

## Research Article

# Structural and Electrical Studies on ZnO-Based Thin Films by Laser Irradiation

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The effects of laser irradiation on the structural and electrical properties of ZnO-based thin films were investigated. The XRD pattern shows that the thin films were highly textured along the *c*-axis and perpendicular to the surface of the substrate. Raman spectra reveal that Bi<sub>2</sub>O<sub>3</sub> segregates mainly at ZnO-ZnO grain boundaries. After laser irradiation processing, the grain size of the film was reduced significantly, and the intrinsic atomic defects of grain boundaries and Bi element segregated at the grain boundary were interacted frequently and formed the composite defects of acceptor state. The nonlinear coefficient increased to 24.31 and the breakdown voltage reduced to 5.34 V.

## 1. Introduction

ZnO varistors exhibit high nonlinear coefficient, low leakage current, and high surge absorbing ability. They have been extensively applied on high-voltage protection of electrical equipment since the 1970s, such as inhibiting lightning over-voltage of electrical power system [1–5]. However, modern-day electronic components, mainly based on silicon, are more susceptible not only to electrical overvoltage transients, but also to damage by electromagnetic pulses or electromagnetic interference (EMI) noise that is now strictly regulated by law throughout the world [6, 7]. Therefore, the industry has high demands for low-voltage varistors. For a low-voltage varistor, it is required to be of few microns in thickness to reduce nonlinear voltage. ZnO films with good quality were grown through different methods, such as hydrothermal method [8], sol-gel technique [9], electron-beam evaporation [10], pulse laser deposition [11], and magnetron sputtering [12]. Among all, magnetrons puttering shows several advantages such as good adhesion between film and substrate, low substrate temperature, scalability to large areas, great thickness uniformity with a deposition rate range, and high film density [12–15].

Recently, laser irradiation technique has been used on thin films as an efficient method to improve the crystalline

quality and increase the electron donors [16–19]. Laser irradiation has several advantages, including fast crystallization at room temperature, possibility of local crystallization, crystallization of thin films on low melting point substrates, and increasing charge carriers through a photoconductivity effect. Tsay and Wang [18] performed ZnO thin films on glass by sol-gel and used KrF excimer laser for annealing. They reported that the crystallinity levels and average crystallite size of thin films with excimer laser irradiation were greater than those of thermally annealed thin films. Tsang et al. [19] fabricated transparent conducting AZO thin films using KrF excimer laser irradiation. They reported that the electrical and optical characteristics of AZO thin films irradiated by excimer laser improved significantly.

To the best of our knowledge, no report on ZnO-based thin film varistors with laser irradiation for low-voltage application exists. In our previous work [20], we studied the effect of laser shock on electrical property of ZnO varistor ceramics. In the present work, ZnO-based thin films of high quality were prepared by RF magnetron sputtering technique on Si (111) substrates. After examinations, the films were subsequently treated by laser irradiation. The paper has studied the structural and electrical properties of the ZnO-based thin film varistors for low-voltage application.

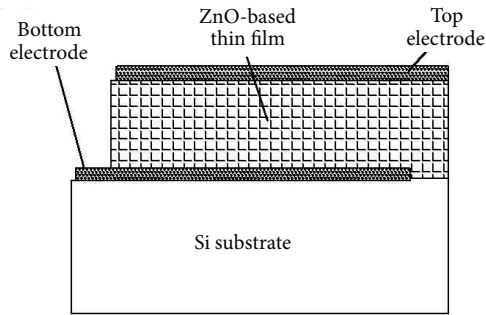


FIGURE 1: The structure of the ZnO-based thin film varistors.

## 2. Experiment

ZnO-based thin films were deposited on Si (111) substrates by RF magnetron sputtering technique and followed by annealing at 700°C for 4 h in the air. The target, ZnO-based ceramic with 60 mm in diameter and 5 mm in thickness, was formed by dry pressing and subsequently sintered in the air. The raw materials of the target were mixed powders and the matching composition was 96.5 mol% ZnO + 2 mol% Bi<sub>2</sub>O<sub>3</sub> + 1.5 mol% (MnO<sub>2</sub>, Co<sub>2</sub>O<sub>3</sub>, Cr<sub>2</sub>O<sub>3</sub>, and Sb<sub>2</sub>O<sub>3</sub>). It is the optimized composition investigated by the authors in our previous works. Si (111) which was used as the substrate for ZnO thin films deposition were cleaned in an ultrasonic bath with ethanol for 15 min than dried for use. The substrates were sprayed gold in the metal-spraying equipment for 5 min, to prepare a layer of bottom electrode on the Si substrate. Using the sky-0826138 RF magnetron sputtering instrument, the background vacuum degree of the chamber was less than  $1 \times 10^{-4}$  Pa. The sputtering pressure was 3.0 Pa, and the Argon flow was 30 sccm. The sputtering time was 60 min, and the sputtering power was 150 W. The substrate temperature was 300°C. The film thickness was controlled within 2 microns. After the laser irradiation processing, the laser irradiation area was covered with gold to prepare the top electrode. Figure 1 shows the structure of the ZnO-based thin film varistors.

