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3D Microstructural Characterization of Ni/YSZ Electrodes Exposed to 1 Year of **Electrolysis Testing** 2

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Abstract 10

Long-term operation strongly affects the microstructure of Ni/YSZ cermets used in state of the art fuel 11 12 electrodes for solid oxide cells. The microstructural changes are considered to heavily affect the cell degradation. In this paper, the characterization of the Ni/YSZ electrode of a solid oxide electrolysis cell tested 13 14 as part of a stack tested for 1 year was performed through focused ion beam-scanning electron microscopy and energy dispersive X-ray spectroscopy. A reference cell and two locations of interest in the tested cell were 15 16 selected: one at the steam inlet side and the other at the outlet. Considerable microstructural changes were observed in the tested cell compared to the reference cell and between the inlet and outlet side. A decrease in 17 Ni (from 30 % in the reference cell to 24 % in the tested cell), and in percolating triple phase boundaries length 18 (from 2.83 μ m/ μ m³ in the reference cell to 0.76 μ m/ μ m³ in the tested cell) was observed in the active fuel 19 20 electrode. Based on the results of this work and previous studies we hypothesize that the degradation trend between different operating conditions at the inlet and outlet of the cell is related to the current redistribution 21 22 inside the cell.

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²⁵ This work is an extension of the work presented at the 15th International Symposium on Solid Oxide Fuel Cells (Hollywood, Florida, USA July 23 – 28, 2017) with the title "Microstructural Characterization of Ni/YSZ 26 Electrodes in a Solid Oxide Electrolysis Stack Tested for 9000 Hours" (published on ECS Transactions, 78 [1] 27

30 Introduction

The increased share of intermittent renewable energy in future green energy scenario raises the need for 31 32 managing the energy production/energy demand mismatch. In this regard, developing effective means of converting and storing the electrical energy surplus generated by overproduction peaks is crucial for overall 33 34 system economy and security of supply. The role of devices capable of efficiently converting electrical energy 35 into a storable medium (e.g. hydrogen or carbon-based synthetic fuels) are become important in this context. For this purpose, solid oxide cells (SOCs) represent an interesting technology [1,2] since the same cell can be 36 37 operated either in fuel cell mode as a solid oxide fuel cell (SOFC) or in electrolysis mode as a solid oxide 38 electrolysis cell (SOEC) [3]. This characteristic makes it possible to use the same device for both electrical 39 energy production and storage depending on the specific need.

40 Operational life spans of several years are required to make SOCs systems economically competitive on the market and long-term stability has to be guaranteed [4-8]. Hence, the systems, stacks, and cells and their 41 42 individual components (such as manifolds, interconnects, electrodes etc.) must be reliable, robust and durable 43 [3, 5]. Relating the specific cell operation conditions with the degradation processes of the cell material and 44 microstructures has been the objective of many studies [8-18]. This field of research aims to ensure and 45 improve the long-term durability of the individual cells in a stack. Microstructural changes have been seen to affect all the components inside the cells and are considered one of the main causes of performance degradation 46 47 [3, 8, 11-18]. Furthermore, additional studies have been performed with the aim of relating the specific 48 microstructure changes with the decrease in electrochemical performance [e.g. 7, 8, 11].

Several degradation mechanisms in SOECs have been proposed in the last years [3, 7, 8, 10, 11]. Specifically, several studies were performed to investigate the effects of temperature, gas composition and current density [7, 11-13]. In order to compare the microstructure of different cells, ideally several complementary characterization techniques have to be applied on the same sample to obtain a proper description of the specific phenomenon observed. Concerning the changes in the materials composition and microstructure, scanning electron microcopy (SEM) and energy dispersive X-ray spectroscopy (EDS) are useful techniques [3]. To obtain a full microstructural characterization of the electrode structures, focused ion beam-scanning electron

microscopy (FIB-SEM) technique has been extensively used [19-25]. The microstructural details that can be extracted from 3D tomographic reconstructions such as particles size distribution [26] and triple phase boundary (TPB) length [19-21, 25] provide quantification of microstructural features of the complex cermet structure. These parameters can complement and support the interpretation of electrochemical performance and durability data. Combining complete microstructural and electrochemical characterization can enable the description and quantification of specific degradation mechanisms occurring in the cell.

62 An attempt to relate electrochemical and microstructural degradation in SOECs was made by Hauch et al. [8] on cells tested for more than 1500 hours. It emerged that the Ni/YSZ electrode was the main source of 63 64 performance degradation and Ni coarsening was one of the main mechanisms contributing to the decrease of 65 active TPB sites for electrochemical reactions [27]. A qualitative post-mortem analysis was performed by Chen 66 et al. [11] on SOECs tested up to 1500 hours, the authors showed the influence of temperature and current 67 density on the Ni/YSZ electrode microstructure. It was observed that higher temperature and current density cause significant loss in percolation in the active fuel electrode. Recently, a microstructural investigation on 68 69 long-term (i.e. 1000 hours) tested SOEC was reported by Hauch et al. [8]. By combining SEM and 70 electrochemical impedance spectroscopy (EIS) measurements, the authors proposed an optimized fuel 71 electrode microstructure capable of tolerating high $p(H_2O)$ and current density with a low enough fuel electrode 72 overpotential to make the SOC last for more than 5 years potentially. Few studies have investigated 73 microstructural characterization of SOEC tested for longer than a few thousands hours. Tietz et al. [10] carried 74 out a qualitative metallographic analysis of a 9000 hours tested SOEC by means of SEM images. For that specific cell, the main microstructural degradation was observed in the electrolyte while the Ni-YSZ electrode 75 76 did not seem to be significantly affected by operation. In this context, a systematic quantification based on 3D tomographic reconstructions of SOECs tested for several thousands of hours is missing. 77

Among several degradation mechanisms observed in Ni-YSZ electrodes Ni agglomeration and coarsening is considered one of the main causes of performance loss for solid oxide cells [13, 28]. Many studies have been focusing on the Ni coarsening effect in the last years and it has been found that the particles growth is faster during the first hours (0-1000 h) of the test [13]. Much attention has been paid to microstructural changes in the Ni phase, indeed, and at typical cell operating conditions, the YSZ can be considered a stable scaffold wherein Ni re-distributes and increases in particles size. Tanasini et al. [28] observed this behavior through the analysis of SEM images. To the best of the authors' knowledge, only few studies addressed quantitative microstructural characterization of Ni/YSZ electrode of SOEC tested as part of a stack [7, 29, 30] and a quantitative assessment of Ni coarsening for several thousands of hours of operation under technologically relevant conditions is still missing up to now.

