

**The Stability of  $Ti_2AlC$  and  $V_2AlC$  with Al, and the  
Synthesis of Composites in the Al-Ti-B-C and Al-V-C systems**

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## Table of Contents

LIST OF TABLES.....	vi
LIST OF FIGURES.....	vii
Abstract.....	xi
<b>Chapter 1: Introduction.....</b>	<b>1</b>
1.1. Composites.....	1
1.2. Metal matrix composites.....	2
1.3. Aluminum, its alloys and composites.....	3
<b>Chapter 2: Background.....</b>	<b>5</b>
2.1. Introduction.....	5
2.2. Al matrix composites.....	5
2.3. Mg-MAX composites.....	7
2.4. Al-MAX composites.....	8
2.5. Wetting of Al on carbide ceramics.....	9
2.6. Scope of this work.....	10
<b>Chapter 3: Phase Diagrams.....</b>	<b>11</b>
3.1. Al-B system.....	11
3.2. Al-C system.....	11
3.3. Al-Ti system.....	11
3.4. Al-V system.....	11
3.5. Ti-B system.....	12
3.6. Ti-C system.....	12
3.7. V-C system.....	12
3.8. B-C system.....	13
3.9. Al-B-C system.....	13
3.10. Al-V-C system.....	14
3.9. Al-B-C system.....	14
3.10. Al-Ti-C system.....	14

3.11. Ti-B-C system.....	15
3.12. Al-Ti-B system.....	16
3.13. Al-V-C system.....	17
<b>Chapter 4: Materials and Procedures.....</b>	<b>19</b>
4.1. Materials.....	19
4.1.1. Al-Ti-B-C composites.....	19
4.1.2. Al-V-C composites.....	20
4.2. Processing of Al-Ti-B-C composites.....	20
4.2.1. Preparation of carbide preforms.....	20
4.2.2. Pressureless melt infiltration.....	21
4.2.3. Microstructure characterization.....	22
4.2.4. Microhardness measurements.....	23
4.3. Processing of Al-V-C composites.....	24
4.3.1. Preparation of Al-MAX composites.....	24
4.3.2. Preparation of carbon rich sample.....	25
4.3.3. Preparation of samples for differential scanning calorimetry.....	25
4.3.4. Microstructure characterization.....	25
4.3.5. Characterization by differential scanning calorimetry.....	26
<b>Chapter 5: Characterization of Al-Ti-B-C composites.....</b>	<b>28</b>
5.1. Results of equilibration experiments.....	28
5.1.1. X-ray diffraction of equilibrated samples.....	28
5.1.2. Microstructures of equilibrated composites.....	31
5.2. Results of samples melt infiltrated for 0.5 h.....	34
5.2.1. X-ray diffraction of reaction products.....	34
5.2.2. Microstructure of composites.....	34
5.2.3. Composites infiltrated with Al alloys.....	42
5.2.4. Microhardness of composites.....	51

5.3. Discussion.....	52
5.3.1. Equilibrium tetrahedral.....	52
5.3.1a. Phase equilibria in the B-rich composite.....	52
5.3.1b. Phase equilibria in the Ti-rich composite.....	54
5.3.2. Constituent phase diagram at 1000 °C.....	56
5.3.3. Reaction mechanisms.....	60
5.3.3a. Reaction in the B-rich composite.....	60
5.3.3b. Reaction in the Ti-rich composite.....	60
5.3.3c. Reactions with $Ti_3AlC_2$ .....	62
5.3.4. Comparison with literature results.....	62
5.3.5 Discussion of microhardness measurements.....	64
5.4. Summary of findings.....	67
<b>Chapter 6: Microstructural Characterization of Al-V-C composites.....</b>	<b>68</b>
6.1. Results.....	68
6.1.1. Synthesis of Al- $V_2AlC$ composites.....	68
6.1.2. Differential scanning calorimetry.....	72
6.1.3. Equilibration experiments.....	75
6.2. Discussion.....	76
6.2.1. Al-MAX composites.....	76
6.2.2. Phase stability and reaction.....	77
6.2.3. Phase equilibria.....	80
6.3. Summary of findings.....	82
<b>Chapter 7: Summary and Conclusions.....</b>	<b>83</b>
<b>Chapter 8: Appendix A: Rietveld Refinements.....</b>	<b>85</b>
<b>Chapter 9: References.....</b>	<b>91</b>

# LIST OF TABLES

<b>Table 1.</b> Comparison between various factors influencing the strength of discontinuously reinforced Al MMCs.....	6
<b>Table 2.</b> The range in chemical composition of Al alloys as determined by XRF.....	19
<b>Table 3.</b> Dimensions of BR and TiR ceramic preforms after cold pressing to 50 MPa... 21	
<b>Table 4</b> The observed phases from each experiment are reported with their relative increase or decrease in amount noted, if applicable, and calculated volume fractions, if available.....	30
<b>Table 5.</b> Hardness values for various materials and composites taken from the literature for comparison with the Al-Ti-B-C composites of this study.....	67
<b>Table 6.</b> Parameters used in determining the volume change of <i>in situ</i> forming $V_2AlC$ .....	79

## LIST OF FIGURES

<b>Figure 1.</b> An Ashby plot demonstrating the general increase in tensile strength with increased amount of alloying elements in aluminum alloys.....	4
<b>Figure 2.</b> Comparison of UCS testing results vs. Al wt. % content for several Mg-MAX composites.....	8
<b>Figure 3.</b> Isothermal ternary diagram at 1000°C of the Al-B-C system as presented by Grytsiv (2009).....	14
<b>Figure 4.</b> Isothermal ternary diagram at 1000°C of the Al-Ti-C system as presented by Pietzka and Schuster (1994), where H and P represent the $Ti_2AlC$ and $Ti_3AlC$ phases, respectively.....	15
<b>Figure 5.</b> Isothermal ternary diagram at 1000°C of the Ti-B-C system as presented by Gusev (1997).....	16
<b>Figure 6.</b> Isothermal ternary diagram at 1000°C of the Al-Ti-B system as presented by Witusiewicz et al.....	17
<b>Figure 7.</b> Isothermal ternary diagram at 1000°C of the Al-V-C system as reported by Schuster, et al.....	18
<b>Figure 8.</b> Pressureless melt infiltration technique for synthesizing Al-Ti-B-C composites.....	22
<b>Figure 9.</b> Typical XRD patterns for, A) BR and, B) TiR composites after equilibrating at 1000 °C for 10 h under flowing Ar. In both systems, Al, $TiB_2$ , and $Al_4C_3$ were equilibrium phases. The presence of $Al_4C_3$ in both composites was confirmed by additional investigations (marked by vertical lines in the insets).....	29
<b>Figure 10.</b> Backscattered electrons SEM images of BR samples fabricated at 1000 °C. A) Low magnification image of sample annealed for 0.5 h. Large unreacted $B_4C$ grains (black) can be found amongst $Al_3BC/Al$ (mid grey) and $TiB_2/Al$ (light grey) regions. B) Higher magnification image of A demonstrating the presence of two distinct regions. Note the small grains of $TiB_2$ dispersed in Al, whereas $Al_3BC$ grains tend to be much larger. C) Low magnification image of sample annealed for 10 h. The decreased fraction of remaining $B_4C$ grains (black) corresponds to an increase in the $TiB_2/Al$ (light grey) regions. D) Higher magnification image of C demonstrating the increased grain size of $TiB_2$ grains in the Al matrix.....	32



- Figure 11.** Backscattered electrons SEM images of TiR sample annealed at 1000 °C. A) Low magnification image demonstrating homogeneous microstructure after 0.5 h anneal time. Some grains of B<sub>4</sub>C (black) can be found remaining. B) Higher magnification image of A showing small TiC/TiB<sub>2</sub> grains dispersed in the Al matrix. C) Low magnification image of sample annealed for 10 h. D) Higher magnification image of C demonstrating similar grain dispersion to B..... 34
- Figure 12.** X-ray diffraction patterns for BR samples infiltrated and held at, A) 900, B) 950 and, C) 1050°C for 0.5 h..... 35
- Figure 13.** X-ray diffraction patterns for TiR samples infiltrated and held at, A) 900, B) 950 and, C) 1050°C for 0.5 h..... 36
- Figure 14.** Backscattered electrons SEM images of BR (left) and TiR (right) infiltration experiments annealed for 0.5 h. A) BR sample processed at 900 °C demonstrating the highest fraction of unreacted B<sub>4</sub>C (black). B) TiR sample processed at 900 °C demonstrating the presence of Ti<sub>3</sub>AlC<sub>2</sub>, with needle-like grain morphology, dispersed in a TiAl<sub>3</sub>/Al matrix. C) BR sample processed at 950 °C. D) TiR sample processed at 950 °C. Ti<sub>3</sub>AlC<sub>2</sub> disappears and Al<sub>3</sub>BC appears as B<sub>4</sub>C and TiAl<sub>3</sub> are consumed. E) BR sample processed at 1050 °C demonstrating no distinguishable microstructural changes from A. F) TiR sample processed at 1050 °C demonstrating a change in microstructure that is similar to the equilibrated sample (Fig. 4c)..... 38
- Figure 15.** Backscattered electrons SEM image of BR sample infiltrated and held at 1050 °C for 0.5 h..... 39
- Figure 16.** Backscattered electrons SEM image of Ti-rich infiltration experiment at (A) 900 °C, (B) 950 °C, and (C) 1050 °C for 0.5 h..... 41
- Figure 17.** X-ray diffraction patterns for AIR samples infiltrated with Al-6061 and held at, A) 900, B) 950 and, C) 1050°C for 0.5 h..... 43
- Figure 18.** X-ray diffraction patterns for AIR samples infiltrated with Al-2024 and held at, A) 900, B) 950 and, C) 1050°C for 0.5 h..... 43
- Figure 19.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 6061 at 900 °C..... 45
- Figure 20.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 6061 at 950 °C..... 46
- Figure 21.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 6061 at 1050 °C..... 47
- Figure 22.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 2024 at 900 °C..... 48

- Figure 23.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 2024 at 950 °C..... 49
- Figure 24.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 2024 at 1050 °C..... 50
- Figure 25.** Vickers microhardness of Al-Ti-B-C composites as a function of infiltration temperature, soaking was held constant at 0.5 h. AIR composites were infiltrated with pure Al (blue), Al 2024 (green) and Al 6061 (red) alloys. TiR composites were infiltrated with pure Al (black)..... 52
- Figure 26.** Equilibrium tetrahedron as determined from, A) B-rich composition. Blue circle represents the starting composition as calculated from starting powder weights, black x represents the composition as determined from the left hand side of Eq. 7; pink point represents the final molar composition as determined from Rietveld analysis of equilibrated samples. B) Ti-rich composition. Blue circle represents the starting composition as calculated from starting powder weights, black x represents the composition as determined from the left hand side of Eq. 14; pink point represents the final molar composition as determined from Rietveld analysis of equilibrated samples. C) Constituent Al-Ti-B-C phase diagram at 1000 °C. Green points represent 10, 40, and 60 wt. % Al compositions from Zou, et al. and blue points are the nominal compositions reported by Zhang, et al..... 55
- Figure 27.** A reaction-path-superimposed quaternary phase diagram visually demonstrating Eqs. 2-6, 12 and 16. Dashed lines represent reacting phases, solid lines represent reaction products, and bold lines outline the equilibrium phase relations. Note that the “hidden” face of the quaternary diagram is the Ti-B-C triangle..... 57
- Figure 28.** Typical XRD spectra of water quenched, a) AIR and, b) VR composites after soaking at 1000 °C..... 69
- Figure 29.** Secondary electrons SEM image of a water quenched AIR composite after soaking at 1000 °C for 2.5 h. (a) Imaging at low magnification demonstrates homogeneity of the composite, with some large pores throughout. (b) Higher magnification imaging demonstrates  $V_2AlC$  grains dispersed among Al particles that densified and those that did not break their oxide shell..... 70
- Figure 30.** Secondary electrons SEM image of a water quenched VR composite after soaking at 1000 °C for 2.5 h. (a) Imaging at low magnification demonstrates the porosity of the sample. (b) Higher magnification imaging demonstrates clumps of  $V_2AlC$  grains held together by Al particles, verified by backscattered electron image (right)..... 71
- Figure 31.** Typical x-ray diffraction spectra for furnace cooled, a) AIR and, b) VR composites that no longer demonstrate the coexistence of  $V_2AlC$  with Al..... 72

**Figure 32.** DSC curve for the DSC-WQ sample demonstrating two exothermic peaks and one endothermic peak during heating (a) and one exothermic peak during cooling (b). The heating and cooling curves were separated for clarity. The linear offset from 0 is +200 and +100 for the heating and cooling curve, respectively..... 73

**Figure 33.** DSC curve for the DSC-FC sample demonstrating one exothermic peak and one endothermic peak during heating (a) and two exothermic peaks during cooling (b). The heating and cooling curves were separated for clarity. The linear offset from 0 is +200 and +100 for the heating and cooling curve, respectively..... 74

**Figure 34.** Typical diffraction patterns of DSC-WQ sample after equilibration at, a) 800 °C and, b) 1000 °C..... 75

**Figure 35.** Phase equilibria in the Al-rich corner of the Al-V-C system at 800 °C. The nominal compositions of the AlR and VR composites are red and green points, respectively. Composition of the DSC-WQ sample as determined by Rietveld analysis of both 800 °C (green point) and 1000 °C (orange point) equilibration samples..... 81

**Figure A1.** Rietveld refinement of the BR composite (black) compared to the diffraction pattern (red). The difference pattern is shown in blue..... 85

**Figure A2.** Rietveld refinement of the TiR composite (black) compared to the diffraction pattern (red). The difference pattern is shown in blue..... 86

**Figure A3.** Rietveld refinement of the AlR composite (black) compared to the diffraction pattern (red). The residual difference is shown in blue..... 87

**Figure A4.** Rietveld refinement of the VR composite (black) compared to the diffraction pattern (red). The difference pattern is shown in blue..... 88

**Figure A5.** Rietveld refinement of the DSC-WQ composite (black) equilibrated at 800 °C compared to the diffraction pattern (red). The difference pattern is shown in blue..... 89

**Figure A6.** Rietveld refinement of the DSC-WQ composite (black) equilibrated at 1000 °C compared to the diffraction pattern (red). The difference pattern is shown in blue..... 90

# Abstract

## The Stability of $Ti_2AlC$ and $V_2AlC$ with Al, and the Synthesis of Composites in the Al-Ti-B-C and Al-V-C systems

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Michel W. Barsoum, PhD.

As automotive, aerospace and the power industries increasingly look to carbide and boride based aluminum composites for their high specific strengths and increased thermal stability, it is important to characterize the equilibrium phase relations at temperatures common for processing these composites. Herein, two composite systems were investigated. Composites in the Al-Ti-B-C system were fabricated starting with Al,  $Ti_2AlC$ , and  $B_4C$ . Composites in the Al-V-C system were fabricated starting with elemental powders. The  $Ti_2AlC/B_4C$  powders were mixed in both 50/50 and 75/25 vol. % ratios and cold pressed into 53 % dense preforms. The preforms were pressureless melt infiltrated in the 900 to 1050 °C temperature range with Al. X-ray diffraction, XRD, and scanning electron microscopy, SEM, confirmed that neither  $Ti_2AlC$  nor  $B_4C$  was an equilibrium phase. The equilibrium phases were found to be Al,  $TiB_2$ ,  $Al_3BC$ , and  $Al_4C_3$  for the more B-rich composite and Al,  $TiB_2$ ,  $TiC$ , and  $Al_4C_3$  for the Ti-rich composite. From these results, the 1000 °C quaternary phase diagram adjacent to the Al- $TiB_2$ - $Al_4C_3$  triangle and in the Al-rich corner was developed for the first time.

In the Al-V-C system, Al- $V_2AlC$  composites were synthesized in situ by quenching from 1000 °C, however fully dense composites have yet to be realized. XRD and SEM investigations indicated a predominately two phase composite (Al and  $V_2AlC$ ), and that some Al particles did not break their oxide shell. Furnace cooled samples did

not form two phase composites. Differential scanning calorimetry was used to determine the instability of  $V_2AlC$  with Al below  $\approx 950$  °C. Additionally, the Al-V-C phase diagram was characterized in the Al-rich corner at 800 °C. Al and  $V_2AlC$  were found to be in equilibrium with both  $Al_3V$  and  $Al_4C_3$ , but not with each other.



# Chapter 1.

## **Introduction**

---

### *1.1. Composites*

Composite materials are generally classified as the combination of two or more constituent materials, having different properties, so that their combination enhances the properties of the conglomerate. It is advantageous to keep the constituent materials separate – as distinguished from dissolved, reacted or blended together – but combined in such a way as to form a solid unit of material. In this manner, the goal is to fabricate a composite with the best properties of each constituent material without accentuating their shortcomings. The progress of composite materials is, therefore, to contribute to the constant endeavor to optimize material properties.

Composites found in nature offer some perspective to the variety of material combinations that have existed before the efforts of materials science. Wood is a fibrous composite consisting of cellulose fibers in a lignin matrix. The combination of these two materials gives wood its strength and flexibility: the cellulose has high tensile strength but low stiffness and the lignin matrix holds the fibers together and provides rigidity <sup>[1]</sup>. Additionally, mollusk shells are composites containing 95 vol. %  $\text{CaCO}_3$  platelets held together by a 5 vol. % protein-polysaccharide matrix. Due to the interlocking facets of the  $\text{CaCO}_3$  platelets, the shell is about 10 times harder than monolithic  $\text{CaCO}_3$ . The addition of the polymer matrix prevents the shell from being too brittle, increasing the toughness by 1000 times that of monolithic  $\text{CaCO}_3$  <sup>[2]</sup>. These are but two examples of natural

composites that demonstrate polymer-polymer and ceramic-polymer composites, respectively. Further examples of composites in nature are detailed elsewhere <sup>[3]</sup>.

Mankind's experience with composites began by adding stone, straw or other reinforcement to mud bricks, and later limestone. The mud or limestone provides structural support under compression, but is easily broken under bending. The addition of fiber reinforcement to the brick increases its tensile strength <sup>[4]</sup>. While humanity has been using composites in the practical sense for thousands of years, the discipline of composites science has only been recognized since the early 1960s <sup>[1]</sup>. There is a demand from aerospace, transportation, energy and civil construction industries to develop lightweight materials with higher strength and stiffness than current materials. Composite systems may provide some of these solutions.

### ***1.2. Metal matrix composites***

Composites usually consist of a reinforcing phase dispersed in a matrix and have properties that are better than those of their individual components. When a metal is used as the matrix, the composite is called a metal matrix composite, MMC. The latter are custom made composites in which properties are tailored to fulfill the desired requirements. The first scientifically fabricated metal matrix composite can be dated back to 1924, when Schimd consolidated a mixture of aluminum and aluminum oxide powder as a dispersion hardened system and achieved higher hardness values than that of as cast aluminum <sup>[5]</sup>.

Continuously reinforced MMCs were extensively investigated during the 1960s, in which high vol. % of the reinforcement (40-80 vol. %) was used. The role of the



matrix is mostly to transfer the applied load to reinforcement. The latter is the load-bearing component. The main disadvantages of these composites were high cost and limitations of fabrication.

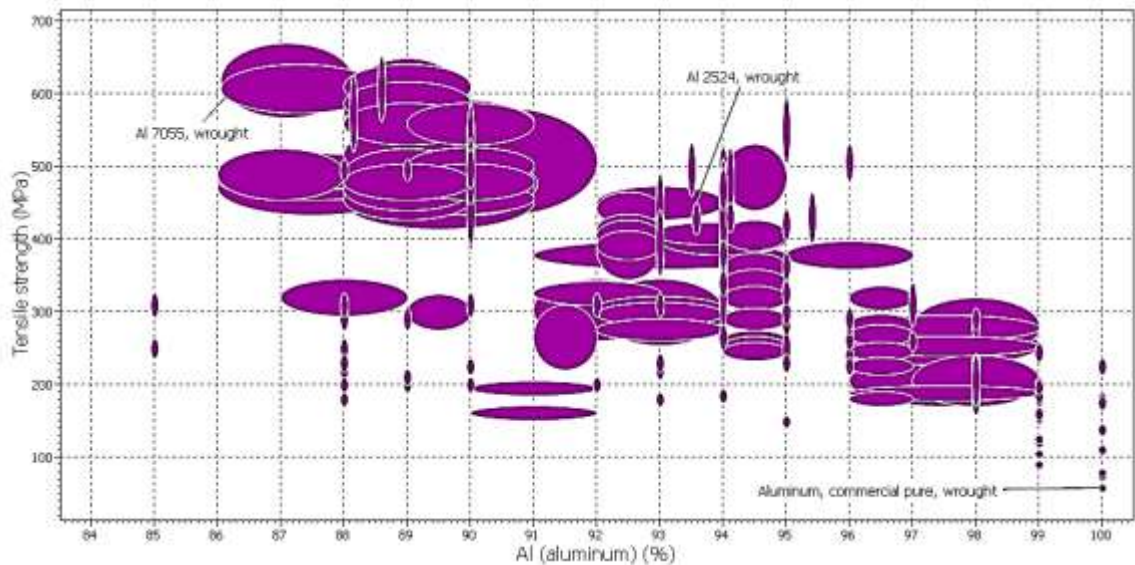
In the 1980s discontinuously reinforced composites gained interest, with the development of Al composites reinforced with SiC and Al<sub>2</sub>O<sub>3</sub> particles. The fraction of reinforcement is usually in the range of 5- 40 vol.%, which means that both the matrix and reinforcement contribute in load bearing. These composites become the most commercially attractive system due to their low cost, good workability and uniform properties compare to continuously reinforced composites.

### ***1.3. Aluminum, its alloys and composites***

Aluminum is the most abundant metal in the earth's crust, but is largely contained in rocks and minerals: two of the most common being potassium aluminum sulfate (KAl(SO<sub>4</sub>)<sub>2</sub>·12 H<sub>2</sub>O) and aluminum oxide (Al<sub>2</sub>O<sub>3</sub>). Aluminum was first isolated by the Danish chemist, Hans Christian Oersted, in 1825; however, it was not until the 1880s that less expensive techniques to synthesize Al were developed. Having a relatively low density (2.7 g/cm<sup>3</sup>), a melting temperature of 660 °C and a modulus of 70 GPa, as well as good corrosion resistance and machinability, Al was the choice material for the engine in the Wright brothers first airplane of 1903. Since the mass refinement of aluminum by the Pittsburg Reduction Company, now known as the Aluminum Company of America or Alcoa, in 1890, Al has been used as a lightweight structural material.

Common Al alloys include the addition of one or more of the following: copper, silicon, magnesium, manganese, zinc or lithium. The properties of Al alloys have been

well characterized <sup>[6]</sup>. These elemental additions have the tendency to increase the tensile strength of the material (Figure 1) while retaining a relatively low density; however, they do not necessarily address the relatively low hardness of Al or significantly increase the compressive strength. One method to overcome these limitations is to fabricate Al matrix composites. Among different reinforcement materials, ceramic reinforcements are commonly used in Al composites. Common ceramic reinforcements include:  $\text{Al}_2\text{O}_3$ ,  $\text{SiC}$  <sup>[7]</sup>,  $\text{B}_4\text{C}$  <sup>[8]</sup>,  $\text{TiC}$  <sup>[9]</sup>, and  $\text{TiB}_2$  <sup>[10]</sup>.



**Figure 1.** An Ashby plot demonstrating the general increase in tensile strength with increased amount of alloying elements in aluminum alloys.

Recent attempts to use  $\text{Ti}_2\text{AlC}$ , a MAX phase, to reinforce Al/Al-alloys have been undertaken, with limited success <sup>[11, 12]</sup>. Herein, we investigate possible methods of synthesizing near-net shape Al-MAX composites, using  $\text{Ti}_2\text{AlC}$  and  $\text{V}_2\text{AlC}$ , and to assess thermodynamic and/or kinetic factors that allow or prevent their fabrication.

# Chapter 2.

## **Background**

---

### *2.1. Introduction*

Metal matrix composites, MMCs, attempt to combine the advantageous properties of both metals and ceramics. Wear resistance, fatigue and fracture resistance, and reduced coefficient of thermal expansion (compared to the metal matrix) are attractive reasons to incorporate ceramic reinforcements into metal matrices, while retaining the good thermal and electrical conductivities, and machinability of metals <sup>[13]</sup>. Mixing of a metal, or alloy, with a reinforcement phase may be achieved through a variety of processes, such as stir casting, melt infiltration, powder metallurgy, among others <sup>[13]</sup>. Common ceramic reinforcements include: Al<sub>2</sub>O<sub>3</sub>, SiC <sup>[7]</sup>, B<sub>4</sub>C <sup>[8]</sup>, TiC <sup>[9]</sup>, and TiB<sub>2</sub> <sup>[10]</sup>. A more thorough review of MMCs and their properties may be found elsewhere <sup>[13, 14]</sup>.

### *2.2. Aluminum matrix composites*

Reinforcements in Al matrix composites, as with other MMCs, may be particles, short fibers or whiskers – each having their advantages. The earliest attempts to reinforce aluminum alloys were based on the incorporation of particles such as graphite powder, but only low volume fractions of particles have been incorporated (< 10%). Higher volume fractions of reinforcement have been achieved for various kinds of ceramic particles (oxide, carbide, nitride); however, while particles lead to a favorable effect on properties such as hardness, wear resistance and compression strength, fibers are expected to have more influence on rigidity and tensile strength <sup>[15]</sup>. A comparison of the

mechanical properties for some Al matrix composites with various reinforcements is presented in Table 1.

**Table 1.** Comparison between various factors influencing the strength of discontinuously reinforced Al MMCs <sup>[15]</sup>.

Composite Nature	Volume fraction of reinforcement (%)	E (GPa)	YS (MPa)	UTS (MPa) at 20 °C	UTS (MPa) at 350 °C
Al 1Mg 0.6Si Al <sub>2</sub> O <sub>3</sub> PM	29	91-96	390	385	120
Al 1Mg 0.6Si Al <sub>2</sub> O <sub>3</sub> Squeeze Cast	20	92	260	360	120
Al 12Si Cu Ni SiC whiskers Squeeze Cast	12 20	95 11	266 298	359 384	124 181
Al 12Si Cu Ni Al <sub>2</sub> O <sub>3</sub> Squeeze Cast	12 20	83 95	251 281	273 313	74 113
Al 1Mg 0.6Si SiC whiskers PM	15	91		306	
Al 4Cu 1Mg SiC whiskers PM	15	90		406	
Al 1Mg 0.6Si SiC powder PM	30	126	380	450	
Al 1Mg 0.6Si SiC whiskers PM	18	98	420	450	
Al 4.5Cu Al <sub>2</sub> O <sub>3</sub> LPS	10	74	221	305	144
Al 4.5Cu SiC LPS	10	46	201	217	145

\* PM and LPS stand for powder metallurgy and liquid phase stirring, respectively.

Al-matrix composites reinforced with silicon carbide, SiC, titanium carbide, TiC, and/or boron carbide, B<sub>4</sub>C, have been targeted as families of lightweight materials with the potential to operate at temperatures above those of Al and its alloys. The high hardness typical of boride and carbide-containing composites also makes them attractive

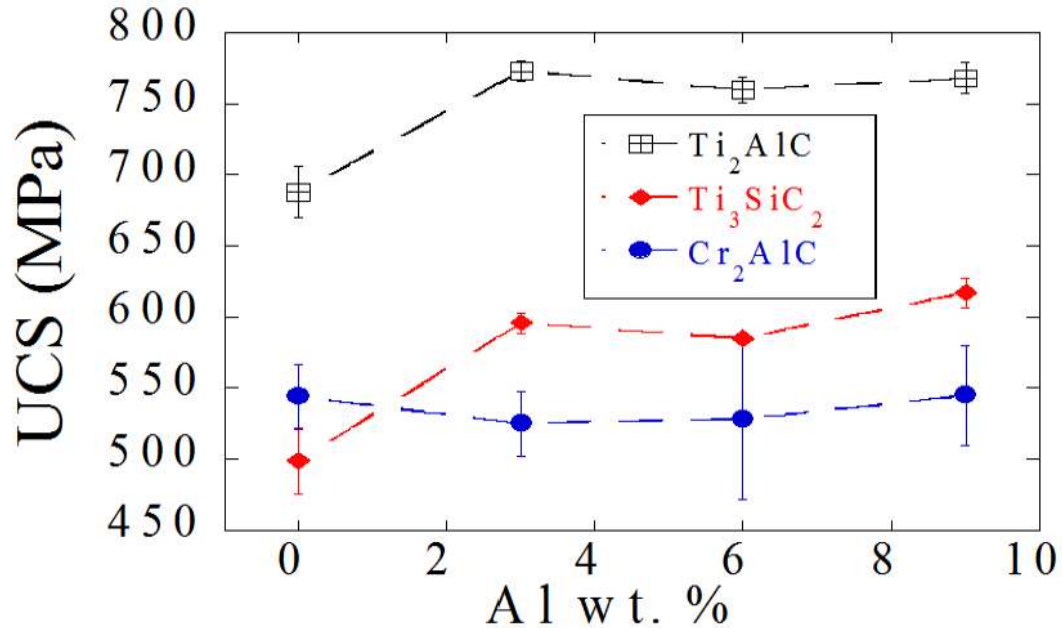
for high wear applications. Their chemical stability and neutron absorption properties render them appealing in nuclear and other demanding industrial settings <sup>[16, 17]</sup>.

### **2.3. Mg-MAX composites**

Since 2009, magnesium matrix composites reinforced with  $\text{Ti}_2\text{AlC}$  – a member of the MAX phases - have been developed <sup>[18-21]</sup>. The MAX are a family of nanolayered, machinable, ternary carbides and nitrides, having the general formula  $\text{M}_{n+1}\text{AX}_n$  – where  $n = 1, 2, 3$ ; M is an early transition metal; A is an A-group element (mostly groups 13 and 14); and X is C and/or N <sup>[22]</sup>. The MAX phases combine some of the best properties of metals and ceramics. Like metals they are machinable, electrically and thermally conductive <sup>[23, 24]</sup>, damage tolerant and not susceptible to thermal shock <sup>[25]</sup>. Like ceramics, some of them are lightweight ( $\approx 4 \text{ Mg/m}^3$ ) and elastically rigid (Young's moduli  $> 300 \text{ GPa}$ ).  $\text{Ti}_2\text{AlC}$  is also creep, fatigue and oxidation resistant <sup>[22, 26-28]</sup>.