The 0.2 mm aluminum foil adhered to the film surface as the absorption layer. The homemade liquids were coated on the aluminum foil surface as the constraint layer. The thickness was 0.5 mm. The laser irradiation parameters are as followings: light spot diameter of 6 mm, wavelength of 1064 nm, pulse width of 5 ns, pulse energy of 0.8 J. Finally, the samples were set in the mold to conduct the laser irradiation experiment. After the laser irradiation processing, the films' surfaces were fine, which showed that as long as the parameters of laser irradiation were appropriate, laser irradiation could be applied on the films completely.

The structural properties were characterized by X-ray diffractometer (XRD) with CuK $\alpha$  radiation. The surface morphology and root mean square (RMS) roughness level of the films were examined by tapping atomic force microscope (AFM). Plane-view micrographs of ZnO-based thin films were taken with a field-emission scanning electron

microscope (FE-SEM). Raman spectra were excited with the 532 nm line of a laser at an incident power of 10 mW and obtained in the range 0–3000 cm<sup>-1</sup>. The *J-V* curves are measured by transistor characteristic meter. The breakdown voltage  $U_{1\text{mA}}$  (V) and leakage current  $J_L$  ( $\mu\text{A}/\text{mm}^2$ ) are measured by piezoresistor general measuring instrument.

## 3. Results and Discussions

The X-ray diffraction patterns of the ZnO-based thin films before and after irradiation are shown in Figure 2. Only the (002) peaks are observed in the XRD patterns, which shows that the films are highly textured along the *c*-axis and perpendicular to the surface of the substrate. This diffraction peak is indexed to those of hexagonal wurtzite zinc oxide and no diffraction peaks of any other phases or impurities are detected [8, 9, 11]. After irradiation, the intensity of (002) ZnO peak increases and there is a shift to larger angles. This trend with the shift of  $2\theta$  in XRD patterns suggests that the Bi<sup>3+</sup> ions occupied the Zn<sup>2+</sup> substitutional sites [15, 21]. This result also shows that laser irradiation can facilitate the substitution of Bi<sup>3+</sup> ions to Zn<sup>2+</sup> ions [18].

Figure 3 shows the AFM morphologies for the films, which illustrate the surface morphology of the thin films. (a) is the sample before irradiation and (b) is the sample after irradiation. After laser irradiation, the film surface morphology changes significantly. Corresponding to the above two samples, the RMS surface roughnesses are 31.4 and 2.8 nm. The film treated by laser irradiation has a relative smooth and dense surface morphology. At the initial state, the laser shock wave passes through the rigid aluminum foil adhering to the film surface and reaches the bottom of the aluminum foil. For the impedance mismatch of the aluminum foil and the film, strong contact pressure appears between the contact point of the aluminum foil and the film. The sharp protrusions are compressed at the strong contact pressure and this is characterized by the great reduction of surface roughness. Figure 4 shows the morphology and particle sizes of prepared film by SEM. The histograms reveal the distribution of average grain size, which are reasonably described by the Gaussian function, showing tight size distribution with average sizes of approximately 191 and 139 nm in diameter, respectively.

