

# Ka-band Full Duplex System with Electrical Balance Duplexer

For 5G Applications using SiGe BiCMOS Technology

by

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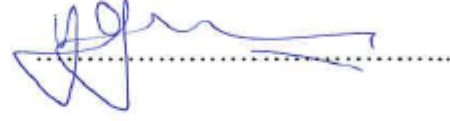
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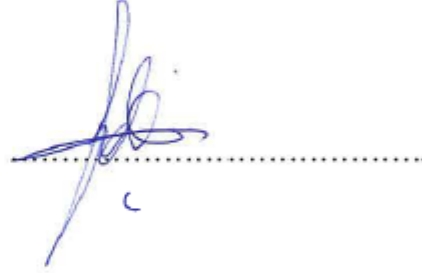
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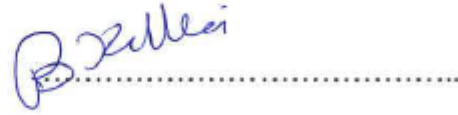
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Thesis Supervisor: Prof. Dr. Yasar GURBUZ

Keywords: Transceiver, Single Antenna, Full Duplex, Hybrid Transformer, Impedance Tuner, SiGe BiCMOS, Ka-band Integrated Circuits

## ABSTRACT

The current dominating communication system is 4G. However, with the increase in the data rate and in the number of users in the world, the 4G communication system has started to saturate and couldn't manage to keep up with user demands and there is less room for progress at 4G systems. In search of finding a system that covers the future interests of users, a new communication scheme is being processed as 5G. The next generation systems require wider bandwidth, high spectral efficiency, and less latency. For these goals, designs with higher frequency and full-duplex operation mode have been started to gain attention. Developments in SiGe HBT technologies -higher  $f_T$  and  $f_{max}$ - make them suitable for these challenges. Considering these trends which lead to the future of communication systems, in this thesis the design of Ka-band (25-32GHz) SiGe full duplex system with electrical balance duplexer for 5G applications is presented. This system is created by integrating a duplexer, an LNA, and a PA.

The electrical balance duplexer is realized by a hybrid transformer and a balancing network. The impedance of the antenna is mimicked by tuning the balancing network to provide high isolation between transmitter and receiver blocks. All the ports have better than 10dB return loss. Duplexer provides measured 39dB peak isolation at 28GHz, with 3.8dB insertion loss from the transmitter to the antenna and 4.7dB insertion loss from the antenna to receiver. The LNA achieves the measured gain of 15dB, NF of 3.5dB and OP1dB of 13.5dBm at 28GHz by including an input and an output BALUN transformer. The PA provides measured gain of 17dB and OP1dB of 14dBm at 28GHz.

# 5G Kullanım Alanları için SiGe BiCMOS

## Ka-bandında Tam Dupleks Sistem

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### ÖZET

Günümüzde hakim olan iletişim sistemi 4G'dir. Veri kullanımındaki ve dünyadaki kullanıcı sayısındaki artışla birlikte, 4G iletişim sistemi kullanıcıların isteklerine karşılık verememeye başlamıştır ve 4G teknolojilerinde gelişimin sonuna gelmiştir. Kullanıcıların gelecekteki isteklerini kapsayan bir sistem bulmak için, yeni bir iletişim sistemi geliştirilmelidir ve bu sistem 5G olarak belirlenmiştir. Yeni nesil sistemler daha yüksek bant genişliği, yüksek spektral verimlilik ve daha az gecikme gerektirmektedir. Son yıllarda, bu hedefler için daha yüksek frekanslı ve tam dupleks modlu tasarımlar ilgi toplamaya başlamıştır. SiGe HBT teknolojilerindeki gelişmeler -daha yüksek  $f_T$  ve  $f_{max}$ - bu teknolojiyi yeni nesil tasarımlara uygun hale getirmiştir. Haberleşme sistemlerinin geleceğine yön veren bu eğilimler göz önünde bulundurularak, bu tez, 5G uygulamaları için elektrik dengesi duplekseri ile Ka-band (25-32GHz) SiGe tam dupleks sisteminin tasarımını sunmaktadır. Bu sistem bir dupleksleyici, bir LNA ve bir PA'den oluşmaktadır.

Elektriksel denge dupleksleyici bir hibrit transformatör ve bir dengeleme ağı ile tasarlanmıştır. Verici ve alıcı blokları arasında yüksek izolasyon sağlamak için anten empedansının dengeleme ağının ayarlanmasıyla takip edilmesi gerekmektedir. Tüm portlar 10dB'den daha iyi geri dönüş kaybına sahiptir. Dupleksleyicinin vericiden antene simüle edilmiş kaybı 3.8dB, antenden alıcıya simüle edilmiş kaybı ise 4.7dB'dir. 28 GHz'de 39 dB maksimum izolasyon sağlamaktadır. LNA, giriş ve çıkış BALUN transformatörleri içerir ve ölçülmüş sonuçları 28GHz'de 15dB kazanç, 3.5dB'lik NF ve 13.5dBm'lik OP1dBdir. PA, 28GHz'de 17dB kazanç sağlar ve 14dBm'lik OP1dB çıkış gücüne ulaşmaktadır.

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## List of Abbreviations

<b>ADC</b>	Analog-to-Digital Converter
<b>ANT</b>	Antenna
<b>BAL</b>	Balance
<b>BALUN</b>	Balance-to-Unbalance
<b>BAW</b>	Bulk Acoustic Wave
<b>EBD</b>	Electrical Balance Duplexer
<b>ESD</b>	Electro-static Discharge
<b>ENR</b>	Excess Noise Ratio
<b>4G</b>	Fourth Generation Mobile Phone Standards
<b>FDD</b>	Frequency Division Duplex
<b>FD</b>	Full Duplex
<b>GaAs</b>	Gallium Arsenide
<b>HBT</b>	Hybrid Bipolar Junction Transistor
<b>IBFD</b>	In-Band Full Duplex
<b>InP</b>	Indium Phosphate
<b>IOT</b>	Internet of Things
<b>LNA</b>	Low Noise Amplifier
<b>MIM</b>	Metal-Insulator-Metal
<b>mmWave</b>	Millimeter Wave
<b>MIMO</b>	Multiple Input Multiple Output
<b>NF</b>	Noise Figure
<b>OP1dB</b>	Output 1dB Compression Value
<b>PA</b>	Power Amplifier
<b>RX</b>	Receiver
<b>SPI</b>	Serial-to-Parallel Interface
<b>SiGe</b>	Silicon Germanium
<b>SPDT</b>	Single-Pole Double Throw
<b>SAW</b>	Surface Acoustic Wave

<b>TDD</b>	Time Division Duplex
<b>TX</b>	Transmitter



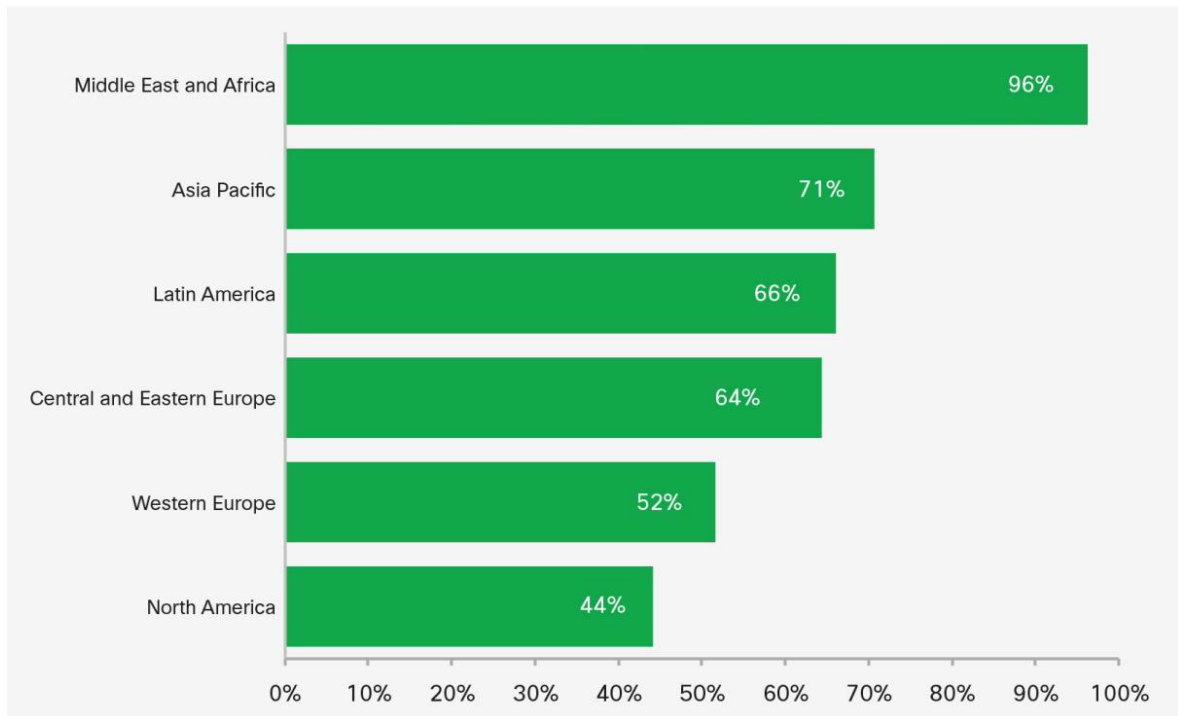
# 1 Introduction

## 1.1 5G

Wireless technologies and communication system had become mainstream for people a long time ago. They took a great place in every aspect of life such as high-quality data transfer, cloud operations, vehicle-to-vehicle or vehicle-to-infrastructure communication, virtual/augmented reality applications, automation of industrial devices, smart houses/cities, personal healthcare applications and the unlimited number of examples can be given for applications of the wireless systems. From the emerging point of telecommunication until now; there is a rapid improvement and development in the field of communication systems. During this period, communication systems completely evolved and changed not once but several times [1].

Today the most recent technology is the fourth-generation mobile phone standards (4G). For instance; today, with 4G's high data transfer rate, it is providing high-quality video and audio streaming. However; even 4G structures can't handle today's user demand and there is only fractional room is left for development. The most important problem for 4G is the increase in both demand and device numbers. The demand for data is growing not linearly but exponentially with each year. From 2015 to 2016, mobile data transfer rate is increased by 63% from 4.4 exabytes to 7.2 exabytes. The data transfer growth in 2016 can be seen in Figure 1. Also, the number of active devices raised from 7.6 billion to 8 billion in only one year. In 2021, the expected number of mobile devices is 11.6 billion [2]. The disadvantages of 4G can be explained as follows: Firstly, even though 4G has a large bandwidth, they are not used by all of the world. These bandwidths are specialized to different locations and mobile devices can't operate in every single one of them due to the electronic component limitation. Secondly, the 4G communication system is not compatible with Wi-Fi structures. Being suitable with Wi-Fi technology could create great benefits for bandwidth and it would relax the bandwidth requirements. Also, with the introduction of more and more internet of things (IoT) devices to the market, wireless systems became more important. Finally, 4G will not be able to provide the sufficiently low latency values (~1ms) especially for IoT devices [3].





**Figure 1:** Mobile data traffic growth in 2016 [2]

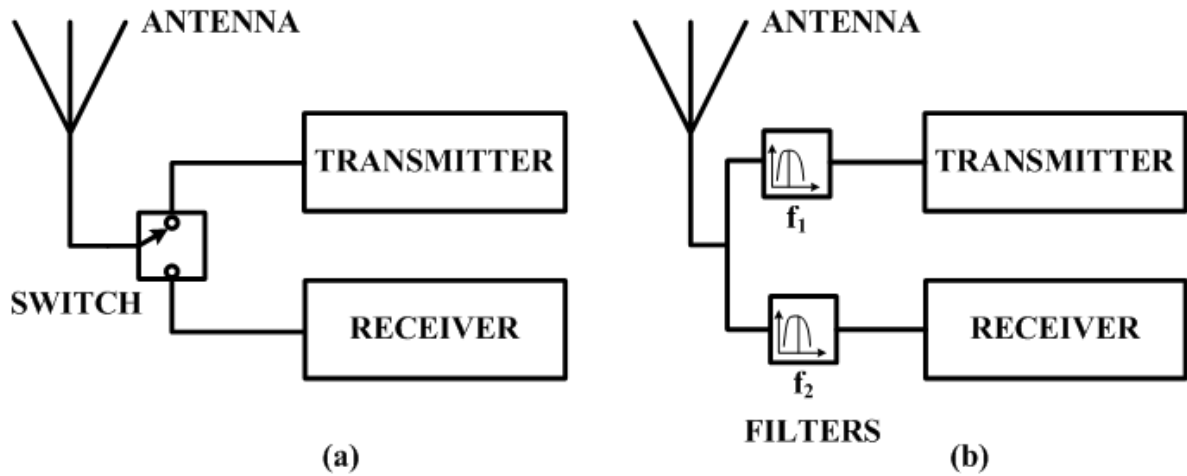
Until now 4G met the demands of the users; however, due to the reason that has been mentioned above, the technology needed a brand-new communication system called 5G. While thinking about the requirements of 5G structure, the inadequacies of the 4G system can be taken as references. First one is latency and the second one is cost and efficiency. The last and most important of the requirements is the high data rate. 5G is promising the data rate which thousand times of 4G. One of the key steps that make this available is increasing the operation frequencies of the communication systems. The frequency spectrum that is common today is almost full and communication requires new bandwidth. It is the most effective way to provide large bandwidth for the users. For this purpose, millimeter wave (mmWave) frequencies come into consideration. However, these frequencies were not thought of as suitable for communication systems. Basically, because, they are hard to propagate. Few of the reasons for this property can be given as path loss, low penetration to walls, atmospheric and rain absorption [4]. In recent years, several different ways to overcome these problems emerged. For example, keeping the antenna sizes which are used for smaller frequencies may be a solution for higher frequency applications. These large arrays can eliminate the frequency dependence of the propagation path loss. Another way can be smaller cells with narrower beams with their low

interference behavior [5]. An additional challenge with working at higher frequency is how can the integrated circuit technology satisfy the high-frequency operation. As the size of the chips becomes smaller and smaller, and as the  $f_T$ 's of the transistor becomes higher, the complexity of chip fabrication increases drastically and this leads to the higher prices for the production of circuits.

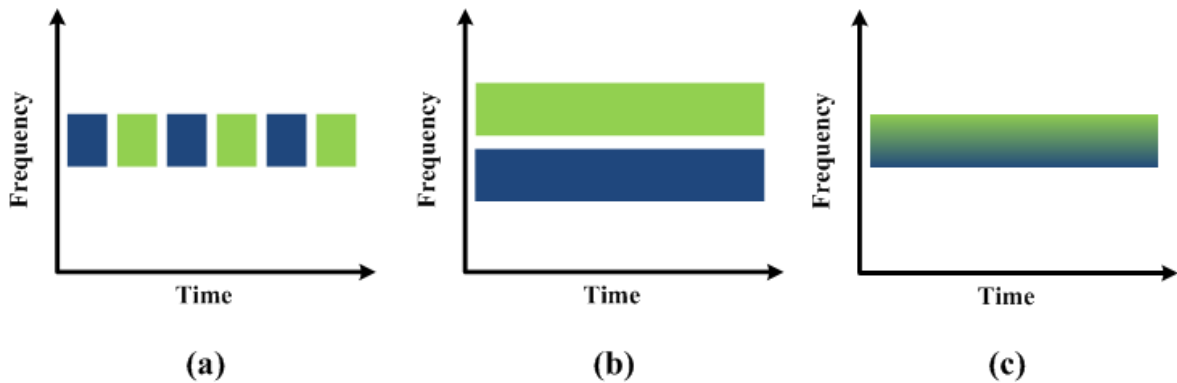
## 1.2 Duplexing

Apart from higher spectrum range; another important aspect of communication with the increase in user and devices numbers is the spectral efficiency. Today, the most common way of how designers use the communication systems is half-duplexing which means that using the same antenna for transmitting or receiving the signals but not concurrently or with the same frequency. Receiving and transmitting operations happen one at a time. This operation is named as time division duplexing (TDD). Generally, there is a single pole double throw (SPDT) switch placed between the antenna and transmitter/receiver. In one operation mode of the SPDT; while receiver block is active, transmitter operation is suppressed. In the other mode of SPDT, the operations will be reversed. Another common method is using frequency division duplexing (FDD). Also, in this case, the same antenna is used for both operations; however, with different frequency values. These two different frequency values enable using different filters between antenna and transmitter/receiver blocks. Transmitter signal converted into a signal that the receiver can detect in base stations. The working principle of TDD and FDD can be seen in Figure 2.

As explained before, both TDD and FDD are working on half-duplex mode. However, in this condition, the resources can't be used at their full efficiency potential. Therefore, designers started to consider systems that able concurrent transmit and receive operations with the same frequency band; in order to increase the spectral efficiency when compared to half-duplex systems. These structures are named as full-duplex (FD) or in-band full duplex (IBFD) systems. Being able to transmit and receive at the same time with the same frequency band, is theoretically doubling the spectral efficiency of the system when compared to half-duplex systems.



**Figure 2:** a) Block diagram of TDD b) Block diagram of FDD



**Figure 3:** Depiction of working principal of a) TDD b) FDD c) IBFD

Figure 3 shows the type of operation in TDD, FDD and IBFD systems with respect to time. In this figure, green blocks show the transmit operation and blue blocks denote the receive operation. As explained before; in TDD systems, transmit and receive operations are controlled with an SPDT switch and they are working in order. There is a gap between each operation because of the delays in the switch and also to ensure that system is working in a safe manner. In FDD systems, they operate the incoming and outgoing signals at the same time but with different frequencies. Lastly, for IBFD both transmit and receive signal -with the same frequency- is processed concurrently. With using same frequency bands, FD systems do not consume frequency space like FDD systems. This creates opportunity for other applications to use those unused frequency bands. Properties of IBFD's like increased

spectral efficiency and generous usage of frequency bands, full-duplex systems become suitable for 5G applications; because of the requirements mentioned above.

Another design necessity of 5G operations is decreased latency in operations such as IoT devices. Since there is no switch between antenna and circuits in FD systems, there is no need to divide the operation into time steps. This will lead to decrease in latency. Another benefit provided with this property is that there will be no package collision. Because, a received signal can still be detected while the system is transmitting. Small cells with massive multiple-input multiple-output (MIMO) systems will be important structures for 5G applications. This will also increase the channel capacity. Using one antenna while maintaining both operations concurrently will make FD systems suitable for MIMO structures.

Although FD systems provide higher spectral efficiency; they have some drawbacks and challenges. In FD systems, there is a huge self-interference from structure's own transmit blocks to the receiver. If this self-interference is not dealt with, the channel capacity of the FD system can even fall behind of their HD counterparts. This interfered signal is large in amplitude when compared to receiver's input signal at any given time. This behavior can cause degradation in the sensing performance of receivers, because the received signal will be negligible against that self-interference signal. It is considered as canceling can be achieved by subtracting this signal from itself. However, this approach is not feasible. For instance, if this cancellation is wanted to be done in digital domain, the amount of dynamic range required for analog-to-digital converters (ADC) will be high. For cancellation in RF domain; oscillator phase noise and sub-block's non-linearities will create problems. So, for years, designers have searched for other ways to cancel the self-interfered signal. These applications can be classified into two sections: passive cancellation and active cancellation. Passive cancellation includes directional suppression (the radiation lobes of transmit and receive operations are separated) and antenna separation (increasing the loss between transmit and receive antennas). Active cancellation is achieved through analog cancellation (a copy of transmit signal is obtained and with correct amplitude and phase values, it is cancelled with receiver port) and digital cancellation in the digital domain. However, all of these applications have their flaws and couldn't meet the desired specifications for 5G full-duplex operations [6]. Using duplexer between antenna and

transmit/receive modules provides non-complex, cheap, and effective way to cancel the interfered transmitter signal.

### **1.3 SiGe/BiCMOS Technology**

Today, integrated circuit industry dominated by three fabrication processes and technologies. These are III-V group technology, CMOS and SiGe/BiCMOS. Almost all the integrated circuit such as transceivers are realized using these processes. When compared between them, III-V technology is the oldest and most mature technology of all. III-V transistors such as gallium arsenide (GaAs) or indium phosphate (InP) have the best performance with respect to other -in gain, noise figure and most importantly output power aspects-. Most of the applications that require very high output power are realized with power amplifiers whose transistors belong to III-V technology.

Another important positive side this technology is very small process variations. Even though III-V group fabrication results in best performance, there are severe drawbacks that make this technology unfavorable in various situations. This technology is expensive when compared to other processes. Also, integration possibility with digital domain is non-existing. These problems make III-V group technologies less appealing and create demand for other fabrication processes.

Until now, CMOS technology stayed behind from other processes in performance; however, with the recent developments, it started to show comparable performance with others. CMOS technology offers little lower performance with cheaper fabrication. CMOS technology also dominates the digital integrated circuit domain today with smaller gate sizes almost every 2 years. The downside of CMOS technology is the process variations. The performances of the transistors or the values of passive structures may differ from process to process. The expected simulated results can't be acquired consistently with this technology.

**Table 1:** Comparison of different integrated circuit technologies with respect to different performance metrics (++: Very good, +: Good, 0: Average, -: Decent, --: Poor) [23]

Performance Metric	SiGe HBT	SiGe BJT	Si CMOS	III-V MESFET	III-V HBT	III-V HEMT
Frequency Response	+	0	0	+	++	++
1/f and Phase Noise	++	+	-	--	0	--
Broadband Noise	+	0	0	+	+	++
Linearity	+	+	+	++	+	++
Output Conductance	++	+	-	-	++	-
Transconductance	++	++	--	-	++	-
Power Dissipation	++	+	-	-	+	0
CMOS Integration	++	++	N/A	--	--	--
IC Cost	0	0	+	-	-	--

Lastly, SiGe/BiCMOS technology stay at the middle of these two technologies in both by performance and cost senses. With improvements in the technology, SiGe/BiCMOS comes into a place that it can race with the performance of III-V. Although it is expensive from CMOS technology with a little margin, SiGe/BiCMOS is greatly cheaper than III-V. Another upside of SiGe is its integration possibility with digital domain. Also, the process variations and yield number for this technology is greater than its CMOS counterpart. Today, in the literature, there can be found integrated circuit studies with state-of-the-art performance values which are utilized with silicon germanium heterojunction bipolar transistors (SiGe HBT) of the SiGe/BiCMOS technology.

While selection of the technology for aimed operation, a series of important specifications of a process should be considered. Two important points of these properties are  $f_T$  and  $f_{MAX}$  of the transistors of the technology.  $f_T$  is the cut-off frequency of a given transistor. It shows the frequency which at current gain ( $\beta$ ) of the transistor is unity.  $f_{MAX}$  is the maximum oscillation frequency of a transistor. It represents the frequency where power gain of the transistors becomes unity. These two values are important especially for the high frequency integrated circuit applications such as 5G communication systems; because

with higher  $f_T$  and  $f_{MAX}$  values, transistors provide more gain and low noise figure values at the higher frequencies.

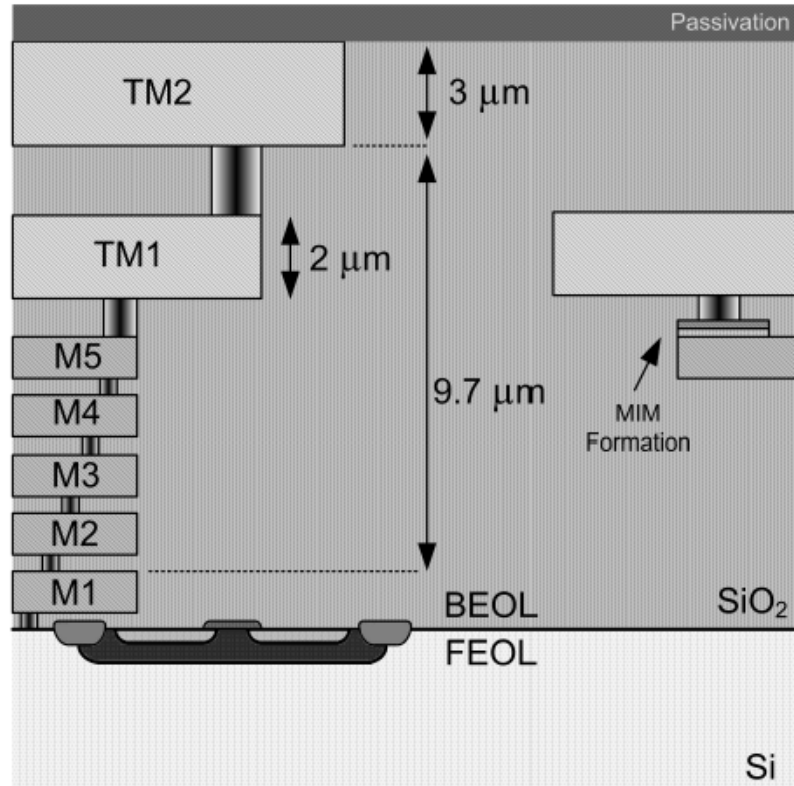
In order to increase the performance of the transistor, bandgap engineering is applied to the bases of the HBT's. To realize the bases of those transistors SiGe alloys are grown epitaxially. While silicon has the bandgap voltage of 1.12eV, germanium's is only at 0.66eV. By using a material with smaller bandgap voltage than silicon, electron injection is increased; therefore, the current gain of the transistors. Germanium does not only increase the  $\beta$  but also creates electric field inside of the transistor. This leads to an increment in the speed of the carriers in other words effecting base-transit time ( $\tau_B$ ). All of these aftermaths of introducing germanium doping to the silicon improves the  $f_T$  of the transistors. Relation can be seen in the following equation.

$$f_T = \frac{1}{2\pi} \left( \tau_B + \tau_C + \frac{1}{g_m} (C_\pi + C_\mu) + (r_e + r_c)C_\mu \right)^{-1} \quad (1)$$

Where the  $\tau_C$  denotes the collector transit time,  $g_m$  is the transconductance,  $C_\pi$  and  $C_\mu$  are the parasitic capacitances from base to emitter and base to collector, respectively and lastly,  $r_e$  and  $r_c$  are parasitic resistances at emitter and collector, in order. As can be seen at the (1)  $f_T$  performance of transistors increases with lower  $\tau_B$  and higher  $g_m$ . As highlighted before, another important metric for transistors is  $f_{MAX}$ . Its formulization can be given as following.

$$f_{MAX} = \sqrt{\frac{f_T}{8\pi C_\pi r_b}} \quad (2)$$

Where  $r_b$  is the parasitic base resistance. Germanium doping to the silicon decreases the parasitic base resistance without affecting current gain in a bad manner; therefore, transistors with germanium doping provides better noise performance when compared to their Si counterparts. In short, germanium doping to the base of the transistors improve every single important performance specification of the transistors.