The aforementioned Mg and Mg alloys-MAX composites were manufactured by spontaneous melt infiltration, MI, at relatively low processing temperatures ( $750 \text{ }^\circ\text{C}$ ) yielding composites with attractive mechanical properties. For example, the Young's modulus and ultimate compressive strengths, UCSs, of a Mg alloy (AZ61) matrix composite reinforced with 50 vol. %  $\text{Ti}_2\text{AlC}$  particles were measured to be  $136 \pm 6 \text{ GPa}$  and  $760 \pm 9 \text{ MPa}$ , respectively <sup>[20]</sup>. In addition to the excellent mechanical properties, these composites can also dissipate almost 25 % of the applied mechanical energy at high stresses <sup>[20, 29]</sup>. Additionally, Mg composites with the MAX phases  $\text{Ti}_3\text{SiC}_2$  and  $\text{Cr}_2\text{AlC}$  have been successfully synthesized and have been compared to Mg- $\text{Ti}_2\text{AlC}$  composites (Fig. 2) <sup>[21]</sup>. The resulting composites were also most readily machinable, since each

constituent is machinable. These promising results spurred interest in developing Al-MAX composites with comparable or better properties.



**Figure 2.** Comparison of UCS testing results vs. Al wt. % content for several Mg-MAX composites <sup>[21]</sup>.

#### 2.4. Al-MAX composites

Fabricating Al-matrix MAX reinforced composites is complicated by the fact that Al is not in equilibrium with most MAX phases <sup>[22]</sup>. For example, Wang et al. <sup>[11]</sup> attempted to fabricate  $Ti_3AlC_2$  composites at elevated temperatures and found that above 950 °C,  $Ti_3AlC_2$  reacted with Al to form TiC and  $TiAl_3$ . To circumvent this problem Wang et al. <sup>[11]</sup> hot pressed Al and  $Ti_3AlC_2$  powders at a temperature (550 °C) at which the reaction kinetics were slow. More recently, Hu et al. <sup>[12]</sup> used current-activated, pressure-assisted infiltration to fabricate Al- $Ti_2AlC$  composites. In this method, the processing and densification occur too rapidly for extensive reactions to occur. Their

nearly full dense composites were lightweight with UCS's of the order of 500 MPa. At 160 MPa cm<sup>3</sup>/g, their specific strengths were approximately 4 times higher than those of pure Al [12]. These solutions to the problem, however, do not lend themselves to rapid, near-net shape, inexpensive manufacturing.

One goal of this work was to fabricate Al-Ti<sub>2</sub>AlC composites via MI. However, preliminary results obtained herein showed that porous Ti<sub>2</sub>AlC preforms were not easily wet by molten Al even at 1000 °C. At higher temperatures, the reaction was quite intense and did not result in a usable material. To avoid reaction with Al, one MAX phase that has been reported to be in equilibrium with liquid Al at 1000 °C is V<sub>2</sub>AlC [30]. However, the extent of its thermodynamic stability and its use as a reinforcement phase in Al MMCs has been uninvestigated.

### ***2.5. Wetting of Al on carbide ceramics***

Poor wetting by Al is not unique to Ti<sub>2</sub>AlC, but is also encountered when fabricating MMCs with other carbides, such as TiC, B<sub>4</sub>C and SiC [31]. Typically temperatures greater than 1000 °C are necessary for Al to wet TiC and/or B<sub>4</sub>C preforms in MI experiments [8, 9]. It has been previously reported that Ti additions to B<sub>4</sub>C porous preforms enhance the infiltration kinetics of Al into the latter [8], which coincides with the finding that Ti-B interactions aid in the reactive wetting of Al on ceramic surfaces [32], though the reaction mechanisms and phase equilibria remain largely un-investigated [8]. Nevertheless, this interest in manufacturing Al matrix composites with both borides and carbides [33] would be greatly aided by an understanding of the reaction mechanisms and especially the equilibrium phase relations of these systems.

## ***2.6. Scope of this work***

This work sought to enhance the infiltration kinetics of Al into  $\text{Ti}_2\text{AlC}$  at lower temperatures by incorporating  $\text{B}_4\text{C}$  in the preforms, in an attempt to reduce or eliminate the high temperature reactions observed between  $\text{Ti}_2\text{AlC}$  and Al. When it was found that in all cases, a reaction occurred, the focus of the work shifted to understanding the equilibrium phase relations in the Al-Ti-B-C quaternary system at 1000 °C.

Additionally, we sought to synthesize an Al- $\text{V}_2\text{AlC}$  composite and to characterize the thermodynamic stability between the MAX phase and Al in the temperature range typical for manufacturing these composites. Before doing so, it is important to review the following phase diagrams.



## Chapter 3.

### Phase Diagrams

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#### *3.1. Al-B system*

Currently, only the binary phases  $\text{AlB}_2$  and  $\text{AlB}_{12}$  have been shown to be pure Al-borides<sup>[34]</sup>.  $\text{AlB}_2$  is stable to at least  $956 \pm 5$  °C. Above that temperature it peritectically transforms according to<sup>[35]</sup>



Others reported that the transformation temperature may be as high as  $972 \pm 5$  °C<sup>[36]</sup>.  $\text{AlB}_{12}$  is stable to  $\approx 2000$  °C. The solubility of B in liquid Al at 1100 °C is about 7 at.%.

#### *3.2. Al-C system*

The only binary phase in the Al-C system is  $\text{Al}_4\text{C}_3$ . And while this phase has been reported to be stable to above 2100 °C<sup>[37]</sup>, when in contact with  $\text{TiAl}_3$  will react to form TiC and Al at  $\approx 877$  °C<sup>[38]</sup>. The solubility of C in Al is negligible in the temperature range used herein<sup>[37]</sup>.

#### *3.3. Al-Ti system*

A multitude of binary intermetallic phases exist between Al and Ti, that include  $\text{Ti}_3\text{Al}$ ,  $\text{TiAl}$ ,  $\text{Ti}_3\text{Al}_5$ ,  $\text{TiAl}_2$ ,  $\text{Ti}_2\text{Al}_5$ ,  $\text{Ti}_5\text{Al}_{11}$ , and  $\text{TiAl}_3$ <sup>[39]</sup>. At 1000 °C, the stable phases are:  $\alpha$ -Ti,  $\beta$ -Ti,  $\text{TiAl}_3$ ,  $\text{TiAl}$ ,  $\text{TiAl}_2$ ,  $\text{Ti}_2\text{Al}_5$ , and  $\text{TiAl}_3$ <sup>[39, 40]</sup>.

#### *3.4. Al-V system*

The latest review of the Al-V phase diagram has been compiled by Okamoto

(2012) <sup>[41]</sup>. Here it can be clearly seen that  $\text{Al}_3\text{V}$  and  $\text{Al}_8\text{V}_5$  are equilibrium phases at 1000 °C, while the Al-rich phases –  $\text{Al}_{23}\text{V}_4$ ,  $\text{Al}_{45}\text{V}_7$  and  $\text{Al}_{21}\text{V}_2$  – only exist below 734 °C, when  $\text{Al}_3\text{V}$  falls out of equilibrium with liquid Al. The V-rich side of the phase diagram is primarily a V-Al solid solution above  $\approx 50$ -60 at.% V. The ordered phase  $\text{AlV}_3$  is shown to exist; however, discrepancies between studies have been noted <sup>[42]</sup>.

### **3.5. Ti-B system**

Initial investigations of the Ti-B system suggested the existence of the binary phases  $\text{Ti}_2\text{B}$  and  $\text{Ti}_2\text{B}_5$  <sup>[43]</sup>, however the existence of these phases has since been refuted. The current Ti-B phase diagram, shows only the binary phases  $\text{TiB}$ ,  $\text{Ti}_3\text{B}_4$  and  $\text{TiB}_2$ , all of which are stable to  $\approx 2000$  °C <sup>[44]</sup>.

### **3.6. Ti-C system**

Non-stoichiometric  $\text{TiC}_y$  ( $0.47 < y < 1.00$ ) is the only Ti-C binary phase in the Ti-C system. The existence of various ordered phases such as  $\text{Ti}_2\text{C}$ ,  $\text{Ti}_6\text{C}_5$ , and  $\text{Ti}_3\text{C}_2$  have sometimes been reported, however <sup>[45]</sup>.

### **3.7. V-C system**

$\text{V}_2\text{C}$ ,  $\text{VC}_{1-x}$ ,  $\text{V}_6\text{C}_5$ , and  $\text{V}_8\text{C}_7$  are stable phases at 1000 °C and have been well characterized <sup>[46, 47]</sup>. The only other phase known to exist in this system is  $\text{V}_4\text{C}_{3-y}$  at temperatures between 1260 and 1870 °C. Okamoto (2010) <sup>[47]</sup> has provided the most current assessment of the V-C phase diagram.

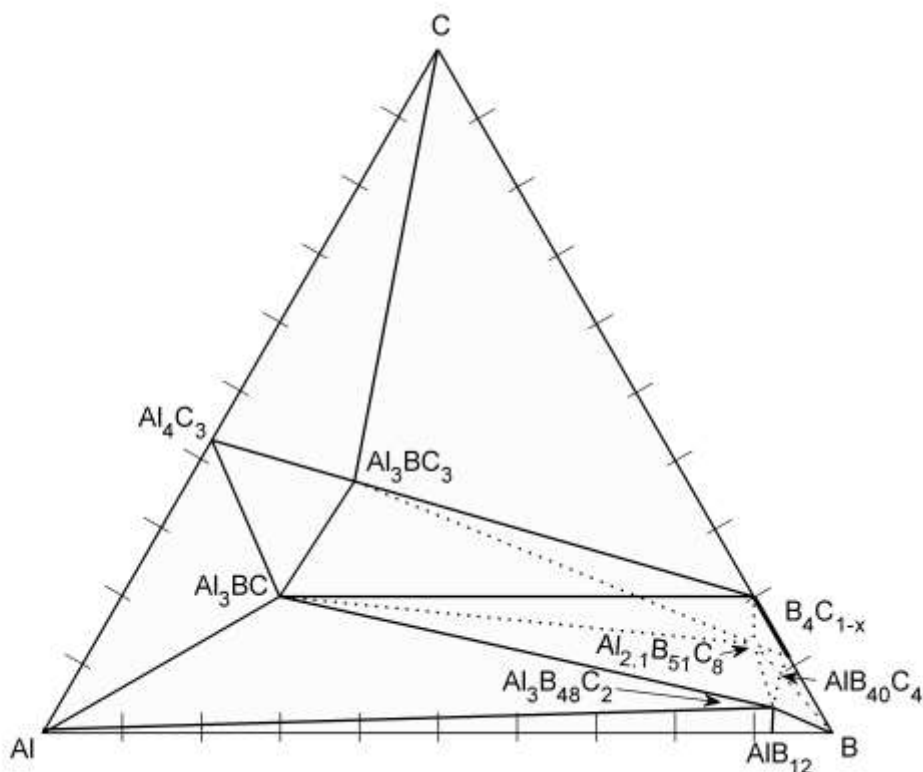
### 3.8. B-C system

Within the B-C system, the only known binary phase is  $B_4C$ , with B-rich (carbon deficient) variations of the form  $B_4C_{1-z}$  ( $0 < z < 0.55$ )<sup>[43, 45]</sup>. This phase is thermally stable to nearly 2500 °C. An extensive review of the history, processing, and properties of  $B_4C$  can be found in the literature<sup>[48]</sup>.

### 3.9. Al-B-C system

Figure 3 re-plots the Al-B-C ternary phase diagram used herein<sup>[49]</sup>. Some confusion has been associated with the phases reported in the Al-B and Al-B-C systems<sup>[49]</sup>. For example, the existence of stoichiometric  $AlB_{10}$  was first reported<sup>[50]</sup>, but was later shown, by Matkovich et al.<sup>[51]</sup>, to contain carbon such that its chemistry was better described by  $AlB_{24}C_4$ <sup>[51]</sup>.

More than forty phases are known to exist in the Al-B-C system<sup>[49]</sup>. Isothermal experiments carried out at 900, 1000, and 1400 °C have been used to tentatively draw conclusions about equilibrium relations<sup>[49]</sup>. The stable phases reported at 900 °C – including  $Al_4C_3$ ,  $Al_3BC$ ,  $Al_3BC_3$ ,  $Al_3B_{48}C_2$  and  $B_4C$  – remain stable at 1000 °C (Fig. 1a). Since this work deals with the Al rich-corner of the quaternary phase diagram, the only relevant phase is  $Al_3BC$ . The latter is reported to be stable to  $\approx 1100^\circ\text{C}$ , after which it reacts with  $AlB_{12}$  to form  $Al_3B_{48}C_2$  and liquid Al<sup>[49]</sup>.



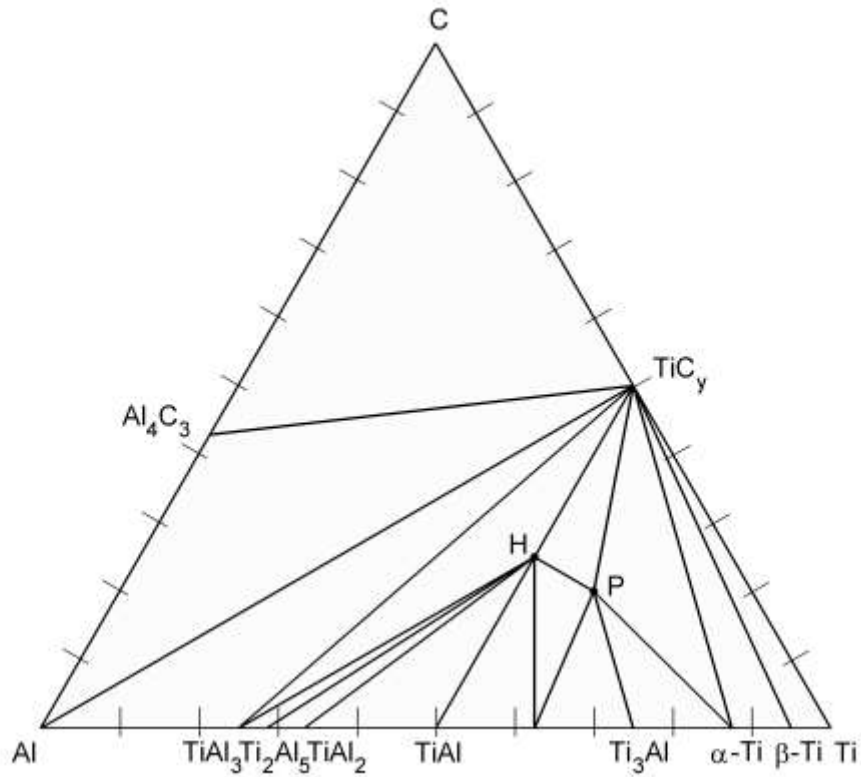
**Figure 3.** Isothermal ternary diagram at 1000°C of the Al-B-C system as presented by Grytsiv (2009) <sup>[49]</sup>.

### 3.10. Al-Ti-C system

Isothermal sections of the Al-Ti-C system have been extensively investigated over the 727 °C to 1300 °C temperature range, with reproducibility between studies <sup>[40]</sup>. Of the three ternary phases known to exist, two -  $\text{Ti}_3\text{AlC}_2$  and  $\text{Ti}_2\text{AlC}$  - belong to the MAX phase family <sup>[22]</sup>; the third,  $\text{Ti}_3\text{AlC}$ , has a perovskite-type crystal structure <sup>[39]</sup>.

Both  $\text{Ti}_2\text{AlC}$  and  $\text{Ti}_3\text{AlC}$  can be found in the ternary system at every temperature between 750 °C and 1300 °C. However, according to Pietzka and Schuster <sup>[39]</sup>,  $\text{Ti}_3\text{AlC}_2$  is not an equilibrium phase at, or below, 1000 °C. The equilibrium relations at 1000 °C

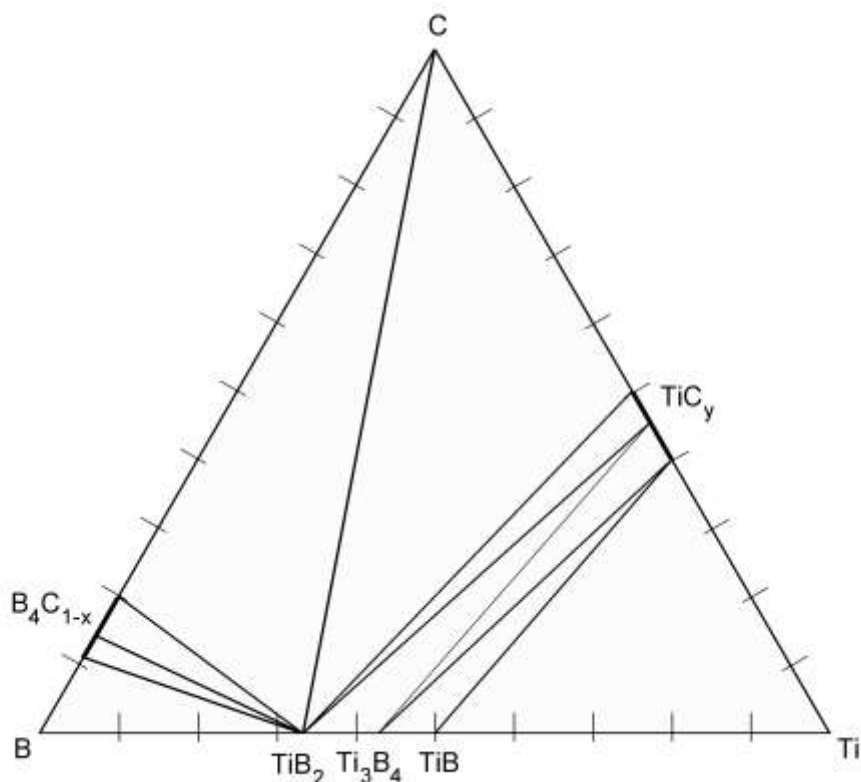
(Fig. 4) and 1300 °C have become the standard for this system <sup>[39]</sup>. For simplicity, this study considers  $\text{TiC}_y$  to be stoichiometric  $\text{TiC}$  (see below) and is demonstrated as such in the ternary phase diagram.



**Figure 4.** Isothermal ternary diagram at 1000°C of the Al-Ti-C system as presented by Pietzka and Schuster (1994), where H and P represent the  $\text{Ti}_2\text{AlC}$  and  $\text{Ti}_3\text{AlC}$  phases, respectively <sup>[39]</sup>.

### 3.11. Ti-B-C system

There are no ternary compounds in this system. The phase relations between the binary phases have been well established (Fig. 5) <sup>[45]</sup>.

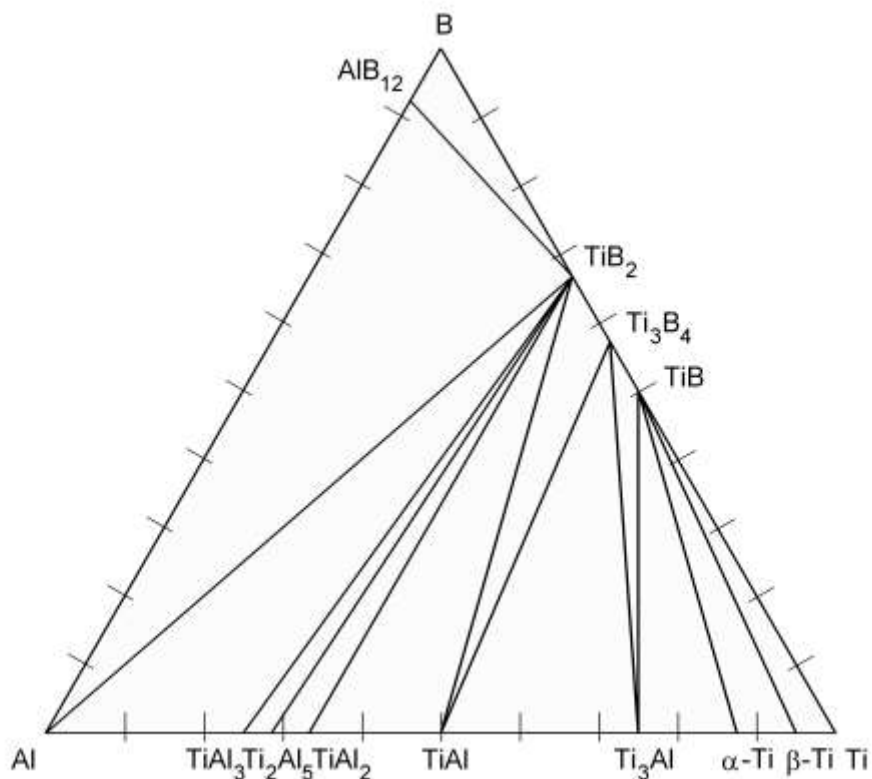


**Figure 5.** Isothermal ternary diagram at 1000°C of the Ti-B-C system as presented by Gusev (1997) <sup>[45]</sup>.

### 3.12. Al-Ti-B system

No ternary phases exist in this system either. Isothermal sections at 800, 1000, 1350, and 1550 °C exist and show a dependence of the equilibrium phase relations with temperature. The most notable change in equilibrium relations is that TiB<sub>2</sub> and AlB<sub>2</sub> are in equilibrium at 800 °C, but not at, or above, 1000 °C <sup>[52]</sup>. This is due to the thermodynamic instability of AlB<sub>2</sub> due to the aforementioned decomposition reaction (Eq. 1), though it should be noted that the transformation rate is slow <sup>[35, 53]</sup>. The computationally determined Al-Ti-B isotherms generated by Witusiewicz et al. <sup>[54]</sup> have been confirmed by experimental results and provide reliable equilibrium phase relations

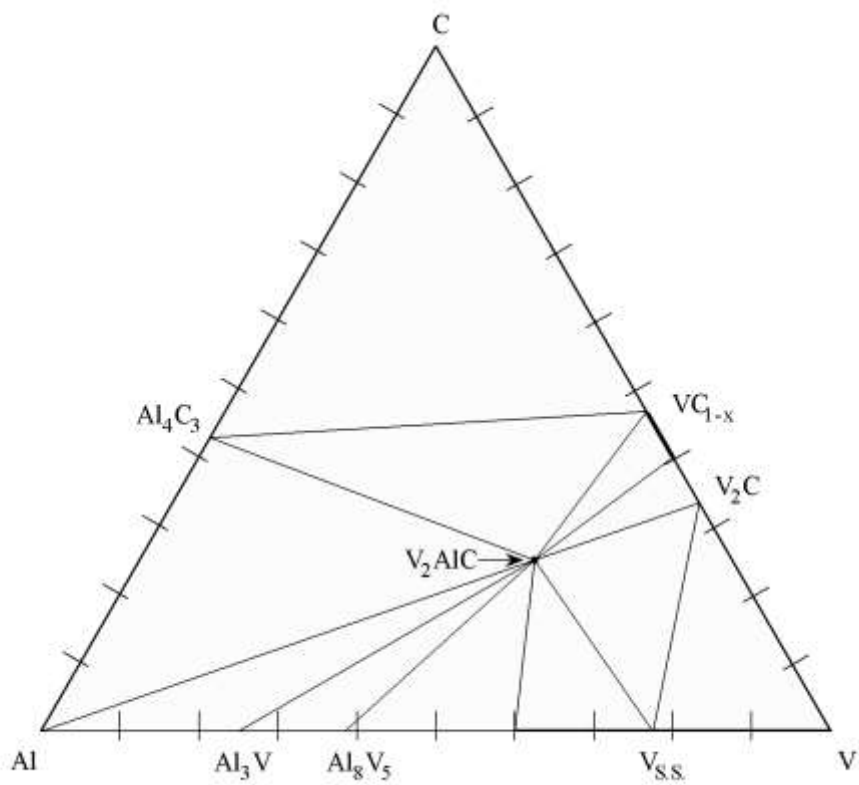
at 1000 °C (Fig. 6). Again, non-stoichiometric phases are demonstrated as point compounds.



**Figure 6.** Isothermal ternary diagram at 1000°C of the Al-Ti-B system as presented by Witusiewicz et al. <sup>[54]</sup>.

### 3.13. Al-V-C system

Schuster, et al. (1980) <sup>[30]</sup> have investigated the full Al-V-C compositional range at 1000 °C (Fig. 7). Only one ternary phase,  $V_2AlC$ , has been demonstrated to exist. The observed binary phases are in agreement with those reported for the binary systems at 1000 °C.  $V_2AlC$  is found to exist in equilibrium with liquid Al, the V-Al solid solution and all the binary phases, but not with C.



**Figure 7.** Isothermal ternary diagram at 1000°C of the Al-V-C system as reported by Schuster, et al <sup>[30]</sup>.



# Chapter 4.

## Materials and Procedures

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### 4.1. Materials

#### 4.1.1. Al-Ti-B-C composites

Pure Al (99+ %, Alfa Aesar, Ward Hill, MA), Al 2024 (Alfa Aesar, Ward Hill, MA) and Al 6061 (Alfa Aesar, Ward Hill, MA) bar stock, and B<sub>4</sub>C powder (99+ %, Alfa Aesar, Ward Hill, MA) with an average particle size < 10 μm was used for this work. Table 2 shows the range in chemical composition of each Al alloy as determined by x-ray fluorescence spectroscopy, XRF, (Niton XL3t, Thermo Scientific, USA). Five measurements were made from each alloy and the minimum and maximum values were recorded for each element. Commercial -325 mesh Ti<sub>2</sub>AlC powders were obtained (Kanthal, Sweden). It is worth noting here that Ti<sub>3</sub>AlC<sub>2</sub> is an impurity phase found in these powders (≈ 17 wt. % from Rietveld analysis).

**Table 2.** The range in chemical composition of Al alloys as determined by XRF.

Alloy	Element	Composition, % (Mass/Mass)	
		min	max
Pure	Al	99.006	99.692
	Ti	<LOD	0.094
	Cr	0.203	0.276
	Fe	0.043	0.47
	Cu	<LOD	0.016
	Zn	0.016	0.023
6061	Al	96.5	98.249
	Mg	<LOD	1.648
	Si	0.62	0.714
	Fe	0.294	0.408
	Cr	0.235	0.391
	Cu	0.189	0.26
	Ti	0.021	0.113
	Mn	0.053	0.101

<b>Table 2 continued</b>			
	Zn	0.023	0.033
	Sn	0.008	0.013
	Pb	0.003	0.006
2024	Al	80.77	94.27
	Cu	3.64	14.79
	Mg	<LOD	3.02
	Mn	0.7	2.11
	Cr	0.218	0.898
	Fe	0.169	0.583
	Zn	0.133	0.535
	Si	<LOD	0.477
	Ti	0.024	0.098
	V	<LOD	0.08
	Zr	0.005	0.043
	Ni	0.02	0.026
	Pb	<LOD	0.008
	Bi	<LOD	0.005

Range of observed alloy compositions. <LOD signifies below limit of detection.

#### *4.1.2. Al-V-C composites*

Pure aluminum (99.5%, -325 mesh, Alfa Aesar, Ward Hill, MA), vanadium (99.5%, -325 mesh, Alfa Aesar, Ward Hill, MA) and carbon (graphite, 99%, -325 mesh, Alfa Aesar, Ward Hill, MA) powders were used in the synthesis of Al-V-C composites.

## **4.2. Processing of Al-Ti-B-C composites**

#### *4.2.1. Preparation of carbide preforms*

Two composites were fabricated starting with Al,  $Ti_2AlC$ , and  $B_4C$ . The first composite, henceforth referred to as BR, was more boron rich, having the Al:Ti:B:C molar ratio, calculated from Rietveld analysis of equilibrated microstructures (Appendix A1), of 3.3:1.1:2.5:0.5. The second composite, henceforth referred to as TiR, was more

Ti-rich and the Al:Ti:B:C molar ratios in this case – again calculated from Rietveld analysis (Appendix A2) – were 3.4:1.1:1.3:0.7.

The  $\text{Ti}_2\text{AlC}/\text{B}_4\text{C}$  powders were mixed in the molar ratios of 4.5:5 and 7:4 for the BR and TiR composites, respectively. These ratios correspond to roughly 50/50 vol. % and 75/25 vol. % ratios of  $\text{Ti}_2\text{AlC}/\text{B}_4\text{C}$  for the BR and TiR composites, respectively. The mixed powders were ball milled for 24 h with yttria stabilized zirconia grinding media, cold pressed in a steel mold - to a load corresponding to a stress of 50 MPa - to form rectangular  $\approx 47$  vol. % porous preforms (Table 3). The preform densities were 1.76 and 1.98  $\text{g}/\text{cm}^3$  for the BR and TiR preforms, respectively. Component densities were assumed to be 4.11  $\text{g}/\text{cm}^3$  for  $\text{Ti}_2\text{AlC}$  and 2.52  $\text{g}/\text{cm}^3$  for  $\text{B}_4\text{C}$ . No impurities or non-stoichiometry were considered.

