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PHARMACOLOGIC TREATMENT OF TYPE 2 DIABETES (HE LEBOVITZ AND G BAHTIYAR, SECTION EDITORS)

# Heart Failure: a Major Cardiovascular Complication of Diabetes Mellitus

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Abstract Heart failure (HF) is a major cardiovascular complication of diabetes mellitus (DM). The greatest risk factor for HF is age, and data indicate that 6 to 10 % of individuals over the age of 65 years suffer from HF. Patients with DM have a 2.5-fold increased risk for developing HF than individuals without DM. The 25 to 40 % of patients with HF who have DM have worse outcome (death from cardiovascular disease or hospitalization for worsening HF) than patients without DM. Hyperglycemia is a risk factor for the development of HF with an increase in incidence of HF rising from 10 % at hemoglobin A1c (HbA1c) 8.0 to 9.0 % to 71 % at a HbA1c > 10 %. Patients with DM and HF are equally distributed between those with low ejection fractions and those with normal ejection fractions. The HF treatment regimens for patients with HF and DM (blockade of angiotensin II synthesis or action, cardioselective β-adrenergic blockade, mineralocorticoid receptor blockade, and diuretics) are the same as for HF patients without DM, though the benefit on clinical outcomes is not as great. The new angiotensin-neprilysin inhibitors appear to provide increase outcome benefits in both HF patients

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with or without DM. Glycemic control impacts the clinical outcomes in patients with HF and DM in a U-shaped relationship with poorer survival at low and high mean HbA1c levels. The optimal chronic glycemic control occurs at an HbA1c of 7.5 to 8.0 % for patients with DM who have symptoms of HF.

**Keywords** Diabetes mellitus · Heart failure · Cardiovascular complications

# Introduction

Heart failure (HF), a major cardiovascular (CV) complication of diabetes mellitus (DM), has finally emerged as a significant and increasing clinical and public health problem. Several changes in society have coalesced to cause this merger of HF with DM. HF incidence increases with age and is present in 6 to 10 % of individuals 65 years or older [1–3]. This is the most rapidly growing segment of the population in western societies. The lifetime risk of HF at age 55 years is 33 % for men and 28 % for women. The 5-year mortality for persons with HF is approximately 50 %. The prevalence of DM which now is about 415 million persons worldwide is projected to increase by an additional 50 % to 642 million by 2040 [4].

It should not be surprising that the population with both DM and HF is currently between 0.3 and 0.5 % of the total and is growing rapidly. The prevalence of previously diagnosed type 2 diabetes (T2DM) in an HF population is 25 to 35 %, and in more severe hospitalized HF patients, it may be as high as 40 % [5••, 6, 7].

The incidence of HF in patients with clinically diagnosed DM is approximately 2.5 times that in patients without DM [8, 9]. The development of clinical HF in patients with DM is associated with a significantly poorer outcome as measured



by CV death or admission to the hospital with worsening HF than comparable non-diabetic subjects [5••, 10–12].

This manuscript focuses on the integration of HF and glycemic management in the increasing population of T2DM and clinical HF to provide preventative and treatment strategies to decrease the prevalence and improve the clinical outcomes for these patients.

# Epidemiology

One of the early reports of the high prevalence of HF in patients with DM (2.5-fold in men and 5-fold in women) compared to non-diabetic individuals came from the Framingham cohort in 1974 [8]. One of the problems in appreciating the importance of HF in patients with DM is the heterogeneity and complexity of developing a mechanistic definition of HF. HF is defined by the American College of Cardiology Foundation (ACCF)/American Heart Association (AHA) guidelines [13] as "a complex clinical syndrome that can result from any structural or functional cardiac disorder that impairs the ability of the ventricle to fill with or eject blood. The cardinal manifestations of HF are dyspnea and fatigue, which may limit exercise tolerance and fluid retention, which may lead to pulmonary congestion and peripheral edema." HF is classified by the left ventricular ejection fraction (LVEF). The older classification was vague in that HF with preserved LVEF was defined as  $\geq$ 50 % and with reduced LVEF as  $\leq$ 40 %, with that between 40 and 50 % arbitrarily assigned one or the other depending on the study design. The more recent classification proposed by the European Heart Association [1] defines LVEF < 40 % as HFrEF (reduced), >40 to 49 % as HFmEF (intermediate), and  $\geq$ 50 % as HFpEF (preserved). In addition, the diagnosis of HFmEF and HFpEF requires an elevated level of natriuretic peptide and either one or both structural heart disease with left ventricular hypertrophy (LVH) or left atrial enlargement (LAE) or evidence of diastolic dysfunction. The severity of HF is usually defined by the NY Heart Association (NYHA) Classification published in 1964 [14] and/or the ACC/AHA 2009 Guidelines for the Diagnosis and Management of Heart Failure in Adults [13], both of which are provided in Table 1.

Nichols et al. published a retrospective analysis in 2004 of 8231 patients with T2DM and 8845 non-diabetic patients of similar age and sex who did not have HF at entry in 1997 and were followed for up to 72 months for the development of HF [9]. The patients with T2DM developed 30.9 cases of HF/ 1000 person-years compared to 12.4 cases/1000 person-years in the non-diabetic individuals (P < 0.001). The odds ratio (OR) for HF in all the patients with T2DM as compared to those without DM was 2.5. However, the OR was highly dependent on age: 11.0 at <45 years, 8.6 at 45 to 54 years, 4.4 at 55 to 64 years decreasing to 1.8 at 75 to 84 years. Risk factors for the development of HF in the diabetic population

| Table 1              | Classification of heart failure  |
|----------------------|--|
| New York             | Heart Association Classification of Heart Failure [14]   |
| Class 1              | No limitation of physical activity. Ordinary<br>physical activity does not cause undue<br>fatigue, palpitations, dyspnea, or angina pain   |
| Class 2              | Slight limitation of physical activity. Patients<br>are comfortable at rest. Ordinary physical<br>activity results in fatigue, palpitation, dyspnea,<br>or angina pain                             |
| Class 3              | Marked limitation of physical activity. Patients<br>are comfortable at rest. Less than ordinary<br>activity causes fatigue, palpitation, dyspnea,<br>or angina pain                                |
| Class 4              | Inability to carry on any physical activity without<br>discomfort. Symptoms of heart failure or the<br>angina syndrome may be present even at rest.<br>Any physical activity increases discomfort. |
| American<br>Classifi | College of Cardiology/American Heart Association cation of Heart Failure [13]  |
| Stage A              | High risk for HF but without structural heart disease or symptoms of HF  |
| Stage B              | Structural heart disease but without signs of symptoms of HF   |
| Stage C              | Structural heart disease with prior or current symptoms of HF  |
| Stage D              | Refractory HF requiring specialized interventions  |

were previous history of ischemic heart disease, poorer glycemic control (hazard ratio (HR) = 1.32 per percentage point of hemoglobin A1c (HbA1c)), and greater body mass index (BMI) (HR = 1.12 per 2.5 units of BMI). Many subsequent studies have confirmed the marked increase in HF in patients with DM compared to non-diabetic individuals [5••, 10, 15, 16]. Data from the Reduction of Atherothrombosis for Continued Health from the (REACH) registry published in 2015 reported results of a 4-year follow-up of 45,227 patients with high-risk atherothrombosis disease of whom 43.6 % had DM at baseline [5••]. In addition to the increase in prevalence of HF observed in the diabetic population, there was also a marked increase in all CV outcomes including death and hospitalization for HF over the 4-year follow-up.

