. \times \|Cu_2 - Cu_1\|_{L^2(0,\tau;H)}^2 d\tau \right\}^{1/2} \\ & \leq (q_1 (M_N + L(r_1)) Me^{M_2})^2 \\ & \quad \times \left(\int_0^t \frac{\tau^3}{2} d\tau \right)^{1/2} \|Cu_2 - Cu_1\|_{L^2(0,t;H)} \\ & = (q_1 (M_N + L(r_1)) Me^{M_2})^2 \\ & \quad \times \left(\frac{t^4}{2 \cdot 4} \right)^{1/2} \|Cu_2 - Cu_1\|_{L^2(0,t;H)}. \end{aligned} \tag{110}$$

By proceeding with this process and from

$$\begin{aligned} & \|C(u_n - u_{n+1})\|_{L^2(0,t;H)} \\ & = \|Cw_n\|_{L^2(0,t;H)} \leq (q_1 (M_N + L(r_1)) Me^{M_2})^{n-1} \\ & \quad \times \left(\frac{t^{2n-2}}{2 \cdot 4 \cdots (2n-2)} \right)^{1/2} \|Cu_2 - Cu_1\|_{L^2(0,t;H)} \\ & = \left(\frac{q_1 (M_N + L(r_1)) Me^{M_2} t}{\sqrt{2}} \right)^{n-1} \\ & \quad \times \frac{1}{\sqrt{(n-1)!}} \|Cu_2 - Cu_1\|_{L^2(0,t;H)}, \end{aligned} \tag{111}$$

it follows that

$$\begin{aligned} & \sum_{n=1}^{\infty} \|Cu_{n+1} - Cu_n\|_{L^2(0,T;H)} \\ & \leq \sum_{n=0}^{\infty} \left(\frac{q_1 T (M_N + L(r_1)) Me^{M_2}}{\sqrt{2}} \right)^n \\ & \quad \times \frac{1}{\sqrt{n!}} \|Cu_2 - Cu_1\|_{L^2(0,T;H)} < \infty. \end{aligned} \tag{112}$$

Therefore, there exists $u^* \in L^2(0,T;H)$ such that

$$\lim_{n \rightarrow \infty} Cu_n = u^* \quad \text{in } L^2(0, T; H). \tag{113}$$

From (104), (105) it follows that

$$\begin{aligned} & \left| z_T + (Bx)(T) - S(T)(x_0 + y_0) \right. \\ & \quad \left. - \widehat{S}G(\cdot, x(\cdot; B, f, u_2)) - \widehat{S}Cu_3 \right| \\ & = \left| z_T + (Bx)(T) - S(T)(x_0 + y_0) \right. \\ & \quad \left. - \widehat{S}G(\cdot, x(\cdot; B, f, u_1)) - \widehat{S}Cu_2 + \widehat{S}Cw_2 \right. \\ & \quad \left. - \widehat{S}[G(\cdot, x(\cdot; B, f, u_2)) - G(\cdot, x(\cdot; B, f, u_1))] \right| \\ & < \left(\frac{1}{2^2} + \frac{1}{2^3} \right) \varepsilon. \end{aligned} \tag{114}$$

By choosing $w_n \in L^2(0, T; U)$ by Assumption B, such that

$$\begin{aligned} & \left| \widehat{S}(G(\cdot, x(\cdot; B, f, u_n)) - G(\cdot, x(\cdot; B, f, u_{n-1}))) - \widehat{S}Cw_n \right| \\ & < \frac{\varepsilon}{2^{n+1}}, \end{aligned} \tag{115}$$

putting $u_{n+1} = u_n - w_n$, we have

$$\begin{aligned} & \left| z_T + (Bx)(T) - S(T)(x_0 + y_0) \right. \\ & \quad \left. - \widehat{S}G(\cdot, x(\cdot; B, f, u_n)) - \widehat{S}Cu_{n+1} \right| \\ & < \left(\frac{1}{2^2} + \dots + \frac{1}{2^{n+1}} \right) \varepsilon, \quad n = 1, 2, \dots \end{aligned} \tag{116}$$

Therefore, for $\varepsilon > 0$ there exists integer N such that

$$\begin{aligned} & \left| \widehat{S}Cu_{N+1} - \widehat{S}Cu_N \right| < \frac{\varepsilon}{2}, \\ & \left| z_T + (Bx)(T) - S(T)(x_0 + y_0) \right. \\ & \quad \left. - \widehat{S}G(\cdot, x(\cdot; B, f, u_N)) - \widehat{S}Cu_N \right| \\ & \leq \left| z_T + (Bx)(T) - S(T)(x_0 + y_0) \right. \\ & \quad \left. - \widehat{S}G(\cdot, x(\cdot; B, f, u_N)) - \widehat{S}Cu_{N+1} \right| \\ & \quad + \left| \widehat{S}Cu_{N+1} - \widehat{S}Cu_N \right| \\ & < \left(\frac{1}{2^2} + \dots + \frac{1}{2^{N+1}} \right) \varepsilon + \frac{\varepsilon}{2} \leq \varepsilon. \end{aligned} \tag{117}$$