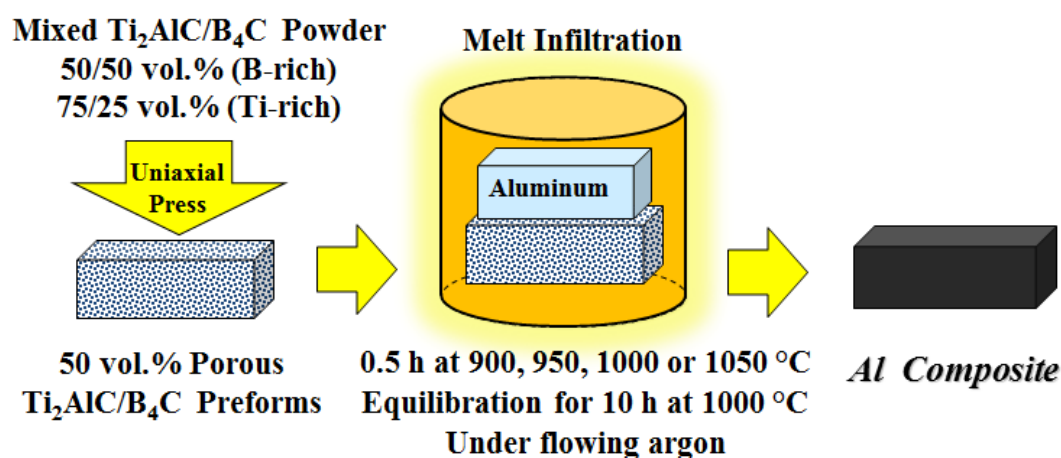
**Table 3.** Dimensions of BR and TiR ceramic preforms after cold pressing to 50 MPa.

Composition, vol.% $\text{Ti}_2\text{AlC}/\text{B}_4\text{C}$	Post cold-pressed dimensions				
	width, mm	height, mm	length, mm	mass, g	density, %
50/50	12.5	6.6	69.4	10.43	55.27
50/50	12.7	6.1	69.4	9.47	53.12
75/25	12.8	8.1	69.3	13.13	53.4

#### 4.2.2. Pressureless melt infiltration

The preforms were placed in alumina crucibles (AdValue Technology, Tucson, AZ), which were lined with graphite foil to avoid reactions with the crucible. Appropriately sized pieces of the Al alloy (pure, 2024, or 6061) were placed on top of the preform and the assembly was placed in an alumina tube furnace and heated at a rate of

10 °C/min to 900, 950, 1000, or 1050 °C and allowed to soak for 0.5 h before furnace cooling to room temperature, RT (Fig. 8). All experiments were carried out under flowing argon, Ar, gas at atmospheric pressure. The samples infiltrated with pure Al at 1000 °C were cut in half and one half was further annealed at 1000 °C for 10 h, again under flowing Ar.



**Figure 8.** Pressureless melt infiltration technique for synthesizing Al-Ti-B-C composites.

#### 4.2.3. Microstructure characterization

After cross-sectioning, mounting, and polishing (1200 grit) the microstructures were imaged using a SEM (Zeiss Supra 50VP, Germany) equipped with an energy-dispersive spectroscope (EDS) (Oxford Inca X-Sight, Oxfordshire, UK). Image analysis was undertaken using MATLAB (The MathWorks, Inc., Natick, MA) on select backscatter electron SEM micrographs to estimate the volume fractions of the various phases.

Powders for XRD were obtained by drilling the composites with a carbide drill bit and analyzed using a X-ray diffractometer (Rikagu Smartlab, Japan). The angular step was set to  $0.02^\circ$  over the  $2\theta = 5^\circ - 80^\circ$  range, with a hold time of 0.5 s and 2 s for 0.5 h infiltration and equilibration experiments, respectively. Scans were made through a  $10 \times 10 \text{ mm}^2$  window slit using Cu  $K\alpha$  radiation (40 KV and 30 mA).

To verify the presence of  $\text{Al}_4\text{C}_3$ , additional careful powder XRD was conducted on the equilibrium samples of both compositions. Powder was prepared immediately prior to running the XRD patterns. An angular step of  $0.02^\circ$  was set over the range  $2\theta = 30^\circ - 33^\circ$  and  $2\theta = 39.5^\circ - 40.5^\circ$ , with a hold time of 7 s. The slit and radiation conditions were the same as above. The hold time was extended here to enhance the intensity of the various peaks.

Rietveld refinements of the XRD patterns of the equilibrated samples were conducted using *FullProf* <sup>[55]</sup>. Refined parameters were: six background parameters, lattice parameters of all phases, scale factors from which relative phase fractions are evaluated, and *X* profile parameters for peak width.

#### 4.2.4. Microhardness measurements

Vickers microhardness measurements were made using a Vickers hardness indenter (LECO Corp., St. Joseph, MI) under a 4.9 N force with a 10 s dwell period. Ten indentations were made. These measurements were averaged and standard deviations were found for each composite sample.

### **4.3. Processing of Al-V-C composites**

#### **4.2.2. Preparation of Al-MAX composites**

Two composites were fabricated starting with elemental powders. The first composite, henceforth referred to as AIR, was more aluminum rich, having a nominal Al:V:C molar ratio of 9.8:2.0:1.0. The second composite, henceforth referred to as VR, was more vanadium rich, having the nominal Al:V:C molar ratio 3.9:2.0:1.0. These compositions were chosen so that *in situ* formation of  $V_2AlC$  would produce MMCs having  $\approx 25$  vol. %  $V_2AlC$  reinforcement and  $\approx 50$  vol. %  $V_2AlC$  reinforcement in the AIR and VR samples, respectively.

The mixed powders were ball milled for 16 h with yttria stabilized zirconia grinding media; approximately 10 g of each mixture was cold pressed in a steel mold (6.1 x 12.7 mm<sup>2</sup>) - to a load corresponding to a stress of 200 MPa - to form rectangular preforms, which were then divided into 5 equal pieces ( $\approx 2$  g each). The preforms were placed in alumina crucibles (AdValue Technology, Tucson, AZ) and heated at a rate of 10 °C/min to 1000 °C and allowed to soak for 0.5, 2.5 or 10 h. One sample of each composition, with a soaking time of 10 h, was allowed to furnace cool to room temperature. Other samples were quenched in a water bath. All experiments were carried out under flowing argon, Ar, gas at atmospheric pressure.

Relative density was measured using the Archimedes method. It was assumed that the volume fractions of  $V_2AlC$  and Al were 25/75 vol.% and 50/50 vol.% for the AIR and VR samples, respectively. The constituent densities used were 4.81 g/cm<sup>3</sup> for  $V_2AlC$  and 2.70 g/cm<sup>3</sup> for Al. This approximation was validated after Rietveld refinements

(Appendix A3 and A4) provided accurate volume fractions. The change in relative density after accounting for impurities was negligible.

#### *4.3.2. Preparation of a carbon rich sample*

In order to confirm the Al-Al<sub>4</sub>C<sub>3</sub>-V<sub>2</sub>AlC equilibrium phase relations of the Al-V-C ternary phase diagram and to emphasize the presence of Al<sub>4</sub>C<sub>3</sub> in the XRD spectra, a C-rich stoichiometry was chosen to have the nominal Al:V:C molar ratio 8.0:1.0:3.0. This sample, henceforth referred to as CR, was prepared in an identical manner as the AIR and VR samples. The sample was soaked at 1000 °C for 10 h before being water quenched.

#### *4.3.3. Preparation of samples for differential scanning calorimetry*

In order to evaluate the stability of V<sub>2</sub>AlC with Al below 1000 °C, two samples were prepared to be analyzed using DSC. The powders were mixed, pressed and heated in the same manner as the other experiments. One sample, henceforth referred to as DSC-FC, was allowed to furnace cool after soaking at 1000 °C for 10 h and the other sample, henceforth referred to as DSC-WQ, was water quenched. The composition was determined from Rietveld analysis after equilibration at 800 (Appendix A5) and 1000 °C (Appendix A6), where it was determined that the Al:V:C molar ratio was between 13.5:3.0:1.0 and 15.3:2.6:1.0. All points within this range are contained within the same equilibrium triangle at both 800 and 1000 °C.

#### *4.3.4. Microstructure characterization*

Powders for XRD were obtained by drilling the composites with a carbide drill bit and analyzed using a X-ray diffractometer (Rikagu Smartlab, Japan). The angular step

was set to  $0.02^\circ$  over the  $2\theta = 5^\circ - 80^\circ$  range, with a hold time of 0.5 s for the furnace cooled AIR and VR samples, as well as for the CR sample. For the quenched AIR and VR samples the angular step was set to  $0.02^\circ$  over the  $2\theta = 20^\circ - 50^\circ$  range, with a hold time of 1.5 s. The DSC-FC and DSC-WQ samples used the same angular step over the  $2\theta = 20^\circ - 80^\circ$  range, with a hold time of 1.0 s. Scans were made through a  $10 \times 10 \text{ mm}^2$  window slit using Cu  $K\alpha$  radiation (40 KV and 30 mA). Jade XRD pattern recognition software (Materials Data, Inc., Livermore, CA.) was used to analyze the XRD results. Rietveld refinements of some XRD patterns were conducted using *FullProf*<sup>[55]</sup>. Refined parameters were: six background parameters, lattice parameters of all phases, scale factors from which relative phase fractions are evaluated, and  $X$  profile parameters for peak width.

After cross-sectioning, mounting, and polishing (1200 grit), the microstructures of some composites were imaged using a SEM (Zeiss Supra 50VP, Germany) equipped with an energy-dispersive spectroscope (EDS) (Oxford Inca X-Sight, Oxfordshire, UK). EDS was carried out at a working distance of 12 mm and an accelerating voltage of 15 kV.

#### 4.3.5. Characterization by differential scanning calorimetry

Approximately 225 mg of the DSC-WQ sample and 228 mg of the DSC-FC sample were analyzed using a DSC (SDT Q600, TA Instruments, New Castle, DE). The heating/cooling rate was  $10^\circ\text{C}/\text{min}$  over the temperature range  $100 - 1000^\circ\text{C}$ . Data points were collected every 0.6 s. In parallel to the DSC investigation, approximately 2 g of the DSC-WQ sample was heated under flowing Ar in the tube furnace at a heating rate



of 10 °C/min to 800 °C, allowed to soak for 10 h and was then water quenched. The same procedure was also performed at a soaking temperature of 1000 °C.

## Chapter 5.

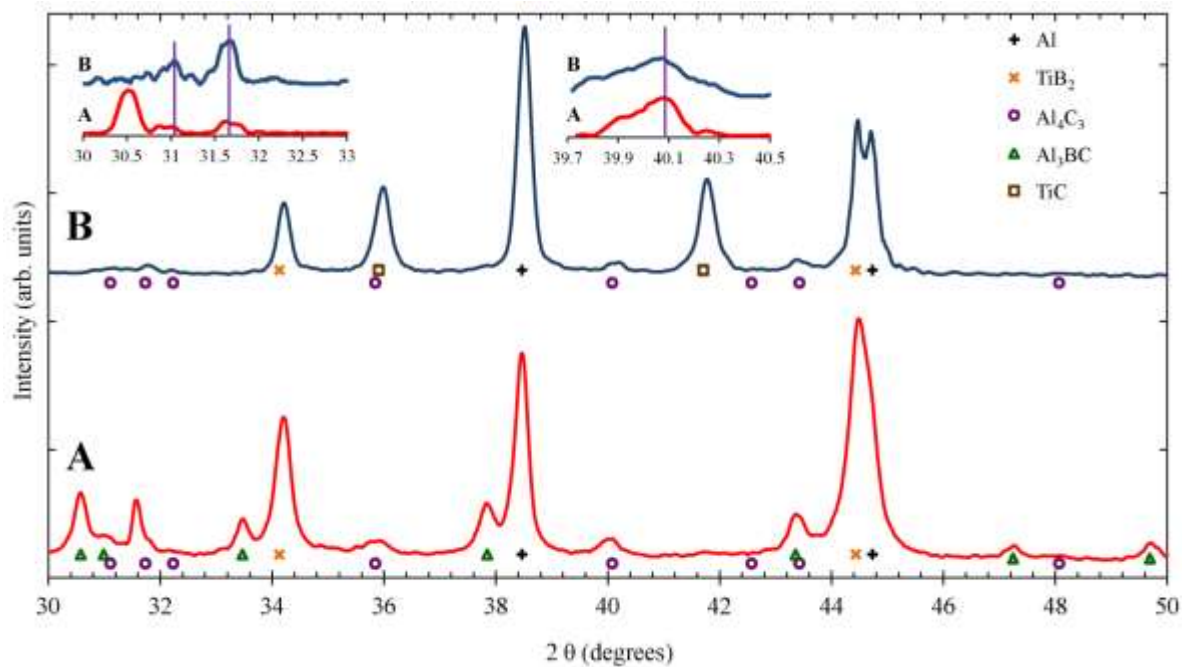
# **Characterization of Al-Ti-B-C composites**

### *5.1. Results of equilibration experiments*

#### *5.1.1. X-ray diffraction of equilibrated samples*

Table 4 summarizes the results obtained in this work. Phase identification, from both XRD and SEM/EDS, revealed that neither  $Ti_2AlC$  nor  $B_4C$  was an equilibrium phase in either composite. After annealing at 1000 °C for 10 h, the phases present in the BR sample, as determined by XRD were: Al,  $TiB_2$ ,  $Al_4C_3$  and  $Al_3BC$  (Table 4 and Fig. 9a); those present in the TiR sample were: Al,  $TiB_2$ ,  $Al_4C_3$ , and  $TiC$  (Table 4 and Fig. 9b). In other words, Al,  $TiB_2$ , and  $Al_4C_3$  were equilibrium phases in both compositions. For the BR and TiR compositions, all diffraction peaks were accounted for, with Rietveld  $\chi^2$  values of 5.12 and 8.60, respectively.

The presence of  $Al_4C_3$  was not apparent at first. The low intensity of its diffraction peaks were difficult to distinguish from background noise in diffraction patterns acquired with 0.5 s hold times. Further XRD patterns of the equilibration samples, using a 2 s hold time (Fig. 9), provided confidence that  $Al_4C_3$  diffraction peaks were present. XRD patterns obtained with a hold time of 7 s however, confirmed the presence of this phase in the equilibrated samples (insets of Fig. 9).



**Figure 9.** Typical XRD patterns for, A) BR and, B) TiR composites after equilibrating at 1000 °C for 10 h under flowing Ar. In both systems, Al, TiB<sub>2</sub>, and Al<sub>4</sub>C<sub>3</sub> were equilibrium phases. The presence of Al<sub>4</sub>C<sub>3</sub> in both composites was confirmed by additional investigations (marked by vertical lines in the insets).

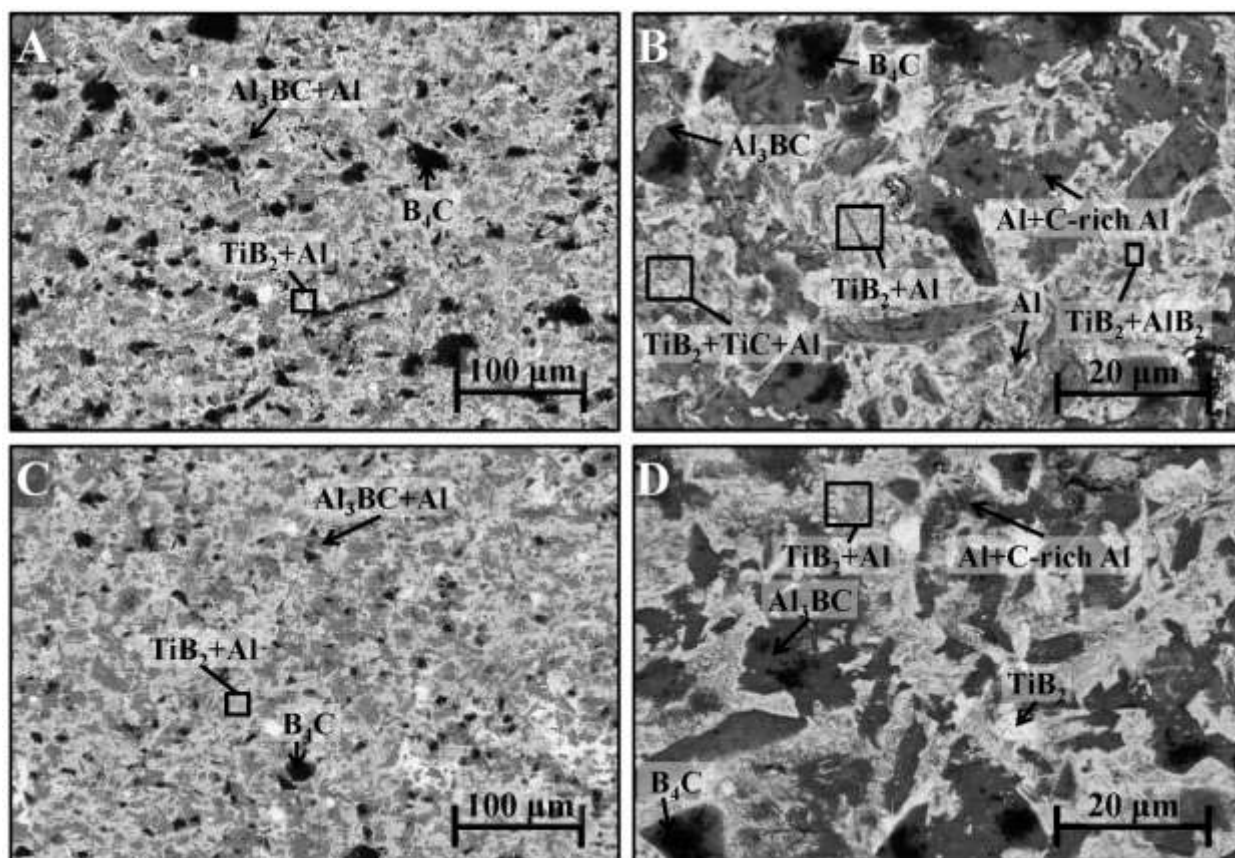
**Table 4** The observed phases from each experiment are reported with their relative increase or decrease in amount noted, if applicable, and calculated volume fractions, if available.

Results from Image Analysis										
Soaking Time	Composite	Temperature	Major Phases (Relative increase ↑ / Decrease ↓)		Minor Phases (Relative increase ↑ / Decrease ↓)					
0.5 h	BR	900	Al	TiB <sub>2</sub>	Al <sub>3</sub> BC	Al <sub>4</sub> C <sub>3</sub> (↑)	B <sub>4</sub> C (5.4 vol. %)	TiC	AlB <sub>2</sub>	
		950	Al(↓)	TiB <sub>2</sub> (↑)	Al <sub>3</sub> BC (↑)	Al <sub>4</sub> C <sub>3</sub> (↑)	B <sub>4</sub> C (5.1 vol. %)	TiC (↓)	AlB <sub>2</sub> (↓)	
		1050	Al(↓)	TiB <sub>2</sub> (↑)	Al <sub>3</sub> BC (↑)	Al <sub>4</sub> C <sub>3</sub> (↑)	B <sub>4</sub> C (2.1 vol. %)	TiC (↓)	AlB <sub>2</sub> (↓)	
0.5 h	TiR	900	Al	TiAl <sub>3</sub>	TiC	TiB <sub>2</sub>	Al <sub>4</sub> C <sub>3</sub>	B <sub>4</sub> C (9.6 vol. %)	Ti <sub>3</sub> AlC <sub>2</sub> (5.3 vol. %)	
		950	Al(↑)	Al <sub>3</sub> BC	TiB <sub>2</sub> (↑)	TiC (↑)	Al <sub>4</sub> C <sub>3</sub> (↑)	Al <sub>4</sub> C <sub>3</sub> (↑)	B <sub>4</sub> C (4.7 vol. %)	TiAl <sub>3</sub> (↓)
		1050	Al(↑)	TiB <sub>2</sub> (↑)	TiC (↑)	TiC (↑)	Al <sub>4</sub> C <sub>3</sub> (↑)	Al <sub>4</sub> C <sub>3</sub> (↑)	B <sub>4</sub> C (< 1 vol. %)	
0.5 h	BR	1000	Al	TiB <sub>2</sub>	Al <sub>3</sub> BC	Al <sub>4</sub> C <sub>3</sub>	B <sub>4</sub> C (2.5 vol. %)	TiC	AlB <sub>2</sub>	
		10h	Al	TiB <sub>2</sub>	Al <sub>3</sub> BC	Al <sub>4</sub> C <sub>3</sub>	B <sub>4</sub> C (< 1 vol. %)			
0.5 h	TiR	1000	Al	TiB <sub>2</sub>	TiC	Al <sub>4</sub> C <sub>3</sub>	B <sub>4</sub> C (< 1 vol. %)			
		10h	Al	TiB <sub>2</sub>	TiC	Al <sub>4</sub> C <sub>3</sub>	B <sub>4</sub> C (< 1 vol. %)			
Results from Rietveld Analysis										
10h	BR	1000	Al	TiB <sub>2</sub>	Al <sub>3</sub> BC	Al <sub>4</sub> C <sub>3</sub>				
			32.8 wt. %	44.6 wt. %	15.9 wt. %	7.1 wt. %				
			39.7 vol. %	32.2 vol. %	18.3 vol. %	9.8 vol. %				
10h	TiR	1000	Al	TiB <sub>2</sub>	TiC	Al <sub>4</sub> C <sub>3</sub>				
			49.8 wt. %	26.2 wt. %	17.4 wt. %	6.6 wt. %				
			60.4 vol. %	18.9 vol. %	11.5 vol. %	9.1 vol. %				

### 5.1.2. Microstructures of equilibrated composites

The BR composite soaked at 1000 °C for 0.5 h seemed to exhibit a homogeneous microstructure, with relatively large  $B_4C$  grains (black areas in Fig. 10a) remaining. Image analysis estimated the unreacted  $B_4C$  fraction to be  $\approx 2.5$  vol. %. In addition to the equilibrium phases present in the BR composite, traces of  $AlB_2$  and  $TiC$  could be found within the Al matrix when viewed at high magnification in the SEM (Fig. 10b). When the microstructure of the sample infiltrated for 0.5 h at 1000°C was compared with the one held for 10 h at the same temperature (compare Fig. 10a and c, respectively) it is clear that:

i) Al-containing phases, primarily  $Al_3BC$ , replaces the  $B_4C$ ; ii) the volume fraction of the Al/ $TiB_2$  regions (light grey contrast in Figs. 10a and c) increased at the expense of the Al/ $Al_3BC$  regions (mid grey contrast) as the system tended towards equilibrium. The  $B_4C$  volume fraction after the 10 h anneal was estimated to be  $< 1$  vol. %. Aside from the remaining  $B_4C$ , no other non-equilibrium phases could be identified from microscopy (Fig. 10d); iii) the  $TiB_2$  grains in the Al matrix were observed to notably coarsen with the prolonged annealing, growing from an estimated average diameter of  $< 200$  nm to  $> 500$  nm (Figs. 10b and d); iv)  $TiB_2$  grains are found in the Al matrix, but not in the same regions as the  $Al_4C_3$  or  $Al_3BC$  phases (Fig. 10d).

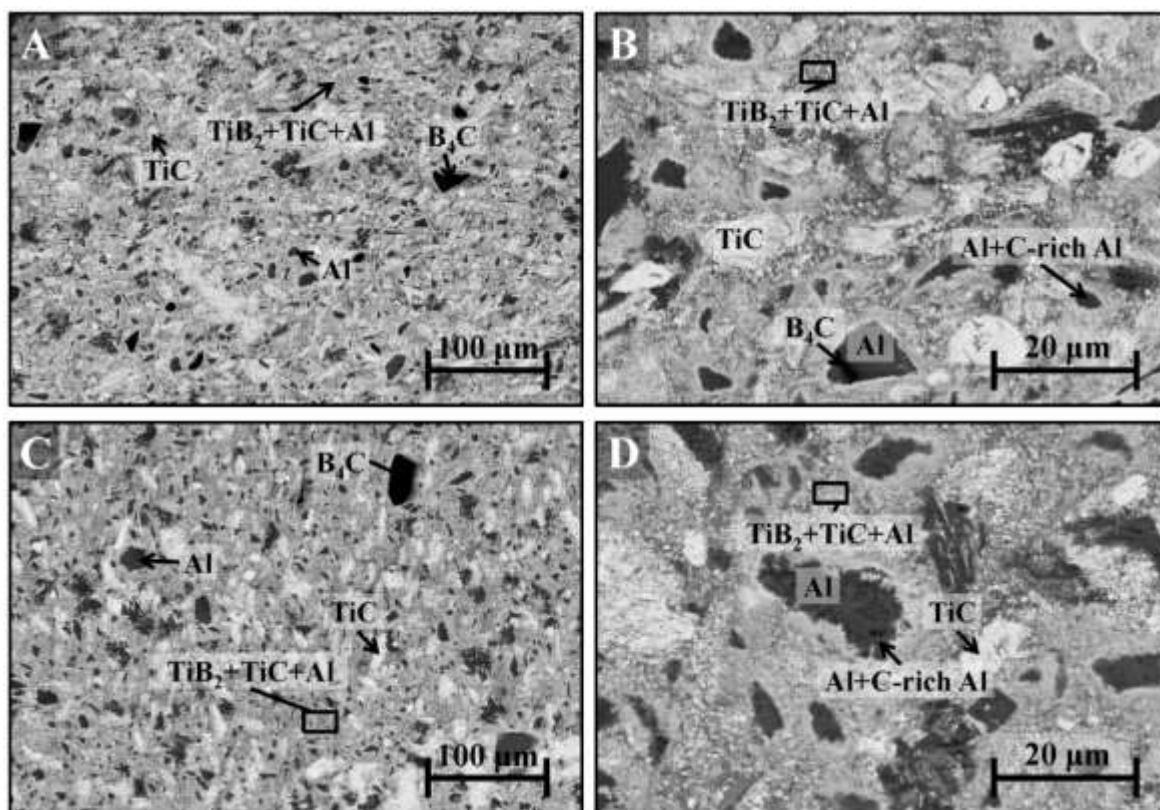


**Figure 10.** Backscattered electrons SEM images of BR samples fabricated at 1000 °C. A) Low magnification image of sample annealed for 0.5 h. Large unreacted  $B_4C$  grains (black) can be found amongst  $Al_3BC/Al$  (mid grey) and  $TiB_2/Al$  (light grey) regions. B) Higher magnification image of A demonstrating the presence of two distinct regions. Note the small grains of  $TiB_2$  dispersed in Al, whereas  $Al_3BC$  grains tend to be much larger. C) Low magnification image of sample annealed for 10 h. The decreased fraction of remaining  $B_4C$  grains (black) corresponds to an increase in the  $TiB_2/Al$  (light grey) regions. D) Higher magnification image of C demonstrating the increased grain size of  $TiB_2$  grains in the Al matrix.

The presence of  $Al_4C_3$  was not directly observed in the SEM in any of the investigated samples. However, since  $Al_4C_3$  is hygroscopic and dissolves in water at room temperature<sup>[56]</sup> it is reasonable to assume that it dissolved during the water-based polishing procedure. Note that EDS analysis of cross-sectional SEM micrographs clearly show that some Al-rich regions were comprised of pure Al; others were found to contain

substantial amounts of C with Al/C ratios that varied between 1.0 and 1.9. It is hereby acknowledged that there are several explanations for why C may be detected in some Al regions and not others, including, the presence of C-containing phases below the surface, but within the excitation volume of the EDS. Nevertheless, since  $\text{Al}_4\text{C}_3$  peaks were observed – and twice confirmed – in the XRD patterns (insets in Fig. 9), there is proof that it exists in the microstructures. Another possibility is that the  $\text{Al}_4\text{C}_3$  phase for some reason dissociated into C-rich Al regions. Therefore, the C-rich Al regions are tentatively labeled as  $\text{Al}_4\text{C}_3$  in the micrographs. These comments notwithstanding, more work is needed to understand what happens to the  $\text{Al}_4\text{C}_3$  phase.

A relatively homogeneous microstructure was also obtained for the TiR composite after soaking at 1000 °C for 0.5 h (Fig. 11a). Larger TiC grains were found surrounded by an Al matrix; small grains of  $\text{TiB}_2$  and TiC were distributed throughout. With the exception of a few remaining  $\text{B}_4\text{C}$  grains – accounting for approximately < 1 vol. % – and the possible formation of  $\text{Al}_3\text{BC}$  at the  $\text{B}_4\text{C}/\text{Al}$  interfaces, the only phases identified were – Al, TiC,  $\text{TiB}_2$  and C-rich Al areas (Fig. 11b). After annealing for 10 h, the microstructure remained largely unchanged (Fig. 11c). Trace amounts of  $\text{B}_4\text{C}$  (< 0.5 vol. %) were still present after the 10 h anneal (Fig. 11d). In this case, no significant grain coarsening was observed for the small (< 1  $\mu\text{m}$ )  $\text{TiB}_2$  and TiC grains.



**Figure 11.** Backscattered electrons SEM images of TiR sample annealed at 1000 °C. A) Low magnification image demonstrating homogeneous microstructure after 0.5 h anneal time. Some grains of  $B_4C$  (black) can be found remaining. B) Higher magnification image of A showing small TiC/TiB<sub>2</sub> grains dispersed in the Al matrix. C) Low magnification image of sample annealed for 10 h. D) Higher magnification image of C demonstrating similar grain dispersion to B.