The Organized Program to Initiate Lifesaving Treatment in Hospitalized Patients with Heart Failure (OPTIMIZE-HF) program was a patient registry and performance improvement program for patients hospitalized with HF. The study registered 48,612 patients from 259 hospitals. Forty-two percent of the patients had DM [15].

The incidence of HF in patients with DM increases with poor glycemic control. Data from Kaiser Permanente Medical Care of Northern California reported an 8 % increase in HF risk for every 1 % increase in HbA1c in a population of 48,858 patients with DM followed for a median of 2.2 years [17]. Data from a Swedish National Diabetes Registry of 83,021 patients with T2DM examined the relationship between glycemic control and hospitalization for HF in patients in the registry 1998 to 2003 who were followed up for a mean of 7.2 years [18]. A total of 10,969 (13.2 %) were hospitalized with a primary or secondary diagnosis of HF. Male sex, older age, and longer duration of DM increased the incidence of HF hospitalization (P < 0.001). HbA1c was a major risk factor for the development of HF. No increased risk of HF hospitalization was observed with HbA1c <7 %. A slight increase in risk was observed with HbA1c 7.0 to <8.0 %. The risk for HF hospitalization increased progressively from HbA1c 8.0 to <9.0, 9.0 to <10.0, and >10.0 % (HRs = 1.10, 1.27, and 1.71, respectively, P < 0.001).

BMI has been identified as a risk factor for the development of HF in persons with T2DM in several clinical studies [19•, 20]. The Swedish National Diabetes Registry study cited above also determined the effect of BMI on hospitalizations for HF over the same 7.2-year follow-up [19•]. Cox regression analysis of the effect of BMI on HF development adjusting for age, sex, HbA1c, blood pressure, DM duration, smoking, microalbuminuria, cardiac comorbidities, glucose lowering, and anti-hypertensive medications showed the following HR relative to the BMI 20 to 25 group in the 10,969 patients hospitalized for HF: BMI 25 to 27.5 = 1.04, BMI 27.5 to <30 = 1.22, 30 to <35 = 1.54, 35 to <40 = 2.16,  $\geq 40 = 3.22$  (P < 0.001).

#### Pathogenesis of Heart Failure in Patients with DM

HF may occur in the presence of reduced LVEF (HFrEF) or preserved LVEF (HFpEF). The definition of "normal LVEF" has varied over the years. In a 2015 joint guideline from the American Society of Echocardiography and the European Association of Cardiovascular Imaging, "normal LVEF" was defined as being between 53 and 73 % [21]. Popular norms set echocardiographic normative value as LVEF  $\geq$ 55 %; however, in many clinical trials and population-based studies, values as low as  $\geq$ 40 or 45 % have been considered normal. In addition to LVEF, the patient demography, comorbid conditions, pathogenesis, outcomes, and responses to therapies are somewhat different between the two types of HF [22–25].

#### HFrEF

The primary abnormality in HFrEF is systolic dysfunction in which there is impaired LV contraction and ejection of blood [2, 26–28]. Most common causes are a loss of myocardial mass, impaired myocardial contractility, volume, and/or pressure overload. Hemodynamically, cardiac output is decreased causing hypoperfusion of tissues, end LV systolic and diastolic pressures and volumes are increased, and pulmonary congestion occurs [26–28]. Major factors leading to impaired LV

systolic function are coronary ischemic disease and infarction. uncontrolled hypertension, valvular incompetence, and microvascular disease. The decrease in cardiac output and hypoperfusion in HFrEF result in many adaptive responses. Sympathetic nervous system activation causes increases in norepinephrine and epinephrine, activation of the reninangiotensin-aldosterone system (RAAS), and increases in vasopressin [2, 26–28]. Atrial natriuretic peptide (ANP), ventricular B-type natriuretic peptide (BNP), and C-type natriuretic peptide secretions are increased in response to the elevation in chamber pressure in HF [29]. Cytokines are released from the vasculature and systemic endothelial activation with inflammation results from HF [26, 27]. Chronically, the heart responds to HF with ventricular remodeling resulting in hypertrophy and an increased ventricular volume [2, 26–28]. Many of the adaptive changes (e.g., hypertrophy, heightened sympathetic tone) initially improve ventricular function, but eventually, they become maladaptive and serve to worsen the HF (e.g., chamber enlargement, heightened sympathetic tone). These adaptive changes include molecular remodeling as well. There is reduced expression of SERCA2a, decreased L-type calcium channels on the cardiac myocytes, reduced phosphorylation of phospholamban leading to less SR reuptake of calcium, and altered expression of the sodium-calcium transporter [2, 3, 30, 31]. Treatment of HFrEF is largely focused on blocking or reversing the maladaptive responses and facilitating the adaptive processes [31].

# HFpEF

HF with preserved EF has been defined by the European Society of Cardiology using the following criteria: (1) signs or symptoms of HF, (2) normal or mildly abnormal systolic LV function, (3) elevated levels of natriuretic peptides and at least one of the two following criteria: relevant structural heart disease (LVH and/or LAE) or diastolic dysfunction [1]. Normal or mildly abnormal systolic LV function was defined as an LVEF  $\geq$  50 % and an LV end diastolic volume index  $(LVEDVI) < 97 \text{ ml/m}^2$ . Diastolic LV dysfunction is considered as LV end diastolic pressure >16 mm Hg or mean pulmonary capillary wedge pressure > 12 mm Hg [23]. The AHA and ACC define HFpEF simply as HF in which the EF > 50 %[32]. Many observers continue to equate HFpEF with diastolic dysfunction. The underlying abnormalities in most cases of HFpEF are increased LV stiffness, impaired LV relaxation, impaired contractile reserve, increased vascular stiffness and inefficient ventriculo-arterial coupling, pulmonary hypertension, and abnormal cardiovascular response to exercise. Emerging evidence points to microvascular dilatory dysfunction or rarefaction as a significant etiologic factor in the development of diastolic dysfunction [22, 33-35]. The prevalence of HFpEF is greater in women, older age populations, and in those with hypertension.