Figure 5 shows the Raman spectrum of ZnO-based thin film. A larger peak shift at 437 cm<sup>-1</sup> is observed, which is almost the same position as the standard ZnO. It indicates that the thin film is almost free of stress. Theoretically, it is difficult to substitute Zn<sup>2+</sup> by Bi<sup>3+</sup> in ZnO crystal, for the radius of Bi<sup>3+</sup> is much larger than Zn<sup>2+</sup>. The former results indicate that Bi<sub>2</sub>O<sub>3</sub> segregates mainly at ZnO-ZnO grain boundaries as Bi-rich phases during sintering in the ZnO-Bi<sub>2</sub>O<sub>3</sub> system. The Bi elements distribute evenly throughout the thin film, and the distribution of Bi appears in certain regularity, which are almost distributed at the grain boundaries. After laser irradiation processing, grain boundaries increase with the grain refinement. In this condition, the intrinsic atomic defects of grain boundaries and Bi element segregated at the grain boundary interact frequently, forming the composite defects of acceptor state [22, 23]. So the interface state density

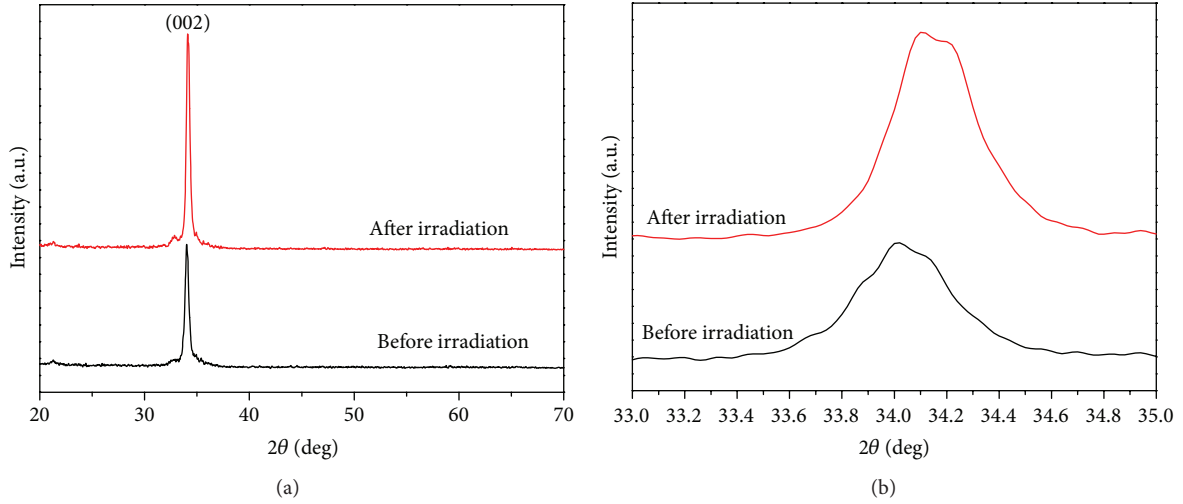


FIGURE 2: XRD patterns of ZnO-based thin films.

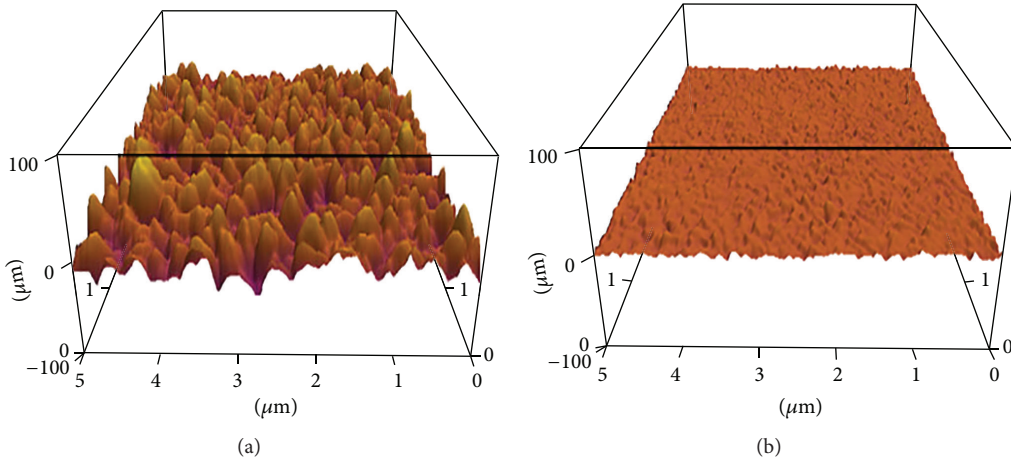


FIGURE 3: AFM micrographs of the ZnO-based thin films.

$N_S$  of grain boundaries increase greatly, which promotes the increasing of barrier height  $\Phi_B$  and the nonlinear coefficient. Therefore, the pressure-sensitive electrical properties of ZnO-based ceramic film of laser irradiation state are excellent.