88 In addition, only few examples of microstructural characterization of cells tested as part of a long-term tested 89 SOC stack can be found in the literature. Faes et al. [13] tested several stacks for a maximum of 1900 hours in 90 fuel cell mode and characterized the microstructure of Ni/YSZ electrodes by means of SEM micrographs. Rinaldi et al. [30] tested a short SOEC stack for 10,700 hours and then analyzed the microstructural degradation 91 92 of each layer, the nickel depletion in the innermost region of the active fuel electrode was observed. Brisse et 93 al. [31] tested the cell characterized in this work as part of a stack for ~ 9000 hours in technologically relevant operating conditions at the European Institute for Energy Research (EIFER). A preliminary microstructural 94 95 characterization of the same cell was reported by our group [29] with the aim of investigating the 96 microstructural degradation and we highlighted the differences between 2D and 3D quantification of the same 97 electrode microstructure.

98 Quantifying microstructural changes of SOC is important for understanding the degradation processes 99 occurring at the micrometer scale. With the aim of providing a detailed characterization of the microstructure; 100 we combine here 3D tomographic reconstructions with EDS. The 3D characterization performed on FIB-SEM 101 data provides detailed information on the network properties defining, not only the particles size, but also the quality of the connections. However, only small volumes can be analyzed with this technique and, in this 102 103 regard, EDS maps provide important additional information of large areas of the cell. Two locations exposed 104 to different local operating conditions (i.e. gas composition, current density, and temperature) inside the same 105 cell were chosen for analysis: at the steam inlet and outlet. The microstructures of the two regions analyzed 106 were compared with a reference cell exposed to reduction only and no long-term operation. Ni re-distribution 107 was detected and changes in TPB and surface area of the three phases (i.e. Ni, YSZ and pores) were quantified.

108 Moreover, hypotheses are proposed to explain the difference observed between the two locations in the long-109 term tested cell (inlet and outlet).

110 Experimental

One of 25 identical SOEC cells from a stack produced by Topsoe Fuel Cell A/S (Cell number 17 counting from top of the stack) is analyzed in the present work. The cells have a Ni/3YSZ support (~ 300 μ m thickness) and a Ni/8YSZ fuel electrode (~ 15 μ m thickness), a 8YSZ electrolyte, a CGO (Ce_{1-x}Gd_xO_{2-x/2}) barrier layer, and a CGO/LSCF ((La,Sr)(Co,Fe)O_{3-δ}) oxygen electrode. On the top of the oxygen electrode a current collector layer is applied. The cells have an active area of 87.7 cm² and are stacked in between coated Crofer interconnects [31].

117 <u>Testing and sample preparation</u>

The long-term electrolysis testing of the stack analyzed in this work was performed at the EIFER. Essential parameters used for the operation are summarized in Table 1. Additional details about the test and stack performance can be found elsewhere [31]. The reference cell used in this work was a cell from the same production batch of the 25 cells in the stack. The reference cell was reduced at 750°C for 4 hours in moisturized $10 \% H_2$ in N₂ (10 % H₂, 90 % N₂) and not tested further. The Ni/YSZ electrode of the reference cell was utilized for comparison with the tested cell.

124 <u>3D Characterization</u>

125 After the test, part of the stack (Cells 11-25) was embedded in epoxy resin and then sectioned for further 126 analysis. A sketch of the stack top view with relative sectioning is shown in Figure 1 a). A Zeiss XB1540 127 Crossbeam microscope was used for data collection by FIB serial sectioning on the reference cell and the two 128 locations of interest on the long-term tested cell: the fuel inlet and outlet side. For the sake of simplicity, the 129 three locations investigated will henceforth be defined as reference, inlet, and outlet, respectively. Cross 130 sections of the same cell were analyzed in a previous work [29] to compare 1D and 3D microstructural characterization and to quantify degradation between the reference and the tested cell at the micrometer scale. 131 132 Three-dimensional characterization was previously performed only for the reference and the inlet part of the

133 tested cell [29]. Here, a more complete view of the aged cell is presented by adding the outlet dataset. 134 Furthermore, EDS analysis was performed on the regions of interest to estimate the chemical composition in 135 different locations of the cells. The regions used for FIB-SEM characterization and EDS analysis are illustrated 136 in Figure 1 b) and c) on the top of a SEM image of the reference cell showing the Ni/YSZ electrode and part of the YSZ electrolyte. The yellow box in Figure 1 b) highlights the innermost 8 µm of the active fuel electrode 137 138 extracted from FIB-SEM dataset and used for the computation of microstructural parameters. Figure 1 c) illustrates the areas used for acquiring EDS data, smaller areas of 25 x $3 \mu m^2$ and 25 x $10 \mu m^2$ were also used 139 for the fuel electrode and support layer, respectively. Figure 1d) shows a schematic drawing of the electrolyte 140 $(\sim 5 \,\mu\text{m})$, the active fuel electrode ($\sim 15 \,\mu\text{m}$), and part of the support layer (overall thickness $\sim 300 \,\mu\text{m}$) defined 141 with dashed lines since only partially interested by the analysis. The direction of the FIB milling front is 142 illustrated in Figure 1d together with the direction and width of the line scan recorded for the EDS analysis. 143