Thus the system (83) is approximately controllable on $[0, T]$ as N tends to infinity. \square

Example 16. Let

$$\begin{aligned} H &= L^2(0, \pi), \quad V = H_0^1(0, \pi), \quad V^* = H^{-1}(0, \pi), \\ a(u, v) &= \int_0^\pi \frac{du(y)}{dy} \frac{\overline{dv(y)}}{dy} dy, \\ A &= \frac{\partial^2}{\partial y^2} \quad \text{with } D(A) = \{x \in H^2(0, \pi) : x(0) = x(\pi) = 0\}. \end{aligned} \tag{118}$$

The eigenvalue and the eigenfunction of A are $\lambda_n = -n^2$ and $\phi_n(y) = (2/\pi)^{1/2} \sin ny$, respectively. Moreover,

- (a) $\{\phi_n : n \in N\}$ is an orthogonal basis of H ,
- (b) $S(t)x = \sum_{n=1}^\infty e^{n^2 t} (x, \phi_n) \phi_n, \forall x \in H, t > 0$,
- (c) let $0 < \alpha < 1$; then the fractional power $A^\alpha : D(A^\alpha) \subset H \rightarrow H$ of A is given by

$$A^\alpha x = \sum_{n=1}^\infty n^{2\alpha} (x, \phi_n) \phi_n, \quad D(A^\alpha) := \{x : A^\alpha x \in H\}. \tag{119}$$

In particular, $A^{-1/2}x = \sum_{n=1}^\infty (1/n)(x, \phi_n)\phi_n$ and $\|A^{-1/2}\| = 1$.

Consider the following neutral differential control system:

$$\begin{aligned} & \frac{\partial}{\partial t} \left[x(t, y) + \int_0^t \int_0^\pi b(t-s, z, y) x(s, z) dz ds \right] \\ & = Ax(t, y) + g'(|x(t, y)|^2) x(t, y) + (Cu)(t), \quad (120) \\ & \quad \quad \quad t \in (0, T], \\ & x(t, 0) = x(t, \pi_0) = 0, \end{aligned}$$

where g is a real valued function belonging to $C^2([0, \infty))$ which satisfies the following conditions:

- (i) $g(0) = 0, g(r) \geq 0$ for $r > 0$,
- (ii) $g'(r) \leq c(r+1)$ and $|g''(r)| \leq c$ for $r \geq 0$ and $c > 0$. If we present

$$f(x(t, y)) = g'(|x(t, y)|^2) x(t, y), \tag{121}$$

f is a mapping from the whole V to H by Sobolev's imbedding theorem (see [21], Theorem 6.1.6). As an example of g in the above, we can choose $g(r) = \mu^2 r + \eta^2 r^2/2$ (μ and η are constants). In addition, we need to impose the following conditions (see [7, 25]).

- (iii) The function b is measurable and

$$\int_0^\pi \int_0^t \int_0^\pi b^2(t-s, z, y) dz ds dy < \infty. \tag{122}$$

- (iv) The function $(\partial^2/\partial z^2)b$ is measurable, $b(0, y, \pi) = b(0, y, 0)$, and

$$M_b := \int_0^\pi \int_0^t \int_0^\pi \left(\frac{\partial}{\partial z} b(t-s, z, y) \right)^2 dz ds dy < \infty. \tag{123}$$

(v) $C : L^2(0, T; U) \rightarrow L^2(0, T; H)$ is a bounded linear operator.

We define $B : L^2(0, T; V) \rightarrow L^2(0, T; H)$ by

$$(Bx)(t) = \int_0^t \int_0^\pi b(t-s, z, y) x(s, z) dy ds. \quad (124)$$

From (ii) it follows that B is bounded linear and

$$\begin{aligned} A^{1/2}(Bx)(t) &= \frac{1}{n} \frac{2}{\pi} ((Bx)(t), \sin ny) \phi_n \\ &= \frac{2}{\pi} \left(\int_0^t \int_0^\pi \frac{\partial}{\partial y} b(t-s, z, y) dy ds, \cos ny \right) \phi_n \\ &= \frac{2}{\pi} ((B_1 x)(t), \cos ny) \phi_n, \end{aligned} \quad (125)$$

where

$$(B_1 x)(t) = \int_0^t \int_0^\pi \frac{\partial}{\partial y} b(t-s, z, y) dy ds. \quad (126)$$

Thus, by (iv) the operator B_1 is bounded linear with $\|B_1\| \leq \sqrt{M_b}$ and we have that $B \in D(A^{1/2})$ and $\|A^{1/2} Bx\| = \|B_1 x\|$. Therefore from Theorem 6, there exists a solution x of (120) such that

$$x \in L^2(0, T; V) \cap W^{1,2}(0, T; V^*) \subset C([0, T]; H). \quad (127)$$

Moreover, from Theorem 15 the neutral system (120) is approximately controllable on $[0, T]$.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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