Table 1 is the result of electrical properties. The nonlinear coefficient is  $\alpha$ , breakdown voltage is  $U_{1\text{mA}}$  (V), and leakage current is  $J_L$  ( $\mu\text{A}/\text{mm}^2$ ). After laser irradiation processing, the nonlinear coefficient is 24.31, which increases by 40.8%, and breakdown voltage is 5.34 V, which decreases by 20.7%. Leakage current density is as low as  $2.03 \mu\text{A}/\text{mm}^2$ , which decreases by 12.1%. Similar investigations have been done by other researchers. Horio et al. [13] prepared the ZnO/ $\text{Pr}_6\text{O}_{11}$  films on the glass substrates by the RF magnetron sputtering technique, and the film thickness was 600 nm/400 nm. The breakdown voltage was 20 V, and the nonlinear coefficient was 10. Mišta et al. [14] prepared the Bi-doped films on the ITO/Glass using Zn-Bi target by the RF magnetron sputtering technique. The results displayed that the breakdown voltage was from a few volts to dozens of volts, and the nonlinear

TABLE 1: Electrical properties of films before and after laser-irradiation processing.

Parameters	Before irradiation (I)	After irradiation (II)	Rate (%)
$\alpha$	17.26	24.31	40.8
$U_{1\text{mA}}$ (V)	6.73	5.34	-20.7
$J_L$ ( $\mu\text{A}/\text{mm}^2$ )	2.31	2.03	-12.1

coefficient was 15. Jeong [24] prepared low-voltage ZnO varistors and investigated the field failure; after polishing, the breakdown voltage was 9.71 V, and the nonlinear coefficient was 20.35. Therefore, the electrical properties improve significantly by the laser irradiation processing.

The grain boundary of ZnO-based ceramic film is a thin disorder area, where a large number of interface states and electron traps exist. They are able to capture the free electrons in the ZnO grains. The electrons of grain boundaries are

