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1 TITLE: First birth of a healthy infant following Intra-cytoplasmic Sperm Injection using a new 2 permeable cryoprotectant-free sperm vitrification protocol

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11 ABSTRACT

PURPOSE: The purpose of this study is to present the first birth of healthy infant born following
ICSI using the new permeable cryoprotectant-free sperm vitrification protocol Easy-Sperm[®].

PRINCIPAL RESULTS: A 39 years old woman and his 40 years old partner underwent egg donation treatment at IVF-Spain Alicante (Spain). Half of the mature oocytes obtained from a young and healthy donor were fertilized by ICSI, using slow-frozen spermatozoa and the other half with vitrified spermatozoa. A total of 5 blastocysts were obtained on day 5 (3 resulting from vitrified spermatozoa and 2 from frozen sperm). The best embryo, with AA quality (derived from one of the oocytes fertilized with vitrified sperm) was transferred. The woman conceived and, following a normal pregnancy, delivered a healthy boy.

CONCLUSIONS: To the best of our knowledge, this is the first case report of a successful
 pregnancy and delivery of a healthy infant from ICSI with permeable vitrified spermatozoa in an
 oocyte donation program with transfer on blastocyst stage.

- 24 KEY WORDS: sperm vitrification, sperm cryopreservation, oocyte donation, blastocyst, live birth.
- 25 Sperm cryopreservation is an essential procedure of Assisted Reproduction Technologies (ART)26 important to preserve male fertility. Currently, the most commonly used technique for sperm

cryopreservation is slow freezing [7]. Nevertheless, this technique has been shown to producedramatic changes in sperm quality at both structural and functional levels [2,4]

During the last years, vitrification has been proposed as a better alternative to conventional freezing in many cell types [8]. This technique is based on the ultra-rapid descent and rise of temperatures avoiding crystal formation and its associated effects [5]. Vitrification has shown improved cell survival rates and reduced cell damage. This technique has been widely used in oocyte and embryos but it has been hardly applied in human sperm.

Recently, the development of a new permeable cryoprotectant-free vitrification protocol 34 (Easysperm®, iGLS S.L., Alicante, Spain) for human spermatozoa provided an enhanced and 35 36 reliable alternative for sperm cryopreservation [1]. This novel method has been tested in 37 normozoospermic samples rendering better preservation of sperm quality on all the parameters studied (motility, vitality, DNA structure, acrosome reaction, morphology and cytoskeleton) 38 39 compared to slow freezing [1]. At our centre (IVF-Spain Alicante, Spain) we have successfully 40 used this protocol to cryopreserve and use for fertilisation a sperm sample from a couple whose 41 female partner (39 years old) had ovarian failure and was recommended egg donation 42 treatment with his partner's (40 years old) sperm. The cycle treatment was initiated in 2016. 43 Sperm sample analysis before cryopreservation showed normal volume (1.7ml), concentration (>150x 10⁶/ml), motility (47% progressive motility and 14% non-progressive motility) and 44 10.67% of sperm DNA fragmentation, as assessed by Sperm Chromatin Structure Assay (SCSA). 45 Sperm cryopreservation was performed using two techniques: conventional slow freezing and 46 the new sperm vitrification kit EasySperm[®] (iGLS, Alicante, Spain). In brief, for slow freezing 47 48 GM501 SpermStore[®] (Gynemed, Lensahn, Germany) was used. Following manufacturer's 49 instructions, the sperm sample was mixed with the medium (proportion 1:0.7). The mixture was 50 equilibrated during 10min at RT and then introduced into a straw and sealed. Straws were 51 frozen just above the level of the liquid nitrogen during 15 min and then stored in a LN_2 tank. For sperm vitrification, the sperm sample was diluted with EasySperm® vitrification medium 52 53 (V1)1:1. The mix was maintained at 37°C during 5min before the cooling procedure was 54 performed. Vitrification was carried out in a sterile container filled with 75-100ml of LN₂. Around 20µl aliquots of spermatozoa suspension were dropped directly into liquid nitrogen 55 56 (LN_2) . Upon contact with the LN_2 , a sphere is immediately formed. The spheres were retrieved using a special metal strainer, transferred to 1.8ml cryotubes (Nunc, Roskilde, Denmark) and 57 58 stored in LN₂ tank.