## 5.2. Results of samples melt infiltrated for 0.5 h.

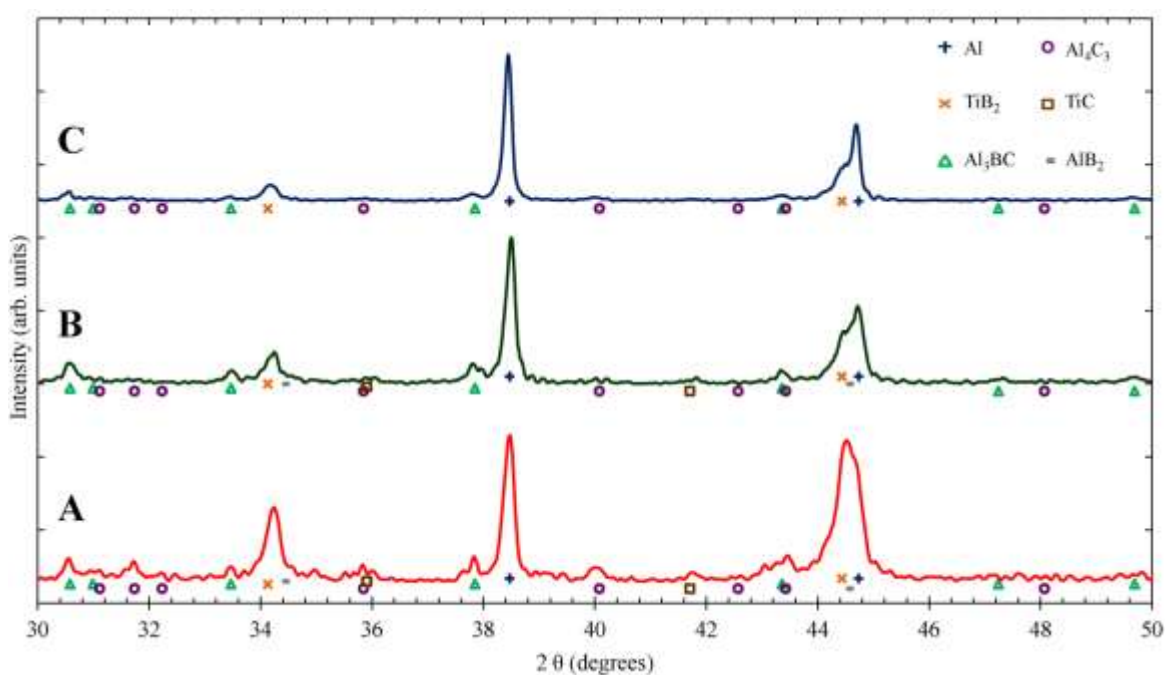
### 5.2.1. X-ray diffraction of reaction products

Complete infiltration of both BR and TiR composite preforms was observed after 0.5 h over the 900-1050 °C temperature range. The most intense XRD peaks corresponded to those belonging to Al, Al<sub>3</sub>BC and TiB<sub>2</sub> (Fig. 12). Peaks associated with Al<sub>4</sub>C<sub>3</sub>, were weak at all temperatures (Fig. 12). At 900 °C (Fig. 12a) peaks belonging to



$B_4C$  at  $2\theta = 34.96^\circ$ , were observed. At  $950^\circ C$  and higher temperatures, no  $B_4C$  peaks were observed (Figs. 5b and c).

Additionally, the TiC peak intensities decreased with increasing infiltration temperatures (see peak at  $2\theta = 41.71^\circ$  in Fig. 12). As  $AlB_2$  shares its dominant XRD peaks with other phases, its presence was better ascertained by microscopy. The shoulder in the  $TiB_2$  peak, at  $2\theta = 34.41^\circ$ , may be the best diffraction evidence that the quantity of  $AlB_2$  decreases with MI temperature, as this shoulder is no longer observed after MI at  $1050^\circ C$  (Fig. 12c).

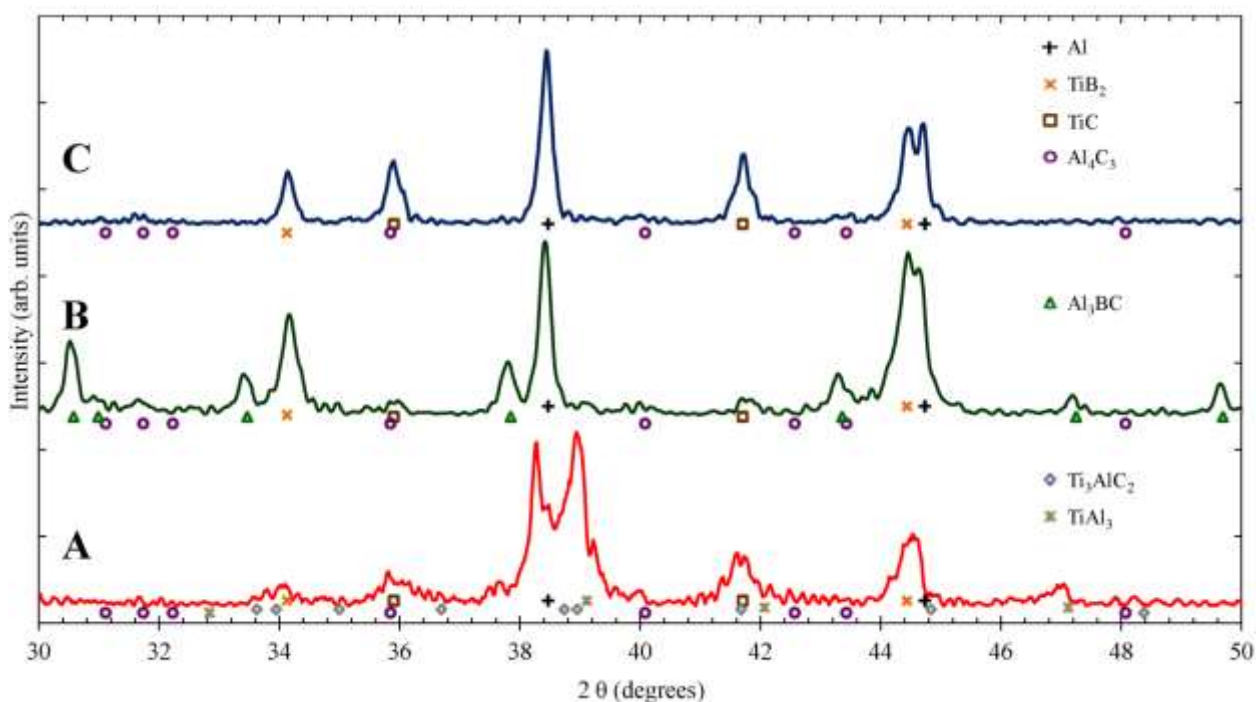


**Figure 12.** X-ray diffraction patterns for BR samples infiltrated and held at, A) 900, B) 950 and, C)  $1050^\circ C$  for 0.5 h.

For the TiR composite fabricated at  $900^\circ C$  (Fig. 13a), prominent XRD peaks for  $Ti_3AlC_2$  were found along with those for  $TiAl_3$ , TiC, and Al. However, by  $950^\circ C$  peaks

associated with  $\text{Ti}_3\text{AlC}_2$  disappeared, and the peak intensities associated with  $\text{TiAl}_3$  were significantly decreased, while those of  $\text{TiB}_2$  and  $\text{Al}_3\text{BC}$  were seen to emerge (Fig. 13b).

At 1050 °C, the XRD patterns (Fig. 13c) clearly showed that the phases present were the same as those observed after 10 h at 1000 °C (Fig. 9b), viz. Al,  $\text{TiB}_2$ ,  $\text{TiC}$  and  $\text{Al}_4\text{C}_3$ .

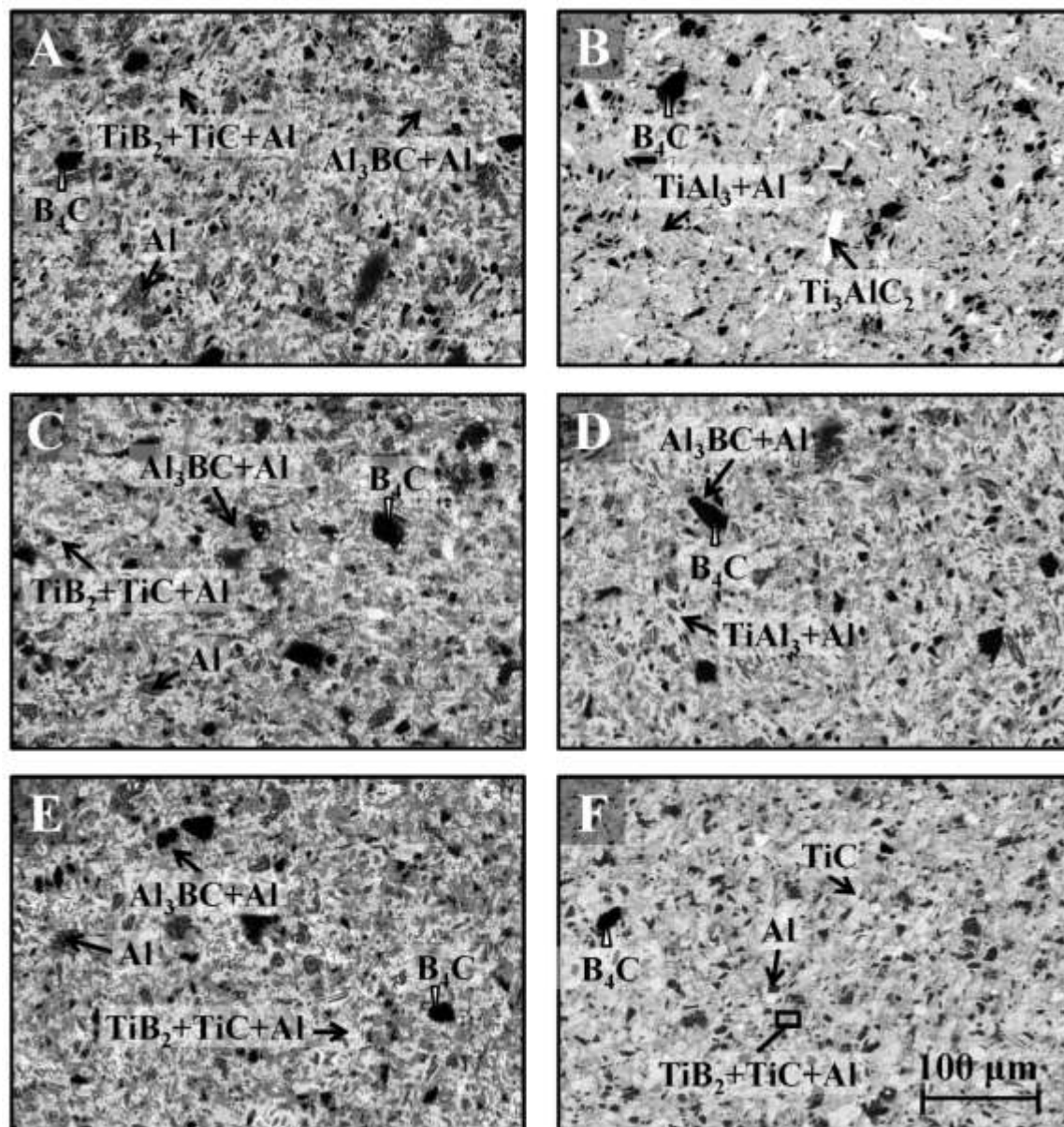


**Figure 13.** X-ray diffraction patterns for TiR samples infiltrated and held at, A) 900, B) 950 and, C) 1050°C for 0.5 h.

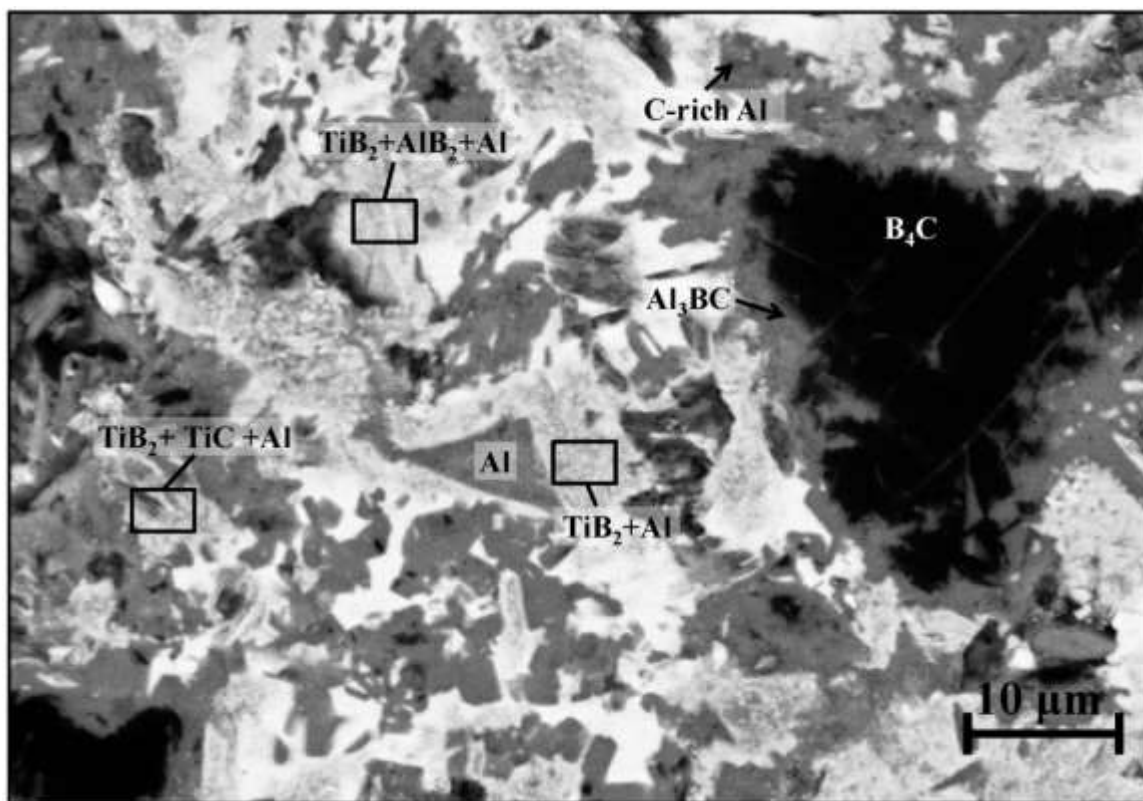
### 5.2.2. Microstructures of composites

Not surprisingly, the BR composite sample fabricated at 900 °C was the farthest from equilibrium, since it contained the largest content of the non-equilibrium phases,  $\text{TiC}$  and  $\text{AlB}_2$  and  $\text{B}_4\text{C}$  (Table 4). Aside from the presence of these non-equilibrium phases, the BR composites infiltrated at 900 °C (Fig. 14a), 950 °C (Fig. 14c), and 1050 °C (Fig. 14e) were relatively homogeneous, with two distinct regions: The first consisted

of  $\text{Al}_3\text{BC}$  and the second  $\text{TiB}_2/\text{TiC}$  both in Al matrices (Figs. 14a, c and e). The differences between the two regions are best seen at higher magnification of the sample infiltrated at 1050 °C (Fig. 15). Note that C-rich Al regions were also found alongside  $\text{Al}_3\text{BC}$  in the Al matrix, but not in regions containing the Ti phases. The  $\text{TiB}_2/\text{TiC}$  regions also contained  $\text{AlB}_2$  (Fig. 15). Because of their small grain size ( $< 1 \mu\text{m}$  diameter) it was not possible to estimate the volume fractions of these phases from image analysis. Note that, for similar reasons, it was difficult to precisely identify the composition of individual grains from EDS.



**Figure 14.** Backscattered electrons SEM images of BR (left) and TiR (right) infiltration experiments annealed for 0.5 h. A) BR sample processed at 900 °C demonstrating the highest fraction of unreacted  $B_4C$  (black). B) TiR sample processed at 900 °C demonstrating the presence of  $Ti_3AlC_2$ , with needle-like grain morphology, dispersed in a  $TiAl_3/Al$  matrix. C) BR sample processed at 950 °C. D) TiR sample processed at 950 °C.  $Ti_3AlC_2$  disappears and  $Al_3BC$  appears as  $B_4C$  and  $TiAl_3$  are consumed. E) BR sample processed at 1050 °C demonstrating no distinguishable microstructural changes from A. F) TiR sample processed at 1050 °C demonstrating a change in microstructure that is similar to the equilibrated sample (Fig. 11c).

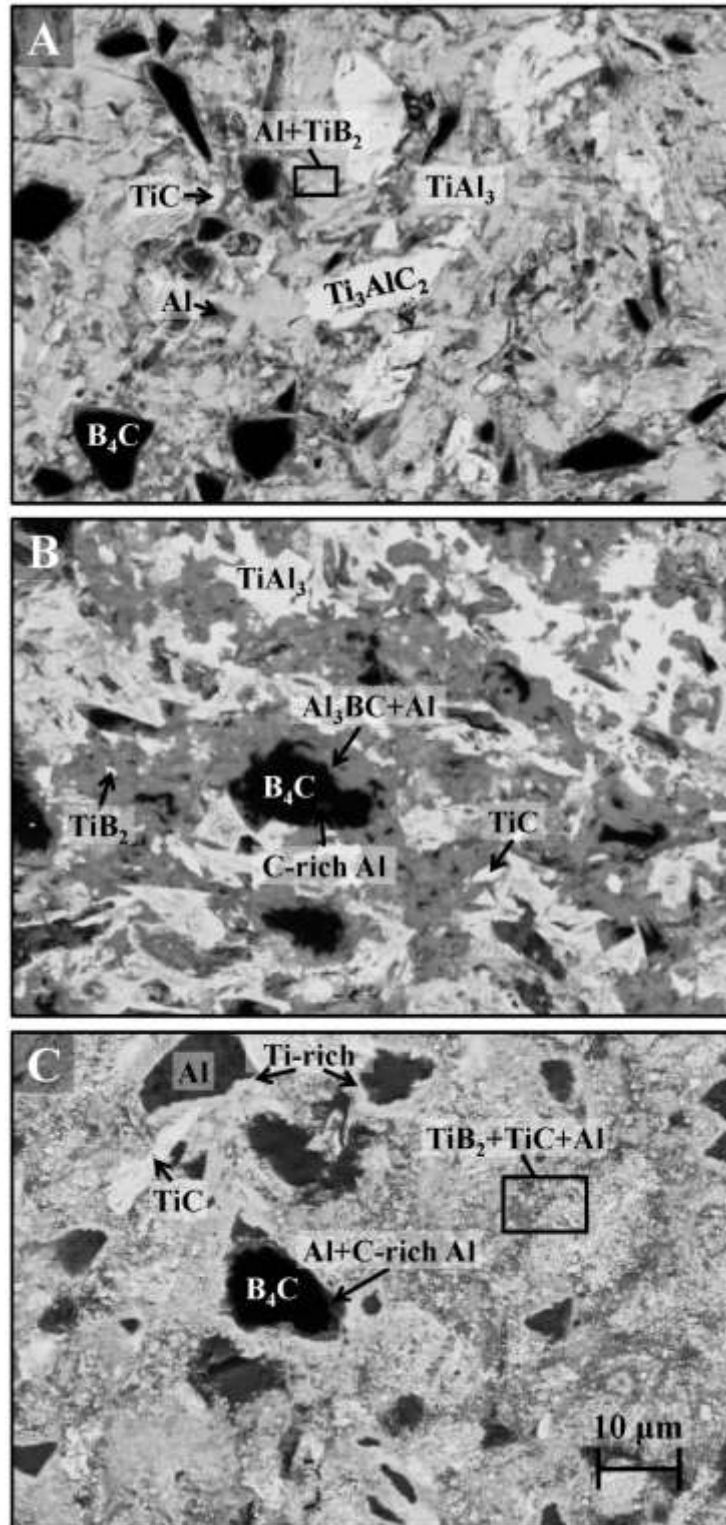


**Figure 15.** Backscattered electrons SEM image of BR sample infiltrated and held at 1050°C for 0.5 h.

After MI at 900 °C, needle-like grains of  $Ti_3AlC_2$  could be seen dispersed throughout an Al/TiAl<sub>3</sub> matrix (Fig. 14b). From image analysis the  $Ti_3AlC_2$  volume fraction was estimated to be  $\approx 5.3$  vol. %. This infiltration temperature also resulted in the largest volume fraction of unreacted  $B_4C$  (Table 4). Higher magnification SEM micrographs revealed that this composite was primarily ( $\approx 85$  vol. %) TiAl<sub>3</sub>, in equilibrium with Al and that little reaction had occurred with the  $B_4C$  phase (Fig. 9a).

Raising the infiltration temperature to 950 °C resulted in the disappearance of the  $Ti_3AlC_2$  phase from both the XRD and SEM results (Fig. 13b and 7d). The microstructure at this temperature consisted of fine grains of  $TiB_2$  and TiC contained in an Al/TiAl<sub>3</sub>

matrix, with some unreacted  $B_4C$  grains remaining (Fig. 14d). The latter were surrounded by  $Al_3BC$ . The C-rich Al-containing regions were not found in regions of Ti-containing phases, but were only found in the vicinity of the Al and  $Al_3BC$  phases (Fig. 9b). After the 1050 °C infiltration, there was no trace of  $TiAl_3$ ; the only phases remaining were  $TiC$ ,  $TiB_2$ , Al and C-rich Al-containing regions, with trace amounts of  $B_4C$  (Fig. 14f).



**Figure 16.** Backscattered electrons SEM image of Ti-rich infiltration experiment at (A) 900 °C, (B) 950 °C, and (C) 1050 °C for 0.5 h. Scale bar applies to all panels.

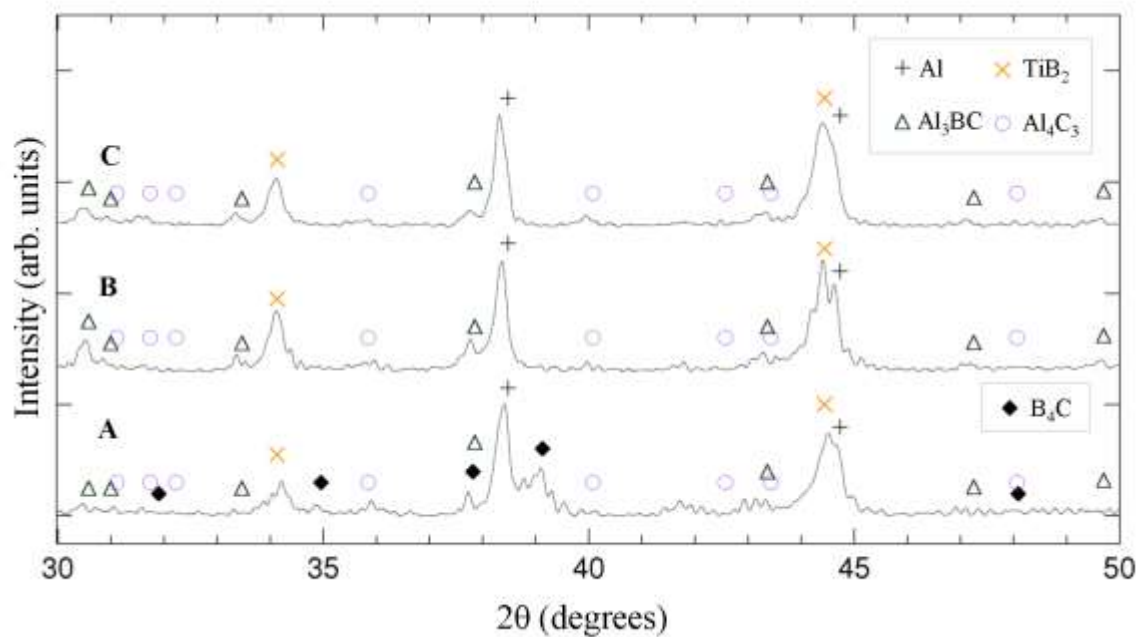
In contrast to the microstructures seen after MI at 900 °C (Fig. 16a) and 950 °C (Fig. 16b), the ones MI at 1000 °C (Fig. 11b) and 1050 °C (Fig. 16c) showed regions with evenly dispersed grains of TiC and TiB<sub>2</sub> in an Al matrix. The ternary Al<sub>3</sub>BC was not found above 950 °C and the large B<sub>4</sub>C grains were replaced by some C-containing Al matrix regions, surrounded by a  $\approx 0.5$   $\mu\text{m}$  wide Ti-rich layer (Fig. 16c).

### 5.2.3. Composites infiltrated with Al alloys.

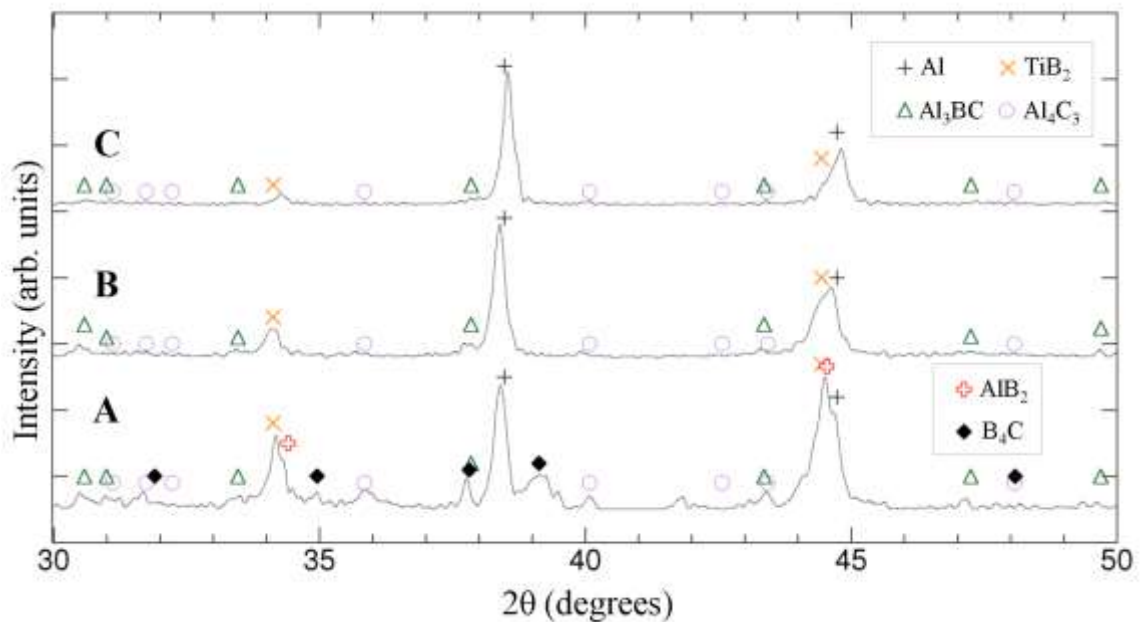
B-rich, BR, preforms infiltrated with Al 6061 and Al 2024 demonstrated similarities to the composites fabricated from pure Al. Complete infiltration was observed for each composite system at each temperature. For the BR-6061 system, the most intense XRD peaks corresponded to those belonging to Al, Al<sub>3</sub>BC and TiB<sub>2</sub> (Fig. 17). Peaks associated with Al<sub>4</sub>C<sub>3</sub>, were weak at all temperatures (Fig. 17). At 900 °C (Fig. 17a) peaks belonging to B<sub>4</sub>C were observed. At 950 °C and higher temperatures, no B<sub>4</sub>C peaks were observed (Figs. 17b and c). The relative intensity of the TiB<sub>2</sub> peaks was seen to increase with increasing temperature (Fig. 17).

BR preforms infiltrated by Al 2024 alloy also demonstrated notable B<sub>4</sub>C peaks in the XRD spectra at 900 °C (Fig. 18a). Again, no B<sub>4</sub>C peaks were observed after infiltration at 950 or 1050 °C (Fig. 18b and c, respectively). It is also interesting to note the distinguished presence of AlB<sub>2</sub> at 900 °C, which is well reduced by 950 °C and undiscernible by 1050 °C (Fig. 18c). The Al<sub>3</sub>BC and Al<sub>4</sub>C<sub>3</sub> peaks at 1050 °C are not well distinguished from the background noise in the XRD pattern. No copper containing phases were apparent at any temperature.





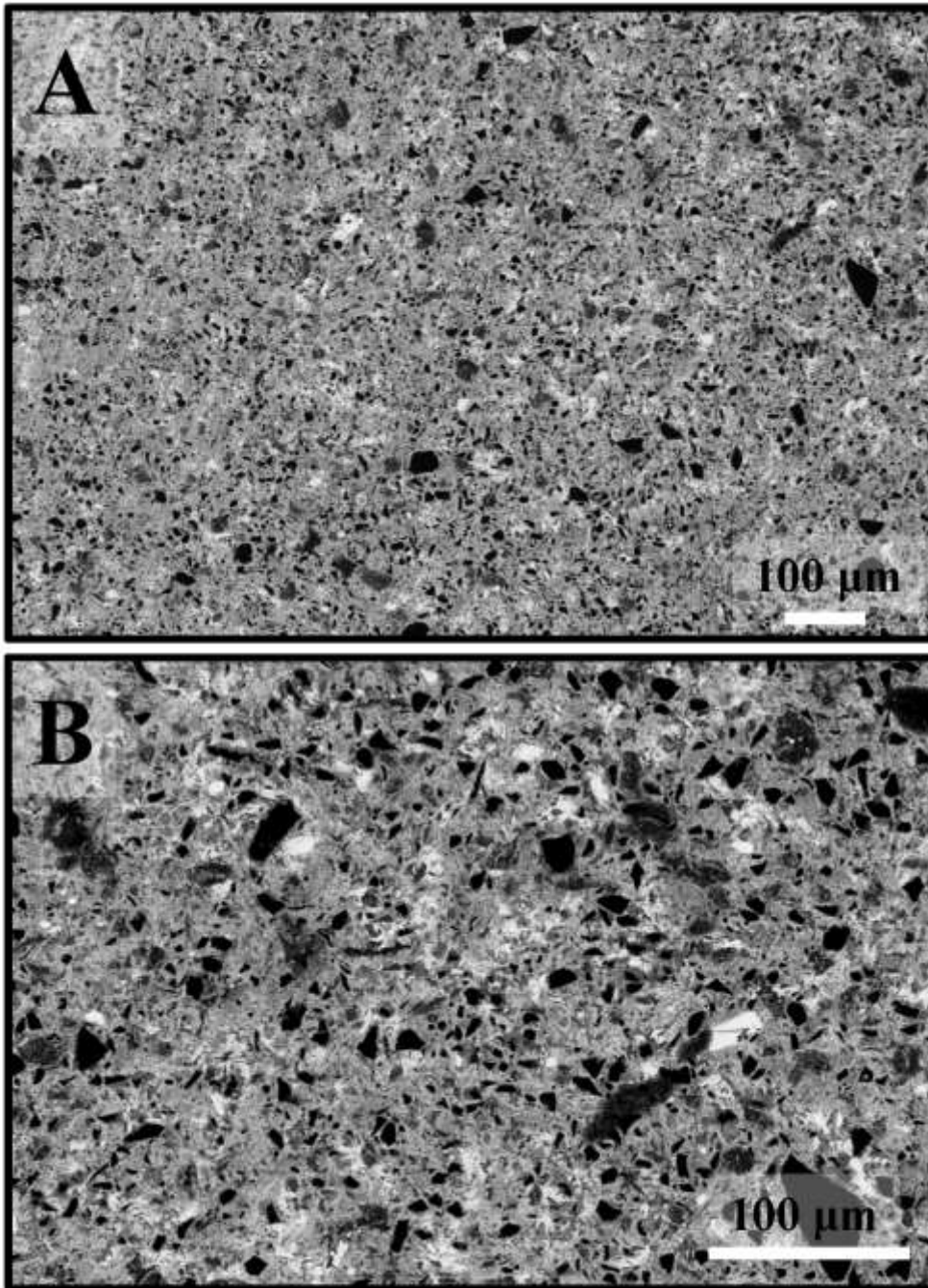
**Figure 17.** X-ray diffraction patterns for AIR samples infiltrated with Al-6061 and held at, A) 900, B) 950 and, C) 1050°C for 0.5 h.