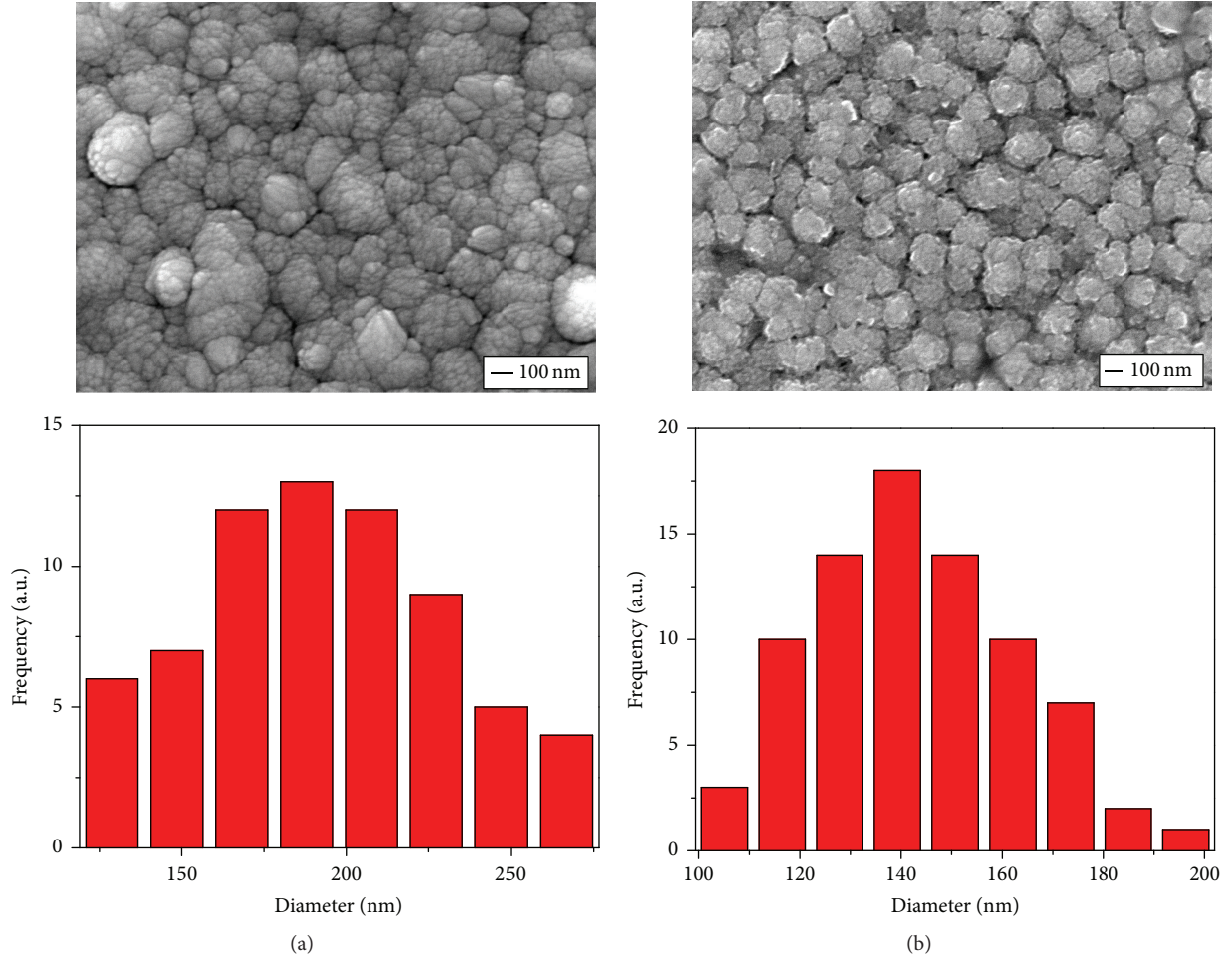


FIGURE 4: SEM micrographs of the ZnO-based thin films.

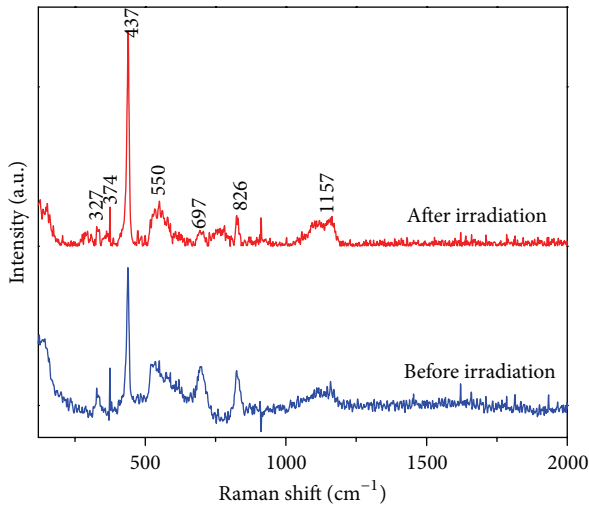


FIGURE 5: Raman spectroscopy of ZnO-based thin films.

depleted, and depletion layers are formed. Consequently, electronic barriers with certain depth have been formed from grain surfaces into body. The barrier height is  $\Phi_B$ , which is

called Double Schottky barrier [25]. It is the source of voltage-sensitive electrical property.

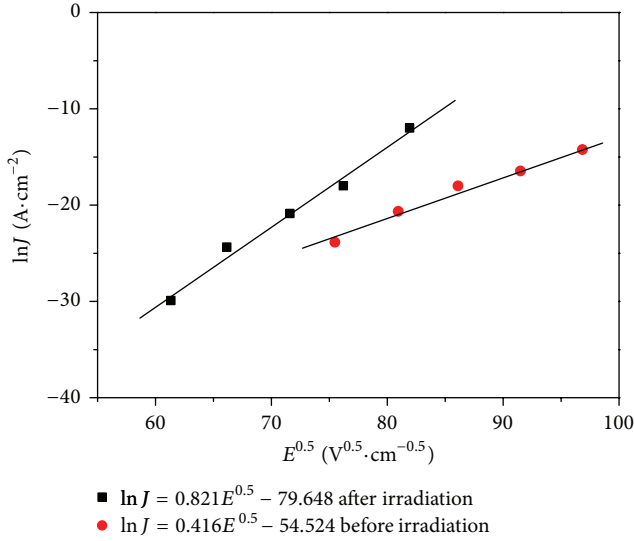
Nonlinear characteristics of ZnO-based film originate from the grain boundary barrier. To study the impact of laser irradiation processing on the electric properties of ZnO-based ceramic film, we adopted the double Schottky barrier model proposed by Gupta and Carlson [26] to explain the change of electrical property. At preswitch region, there is a relationship between the current density and the extra electric field obtained from the model, which is shown in [27]

$$J = AT^2 \exp \left[ \frac{(\beta E^{0.5} - \Phi_B)}{(kT)} \right]. \quad (1)$$