**Figure 4:** Cross section of IHP 0.13 $\mu$ m SiGe HBT Technology [24]

Today, applications that require ultra-high accuracy and performance, such as military purposes, are still using III-V technology; because in those domains, expenses and integration properties are secondary concerns. Unlike III-V, SiGe is more favorable for commercial usages such as communication systems, short range vehicular radars, RFIDs, and biological research. To summarize, SiGe/BiCMOS offers good performance with excellent cost and integration properties. With SiGe/BiCMOS fully integrated system-on-chip devices can be realized in microwave and mm-Wave frequencies with low cost and high performance.

## 1.4 Motivation

With the increase in demand for higher data rate and efficient usage of frequency spectrum, 5G and full-duplex concepts started to gather attention by both academia and



industry, because they carry the possibility of effecting every aspect of daily or domain specific aspects of people's life by creating powerful, efficient and fast transceivers. Current applications of duplexing are generally done with bulky, complex, non-flexible and expensive components. Also, the integration problems are severe. Recently, integrated tunable duplexers got popular in designs. On-chip, tunable duplexers have huge challenges in their designs, yet, they can lead to cheaper and effective solutions.

The objective of this thesis is to design a core chip block prototype for in band full-duplex operated transceiver modules, which operates between Ka-band for 5G applications with using IHP 0.13 $\mu$ m SiGe/BiCMOS technology. This core chip includes an on-chip hybrid transformer, a balancing network, a low noise amplifier and a power amplifier. This thesis aims to present the design steps of these blocks and discuss/analyze the measurement result for each block and whole system as well.

## **1.5 Organization**

This thesis is organized as follows: Chapter 2 explains the fundamentals of the duplexer theory by giving the meaning of the duplexing with duplexer types first. Then electrical balance duplexers are analyzed from the basic of transformers and hybrid-transformer necessities. Lastly, balance networks and their properties are explained.

Chapter 3 starts with design considerations for full-duplex stroke. First, design steps of hybrid transformer are explained. Second, the balance network which help hybrid transformer while operating as a duplexer is discussed. Other blocks of the system -PA and LNA- are stated. All of these blocks simulation and measurement results are shown in Chapter 3. The proposed system is shown and the measurement results are represented and explained. Lastly, the performance of the full-duplex system is compared with other reported works.

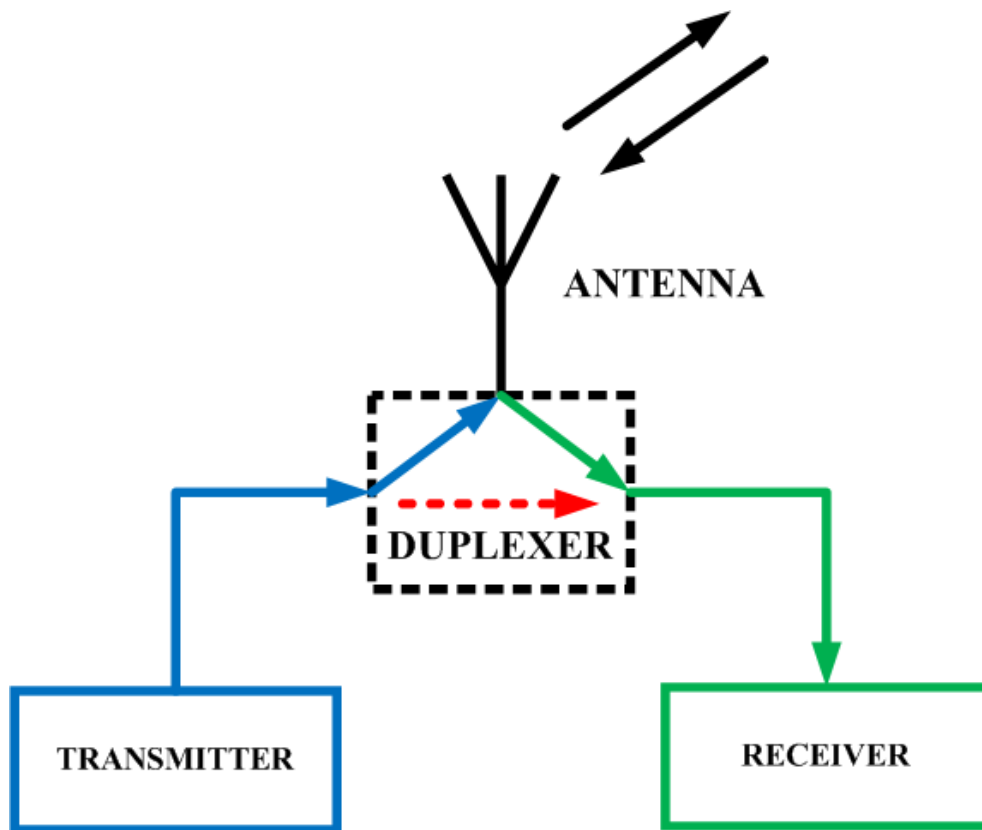
Finally; chapter 4 gives a summary of the thesis and discusses some other challenges that are encountered through designs. Also, possible future variations and designs on this topic are discussed.

## 2 Fundamentals of Duplexers

### 2.1 Introduction

In this chapter, the fundamental properties of the duplexers are explained. First, the purpose of the duplexers is discussed with the basic performance necessities for reasonable operation. Secondly, different types of duplexers are covered, and their advantages/disadvantages are discussed. Then, with the basics of transformer design, hybrid transformers are analyzed through their three important metrics in order. This starts with bi-conjugacy between the ports of the hybrid transformers and continues with the power splitting and  $180^\circ$  phase shift properties with using auto-transformer theory.

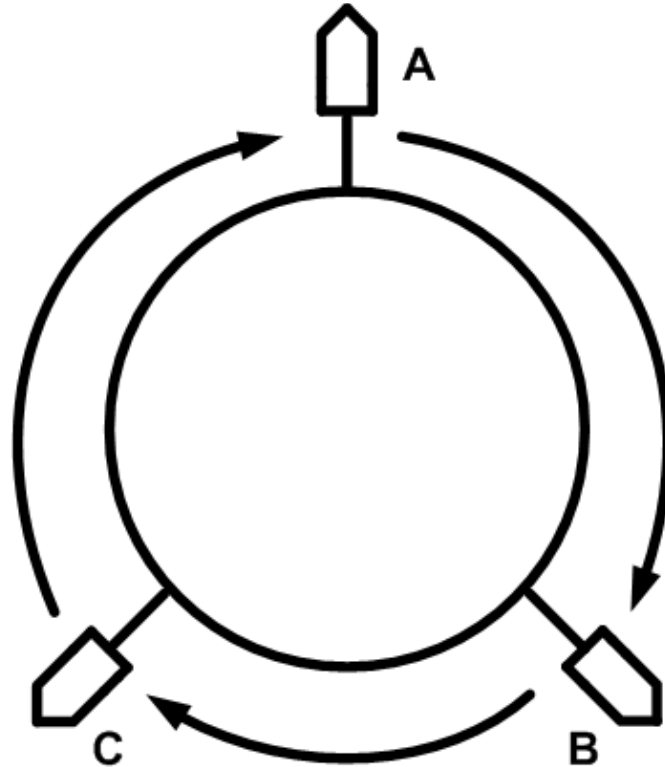
### 2.2 Duplexers



**Figure 5:** Block diagram of transceivers with duplexers

Duplexing means using the same antenna for both transmit and receive operations. Duplexing is achieved via duplexers which are one of the most essential sub-blocks of the communication and the radar systems which operates in almost all the frequency bands. Duplexers are used just before the antennas in order to use the same antenna for both transmitted and received signal as can be seen from Figure 5 while blue arrows denote the signal flowing from the transmitter block to the antenna, green arrows depict the received signal which is coming from the antenna, red line shows the leakage signal from the end of the transmitter to the receiver side and finally black arrows shows the transmitted and received signals by the antenna. However; even though duplexers enable designers to use the same antenna for both transmit and receive mode, there are challenges in duplexer design and they need crucial specifications to be operable in transceiver modules.

First of all, while providing simultaneous operation with one antenna, duplexers need to prevent out-of-band signals to propagate to the system. Secondly, due to their positions in transceiver modules, their performance is affecting the specifications of the systems, severely. The loss that is introduced from antenna to receiver, directly adds up to the noise figure performance. Also, the loss that comes from transmitter to antenna connection is degrading the signal power that arrives at the antenna. Another necessity for the duplexers is their power handling capacities. Duplexers must be able to work on high power signals and shouldn't add any non-linearities that will deteriorate the performance while operating at high power. Lastly; and most importantly; a duplexer should provide high isolation between the output of the transmitter and the input of the receiver in a relatively wide signal bandwidth. Due to the imperfections, there will be signal leakage from the transmit side to the receiver. If this leakage can't be blocked or canceled out by the duplexer, it will distort the incoming signal to the receiver and even saturate the LNA input which damages the linearity. In order to duplex the systems, several different methods have been used to this date; such as circulators, orthomode transducers, surface acoustic wave (SAW) filters, electrical balance duplexers (EBD).

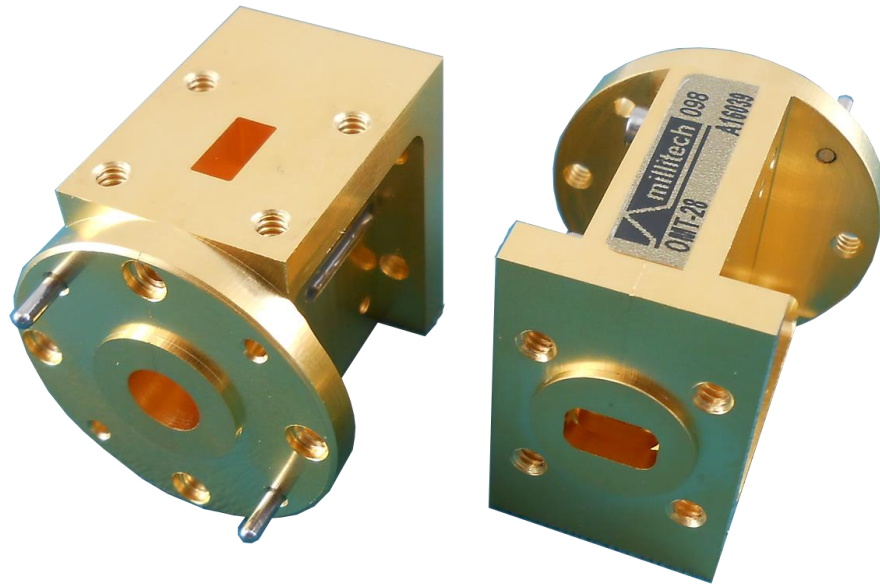


**Figure 6:** Block diagram of the circulators (clock-wise operation)

### 2.2.1 Circulators

Circulators are non-reciprocal components that have 3-ports and transfer signal in clockwise or counter clockwise directions as can be seen in Figure 2 while the arrows depict the direction of propagating signals in a circulator. In other words (for clockwise rotation); a signal from Port-A will only flow to Port-B, a signal from Port-B will only flow to Port-C and finally a signal from Port-C will only flow to Port-A. For transceiver applications, an antenna can be connected to the Port-B, transmitter circuitry can be connected to Port-A and receiver block can be connected to Port-C. By this way, the duplexing operation can be achieved. However, any reflected signal from the entrance of the receiver can leak to the transmitter and deteriorate the overall system performance so it should be considered in designs. The important design metrics of the circulators are high isolation and low insertion loss between ports.

Circulators can be used as external ferrite-based circulators or as on-chip quasi-circulators in full duplex transceiver systems [7], [8]. Even though external circulators have low loss property, they are bulky, expensive, non-flexible and non-integrable. On-chip



**Figure 7:** Fabricated orthomode transducers [9]

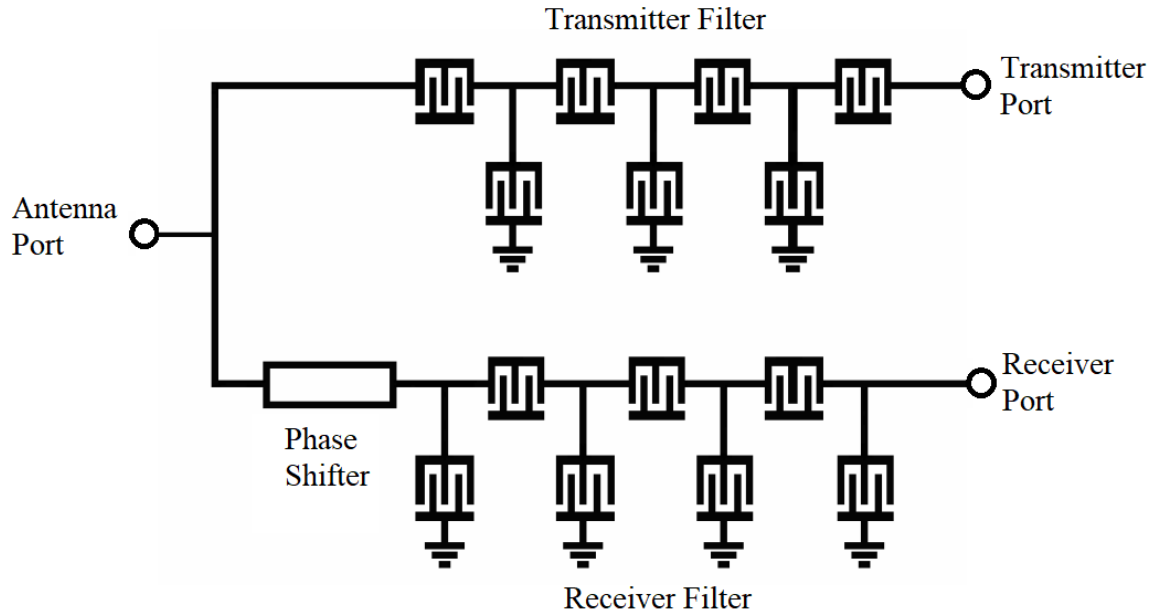
circulators provide compact and low-cost designs, but they come with high insertion loss between the ports and narrow-band operation drawbacks.

### 2.2.2 Orthomode Transducer

Orthomode transducers are waveguide components and can be used as duplexers in transceiver systems and an example to them can be seen in Figure 7. Their behavior depends on the polarization of the signals. Orthomode transducers are separating two signals with the same frequency but with different orthogonal polarizations. This property can lead to the full duplex usage of a transceiver system. Orthomode transducer duplexers have low insertion loss and high isolation between their ports; however, they are external hardware so that they are costly, hard to integrate and bulky.

### 2.2.3 Surface Acoustic Wave (SAW) Duplexers

SAW duplexers are also 3-port devices like the circulators and widely they are widely



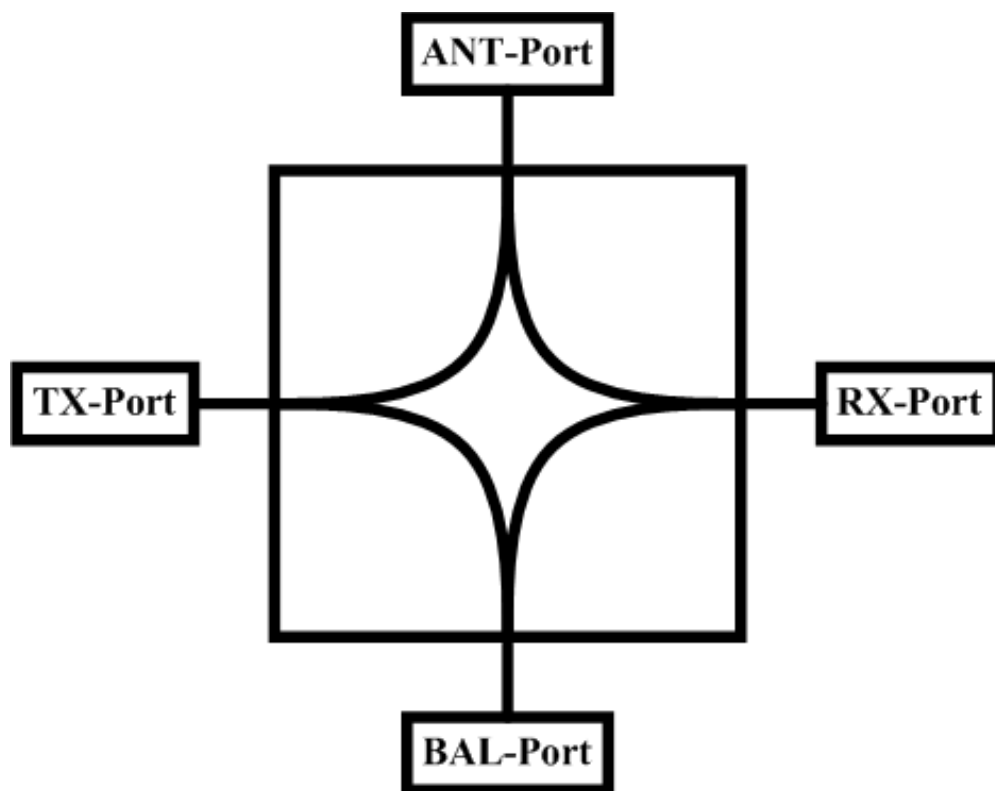
**Figure 8:** An example of SAW duplexer [10]

used in the communication systems that use frequency division duplexing. Figure 8 represents the schematic of an example of SAW duplexers. Their operation depends on the signals' transition from the electrical domain to the acoustic domain with using piezoelectric materials such as quartz, lithium tantalite etc... The insertion loss from the port which is utilized for antennas differs for two separate frequency band. By this way, two signals with different frequencies can be lead to the other desired ports. SAW duplexers provide high isolation between their ports.

Recently, bulk acoustic wave (BAW) duplexers have been started to be used instead of SAW duplexers [11]. They take up smaller area when compared to SAW duplexers, they have sharper filtering behaviours. Also, integration property of BAW duplexers is available, unlike their SAW counterparts. However, BAW duplexers are costlier than SAW duplexers; because of their complex fabrication steps.

#### 2.2.4 Electrical Balance Duplexer (EBD)

Recently, duplexing and duplexers became a center of interest for circuits that operates at mm-wave frequencies. (30GHz – 300GHz). Even though SAW provides high



**Figure 9:** Block diagram of EBD's

isolation between transmitter and receiver ports while maintaining low insertion loss; as the number of bands needed for communication system increases, the design and the integration of SAW's to the integrated circuits become much more complex than SAW's integrated circuit counterparts. Because the performance of SAW's depends on the material type and their operating frequency is fixed, so that the cost and area factors make SAW's less reliable [12], [13]. Due to these drawbacks of conventional structures, nowadays EBD's became an alternative to SAW's with their comparable performance metrics – transmitter-receiver isolation, insertion loss – and their high-frequency range and integration possibility with CMOS/BiCMOS technologies [14].

EBD's are designed as 4-port devices which of these ports are transmitter port (TX-port), receiver port (RX-port), antenna port (ANT-port) and impedance balancing port (BAL-port) as can be seen from Figure 9. A 4-port hybrid transformer (junction, network) serves as the core of the duplexing operation for EBD's. In EBD structures, isolation is provided between TX-and RX-ports by damping the leakage signal from the

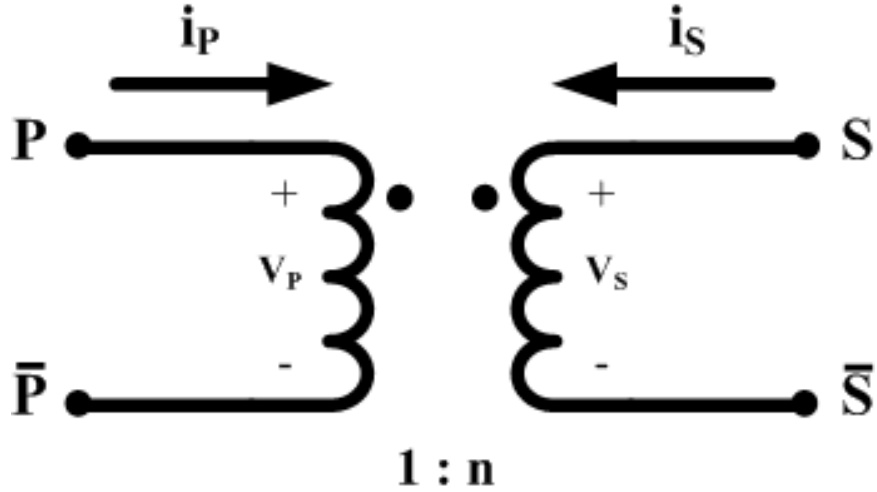
transmitter side to the receiver side through cancelling that signal [11]. In order to provide the isolation between TX- and RX-ports, the impedance of the ANT-port should be matched to the impedance of the BAL-port. Unfortunately, the antenna impedance at the ANT-port is not constant in real-time settings. The impedance seen from the ANT-port will change with respect to the operating point and the environment of the user such as frequency, surroundings and human body [13]. For example; while the user is talking with his/her mobile phone, user's hand and head will show the behavior of lossy dielectrics. This effect will lead to crucial changes in the antenna efficiency and the antenna impedance [15]. Due to these real-time changes in the ANT-port impedance, the provided isolation between TX- and RX-ports will be degraded drastically and to be able to create sufficient isolation, the impedance of the BAL-port must track the ANT-port impedance with high resolution and range in all operating points and circumstances. The value of the isolation depends on how well BAL-port can mimic the impedance of the ANT-port. The perfect isolation condition varies with different topologies which will be discussed later.

## 2.3 Transformers

Transformers as components had been started to be used at the beginning of telegraph era when their RF behavior is considered. Transformers are passive electrical components that consist of two or more conductive coils which are adjacent yet electrically isolated from each other. Alternating current at primary coil generates magnetic flux and that flux effects the secondary coil, causing induced current and voltage across the ports of secondary coil. In other words, transformers couple the signal from the primary coil to the secondary coil with minimum signal loss possible. Transformers are also used for impedance transforming. Electrically isolated property of the transformers allows both coils to be biased at different voltage levels [16].

Design and operation of transformers depend on two important electrical characteristic and properties which are turn ratio between coils and magnetic coupling coefficient. The schematic of a transformer can be seen at Figure 10. In Figure 10;  $P$  and  $S$





**Figure 10:** Schematic of a transformer

shows the terminals of the primary and the secondary coils.  $n$  depicts the ratio of turn number of the secondary coil to the primary coil,  $V_p$  and  $V_s$  denote the voltage levels,  $i_p$  and  $i_s$  are the current levels of primary and secondary coils respectively. The turn ratio affects the current and the voltage levels of primary and secondary coils. The relation is described with the following equation.

$$n = \frac{V_s}{V_p} = \frac{i_p}{i_s} = \sqrt{\frac{L_s}{L_p}} = \sqrt{\frac{Z_s}{Z_p}} \quad (3)$$

Where  $L_p$  and  $L_s$  show the self-inductance values of the relative coils and,  $Z_p$  and  $Z_s$  are the impedance seen from the input of primary and secondary coil, in order.

Magnetic coupling coefficient depicts how two coils affect each other magnetically. Coupling coefficient  $k_m$  is given by;

$$k_m = \frac{M}{\sqrt{L_p L_s}} \quad (4)$$

Where  $M$  is the mutual inductance between primary and secondary coils. Ideally, for transformers  $k_m$  should be equal to 1. If two lines is not coupled to each other by any means

$k_m$  will be equal to 0. In imperfect, real conditions;  $M$  will be always smaller than  $\sqrt{L_P L_S}$  which makes;

$$0 < k_m < 1 \quad (5)$$

With the imperfections in the coupling between the two conductors, a new term emerges from previously mentioned turn ratio,  $n$ , which is effective turn ratio,  $n_{eff}$ . Effective turn ratio can be expressed as;

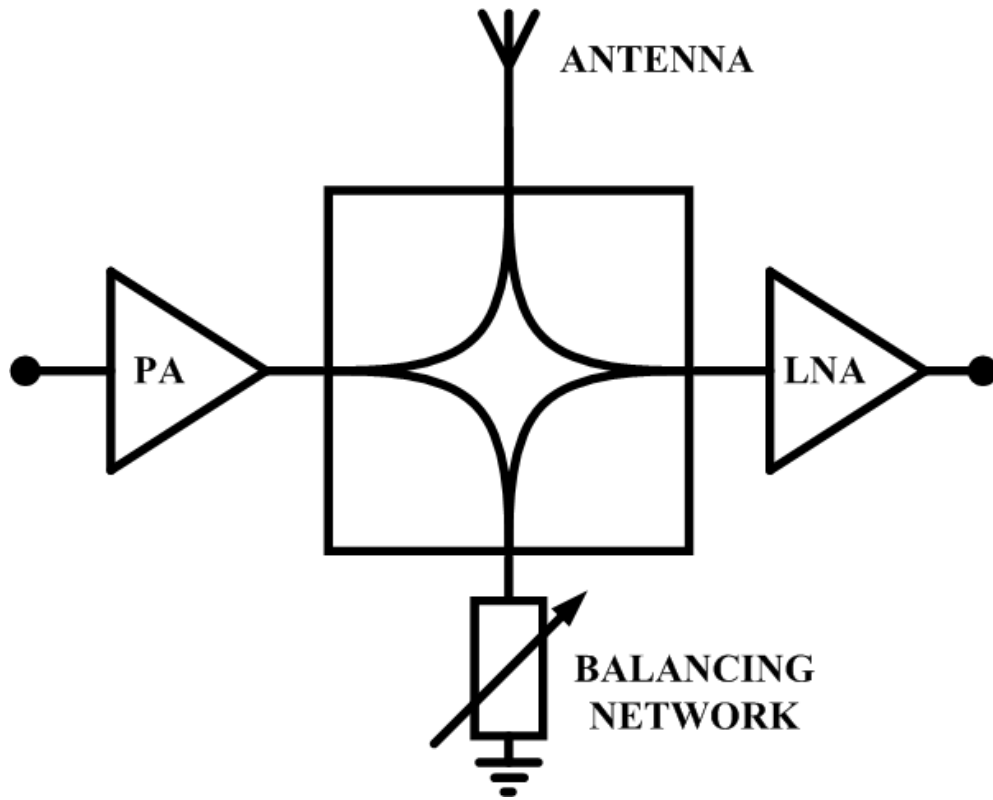
$$n_{eff} = k_m \sqrt{\frac{L_S}{L_P}} \quad (6)$$

This approach will take the non-idealities in the coupling into account. (6) shows us every transformer will operate like as if the turn ratio is smaller than the expected value.