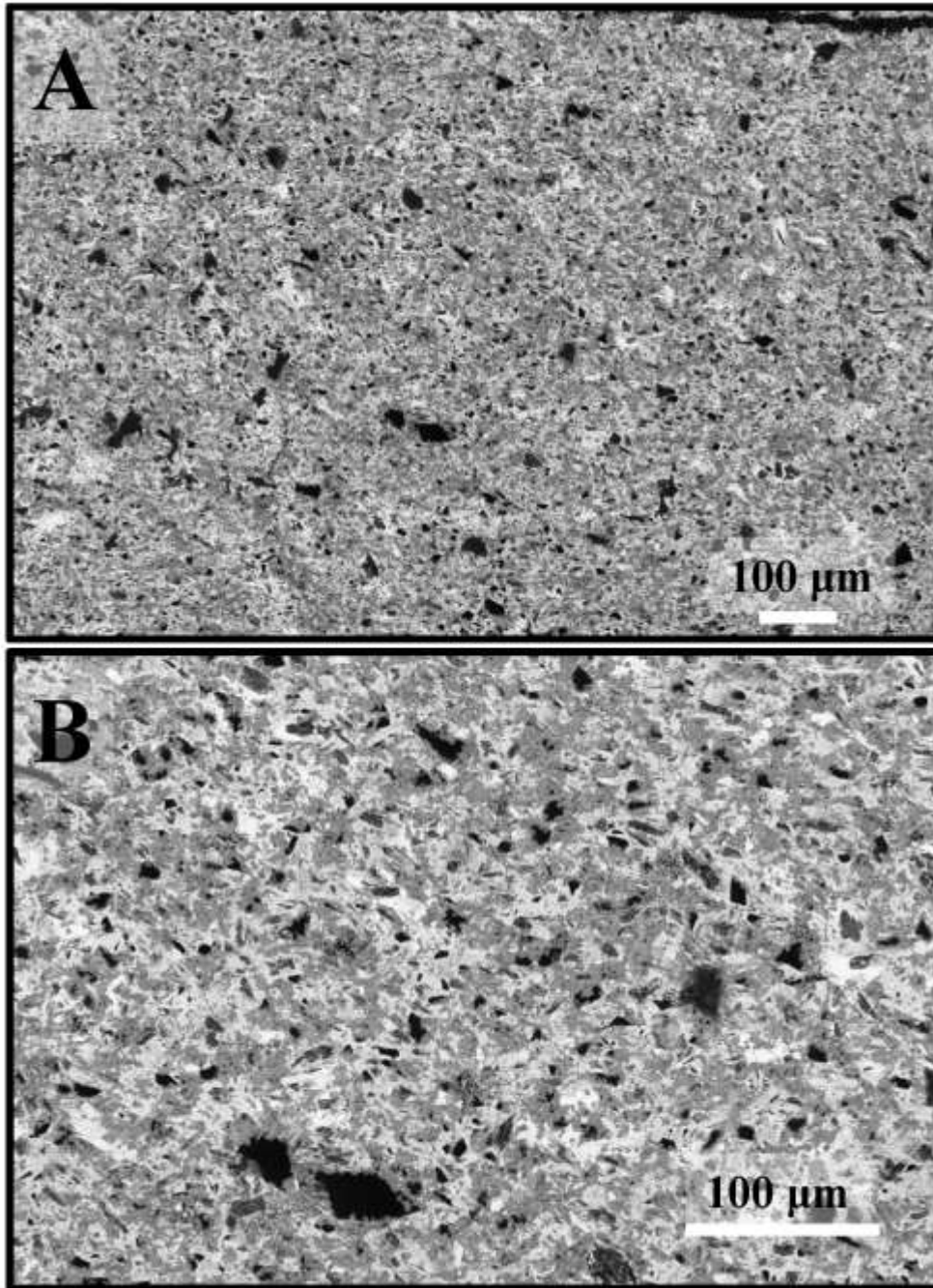
**Figure 18.** X-ray diffraction patterns for AIR samples infiltrated with Al-2024 and held at, A) 900, B) 950 and, C) 1050°C for 0.5 h.

The microstructures of the BR composites infiltrated with alloy 6061 (Figs. 19, 20 and 21) and 2024 (Figs. 22, 23 and 24) were visually similar, at their respective infiltration temperatures, to those observed for the composites fabricated with pure Al (Fig. 14a, c and e). In all cases  $B_4C$  grains (black contrast in Figures) were observed at each temperature, but decreased in number and size with increasing infiltration temperature. Again, two distinct regions were observed: Al with  $Al_3BC$  and Al with  $TiB_2$  and  $TiC$ . The  $Al_3BC$  phase was found to be in the same vicinity as pure Al, and next to  $B_4C$  grains, but was not found with  $TiB_2$  or  $TiC$  grains.

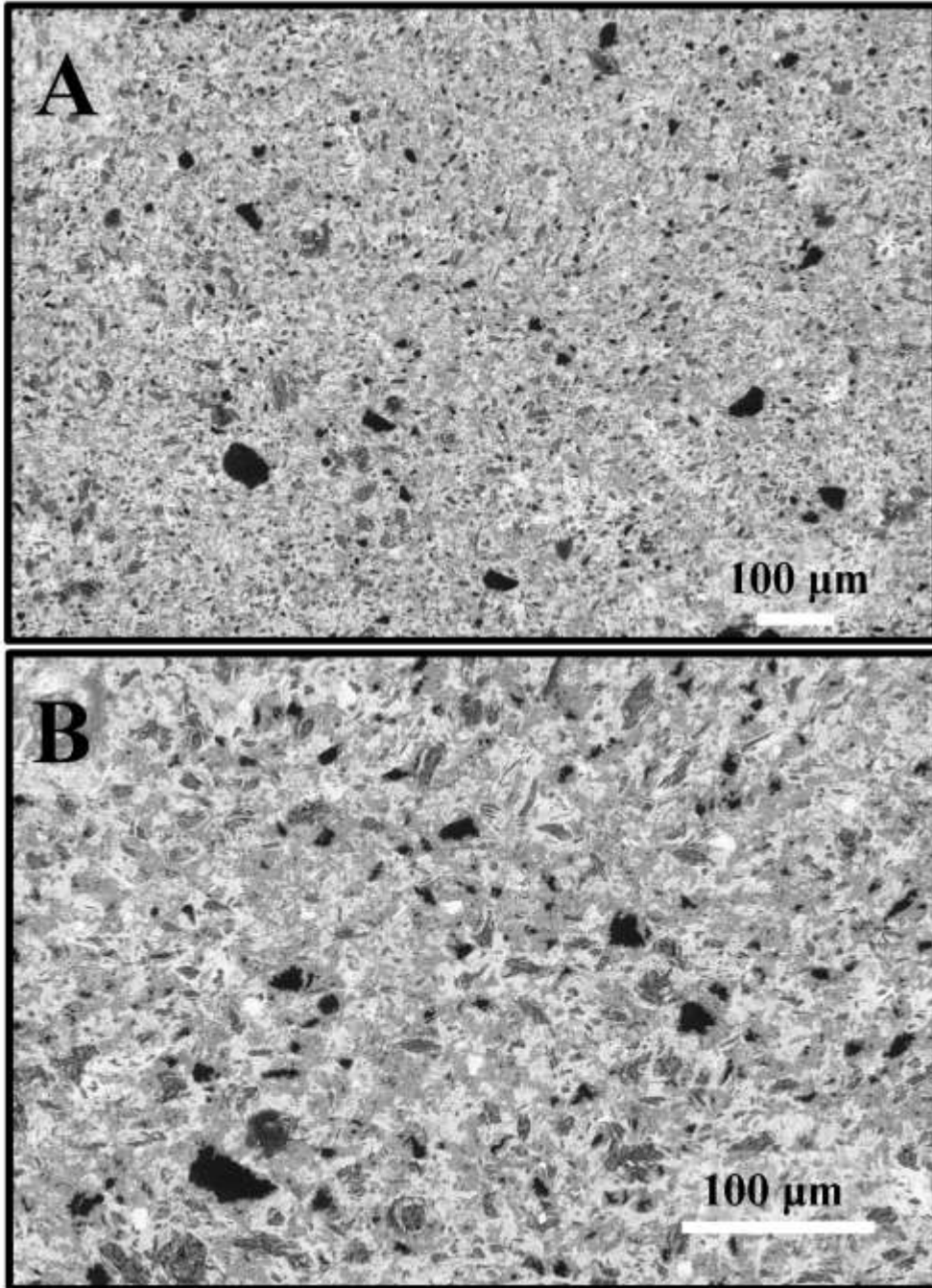
EDS investigations of the Al 6061 MMCs confirmed the XRD results. At 900 °C the composition was furthest from equilibrium (Fig. 17a and 19b), having the most  $B_4C$  and  $AlB_2$  grains. At higher temperatures, Al,  $Al_3BC$ , and  $TiB_2$  were identified as the primary phases, with some  $TiC$  (Fig. 20b and 21b). Similar conclusions were drawn from EDS investigations of the composites infiltrated with Al 2024. At 900 °C (Fig. 22) and 950 °C (Fig. 23) this composite was found to contain the non-equilibrium phases  $B_4C$  and  $AlB_2$  that were identified from XRD. In this case, however, EDS demonstrated the presence of  $Al_3BC$  at 1050 °C (Fig. 24), even though the peak intensity was minimal in the XRD spectra (Fig. 18c). Lastly, while Al 2024 contains  $\approx 5$  wt. % Cu, and some Cu was detected using EDS, no specific Cu-rich grains could be identified.



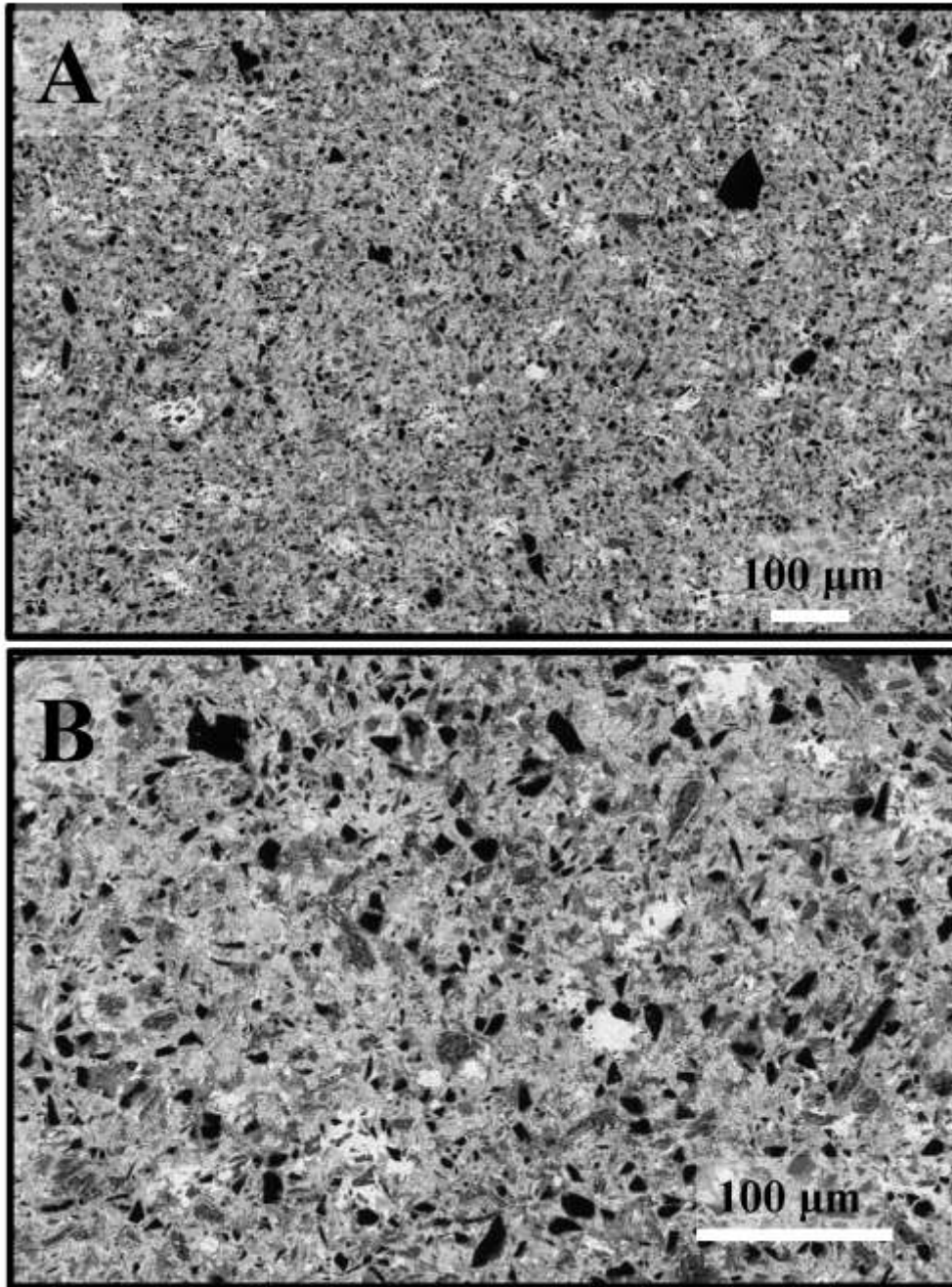
**Figure 19.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 6061 at 900 °C.



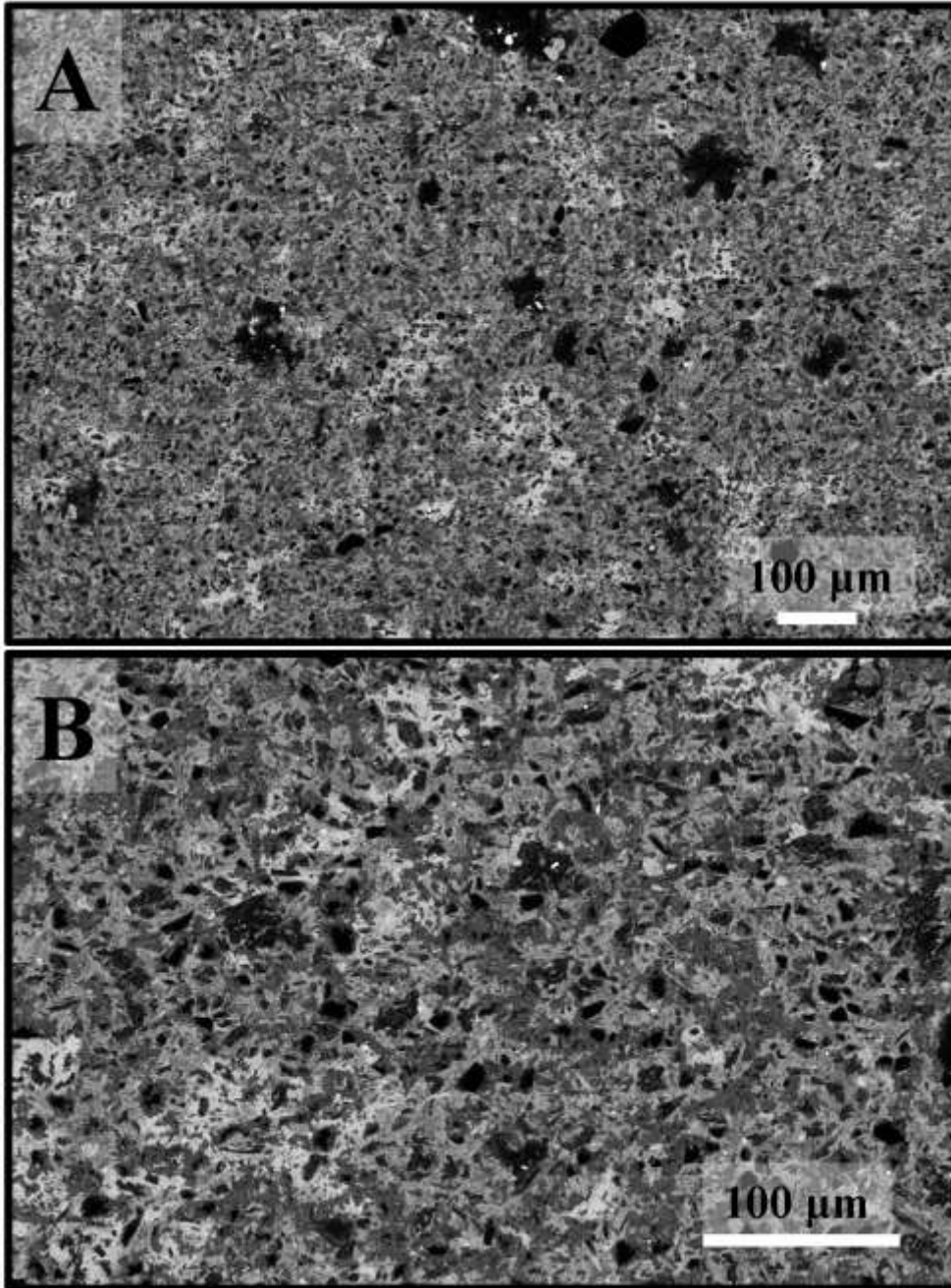
**Figure 20.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 6061 at 950 °C.



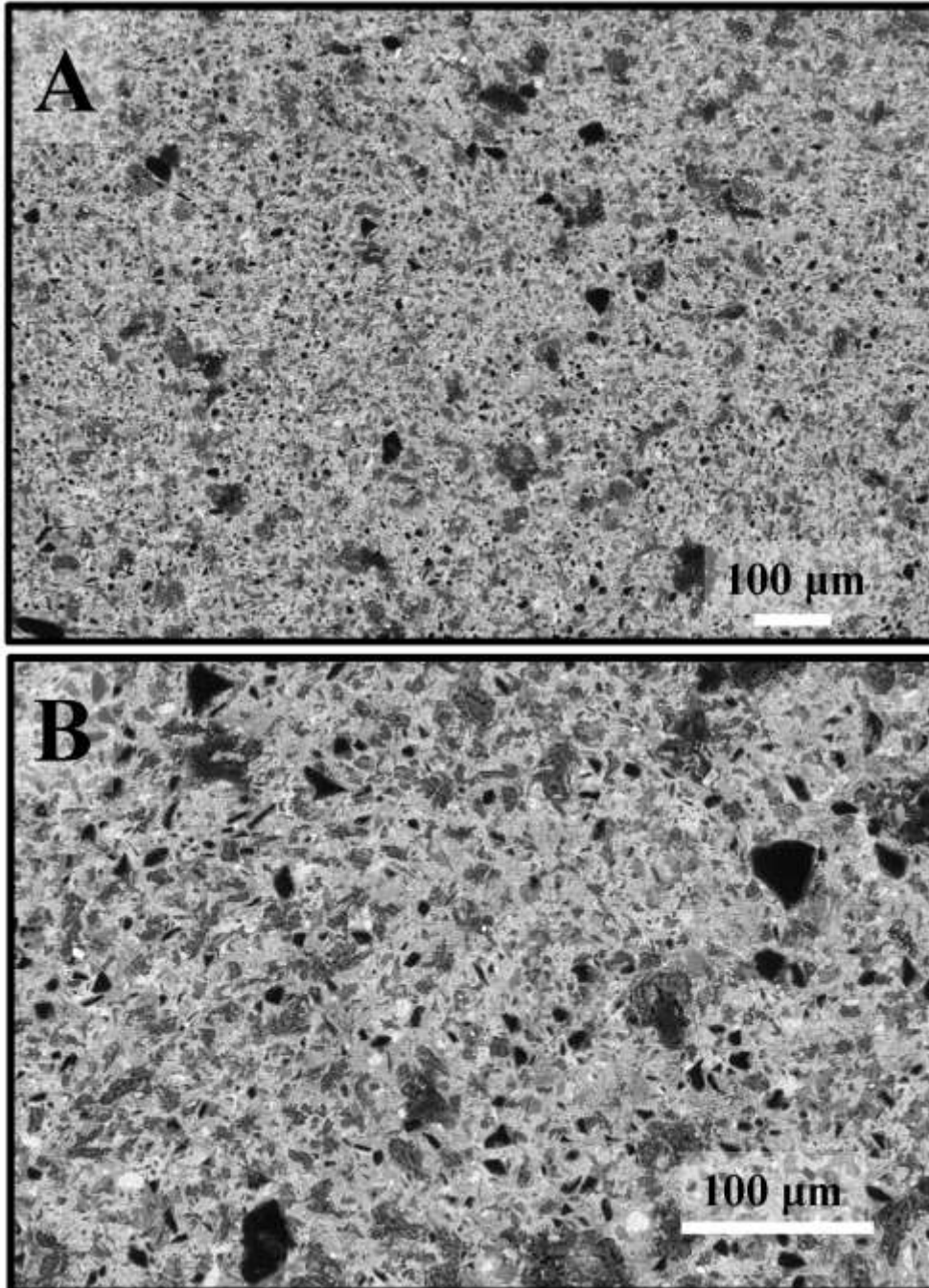
**Figure 21.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 6061 at 1050 °C.



**Figure 22.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 2024 at 900 °C.



**Figure 23.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 2024 at 950 °C.



**Figure 24.** A) Low magnification and, B) higher magnification backscattered electrons SEM images of BR composite fabricated with Al 2024 at 1050 °C.

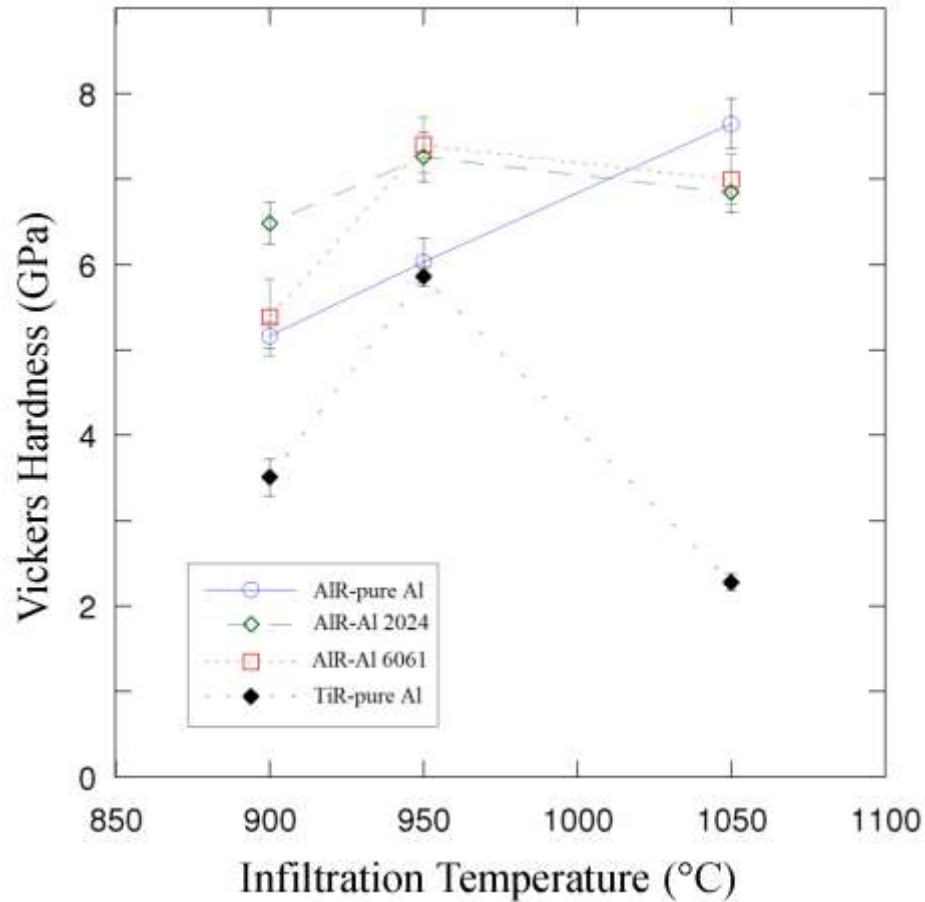


#### 5.2.4. Microhardness of composites

The results of Vickers microhardness investigations are shown in Fig. 25. BR composites infiltrated with pure Al (blue points in Fig. 25) demonstrated a nearly linear increase in hardness with infiltration temperature. The average hardness  $\pm$  standard deviation was found to be  $5.2 \pm 0.2$ ,  $6.0 \pm 0.3$  and  $7.6 \pm 0.3$  GPa after infiltration at 900, 950 and 1050 °C, respectively.

In both the BR composites infiltrated with Al alloys (6061 or 2024) the maximum hardness occurred after infiltration at 950 °C. When Al 6061 (red points in Fig. 25) was used as the matrix, the hardness after infiltration at 900 °C was  $5.4 \pm 0.4$  GPa. This value increased to  $7.4 \pm 0.3$  GPa before decreasing slightly to  $7.0 \pm 0.3$  GPa at 1050 °C. In a similar fashion, the BR composite with Al 2024 (green points in Fig. 25) demonstrated its lowest hardness,  $6.5 \pm 0.2$  GPa, after infiltration at 900 °C. The hardness at 950 °C,  $7.3 \pm 0.3$  GPa, was comparable to that of the 6061 composite at the same temperature. Again, a slight drop to  $6.8 \pm 0.2$  GPa was observed when infiltrated at the highest temperature.

The TiR composite was demonstrated to have the lowest hardness at each temperature (black points in Fig. 25). The hardness after infiltration at 900 °C was  $3.5 \pm 0.2$  GPa. At 950 °C the hardness was more similar to that of the BR composites, having the value  $5.9 \pm 0.1$  GPa. After infiltration at 1050 °C, however, the hardness of the TiR composite dropped to its lowest value of  $2.3 \pm 0.1$  GPa.



**Figure 25.** Vickers microhardness of Al-Ti-B-C composites as a function of infiltration temperature, soaking was held constant at 0.5 h. AIR composites were infiltrated with pure Al (blue), Al 2024 (green) and Al 6061 (red) alloys. TiR composites were infiltrated with pure Al (black).

### 5.3. Discussion

#### 5.3.1. Equilibrium tetrahedra

##### 5.3.1a. Phase equilibria in the B-rich composite

Based on the totality of the XRD and SEM results on the equilibrated BR composition it is reasonable to conclude that the 4 apexes of this equilibrium tetrahedron

are: Al, Al<sub>3</sub>BC, TiB<sub>2</sub>, and Al<sub>4</sub>C<sub>3</sub> (pink tetrahedron in Fig. 26a, c and Supplementary Information). The starting molar composition (blue circle in Fig. 26a), clearly falls within this equilibrium tetrahedron. Note that the size of this tetrahedron is relatively small – only occupying 2.86 vol. % of the phase diagram.

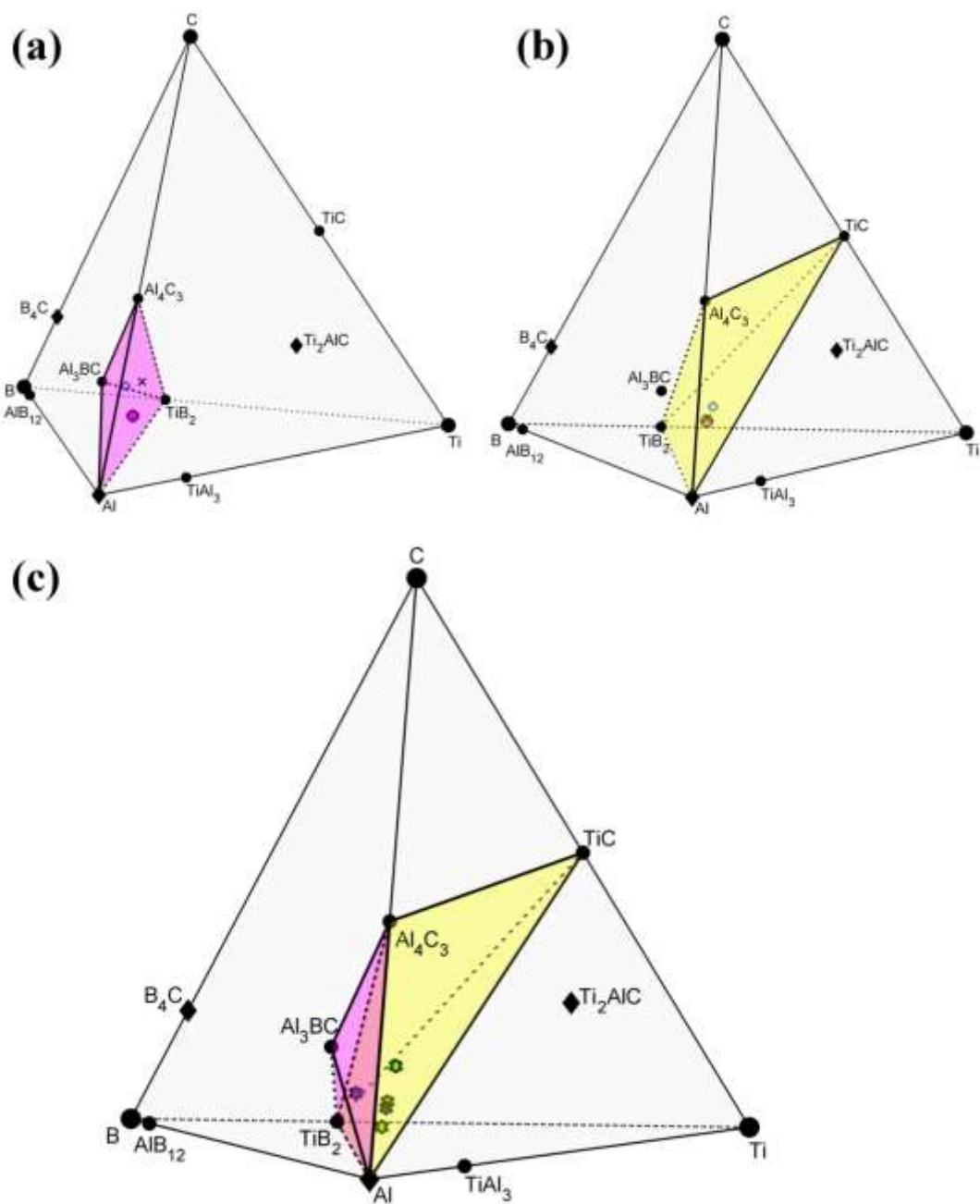
To examine the robustness of our conclusions, the position of the initial composition was re-calculated assuming: the stoichiometry of B<sub>4</sub>C to be B<sub>4</sub>C<sub>0.5</sub>, with all else remaining the same. When plotted, this composition point shifted by a negligible amount. We also carried out a calculation incorporating the presence of 20 wt. % Ti<sub>3</sub>AlC<sub>2</sub> in the starting composition. In that case the initial Al:Ti:B:C molar ratio is 3.8:0.9:3.0:1.2. The resulting location point was nearly indiscernible from the location of the blue circle shown in Fig. 26a.