144 Due to slightly different microscope set-ups for the three samples analyzed, three different voxel sizes were obtained: 25 x 25 x 40.80 nm³ for the reference, 25 x 25 x 35.60 nm³ at the inlet and 25.53 x 25.53 x 37.79 145 nm^3 at the outlet of the tested cell. In order to analyze the same volume size (approximately 12.5 x 8 x 20 μ m³) 146 147 in each sample, three sub-volumes were selected: 501 x 321 x 490 pixels for the reference cell, 501 x 321 x 564 pixels for the inlet and 491 x 314 x 531 pixels for the outlet. With the aim of analyzing the innermost part 148 149 of the active fuel electrode the dataset used for the computation of microstructural parameters starts, for each 150 volume, ~ $3 \mu m$ away from the electrode-electrolyte interface and extends ~ $8 \mu m$ towards the support layer. 151 The FIB milling front along the electrolyte is perpendicular to the electrode-electrolyte interface [32] (Figure 1); ~ 35 nm are milled away, a picture of the support/electrode/electrolyte cross section is obtained, and next 152 another 35 nm is milled away, and so forth, effectively collecting pictures of the cross section moving in the 153 154 direction parallel to the electrode-electrolyte interface. Two sets of images (i.e. obtained from Inlens and SE2 155 detectors) were recorded for each sample and used for post-processing and segmentation as described 156 elsewhere [32].

Once the segmented volumes were obtained, several analyses were performed on the inner fuel electrode sub-volumes in order to compare the microstructure of the three locations investigated. The phase fraction of each

159 phase in the region of interest was calculated by dividing the overall volume of a single phase by the total 160 volume analyzed. The continuous PSD was computed as described earlier [26, 29, 32]. This method consists 161 in evaluating, for each 2D section of the 3D reconstructions, the largest circle that fits the particle and extending 162 that circle to a 3D sphere. The continuous PSD distribution is obtained by calculating the distribution of the largest spheres that can fit inside each part of the structure. Moreover, the total and percolating TPBs were also 163 164 determined [22]. For what concerns the percolating sites, not only their total amount was determined but also 165 the networking quality through the characteristic pathway diameter [32]. In addition, the tortuosity factor of 166 the Ni and pore phase along the three directions of the volumes was determined using the program TauFactor 167 [33].

168 <u>Energy Dispersive X-ray Spectroscopy (EDS)</u>

169 In addition to the 3D microstructural characterization, compositional information was obtained for the 170 locations of interest by EDS. A field emission gun scanning electron microscope (Carl Zeiss Supra 35) equipped with an energy dispersive X-ray detector (Thermo Electron Corporation – NORAN System SIX) was 171 172 used for the investigation. The EDS analyses were performed both as X-ray mapping, wide line scans, and as area analysis on polished carbon coated cross section surfaces. The applied acceleration voltage of 15 kV 173 resulted in an interaction volume of $\sim 1 \,\mu m^3$. Cell number 17 in the stack was analyzed in the region close to a 174 contact point between the cell and the interconnect. EDS data from 25 x 3 μ m² and 50 x 3 μ m² areas were 175 recorded with NSS software using Proza (Phi-Rho-Z) correction, the data were then used to calculate the Ni/(Zr 176 177 + Y) atom ratio close to the electrode-electrolyte interface. In a larger area of the support layer, EDS data from 25 x (3 to 10) μ m² and 50 x (3 to 20) μ m² areas were used to calculate the Ni/(Zr + Y) atomic ratios. The 178 179 regions used for the EDS analysis is illustrated if Figure 1 c). The counting statistic error for each measurement 180 was estimated to be less than 1 %. In addition, 50 µm wide EDS line scans (50 points per line) perpendicular 181 to the electrode-electrolyte interface were recorded for the three locations of interest (Figure 1). The 182 measurement of each line scan started 4-5 µm inside the electrolyte and extended into the support layer 183 covering an overall length of ~ 38 μ m. Acquisition times of 75 seconds per spectrum was used for the line 184 scans obtaining ~ 30,000 nickel counts and a background of ~ 2,500 counts.

186 **Results**

187 <u>3D Volumes</u>

A 3D rendering of three sub-volumes extracted from the investigated geometries is shown in Figure 2. The dimension of each sub-domain is $\sim 8 \times 8 \times 8 \mu m^3$ and the three phases of interest are represented as follows: Ni particles are red, YSZ scaffold is gray and pores are transparent. Part of the electrolyte is also introduced in the 3D reconstructions of Figure 2. Qualitative microstructural changes in the Ni phase can be identified clearly between the reference and both locations analyzed for the tested cell by simple visual inspection of the three cubes in Figure 2. The results of the 3D microstructural characterization performed on the three regions are presented in further detail in Table 2.

195 The central 8 μ m of the Ni/YSZ active electrode were analyzed starting ~ 3 μ m away from the electrolyte-196 electrode interface and stopping ~ 1 μ m before the interface between the active electrode and the support layer. 197 Table 2 summarizes microstructural parameters quantified on each of the volumes investigated.

198 Overall phase fractions of the fuel electrode:

The first parameter discussed is the phase fraction. The results are shown in Table 2. The cell under analysis 199 200 has been manufactured aiming for a Ni/YSZ volume ratio of 40/60 vol%, therefore the minimum theoretical 201 pore phase fraction obtainable after NiO reduction will be ~ 22%. This in turn leads to an upper limit for Ni 202 phase fraction of 31.2 %. The computed Ni phase fraction of 30% for the reference cell is in good alignment with manufacturing data. A decrease of overall Ni phase in the active fuel electrode to approximately 23 % in 203 204 the inlet side of the tested cell was observed. Meanwhile, the porosity showed an increase from 25 % calculated 205 for the reference cell to 29 % after the test, both at the inlet and at the outlet. While the percentage of YSZ at 206 the inlet side is almost un-changed compared to that of the reference cell, an apparent decrease is observed at 207 the outlet side. Such a decrease can be attributed to the uncertainty of the measurement itself, as it will be 208 explained later. The general trend observed in the present study for the Ni phase fraction is in agreement with