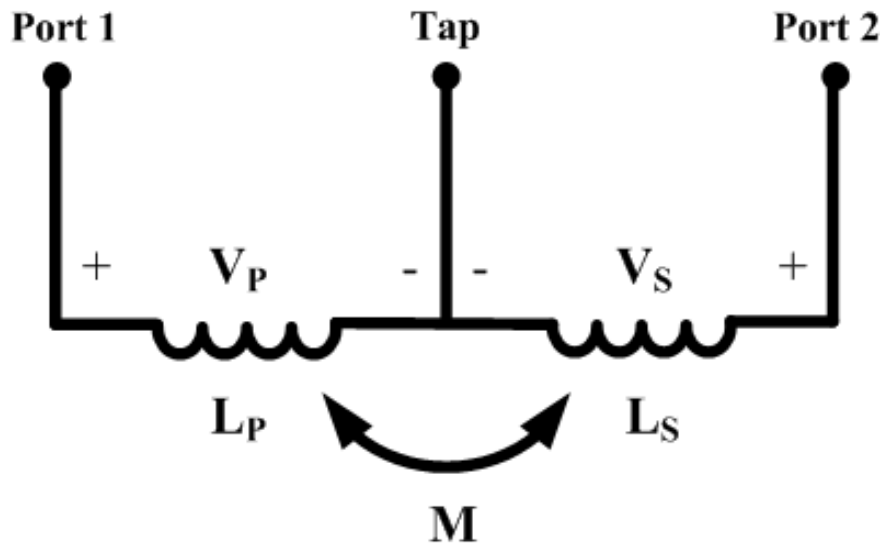
## 2.4 Hybrid Transformers

Hybrid transformers had been started to come in use in early years of telephone technology in order to provide an isolation between speakers and listeners to prevent the interception of the received signal to the transmitted signal or vice versa [17]. They are 4-port reciprocal structures that create isolation with cancelling the leaked signal with its own sample. Hybrid transformers can act as component which can combine or separate signals without causing crucial damage. In Figure 11, the usage of hybrid transformers as duplexer can be seen. PA denotes a power amplifier that can be connected to the transmit port and LNA depicts a low noise amplifier at the start of receiver block.

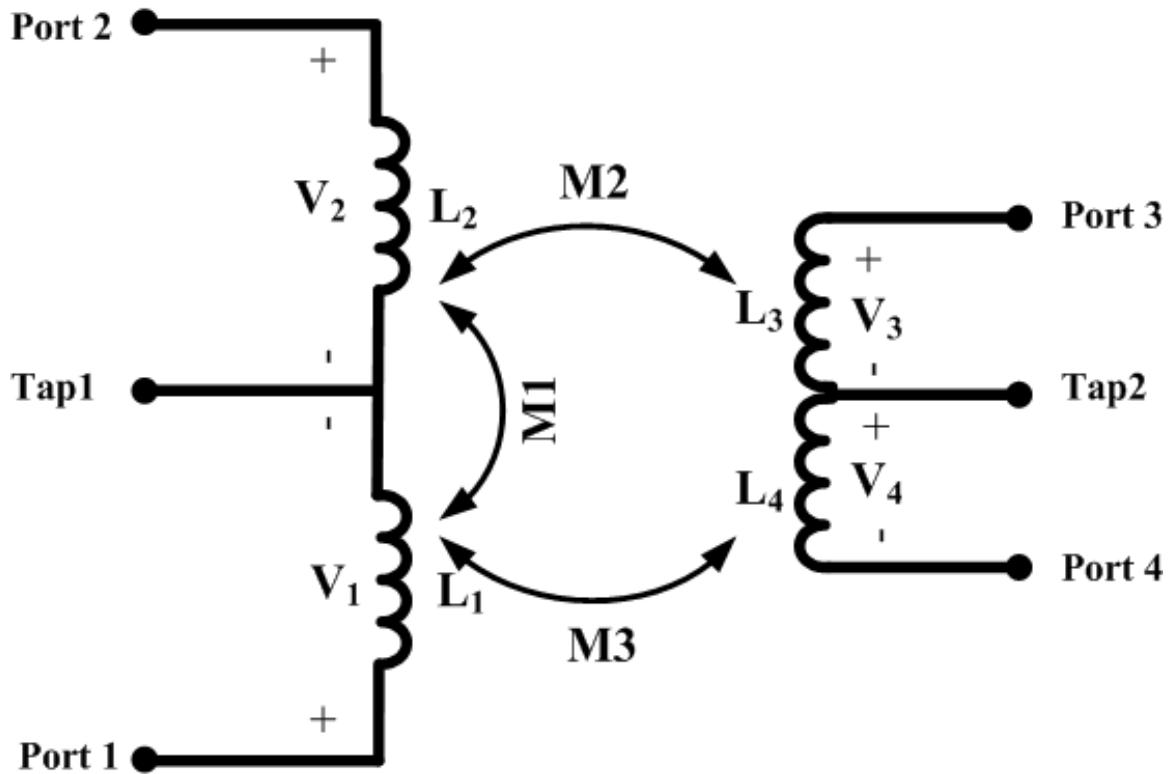
Before taking hybrid transformers into account, the concept of autotransformer must be explained. Autotransformers are one conductive coil which is skewed with a tap port from anywhere in the middle as can be seen from Figure 12. In this figure  $M$  denotes the mutual inductance between primary and the secondary coils.



**Figure 11:** Hybrid transformer as duplexer



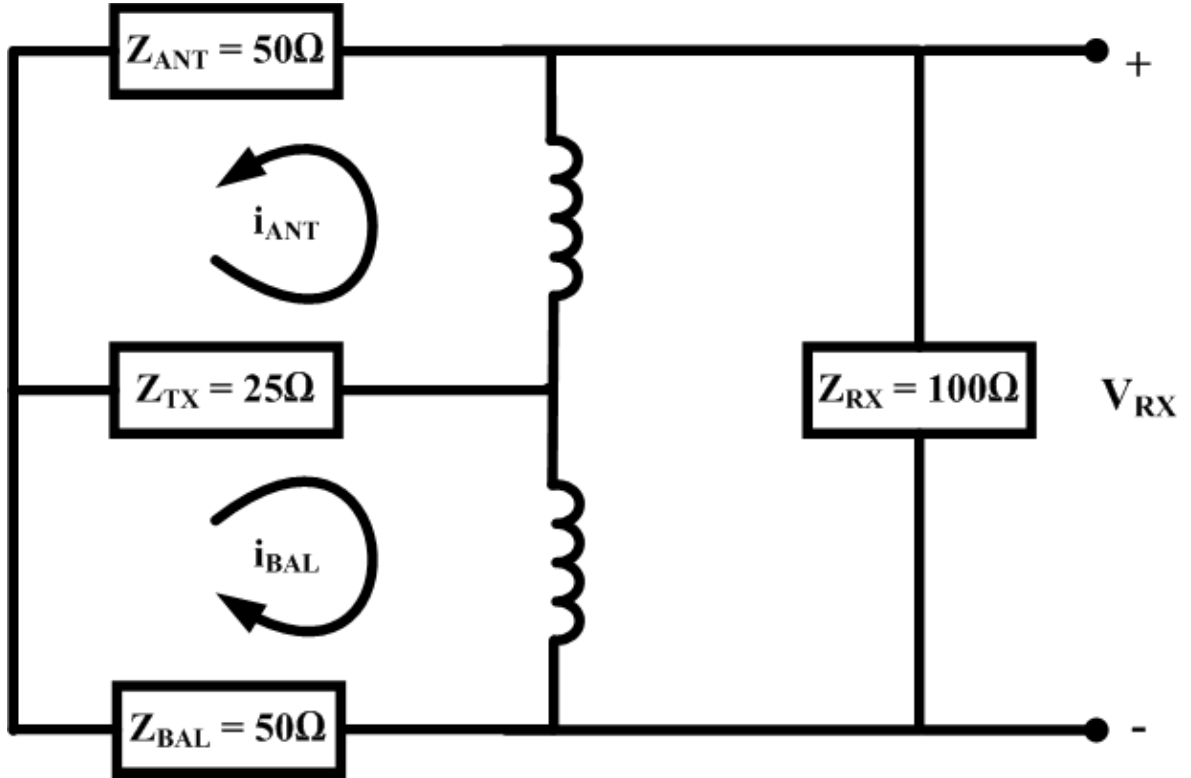
**Figure 12:** Schematic of an autotransformer



**Figure 13:** Double tapped autotransformer

The position of the skew determines the turn ratio,  $n$ , in other words inductance values of primary and secondary windings. These parameters have an important role for division of the signal power.

Another usage of the autotransformers can be seen at Figure 13 as double tapped autotransformer. In this case, another tapped transformer placed to a position that creates magnetic coupling with the first one. In this figure, M1 shows the mutual inductance between  $L_1$  and  $L_2$ , M2 shows the mutual inductance between  $L_2$  and  $L_3$  and finally M3 depicts the mutual inductance between  $L_1$  and  $L_4$ . Both the autotransformers and the double tapped autotransformers are used in hybrid transformer design. However; when compared to the autotransformers, the double tapped ones provide better common mode isolation between TX- and RX-ports. Important design specification of the hybrid transformers are bi-conjugacy and isolation between ports, impedances seen from each port and finally, the power splitting ratio for desired operation [17].



**Figure 14:** Currents in TX-RX bi-conjugacy analysis

#### 2.4.1 Bi-Conjugacy of Hybrid Autotransformers

Bi-conjugacy is a crucial aspect of any hybrid transformer. Explaining this concept is much clearer when taking hybrid autotransformers into account so this section will analyze the bi-conjugacy of the hybrid autotransformers.

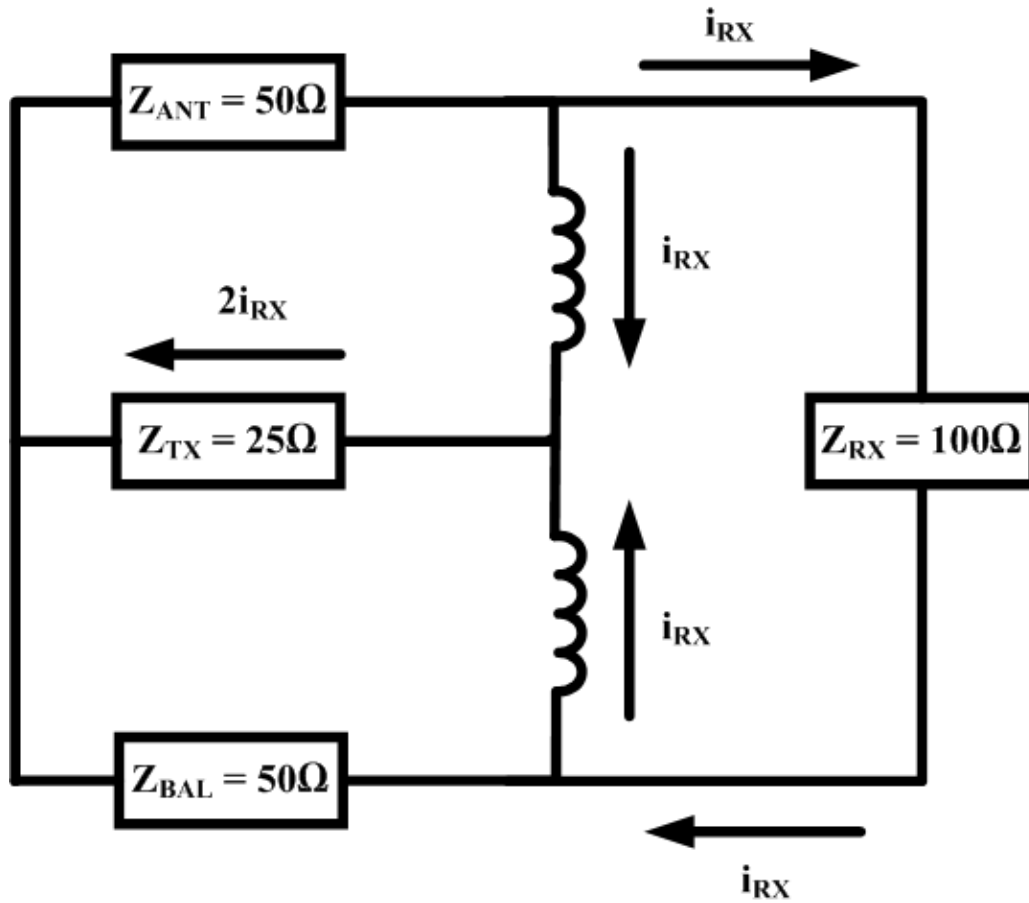
In the concept of hybrid autotransformers, bi-conjugacy means the electrically isolated state of two ports from each other. Hybrid autotransformers have 2 pairs of bi-conjugate ports which are ANT-port and BAL-port, TX-port and RX-port. This property arises from the balanced state of voltages and currents with  $180^\circ$  degree phase difference between two differential arms of the RX-port. In order to reach the perfect bi-conjugacy and maximum isolation; 4-ports of the hybrid transformer must fulfill a special condition of following equation [17], [18].

$$Z_{ANT} = Z_{BAL} = \frac{Z_{RX}}{2} = 2Z_{TX} \quad (7)$$

Where  $Z_{ANT}$  depicts impedance seen from ANT-port,  $Z_{BAL}$  depicts impedance seen from BAL-port,  $Z_{RX}$  shows the impedance of RX-port and  $Z_{TX}$  denotes the impedance of TX-port.

The logic of achieving bi-conjugacy of two pairs of the hybrid autotransformers have a similar yet different approach. The bi-conjugacy of the TX- and RX-ports is achieved through currents with the same amplitude. These currents can be observed in Figure 14. In Figure 14,  $i_{ANT}$  denotes the current flowing inside the TX-, ANT-port loop and  $i_{BAL}$  shows the current flowing inside the TX-, BAL-port loop. If  $Z_{ANT} = Z_{BAL}$  condition can be met, the current flowing from the TX-port will divide into two and it will flow from the center tap of the autotransformer to the two opposite sides of the conductor coil. These separated currents with the same amplitudes will generate two magnetic fluxes with an also same amplitude. These coupled currents effectively will cancel out each other's effect. With this property there will be no voltage at the RX-port. The  $V_{RX}$  at Figure 14 will be zero which concludes to their bi-conjugate state.

Electrically isolated state of ANT- and BAL-ports is also depending on the impedance ratio at (7) and the quality of the transformer. When the transformer supplied from the ANT-port, where will be currents flowing to both RX and the upper side of the inductor coil. If we assume that the transformer is ideal, in other words,  $k_m = 1$ , the current of the coil will create its mirror image at the secondary coil of the autotransformer with the same amplitude but opposite direction as can be seen in Figure 15.  $i_{RX}$  denotes the current flowing through the RX-port. Also, at the center tap of the autotransformer, exactly half of the RX-port voltage will be seen. The opposite current will have the same property with the current from RX-port to the secondary coil. Finally, if the impedance ratio criteria at (7) is sufficed properly, there will be  $2i_{RX}$  flowing through the TX-port. With these properties, KVL and KCL balance will be achieved and there will be no flowing current to the BAL-port, which makes ANT- and BAL-ports electrically isolated, in short bi-conjugate [18].

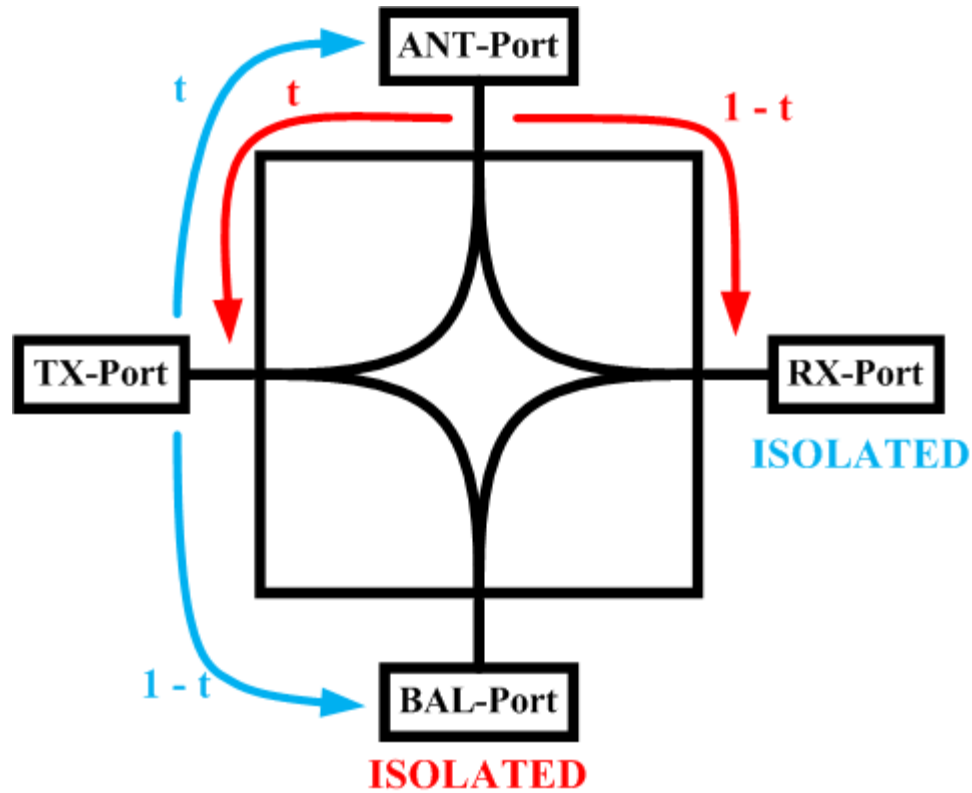


**Figure 15:** Currents in ANT-BAL bi-conjugacy analysis

Any non-idealities in the autotransformer, distort this bi-conjugacy which will lead to undesired current flow to other terminals than the bi-conjugate pairs. This leakage will decrease to the power transfer and decrease to the system performance so that they need to be dealt with cautiously.

#### 2.4.2 Power Splitting of Hybrid Transformers

Hybrid transformers, due to their nature and non-idealities, are undoubtedly lossy components and designers must deal with these imperfections carefully so that another important aspect of the hybrid transformers is how fine it splits the transmitted or received signal favorably for the desired operation. Also, like it is explained previously, as duplexers hybrid transformers should have low insertion loss from TX- to ANT-port and ANT- to RX



**Figure 16:** Power splitting ratios of an EBD

-port in order not to degrade transmitted signal power and not to contribute to noise figure parameter drastically relatively.

In the previous section, the bi-conjugacy concept is explained. If the required impedance conditions and the balance state between ANT- and BAL-ports met, the hybrid transformer is classified as bi-conjugate. If the hybrid transformer is skewed from the exact center of the TX-port side, the requisitions that mentioned previously is required to reach bi-conjugacy and balance. In this case, the ANT- and BAL-port will split the incoming signal power from the TX-port equally. In an ideal state, there will be 3dB insertion loss from TX- to ANT-port.

However, this 3dB insertion loss may be unsuitable for various operations. In this case, the location of the skew at the TX-port can be changed [18]. This change may be towards ANT- or BAL-port depending on the operation. In this state, ANT- and BAL-ports will not divide the incoming TX-port signal equally but will split with a ratio as following equation [19],



$$r = \frac{P_{TX-ANT}}{P_{TX-BAL}} = \sqrt{\frac{L_{ANT}}{L_{BAL}}} \quad (8)$$

$$L_{ANT} = tL \quad (9)$$

$$L_{BAL} = (1 - t)L \quad (10)$$

$$0 < t < 1 \quad (11)$$

Where  $r$  is defined as power ratio,  $P_{TX-ANT}$  is power transferred from TX- to ANT-port,  $P_{TX-BAL}$  is power transferred from TX- to BAL-port,  $L$  is the total inductance of autotransformer,  $t$  is the ratio of primary coil to the secondary coil and  $L_{BAL}$  and  $L_{ANT}$  are the inductance values of BAL- and ANT-port inductors, respectively. If  $t$  value is changed in to favor of ANT- or BAL-port, the impedance conditions must be revised. These properties can be also observed in Figure 16. In Figure 16, the red lines demonstrate the path of the received signal from the antenna to the system. Also, red *ISOLATED* tag highlights the bi-conjugacy between ANT- and BAL-ports. Similarly, blue lines in Figure 16, denotes the path of to-be-transmitted signal in the system. In this case, the RX-port will be isolated with respect to the TX-port.

Like TX- and RX-port bi-conjugacy, the ANT- and BAL-ports are electrically isolated from each other. The signal coming from the ANT-port is divided between TX- and RX-port. In ideal conditions, center tapped ( $r = 1$ ) and with  $k_m$  of 1, the signal will be split into two equal powers. Nonetheless, if the skew location is changed from the center to ANT- or BAL-port side there will be exact opposite response of the TX insertion loss at the RX insertion loss value. This behavior can be summarized with the following equations [19].

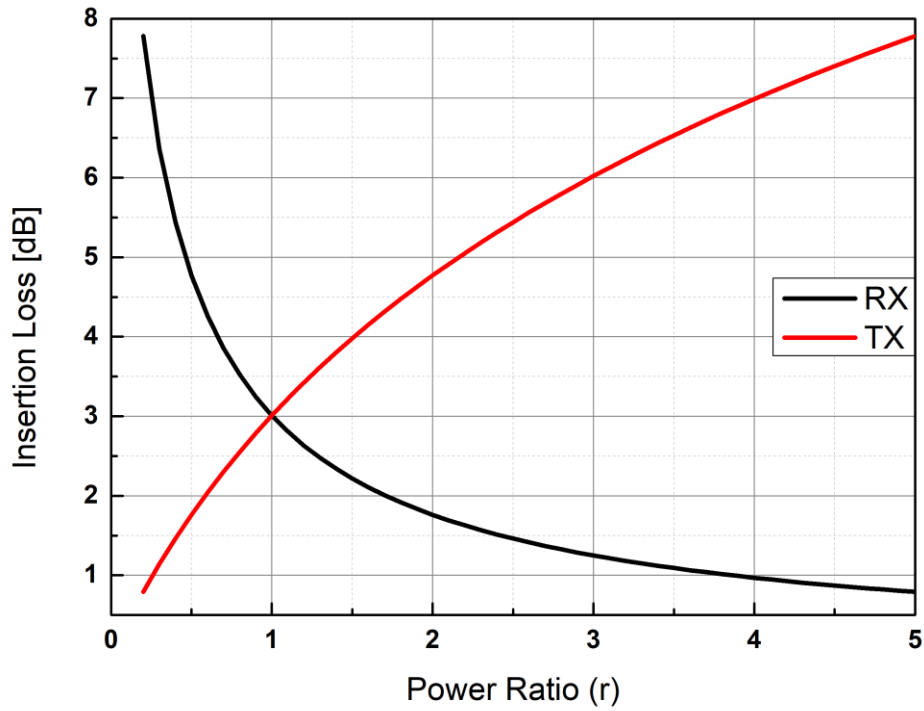
$$r = \frac{P_{RX-BAL}}{P_{RX-ANT}} \quad (12)$$

$$IL_{TX} [dB] = 10 \log \left( 1 + \frac{1}{r} \right) \quad (13)$$

$$IL_{RX} [dB] = 10 \log(1 + r) \quad (14)$$

$$Z_{ANT} = rZ_{BAL} \quad (15)$$

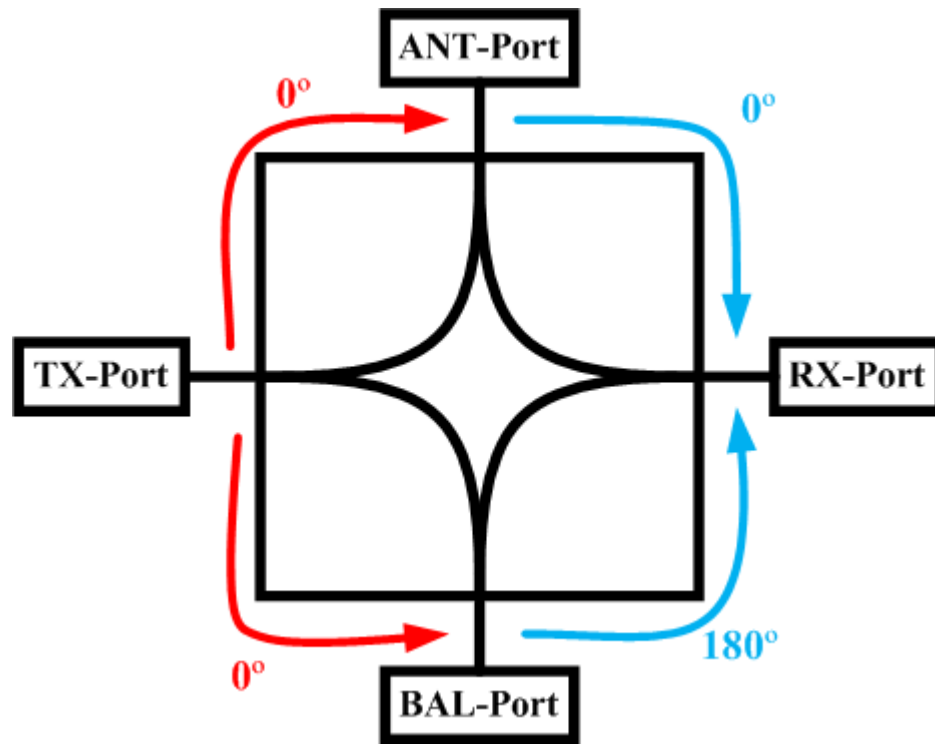
Where  $P_{RX-ANT}$  is power transferred from RX- to ANT-port,  $P_{RX-BAL}$  is power transferred from RX- to BAL-port and  $IL_{TX}$  and  $IL_{RX}$  denotes the insertion loss value from TX- to ANT-port and ANT- to RX-port, in order. Insertion losses of both RX- and TX-paths with respected to the power ratio according to equations (13) and (14) can be seen in Figure 17.



**Figure 17:** TX and RX insertion loss values with respect to changing power ratio

### 2.4.3 180° Phase Difference

In hybrid junctions, there is 180° phase difference at one of the port-to-port transitions. Figure 18 depicts the phase differences of the split signals. Red lines are TX mode signals and there is no phase difference with the one that goes to the ANT-port



**Figure 18:** Phase differences between ports

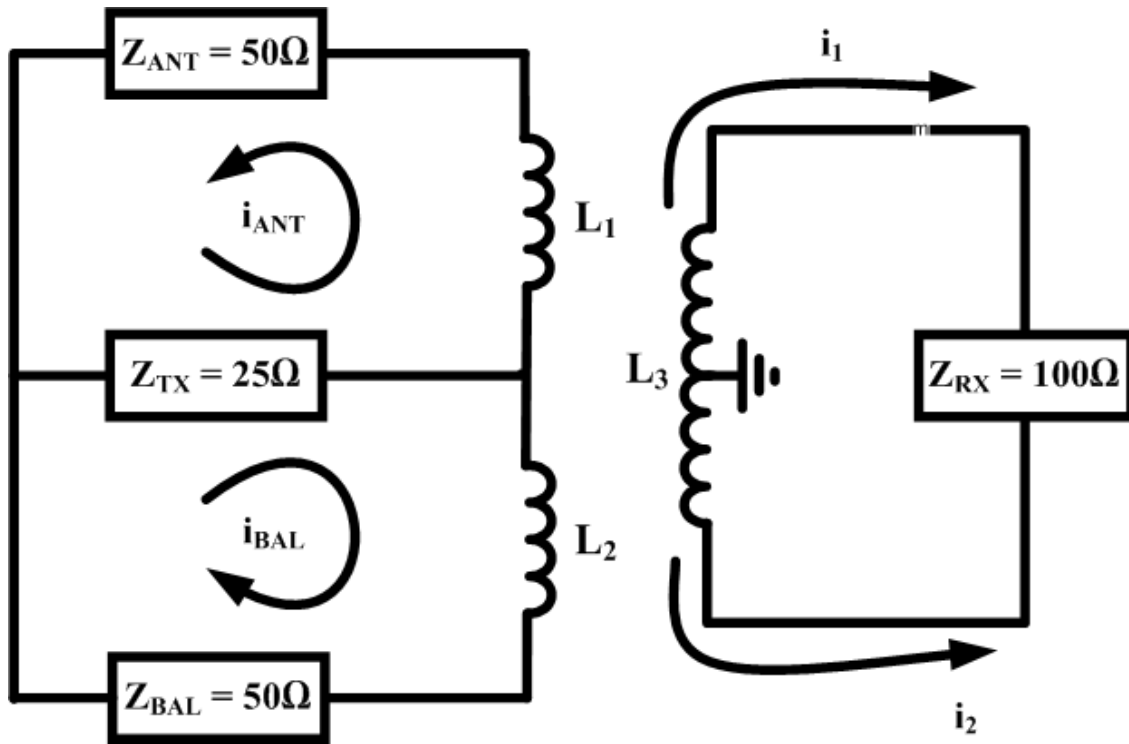
and with the one which flows to BAL-port. Blue lines denote RX mode signals. In this case, there should be 180° phase difference between two signals.