59 Ovarian stimulation of the selected oocyte donor was achieved using the GnRH antagonist 60 protocol. On day 3 of the cycle, the donor was stimulated by recombinant follicle-stimulating 61 hormone (225 IU.Puregon[®]; MSD, Ballerup, Denmark). A daily GnRH-antagonist (Orgalutran[®]; MSD, Ballerup, Denmark) dose of 0.25mg was used starting on stimulation day 6 and was 62 63 administered until de day of ovulation induction. Procrin 0.2 was used to induce final oocyte 64 maturation when 3 follicles ≥19mm were present. Estradiol and progesterone levels on the day 65 of trigger were 6056pg/ml and 2.10ng/ml, respectively. The egg retrieval was performed 36h 66 after trigger.

The cycle previous to the egg retrieval, the patient injected Decapeptyl[®] 3.75 (Ipsen Pharma
S.A, Signes, France). Endometrial preparation for embryo transfer was performed using
estrogens 6mg (Provamés[®] 2mg ; Sanofi Aventis, Osny, France) from the third day of her cycle
until the day of egg retrieval, where progesterone 800mg (Utrogest[®]; Dr. Kade, Besins Pharma
GmbH, Berlin, Germany) was added until the 12th week of pregnancy.

On the egg retrieval day, the man was not present, so the previously cryopreserved sperm samples were used for fertilization. The vitrified sperm sample was warmed following Easy-Sperm® manufacturer's instructions using 2ml of the previously warmed (37°C) V2 solution provided in the kit. After warming, the sample was maintained at 37°C for 5 min before sperm preparation. Concentration after warming was 120mill/ml and progressive motility (A+B) 40%. Frozen sperm sample was thawed at room temperature. Concentration after thawing was 150mill/ml and progressive motility (A+B) 35%.

79 Both, vitrified/warmed and frozen/thawed samples were prepared by density gradient (40/80; centrifugation 300g x 12min; PureSperm[®], Gynemed Lensahn, Germany) and then washed using 80 MHM-C (Multipurpose Handling Medium[®]-Complete, Irvine Scientific, California, USA; 81 82 centrifugation 600g x 8min). Supernatant of both samples was removed and sample was 83 capacitated by swim-up using MHM-c at RT. After 15 min, spermatozoa on the surface were collected yielding the following: vitrified/warmed spermatozoa preparation contained 0.3x10⁶ 84 85 spermatozoa per ml and 92% progressive motility; the prepared frozen/thawed sample contained 0.6x10⁶spz/ml and 93% progressive motility. 86

From the egg-donor, 15 cumulus-oocyte complex (COC) were collected. After combined GM501
Hyaluronidase (Gynemed, Lensahn, Germany) and mechanical stripping, a total of 12 MII
oocytes were identified. Six mature oocytes were fertilized via Intra-cytoplasmic Sperm Injection
(ICSI) with slow-frozen/thawed spermatozoa and the remaining 6 were injected with

91 vitrified/warmed spermatozoa. A total of 10 zygotes were obtained (6 derived from vitrified 92 spermatozoa fertilized oocytes and 4 from frozen spermatozoa injected oocytes). All embryos 93 were cultured in a benchtop incubator (Geri®, GeneaBiomedx, Sydney, Australia) using CSC (Continuous Single Culture with HSA, Irvine Scientific, California, USA). The embryos were 94 95 imaged by Geri[®] system and High, Medium and Low scores were calculated [10]. Five blastocysts were produced on day 5; two from ICSI using frozen sperm (blastocyst grade 1 and 96 97 Medium score and one blastocyst 4AC [3] and Low score and three resulting from oocyte 98 injection of vitrified spermatozoa (5AA, 4AA, 4AA [3] all of them High score). The best 99 morphological embryo (5AA) [3] was transferred on day 5 into the uterine cavity. The remaining 100 embryos were vitrified by Kitazato[®] (BioPharma Co., Shizuoka, Japan) on the same day. Ten days 101 after embryo transfer, beta-HCG in blood plasma was 457UI/ml. In August 2017, a healthy boy 102 was born after 36 weeks of pregnancy with normal height (49 cm) and weight (2500g) at birth.