$J$  is current density,  $A$  is Richardson constant,  $T$  is absolute temperature,  $E$  is electric field intensity,  $\Phi_B$  is barrier height, and  $K$  is Boltzmann constant.  $\beta = \{1/(\gamma\omega)[2e^3/(4\pi\epsilon_0\epsilon_r)]\}^{1/2}$  [17],  $\gamma$  is grain number of unit length,  $\omega$  is Barrier width,  $e$  is electron charge ( $1.602 \times 10^{-19}$  C),  $\epsilon_0$  is vacuum dielectric constant ( $8.85 \times 10^{-14}$  F/cm), and  $\epsilon_r$  is relative dielectric constant (8.5). Setting  $T$  as  $25^\circ\text{C}$ ,  $\ln J - E^{0.5}$  fitting diagram of film and film of laser irradiation state are shown in Figure 5. The boundary characteristic

TABLE 2: The characteristic parameters of grain-boundary of ZnO-based ceramic films before and after laser-irradiation processing.

State	Barrier height $\Phi_B$ (eV)	Barrier width $\omega$ (Å)	Donor concentration $N_D$ ( $10^{22}$ cm $^{-3}$ )	Density of interface states $N_S$ ( $10^{14}$ cm $^{-2}$ )
Before irradiation (I)	1.86	1.13	13.5	15.3
After irradiation (II)	2.41	0.31	227	71.6

FIGURE 6: The relation patterns of  $\ln J - E^{0.5}$  of the ZnO-based thin films before and after irradiation.

parameters were calculated by Figure 6, which were listed in Table 2, including the barrier height  $\Phi_B$ , barrier width  $\omega$ , interface-state density  $N_D$ , and donor concentration  $N_S$ .

Table 2 shows that after laser irradiation processing, barrier height  $\Phi_B$  increased, barrier width decreased, donor concentration  $N_D$  and interface-state density  $N_S$  also increased greatly. According to [27]

$$\alpha \approx \left(\frac{\nu}{E}\right) \Phi_B^{3/2}. \quad (2)$$

Nonlinear coefficient  $\alpha$  is proportional to potential barrier height  $\Phi_B$ .  $\Phi_B$  rose from 1.86 to 2.41, which prompted the increasing of  $\alpha$  from 12.94 to 21.38. So the increasing of barrier height can effectively improve the nonlinear coefficient. According to formula  $\Phi_B = e^2 N_S^2 / 2\epsilon_0 \epsilon_r N_D$  [18], barrier height  $\Phi_B$  is closely related to the interface-state density  $N_S$  and donor concentration  $N_D$ . After laser irradiation processing, the thin film produced the lattice distortion, which increased the amount of intrinsic atomic defects of ZnO. There is no second phase diffraction peak in the X-ray diffraction patterns of samples, but which cannot exclude the doping elements as indeterminate type segregating at the grain boundaries.

#### 4. Conclusions

After laser irradiation processing, electrical properties of the films have been improved in different degrees. The nonlinear coefficient was 24.31, which was increased by 40.8%. The

breakdown voltage was 5.34 V, which was reduced by 20.7%. Leakage current density was as low as  $2.03 \mu\text{A}/\text{mm}^2$ , which was reduced by 12.7%. The grain size was reduced and the clearance between the adjacent particles also decreased. The film produced the lattice distortion, prompting the increasing of ZnO intrinsic atomic defects. With the refinement of the grain, grain boundaries were increasing. In this condition, the intrinsic atomic defects of grain boundaries and Bi element segregated at the grain boundaries interact frequently, forming the composite defects of acceptor state. The interface-state density  $N_S$  of the grain boundaries increased greatly, increasing the barrier height  $\Phi_B$ . Therefore, the pressure-sensitive electrical properties of ZnO-based ceramic film of laser irradiation state are excellent.

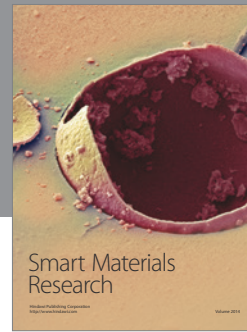
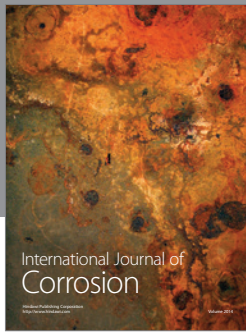
#### Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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