209 [34]. Performing the quantification of microstructural parameters in the innermost 8 μ m of the electrode 210 provides important information on the degradation of the active part of the fuel electrode. In the data analysis, 211 the volume between 3 and 11 µm from the electrolyte interface was used for deducing microstructural 212 characteristics from the reconstructions. It should be noted that besides the Ni depletion observed in this zone 213 (Table 2) an even stronger depletion is seen close to the electrolyte (Figure 3 a)), within the first three microns, 214 which is not included in values behind Table 2. Estimating accurate confidence intervals for parameters 215 calculated from 3D image data is notoriously challenging. It is worth mentioning that several sources of errors 216 can bias the results: local variations of the structure, representative volume size, a subjective segmentation step, finite resolution, and the parameter estimation method itself [35 - 37]. This is compounded by different 217 parameters having very different sensitivity to each of these types of errors. The equipment used for recording 218 219 the data combined with the implemented post-processing procedure allowed analysis of volumes of 12.5 x 8 x $20 \,\mu\text{m}^3$ for each sample. Considering the mean radius of Ni particles ~ 1.26 μm [29] for the cell analyzed in 220 221 the current work and using the definition of dimensionless length given by Harris et al. [36], the dimensionless 222 dimensions of the volumes analyzed are 9.92 x 6.35 x 15.87 in dimensionless units. Lombardo et al. [37] 223 performed a volume-dependence investigation for Ni/YSZ SOFC anodes that showed that a characteristic dimensionless length of ~ 6 is required for computing reliably the properties of the YSZ phase. In the current 224 225 work, the relative small size of the volume obtained from FIB-SEM reconstructions is reflected in the 226 uncertainty intervals estimations reported in Table 2. The tortuosity factor is one of the parameters most 227 affected by the size of the volume analyzed in particular when the percolation factor is low; in line with this, 228 the uncertainty of this parameter is estimated to be quite high (ca. 20 % at the inlet side as reported in Table 2). The uncertainty intervals in Table 2 are estimated on the basis of experience in parameters computation on 229 230 the same types of samples and imaging technique [35]. However, the results for the YSZ phase can provide 231 information on the accuracy of the measurements since the structure of this phase can be treated as stable. It 232 was sintered at 1300 °C and is unaffected by cell operation at 750 °C. For example, there is a discrepancy in the YSZ phase fraction observed between the three locations: 45 % \pm 2.50 in the reference, 47 % \pm 2.50 at the 233

inlet and 43 % \pm 2.50 at the outlet. This measurement provides an estimate for the uncertainty level for the calculated phase fractions.

236 Interface areas and TPB length:

The surface area of each phase and the interface area between phases are used for the evaluation of microstructural changes occurring in the Ni/YSZ electrode due to the long-term operation of the cell. The results are summarized in Table 2. The overall surface area of Ni is decreased from ~ 1.7 to ~ 0.9 μ m²/ μ m³ after long-term operation. The interface areas of pore and YSZ with Ni show a reduction after the testing reaching ~ 0.3 and ~ 0.7 μ m²/ μ m³ at the inlet side, respectively. The results point out similar degradation at inlet and outlet side of the same cell after 1 year of operation, though to different degrees.

243 It is well known that electrochemical reactions inside the cell occur at active TPB sites only and these sites 244 need to be part of a percolating TPB to be active. A percolated site is obtained when the three phases defining the TPB each have a percolating path for the conducted species (i.e. electrons through Ni, oxygen ions through 245 YSZ and gas through pores). Table 2 shows the results obtained from the computation of the TPB in the 246 samples analyzed. The length of total and percolating TPB was obtained as the average of the length computed 247 in each of the six directions of the 3D geometry analyzed. Table 2 shows that also the percentage of active 248 249 sites decreases after the long-term test. While 86 % of the overall TPB length was percolating in the reference 250 cell, only 53 % was percolating at the inlet of the tested cell. A significant difference can be appreciated in the 251 loss of active TPB sites between inlet and outlet, where 62 % of the TPB is percolating at the outlet.

While similar nickel depletion in the active electrode can be detected at the inlet and outlet side of the cell, a more pronounced TPB length decrease is observed at the inlet side when compared to the outlet. This effect is presumably linked with an effect of the local polarization, which is much larger at the inlet compared to the outlet (the total cell overpotential was estimated to be ~ 420 mV at the inlet and ~ 320 mV at the outlet) resulting also in a higher local current density [38]. The same trend obtained in the cell analyzed in this study was qualitatively observed in the ~ 700 hours tested cells in reference [39], where 2D low voltage SEM images showed a lower percolation degree at the inlet side. Moreover, the higher steam content at the gas inlet side of

the cell could contribute to the higher degradation observed there. Pihlatie et al. [40] observed that the cell 259 260 conductivity decreases as the steam content increases. Indeed, high steam content in the fuel promotes the 261 formation of Ni(OH)₂ complex that facilitates nickel diffusion and particles growth. In addition to that, the 262 steam content and the local polarization of the electrode affect the local pO_2 in the electrode that in turn 263 influences the wettability of Ni on YSZ. Experimental evidence of the effect of oxygen activity on Ni/YSZ 264 interaction was presented by Jiao et al. [41] and a theoretical correlation between pO_2 and Ni contact angle on 265 YSZ was proposed. Different wettability properties of Ni/YSZ cermets were qualitatively observed in [8] and 266 [39] between the inlet and the outlet of the cell where both gas composition and current density assume 267 different values.