Patients with DM are at high risk for both HFrEF and HFpEF [11, 36, 37]. Approximately 40 % of diabetic subjects with HF have HFpEF which is similar to that in non-diabetic subjects with HF. However, the overall incidence of HF in diabetic subjects is 2.5-fold greater, and clinical outcomes are significantly worse than in the non-diabetic population [11, 38]. What factors can explain these disparities? Ischemic heart disease and hypertension are comorbidities with DM and are major risk factors for HF. However, numerous studies have shown that DM itself is an independent risk factor for HF [17, 39., 40, 41]. Diabetic cardiomyopathy is a clinical condition in which ventricular dysfunction occurs in DM patients in the absence of coronary artery disease (CAD) or hypertension [42-44]. It is often difficult to isolate the contribution of cardiomyopathy from the other risk factors such as CAD and hypertension because of their frequent co-existence. In a nationwide study of hospital discharges of 44,837 patients with unexplained dilated cardiomyopathy and 450,254 matched controls, DM was significantly more prevalent in the HF group (adjusted OR = 1.58, 95 % CI = 1.55-1.62) [45]. One potential reason for the occurrence of HF in patients with DM independent of CAD or hypertension is the associated microvascular dysfunction characteristic of DM. Impaired reactive hyperemia and other measures of microvascular dysfunction are observed in DM and could explain the rate of HFpEF [34, 35]. An early feature of diabetic cardiomyopathy is diastolic dysfunction.

#### Diabetes

DM leads to an increase in myocardial free fatty acid (FFA) uptake and metabolism and a reduction in glucose metabolism. The excessive myocardial FFA uptake and metabolism are thought to lower LV diastolic and systolic function, increase mitochondrial reactive oxygen stress (ROS), and cause additional lipotoxic effects such as inflammation in myocardial cells [39••, 40, 44]. Hyperglycemia elevates cardiomyocyte glucose levels which generate advanced glycosylation end-products (AGEs) and glucose toxicity. AGEs increase ROS, can crosslink and damage collagen, and activate the inflammatory cascade among its many effects. The net effect of the multiple metabolic effects of DM on the myocardium is to cause myocardial hypertrophy, myocardial fibrosis and stiffness, myocardial microangiopathy, and impaired myocardial contractility [25, 42].

If diabetic cardiomyopathy is due to microangiopathy and the metabolic consequences of poorly regulated hyperglycemia, it should be possible to prevent it by early and aggressive management of the lipid and glucose abnormalities of DM [46].

# **Clinical Course of Heart Failure in Patients with Diabetes**

The prognosis of patients with HF is poor. Community-based studies indicate that 1-year mortality following diagnosis is 30

to 40 % and 5-year mortality is 40–60 %. Refractory HF or sudden ventricular arrhythmia are the cause of death [47]. The NYHA classification gives useful prognostic information for an individual patient. Patients with NYHA class IV have a 30 to 70 % annual mortality while those with class II have an annual mortality of 5 to 10 % [48].

Patients with DM and HF have a poorer outcome than nondiabetic patients. In the REACH Registry of 45,227 HF patients, 43.6 % of whom had DM, the 4-year HR of cardiovascular death, non-fatal MI or non-fatal stroke in the diabetic population (16.5 %) compared to the nondiabetic (13.1 %) was 1.27, P < 0.001. CV death occurred in 8.9 versus 6.0 % (P < 0.001) and overall death 14.3 versus 9.9 % (P < 0.001) (DM versus non-diabetic individuals). DM patients had a 33 % greater rate of hospitalizations for HF, 9.4 vs 5.9 % (P < 0.001). In the patients with HF at baseline, the HR for cardiovascular death in the diabetic population was 2.45, and for hospitalization for HF, it was 4.72 (P < 0.001) [5••].

An analysis of the impact of DM on outcomes in patients with HFrEF and HFpEF performed in 7599 patients with symptomatic HF followed for a median of 37.7 months in the Candesartan in Heart failure-Assessment of Reduction in Mortality and morbidity (CHARM) program showed that DM was an independent predictor of CV mortality and morbidity regardless of EF. The prevalence of DM was 28.3 % in those with preserved EF and 28.5 % in those with reduced EF. For the entire population of 2163 participants with DM, the rates of death and hospitalizations from CV disease (94.4 and 306.5 per 1000 patient years of follow-up, respectively) were greater than those for the 5436 non-diabetic population (57.5 and 207.4 per 1000 patient years of follow-up, respectively, P < 0.001). The patients with DM and preserved EF had worse outcomes (CV death and HF hospitalization) relative to those without DM who had HFpEF (HR = 2.0, P < 0.0001). In participants with DM and low EF, DM was an independent predictor of CV death or HF hospitalization with an HR of 1.60 (P < 0.0001) compared to non-diabetic individuals [11]. In the Digitalis Investigation Group (DIG) ancillary study which compared participants with DM and HFpEF to participants without DM but with HFpEF, DM was associated with a 68 % increased risk of HF hospitalization or HF death during a mean follow-up of 37 months [37].

Several studies have assessed the impact of fasting plasma glucose on outcomes of patients with HF. A retrospective analysis of the effect of fasting plasma glucose on outcomes in 6607 patients with HF followed in a Jerusalem Health Maintenance Organization indicated that impaired fasting plasma glucose (IFG) and elevated plasma glucose (DM) predict reduced survival (HR = 1.42 for DM; 1.55 for IFG, P < 0.01 for both) and increased cardiac-related hospitalizations (HR = 1.31, P < 0.001 for DM; 1.17, P < 0.05 for IFG) when compared to HF patients with fasting glucoses 92 to 99 mg/dl. Forty-eight percent of the patients had DM and

11 % had IFG. The mean follow-up was 487 days, and the mean age at inclusion was  $75 \pm 13$  years [49].

Undiagnosed DM is a frequent occurrence in patients hospitalized for HF. In a series of 400 patients admitted consecutively for acute HF, 47 % did not have DM, 37 % had known clinical DM, and 16 % were previously undiagnosed with DM but had two fasting plasma glucoses  $\geq$ 7.0 mmol/l before or after the acute episode. Despite having comparable CV risk factors, the patients with undiagnosed DM had a 7-year total and CV mortality that was significantly higher than those without DM (HR = 1.69, 95 % CI = 1.17-2.46 and 2.45, 95 % CI = 1.58-3.81, respectively) and similar to those with known DM who had a much higher CV risk profile [50]. The Worcester Heart Failure Study (WHES) was a population-based surveillance study of adult residents of the Worcester, MA metropolitan area admitted to all 11 central Massachusetts medical centers for acute decompensated HF during the years 1995, 2000, 2002, and 2004. The total population surveyed consisted of 5428 individuals without DM (glucose  $124.8 \pm 28$  mg/dl), 3807 with diagnosed DM (glucose  $191 \pm 96$  mg/dl), and 513 with admission hyperglycemia (glucose  $260.5 \pm 65$  mg/dl). The highest inhospital death rate occurred in those with admission hyperglycemia (9.9 %) compared to 6.5 % in patients with known DM and 7.5 % in individuals without DM [51•]. This is consistent with the observations that patients admitted with an acute illness who have severe hyperglycemia have previously unrecognized and uncontrolled DM or have a severe illness that causes markedly excessive neuroregulatory and cytokine responses [52].