### 2.4.4 Hybrid Transformers Operating Principle

Hybrid transformers are constructed with one autotransformer and another center tapped (ground-tapped) inductor in magnetic coupling range. However, for this topology, the RX-port is not directly connected to the ANT-port; rather it is connected to ANT-port via the effect of magnetic flux of these two coils. In this case, the impedance requirement (7) will be changed due to the additionally introduced RX-port coil. This topology can be

manipulated like autotransformers in the sense of location of the TX-port. The skewed point of the autotransformer can be at the center or in the favor of either ANT- or BAL-port. The final formula for impedance balance condition for the hybrid transformers is the following.

$$Z_{ANT} = \frac{Z_{BAL}}{r} = \left(\frac{1+r}{r}\right) Z_{TX} = \left(\frac{1}{1+r}\right) \left(\frac{L_1 + L_2}{L_3}\right)^2 Z_{RX} \quad (16)$$



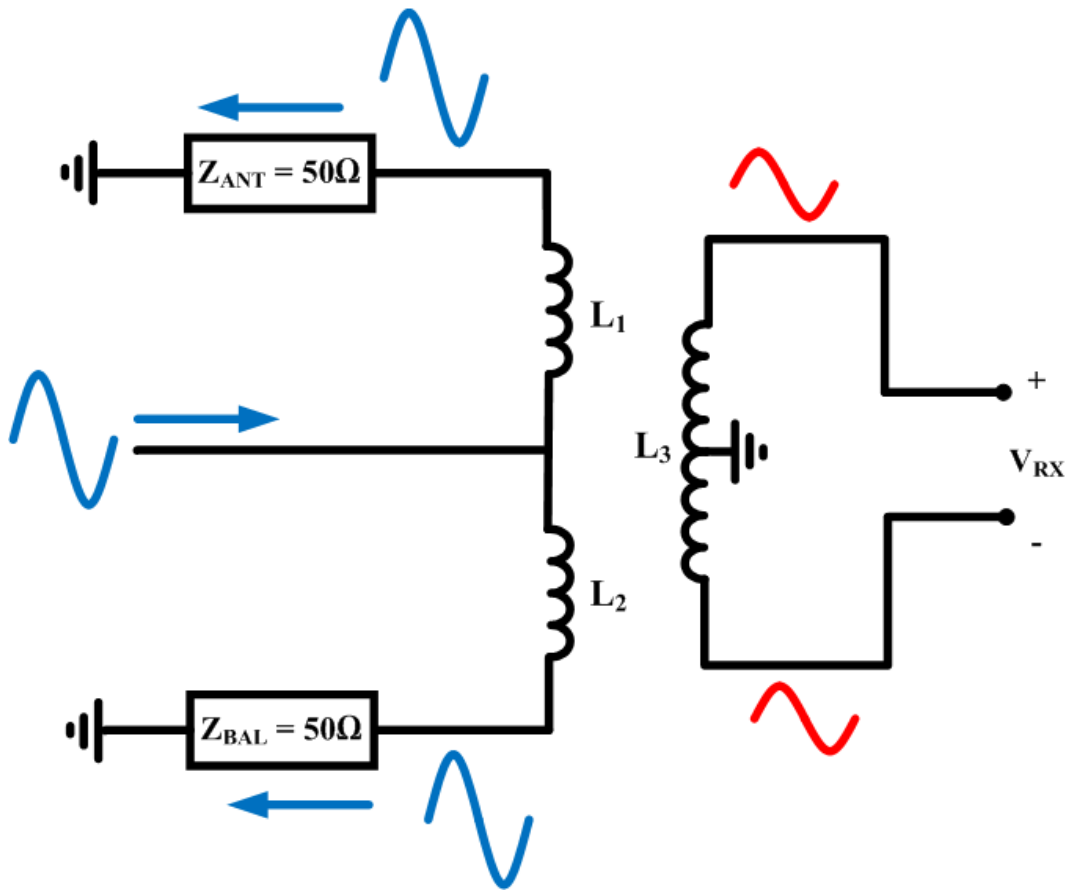
**Figure 19:** Hybrid transformer and currents

In Figure 19, a hybrid transformer and the currents flowing on the coils can be seen.  $i_1$  and  $i_2$  denote the coupled currents from  $L_1$  and  $L_2$  to  $L_3$ . The magnitudes of these currents depend on coupling coefficient between inductors and the position of the taps. The relation can be formulized as following equations.

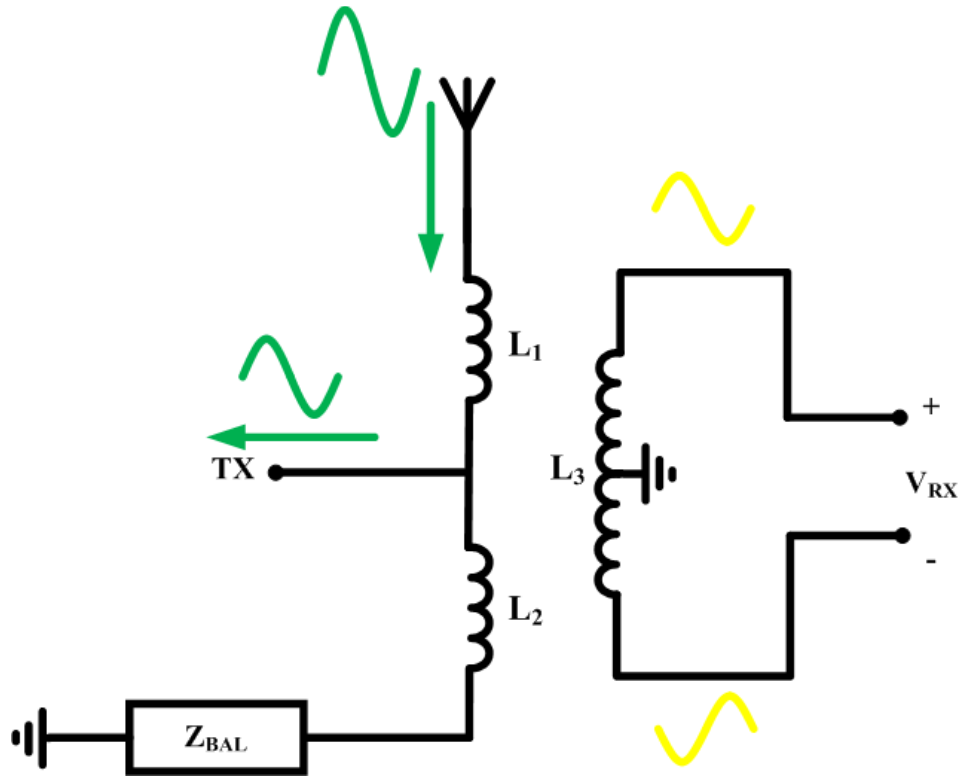
$$i_1 = k_{m1-3} \sqrt{\frac{L_1}{L_3}} i_{ANT} \quad (17)$$

$$i_2 = k_{m2-3} \sqrt{\frac{L_2}{L_3}} i_{BAL} \quad (18)$$

Where  $k_{m1-3}$  and  $k_{m2-3}$  are the coupling coefficients between  $L_1-L_3$  and  $L_2-L_3$ , respectively. If the position of the taps are selected to create almost perfect symmetry, the magnitudes of  $i_1$  and  $i_2$  will be equal but their directions will be opposite to each other and they will cancel each other out. Another approach for this topology is voltage waveform analysis and this approach clarify the working principal if hybrid transformers as duplexers.



**Figure 20:** Common mode signals in TX mode



**Figure 21:** Differential signals in RX mode

Figure 20 shows the behavior of the hybrid transformer with an incoming signal from the TX-port. A signal generated from the TX-port is divided into two identical signals which are flowing into ANT- and BAL-ports. These signals create two common mode signals at the two end of the coil  $L_3$ . In this analysis, the effective voltage at the differential RX-port is basically voltage difference between each terminal of  $L_3$ . Due to this property, the common leakage to the RX-port can be diminished [19].

Figure 21 depicts the signal flows while considering RX mode operation in the duplexer. A portion of the received signal from the antenna will leak to the TX-port. Also, that received signal creates two differential signals at the terminal of RX-port with the help of grounded center tap at the coil  $L_3$ . This creates an opportunity to design a differential amplifier for the RX-port.

## 2.5 Balancing Network

As explained before, the impedance seen from ANT-port is changing continuously due to the environmental changes of the user and device. In order to properly isolate the EBD and provide the balance condition, the impedance of the BAL-port must follow the ANT-port's impedance. For hybrid transformer's BAL-port, a balancing network is used to mimic the impedance of the ANT-port. While matching the ANT-port's impedance according to previously mentioned impedance requirements, the balance network must have crucial specifications such as wide impedance tuning range and high tuning resolution to be able to catch small differences in ANT-port impedance to sufficiently provide EBD isolation in any given time. Wideband impedance match and high-power handling capacity are other considerations for balancing networks. All of these requirements are specific to antenna type and desired operation.

This network can be designed with resistor-capacitor tanks [19], inductor-capacitor tanks with tunable capacitors and fixed/tunable resistors or inductors.

The isolation value  $ISO_{TX-RX}$  between TX- and RX-ports is a function of frequency,  $Z_{BAL}$ ,  $Z_{ANT}$ , and the power ratio  $r$ . The relation can be expressed with the following formula [13].

$$ISO_{TX-RX} = 20 \log \left\{ |\Gamma_{ANT}(\omega) - \Gamma_{BAL}(\omega)| - \frac{1+r}{\sqrt{r}} \right\} [dB] \quad (19)$$

Where;

$$\Gamma_{ANT}(\omega) = \frac{Z_{ANT}(\omega) - Z_0}{Z_{ANT}(\omega) + Z_0} \quad (20)$$

$$\Gamma_{BAL}(\omega) = \frac{Z_{BAL}(\omega) - Z_0}{Z_{BAL}(\omega) + Z_0} \quad (21)$$

And where,  $Z_0$  denotes the characteristic impedance (which is generally  $50\Omega$  in integrated circuit design industry),  $\Gamma_{ANT}(\omega)$  and  $\Gamma_{BAL}(\omega)$  are the frequency dependent

reflection coefficients of ANT- and BAL-ports, respectively. The important part of the (19) is the  $|\Gamma_{ANT}(\omega) - \Gamma_{BAL}(\omega)|$ ; because it defines a circle in the Smith Chart. This means that, for a given ANT-port impedance, the provided isolation from the duplexer is almost the same for a circle of BAL-port impedance around the ANT-port impedance on the Smith Chart.

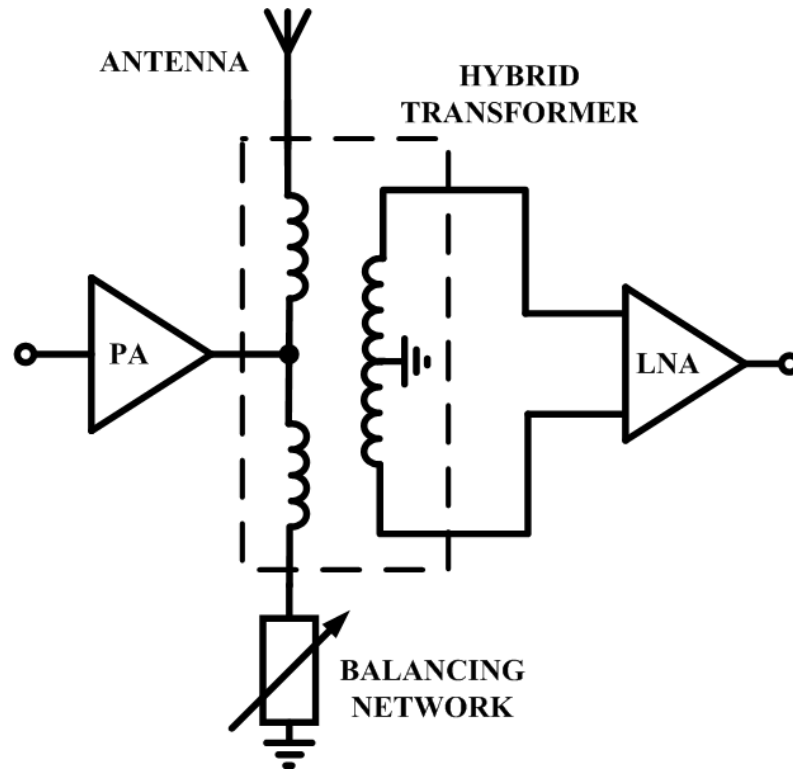
While explaining the balancing network and the isolation provided by it, two other terms are needed to be described. These are impedance resolution and isolation bandwidth. Impedances of the balancing network is changing both in real and imaginary planes and impedance resolution is the step size between two adjacent impedance values which are achieved by tuning the balance network. Isolation bandwidth is the frequency range that pre-determined isolation value is provided with a state of the impedance tuner.



## 3 Full Duplex System with Electrical Balance Duplexer

### 3.1 Introduction

In this thesis, a core chip block prototype for in band full-duplex operated transceiver modules, which operates at Ka-band for 5G applications, is proposed. The sub-blocks of the core chip block are a hybrid transformer, a balance, a low noise amplifier (LNA) and a power amplifier (PA). Block diagram of the overall system can be seen at Figure 22. All the sub-blocks are designed and combined as integrated circuits. All of the work had been fabricated by IHP Microelectronics with  $0.13\mu\text{m}$  SiGe/BiCMOS technology (SG13S).



**Figure 22:** Block diagram of full-duplex core circuitry

The EBD structure is the most important block of the system; because its performance will affect all of the performance specifications of the whole system such as proper signal transfer between ports, transmitted output power, noise figure and area. The EBD's performance is determined by both hybrid transformer and balancing network.

While designing the hybrid transformer, considered specifications were the bi-conjugacy impedance requirement, TX- to RX-port isolation, area, low TX- to ANT-port insertion loss to not to degrade the transmitted output power and low ANT- to RX-port insertion loss to keep the minimum detectable noise level of the system low, in order. At the BAL-port of the EBD a balancing network, at the TX-port of the EBD a PA and at the RX-port of the EBD an LNA is connected. Balancing network required high impedance range, high impedance resolution and digital control of the tuner. PA design considerations were high gain and high output compression point and lastly, LNA design considerations were high gain, high output compression point and low noise figure values.

## **3.2 EBD Design**

### **3.2.1 Hybrid Transformer Design**

The part of the design of the hybrid transformers or generally all transformers depends on invariable process metrics like the technology specifications such as metal thicknesses, metal resistances, the minimum distance value between each layer of metals, the dielectric constant of the substrate etc. The designer must take the impedance transformation ratio, inductances of each coil, the mutual inductances and the insertion losses into consideration while determining the geometry of the transformer. The schematic of the hybrid transformer can be observed in Figure 21.

To start the hybrid transformer design, the first step was determining the most important geometric property, the turn ratio. The duplexer and the balance network are dominating the area of the core full-duplex structures; because of that the turn ratio for this work's transformer selected as 1:2. The small turn ratio is increasing the insertion loss from ANT- to RX-port, therefore the noise figure parameter [14]. However; it will provide a significantly smaller area when compared to its counterparts. According to the (7) for bi-conjugacy; if we select the  $50\Omega$  impedance as the reference for both  $Z_{ANT}$  and  $Z_{BAL}$ , there will be differential  $100\Omega$  and singular  $25\Omega$  impedances at the RX-port and TX-port, respectively. These impedance values are also operable for their follower circuitries. The

differential  $100\Omega$  is an impedance which is favourable for LNA's gain and noise figure parameters, the singular  $25\Omega$  is relaxing the high output power requirement of the PA's either.

While working on transformers the first milestone was utilizing a frequency dependent component model of a randomly drawn transformer so that we can built the desired transformer onto this component model. In order to do so, a transformer with 2:1 turn ratio is drawn with using ADS Layout tool and EM simulations were made with ADS Momentum. The simulations were 4-port simulations. One port of each coil set as a reference node for the other terminal of the same coil. The performance metrics of the transformers such as inductance of each coil and mutual inductance.

$$Ind = 10^9 \times \frac{imag(Z(1,1))}{2\pi \times freq} \quad (22)$$

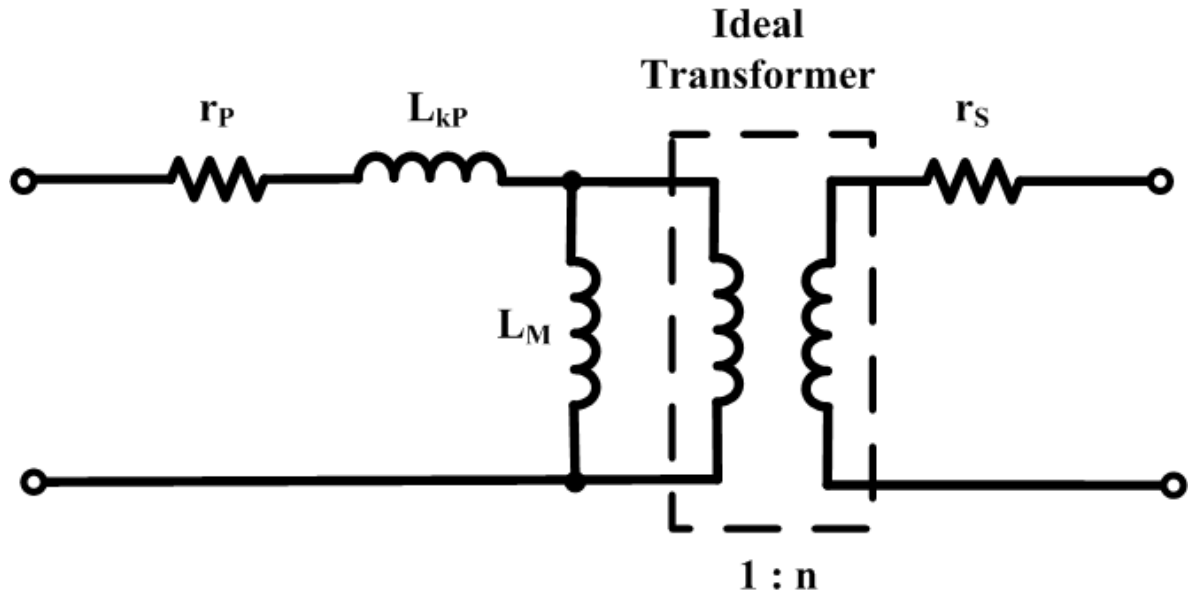
$$Ind_{MUT} = -10^9 \times \frac{imag(Z(2,1))}{2\pi \times freq} \quad (23)$$

Where  $Ind$  represents the inductance value in pH scale, while  $Ind_{MUT}$  denotes the mutual inductance between two coils.  $Z(X, X)$  shows an element of the Z-matrix of simulated structure. Lastly,  $freq$  show the frequency value which inductance value is calculated at.

After getting the results from the EM simulator, the low-frequency model of the transformer was tried to match with ADS Schematic Simulation Tool. In the schematic simulations, the model in Figure 23 had been used. Here,  $r_P$  and  $r_S$  denote the parasitic resistances of the primary and the secondary coil, in order.  $L_{kP}$  represents the leakage inductor and  $L_M$  shows the mutual inductance. Their values are given by following equations.

$$L_{kP} = (1 - k_m^2)L_P \quad (24)$$

$$L_M = k_m^2 L_P \quad (25)$$



**Figure 23:** Schematic model of a transformer [16]

For the ideal transformer part of the low-frequency model, the ideal transformer component from ADS Library had been used. After being sure that the component model's schematic results are matching the EM simulation results; the desired performance of the transformer was set via low-frequency model.

After getting the inductance results from the schematic simulations, next thing done was doing various iterations in the design of the transformer using the EM simulator to able to determine the geometric shape of the transformer -square, hexagon, octagon-, the turn numbers, which metal to use, the metal widths and how the metals separation should be. The transformer has one turn for its primary coil and two turns for the secondary coil. In order to realize the transformer, mainly, the *TopMetal2* thick metal layer of SG13S technology is used for both the primary and the secondary coils. For the overlapping parts for the continuity of the turns, *TopMetal1* is used. When *TopMetal1* layer was in the way, the *Metal5* layer is used. In the design of the transformer, top three layers of the SG13S technology have been used. *TopMetal2* and *TopMetal1* layers are the top and the thickest metals of the technology. They have  $3\mu\text{m}$  and  $2\mu\text{m}$  thickness, respectively. This property of them providing less parasitic resistance when compared to their thinner counterparts; therefore, they are introducing lesser loss to a signal flowing through them. The *Metal5* layer is one the thin metals of the technology; however, it is their highest thin metal and has

the thickness of 0.49 $\mu\text{m}$ . When compared to other thin metal layers; its position contributes to *Metal5* layer's favourability by decreasing the capacitive coupling to the substrate. All the properties of these highest layers are helping to avoid the parasitic effects that might harshly deteriorate the overall system performance.

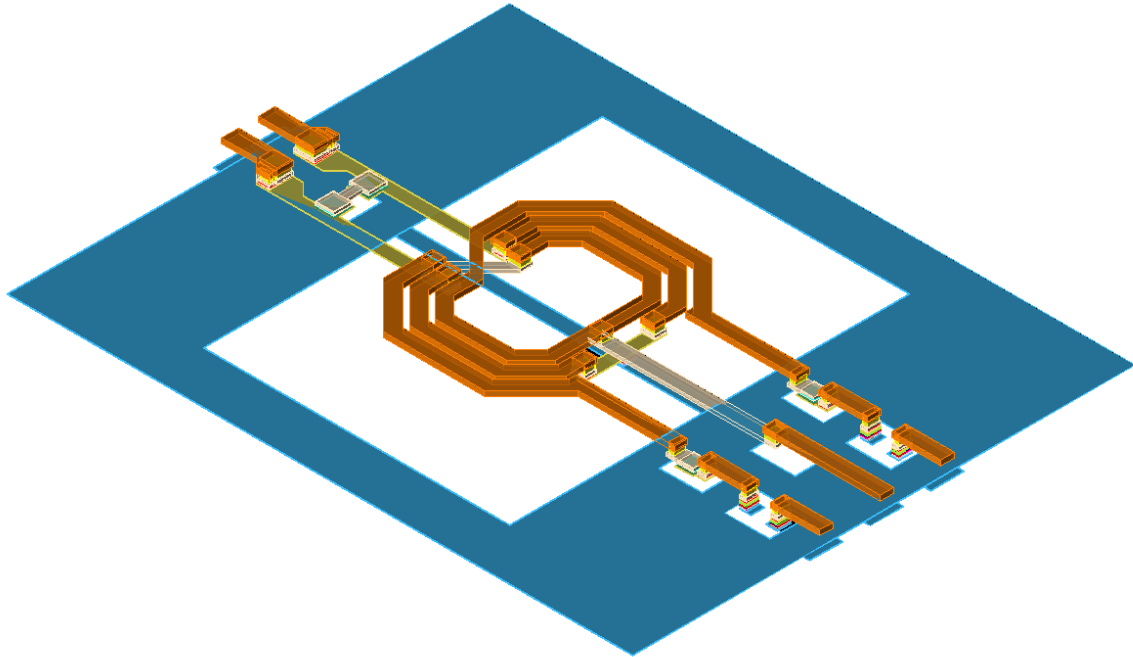
In the design of the transformer, for both of the coils 8 $\mu\text{m}$  metal width had been used and the metal separation was done with 3 $\mu\text{m}$ . The primary coil has the self-inductance value of 243pH, quality factor of 13.64 and the secondary coil has the self-inductance of 558pH, quality factor of 13.5. Where the quality factor of the inductor ( $Q_L$ ) is given by the following formula.

$$Q_L = \frac{\text{imag}(Z_L)}{\text{real}(Z_L)} \quad (26)$$

Where  $Z_L$  denotes the impedance of an inductor, *imag* and *real* notation show the imaginary and real part of an impedance, respectively.

The coupling coefficient  $k_m$  is equal to 0.64. The transformer has inner diameter of 76 $\mu\text{m}$  and outer diameter of 136.44 $\mu\text{m}$  which leads to area of slightly under of 0.03 $\text{mm}^2$ . A ground layer is added from 30 $\mu\text{m}$  away from each outer metal lines of the transformer in order to mimic the post-fabrication conditions.