268 Phase fractions as a function of distance from the electrolyte-fuel electrode interface:

269 Figure 3 illustrates the phase fraction calculated on each slice of the segmented volume parallel to the 270 electrode-electrolyte interface (i.e. the distance between the two neighboring slices is between 35 nm and 40 271 nm). The overall length covered by this analysis is $\sim 16 \,\mu\text{m}$ for each sample. In the graphs of Figure 3 the 272 electrolyte is located to the left. Two vertical-dashed black lines indicate the region used for the computation of the other microstructural parameters reproduced in Table 2. This region starts $\sim 3 \,\mu m$ away from the 273 274 electrode-electrolyte interface and ends approximately 1 µm before the support layer. Due to the irregular 275 structure, it is not easy to define precisely where the interface between the electrode and the electrolyte is placed. Conventionally, we placed the interface where the first nickel particle is found when moving from the 276 277 electrolyte towards the electrode. As evident from Figure 3 a significant decrease of the Ni content in the active 278 area is observed after long-term operation at both the inlet and the outlet of the cell to more or less similar 279 extent. In the support, on the other hand, no such depletion is observed, the Ni content in each slice of the 280 support layer in the tested cell is not much different from the one in the reference cell. In consistency with the 281 finding on the Ni phase Figure 3 b) shows a slight increase in porosity in the active fuel electrode after 1 year 282 of cell operation, whereas the porosity in the support layer after the long-term operation is not significantly 283 different from the reference one. The content of the YSZ along the direction perpendicular to the electrode-284 electrolyte interface is illustrated in Figure 3 c). It is evident as the YSZ fraction in the electrode does not change on aging. Even though Ni migration can be qualitatively appreciated in Figure 3, the local 3D analysis performed in this study does not allow the observation of whereto the Ni moves due to the intrinsic limitation of the analyzed volume with FIB-SEM tomography. Among the factors that lead to uncertainty in the analysis, it must be remembered that raw FIB-SEM data were recorded and segmented such that the focus was on the inner active fuel electrode. This means that data away from this region (i.e. the support layer) are more likely to be affected by artifacts occurring in the segmentation process of the whole volume.

291 Figure 4 shows the results of the EDS analysis. Based on 1 to 5 measurements at different places in each of 292 the samples of interest, the Ni/(Zr+Y) atomic ratio was computed on a region of the electrode close to the 293 electrolyte interface and on a larger area of the support layer. The results are illustrated in Figure 4 a). The 294 ratio shown in Figure 4 a) is an indication of the Ni content in the different areas of the electrode. The intrinsic 295 uncertainty of the characterization method and the inhomogeneity of the sample can affect the measurement 296 of the nickel content. In this regard, 9 measurements were performed in the active electrode and 4 EDS maps 297 were recorded for the large area analyzed in the support layer. In order to give an estimation of the inaccuracy 298 of the measures, the standard deviation was computed to be ~ 0.18 and ~ 0.07, respectively for the active 299 electrode and the support layer. A significant nickel depletion in the region of the active electrode closest to 300 the electrolyte is observed at both inlet and outlet of the tested cell. A Ni/(Zr+Y) atom ratio of approximately 301 \sim 1.7 was obtained for the reference cell while the value drops below 1 in both locations of the tested cell. This 302 indicates that the migration of nickel away from the interface between the Ni/YSZ electrode and the electrolyte 303 occurs to similar extents at the inlet and the outlet side of the cell. Interestingly, the Ni/(Zr+Y) atom ratio 304 computed on the large area of the support layer does not vary considerably in the regions analyzed and it varies 305 between 1.6 and 1.8 for the three samples as shown in Figure 4 a).

Line scans were recorded starting from the electrolyte toward the support layer and used as further evidence of nickel depletion in the active fuel electrode. The results are summarized in Figure 4 b). The graph in Figure 4 b) shows three line scans for the three locations of interest (i.e. reference, inlet and outlet) and the theoretical Ni/(Ni+Y+Zr) atomic ratio of ~ 67% resulting from the production process (dashed gray line). The black dashed line points out the electrode-electrolyte interface. The line scan results are in agreement with what observed in Figure 3 and Figure 4 a). A systematic decrease in Ni content in the 5 µm of the active fuel
electrode closest to the electrolyte is recorded after long-term operation while only small variations can be
appreciated in the support layer.

314 <u>Particle size distributions:</u>

When performing the microstructural characterization of SOC electrodes it is useful to define the particle sizes. 315 In this work, the continuous particle size distribution method [26] was applied to compute the results shown 316 in Figure 5. For what concerns Ni particles, it was observed that the average particle radius is subjected to a 317 318 shift from approximately 350 nm in the reference cell to ~ 600 nm in both locations analyzed on the long-term 319 tested cell. A shift towards larger pore sizes was observed at both analyzed locations of the tested cell compared 320 to the reference cell, the measured YSZ particle size distribution is unaffected by the long-term operation. This 321 observation is well in line with previous studies [8, 28, 29] and the trend observed for Ni particles agrees with the analysis performed by Hauch et al. [34], where the mean intercept length principle was applied on 2D SEM 322 323 micrographs and an increase in Ni particle size from $\sim 1.01 \,\mu\text{m}$ in the reference cell to $\sim 1.26 \,\mu\text{m}$ in the tested 324 one was observed. These results are in line with the particles size distribution obtained from the analysis of 2D 325 SEM images of the same cell analyzed here, the increase of Ni particle size from ~ 1.03 ± 0.02 µm in the reference cell to ~ 1.39 ± 0.03 µm at the inlet side of the tested cell was observed [29]. The testing conditions 326 used for the cells analyzed in [34] were different from the ones applied to the stack analyzed leading to different 327 328 values of the Ni particles radius. However, the trend is the same in both cases.

329 <u>Characteristic pathway diameter and Ni tortuosity:</u>

The concept of characteristic pathway diameter has been used in other studies [29, 32], however, there using the term "critical pathway radius" instead. The characteristic pathway diameter is the diameter of the largest sphere that can be squeezed through the network of the phase of interest. This idea is sketched in Figure 6 where percolating TPBs are highlighted and possible pathways of electrons in the Ni phase are drawn. The characteristic pathway diameter is pointed out in Figure 6 by red arrows. 335 The characteristic pathway diameter is a measure to evaluate the quality of the pathway connections of the 336 different phases; i.e. the width of the "Nickel highway" for the electrons and similar for the gas phase in the 337 pores and oxide ions in the YSZ phase. This parameter is important for understanding the quality of the 338 pathways connecting the three phases throughout the electrode. If the percolation of TPB sites is guaranteed by pathways characterized by a very narrow diameter (e.g. 20 nm), then impurities, carbon deposition or 339 340 similar situated at the TPB may more easily break the network connectivity and therefore reduce the degree of 341 electrode percolation in the overall cell performance. On the other hand, if the pathway is characterized by a 342 larger characteristic diameter (e.g. 200 nm or above), the structure is more robust and impurities are less likely to obstruct the connectivity. A significant change of the characteristic pathway diameter for the Ni phase can 343 be observed in Figure 7 a). Assuming for instance that a high performing cell is characterized by Ni connected 344 345 through pathways with a diameter around 200 nm, the percentage of paths with this characteristic is higher 346 than 80 % in the reference cell and is below 50 % at both the inlet and the outlet side of the tested cell. After 347 testing, the pores are connected through bigger paths as illustrated in Figure 7 b) whereas YSZ does not show 348 appreciable changes with respect to characteristic pathway diameter (Figure 7 c)).