The Meta-Analysis Global Group in Chronic Heart Failure (MAGGIC) reported an analysis of individual data on 39,372 patients with both HFrEF and HFpEF from 30 cohort studies. A total of 40.2 % of the patients during a median follow-up of 2.5 years died. They constructed a model from the data to predict mortality from HF. The model includes 13 independent predictors of mortality. The order of predictive strength is age, lower EF, NHYA class, serum creatinine, DM, absence of prescribed beta-blocker, lower systolic blood pressure, lower body mass, time since diagnosis, current smoker, chronic obstructive pulmonary disease, male gender, and absence of a prescribed RAAS inhibitor [53]. In HFpEF, age was more predictive, and systolic blood pressure was less predictive of mortality than in HFrEF. The Web site address for the MAGGIC calculator for all-cause mortality for 1 and 3 years is http://www. heartfailurerisk.org. An alternative predictive model for survival in HF is the Seattle Heart Failure Model [54].

# **Treatment of Heart Failure in Patients with Diabetes**

The treatment of HF in the patient with DM is similar to the individual without DM with the added complication of the impact that glycemic control and the anti-hyperglycemic agents used have on clinical HF outcomes. In both the diabetic and non-diabetic populations, treatment for HF with reduced LVEF (<40 %) provides a significant benefit in reducing total mortality, CV mortality, and hospitalization for progressive HF. In contrast, these same therapies do not result in improved survival in patients with HF with preserved LVEF (>45 or 50 %).

#### Patients with HFrEF–LVEF ≤ 40 %

# Blockade of the RAAS

In patients with HFrEF, therapy is directed toward reversing the maladaptive effects that occur because of the activation of the sympathetic nervous system and the RAAS. Angiotensin converting enzyme inhibitors (ACEI), which block the conversion of angiotensin I to angiotensin II, reduce total mortality 23 % and the combined end point of mortality or hospitalization for HF 35 % [55]. The effects are similar among the different ACEI [56–59]. Patients with the lowest EF appear to have the greatest benefit with the greatest effects occurring in the first few months of treatment. The reduction in mortality is due to fewer deaths from progressive HF. ACEI have little if any effect on sudden, arrhythmic death. ACEI block the production or metabolism of many peptides other than angiotensin II. Their use is associated with significant side effects such as cough, angioedema, symptomatic hypotension, and renal dysfunction.

Because angiotensin II receptor blockers (ARBs) effectively reduce angiotensin II effects without the side effects of ACEIs, their value in treating HF has been evaluated in many clinical trials. The initial trials examined the effects of adding an ARB to patients with HFrEF on standard care including ACEIs and to HFrEF patients unable to take ACEIs because of intolerance to their side effects. Valsartan added to HFrEF patients NYHA class II-IV receiving standard care (93.5 % on ACEIs, 35 % on  $\beta$ -adrenergic blockers, 5 % on spironolactone) did not reduce overall mortality but did decrease the combined endpoint of mortality and hospitalization for progressive HR by 13.2 % [60]. This was primarily due to the lower number of patients hospitalized for HF (18.2 % in the placebo-treated group versus 13.8 % in the valsartantreated group, P < 0.001). A post hoc analysis of the data suggested that adding valsartan to patients taking both an ACEI and a  $\beta$ -adrenergic blocker increased mortality (P =0.009) and showed a tendency toward a poorer combined outcome as compared to placebo treatment. The post hoc analysis also suggested that the effect of valsartan on the combined endpoint was not significant in the 25 % of the patients with HF and DM. The response to valsartan addition appeared to be somewhat better in the 366 patients not taking an ACEI [61]. Compared to the placebo, both all-cause mortality and combined all-cause mortality and CV morbidity in the valsartan group were significantly less (17.3 versus 27.1 % and 24.9 versus 42.5 %, respectively). It appeared that an ARB could replace an ACEI with at least equal benefits.

A comparable series of studies with candesartan in patients with HFrEF and NYHA class II-IV yielded slightly different results. Candesartan added to patients taking ACEIs 100 %,  $\beta$ -adrenergic blockers 55 %, and spironolactone 17 %, decreased CV death or hospital admission for HF over a median duration of treatment of 41 months by 15 % (38 % events in candesartan group versus 42 % in placebo group, P = 0.01) [62]. CV deaths were reduced by 16 % and hospitalization for HF by 17 %. In this study, patients on ACEIs and  $\beta$ adrenergic blockers had the same benefits from candesartan as all other patients. Adding candesartan to patients with HFrEF not taking ACEIs because of intolerance resulted in a 23 % reduction on CV death and hospitalization for HF, a 15 % reduction in CV death, and a 32 % reduction in hospitalization for HF [6]. Adding an ARB to an ACEI increases the incidence of increasing renal dysfunction and hyperkalemia [6, 61]. The combination ACEI and ARB blocker does not appear to provide significant clinical benefit but is associated with more significant side effects.

Blocking the RAAS improves clinical outcomes in patients with HFrEF. The magnitude of the benefit depends on sufficiently blocking the RAAS and is therefore dependent on the dose of the inhibitor or blocker used. There is no clear data as to the effectiveness of RAAS inhibition on HF outcomes in patients with DM as compared to those without DM.

#### Renin Inhibition

A large clinical trial examined the effect of a renin inhibitor (aliskiren) compared to enalapril or combined with enalapril on the primary outcome of death from CV causes or hospitalization for HF. Participants were randomized to enalapril 5 or 10 mg twice a day (n = 2336), aliskiren 300 mg once daily (n = 2340), or enalapril + aliskiren (n = 2340). After a median follow-up of 36.6 months, the primary outcome occurred in 32.0 % of the combined therapy group, 34.6 % in the enalapril group, and 33.8 % in the aliskiren group. Aliskiren alone or combined with enalapril provided no addition benefit on the clinical outcomes. The combination of aliskiren and enalapril increased the risk of hypotensive symptoms, an elevated serum creatinine, and hyperkalemia [63].