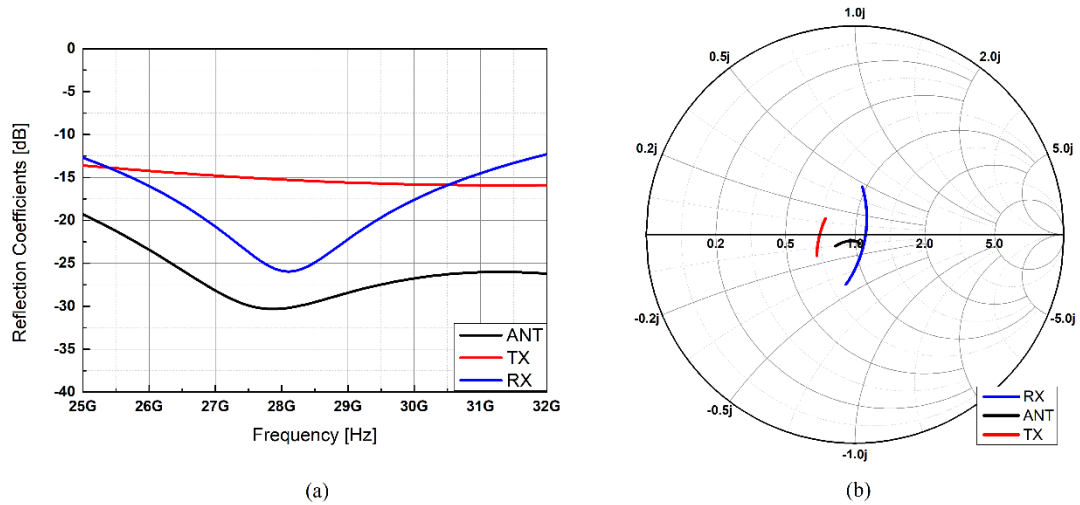
After adjusting the monolithic transformer; the next step was making the necessary changes to realize the hybrid transformer. In order to do so; the distance between the terminals of the secondary coil is increased and from the exact middle of the secondary coil a centre tap is created for the TX-port with *TopMetal1* layer. The metal width of this centre tap is also 8 $\mu\text{m}$ . The other terminals of the secondary coil are utilized for ANT- and BAL-ports. For the primary coil, a grounded centre tap was required in order to create a 180° phase difference between the differential ports of the RX side. To able to create the grounded centre tap the middle part of the primary coil is moved to the *Metal5* layer; because of the *TopMetal1* line for the TX-port. The Metal layer is changed with via-stacks of 8x8 $\mu\text{m}^2$  from *TopMetal2* to *Metal5*. The ground is carried to the primary coil with a 9 $\mu\text{m}$



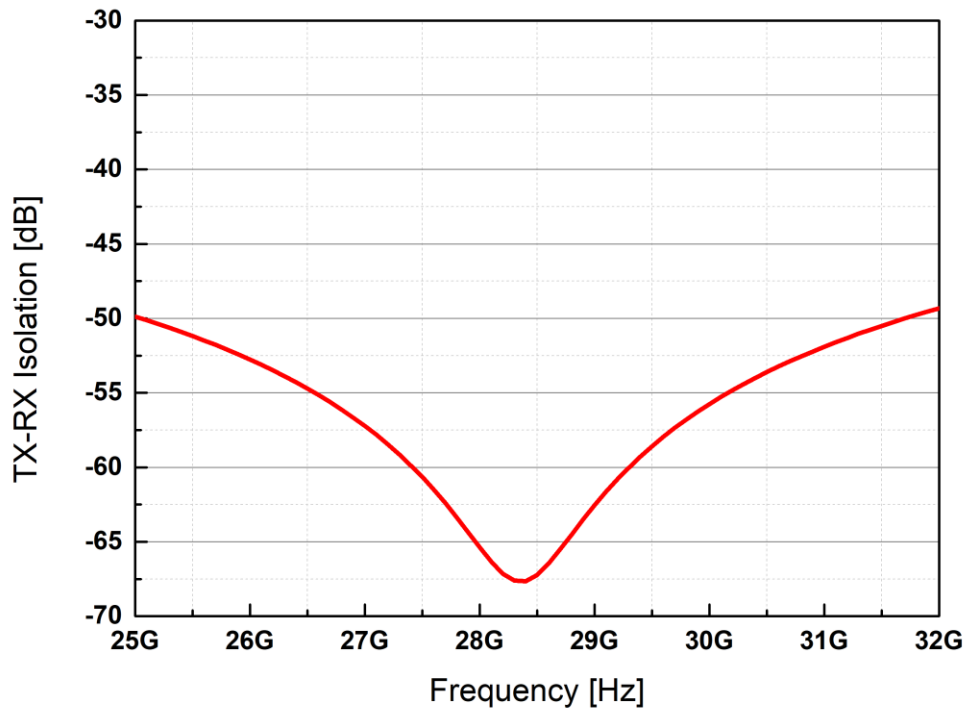
**Figure 24:** EM view of the designed hybrid transformer

width *Metal1* layer which lies from the most left ground layer of the transformer to the most right. In order to ground the *Metal5* layer via-stack of  $8 \times 9 \mu\text{m}^2$  from *Metal5* to *Metal1* layer is used. However; the grounding wasn't done at the exact middle of the primary coil. To get the phase difference as close as to the  $180^\circ$  and to compensate for the imperfection in the symmetry, the tap had been realized slightly above of the exact centre. The final geometric shape of the hybrid transformer can be seen in Figure 24.

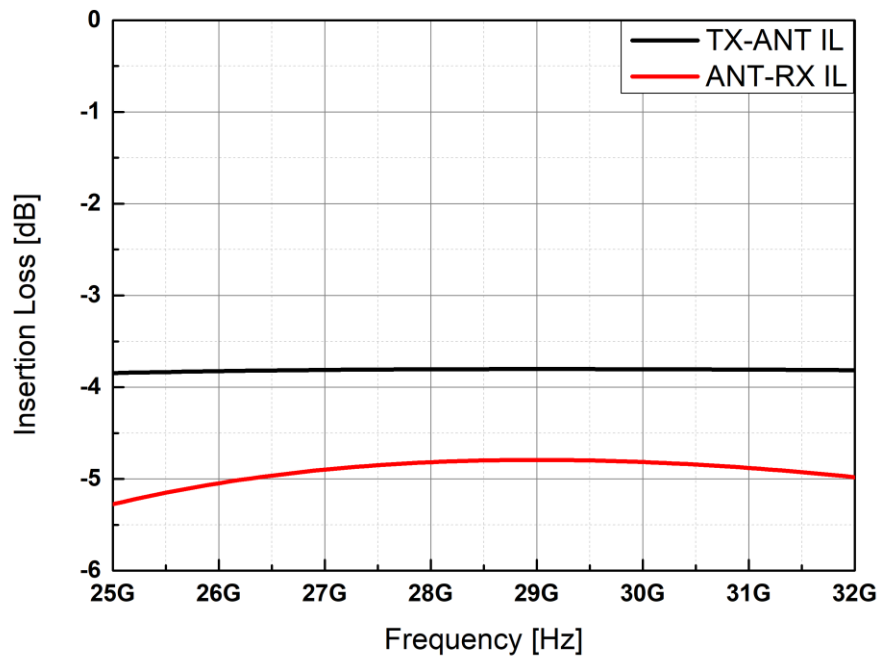
The ANT- and BAL-port are matched to  $50\Omega$  impedance with series capacitors to build the work on top of them easier. These capacitors are realized by *cmim* components of SG13S technology which are metal-insulator-metal (MIM) capacitors. Their values are  $134\text{fF}$  with the dimensions of  $9.385 \times 9.385 \mu\text{m}^2$ . The RX-port is matched to differential  $100\Omega$  impedance with two shunt capacitances from each terminal of the primary coil. These capacitors are also realized with *cmim* component of SG13S. Their values are  $216\text{fF}$  with the dimensions of  $11.875 \times 12 \mu\text{m}^2$ . With these requirements met, the TX-port was matched to  $25\Omega$  impedance.



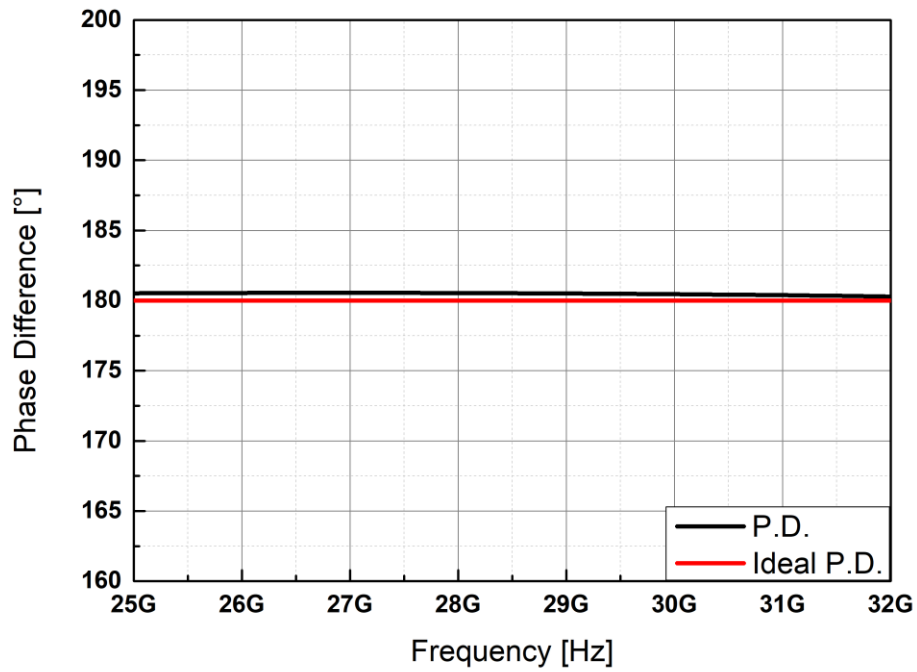
**Figure 25:** Simulated reflection coefficient seen from ports of hybrid transformer a) dB scale b) Smith Chart representation



**Figure 26:** Simulated TX-RX isolation with fixed resistances at ANT- and BAL-port



**Figure 27:** Simulated TX- to ANT-port and ANT- to RX-port insertion loss values



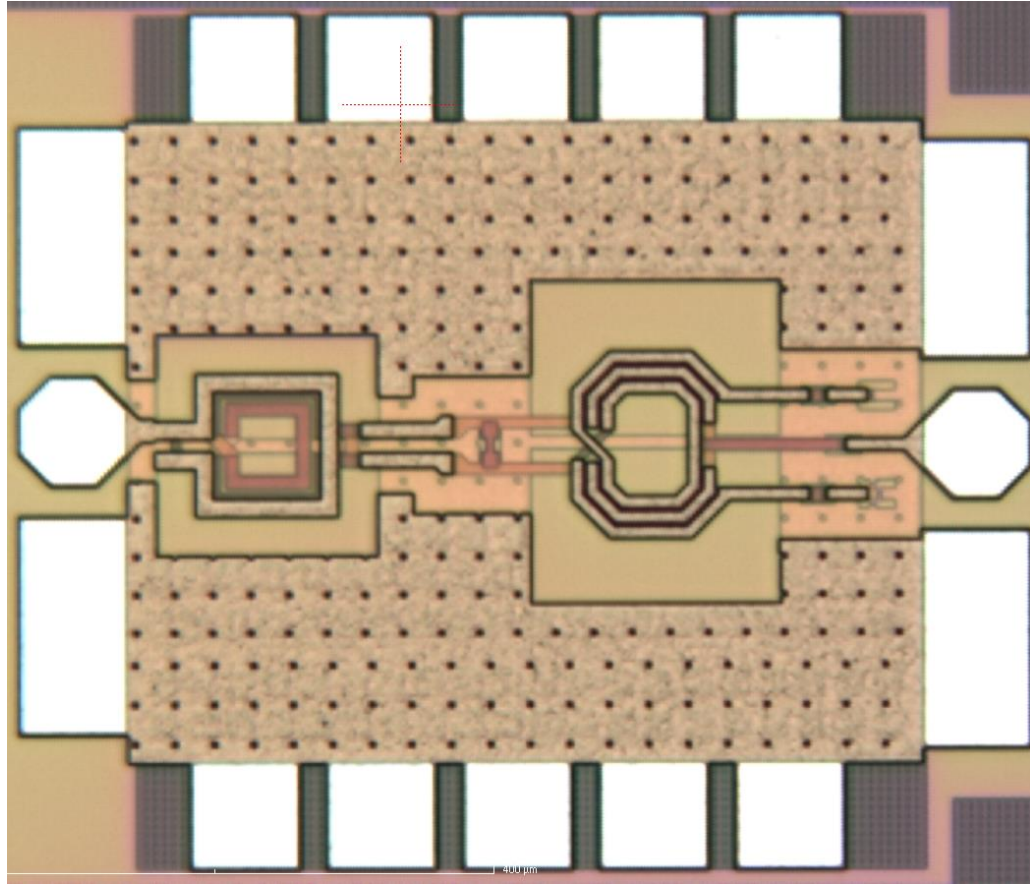
**Figure 28:** Simulated phase difference between two differential terminals of RX-port



In Figure 25, the simulated reflection coefficients of ANT-, TX- and RX-ports can be seen. The RX-port is matched to differential  $100\Omega$ , the ANT-port is matched to  $50\Omega$  and lastly, TX-port is matched to  $25\Omega$ . These impedance values are also met the (7). For all of the ports and their respective matched impedance values, reflection coefficients are smaller than  $-10\text{dB}$  in all of the aimed frequency bandwidth. Figure 26 shows the simulated TX- to RX-port isolation value. It is better than  $50\text{dB}$  in all of the frequency band and peaking at around  $28\text{GHz}$  with  $68\text{dB}$ ; however, for this case at the ANT- and BAL-ports, there are fixed resistors. This is the reason for the large bandwidth. Figure 27 depicts the simulated TX- to ANT-port and ANT- to RX-port insertion losses. TX-ANT path has around  $3.8\text{dB}$  loss at  $28\text{GHz}$  and ANT-RX path has  $4.7\text{dB}$  insertion loss. Figure 28 shows the simulated phase difference value between the differential terminal of the RX-port. It is almost identical to the ideal phase difference of  $180^\circ$  with the maximum of  $0.5^\circ$  difference.

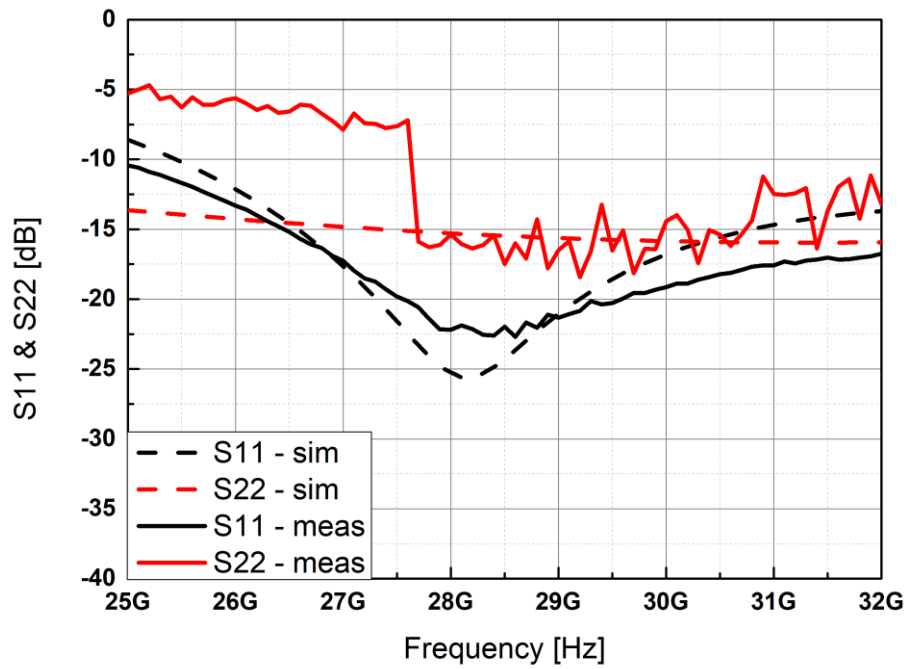
In this condition of the hybrid transformer, it was not possible to measure; due to the necessity of having differential (GSGSG) probe for contacting the differential RX-port. In order to overcome this problem at the differential RX-port; a balance-to-unbalance converter (BALUN) which is a transformer, had been used. This transformer was previously designed by İlker Kalyoncu. With the help of the BALUN transformer; the differential  $100\Omega$  impedance is converted to the singular  $50\Omega$  impedance which is suitable for our measurement system.

To measure the performance of the hybrid transformer with a BALUN transformer at its RX-port is prepared for fabrication. For getting the initial performance results such as the TX- and RX-port impedances, matching and the achievable isolation between the TX- and RX-port; fixed impedances are connected to the ANT- and BAL-ports. These impedances are real impedances, resistances, and their values are  $50\Omega$  and  $51.5\Omega$ , in order. Even though initially the power ratio,  $r$ , selected as one; due to the parasitic effects and the small variances in the symmetry, there is a small difference between the resistances that are connected to ANT- and BAL-ports. The chip photograph of the structure can be seen at Figure 29.

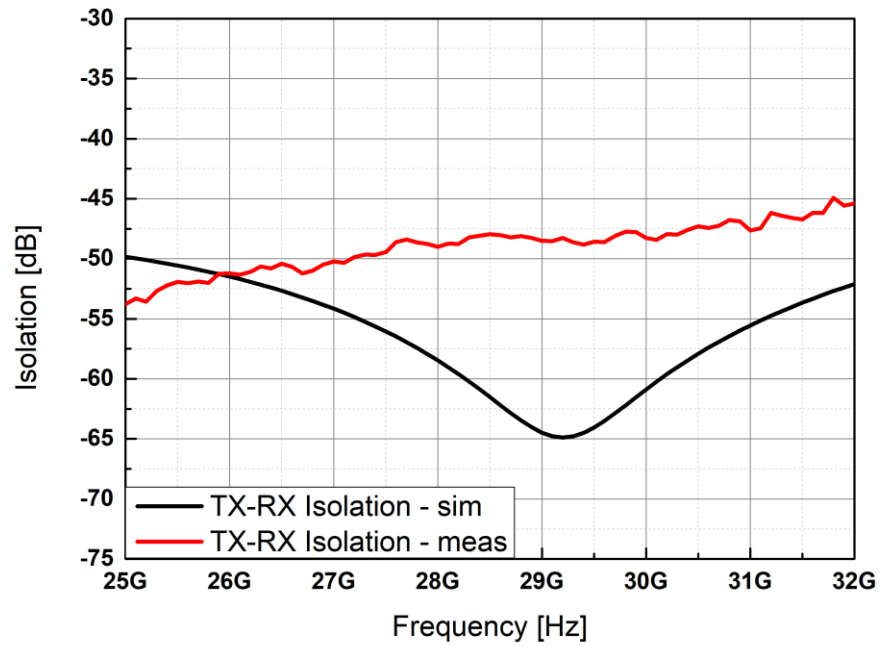


**Figure 29:** Chip photo of fabricated hybrid transformer combined with BALUN transformer

In the layout, the resistances are placed parallel with higher resistance values; in order to decrease the effects of any process variations which is common due to the fabrication steps. For the ANT-port, two  $100\Omega$  *rppd* component of SG13S technology is used. Their dimensions are  $4 \times 1.325\mu\text{m}^2$ . For the BAL-port four  $206\Omega$  *rppd* component of SG13S technology is used. Their dimensions are  $4 \times 3\mu\text{m}^2$ . These resistances are selected; because in the EM simulations, they were providing the maximum available isolation. This approach is not the best way to deduce the performance metrics of a hybrid transformer; because in real life cases, the seen impedances will not be purely real and have their imaginary parts. This effect will change the behaviour of the hybrid transformer, due to the frequency dependent manner of imaginary impedances. Even though, the value of the maximum isolation can be seen in both purely real and imaginary impedances; there will be a drastic change in the isolation bandwidth. However, this approach will give a sense about the overall performance of the hybrid transformer.



**Figure 30:** Measured S11 and S22 behavior of the hybrid transformer-BALUN structure



**Figure 31:** Measured TX-RX isolation value of the hybrid transformer-BALUN structure

For this sub-block, two-port S-parameter measurement is done. In Figure 30, S11 and S22 performance of the hybrid transformer-BALUN structure can be seen. The simulation and the measurement result are almost identical for this figure with small measurement error for S22. Figure 31 shows the measured TX-RX isolation for this structure. Despite the fixed resistances at ANT- and BAL-ports; due to the process mismatches, there is a difference between simulated and measured values. However, even in this case, the isolation is better than 45dB in the whole band.

### **3.2.2 Impedance Tuner**

#### **3.2.2.1 Variable Components**

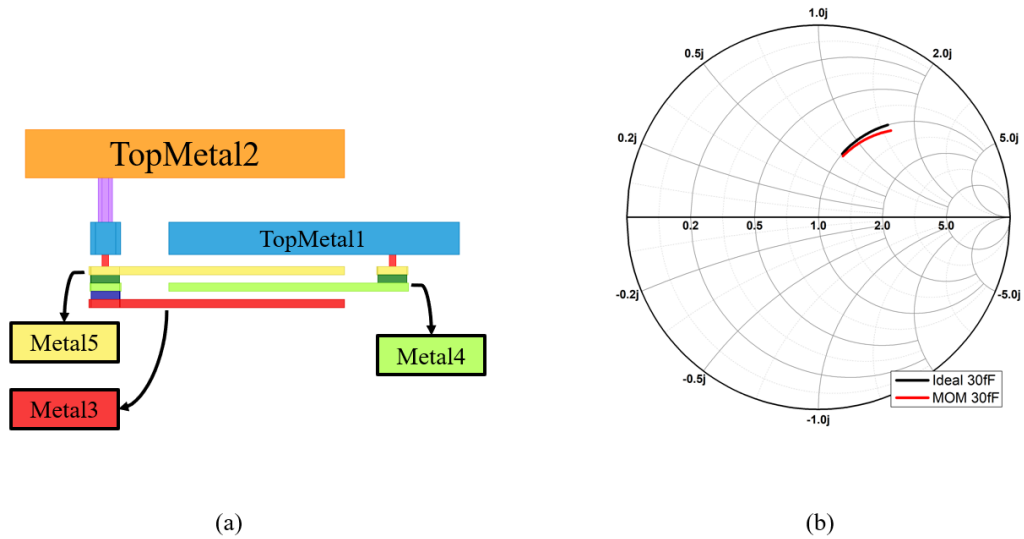
As it mentioned previously, the EBD's need a balance network at their BAL-port to follow the impedance seen from the ANT-port to provide the TX- to RX-port isolation. As a balance network, an impedance tuner can be used. In order to fully design an impedance tuner, the behaviour of the antenna at the desired operation frequency should be known. However, for this work there was not a pre-determined antenna; so that the other important performance metrics of the impedance tuners are considered. These are high impedance tuning range, high tuning resolution, and power handling capacity of the tuner. While designing the impedance tuner, the nominal impedance of the ANT-port selected as  $50\Omega$  and tried to cover as much area in the Smith Chart as possible around the  $50\Omega$  centre with as dense impedance steps as probable.

There are different types of balance networks in the literature that uses various tuneable components such as variable inductors, resistors, and capacitors. These can be implemented with analog controlled networks through components such as varactors. In order to digitally control these systems, switches and component arrays can be used. Even though analog control provides clearer impedance steps, it is hard to modify the control settings in a system. On the other hand, the digitally controlled tuner is friendlier in both the way user switches the impedances and the system integration convenience. One type of the balance network is constituted with variable resistor and capacitor banks; [19] however, using variable resistors are decreasing the linearity performance of the hybrid transformer

[20]. Apart from the varactors and switchable resistors, also variable inductor can be used; but they are both bulky and hard to design elements. Therefore; in this work as a balance network, digitally controlled LC tanks with switch capacitors had been used.

To design the switched capacitors, the first thing done was determining the step size and selecting the minimum and the maximum capacitance values. From the schematic simulations on ADS, the step size was determined as 30fF. To ensure that wide range of impedance covered in the most efficient way, the mentality of passive phase shifters is used. Other capacitance values in a single switched capacitor bank were 60fF, 120fF and 240fF. By this way, a switched capacitor bank which consists of four capacitors and four switches could sweep from 30fF to 450fF with 30fF capacitance steps which was enough to capture any antenna impedance variation around  $50\Omega$ . For the capacitors with the values of 60fF, 120fF and 240fF *cmim* component of the SG13S technology had been used and their dimensions were  $6.26 \times 6.26 \mu\text{m}^2$ ,  $8.88 \times 8.88 \mu\text{m}^2$  and  $12.58 \times 12.58 \mu\text{m}^2$ , respectively. However; for the 30fF, using *cmim* capacitor of the technology was not feasible; because the small dimension that corresponds to such small capacitance value. The small dimensions mean that it would be relatively more sensitive to any process variations when compared to its higher-valued counterparts. Moreover; in this balancing network case, the smallest capacitor is the most important one; because if it appears as higher or lower value, the equal steps between the impedances will change and there will be an undesired accumulation of impedance values at certain locations. The structure and its comparison with ideal component of the 30fF MOM capacitor can be observed at Figure 32.

After determining the capacitance values, the next step was realizing NMOS switches on the each of the capacitances. In relatively high-power operations, designers analysed the effect of high voltages on the balance network's linearity and reliability. However, in this work the aimed TX-port power level is 15dBm and with an ideal loss of 3dB from TX- to BAL-port; there will be 12dBm signal power at the BAL-port at maximum. This corresponds to approximately 0.9V voltage in a  $50\Omega$  system so that it won't affect the behaviour of the balance network.

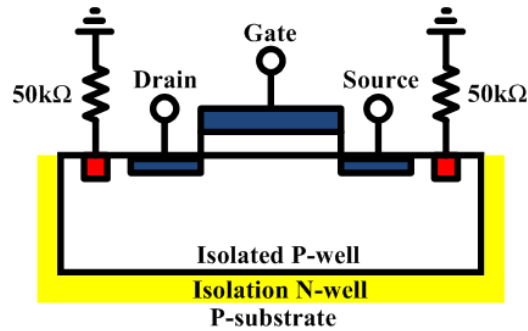


**Figure 32:** a) Layer view of the MOM capacitor b) Comparison of MOM capacitor with ideal component

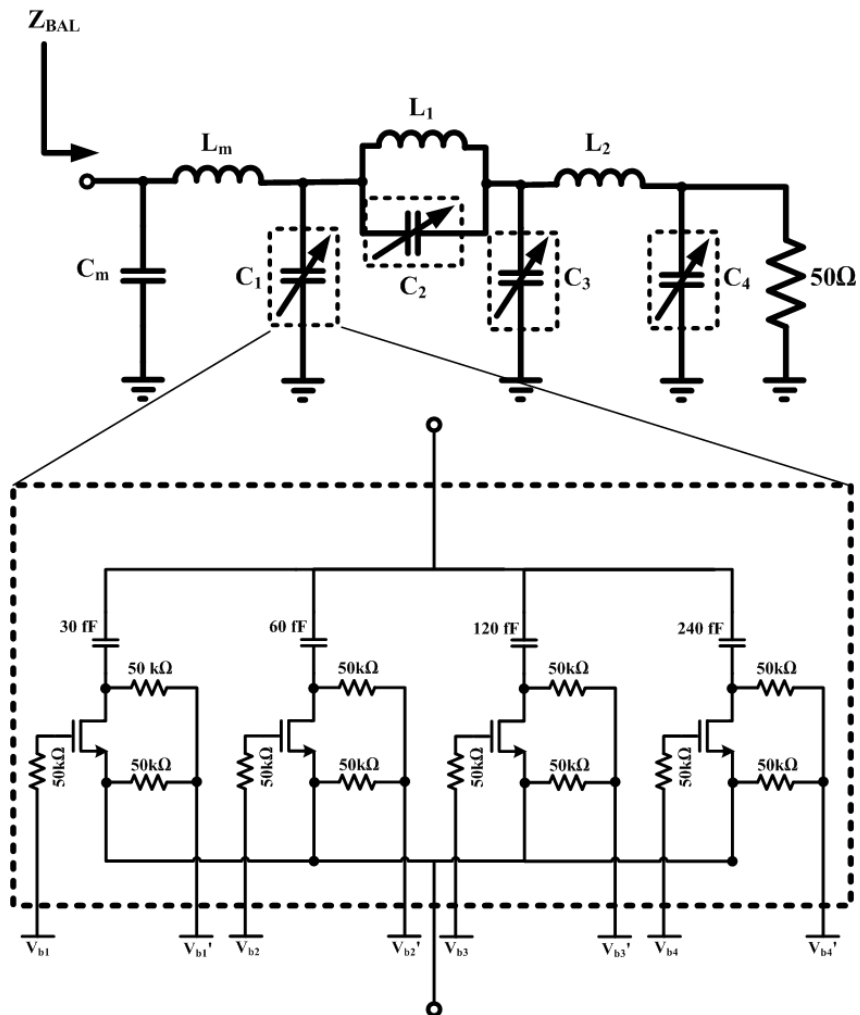
While determining the width of the NMOS's the trade-off in increasing the width is considered. In order to understand the trade-off, both the ON and the OFF state of these switches should be analysed. In the OFF state, if the transistor width is large, the junction capacitances will act as parasitic effects which dominate the linearity behaviour so that for better OFF state performance smaller NMOS' are favourable. However; when the switch is at ON state, the internal open state resistances ( $R_{ON}$ ) of the transistors will affect linearity behaviour in a bad manner. Therefore, the ON state favours larger transistors [20]. The transistor sizes for this work selected as a middle ground for these both cases.