The results illustrated in Figure 7 confirm the degradation trend observed in Figure 5: the characteristic diameters of percolated pathways are degraded significantly to a similar degree for both the inlet and outlet part of the cell after one year of testing.

352 The results in Table 2 show lower values of percolating TPB at the inlet side while the graphs presented in Figure 7 report lower nickel percolation at the outlet. This effect could be due to the fact that the percolating 353 TPB length is computed by checking the percolation through all the three phases. Instead, the characteristic 354 pathway diameter shown in Figure 7 consider the individual percolation of each phase separately. One more 355 parameter that can be used as indication of networking quality is the tortuosity factor. This parameter was 356 357 computed using the TauFactor program [33]. The results are reported in Table 2, for Ni and pore phases of the 358 active fuel electrode. Increased tortuosity of the Ni networking and therefore pronounced degradation can be 359 appreciated when comparing the tested cell with the reference, although a clear difference between inlet and outlet cannot be detected. The tortuosity factor computed on the pore phase reveals reduced tortuosity in thetested cell compared to the reference.

362 **Discussion**

363 The locations investigated in this study were exposed to different local conditions: gas compositions, current densities and temperatures inside the tested cell. The results presented here on phase fraction (Table 2) and Ni 364 migration (Figure 3) are in agreement with Mogensen et al. [39] where a study of Ni migration correlated with 365 current load is reported for SOFC and SOEC. In electrolysis mode, a loss of Ni in the innermost active fuel 366 367 electrode was observed [39]. A qualitative comparison between inlet and outlet based on 2D SEM images after \sim 700 hours of testing is reported in reference [39] showing appreciable bigger Ni particle size and higher 368 percolation loss at the inlet than at the outlet side. The results for Pore/Ni and YSZ/Ni interface area 369 summarized in Table 2 show a decrease of approximately 69 % and 29 %, respectively, when comparing the 370 371 inlet side of the tested cell with the reference cell. The difference in the extend of the decrease can be related to the inhibiting effect of YSZ on Ni coarsening as discussed in [40, 42]. Huber et al. [42] observed that the 372 373 morphological variation of nickel during the coarsening process is more pronounced on the Ni surface in contact with the gas phase than at the YSZ/Ni interface. Indeed, the energy related to the Pore/Ni interface is 374 375 lower than the energy related to the adhesion of Ni on YSZ. This results in the smaller decrease of YSZ/Ni 376 interface area when compared to the decrease of Pore/Ni interface area (Table 2). The Ni rearrangements 377 observed in the cell investigated in this work are well in line with the observations of Hubert et al. [42] where 378 the inhibiting effect of the YSZ skeleton on Ni coarsening at typical SOC operating temperature was pointed 379 out. The homogenization of microstructural parameters as observed here after one year of electrolysis operation 380 leads to the tentative conclusion that the different conditions experienced by the cell at the two extremities are 381 more important in the first hundreds of hours of cell life. On the other hand, different percolation degrees 382 through the Ni phase were observed at the two extremities of the cell after the long-term testing showing, when 383 using this parameter, the most severe change to the Ni network at the inlet where the cell is more polarized.

384 All the microstructural features investigated in this study pointed out significant degradation both at the inlet 385 and the outlet of the tested cell that was used in a 25-cell stack compared to the non-tested reference cell. 386 Moreover, the degree of degradation of the two locations analyzed in the tested cell shows similar trends and only some microstructural parameters (e.g. TPB length) indicate significant difference between the inlet and 387 388 the outlet side of the tested cell. Several studies proved that the Ni particle size increases mainly in the first 389 thousands of hours of testing [28, 43-45] and only small modification can be detected afterwards. After 1 year 390 of operation, as it is the case in the current study, the coarsening rate of Ni in the electrode is supposed to be 391 slow. This would explain the almost identical Ni PSD at the inlet and the outlet side of the long-term tested 392 cell shown in Figure 5. Moreover, the local testing conditions between inlet and outlet will make the 393 degradation occurring first at the inlet side and with the time also at the outlet. Ni rearrangement dictates 394 changes in porosity and therefore a significant difference in pore size between the reference and the tested cell 395 can be detected, however the size of the pores computed on the tested cell is almost unchanged between the 396 two locations analyzed. A number of tests under the same operating conditions (temperature, current density, 397 polarization, and gas composition) but for different time duration have been run in order to investigate 398 intermediate stage of degradation. The Ni/YSZ electrode microstructure is currently being characterized and 399 the results will be reported elsewhere.

400 The results for the characteristic pathway diameter illustrated in Figure 7 highlight a significant reduction in 401 percolation through the nickel phase, the total amount of pathways percolated throughout the volume (i.e. 402 percolating TPB sites) decreases strongly. Nevertheless, the diameters of the pathways that remain percolated 403 shows a mild increase. This could be the effect of a microstructural degradation driven by curvature minimization. The effect of this process will lead to the disconnection of pathways connected through small 404 405 bottlenecks while a more stable behavior during operation will be observed for wider pathways characterized 406 by low curvature resulting in an overall decrease in percolation but an increase of the characteristic pathway 407 diameter for the remaining Ni pathways. De Angelis et al. [46] used x-ray tomography for investigating 408 Ni/YSZ cermets evolution. They observed that nickel coarsening leads to a loss of nickel connectivity and an 409 improvement in connectivity through the pore phase. The reduced tortuosity for the pore phase reported in410 Table 2 finds an explanation in the improved connectivity of the pores.