#### B-adrenergic Blockade

B-adrenergic blocking agents improve functional status and reduce morbidity and mortality in patients with HFrEF. In order to minimize adverse effects such as impaired recognition of clinical hypoglycemia, deterioration of glycemic control, elevation of lipids, bronchospasm, and inhibition of vasodilator effects of  $\beta$ 2-adrenergic stimulation, cardio-specific  $\beta$ 1receptor antagonist are recommended. Bisoprolol administered for a mean of 1.3 years to patients with NYHA class III and IV HF with an EF  $\leq$  35 % receiving standard therapy with diuretics and ACEIs reduced all-cause mortality by 34 % and sudden deaths by 44 % compared to placebo treatment (P < 0.0001) [64]. Metoprolol CR/XL in the Metoprolol CR/ XL Randomized Intervention Trial in-Congestive Heart Failure (MERIT-HF) trial involving 3991 participants with NYHA class II-IV and  $EF \le 40$  % on standard therapy reduced all-cause mortality at 1 year of follow-up by 34.5 % compared to placebo (P < 0.001). Sudden death was reduced by 41 % and death from worsening HF by 49 %. The metoprolol dose was started at 12.5 or 25 mg daily and up-titrated over 8 weeks to 200 mg daily [65]. Similar results were obtained by carvedilol treatment of patients with severe chronic HF (symptoms at rest or with minimal exertion and an EF < 25 %). In the carvedilol prospective randomized cumulative survival (COPERNICUS) study, after an average treatment of 10.8 months, there were 190 deaths in the placebo-treated group and 130 in the carvedilol group for a risk reduction of 35 %, P < 0.001. Carvedilol reduced the combination of CV death or hospitalization for HF by 31 %, P < 0.001 [66, 67]. The carvedilol-treated participants spent 27 % fewer days in the hospital and experienced a serious adverse event like sudden death, cardiogenic shock, or ventricular tachycardia less than placebo-treated participants (P < 0.002).  $\beta$ -adrenergic blockade has dramatic effects in reducing mortality and sudden death in particular. Metoprolol succinate, carvedilol, and bisoprolol are the currently marketed *β*-adrenergic blockers in the USA shown to exert a mortality benefit in HFrEF.

#### Mineralocorticoid Receptor Antagonists

In clinical trials, the mineralocorticoid receptor antagonists, spironolactone and eplerenone, have been shown to increase survival among patients with severe systolic HF. In the Randomized Aldactone Evaluation Study, 25 mg of spironolactone and placebo were administered to 1663 participants who had severe HF and a LVEF  $\leq$  35 % and were being treated with an ACEI, a loop diuretic, and in most cases digoxin. The primary endpoint was death from all causes. The study was terminated early after a mean follow-up of 24 months. Forty-six percent of participants died in the placebo arm and 35 % in the spironolactone arm, for a risk reduction (RR) of 30 %, P < 0.001. The risk reduction in the spironolactone-treated group was attributed to both a reduction in sudden death from cardiac disease and a decrease in death due to progressive HF [68]. Participants who received spironolactone had a 35 % lower frequency of hospital admission for worsening HF (P < 0.001) and an improvement in NYHA functional class (P < 0.001). Similar results were observed in a NYHA class II population treated with eplerenone (up to 50 mg/day) for a median duration of 21 months. A composite end point of death from a CV cause or hospitalization for HF occurred in 25.9 % of the placebo group and 18.3 % in the eplerenone group for an RR of 37 %

(P < 0.001) [69]. All-cause mortality and CV mortality were decreased 34 % (P < 0.01). A serum potassium level exceeding 5.5 mmol/l occurred in 11.8 % of the eplerenone-treated participants and 7.2 % in the placebo-treated participants. Use of a mineralocorticoid receptor antagonist is accepted as standard of care for symptomatic patients with HFrEF (EF < 35 %) who are also taking beta-adrenergic blockers and either ACEI or ARB. Monitoring serum potassium levels should be done routinely.

# Angiotensin-Neprilysin Inhibition

One of the physiologic adjustments to HF is an increase in the secretion of atrial natriuretic hormone and BNP. These hormones are released in response to myocardial stretching in the atria and the ventricles and induce diuresis, natriuresis, and vasodilatation as well as inhibiting the sympathetic nervous system [29, 70]. Attempts to treat HF with recombinant human BNP were unsuccessful with several clinical trials showing no significant beneficial effects and some possible toxic effects [29, 70]. An approach which has been successful is the development of agents to block the enzyme neprilysin that metabolize NPs and increase endogenous NP levels [71]. One such agent is AH 377 (sacubitril), a prodrug that is rapidly metabolized into a biologically active neprilysin inhibitor. This was coupled to valsartan, and the angiotensin-neprilysin (sacubitril/valsartan) inhibitor has been shown in the PARADIGM-HF trial to be more effective in treating HF than enalapril [7]. The combined inhibitor (LCZ696) at 200 mg twice a day was compared to 10 mg enalapril twice a day in a double-blind, randomized trial involving 8442 participants with NYHA HF class II, III, or IV and a LVEF  $\leq 40$  %. The trial was stopped early after a median follow-up on 27 months. The primary outcome, a composite of death from CV causes or hospitalization for HF, occurred in 21.8 % of the LCZ696treated participants and 26.5 % of the enalapril-treated participants (RR = 20 %, P < 0.001). The RR for all-cause mortality was 16 %, for CV death 20 %, and for hospitalizations for HF was 21 %.

A recent analysis of the PARADIGM-HF data examined the risk related to pre-diabetes and DM [12]. Patients with a baseline history of DM (n = 2907, 35 %) had a higher risk of the primary composite outcome of HF hospitalization or CV mortality compared to those without a history of DM (n = 5492), (adjusted HR = 1.38, P < 0.001). Baseline HbA1c measurements showed that an additional 1106 (13 %) participants had undiagnosed DM (HbA1c  $\geq 6.5$  %) and 2103 (25 %) had pre-diabetes (HbA1c 6.0–6.4 %). The HRs for the composite primary outcome in participants with previously known DM, those with previously unknown DM, and those with pre-diabetes compared to participants without DM (HbA1c < 6.0 %) were 1.64, 1.39, and 1.27, respectively, P < 0.001 for all three groups. In this large clinical trial which randomly recruited participants with reduced LVEF, 49 % of the participants had DM and 25 % had pre-diabetes. The primary clinical outcomes over 27 months were increasingly worse going from normoglycemia to pre-diabetes to newly diagnosed DM to known DM. Some benefit of LCZ696 (sacubitril/valsartan) treatment compared to enalapril treatment on clinical outcomes was observed irrespective of glycemic status. The HRs for the primary composite outcome comparing sacubitril/valsartan to enalapril treatment going from normoglycemic to pre-diabetes to newly diagnosed DM to known DM were 0.68 to 0.76 to 0.97 to 0.87. The occurrence of DM in the patient with HF results in worse clinical outcomes and poorer responses to current medical therapy.