For switches; *nmos* component of the SG13S technology is used. Moreover; with the pre-determined layers defined by the technology, the NMOS transistors realized as isolated transistors. The isolated NMOS's means that the p-doped substrate of the transistors is separated from the main p-doped substrate with an n-doped isolation well. In this case, the body terminal of the transistors can be set to a floating state. The body floating implementation of the transistor leads to decreased insertion loss through the switches and improves the linearity performances of the NMOS transistors. In this work, the body terminals of each switch NMOS's floated with 50k $\Omega$  resistor which is connected to the ground layers. The cross-section can be seen on Figure 33. The transistor widths are

selected as  $30\mu\text{m}$  with 8 gates to keep each terminal of the transistor equal at any given time and the length remained as  $0.13\mu\text{m}$ . Figure 34 shows the schematic of the impedance tuner.



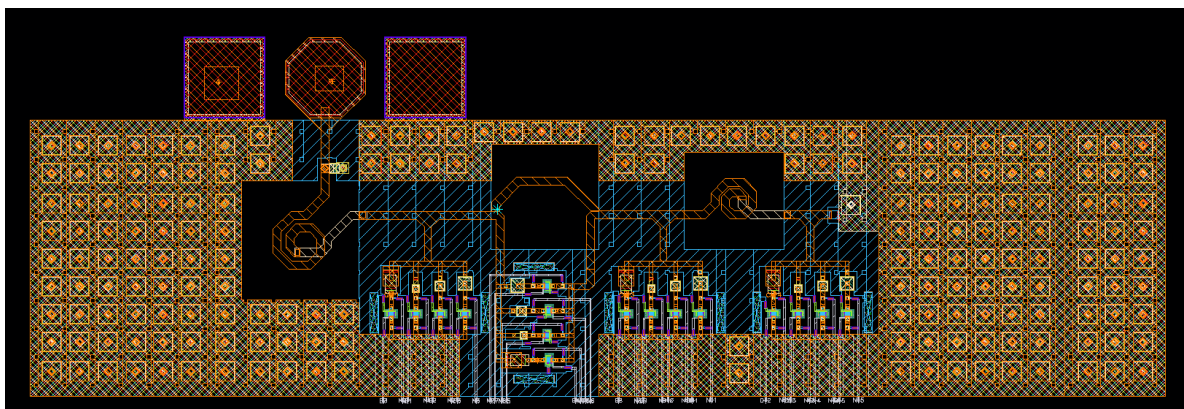
**Figure 33:** Isolated NMOS cross-section



**Figure 34:** Schematic of impedance tuner block

The final capacitor tank consists of four different switchable capacitors; 30fF, 60fF, 120fF, 240fF and can be considered as 4-bit digitally controlled varactor which varies from 30fF to 450fF with 30fF fine steps. At the biases of the switch transistors, high resistance valued components ( $50\text{k}\Omega$  *rhigh* resistor components of the SG13S technology) are used to prevent RF leakage to bias paths. Apart from the gates of the switches, their source and drain terminals are also biased with DC voltages; but their values are the exact opposite of the bias voltage of the gate terminals. While biasing the transistors, 1.2V digital logic is used. All of the four variable capacitor tanks are identical and each of them controlled with a digital block. The inductors  $L_1$  and  $L_2$  set to the values that provide the clearest changes in both real and impedance axis with maximum area coverage on the Smith Chart. Their values are 70pH and 105pH, respectively. At the end of the balance network, a  $50\Omega$  resistance is placed as three  $150\Omega$  *rppd* components in parallel. Their dimensions are  $1.7 \times 0.75 \mu\text{m}^2$ . These resistors ensure that the impedance is seen from the balance network to have a starting point in the Smith Chart. Finally; inductor  $L_m$  and capacitor  $C_m$  are placed at the start of the balance network; in order to carry the scanned impedance area around the  $50\Omega$  centre. Their values are 160pH and 130fF, respectively. Figure 37 shows the swept impedance values of the individual variable capacitor bank.

Simulations of the impedance tuner are completed by ADS using the EM model of the layout. To get the results, each voltage settings of the tuner is swept by S-Parameter simulation. The layout of the tuner which had been drawn on Cadence can be seen in Figure 35.



**Figure 35:** Layout of the impedance tuner



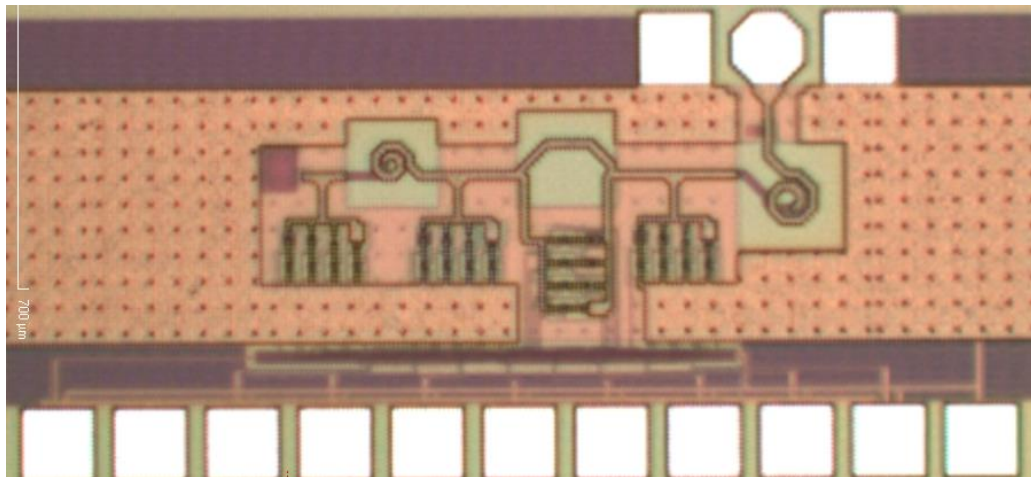
### 3.2.2.2 Digital Block

When fully implemented, this impedance tuner block requires 4-bit control from four identical capacitor banks. In order to deal with all of the switches, using sixteen different DC bias paths is bulky and costly. That would increase the area of measurement prototype; because it would require sixteen different pads just for these DC biases. Also, in system integration sense; routing sixteen DC bias path will be both inefficient and area consuming. Therefore; to able to control the impedance tuner a digital serial to parallel interface (SPI) block had been designed. In SPI standards, generally one input is taken each clock cycle, however; for this case, supplying 16-bit data will take sixteen clock cycles which is quite long. The longer time required for creating an impedance setting would increase the overall measurement time of this balance network by an ample amount when the total number of impedance settings is considered  $2^4 * 2^4 * 2^4 * 2^4$ -. So that instead of taking 1-bit input per clock cycle, the digital block is designed to work sequentially and to take 4-bit input in each clock cycle; in other words, in four clock cycles, digital blocks pushes all the valid data required for an impedance setting. The smaller time required for each measurement will lead to the larger possible sample size which means more accurate results for the balancing network.

The digital control block is firstly designed and simulated with Verilog using Xilinx ISE Design Suite. It works sequentially and works on simple two staged state machine. The code has four inputs namely; *data\_In*, *clk*, *start*, *lead* and *reset*. *data\_In* is the 4-bit input signal which will be supplied every clock cycle, *clk* is the clock of the code, *reset* is the flag that resets the system and finally *start* is the flag that is supplied by the user to imply that code should start to work. First, the user should use *reset* signal to reset the control code. Then, when the user is ready to supply 4-bit inputs, the start flag should be set to 1. In that state, a *lead* flag is raised so that incoming data can be pushed to the output. In four clock cycles, the code generates the two 16-bit outputs which are *data\_Out* and *data\_Out\_inverse*. The *data\_Out* signal is supplying the gates of the switches and the *data\_Out\_inverse* signal is supplying the voltage to the source and the drain terminals of the switch transistors.

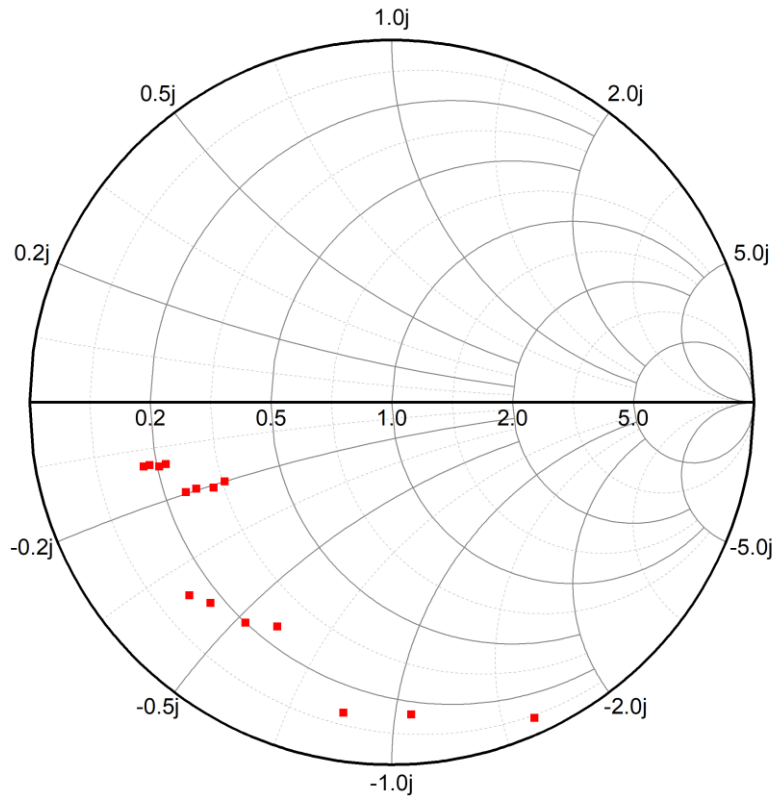
After implementing and simulating the Verilog code, the next step was generating the netlist of the code to extract the layout. The netlist of the code generated with Synopsis. Later on, with generated netlist file and the design files (.lef files) of the IHP Microelectronics the layout of the digital block is generated via Cadence Encounter. Again, for this block, the standard cells of the SG13S technology had been used. These standard digital cells work with 1.2V digital logic. The dimensions and the pin locations of the digital block specified in the Cadence Encounter so that it can directly fit the overall system layout.

At the final stage of the layout; the electrostatic discharge (ESD) protection is added to the pads that are connected to the digital block so that ESD won't damage the already fragile transistors in the control circuitry. In order to do so, for each of the pads, two diodes back-to-back are placed. The chip photo of the impedance tuner can be seen in Figure 36.

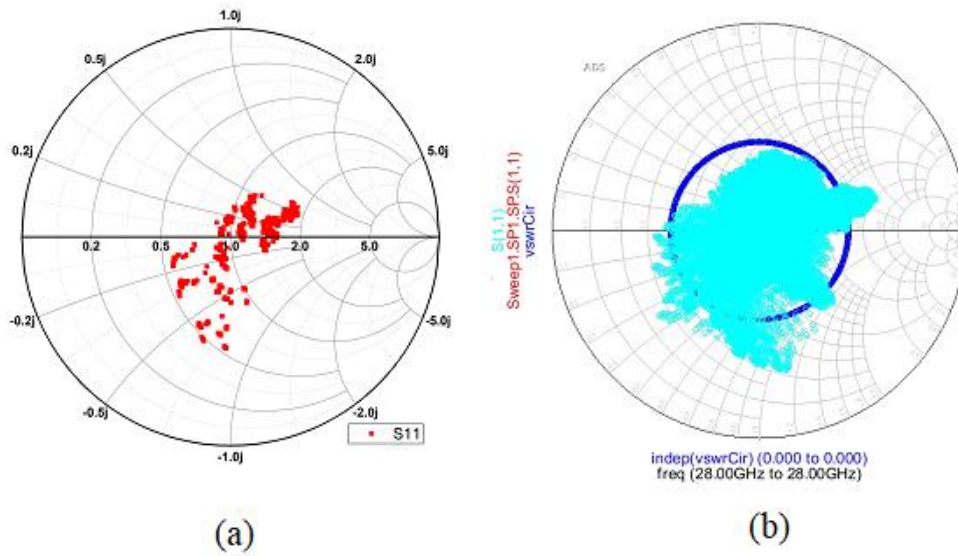


**Figure 36:** Chip photo of the impedance tuner

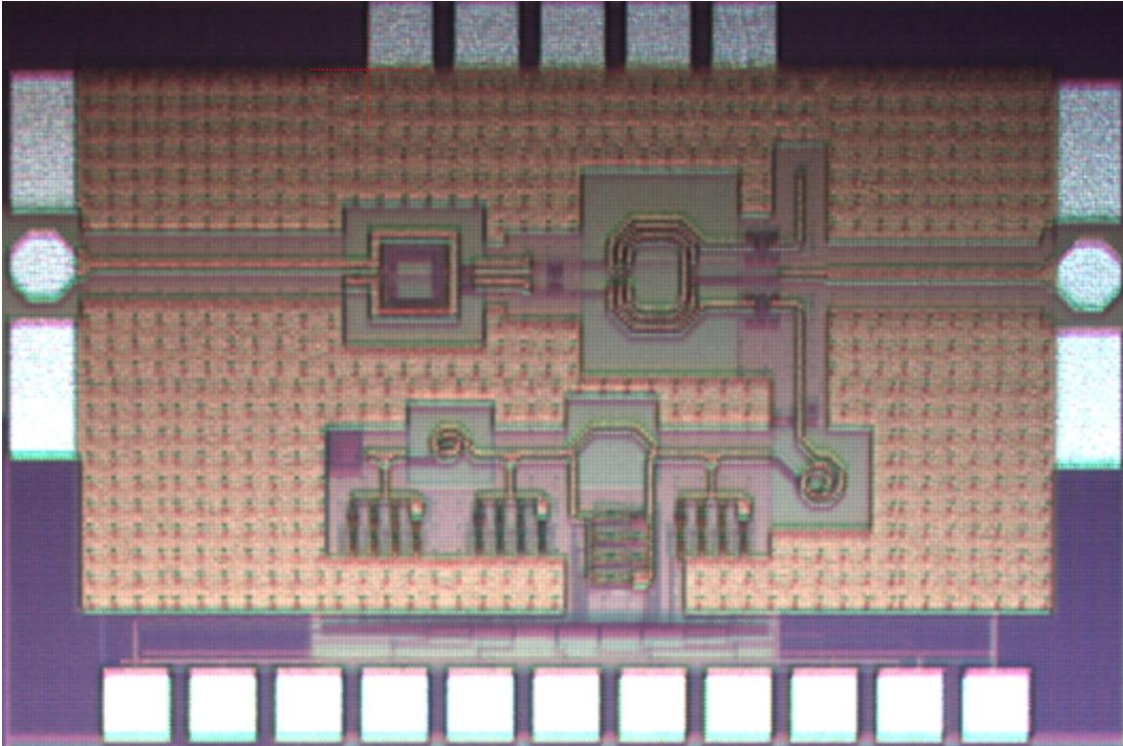
Figure 38 shows the measured and simulated impedance values seen from the input of the impedance tuner. The simulated graph shows all  $2^{16}$  states of the block. Light blue dots show each impedance is seen and dark blue circle depicts the VSWR 2 circle. As can be seen from the simulated values in the Smith Chart, the tuner can provide a large range of impedance values while providing the high resolution. The measured results show a subset of the all possible results due to the infeasibility of measuring all different states. Measured results show a thousand results from the whole set. As can be seen, the measured results follow the pattern of the simulation side and provide the desired impedances.



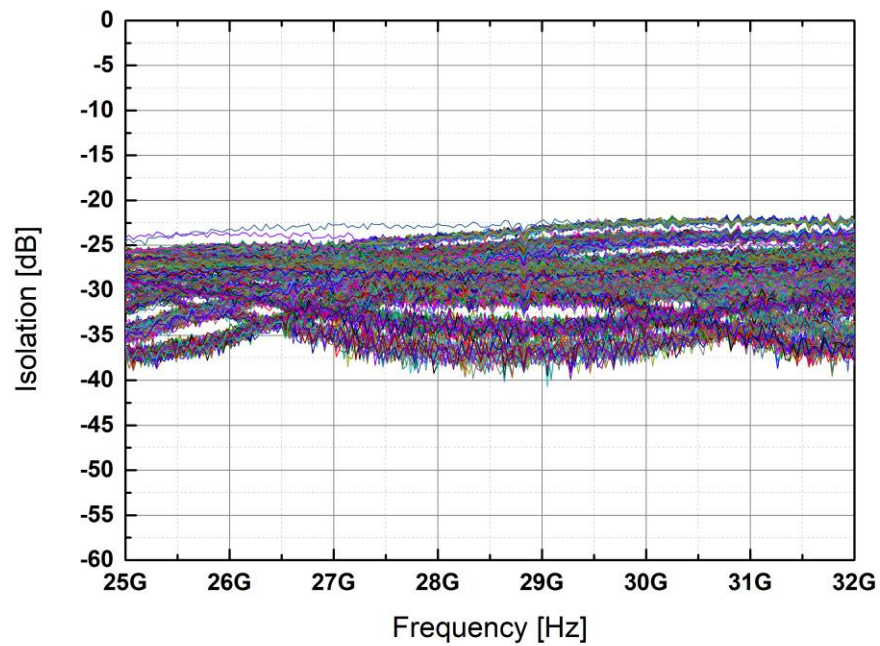
**Figure 37:** Simulated impedances swept by an individual variable capacitor bank



**Figure 38:** Comparison of a) measured and b) simulated impedance values for states of the balance network



**Figure 39:** Chip photo of the fabricated EBD



**Figure 40:** Simulated values of TX- to RX-port isolation for each state of the tuner

Figure 39 shows the fabricated chip photo of the final duplexer. The balance network block is integrated with BALUN transformer by connecting it to the hybrid transformer's BAL-port. The measured isolation values can be observed at Figure 40. The EBD can provide higher than 35dB in the whole frequency range with at least 3GHz bandwidth.

### 3.3 LNA Design

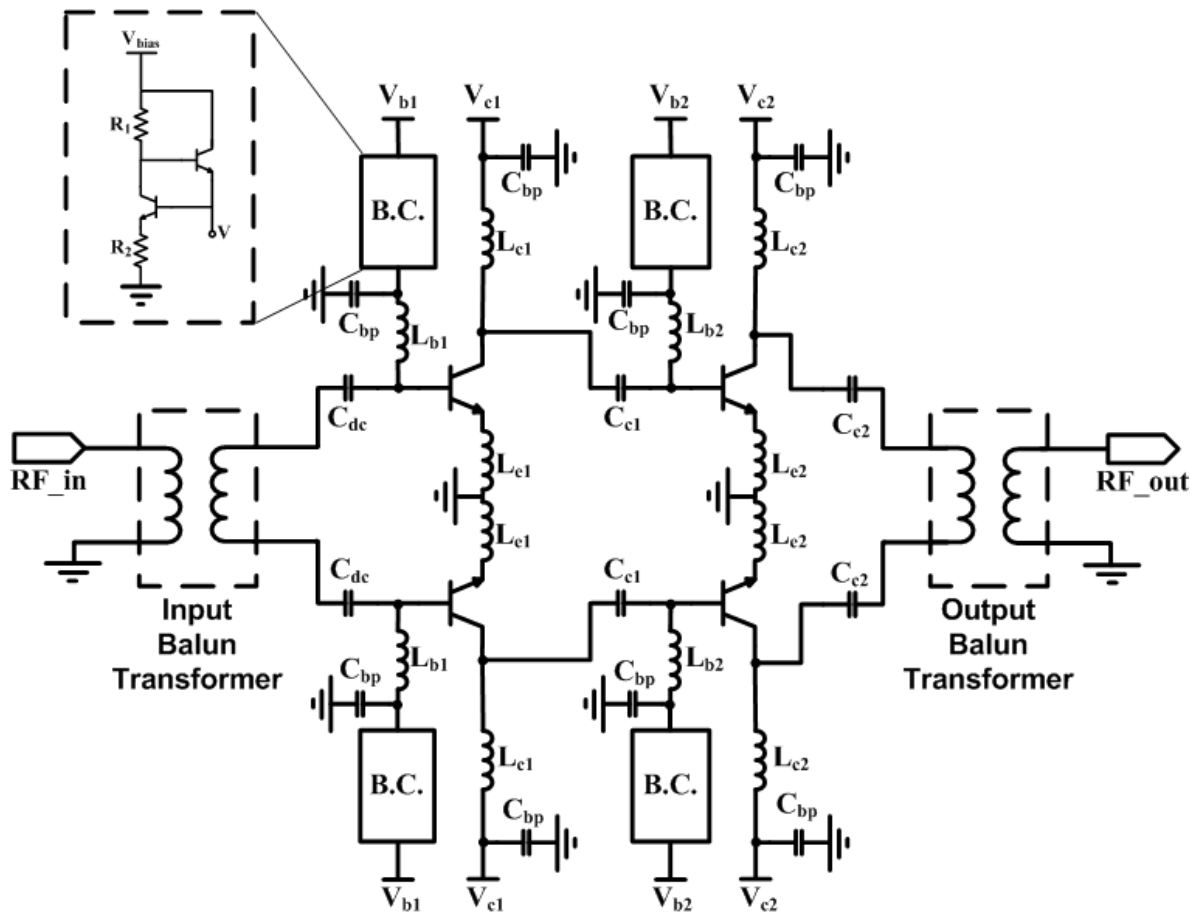
For this thesis, an LNA which will be used at the RX-port of the EBD is designed and implemented. The expected results for the LNA were while achieving high output power with low noise figure value and low power dissipation while maintaining the reasonable gain level. Due to its location on the EBD system (RX-port), the LNA designed as pseudo-differential configuration. In order to reach the design goals, two-stage common emitter topology selected and later on two of the same LNA design connected to differential RX-port reversed by the x-axis. In the design of this LNA, high performance *npn13p* BJT transistors of the SG13S technology has been used. Even though common emitter amplifier topology causes lower gain and lower reverse isolation when compared to its cascode counterpart, it provides less noise figure and creates the opportunity of reaching high power levels without dissipating large DC power thanks to its lower collector voltage than cascode topology necessity due to the transistor breakdown voltages. The schematic of the LNA is depicted in Figure 41. In this figure, B.C. is the acronym for *bias circuit*.

The first stage of the LNA was designed to achieve low noise figure while achieving a certain saturation power value. Because the common emitter topology with 0.13 $\mu$ m SiGe BiCMOS technology and at the 28GHz frequency can provide 7 – 9dB gain per stage. To be able to reach 15dBm output power at the end of the second stage, the first stage of the LNA must achieve around 9 – 10dBm signal power at its collector node. For this operation 20x transistors with 910mV as their base bias voltage is found to be optimum and as collector voltage, 1.6V is supplied because it is the given breakdown voltage of *npn13p* transistor by technology. As the input signal power increases the collector current ( $I_C$ ) will increase, therefore there will be more current supplied from the base bias ( $I_B$ ). If a resistor is used as RF open, the increased  $I_B$  will cause a voltage drop across the base resistor and

will decrease the voltage at the base terminal of the transistors. Therefore, the linearity performance of the circuitry will degrade. Because of this reason, an inductor has been used to create RF open for the base bias. However, in this case the base node of transistors become unprotected, due to the almost zero resistance from bias voltage.

To fix this problem, the bias is supplied via a bias network. Also, between the inductor and the bias network, a 1pF shunt capacitor is used to short any low-frequency leakage to the ground. With these bias settings, there was 18mA of  $I_C$  was flowing through the transistors. Simultaneous power and noise matching had been done at the input of the first stage. At the start of the first stage, a 2pF capacitance is used as a DC block. The shunt inductor that goes to the bias circuit also helps to match the imaginary impedance that is seen through the base. A degenerate inductor had been used at the emitter node so that the real part is moved to a close position to  $50\Omega$ . While doing the matching an inductor series to the base terminal is avoided to not to increase the noise figure with the help of transistor numbers. The output of the first stage matched to a different value of  $50\Omega$  which relaxes the burden on the output matching for the ability to provide enough power to the second stage.

The second stage design is aimed to reach as high 1dB output compression point (OP1dB) as possible while providing reasonable gain. For this stage, 32x transistor is used with 852mV as their base voltage. 1.6V collector voltage is supplied to the second stage. At these bias points, 8mA current flows through the collector. The input of the second stage was matched to the complex conjugate value of the impedance at the second stage's output node. The other concerns in the second stage about base bias are also valid for the second stage. The output of the second stage was matched to  $25\Omega$ , to relax the matching condition at the output. Also, before the bias pads of both first and the second stage multiple capacitors with different sizes and values are placed as shunt to the ground in order to create an RF short. These are shorting low-frequency oscillations to the ground and making the circuitry invulnerable to any outside effects such as bonds, DC probes that come into contact with the bias pads.



**Figure 41:** Schematic of the LNA design

After the design of two-stage common emitter LNA, to create the differential status two of the same design placed on top of each other with one of them reversed. However, in this case, the same problem with the hybrid transformer measurement occurs which is not being able to measure differential terminals without GSGSG probes. Again, to overcome this issue, two different BALUN transformers had been placed at the input and the output. The input BALUN is the same as the one used for the hybrid transformer which transforms  $100\Omega$  differential terminal to singular  $50\Omega$ . At the output, due to the matched to the  $25\Omega$  impedance state of the circuit, the located transformer designed to transform differential  $50\Omega$  to singular  $50\Omega$ . This transformer was also previously designed by İlker Kalyoncu.