Based on these findings, it can be concluded that neither the B<sub>4</sub>C stoichiometry nor the presence of  $\approx$  20 wt. % Ti<sub>3</sub>AlC<sub>2</sub> in the initial powder affects the location of the initial composition in the conjectured equilibrium tetrahedron.

Based on the Rietveld refinements, the final Al:Ti:B:C molar ratio was calculated to be 3.8:1.3:2.9:0.6 (pink point in Fig. 26a) with corresponding high volume fractions of Al and TiB<sub>2</sub> (Table 4). The discrepancy between the initial (3.8:0.9:3.0:1.2) and final molar compositions in this system is discussed below. However, as both compositions lie within the *same* equilibrium tetrahedron, this discrepancy in no way affects the conclusions of this study.

### *5.3.1b. Phase equilibria in the Ti-rich composite*

Based on the totality of our XRD and SEM results on the equilibrated TiR composition it is reasonable to conclude that the 4 apexes of this equilibrium tetrahedron are: Al,  $\text{TiB}_2$ ,  $\text{Al}_4\text{C}_3$ , and TiC (tan tetrahedron in Figs. 26b-c and Supplementary Information). The latter occupies a larger volume of the phase diagram (14.29 vol. %) than the B-rich composition and was also found to be unaffected by non-stoichiometries in the  $\text{B}_4\text{C}$  and/or the presence of  $\text{Ti}_3\text{AlC}_2$ . In this case, the starting composition (blue circle in Fig. 26b) was in excellent agreement with the composition calculated from Rietveld refinement of the XRD results (pink point in Fig. 26b) after equilibration, with both compositions well within the boundaries of the equilibrium tetrahedron.



**Figure 26.** Equilibrium tetrahedron as determined from, A) B-rich composition. Blue circle represents the starting composition as calculated from starting powder weights, black x represents the composition as determined from the left hand side of Eq. 7; pink point represents the final molar composition as determined from Rietveld analysis of equilibrated samples. B) Ti-rich composition. Blue circle represents the starting composition as calculated from starting powder weights, black x represents the

**Figure 26 continued.** composition as determined from the left hand side of Eq. 14; pink point represents the final molar composition as determined from Rietveld analysis of equilibrated samples. C) Constituent Al-Ti-B-C phase diagram at 1000 °C. Green points represent 10, 40, and 60 wt. % Al compositions from Zou, et al. <sup>[24]</sup> and blue points are the nominal compositions reported by Zhang, et al. <sup>[48]</sup>.

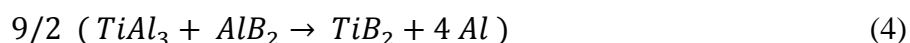
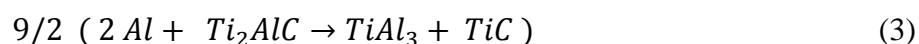
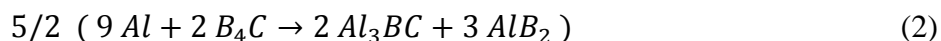
### 5.3.2. Constituent phase diagram at 1000 °C

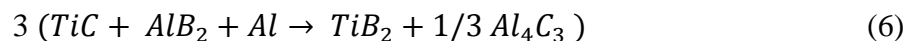
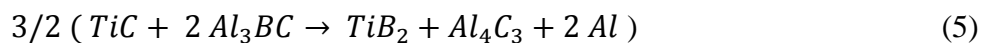
An interactive quaternary phase diagram can be found in Supplementary Materials. Note that this phase diagram assumes all phases to be line compounds (Fig. 26c). That this is an oversimplification is obvious for the simple reason that TiC exists over a wide Ti:C molar ratio. However, since this is the first report of this quaternary phase diagram, and for the sake of simplicity, we chose to assume TiC and all other compounds to be line compounds. Understanding how the non-stoichiometry of TiC affects the diagram is important and should be mapped out, but is beyond the scope of this work.

### 5.3.3. Reaction mechanisms

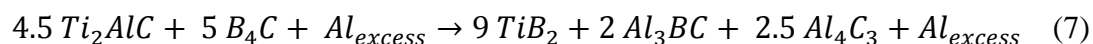
#### 5.3.3a. B-rich composites

Based on the results summarized in Table 4, together with the reaction-path-superimposed quaternary phase diagram (Fig. 27) – where dashed lines represent reacting phases, solid lines represent reaction products, and bold lines outline the equilibrium phase relations – the following reactions are surmised to occur in the BR composition:

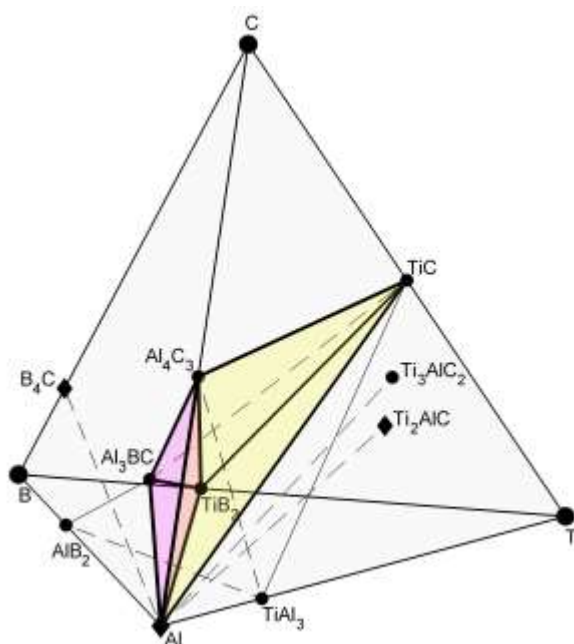




for the overall reaction:



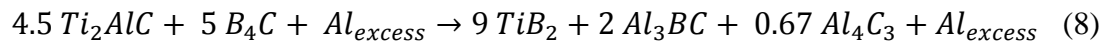
Upon wetting of the preform by molten Al, the initial reactions would be between Al/B<sub>4</sub>C and Al/Ti<sub>2</sub>AlC according to Eq. 2 and 3, respectively. AlB<sub>2</sub> and Al<sub>3</sub>BC are in equilibrium below the peritectic transformation temperature of AlB<sub>2</sub>, and B<sub>4</sub>C has been reported to react with Al to form these products in various experiments [53, 57, 58]. Both of these phases were observed herein. The reaction of Ti<sub>2</sub>AlC with Al (Eq. 3) at the investigated temperatures is in agreement with the surmised reaction path of Ti<sub>3</sub>AlC<sub>2</sub> with Al above 900 °C [22].



**Figure 27.** A reaction-path-superimposed quaternary phase diagram visually demonstrating Eqs. 2-6, 12 and 16. Dashed lines represent reacting phases, solid lines represent reaction products, and bold lines outline the equilibrium phase relations. Note that the “hidden” face of the quaternary diagram is the Ti-B-C triangle.

Reaction 3 is also to be expected from an inspection of the ternary phase diagram (Fig. 4) as the TiC/TiAl<sub>3</sub> equilibrium line must be crossed in reacting Al with the Ti<sub>2</sub>AlC (labeled 'H' in Fig. 4). While TiAl<sub>3</sub> was not observed in this system, its production by this reaction is presented clearly in the TiR composite fabricated at 900 °C (see below). Of the four phases produced by these two reactions (Eqs. 2 and 3) only Al<sub>3</sub>BC is an equilibrium phase, indicating that the transient phases – AlB<sub>2</sub>, TiAl<sub>3</sub>, and TiC – must at some point react to form equilibrium phases (Eqs. 4 and 5). The formation of TiB<sub>2</sub> by the reaction of TiAl<sub>3</sub> with AlB<sub>2</sub> has been previously reported in Al matrix composites, though largely through aluminothermic reactions of KF based salts in an Al melt <sup>[59, 60]</sup>. Additionally, thermodynamic calculations indicate that the reaction between TiC and AlB<sub>2</sub> (Eq. 6) is favorable in high temperature Al melts <sup>[10]</sup>. Lastly, the reaction between TiC and Al<sub>3</sub>BC (Eq. 5) was inferred by the necessity to balance the overall reaction (Eq. 7) and has not been found in the literature. It is not unreasonable to speculate about the feasibility of this reaction as it keeps in line with the finding that TiC is not stable in some Al-B systems <sup>[10]</sup>. The continual consumption of TiC in Eqs 5 and 6 is in agreement with the decreasing TiC peak intensities in the XRD spectra acquired for each infiltration temperature (Fig. 12).

Rietveld analysis of the equilibrium microstructure resulted in the overall reaction:



In general, the agreement between the surmised reaction (Eq. 7) and reaction Eq. 8 is excellent with the only difference being that the Rietveld calculations show less Al<sub>4</sub>C<sub>3</sub> than surmised. The cause of this may be rationalized in two ways: i) some amount of



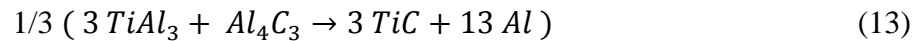
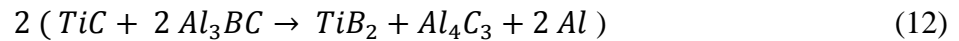
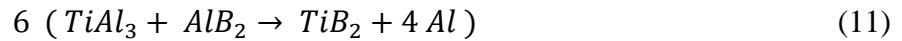
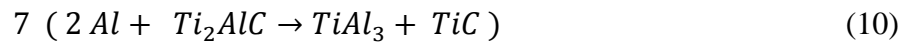
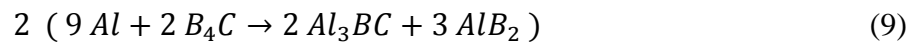
$\text{Al}_4\text{C}_3$  may have hydrolyzed and became amorphous prior to the collection of the XRD patterns, which would underrepresent the amount of  $\text{Al}_4\text{C}_3$  in the Rietveld calculations; and/or ii) non-stoichiometric  $\text{B}_4\text{C}$  and/or impurities in the starting powders may be responsible for the production of less  $\text{Al}_4\text{C}_3$ . As noted above, however, since the molar compositions of both the left and right sides of Eq. 8 clearly fall within the *same* equilibrium tetrahedron (black X and pink point in Fig. 26a, respectively) the unbalanced equation has no effect on the results of this study. It may be for similar reasons that there is a disagreement between molar compositions from Rietveld calculations and the starting powder weights; however, as all molar compositions fall within the *same* equilibrium tetrahedron the results of this study are sound.

Examination of the BR microstructures at each infiltration temperature coincides with the proposed reaction path. No MAX phase is observed in this system, indicating that Eq. 3 must occur rapidly after wetting of the preform. The reaction with  $\text{B}_4\text{C}$  (Eq. 2), however, continues even after equilibration for 10 h (Fig. 10 c). It has been suggested that  $\text{Al}_3\text{BC}$  forms a diffusion barrier and limits the rate at which the  $\text{B}_4\text{C}$  grains are consumed by Al and also the rate at which boron diffuses into the matrix<sup>[53]</sup>. The results shown herein support this finding. As evidence for  $\text{TiAl}_3$  was also unobserved by our XRD or SEM investigations, and since more  $\text{AlB}_2$  is produced (Eq. 2) than  $\text{TiAl}_3$  is consumed (Eq. 4) it is probable that this reaction also occurs rapidly following the production of  $\text{AlB}_2$ . This also explains why  $\text{AlB}_2$  could be found in some microstructures (Fig. 10b and 8), as the remaining  $\text{AlB}_2$  is proposed to be consumed by TiC (Eq. 5), presumably by a slower reaction since both of these phases were observed.

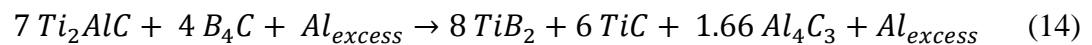
The higher magnification SEM micrographs of the sample fabricated at 1050 °C (Fig. 15) sheds more light on the reaction path: the un-reacted  $B_4C$  is surrounded by an  $Al_3BC$  layer (expected from Eq. 2),  $AlB_2$  is found in Ti-containing regions which is necessary if it is to react with TiC, and TiC was also found near the  $Al_3BC$  phase, making it feasible that they could react to bring the system to equilibrium (Eq. 6).

### 5.3.3b. Ti-rich composites

In a similar fashion, the TiR composite system may be analyzed in light of the phase diagram developed herein. The suggested reaction path is:

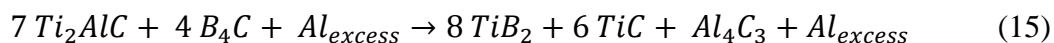


that sums to the overall reaction:



Since Eqs. 9 – 12 are identical to Eqs. 2 – 5, they will not be discussed further. However, in order to consume the remaining  $TiAl_3$  and bring the system to equilibrium, Eq. 13 is proposed. This reaction is expected from analysis of the Al-Ti-C ternary diagram (Fig. 4) and has been reported to occur at temperatures above the melting point of Al<sup>[40]</sup>.

Rietveld analysis of the equilibrium microstructure translates to the following overall reaction:



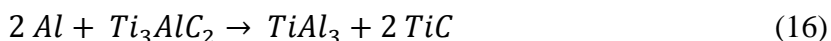
which, with the exception of  $\text{Al}_4\text{C}_3$ , is in excellent agreement with Eq. 14. Here again the major discrepancy between two reactions is the lower amounts of  $\text{Al}_4\text{C}_3$  in the experimental results (Eq. 15). At this stage there is no reason that some of the reasons invoked to account for this deficiency in the BR composition does not apply here as well. This comment notwithstanding, more work is needed to further understand the discrepancy. However, since the molar compositions of both the left and right sides of Eq. 15 fall within the *same* equilibrium tetrahedron (black X and pink point in Fig. 26b, respectively) the fact that Eq. 15 is unbalanced has no effect on the quaternary diagram.

When the short time MI experiments for the TiR and BR compositions are compared, it becomes obvious that the sequence and rates of some of the reactions are notably different. For example,  $\text{Ti}_2\text{AlC}$  was not observed in the TiR composition, but its reaction products (Eq. 10) were seen in the XRD patterns (Fig. 13a) and SEM micrographs (Fig. 14a). In contrast, the reaction products of  $\text{B}_4\text{C}$  with Al,  $\text{Al}_3\text{BC}$  and  $\text{AlB}_2$  (Eq. 9) phases were not observed after processing at 900 °C. This is clear evidence that  $\text{Ti}_2\text{AlC}$  is less stable in excess Al than  $\text{B}_4\text{C}$ . However, at 950 °C a notable shift in reaction products occurs.  $\text{TiAl}_3$  is consumed and  $\text{Al}_3\text{BC}$  is produced (Eqs. 9 and 11), likely the result of increased reactivity of  $\text{B}_4\text{C}$  with Al (Eq. 9) at the higher temperature. As with the BR system, this indicates that  $\text{TiAl}_3$  is rapidly consumed upon the production of  $\text{AlB}_2$  (Eq. 11), as  $\text{AlB}_2$  was not identified from XRD and the  $\text{TiAl}_3$  peaks almost

disappear (Fig. 13b).  $\text{Al}_3\text{BC}$  is clearly a primary phase at 950 °C, which argues in favor of a slower reaction between TiC and  $\text{Al}_3\text{BC}$  (Eq. 12) than the rate at which  $\text{Al}_3\text{BC}$  is produced. It may also be that Eq. 12 is responsible for the change in microstructure between 950 and 1050 °C (Fig. 14b and c, respectively). The consumption of  $\text{Al}_3\text{BC}$  (Eq. 12) and remnant  $\text{TiAl}_3$  (Eq. 13) is necessary to bring the system to equilibrium.

### 5.3.3c. Reaction with $\text{Ti}_3\text{AlC}_2$

The aforementioned reaction mechanisms coincide well with the observations in both BR and TiR systems. However, it is important to recognize the potential for other reactions to occur in this quaternary system.  $\text{Ti}_3\text{AlC}_2$  exists in the starting powder and was the only MAX phase to be observed in any of the composites (TiR at 900 °C, Fig.7b); however, it was left out in the overall reaction scheme for simplicity. In both systems the likely reaction is:



as detailed in the literature <sup>[22]</sup>. However, given that the fraction of this phase in the starting powder is small, it is reasonable to assume that it would not have a significant impact on the overall reactions (Eq. 8 or 14).

### 5.3.4. Comparison with literature results

The reaction products after melt infiltration at 1000 °C established in this work agree with the products reported by other researchers using similar compositions, but different reaction methods. For example, Zou et al. (2007) <sup>[33]</sup> produced TiC-TiB<sub>2</sub>-Al composites between 10 and 60 wt. % Al using self-propagating high-temperature

synthesis. The maximum temperature of their reaction decreased from 2900 °C and approached the investigated temperature range of this work as the Al wt. % increased. Calculations show that their Al:Ti:B:C ratio ranges from 0.8:3.0:4.0:1.0 with 10 wt. % Al, to 11.1:3.0:4.0:1.0 with 60 wt. % Al. These compositions lie on the Al-TiB<sub>2</sub>-TiC face of the quaternary phase diagram (green points represent 10, 40, and 60 wt. % Al in Fig. 26c). In addition to these phases, they also reported that Al<sub>4</sub>C<sub>3</sub> and TiAl<sub>3</sub> were also present in small amounts as a consequence of the fact that their system was not allowed to reach equilibrium.

Zhang, et al. (2014) <sup>[61]</sup> utilized quick spontaneous infiltration to make composites from Ti-B<sub>4</sub>C-Al powder preforms (55–60 % dense) dipped in molten Al at 920 °C. The nominal composition of two of their composites, with Al:Ti:B:C molar ratios of 6:3:6:1.5 and 6:3:4:1, fall on the Al-Al<sub>4</sub>C<sub>3</sub>-TiB<sub>2</sub> and Al-TiB<sub>2</sub>-TiC faces of the quaternary phase diagram, respectively (blue points in Fig. 26c). In both composites, Al, TiB<sub>2</sub>, and TiC were the primary phases found. In the B-rich composite, some TiAl<sub>3</sub> was also detected. Neither Al<sub>4</sub>C<sub>3</sub> nor Al<sub>3</sub>BC was observed by XRD after infiltration or differential thermal analysis (DTA) of both composites. Consequently, they computationally determined that the likely equilibrium products of the more B-rich composite were Al<sub>3</sub>BC, TiB<sub>2</sub>, and TiAl<sub>3</sub>. Our findings show that TiAl<sub>3</sub> is not an equilibrium product of this composition.

However, the equilibrium phases present in the B-poor composition – Al, TiB<sub>2</sub>, and TiC – are in full agreement with our results <sup>[61]</sup>. Their conclusion that B<sub>4</sub>C is also in equilibrium with TiB<sub>2</sub> and TiC is at odds with our results. The most likely source of these discrepancies is the fact that Zhang et al. (2014) <sup>[61]</sup> did not allow their samples to reach equilibrium.

### 5.3.5. Discussion of microhardness measurements

The microhardness of the composites may be evaluated with respect to the microstructure and aforementioned reaction mechanisms. In the BR system, low temperature infiltration was found to have the highest amount of  $\text{AlB}_2$  and  $\text{TiC}$ , and the least amount of  $\text{TiB}_2$  (Table 4). As  $\text{AlB}_2$  has a lower hardness than both  $\text{Al}_3\text{BC}$  and  $\text{TiB}_2$  (Table 5), it is likely that the presence of this phase and the lesser relative amounts of  $\text{Al}_3\text{BC}$  and  $\text{TiB}_2$  contributes to the lowest observed hardness for each composite in this system. As the temperature increased to  $950\text{ }^\circ\text{C}$ , more  $\text{Al}_3\text{BC}$  may be produced by further reaction between Al and  $\text{B}_4\text{C}$ . Similarly, more  $\text{TiB}_2$  is produced as the reaction is allowed to progress. For the BR composite fabricated with pure Al, the hardness continued to increase after infiltration at  $1050\text{ }^\circ\text{C}$ . This may be the result of the system tending towards equilibrium, where Al,  $\text{TiB}_2$ ,  $\text{Al}_3\text{BC}$  and  $\text{Al}_4\text{C}_3$  are the only remaining phases. As the morphology of the microstructure is similar between each infiltration temperature, the composite hardness is likely only dependent on the relative volume fractions of its constituent phases. For BR composites fabricated with Al alloys 6061 or 2024 the hardness had a similar behavior to the pure Al composite. The higher initial hardness at  $900$  and  $950\text{ }^\circ\text{C}$  may be a direct or indirect result of the alloying elements. The hardness of the Al matrix may be inherently higher than that of pure Al, or the alloying elements slow down the reaction between Al and  $\text{B}_4\text{C}$ , and more  $\text{B}_4\text{C}$  reinforcement would also increase the hardness of the composite at lower temperatures. Unlike the hardness of the pure Al composites, the hardness of the Al alloy composites remains constant or drops slightly after infiltration at  $1050\text{ }^\circ\text{C}$ , this may be attributed to the system tending towards equilibrium. Further investigation is necessary to assess the influence of the volume

fraction of reinforcement phases on the microhardness of each composite, but is beyond the scope of this work.

The microhardness of the TiR composite may also be considered with respect to the microstructural analysis. At 900 °C  $\text{TiAl}_3$  is a predominant phase and the corresponding microhardness was substantially less than the BR composites. This may be expected as the hardness of  $\text{TiAl}_3$  is approximately 75 % softer than  $\text{TiB}_2$ , which was not a major phase in this composite (Table 4). Following the reaction model, at 950 °C the TiR composite exhibits similar reaction products to that of the BR composite system – primarily  $\text{Al}_3\text{BC}$  and  $\text{TiB}_2$ . Consequently, the hardness of this TiR composite was very similar to that of the corresponding BR composite. The drop in hardness of the TiR composite at 1050 °C is not expected if the volume fraction of  $\text{TiB}_2$  and  $\text{TiC}$  continues to increase as the composite tends towards equilibrium. However, this is the only composite to exhibit a significant change in microstructure and it may be that the small dispersed particles of  $\text{TiB}_2$  and  $\text{TiC}$  interact with the Al matrix in a different manner than the other composite systems. Again, the effects of reaction products and microstructure on mechanical properties require further investigation, but are beyond the scope of this work.

A comparison of hardness values for BR and TiR composites was made with composites reported in the literature (Table 5). The BR composite was found to be similar to the  $\text{B}_4\text{C-TiB}_2\text{-Al}$  composite fabricated by Lu, et al (2010) <sup>[62]</sup>, who demonstrated the primary reinforcement phases  $\text{TiB}_2$ ,  $\text{Al}_3\text{BC}$  and  $\text{B}_4\text{C}$  after vacuum infiltration at 1100 °C. Furthermore, they reported a nominal composition of  $\text{TiB}_2$

between 10 and 40 wt. %, which is similar to the composition of the BR composite – which demonstrated 44.6 wt. %  $\text{TiB}_2$  after equilibration.

As discussed above, the hardness of the TiR composite notably changed with the observed microstructure at each infiltration temperature. Having  $\text{TiAl}_3$  as a primary reinforcement phase at 900 °C, a comparison was made to the Al- $\text{TiAl}_3$  composites reported by Hsu, et al (2007) <sup>[63]</sup>. The TiR composite hardness (3.5 GPa) was found to be within the range reported for MMCs with between 7 and 24 vol. %  $\text{TiAl}_3$  (3.1 – 4.5 GPa). At 950 °C, the hardness is similar to that of the BR composite and between the hardness values for the  $\text{TiAl}_3$  and  $\text{B}_4\text{C-TiB}_2\text{-Al}$  composite, which corresponds with the observed production of  $\text{Al}_3\text{BC}$  and  $\text{TiB}_2$  in the TiR composite at this temperature. The decrease in hardness at 1050 °C is likely to be associated with the change in microstructure at this temperature. This possibility was evaluated with respect to observations made by Albitzer, et al (2000) <sup>[9]</sup> and Pacheco, et al (2008) <sup>[64]</sup>, who made  $\text{TiC}$  and  $\text{TiB}_2$  reinforced (50 vol. %) composites with similar particle size (< 10  $\mu\text{m}$ ), respectively. While the TiR composite demonstrated a lower hardness than either of the reported composites, there was a trend for these composites to be softer than  $\text{Al}_3\text{BC}$  containing composites. While further investigation is required to understand the relationship between microstructure and hardness in the BR and TiR composites, this work demonstrated the similarity in hardness of the composites fabricated herein to those reported in the literature with similar reaction products.



**Table 5.** Hardness values for various materials and composites taken from the literature for comparison with the Al-Ti-B-C composites of this study.

Constituent Phases	Hardness (GPa)
Pure Al	1.2 GPa <sup>[65]</sup>
TiAl <sub>3</sub>	5.9 GPa <sup>[66]</sup>
AlB <sub>2</sub>	10.3 GPa <sup>[65]</sup>
Al <sub>4</sub> C <sub>3</sub>	12.3 GPa <sup>[65]</sup>
Al <sub>3</sub> BC	13.7 GPa <sup>[65]</sup>
TiC	11.8-25.7 <sup>[67]</sup>
TiB <sub>2</sub>	25 GPa <sup>[68]</sup>
B <sub>4</sub> C	31.6 GPa <sup>[65]</sup>
Composites from the Literature	Hardness (GPa)
Al 2024 with 52-55 vol.% TiC	2.6 GPa <sup>[9]</sup>
53 vol.% Al-TiB <sub>2</sub> , 95% dense	3.78 GPa <sup>[64]</sup>
Al with 7-24 vol. % TiAl <sub>3</sub>	3.1 – 4.5 GPa <sup>[63]</sup>
Al-Al <sub>3</sub> BC-TiB <sub>2</sub> -B <sub>4</sub> C	6.6 – 7.3 GPa <sup>[62]</sup>
BR composite	5.2 – 7.3 GPa (this study)
TiR composite	2.3 – 5.9 GPa (this study)

#### 5.4. Summary of findings

- The 1000 °C Al-Ti-B-C quaternary phase diagram in the Al-rich corner was determined. The final Al:Ti:B:C ratios in the equilibrated microstructures were 3.8:1.3:2.9:0.6 (B-rich) and 3.4:1.1:1.3:0.7 (Ti-rich).
- And while both compositions were located near the center of quaternary phase diagram, they belonged to two separate equilibrium tetrahedra. For the B-rich composition the equilibrium phases were Al, Al<sub>3</sub>BC, TiB<sub>2</sub> and Al<sub>4</sub>C<sub>3</sub>. In the Ti-rich case, the equilibrium phases were Al, TiC, TiB<sub>2</sub> and Al<sub>4</sub>C<sub>3</sub>.
- A reaction mechanism was proposed for both compositions to explain the observed reaction products after 0.5 h MI experiments and equilibrium conditions.
- Hardness data for all BR and TiR composites was presented and compared to the hardness reported for current Al matrix composites in the literature.

## Chapter 6.

### Characterization of Al-V-C composites

#### **6.1. Results**

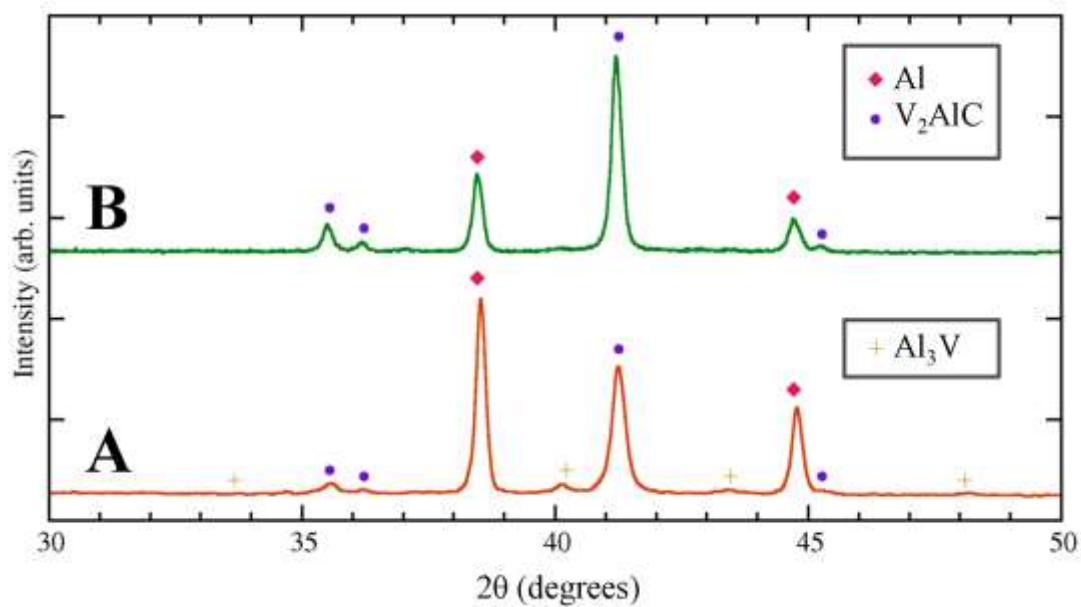
##### *6.1.1. Synthesis of Al-V<sub>2</sub>AlC Composites*

No fully dense samples were fabricated after reacting the powder preforms at 1000°C even after soaking for 10 h. The density of the water quenched AIR samples was  $\approx 90$  % theoretical density with no apparent relation between soaking time and densification. The VR samples ranged in density from 30 – 60 %, no trends with soaking time were observed in this system either. Due to their low relative density, the VR samples could be easily crushed by hand and were unable to be polished.