#### If Current Blockade

Ivabradine blocks the If current responsible for automaticity in the sinoatrial node of the heart. Its primary action is to slow heart rate without affecting ventricular myocardium. In patients with persistently elevated heart rates despite the use of  $\beta$ -adrenergic blockers, Ivabradine can slow the heart rate, improve left ventricular filling, and reduce mortality. The SHIFT trial (Ivabradine in addition to beta blockade therapy for participants with mild to moderate HF and heart rates above 70 bpm) showed that the 2-year composite outcome of cardiovascular death or hospital admission was less in the group treated with Ivabradine than in those randomized to placebo (HR = 0.74, P < 0.001) [72]. In a post hoc analysis of the participants in the SHIFT trial, the prevalence of DM in the population was 30 % of whom 32 % were being treated with insulin. The participants with DM had an increase in the primary outcome of CV death or hospitalization for worsening HF compared to the non-diabetic population (HR = 1.18, P =0.001) [73]. The beneficial effects of Ivabradine occurred in the diabetic population (HR = 0.80) as well as the non-diabetic population (HR = 0.84).

# Other Medical Therapies Not Shown to Have a Mortality Benefit

In patients with HFrEF NYHA classes II–IV, about 85 % of patients ordinarily require treatment with diuretics, and loop diuretics are preferred. Approximately 50 % of patients with HFrEF are administered a digitalis preparation. Clinical trials have shown that digoxin has no effect on overall mortality but does cause a reduction in the rate of hospitalization for overall and worsening HF in patients with HFrEF [74]. In an ancillary study, digoxin had no effect on either mortality or morbidity in patients with HFpEF [75]. Both diuretics and digitalis are used primarily for symptomatic improvement; however, the therapeutic window for digitalis is rather narrow, limiting its clinical utility.

#### Non-surgical Device Treatments

When medical therapy fails after an appropriate trial, medical device therapy can be considered. Implantable cardioverterdefibrillator (ICD) is useful for both primary and secondary prevention of sudden death from ventricular arrhythmia. Cardiac resynchronization therapy (CRT) can be considered in symptomatic patients with HF in sinus rhythm with a QRS  $\geq$  150 msec with or without left bundle branch block morphology and an EF  $\leq$  35 % to improve symptoms and reduce morbidity and mortality. Device therapy requires evaluation and chronic care by cardiologists with specific expertise in the use of these devices.

A device approved in European countries and still in clinical trials in the USA is cardiac contractility modulation (CCM) which involves non-excitable electrical stimulation of the ventricles during the absolute refractory period to enhance contractile performance.

There is no information as to the benefit of these devices in the patient with HF with DM as contrasted to subjects without DM. A detailed discussion of device therapy for HF can be obtained in the 2016 ESC Guidelines for the diagnosis and treatment of acute and chronic HF [1].

# Patients with HFpEF-LVEF > 40 %

Though much knowledge and understanding about the pathophysiology of HFpEF has been obtained in the last decade, there is, as yet, no treatment identified that provides a proven mortality benefit. Several clinical trials suggest a possible benefit in morbidity and a large trial with ARB/neprilysin inhibition is ongoing.

# Angiotensin Receptor Blockade

The CHARM Preserved Trial recruited 3023 participants with NYHA classes II–IV and LVEF > 40 %. Median follow-up was 36.6 months, and the primary outcome was CV death or hospital admission for HF. Patients were randomized to candesartan 32 mg once daily or placebo. Of the cohort, 22 % of the candesartan and 24 % on the placebo group experienced the primary outcome (HR 0.89, P = 0.118). There was no difference in CV death (170 participants in each group), but fewer participants on candesartan had a hospital admission for HF (230 versus 279, P = 0.017) [76]. A trial involving 4128 participants ( $\geq$ 60 years) with NYHA class II–IV, LVEF  $\geq$  45 % randomized subjects to irbesartan versus placebo. After a mean follow-up of 49 months, there was no significant difference between the two groups in primary outcome of death from any cause or hospital admission for a CV cause [77].

The paramount trial was a phase II trial in participants with NYHA class II–IV, LVEF  $\geq$ 45 %, and NT-proBNP > 400 pg/ ml to evaluate the effect of LCZ696 200 mg twice a day versus

valsartan 160 mg twice daily on NT-proBNP levels at 12 weeks. LCZ696 lowered the NT-pro BNP levels by 4 weeks and maintained those levels through 36 weeks [78]. Valsartan caused a slow progressive decrease in NT-proBNP during the entire 36 weeks. The improvement by LCZ696 treatment over valsartan was significantly different at 4 and 12 weeks, but not at 36 weeks. LCZ696 caused a greater BP reduction at 12 and 36 weeks. The preliminary data from this pilot study was used to help design the large ongoing PARAGON-HF in HFpEF study which will study the effect of LCZ676 on clinical outcomes in participants with HFpEF.

# Treatment of Hyperglycemia in Patients with Diabetes and Heart Failure

Patients with T2DM have a marked increase in the prevalence of HF and have a poorer prognosis when they develop HF than non-diabetic individuals. A major consideration is the extent to which hyperglycemia itself contributes to these disparities. Hyperglycemia may impact HF in several ways: (1) chronic hyperglycemia may contribute to the development of HF, (2) hyperglycemia may worsen the outcome of acute HF, (3) chronic hyperglycemic management in the patient with chronic HF may influence clinical outcomes, (4) specific anti-hyperglycemic agents may have direct effects on the development and/or clinical outcomes of HF.

As noted in the section on epidemiology, observational studies have shown a striking relationship between HbA1c and the development of HF in patients with T2DM and no HF at baseline. During a mean follow-up of 2.2 years, each 1 % increase in HbA1c was associated with an 8 % increase in HF and for a mean follow-up of 7 years, HF development increased progressively from <5 % with an HbA1c of 7.0 to 72 % with an HbA1c greater than 10 % [18].

The level of chronic glycemic control and the stage of HF determine the clinical outcomes of CV death and hospitalization for HF in patients with DM. The large clinical intervention trials in participants with T2DM to determine the effect of intensive (HbA1c 6.5 to 6.8 %) versus ordinary glycemic control (HbA1c 7.5 to 8.0 %) on CV events failed to show any effect on HF outcomes (HR = 1.00, 95 % CI = 0.86–1.16) [79]. While these trials included 27,049 participants, the baseline incidence of HF was very low (approximately 5 %), and only 905 participants were hospitalized for HF annually. The overall difference in mean HbA1c between the groups in all of those intervention trials was 0.9 %.