103 Despite not being a routine procedure in most IVF clinics, sperm vitrification represents a viable 104 and efficient tool for sperm storage in ART patients. Sperm storage has been traditionally 105 performed in most centres worldwide by slow freezing [7]. Although widely used, slow freezing 106 protocols have been shown to produce dramatic changes in sperm quality at both structural 107 and functional levels as a result of the formation of ice crystals and the toxic effects caused by 108 the use of cryoprotectants [2, 4]. Moreover, the method is labor and time-consuming as it 109 requires cooling at very slow rates. Due to these disadvantages, new alternative methods have 110 been developed [7]. One of the most innovative of these methods is vitrification; this technique 111 is based on the ultra-rapid increase and decrease of temperatures with or without the use of 112 non-permeable cryoprotectants. The improvement of vitrification has already been widely 113 demonstrated in oocytes and embryos; this technique has been shown to be a successful 114 alternative to slow freezing in these type of cells confirmed by the birth of numerous healthy 115 babies after its use [8].

116 Nonetheless, vitrification of spermatozoa is still a rather unexplored methodology, with limited 117 studies showing its efficacy in male gametes. Recently published results from the use of the 118 permeable cryoprotectant-free vitrification protocol (Easy-Sperm[®], iGLS S.L., Alicante, Spain) on 119 normozoospermic sperm samples have shown improved preservation of sperm structure and 120 function compared to conventional slow freezing. Sperm motility, vitality, DNA integrity, 121 acrosome and cytoskeleton structure were significantly better preserved in vitrified samples [1].

Using this protocol, we here describe new data regarding the performance of vitrifiedspermatozoa on fertilization and developmental ability of the embryos produced, reporting

124 what it is, to our knowledge, the first clinical case of successful embryo development to day 5, 125 pregnancy and birth of a healthy neonate through egg donation treatment using this new 126 protocol. Other successful cases resulting from the application of different vitrification 127 techniques had already been published. In 2012 and 2013 the first births using human sperm 128 vitrified in the absence of permeable cryoprotectants and using 0.25µl straw were published [6, 129 9]. In contrast to the present report, in both cases, sperm was packaged into 0.25μ l straw and 130 embryos were not cultured to the blastocyst state, so the quality of embryos could not be 131 studied and only low volumes of samples could be stored. In the present case, long culture and 132 timelapse imaging was carried out so we could observe that the qualities and the kynetics of the 133 embryos produced were better than the embryos obtained using slow freezing.

134 This successful clinical case shows that sperm cryopreservation using the permeable 135 cryoprotectant-free vitrification protocol EasySperm® is a safe approach for sperm storage, 136 offering easy performance and improved sperm quality preservation at both structural and 137 functional levels [1] and can be successfully used for intracytoplasmic sperm injection to achieve normal pregnancy and birth. Vitrification of human spermatozoa becomes also a 138 139 promising alternative for sperm cryopreservation enabling the performance of fertilization 140 regardless of the availability of the male partner and guaranteeing good embryo development 141 and healthy offspring.

Further studies and clinical trials must be developed for comparison of slow freezing and vitrification on IVF cycles outcomes. In spite of the promising results obtained in the present study, the spread of sperm vitrification requires more detailed studies with larger number of cases and future monitoring of the baby's health.

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148 AUTHOR'S ROLES:

L.M. performed sperm vitrification, embryo culture and transfer and drafted the manuscript.
M.E drafted the manuscript. MJ.G-T participated in the revision of the manuscript. J.A
performed medical ART treatment, performed embryo transfer and supervised the manuscript
preparation.

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