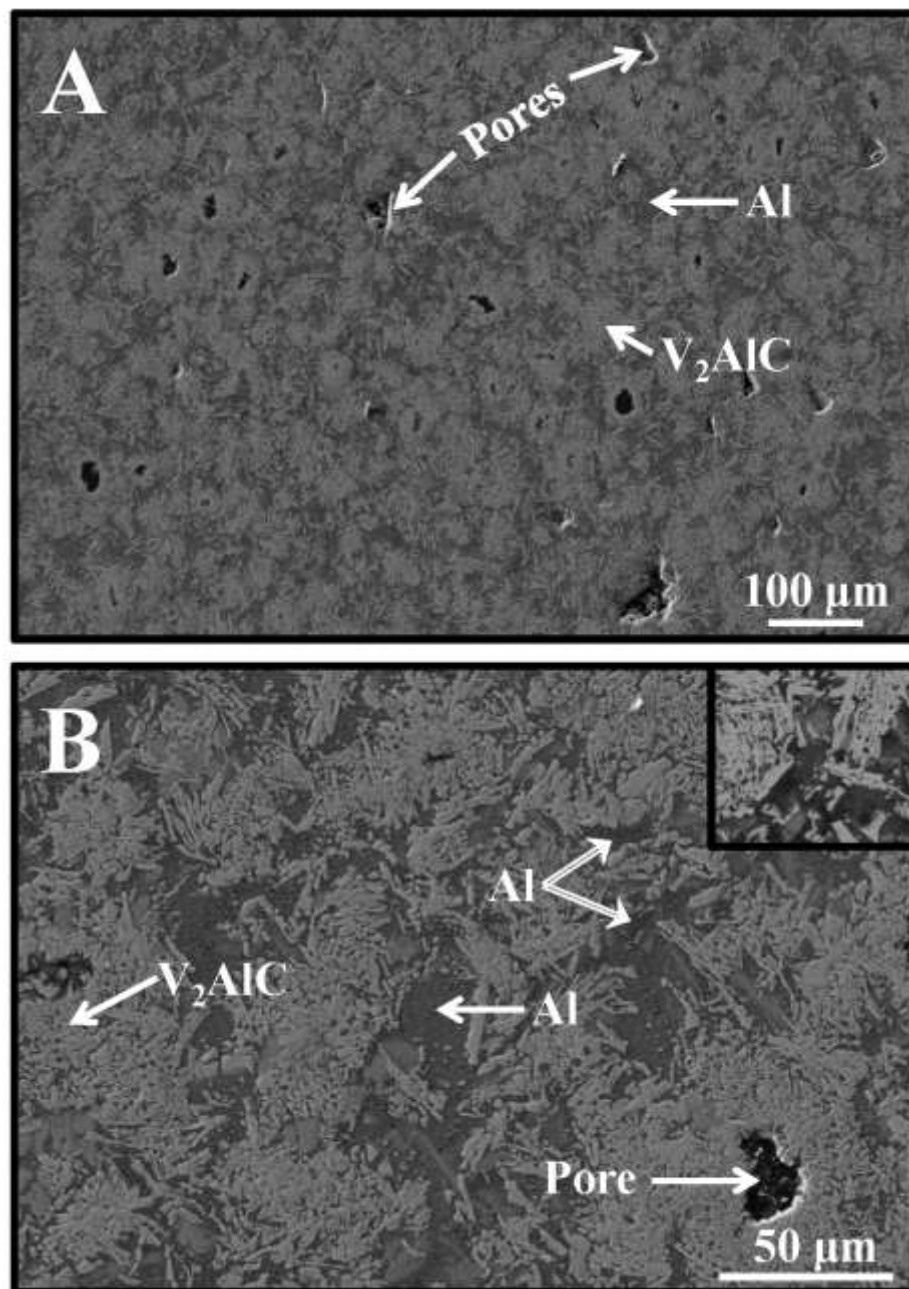
The predominantly two phase nature of both the AIR and VR composites, after quenching from 1000 °C, was demonstrated by XRD (Fig.28) and SEM (Figs. 29 and 30) investigations. Rietveld analysis of the XRD spectra for water quenched AIR composites (Fig. 28a) gave the volume fractions Al = 71.6, V<sub>2</sub>AlC = 22.6 and Al<sub>3</sub>V = 5.8. The same analysis of the XRD spectra for the water quenched VR composites (Fig. 28b) gave the volume fractions Al = 49.6 vol. % (35.6 wt.%) and V<sub>2</sub>AlC = 50.4 vol. % (64.4 wt.%). The  $\chi^2$  value of the Rietveld refinement of the XRD patterns for the AIR and VR composites was 3.40 and 1.34, respectively.

EDS of the water quenched AIR sample confirmed that the microstructure consists of V<sub>2</sub>AlC and some Al<sub>3</sub>V grains dispersed throughout an Al matrix (Fig. 29). Large pores can be seen at low magnification (Fig 29a) and Al particles that did not break

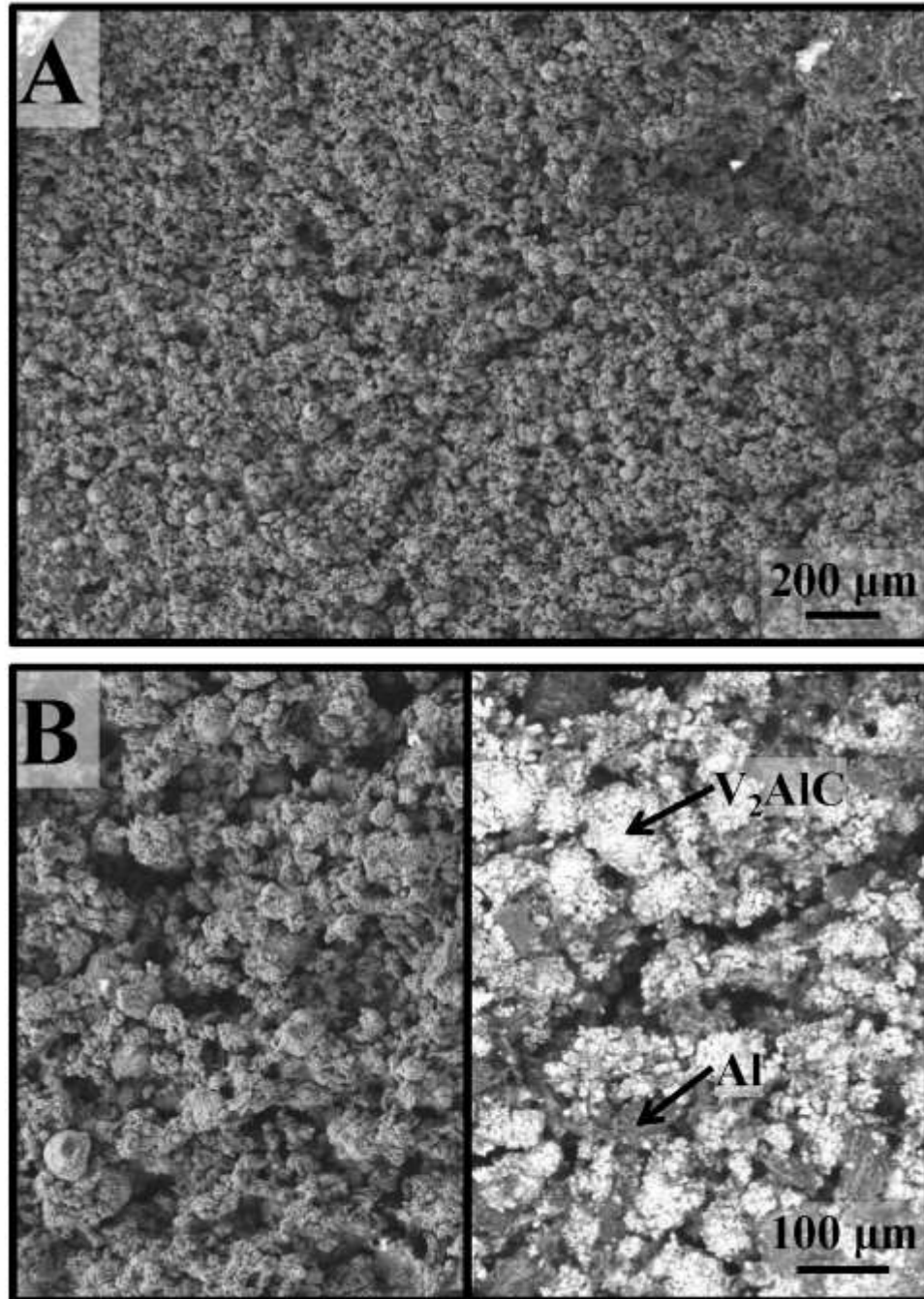
their oxide shell can be seen at high magnification (Fig 29b). SEM of the water quenched VR composite clearly demonstrates its porous structure (Fig. 30a).  $V_2AlC$  was clearly identified from EDS and was found dispersed among the Al.



**Figure 28.** Typical XRD spectra of water quenched, a) AIR and, b) VR composites after soaking at 1000 °C and water quenched.

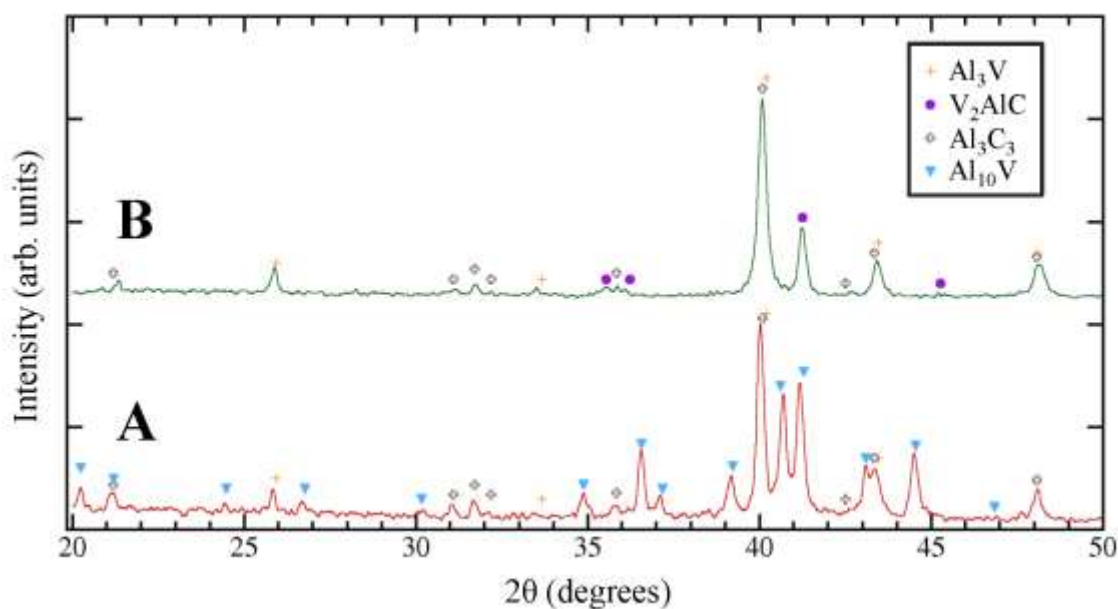


**Figure 29.** Secondary electrons SEM image of a water quenched AIR composite after soaking at 1000 °C for 2.5 h. (a) Imaging at low magnification demonstrates homogeneity of the composite, with some large pores throughout. (b) Higher magnification imaging demonstrates V<sub>2</sub>AlC grains dispersed among Al particles that densified and those that did not break their oxide shell, verified by backscattered electrons image (inset).



**Figure 30.** Secondary electrons SEM image of a water quenched VR composite after soaking at 1000 °C for 2.5 h. (a) Imaging at low magnification demonstrates the porosity of the sample. (b) Higher magnification imaging demonstrates clumps of V<sub>2</sub>AlC grains held together by Al particles, verified by backscattered electron image (right).

Somewhat surprisingly, the AIR and VR samples allowed to furnace cool after 10 h at 1000 °C were not found to contain  $V_2AlC$  in an Al matrix (Fig. 31). Rather, the AIR sample was primarily  $Al_3V$  and Al-rich intermetallics ( $Al_{23}V_4$ ,  $Al_{45}V_7$  and  $Al_{21}V_2$ ), with trace amounts of  $Al_4C_3$  (Fig. 31a). The latter, was comprised of  $V_2AlC$ ,  $Al_3V$  and some  $Al_4C_3$  (Fig. 31b).

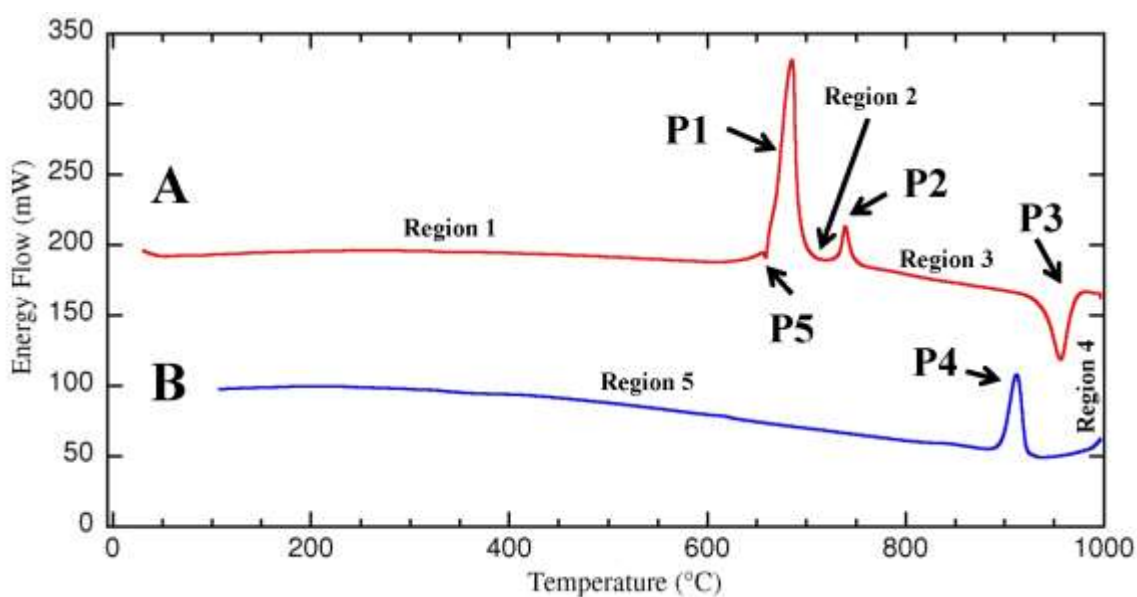


**Figure 31.** Typical x-ray diffraction spectra for furnace cooled, a) AIR and, b) VR composites that no longer demonstrate the coexistence of  $V_2AlC$  with Al.

### 6.1.2. Differential scanning calorimetry

The DSC results for the DSC-WQ sample (Fig. 32) demonstrated 2 distinct exothermic peaks (labeled in Fig. 32a as P1 and P2, respectively) and 1 endothermic peak (labeled P3 in Fig. 32a) during heating; and 1 exothermic peak during cooling (labeled P4 in Fig. 32b). Additionally, a possible endothermic peak (labeled P5 in Fig. 32a) was identified at the leading edge of P1 at  $T = 659$  °C (Fig. 32a). The maximum of P1

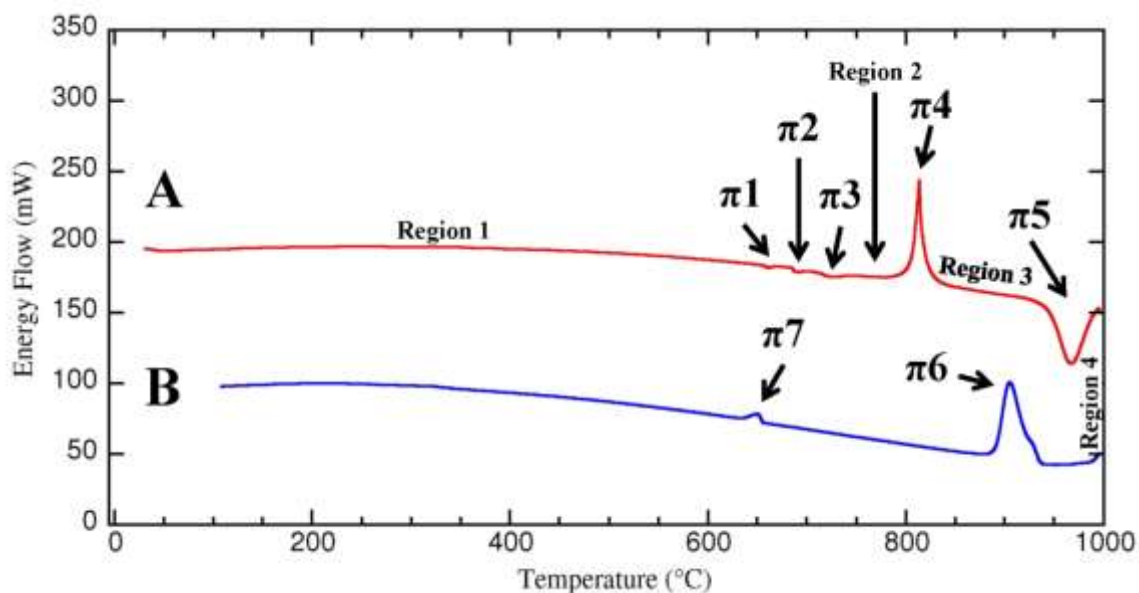
occurred at  $T = 685$  °C. The second exothermic peak, P2, had an onset temperature of approximately 731 °C and reached a maximum at 739 °C. The endothermic peak, P3, began when  $T \approx 940$  °C and ended by  $T \approx 970$  °C. The only peak observed during cooling, P4, began when  $T \approx 924$  °C and ended at  $T \approx 894$  °C. Note the similar magnitudes and ranges of P3 and P4. Their areas were calculated to be the same within 10 %.



**Figure 32.** DSC curve for the DSC-WQ sample demonstrating two exothermic peaks and one endothermic peak during heating (a) and one exothermic peak during cooling (b). The heating and cooling curves were separated for clarity. The linear offset from 0 is +200 and +100 for the heating and cooling curve, respectively.

The DSC curve for the furnace cooled sample, DSC-FC, exhibited three small endothermic peaks (labeled as  $\pi_1$ ,  $\pi_2$  and  $\pi_3$ , respectively, in Fig. 33a), one sharp exothermic peak (labeled  $\pi_4$  in Fig. 33a) and a larger endothermic peak (labeled  $\pi_5$  in Fig. 33a) during heating. During cooling, two exothermic peaks were identified ( $\pi_6$  and

$\pi_7$  in Fig. 33b). The minimum of  $\pi_1$  occurred at  $T = 660$  °C and spanned  $T \approx 657 - 666$  °C. The onset temperature of  $\pi_2$  was  $T \approx 686$  °C and spanned to  $T \approx 695$  °C.  $\pi_3$  was found to be broader than  $\pi_1$  or  $\pi_2$ , spanning from  $T \approx 710-740$  °C, with the minimum occurring at  $T = 727$  °C. The exothermic peak,  $\pi_4$ , had a maximum at  $T = 814$  °C, having the range  $T = 798 - 829$  °C. The larger endothermic peak,  $\pi_5$ , began at  $T = 943$  and ended by  $995$  °C, the minimum occurred at  $T = 968$  °C. This peak was similar in magnitude to the exothermic peak,  $\pi_6$ , that began during cooling at  $T = 935$  °C and continued to  $T = 887$  °C. Again, note the similar magnitudes between the exothermic peak,  $\pi_5$ , and endothermic peak,  $\pi_6$ . Their areas were calculated to be the same within 10 %. A second small exothermic peak observed during cooling,  $\pi_7$ , began at  $T = 657$  °C, climaxed at  $T = 651$  °C and had finished by  $T = 636$  °C.

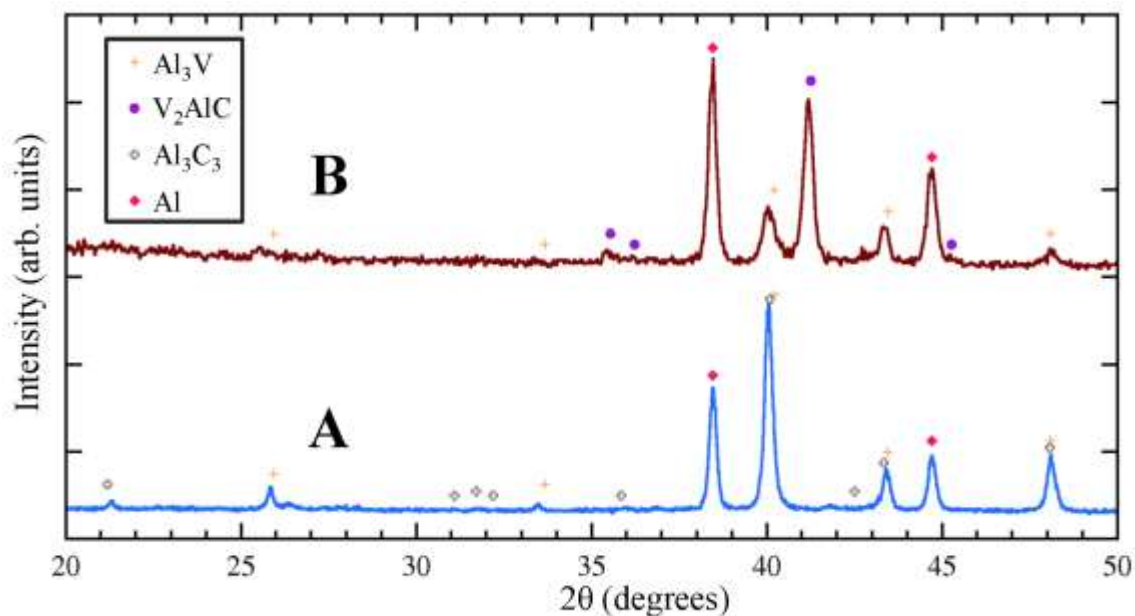


**Figure 33.** DSC curve for the DSC-FC sample demonstrating one exothermic peak and one endothermic peak during heating (a) and two exothermic peaks during cooling (b). The heating and cooling curves were separated for clarity. The linear offset from 0 is +200 and +100 for the heating and cooling curve, respectively.



### 6.1.3. Equilibration experiments

After equilibration of the DSC-WQ sample at 800 and 1000 °C, XRD was used to confirm the phase relations (Fig. 34). At 800 °C the equilibrium phases were Al, Al<sub>3</sub>V and Al<sub>4</sub>C<sub>3</sub> (Fig. 34a). At 1000 °C, the equilibrium phase relations – Al, Al<sub>3</sub>V and V<sub>2</sub>AlC (Fig. 34b) – replicated those reported by Schuster, et al <sup>[30]</sup>. Furthermore, it was found that transitioning between these equilibria was fully reversible after cyclic heating between 800 and 1000 °C.



**Figure 34.** Typical diffraction patterns of DSC-WQ sample after equilibration at, a) 800 °C and, b) 1000 °C.

## 6.2. Discussion

### 6.2.1. Al-MAX Composites

MMCs attempt to combine the best properties of the matrix and reinforcement phase. Strong, lightweight, fracture resistant materials are desirable to various industries, and some MMCs may exhibit better mechanical and thermal properties than conventional materials. Additionally, having a readily machinable, near net-shape MMC component would be more easily incorporated into current industrial technologies.

The attractive mechanical properties of Mg-MAX composites [20, 29] spurred interest in Al-MAX composites. While  $V_2AlC$  was reported to be in thermodynamic equilibrium with Al at 1000 °C [30], no investigation of synthesizing two phase Al- $V_2AlC$  composites was found in the literature. The phase stability of  $V_2AlC$  with Al was also unreported. Herein, we investigated the fabrication of Al- $V_2AlC$  composites, as well as their equilibrium relations below 1000 °C.

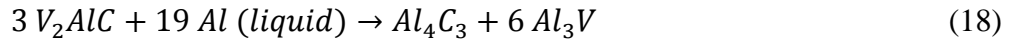
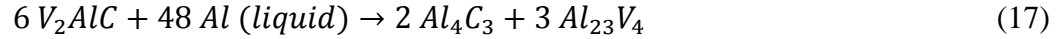
$V_2AlC$  was successfully formed *in situ* in the Al matrix. The volume fractions of the quenched AIR and VR composites, as determined from Rietveld analysis, were similar to those expected from their nominal compositions. The low relative density of the AIR (< 90 % dense) and VR (< 60 % dense) composites may be the result of several factors. 1) There is a positive volume change associated with the formation of  $V_2AlC$  (see below); 2) many Al particles do not break their oxide shells to form a molten matrix; and, 3) any grain coarsening process would also increase pore size. The absence of a continuous liquid phase throughout the sample (Fig. 29) cannot promote liquid phase sintering after the formation of the MAX phase, and any pores that form as a result of the

volume expansion may not be filled by liquid Al. It is well known that Al powders are not easily sintered and that reducing agents (Mg, Sn, Zn) are necessary to disrupt the aluminum oxide layer<sup>[69]</sup>. Our results suggest that the presence of carbide phases or Al-V intermetallics do not significantly increase the wetting of Al. Poor wetting by Al is also encountered when fabricating MMCs with other carbides, such as TiC, B<sub>4</sub>C and SiC<sup>[31]</sup>. Increasing the density of Al-V<sub>2</sub>AlC composites is requisite for maximizing mechanical properties and may be achieved by disrupting the oxide layer of the Al particles, but is beyond the scope of this study.

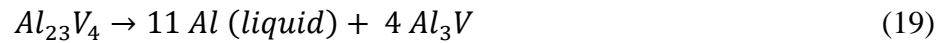
### 6.2.2. Phase stability and reaction

The stability of V<sub>2</sub>AlC with Al was assessed using DSC (Figs. 32 and 33). Based on these results, together with knowledge of the Al-V binary system and the Al-V-C ternary phase diagram (Fig. 7), the following reaction paths for the DSC-WQ and DSC-FC samples were surmised.

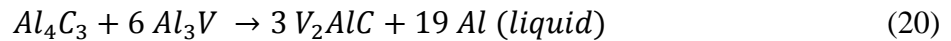
The starting phases of the DSC-WQ sample were V<sub>2</sub>AlC, Al, and Al<sub>3</sub>V; these are the phases present in region 1 (Fig. 32). The exothermic peak, P1, may first seem surprising if Al is expected to melt; however, it is more likely that this is the decomposition of V<sub>2</sub>AlC into Al<sub>4</sub>C<sub>3</sub> and Al-rich intermetallics. Note that some Al may melt, suggested by the presence of P5, but this endothermic process may be masked by the large exothermic reaction between V<sub>2</sub>AlC and Al. The temperatures that P1 span (660 – 700 °C) agree with the temperatures reported for the existence of Al<sub>21</sub>V<sub>2</sub>, Al<sub>45</sub>V<sub>7</sub> and Al<sub>23</sub>V<sub>4</sub><sup>[41]</sup>. As our system is more V-rich, Al<sub>23</sub>V<sub>4</sub> is expected to be the equilibrium phase, making the most likely reactions:



Following the decomposition of the MAX phase, region 2 likely contains the phases  $Al_4C_3$ ,  $Al_3V$  and  $Al_{23}V_4$ . The melting of the Al-rich intermetallic to form liquid Al and  $Al_3V$  ( $T = 734$  °C) may be clearly identified as P2, and proceeds according to the reaction:



Region 3 then contains  $Al_4C_3$ ,  $Al_3V$  and liquid Al. These phases remain stable until P3 ( $T \approx 940$  °C), at which point  $Al_4C_3$  and  $Al_3V$  react to form  $V_2AlC$  and liquid Al, according to:



Region 4 is then in agreement with the reported ternary phase diagram at 1000 °C (Fig. 7) <sup>[30]</sup>.

The associated volume change,  $\Delta V$ , with Eq. 4 is  $+41.4 \text{ \AA}^3$ , as determined by:

$$\Delta V = \sum_{products} n_p V_p F_p^{-1} - \sum_{reactants} n_r V_r F_r^{-1} \quad (21)$$

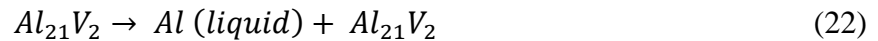
Where  $n$  is the number of moles (formula units, #),  $V$  is the volume per unit cell ( $\text{\AA}^3/\text{unit cell}$ ), and  $F$  is the number of formula units per unit cell ( $\#/\text{unit cell}$ ). The subscript  $p$  and  $r$  stand for *product* and *reactant*, respectively. The constituent values are listed in Table 6.

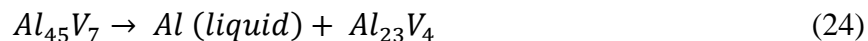
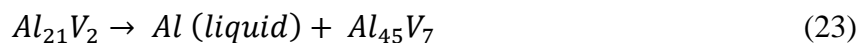
**Table 6.** Parameters used in determining the volume change of in situ forming  $V_2AlC$ .