An additional effect of the coarsening process is the increase of particle size shown in Figure 5: smaller nickel particles are present in the reference cell after the reduction of NiO to Ni, these particles are characterized by high curvature and disappear during the coarsening process in favor of bigger features with lower curvature.

TPB sites were quantified after segmentation for each of the three volumes analyzed and given in Table 2. The 414 415 lower percentage of percolated sites computed at the inlet side of the tested cell compared to the outlet is in 416 agreement with the observation reported by Mogensen et al. [39] and by Hauch et al. [8 (Cell A and B)] at the 417 cell level, Fang et al. [14] reported similar funding at the stack level. The inlet side is supposed to be exposed 418 to highest value of local current density which can contribute to a faster degradation. Chen et al. [38] simulated 419 the current density distribution in the cell in the direction of the steam flow for two cells with different oxygen 420 electrodes, the average current density of the two cells was ~ 0.9 and ~ 1.2 A/cm², respectively [38]. The 421 differences between inlet and outlet in current density were ~ 0.19 and ~ 0.35 A/cm², for the two cells 422 respectively. A rough estimation of the difference in current density between inlet and outlet for the cell 423 analyzed in the current study can be done considering that such a difference is approximately 20% of the average value. Therefore, for the current case we can estimate the difference to be ~ 0.14 A/cm^2 resulting in ~ 424 0.79 A/cm² at the inlet side and ~ 0.65 A/cm² at the outlet. Starting from 86 % of active TPB sites for the 425 reference cell only 62 % and 53 % were found at the outlet and the inlet of the tested cell, respectively. Knibbe 426 427 et al. [7] and Chen et al. [11] investigated the effect of the current density on the Ni/YSZ electrodes microstructure. Ni coarsening and re-distribution were the main effects when the cells were tested at a current 428 density below -1 A/cm² (the cells were tested at 800 and 850 °C and an estimated fuel electrode polarization 429 430 of ~ 50 - 150 mV) [11]. For higher values of current density the formation of nanoparticles and impurities, and 431 the detachment of Ni from the YSZ scaffold were observed in the microstructures [7, 11]. These phenomena 432 contributed to the more pronounced performance degradation observed at higher current density [7, 11]. The 433 low current density used for the stack examined in the present study can explain the lack of nanoparticles at the interfaces between Ni and YSZ as observed in [7] and [11] for higher value of current density and local 434

polarization. These percolating TPB results also fit well with the analysis of characteristic pathway diameter and the tortuosity factor, which reveal that the one-year test has weakened transport properties of the Ninetwork: there is a loss in TPB percolation through the Ni phase and an increase in the tortuosity factor of the Ni network for the conduction of electrons.

439 Not many studies focused on the quantification of SOEC microstructure parameters. Jørgensen and Bowen 440 [25] determined microstructural parameters for a single SOEC tested for approximately 1300 hours [25, 34] 441 where the same method for the calculation of TPB was implemented. In that specific case, 80 % of the TPB 442 was percolating after the testing. The higher percolation recorded in [25] could be due to several factors such as shorter testing time, lower current density (- 0.5 A/cm²) and therefore lower fuel electrode overpotential, 443 and also to the different gas composition used (50 % steam and 50 % H₂) versus the here used 90/10. More 444 445 details on the testing parameters can be found in [34]. A quantification of SOEC Ni/YSZ electrode microstructure was performed also in [47] where the total TPB length was computed to be 4.63 μ m/ μ m³ with 446 447 3.07 μ m/ μ m³ of active sites in the commercial cell analyzed [48] versus the here reported 3.28 μ m/ μ m³ and 448 $2.83 \,\mu m/\mu m^3$.

Here, the reference cell could be compared only with one more step in time the full 9000 hours. However, a previous study [39] has showed a difference in the rate of degradation of the percolation between the inlet and the outlet side of the cell. After 128 hours the degradation is more marked at the inlet than at the outlet while after 678 hours of test the difference started disappearing [39]. Similar results showing a faster degradation at the inlet than at the outlet were also reported by Hauch et al. [8 (Cell A and B)]. Further, analyses of the difference in degradation rate between inlet and outlet are currently underway.

The results presented in this work show that differences between the inlet and the outlet of the cell after longterm testing could be detected only for some of microstructural parameters. TPB length illustrates recognizable differences between the inlet and the outlet of the tested cell. The trend already reported in other studies [8 (Cell A and B), 14, 39] (i.e. lower degradation rate at the outlet side) was also observed here but only through some of the features considered. Based on the results of the current and previous studies [29], we hypothesize herein that the degradation of the investigated cell is connected to different local operating conditions 461 experienced at the two extremities. In particular, current distribution and gas composition are thought to play462 an important role for the differences in degradation.

The characterization performed in this paper provides suitable input for microstructural modelling. The 3D reconstruction of the reference cell could be used as input for the modelling and microstructural parameters can be computed on the simulated geometries and linked to the experimental results presented in this work. The investigation of the modelling results can provide information on the intermediate stages of the coarsening process and related microstructural changes that cannot be detected and quantified during the operation of the cell.

469

470 Conclusion

A detailed 3D microstructural characterization of a solid oxide electrolysis cell that was operated as part of a
stack for one year was performed, and microstructural parameters were compared with the results obtained on
a non operated reference cell manufactured as a part of the same batch of cells.

The depletion of Ni in the region close to the electrode-electrolyte interface ($\sim 5 \mu m$) emerged both from the EDS results and from the analysis of the three dimensional reconstructions. This effect was observed to occur both at the inlet and at the outlet side of the cell after the long-term test in electrolysis mode. The same type of analysis performed on the YSZ shows the stability of this phase during the cell aging.