In contrast, observational studies in patients with HF and DM show a strong relationship between glycemic control and clinical outcomes. In a population-based study of 16,524 patients seen in emergency departments in Ontario, Canada with acute HF syndromes, the presenting blood glucose level predicted clinical outcomes [80]. A presenting blood glucose

>11.1 mmol/l in patients with DM (n = 7249) was associated with an increased risk of all-cause death (HR = 1.48, P = 0.01) or DM-related hospitalizations (HR = 1.39, P < 0.001). A presenting blood glucose >9.4 mmol/L was associated with an increase in risk of hospitalization for HF (HR = 1.15, P =0.002) or any CV cause (HR = 1.09, P = 0.009) in the entire patient population [80]. The Worcester WHES study observed the effects of elevated serum glucose levels on survival after acute HF in 5428 non-diabetic patients, 3807 patients with diagnosed DM, and 513 patients with admission hyperglycemia. In-hospital death rate was highest in those with admission hyperglycemia (9.9 %) as compared to individuals without DM (7.5 %) and those with known DM (6.5 %). In contrast, the patients with known DM had the highest death rates 3 months (HR = 1.14 compared to those without DM, 95 % CI = 1.09-1.20) and 1 and 2 years (HR = 1.18, 95 % CI = 1.11-1.24) after discharge [51•].

The ASTRONAUT trial (aliskren) [81] and the EVEREST trial (tolvaptan) [82] were clinical trials in participants hospitalized for symptomatic HF. In both studies, the participants were assessed for post-discharge outcomes, and differences were determined in participants with known DM as compared to participants without DM. The extent of glycemic control was not monitored by glucose levels or HbA1c in either study. ASTRONAUT trial showed no difference in inpatient outcomes between participants with and without DM. However, 12 months after discharge, participants with DM had higher CV mortality or hospitalization for HF (non-diabetic HR = 0.80; diabetic HR = 1.15, P < 0.03). A mean of 9.9 months after discharge, participants with DM in the EVEREST trial had a 17 % increase in CV mortality or hospital admission for HF. Patients with DM in the EVEREST trial were treated by diet (20 %), oral agents (36 %), or insulin (48 %). The insulintreated group had an increase in the outcome of CV mortality or HF hospitalization (HR = 1.25, 95 % CI = 1.00–1.57) compared to the other treatments. The increase in outcome events in the short-term post-discharge period of these two studies suggests an influence of glycemic control on outcomes in participants with DM and HFrEF.

Several retrospective studies have examined the effect of the intensity of glycemic control on mortality in patients with DM and chronic HF. Table 2 summarizes the results from three such studies, and all indicate that there is a U-shaped relationship between mortality and HbA1c levels [83-85]. The most comprehensive study examined the mortality during a 2-year follow-up period from the database records of 5815 patients with DM and HF managed in ambulatory clinics in VA Medical Centers [83]. Ninety-four percent of patients were male with a mean age of 69.2 years; 45 % had reduced LVEF and 55 % had preserved LVEF. The other two studies were each from a single center, included only patients with reduced LVEF, and reported data from a 2-year follow-up [84, 85]. The patients in the Tomova et al. study had more severe HF with 75 % NYHA class III and IV [84]. The data from the three studies suggest that the lowest mortality occurs when glycemic control maintains the HbA1c between 7.5 and 8.0 % (Table 2).

An additional issue in treating the patient with DM and HF is the choice of anti-hyperglycemic agents used. There are no adequate clinical trials of metformin, sulfonylurea, or ordinary insulin dose on HF pathogenesis or treatment. The only data available are from observational studies. A retrospective analysis of 6185 patients with HF and DM treated in ambulatory clinics in Veterans Affairs medical centers and followed for 2 years showed a propensity score adjusted mortality in metformin-treated patients of 16.1 versus 19.8 % in patients not treated with metformin (HR = 0.76, P < 0.01). HF hospitalization was no different between metformin treatment and no metformin treatment [86]. Based on the US and Canadian cardiac failure data, treatment with metformin is not absolutely contraindicated in patients who have isolated HF. The risk of lactic acidosis due to metformin is negligible in these

 Table 2
 Glycemic control and mortality in patients with heart failure and diabetes

| Aguilar et al. [83]               |                      | Tomova GS et al. [84]            |  | Eshaghian S et al. [85]          |                      |
|-----------------------------------|----------------------|----------------------------------|--|----------------------------------|----------------------|
| N=5815                            | Mortality at 2 years | N=358                            | Mortality or urgent<br>heart transplantation<br>at 2 years | N=123                            | Mortality at 2 years |
| Quintile 1<br>HbA1c≤6.4 %         | 25 %                 | Quartile 1<br>HbA1c ≤6.4 %       | 52 %   | Quartile 1<br>HbA1c <6.6 %       | 9/31<br>29 %         |
| Quintile 2<br>HbA1c 6.4 to ≤7.1 % | 23 %                 | Quartile 2<br>HbA1c 6.5 to 7.2 % | 58 %   | Quartile 2<br>HbA1c 6.6 to 7.7 % | 13/33<br>39 %        |
| Quintile 3<br>HbA1c 7.1 to ≤7.8 % | 17.7 %               | Quartile 3<br>HbA1c 7.3 to 8.5 % | 39 %   | Quartile 3<br>HbA1c 7.8 to 8.9 % | 3/29<br>10 %         |
| Quintile 4<br>HbA1c 7.8 to ≤9.0 % | 22.5 %               | Quartile 4<br>HbA1c ≥8.6 %       | 35 %   | Quartile 4<br>HbA1c >8.9 %       | 7/30<br>23 %         |
| Quintile 5<br>HbA1c > 9.0 %       | 23.2 %               |                                  |  |                                  |                      |

patients and is unrelated to the plasma concentration of metformin. Metformin-associated lactic acidosis may occur when kidney function is decreased in patients with decompensated HF. Metformin provides a greater degree of CV protection and should not be withheld in patients with DM with stable HF who do not have other risk factors for acute decompensated HF or lactic acidosis [87].

In a single center observational study of 554 consecutive patients with advanced systolic HF, patients were stratified into 3 groups: 43 patients with DM were treated with insulin and 89 were non-insulin-treated. The 1-year survival was 89.7 % in the 422 patients without DM, 85.8 % in the noninsulin-treated patients with DM, and 62.1 % for the insulintreated patients with DM, P < 0.00001. After Cox multivariate analysis, insulin-treated DM was found to be an independent predictor of mortality (HR = 4.30, 95 % CI = 1.69-10.94) whereas non-insulin-treated DM was not (HR = 0.95, 95 % CI = 0.31–2.93) [88]. A large CV outcome trial (ORIGIN) comparing low-dose basal insulin glargine to standard DM care which was carried out in 12,537 participants with prediabetes and newly diagnosed T2DM over a median duration of 6.2 years found neither a beneficial nor detrimental effect of insulin glargine on the development of HF [89]. The study was not designed to specifically study HF, and only 310 of 6264 participants on insulin glargine and 343 of 6273 participants on standard care were hospitalized for HF. The mean HbA1c for the insulin glargine and standard treatment groups for the study were 6.2 and 6.5 %, respectively. Appropriate interventional trials will be necessary to assess the effects of insulin treatment on development and outcomes of HF.