Component	n (#)	V ( $\text{\AA}^3$ /unit cell)	F (#/unit cell)
$Al_4C_3$	1	241.6 <sup>[70]</sup>	3 <sup>[70]</sup>
$Al_3V$	6	119.9 <sup>[71]</sup>	2 <sup>[71]</sup>
$V_2AlC$	3	111.8 <sup>[72]</sup>	2 <sup>[22]</sup>
Al	19	66.1	4

Upon cooling, P4 is indicative of Eq. 20 proceeding in the reverse direction at  $T = 924$  °C. Region 5 then has the same stable phases as region 3. The observed hysteresis (different temperature ranges between P3 and P4) may be attributed to an activation energy necessary to initiate the reaction. The lack of any other peaks during cooling, especially below 800 °C, is unexpected, as liquid Al must solidify or react at some point, presumably by 660 °C. It is possible that the Al slowly oxidized during testing and that no liquid Al existed during cooling. This hypothesis was corroborated by the observed increase in mass (1.2 wt. %) and XRD of the sample after testing, which demonstrated notable  $Al_2O_3$  peaks.

The starting phases of the DSC-FC sample were  $Al_4C_3$ ,  $Al_3V$  and Al-rich intermetallics (predominantly  $Al_{23}V_4$ ); these are the phases present in region 1 (Fig. 33a). The small endothermic peaks,  $\pi_1$ - $\pi_3$ , agree well with the melting temperatures of Al/ $Al_{21}V_2$  ( $T = 660/664$  °C),  $Al_{45}V_7$  ( $T = 687$  °C) and  $Al_{23}V_4$  ( $T = 721$  °C), respectively, and have the following reactions:

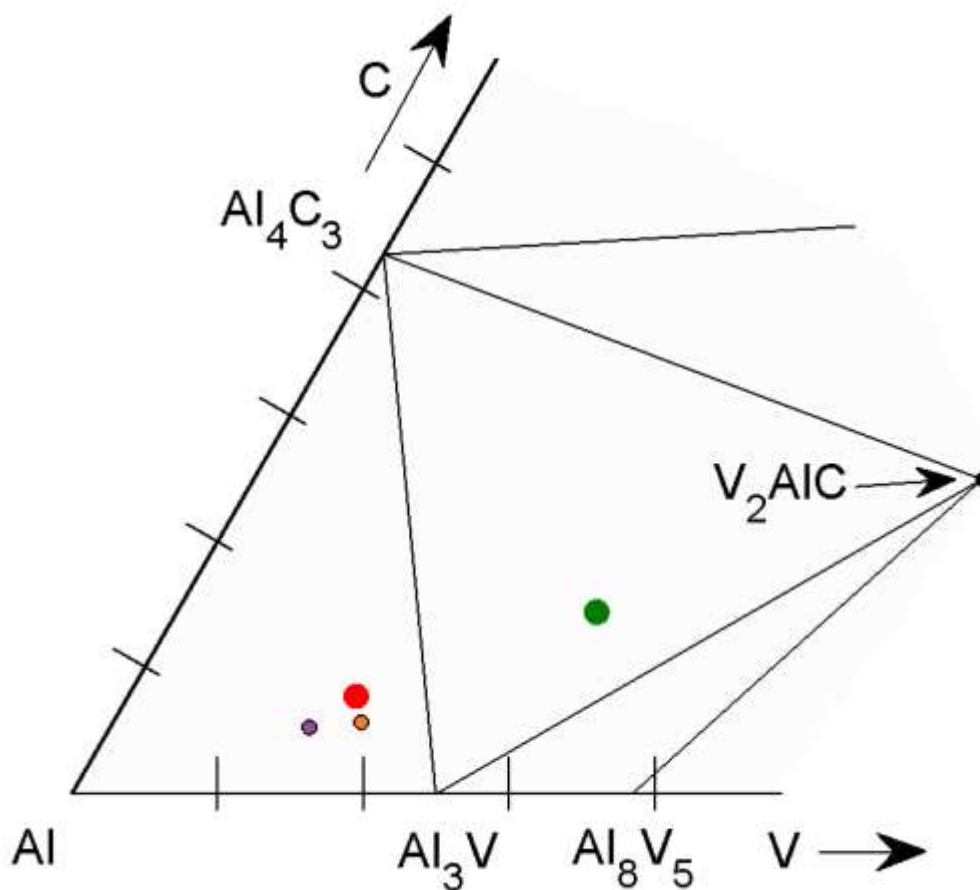




Therefore, the phases in region 2 (Fig. 33a) are  $Al_4C_3$ ,  $Al_3V$ ,  $Al_{23}V_4$ , and trace amounts of liquid Al. The sharp exothermic peak,  $\pi_4$ , must then be the same reaction as Eq. 3. The reason that this peak is shifted to a higher temperature than in the DSC measurements of the DSC-WQ sample is unknown; however, it seems likely that there would be less of a driving force for reaction during heating in the DSC-FC system because it was closer to equilibrium at lower temperatures (due to re-equilibration during furnace cooling). Thus, a larger activation energy may have been needed before the reaction (Eq. 3) could proceed.  $\pi_5$  (Fig. 33) is clearly the same as P3 in Fig. 32, with the onset temperature  $T \approx 940$  °C, and is indicative of reaction 4. The first peak observed during cooling,  $\pi_6$ , was similar to P4 in Fig. 32 and confirmed the reversibility of Eq. 4 in this sample. Lastly,  $\pi_7$  shows that the liquid Al did solidify below  $T = 660$  °C. The change in mass of this sample was  $< 0.3$  wt. %, indicating that oxidation may not have been as severe.

### 6.2.3. Phase equilibria

Based on the totality of our XRD (Fig. 34a), SEM and DSC (Fig. 32) results of the equilibrated DSC-WQ composition at 800 °C, it is reasonable to conclude that the 3 apexes of this equilibrium triangle are: Al,  $Al_3V$  and  $Al_4C_3$ . An isothermal section of the Al-V-C phase diagram in the Al-rich corner has been constructed for 800 °C (Fig. 35). The starting molar composition (purple and orange points in Fig. 35), clearly falls within this equilibrium triangle. XRD after equilibration at 1000 °C (Fig. 34b) confirmed the phase relations – Al,  $Al_3V$  and  $V_2AlC$  – reported by Schuster, et al <sup>[30]</sup>.



**Figure 35.** Phase equilibria in the Al-rich corner of the Al-V-C system at 800 °C. The nominal compositions of the AlR and VR composites are red and green points, respectively. Composition of the DSC-WQ sample as determined by Rietveld analysis of both 800 °C (green point) and 1000 °C (orange point) equilibration samples.

The discrepancy between the calculated starting molar composition, as determined by Rietveld analysis of the samples equilibrated at 800 and 1000 °C, may be the result of low intensity aluminum carbide peaks in the diffraction patterns acquired after equilibration at 800 °C. This could underestimate the amount of carbon in the system and increase the Al:C ratio. Another possibility is that some Al may have evaporated or may have oxidized during equilibration at 1000 °C, lowering the Al:C ratio. Nevertheless, as

both compositions lie within the *same* equilibrium triangle at 800 and 1000 °C, this discrepancy in no way affects the conclusions of this study.

### 6.3. *Summary of findings*

- The synthesis of Al-V<sub>2</sub>AlC composites was achieved by quenching from 1000 °C after soaking for 0.5, 2.5 or 10 h. Furnace cooled samples did not produce Al-V<sub>2</sub>AlC composites.
- Differential scanning calorimetry demonstrated the instability of V<sub>2</sub>AlC with liquid Al below  $\approx 950$  °C and a reaction mechanism was proposed to explain both DSC and XRD results.
- An isothermal section of the Al-V-C phase diagram in the Al-rich corner has been constructed for 800 °C. Both Al and V<sub>2</sub>AlC were found to be in equilibrium with Al<sub>3</sub>V and Al<sub>4</sub>C<sub>3</sub>, but not with each other.



## Chapter 7.

### Summary and Conclusions

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The development of new MMCs is necessary for the progression of aerospace, automotive, power, and other industries. The high hardness typical of boride and carbide-containing composites also makes them attractive for high wear applications. Their chemical stability and neutron absorption properties render them appealing in nuclear and other demanding industrial settings. The attractive properties of MAX phases make them a desirable reinforcement phase in MMCs. Previous work with Mg-MAX composites spurred research in Al-MAX composites.

The development of Al-Ti<sub>2</sub>AlC composites has been demonstrated to be unsuccessful using the melt infiltration technique, however, the use of B<sub>4</sub>C has been demonstrated to increase the infiltration kinetics in the Ti<sub>2</sub>AlC-B<sub>4</sub>C-Al system between 900 – 1050 °C. As Ti<sub>2</sub>AlC was found to react with Al at each investigated temperature, the characterization of phase equilibria for two compositions and a reaction mechanism were investigated. The results of this study demonstrate the equilibrium of Al-Al<sub>3</sub>BC-TiB<sub>2</sub> and Al-TiC-TiB<sub>2</sub> in the quaternary system at 1000 °C, as well as the range in stoichiometries for each of these equilibrium planes (and their tetrahedron, with Al<sub>4</sub>C<sub>3</sub> as the fourth apex). This investigation is a requisite step for the development of advanced composites in the Al-Ti-B-C system.

To avoid reactions with Al, V<sub>2</sub>AlC was selected because of its reported thermodynamic equilibrium with Al at 1000 °C. *In situ* formed, two phase composites

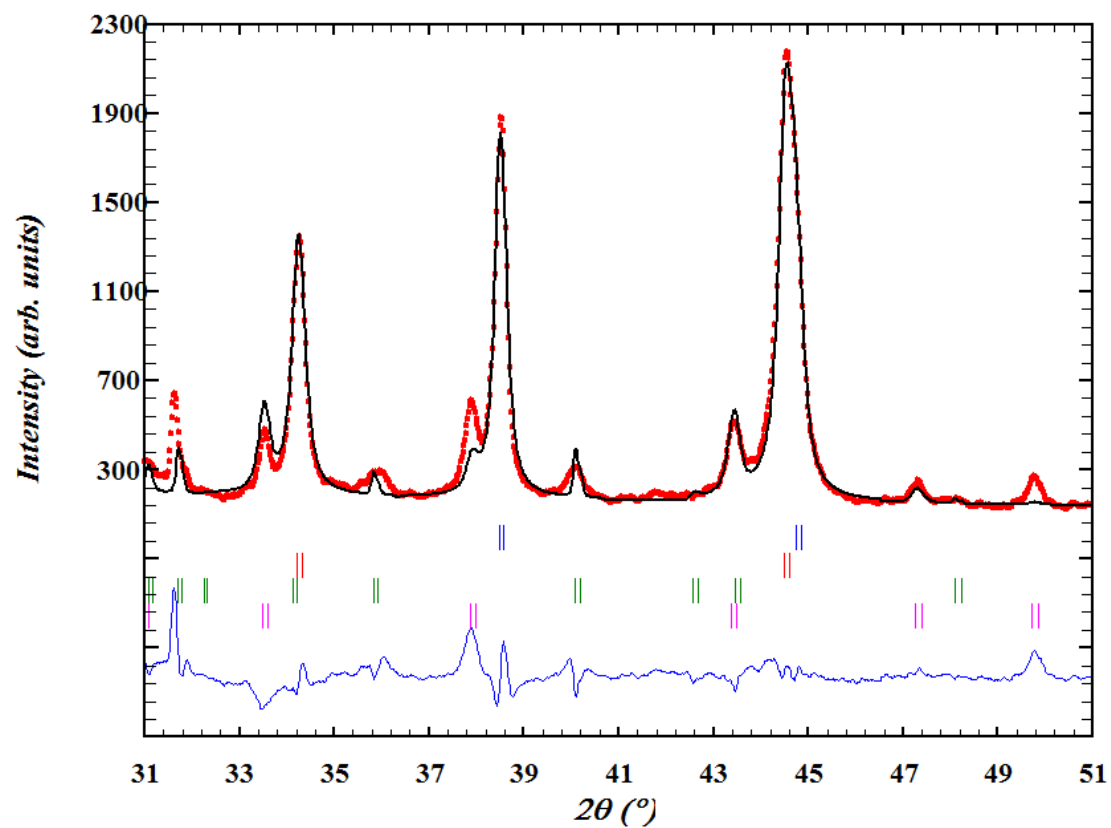
were successfully developed in this system by quenching from 1000 °C; however, full density was not achieved. Furnace cooled samples did not demonstrate the Al-V<sub>2</sub>AlC phase equilibrium. DSC results demonstrated the instability of V<sub>2</sub>AlC with liquid Al below  $\approx$  950 °C. An isothermal section of the Al-rich corner of the Al-V-C phase diagram was characterized at 800 °C. The results of this study are necessary to selecting the processing conditions required for fabricating Al-V<sub>2</sub>AlC composites.

## Chapter 8.

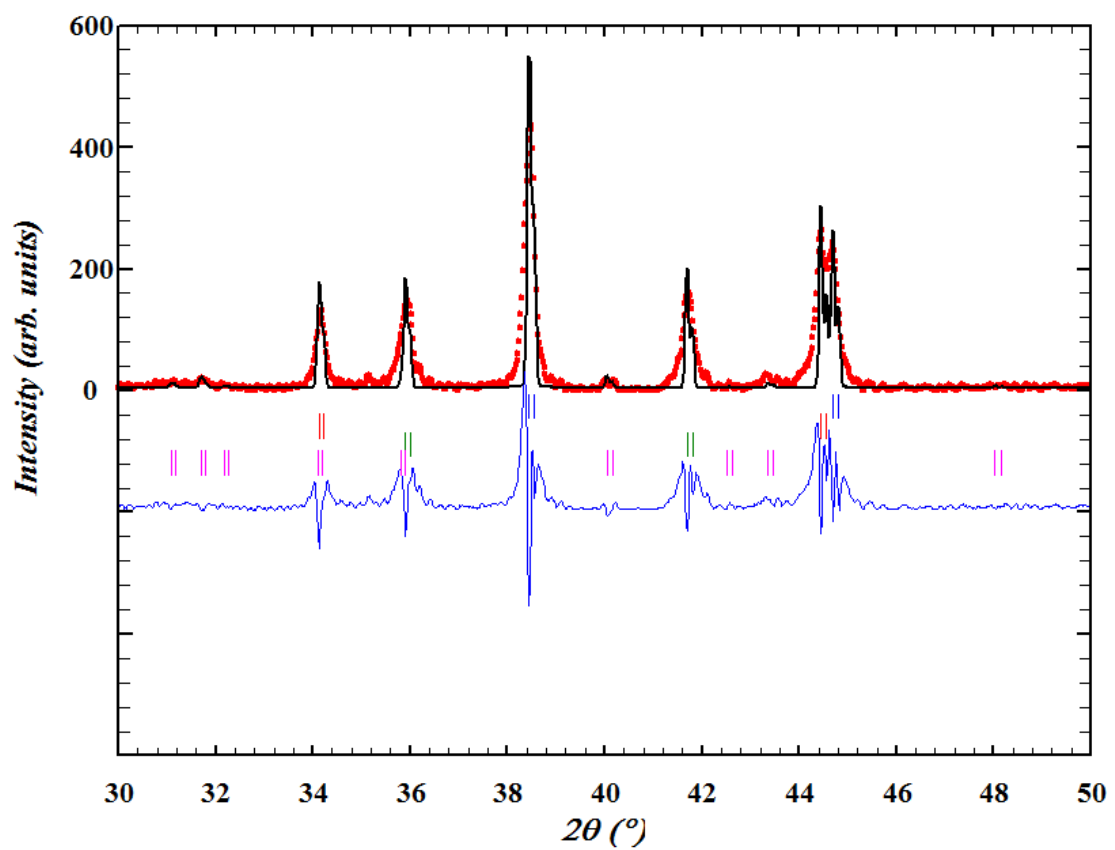
### Appendix A

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#### A1. Rietveld refinement of BR composite

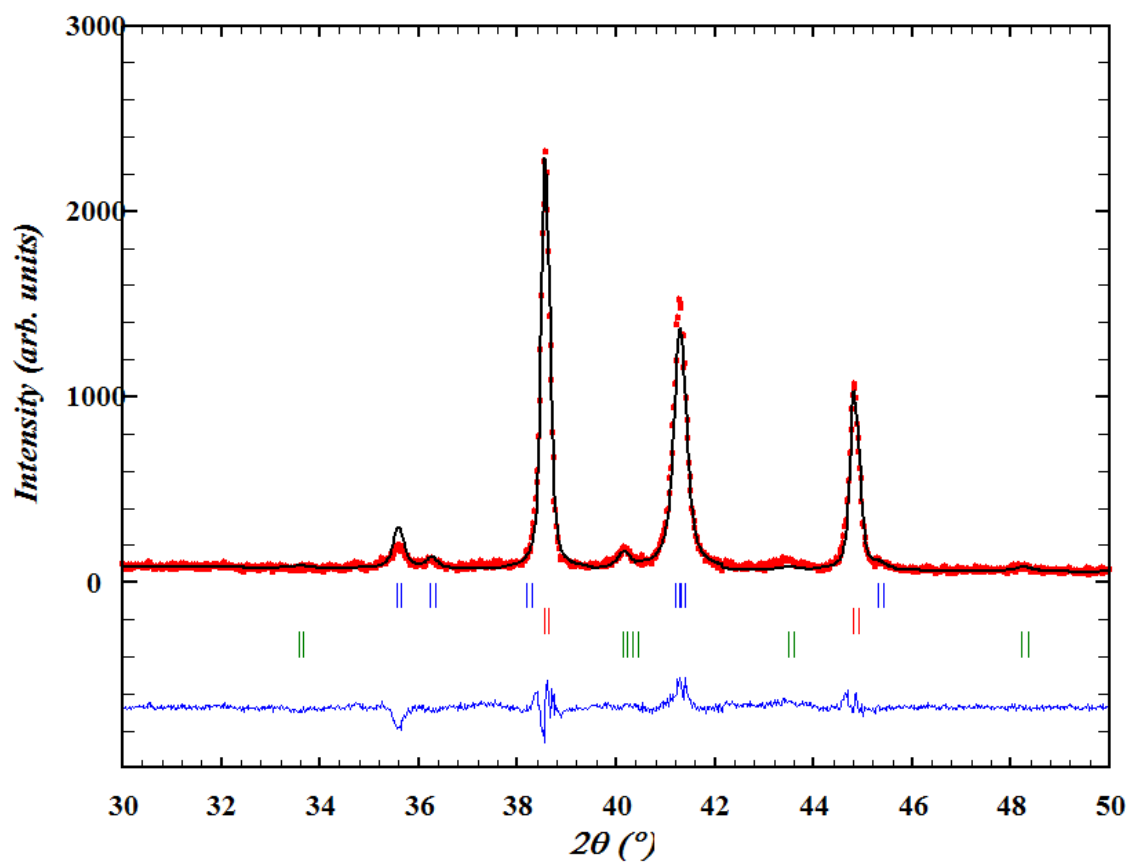


**Figure A1.** Rietveld refinement of the BR composite (black) compared to the diffraction pattern (red). The difference pattern is shown in blue.

*A2. Rietveld refinement of TiR composite*

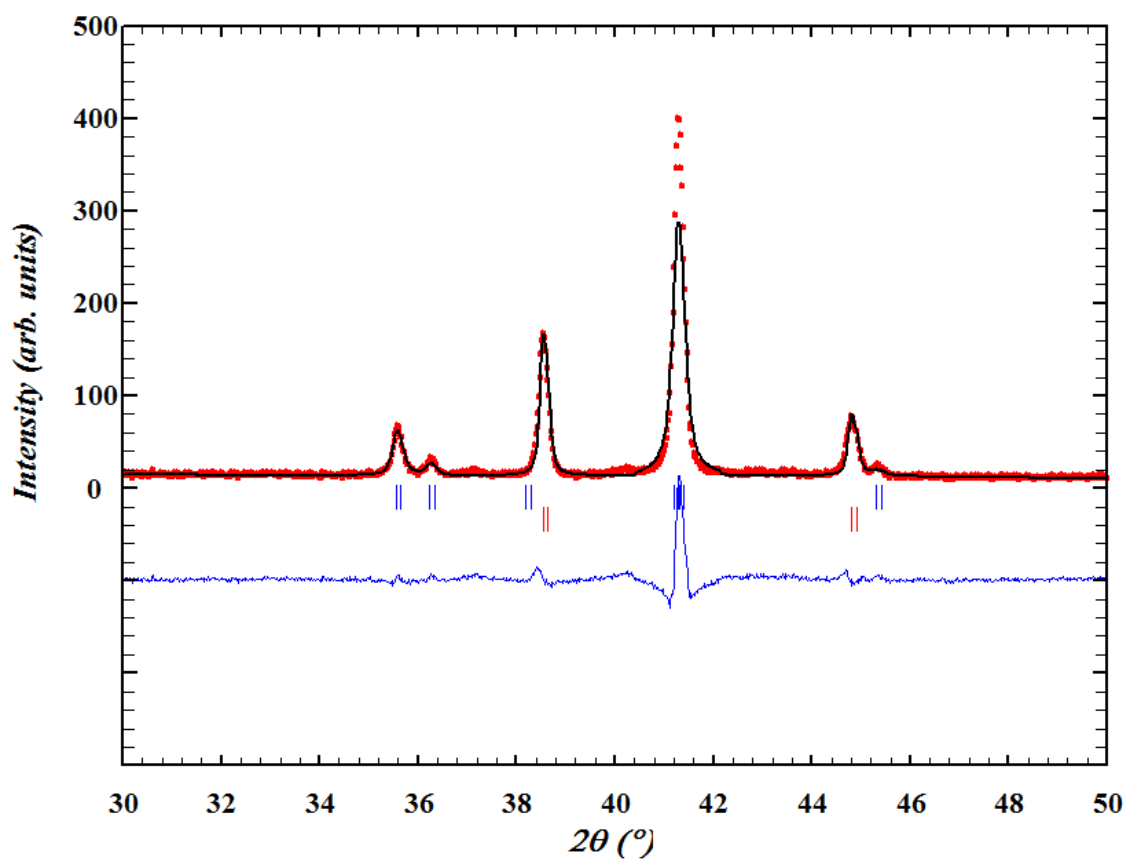
**Figure A2.** Rietveld refinement of the TiR composite (black) compared to the diffraction pattern (red). The difference pattern is shown in blue.

### A3. Rietveld refinement of AlR composite



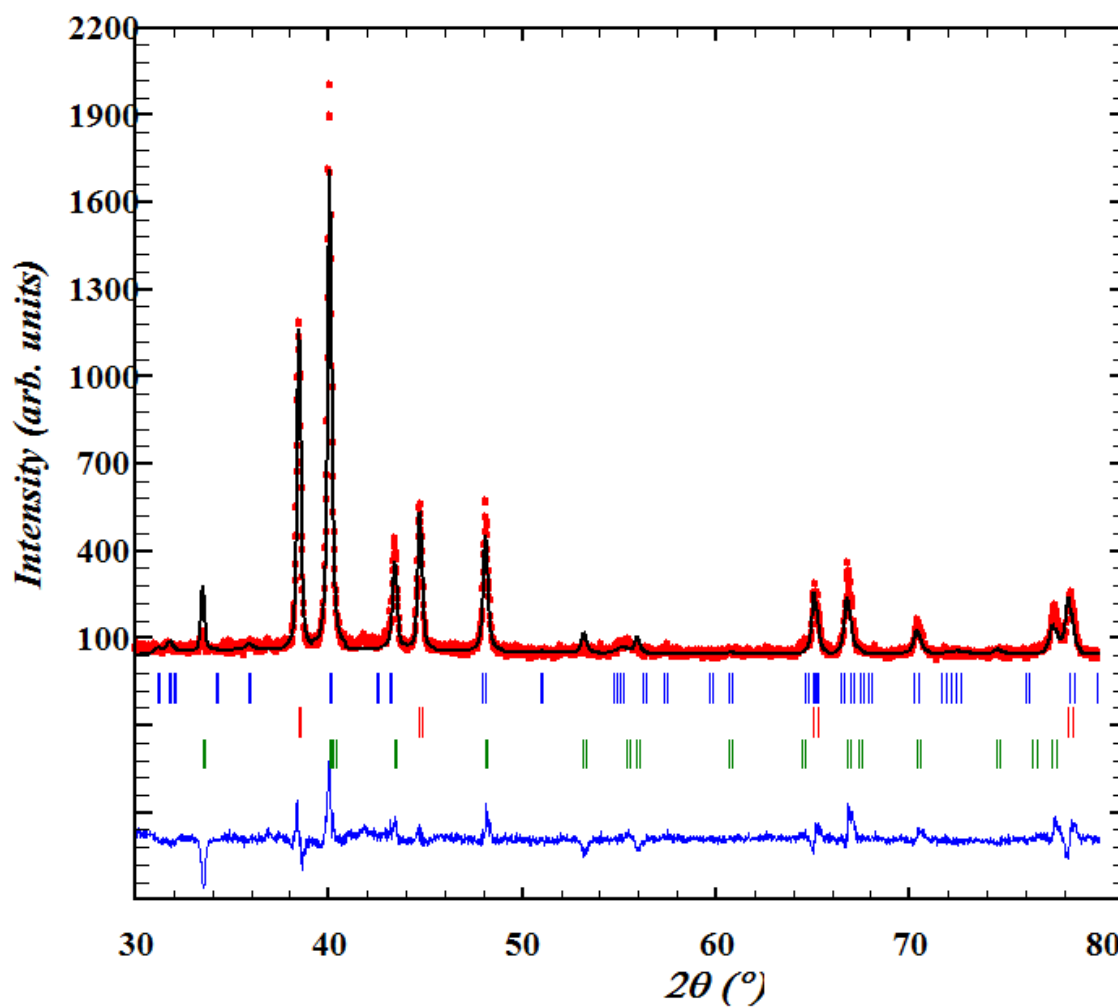
**Figure A3.** Rietveld refinement of the AlR composite (black) compared to the diffraction pattern (red). The residual difference is shown in blue.

#### A4. Rietveld refinement of VR composite

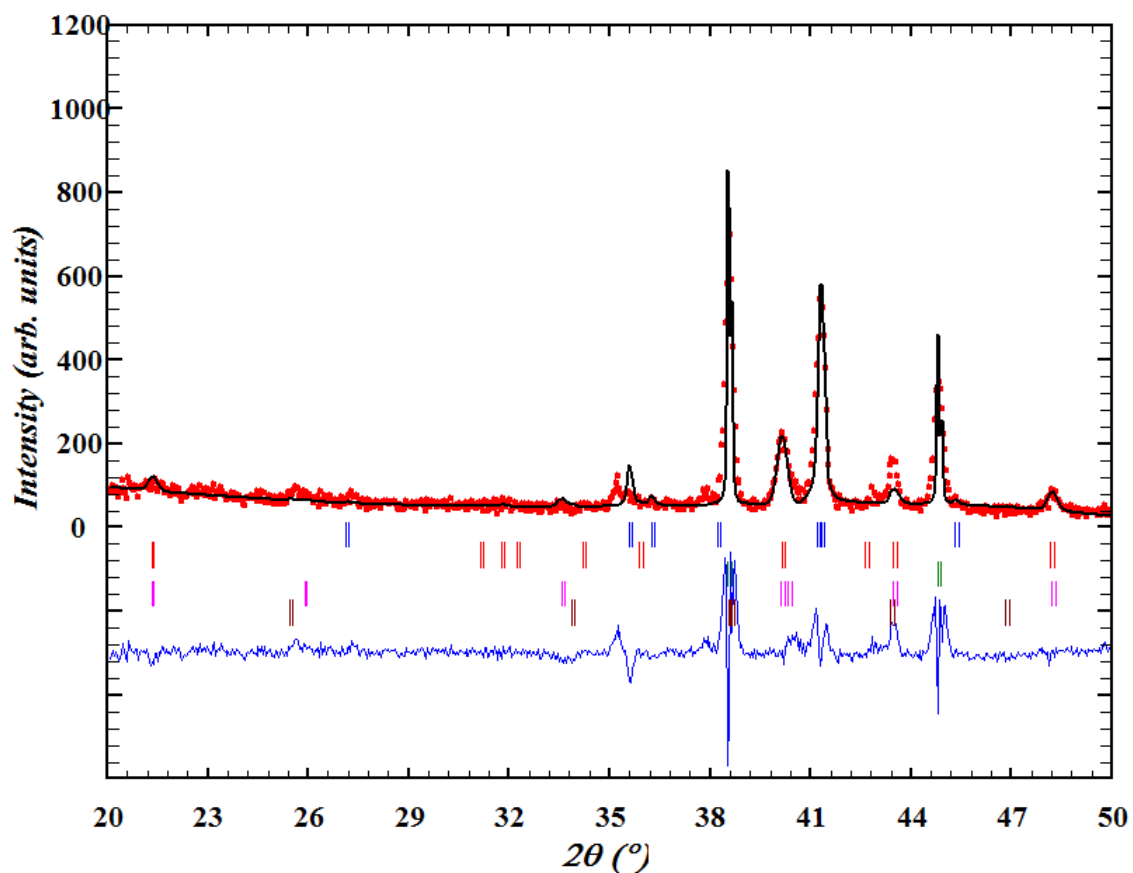


**Figure A4.** Rietveld refinement of the VR composite (black) compared to the diffraction pattern (red). The difference pattern is shown in blue.

*A5. Rietveld refinement of DSC-WQ composite equilibrated at 800 °C*



**Figure A5.** Rietveld refinement of the DSC-WQ composite (black) equilibrated at 800 °C compared to the diffraction pattern (red). The difference pattern is shown in blue.

*A6. Rietveld refinement of DSC-WQ composite equilibrated at 1000 °C*

**Figure A6.** Rietveld refinement of the DSC-WQ composite (black) equilibrated at 1000 °C compared to the diffraction pattern (red). The difference pattern is shown in blue.



## Chapter 9.

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