The long-term test strongly affected the microstructure, starting from a reference cell with 86 % of percolating sites, to 62 % at the outlet side and only 53 % at the inlet side after the test. Moreover, the shift towards larger particles size together with the decrease of TPBs percolation and the increase of the tortuosity factor of the nickel show a strong degradation in the network-quality of the three interpenetrating phases in the cermet. This fact is likely responsible for the majority of the resistance increase observed over the one year aging: a resistance increase (ASR) of ~ 42 % was observed over 9000 hours test. [31]. Interestingly, the two locations investigated on the tested cell (i.e. inlet and outlet side) showed similar characteristics in microstructural changes/degradation, slightly more pronounced at the inlet. The higher loss of percolating TPB at the inlet side is likely due to the more harsh operating conditions experienced here: larger current density (stronger polarization) and more moist gas composition.

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	Period [hours]	Temperature [°C]	Steam conversion [%]	Air flow [Nl/min]	Stea Hydro	m[%]/ ogen [%] eed	Hydrogen flow [Nl/min]	Current density [A/cm ²]	Degradation [%/1000 h]
	0_2175		(constant)		Inlet	Outlet	1.95	0.57	2.0
	2175- 8700	750	50	28	90/10	45/55 (calculated)	2.45	-0.72	2.0*
629	*average va	lue	I		1				
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628 Table 1: Parameters used for the 1-year stack test [31].

Table 2: Phase fraction, total and percolating TPB and surface area calculated on 3D reconstructions of all the samples
for the three phases of interest. Based on cell manufacturing data, the volume ratio between Ni and YSZ can be expected
to be Ni/YSZ: 40/60 vol%.

	Reference	Inlet	Outlet
Ni [vol%]	30.16 ± 2.50	23.80 ± 2.50	28.37 ± 2.50
Pore [vol%]	24.66 ± 2.50	29.06 ± 2.50	28.95 ± 2.50
YSZ [vol%]	45.18 ± 2.50	47.15 ± 2.50	42.68 ± 2.50
Total TPB [µm/µm³]	3.28 ± 0.10	1.44 ± 0.10	1.67 ± 0.10
Percolating TPB [µm/µm ³]	2.83 ± 0.15	0.76 ± 0.15	1.04 ± 0.15
Percentage of Percolating TPB [%]	86.28 ± 3.41	52.84 ± 5.02	62.22 ± 4.53
Percentage of Percolating TPB Pore [%]	95.11 ± 10.00	93.22 ± 10.00	96.29 ± 10.00
Percentage of Percolating TPB YSZ[%]	98.89 ± 10.00	98.47 ± 10.00	98.86 ± 10.00
Percentage of Percolating TPB Ni[%]	91.60 ± 10.00	46.52 ± 10.00	42.96 ± 10.00
Pore surface area [µm ² /µm ³]	2.09 ± 0.05	$1.72 \ \pm 0.05$	$1.58\ \pm 0.05$
YSZ surface area [µm²/µm³]	$2.09 \ \pm 0.03$	2.07 ± 0.03	$1.92\ \pm 0.03$
Ni surface area [µm²/µm³]	$1.74\ \pm 0.05$	$0.88\ \pm 0.05$	$1.05 \ \pm 0.05$
Pore/YSZ interface area [µm²/µm³]	$1.22\ \pm 0.05$	$1.45\ \pm 0.05$	$1.23\ \pm 0.05$
Pore/Ni interface area [µm²/µm³]	$0.87\ \pm 0.05$	$0.27\ \pm 0.05$	$0.35 \ \pm 0.05$
YSZ/Ni interface area [µm²/µm³]	$0.87 \ \pm 0.05$	$0.62 \ \pm 0.05$	$0.69\ \pm 0.05$
Ni tortuosity factor direction 1	6.27 ± 2.00	50.50 ± 20.00	17.80 ± 20.00
Ni tortuosity factor direction 2	6.64 ± 2.00	13.70 ± 5.00	14.60 ± 5.00
Ni tortuosity factor direction 3	4.49 ± 2.00	26.40 ± 15.00	32.00 ± 15.00
Pore tortuosity factor direction 1	7.25 ± 2.00	3.69 ± 2.00	3.34 ± 2.00

	Pore tortuosity factor direction 2	5.78 ± 2.00	4.65 ± 2.00	5.01 ± 2.00	
	Pore tortuosity factor direction 3	5.37 ± 2.00	4.34 ± 2.00	4.00 ± 2.00	
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Figure 2: 3D reconstructions of sub-volumes extracted from: a) reference cell, b) inlet side of the tested cell c) outlet
side of the tested cell. Nickel is shown in red color, YSZ in gray and pores are transparent.



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684	Figure 3: Phase fraction of the three phases form the FIB-SEM reconstructions in the direction perpendicular to the
685	electrode-electrolyte interface: a) Ni, b) pores and c) YSZ. Each graph shows results for the reference cell and the tested
686	cell at the inlet and the outlet.
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Figure 4: a) Ni/(Zr + Y) (atomic ratio) mean value in two regions of the fuel electrode: close to the electrode-electrolyte interface (average of EDS results computed on 25 x 3 μ m² and 50 x 3 μ m² areas) and on a large area of the support. b) Ni/(Ni + Y + Zr) (atomic ration) as a function of the distance from the electrolyte (EDS data obtained from 25 x (3 to 20) μ m² and 50 x (3 to 10) μ m² areas), the theoretical atomic ratio from the production is ~ 67 % and it is illustrated by a dashed gray line. The results are shown for the three sample analyzed in this study: reference, inlet and outlet.

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714	Figure 5: Continuous particles size distribution computed on the three volumes analyzed, each line in the graphs refers
715	to one of the three samples analyzed: reference cell and aged cell at the inlet and the outlet side. Graph a) shows Ni
716	PSD, graph b) the PSD of pores and graph c) the YSZ PSD.
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727	Figure 6: Sketch of characteristic pathway diameter concept. In the drawing, red arrows highlight the characteristic
728	pathway for the Ni phase, and percolating TPB between Ni (red), YSZ (gray) and pore (transparent) are circled in
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Figure 7: Characteristic pathway diameter calculated for each of the three phases of the electrode: a) Ni, b) Pore and c)
YSZ. The three lines in each graph represent the reference cell and the tested one at the inlet and the outlet side,
respectively.