The first clear evidence that anti-hyperglycemic drugs could cause and worsen HF in DM patients was shown with the thiazolidinediones (PPAR $\gamma$  agonists). Pioglitazone and rosiglitazone increase sodium retention by the kidney and cause increased fluid retention which leads to edema and an increase in both the incidence of HF and an increased rate of hospital admissions for HF [90, 91]. These drugs should not be used in patients with T2DM and HF. As noted previously, despite insufficient data about the effect of insulin treatment on outcomes in patients with T2DM and HF, the potential dangers of hypoglycemia are sufficiently serious in this population to limit insulin use.

The effect of DPP-4 inhibitors in patients with T2DM and HF is complicated. The CV outcome trials show that saxagliptin increases hospitalizations for HF in patients with T2DM [92]. The alogliptin trial suggests, but is not definitive, that it too may increase HF hospitalizations [93], while the sitagliptin trial shows no effect on HF morbidity in patients with T2DM [94]. The unresolved question is whether the increase in HF is a class effect and the differences in results due to differences in clinical design of the trials or whether the effect has little to do with DPP-4 inhibition. At the present time, these data suggest that if a DPP-4 inhibitor is to be used

| Table 3 Randon     | nized clinical intervention tri | ials that evaluated the $\epsilon$ | effects of specific anti- | glycemic agents on either the develor  | pment or treatment of heart failure in p                                    | patients with type 2 diabetes                      |
|--------------------|---------------------------------|------------------------------------|---------------------------|--|---|--|
| Intervention trial | Anti-hyperglycemic agent        | # of entered patients              | Total HF<br>Drug/placebo  | HF hospitalization<br>Drug/placebo<br>(# of patients or events/100 pt. yrs.) | HF hospitalization<br>or CV death<br>(# of patients or Events/100 pt. yrs.) | Use in patients with DM and HF                     |
| PROactive          | Pioglitazone                    | 5238                               | 281/198 <i>P</i> = 0.0001 | 149/108 P = 0.007  | Death 25/22<br>P = 0.634  | Not recommended                                    |
| RECORD             | Rosiglitazone                   | 4447                               |                           | 61/29 P = 0.001  |   | Not recommended                                    |
| SAVOR-TIMI-53      | Saxagliptin                     | 16,492                             |                           | 289/228 P = 0.007  |   | Not preferred DPP-4 Inhibitor                      |
| EXAMINE            | Alogliptin                      | 5380                               |                           | 106/89 P = 0.15  |   | Not preferred DPP-4 Inhibitor                      |
| TECOS              | Sitagliptin                     | 14,671                             |                           | 1.07/1.09 P = 0.98   | 2.54/2.50 P = 0.74  | Preferred DPP-4 Inhibitor                          |
| ELIXA              | Lixisenatide                    | 6068                               |                           | 1.8/1.9 P = 0.75   |   | No detrimental effects                             |
| EMPA-REG           | Empagliflozin                   | 7020                               |                           | 9.4/14.5 P = 0.002   | 5.7/8.5 P < 0.001   | Beneficial effects on HF                           |
| LEADER             | Liraglutide                     | 9340                               |                           | 1.2/1.4 P = 0.14   |   | Beneficial in reducing CV deaths but not HF        |
| ORIGIN             | Glargine Insulin                | 12,612                             |                           | 0.85/0.95 P = 0.16   |   | Hypoglycemia is a significant risk for HF patients |
|                    |                                 |                                    |                           |  |   |  |

in patients with T2DM and HF, sitagliptin would be the one to use.

The LEADER trial with the GLP-1 receptor agonist liraglutide showed no specific effect on HF outcome but did show a decrease in CV mortality in participants with T2DM [95]. Thus, it and perhaps other GLP-1 receptor agonists may be useful in treating the patient with T2DM and HF.

The EMPA-REG CV outcome trial unexpectedly showed that the SGLT-2 inhibitor, empagliflozin, has a unique benefit in decreasing both HF hospitalization and death in participants with T2DM at high risk for CV events [96•]. The mechanism for this effect is unknown but may be related to its effects in causing weight loss, reducing plasma volume, lowering systolic blood pressure, and/or creating a more ketogenic metabolism. A specific outcome trial of empagliflozin in patients with T2DM and HF is needed to verify that the benefits sufficiently outweigh potential side effects before this can be recommended as a preferred treatment. CV safety trials with other SGLT-2 inhibitors such as canagliflozin and dapagliflozin, which will be completed in the next year or two, will show whether the effects on HF outcomes are class effects.

The US FDA has mandated that all new DM drugs must be evaluated for CV safety. This has spawned a large number of completed and ongoing clinical CV trials (Table 3). The trials are primarily designed with the primary endpoint being development of first major CV events defined as CV death, nonfatal myocardial infarction, and non-fatal stroke. HF has not been a major end point, and the studies are not powered for HF outcomes. Nonetheless, data have emerged from some of these trials which have generated significant interest in the indication or contraindication of some of these drugs for the treatment of T2DM patients with HF (Table 3).

# Conclusions

Evolving data on HF and DM indicate that DM is present in as many as 50 % of patients presenting with HF. Those patients with DM and HF have a significantly worse outcome than patients with HF and no DM. The person with DM and HF responds less well to medical HF treatments than patients with normal glycemia. The effects of DM on HF is related to the degree of metabolic derangement and are seen even in the prediabetic state. Treatment of the patient with DM and HF requires the simultaneous treatment of both the HF and the hyperglycemia. The impact of anti-hyperglycemic agents on the development of HF and its clinical outcomes may open new approaches to treatment. The worldwide incidence of T2DM is increasing in epidemic proportions with the number of patients with DM expected to exceed 640 million by 2040. The majority of patients are developing T2DM in middle age (30 to 60 years) and surviving well into their 70s and 90s. It can be anticipated that the increase in DM and the aging of the population will lead to a marked increase in the number of patients with DM and HF. The increasing number and poor prognosis of DM patients with HF requires the development of new strategies to prevent and to treat this increasing important complication of DM.

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#### **Compliance with Ethical Standards**

**Conflict of Interest** Gül Bahtiyar and Harold Lebovitz1declare that they have no conflict of interest.

David Gutterman reports that his institution receives payment from a device company for 25 % of my salary related to consultation for that company.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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