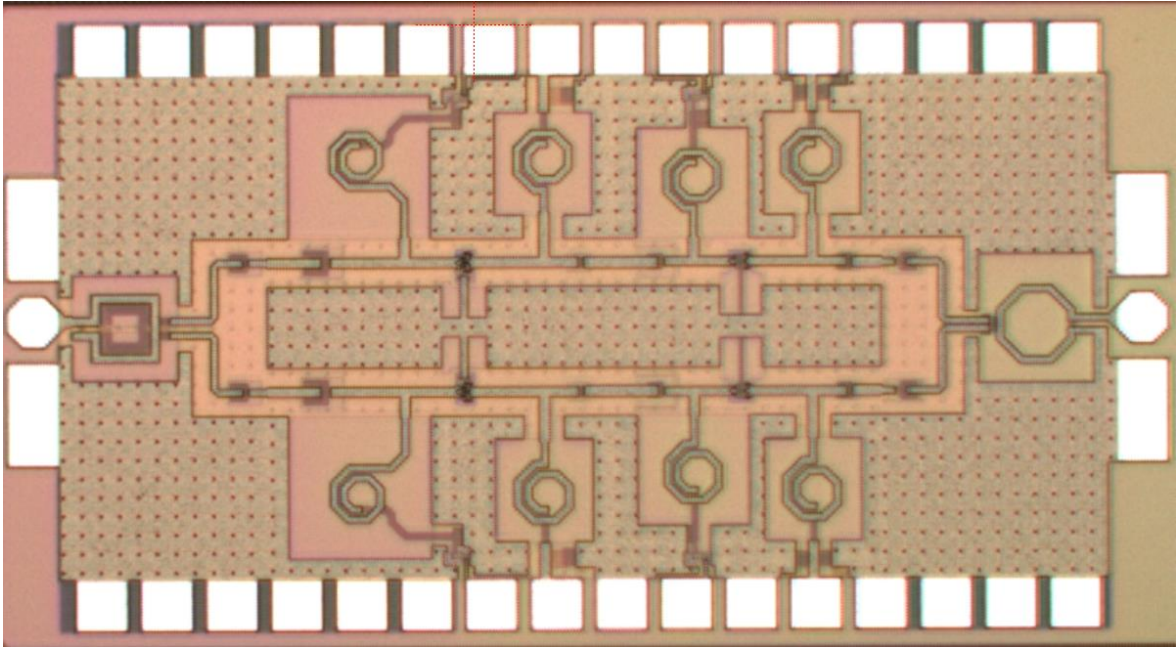
All of the inductors are designed custom via Sonnet with using the same layer map of SG13S IC technology. The EM simulations of other lines and the integration of different

parts of the circuit are done via ADS Momentum. The important portions of the ground layers such as the portion that emitter inductors are connected are simulated carefully; because even though the ground layer contains all of the metal layers and wide, it still introduces parasitic inductance to the system and this can change the circuit behaviour drastically. For instance, it could increase the emitter degenerate inductor unintentionally so that the matching, gain, linearity behaviours can shift. Finally, the layout of the LNA completed with using Cadence Virtuoso. The chip photograph of the LNA can be seen in Figure 42.

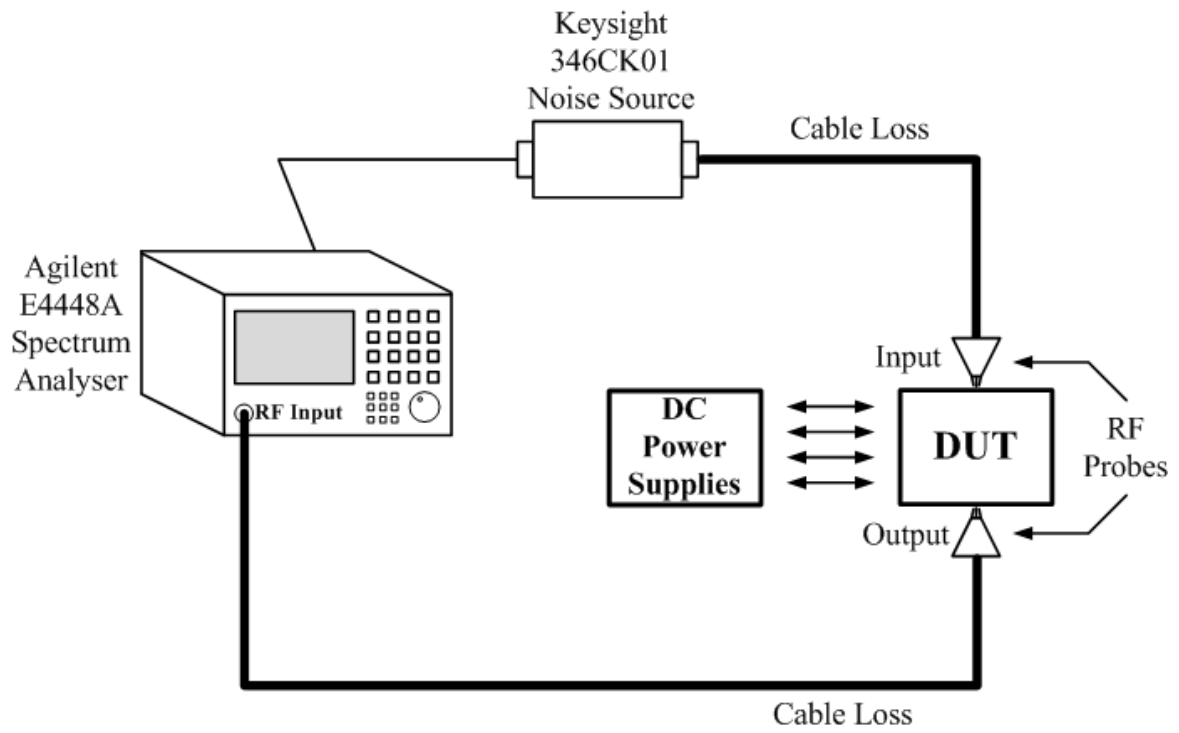
Apart from the two-port S-parameter measurements that have done to the fabricated LNA; noise figure (NF) and 1dB compression point (OP1dB) measurements are also completed Figure 43 and Figure 44 depicts the measurement setups for these measurements, respectively. For the NF measurement; first, the noise source is calibrated with the spectrum analyser. Excess Noise Ratio (ENR) of the noise source and cable losses are introduced to the spectrum analyser. In order to do so the loss of the two cables together is measured with probes by contacting a through in a calibration kit. Then, that value divided into two and these values are determined as input and output cable losses.

For OP1dB, a signal is supplied to the circuits at 28GHz via the signal generator and from the spectrum analyser the output power values are observed. After the measurement, the input and output cable losses are considered while evaluating the results.

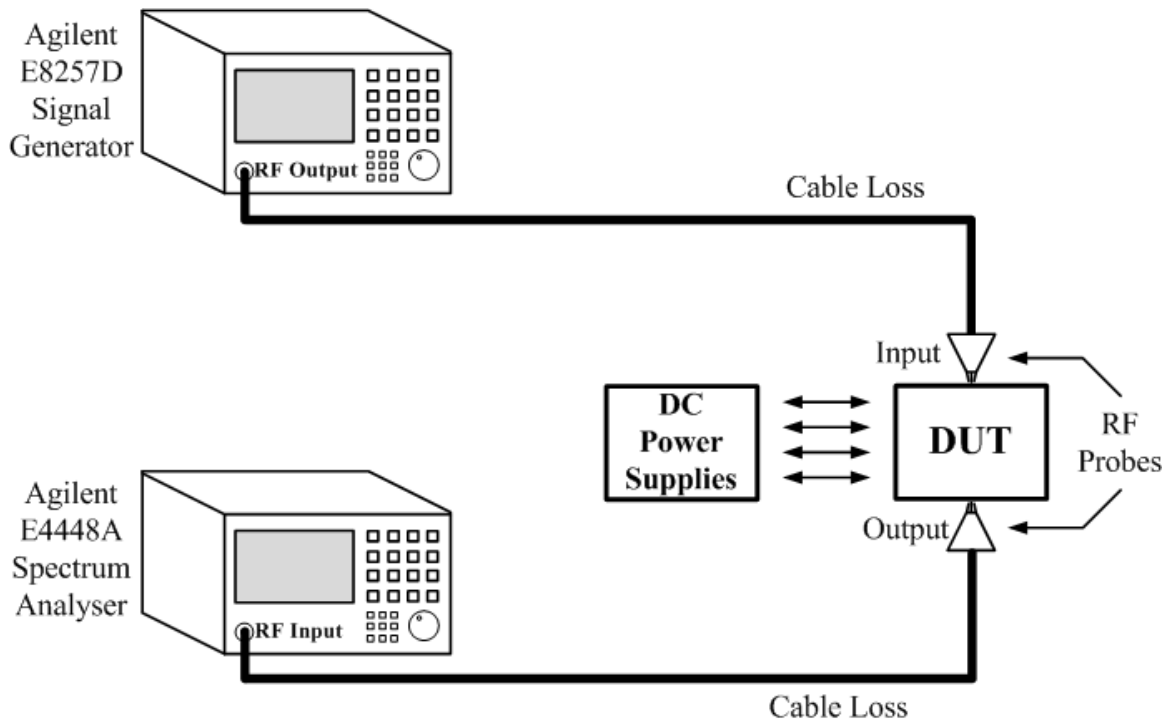




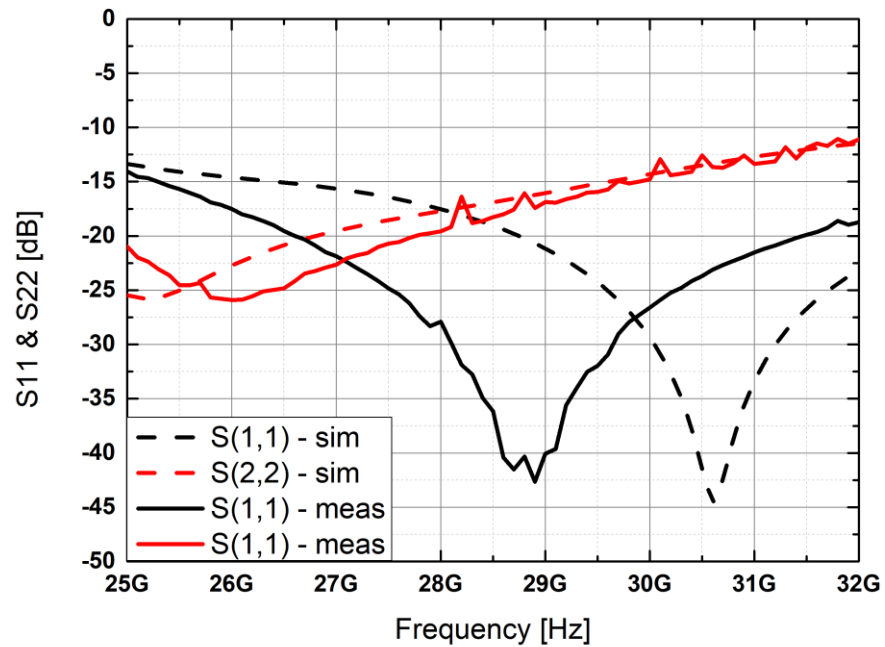
**Figure 42:** Chip photo of fabricated LNA



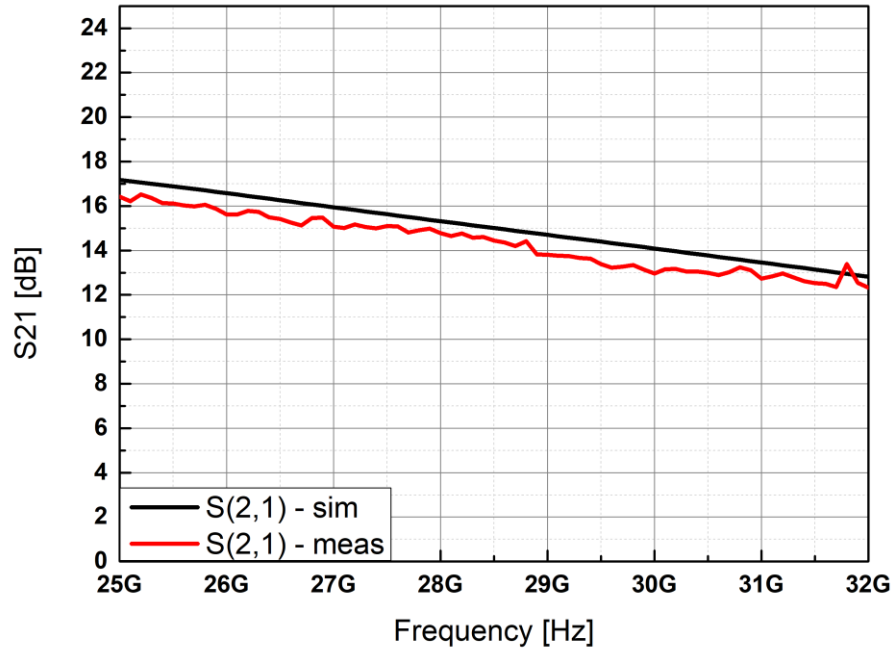
**Figure 43:** NF measurement setup for LNA



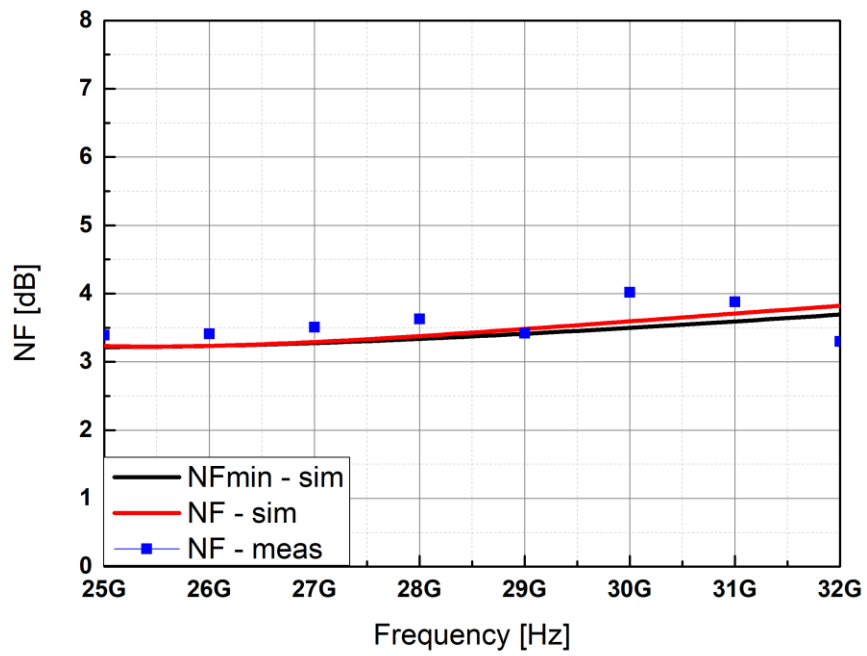
**Figure 44:** OP1dB measurement setup for LNA and PA



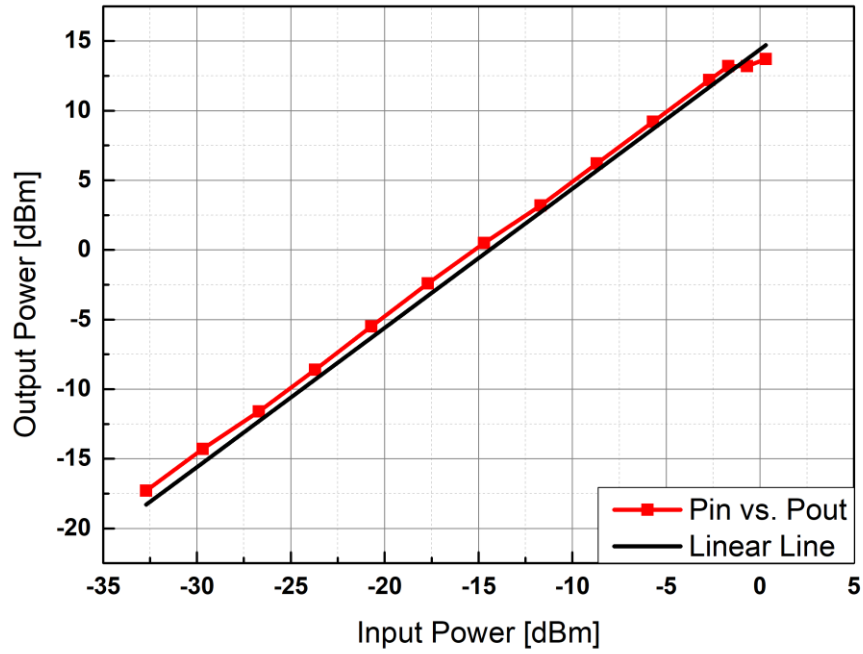
**Figure 45:** Measured S11 and S22 behavior of the designed LNA



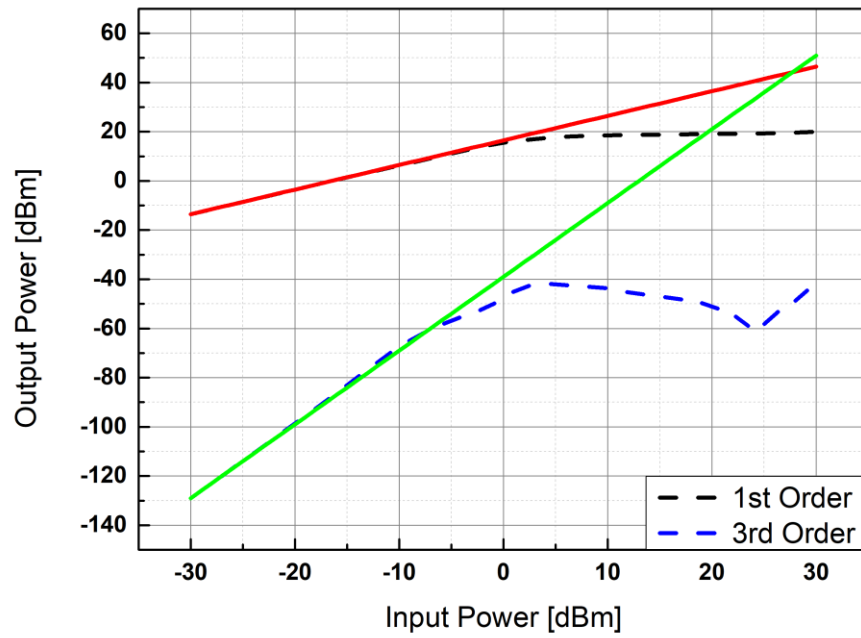
**Figure 46:** Measured gain of the designed LNA



**Figure 47:** Measured NF performance of the designed LNA



**Figure 48:** Measured 1dB compression point of the designed LNA



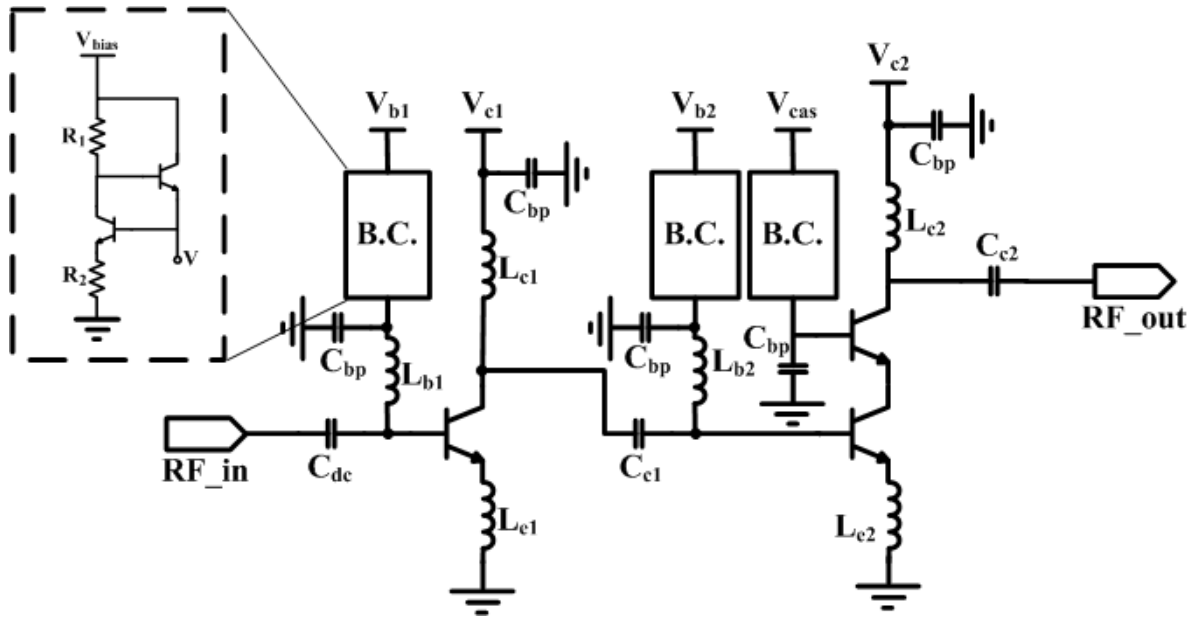
**Figure 49:** Simulated IIP3 value of the LNA w/o input BALUN

The measured values for the LNA can be seen in Figure 45-48. Input and output reflection coefficients are better than -10dB from 25GHz to 32GHz. LNA has 15dB gain at the center frequency of the 28GHz. While the peak gain is 17dB, lowest gain point in the frequency band is 13dB. The measured NF value at 28GHz is 3.5dB. Finally, the LNA compresses at around -2dBm input power while supplying 13.5dBm output power. Measurement results are following the behavior of the simulations with small errors. It should be noted that all of these results are including the input and output BALUN transformer losses. Figure 49 shows the IIP3 performance of the LNA.

### 3.4 PA Design

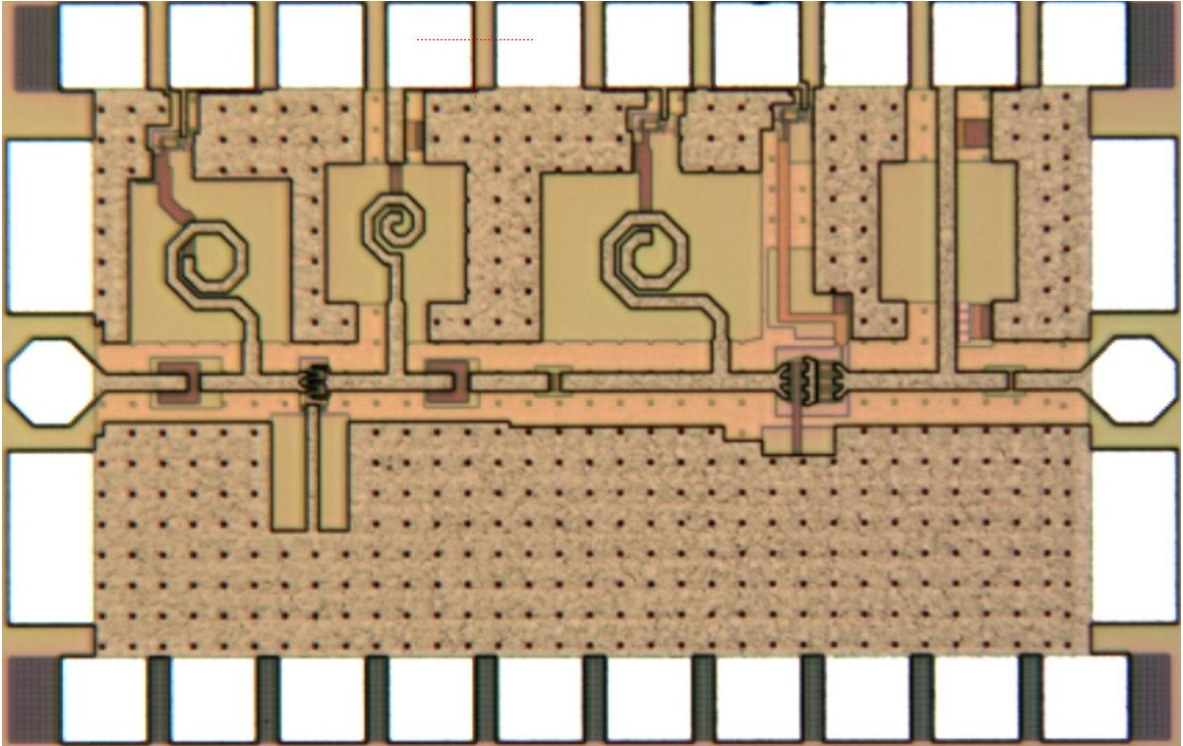
For this thesis, a PA that will be connected to TX-port of the EBD had been designed and implemented. The only design considerations for the PA was high output power with reasonable gain value. The high performance *npn13p* BJT transistors are also used for this design. The PA is also designed as 2 stages; however as different from the LNA, in this case, the first stage is implemented with the common emitter and the second stage is implemented with the cascode topologies. The second stage is cascode. Due to the nature of the EBD, an incoming signal to the antenna splits into RX- and TX-ports. In order to prevent the signal leakage to the interstage of the PA, the cascode topology becomes favourable due to its high reverse isolation property even though it increases the overall power consumption. Furthermore, the DC power dissipation was not a concern of this design.

The first stage of the design act as a driver amplifier for the second stage. The input, output matching and biasing concerns are same with the LNA for both first and the second stage. At the first stage, 24x transistor used with their base bias's at 900mV and the collector voltage for this part set to 1.6V. With these bias points the  $I_C$  of this stage is 18mA. The schematic of the PA can be seen at Figure 50.

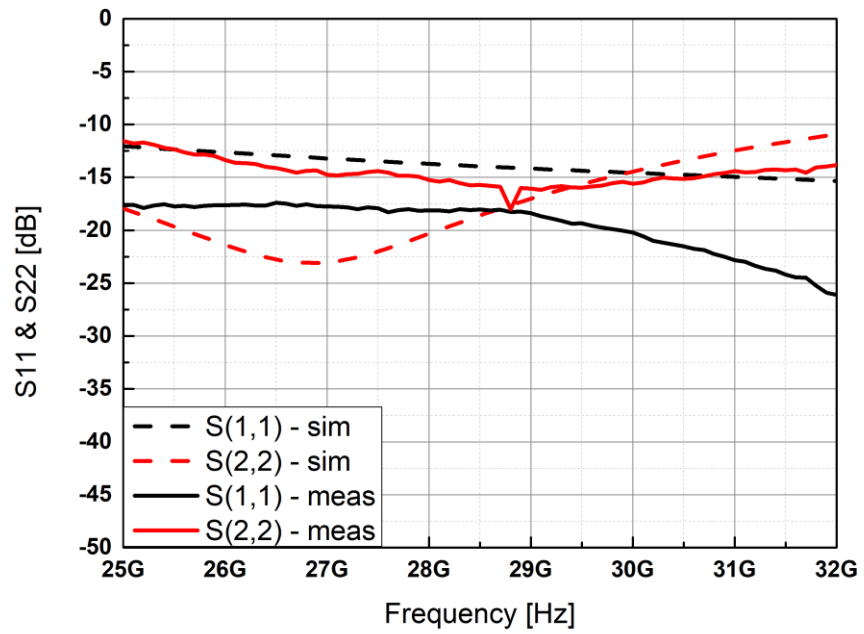


**Figure 50:** Schematic of the PA design

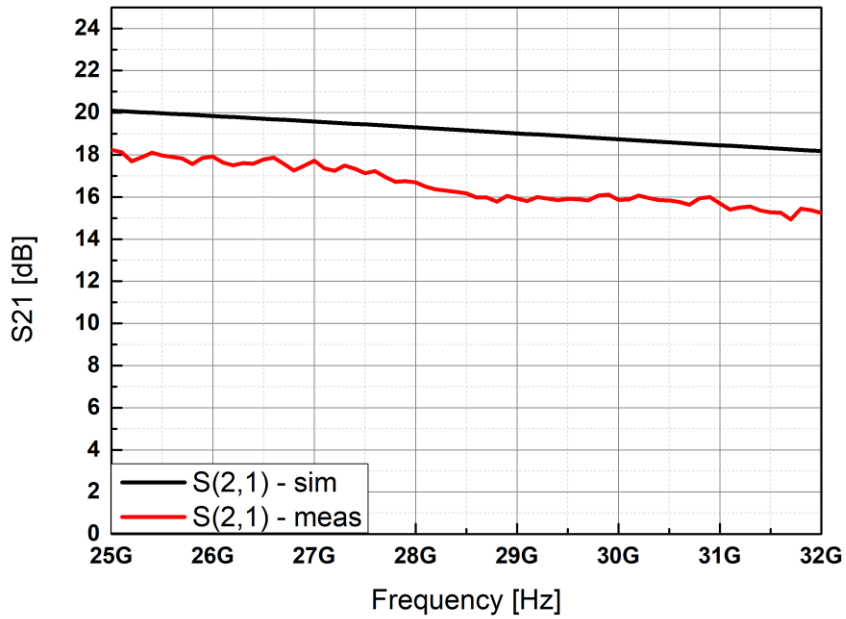
The second stage is where the circuits reach to its desired high power. For the second stage two 48x transistors connected as cascode. For the 48x transistor below; the base point selected as 920mV. The base biases of the common base transistors are supplied with 2.2V and finally the collector node is given 3.2V as bias points. With these conditions,  $I_C$  of this stage is 59mA. The base of the common base part of the cascode topology comprises an important point in itself. At that terminal before the base entrance of the transistor, there must be shunt capacitor that can create an RF short state -especially in high power operations- so that the possible leaked signal from the collector node to the base can be shorted. Otherwise, it will create a strong oscillation in the circuit. When the parasitic inductance seen from the ground layers meets with this capacitor, the self-resonance frequency of the capacitor can be greatly decreased or even the capacitor can enter in a series resonance state with this parasitic effect in the desired frequency range and create an RF open so that, the value of this shunt capacitor can't be picked as an arbitrary large capacitance value and must be carefully dealt with. In order to overcome this problem, whole ground layer that will be seen by this capacitance simulated and the capacitor selected with an optimal value that can create the RF short. For this case a 350fF shunt capacitor had been used.



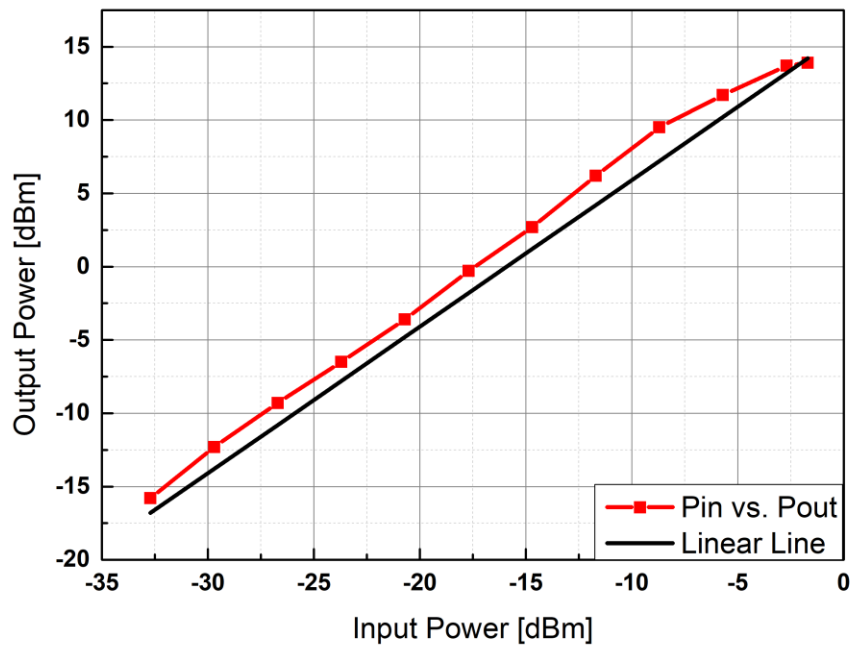
**Figure 51:** Chip photo of the fabricated PA



**Figure 52:** Measured  $S_{11}$  and  $S_{22}$  behavior of the designed PA



**Figure 53:** Measured gain performance of the designed PA



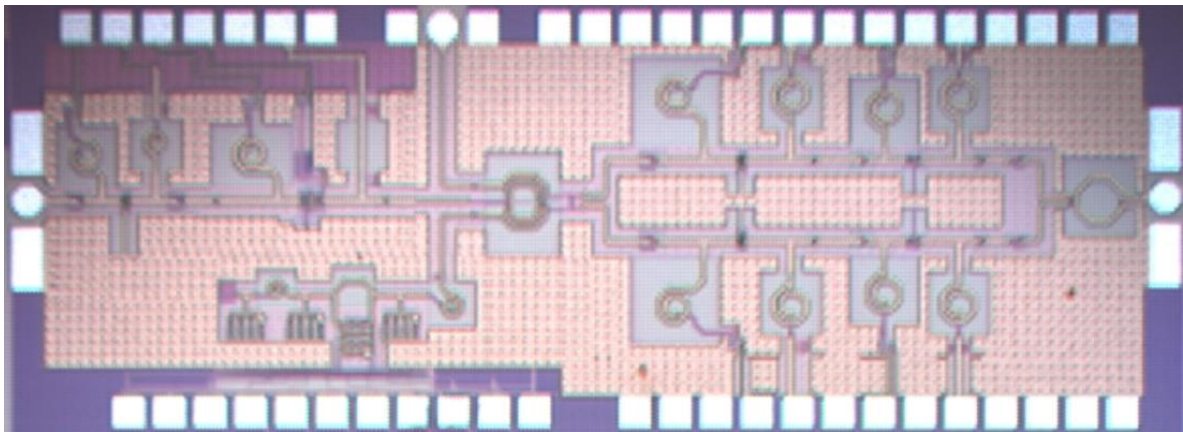
**Figure 54:** Measured 1dB compression point of the designed PA



Fabricated chip of the PA can be seen in Figure 51. Again, all EM simulations and passive characterizations made with ADS Momentum and Sonnet. The layout of the PA prepared to fabrication via Cadence Virtuoso. For the fabricated PA, two-port S-Parameter and OP1dB measurement are realized with the same techniques explained above. The measurement result can be seen in Figure 52-54. Input and output return losses are better than -10dB from 28GHz to 32GHz. PA reaches to 17dB of gain at 28GHz. The power amplifier is compressing at -2.5dBm input power with 14dBm signal power at its output.

### 3.5 Full Duplex System

The fabricated chip photo of the full duplex system can be seen in Figure 55. In order to create the system structure all of the previously mentioned sub-blocks are integrated in a chip. The PA is placed at the TX-port, the LNA is placed at the RX-port. At the BAL-port, balancing network is connected. A RF connecting pad is placed at the ANT-port, to be able



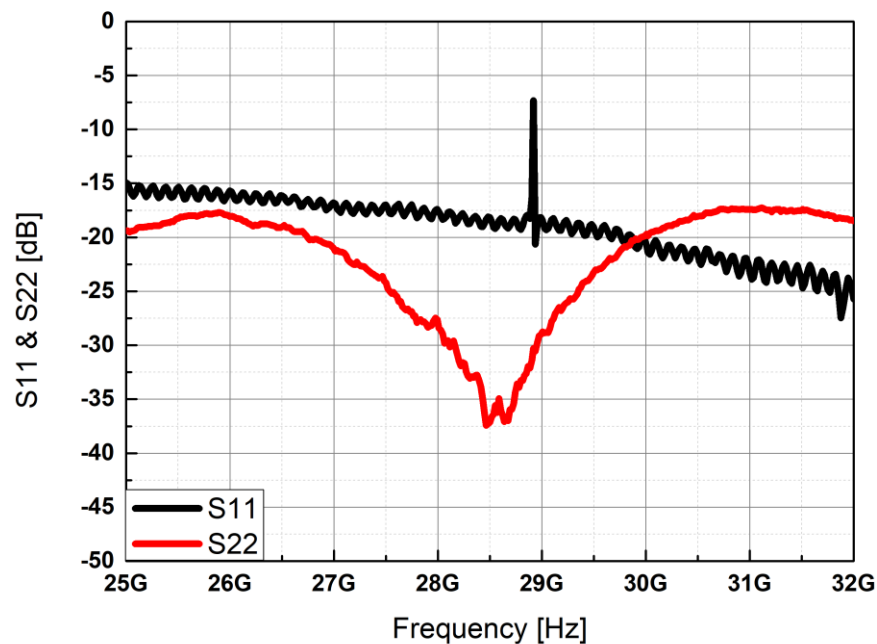
**Figure 55:** Chip photo of the full-duplex system

to measure the performance of transmit and receive mode separately with RF probes. Various modification has been done at the blocks to eliminate the changes that comes with the integration. For instance, the capacitances at the end of each terminal of the hybrid transformer are changed with respect to increases in the lengths of the transmission lines. The BALUN transformer which is placed at the input of the LNA to measure it by itself, is removed to connect it to the differential RX-port. DC pads of different sub-blocks are not

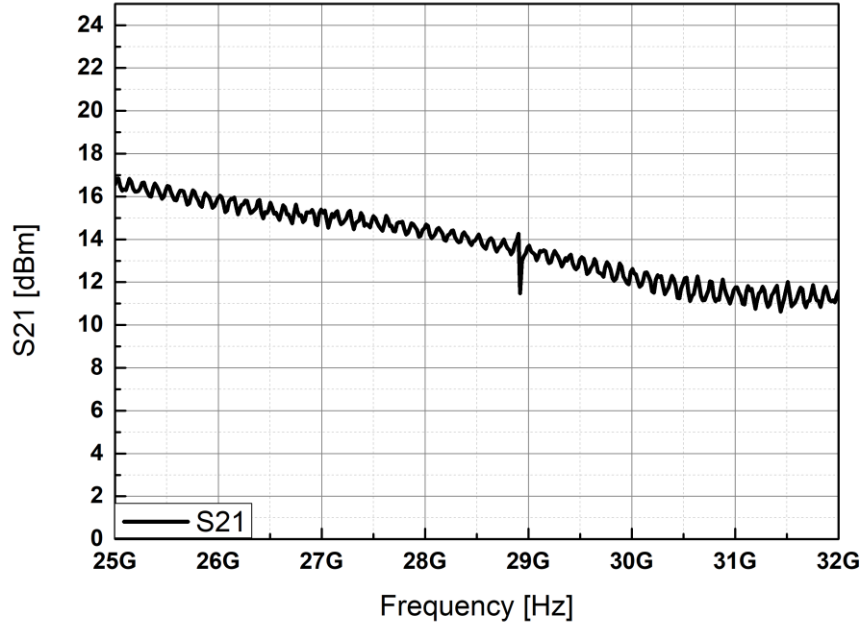
merged to follow the DC current values of each block separately and clearly and see if they are matching to their simulation values.

In order to measure the system, the chip was sent to a professional bonding company. From there, the chip is received as bonded to a QFP208 package. Later, that package was soldered to PCB board which is designed to supply DC voltages properly. With setup, S-parameter, OP1dB point and noise figure measurements were conducted for TX- to ANT path and ANT- to RX path, separately. For each measurement, previously mentioned techniques have been used.

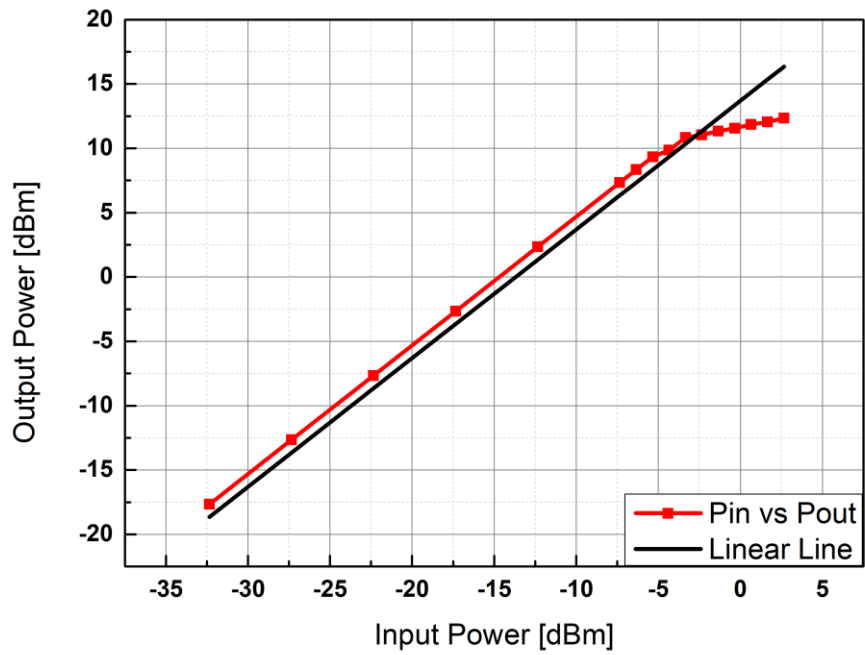
The measurement results for the PA that is placed at the TX-ANT path can be seen at Figure 56-58. Both input and output return losses of this path is better than -10dB in the whole frequency band. A small oscillation behaviour can be observed around 29GHz, due to the RF cables and probes that had been used during the measurement. Figure 55 shows the gain of the amplifier is 14.7dB at 28GHz. Even though expected gain drop from the individual measurement (Figure 51) is 3.8dB (Figure 27), there is only 2dB loss that was caused by the hybrid transformer.



**Figure 56:** Measured input and output reflection coefficients of TX-ANT path



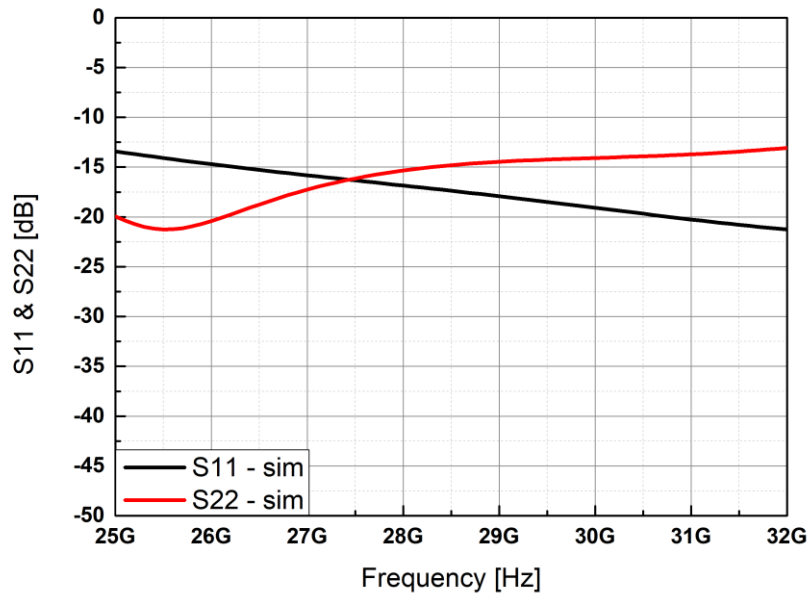
**Figure 57:** Measured gain of TX-ANT path



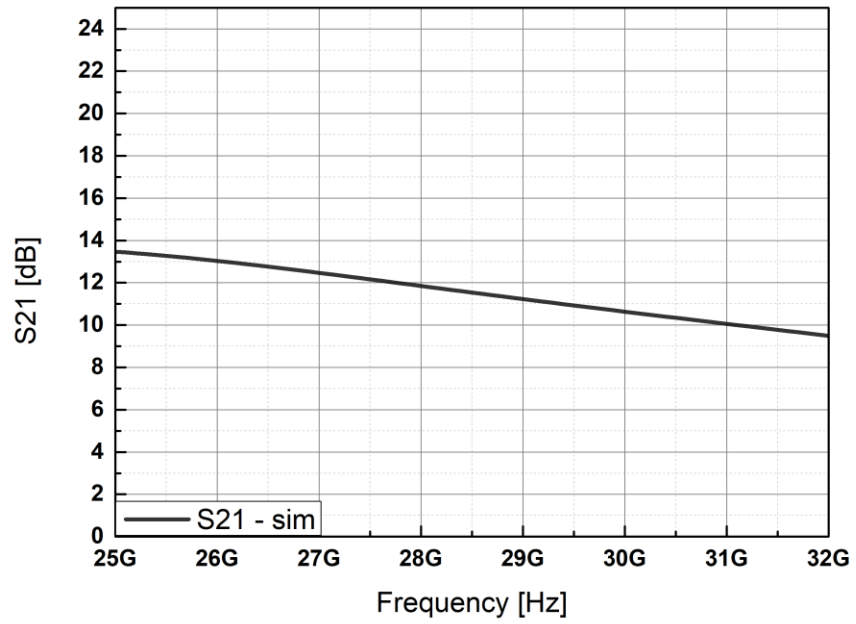
**Figure 58:** Output power graph of TX-ANT path with respect to input power

Reasoning for this behaviour is the badly matched balance network. During measurements, the impedance of the balance network couldn't be matched to the perfect value so that there is an impedance difference between ANT- and BAL-port. This will cause the output signal of the PA to not to divide equally. In this case, higher portion of the signal is flowing to the ANT-port. Figure 58 shows the OP1dB of TX-ANT path. The output compression point dropped by 3dB when compared to PA's individual measurement (Figure 54). It is 1dB higher than the gain loss.

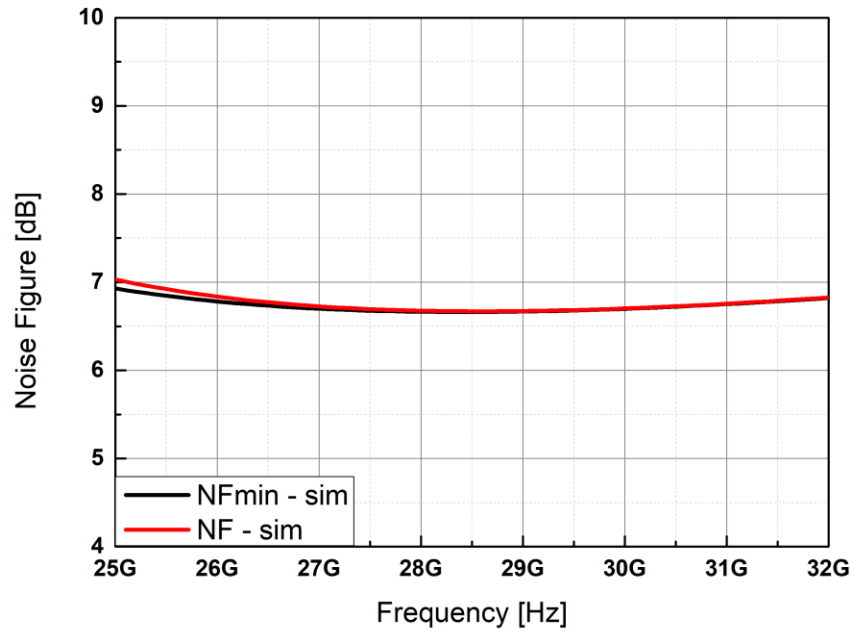
The rest part of the circuit meant to be measured however due to bonding of the chip part of the transistors in the circuit is broken. Simulated performance of the ANT-RX path can be seen from Figure 59-62. For these results, post-layout simulation results of the hybrid transformer at its highest isolation setting and post-layout simulation results of the LNA without its input BALUN transformer. Even though, these graphs don't represent real measurements, they can give hints about the performance. The calculated results that have been gathered in the light of measured results can be seen at Table 2.



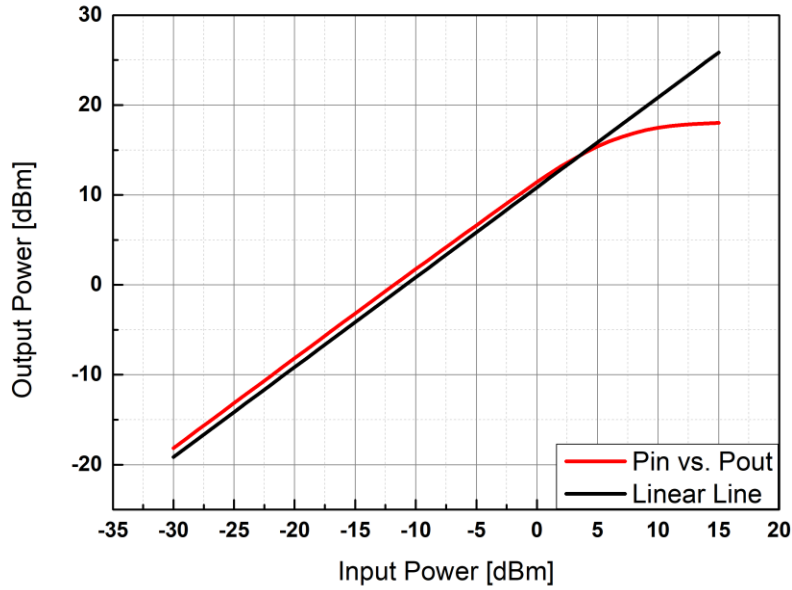
**Figure 59:** Simulated input and output return losses of the ANT-RX path



**Figure 60:** Simulated gain performance of the ANT-RX path



**Figure 61:** Simulated NF behavior of the ANT-RX path



**Figure 62:** Simulated 1dB compression point performance of the ANT-RX path

In the simulations both the ANT-port and the output of the LNA have return losses better than 10dB in whole frequency range. The gain has been dropped by around 4.8dB which is in line with the RX insertion loss of hybrid transformer (Figure 27). The NF of the system is first decreased with absence of the input BALUN transformer but increased with the loss from the hybrid transformer and reached to 6.8dB at 28GHz. Also, in the simulations, LNA reaches to 12dBm OP1dB.

### 3.6 Comparison

Table 2 shows the comparison of this thesis work with other reported designed. This study is one of the few works on EBD concept which operates higher than 2.5GHz. The frequency spec is creating the biggest challenge on hybrid transformer and balance network design. Also, this work is representing the results of a system which includes not only hybrid transformer and balance network, but LNA and PA as well. When compared to other works, the EBD occupies much less area with frequency component is considered. Even though isolation from TX- to RX-port is relatively small, it has the highest isolation bandwidth of all.

**Table 2:** Comparison 5G full-duplex system with other reported works

Work	Frequency [GHz]	Isolation Technique	Isolation [dB]	Isolation Bandwidth [MHz]	TX Gain-Loss [dB]	TX Power [dBm]	RX Gain-Loss [dB]	RX NF [dB]	RX Power [dBm]	Duplexer Area [mm <sup>2</sup> ]	Coverage Z <sub>ANT</sub> [VSWR]	Technology
[12]	0.7 - 1.0	EBD	40	3.2	X - 3.8	29.2	5	9	X	0.792*	< 2.3:1	0.18 μm SOI CMOS
[19]	1.6 - 2.2	EBD	40	400	X - 3.2	22	X	6.5	X	0.345*	< 1.3:1	0.18 μm CMOS
[20]	1.9 - 2.2	EBD	50	300	X - 3.7	27	X - 3.9	X	X	0.275*	< 1.5:1	0.18 μm SOI CMOS
[14]	1.75 - 2	EBD	50	20	X - 3	x	X - 11	X	X	0.67	X	0.18 μm CMOS
[21]	120	EBD	30	14000	X - 11	X	X - 12	X	X	0.015*	X	40nm CMOS
[22]	27 - 32	EBD	40	2000	X - 3.1	X	18 - X	4	X	0.062*	X	0.25 μm SiGe BiCMOS
<b>This Work</b>	25 - 32	EBD	35	3000	14.7 - 3.8 <sup>T</sup>	11	10.8 <sup>TT</sup> - 4.8 <sup>T</sup>	6.7 <sup>TT</sup>	9 <sup>TT</sup>	0.03	< 1.5:1	0.13 μm SiGe BiCMOS

\* Assumed from the chip photo

<sup>T</sup> Post-layout EM simulation result

<sup>TT</sup> Calculated with known results

## 4 Conclusion & Future Work

### 4.1 Summary of the Work

The next generation communication systems require high data rate, wider bandwidth, high spectral efficiency, and lower latency to meet the demands of users as number of devices/users and data requirement increase. Therefore, technology is moving forward to systems that operates at higher frequencies to increase the bandwidth so that newly designed transceiver circuits have been started to design with such centre frequencies. The other requirements such as spectral efficiency and latency, full-duplex structures can offer a solution; because, these types of systems allow transceiver blocks to operate at transmit and receive modes concurrently while using same frequencies in their designs. The recent progresses in the SiGe BiCMOS technology makes this process suitable for this type of applications not just with their high  $f_T$  and  $f_{max}$  and cheaper fabrication cost with respect to other common processes as well.

In this thesis, a K<sub>a</sub>-band (25GHz – 32GHz) full-duplex system for 5G applications is presented. Each block of this system is fabricated, analysed and measured separately. These blocks are a hybrid transformer, a balancing network, an LNA and a PA. In order to provide full-duplex operation, a four ports hybrid transformer with balancing network is used as duplexer between transmitter, receiver, and antenna ports. The hybrid transformer has 1:2 turn ratio, to create desired impedances at each of its terminals and to acquire less area. The balancing network is designed to provide high impedance range without large gaps between each impedance value. It is designed with switched capacitor technique and for switches isolated NMOS transistors have been used. To efficiently sweep the states of this tuner with lesser DC pads, a digital block is designed and synthesized. For LNA, two common emitter amplifiers are cascaded and later connected to the differential ports of receiver port of the hybrid transformer. Common emitter topology has been selected to decrease the power consumption even though it would lead to less gain. First stage is designed to get lower noise figure while second stage was aimed to reach high output compression point. For PA a common emitter topology is selected for the first stage and cascode topology for the second. Cascode topology is used to because of its high reverse



isolation property that can prevent the signal coming from the antenna to leak to the entrance of the PA. In chapter 3, the design steps and measurement results are explained and discussed. Finally, the full-duplex system which includes the hybrid transformer, the balancing network, the PA and the LNA; is integrated and the measurement results are represented.

## **4.2 Future Work**

The first short-term future work for this study is more detailed measurements of the system to fully understand the positive and negative sides of the design clearly to compensate these flaws or boost the plusses in later batches. The second short-term future work for this study is further optimization of the EBD through more detailed characterization with other simulation tools. Isolation walls between the blocks of the system can be placed in order to block the signal that leaks to the substrate. These isolation walls can be created by via stacks from the highest metal of the technology to the substrate. Apart from the characterization, the placement of the blocks can be altered to acquire less area than before.

As a long-term future work, this system can be modified to be suitable for flip-chip bonding. With such a high frequency like 28GHz it is hard to propagate the input or output RF signals to a board. Flip-chip bonding is one of the most popular bonding methods for circuits that operate at high frequencies. So that the integration capability of the circuitry to a larger scale system can be furtherly increased.



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