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Olesen, I. M.; Barfod, Michael Bruhn

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Selection and Integration of Environmental Impacts in the Danish Transport Infrastructure Assessment Process

Ida Marie Olesen^a and Michael Bruhn Barfod^{a*}

^aDepartment of Management Engineering, Technical University of Denmark, Kongens Lyngby, Denmark

*Corresponding author: Department of Management Engineering, Produktionstorvet 424, DK-2800 Kongens Lyngby, Denmark. Telephone: +45 4525 1540, e-mail: mbba@dtu.dk

Abstract: Transport projects have numerous consequences for the environment, society and economy, and thus an EU Directive has stated a number of impacts that need to be assessed prior to any major intervention. This paper is set in a Danish context where the EU requirements have been adopted in the Environmental Impact Assessment (EIA) regulation along with national requirements. In recent years, however, the EIAs have been criticized for an inconsistent inclusion of impacts and unclear assessment process. A selection of EIAs are for this reason reviewed and compared to the EU Directive and corresponding works in Sweden and the UK to identify potential opportunities for improvements. From the literature study, an overview table with all potential relevant impacts for transport projects is set up to assist the EIA process. For the sake of simplicity and transparency, the impacts selected from this table should, however, be further reduced in number to ensure that only the most important impacts are included in the process. To further increase simplicity and transparency in the EIA process, a novel framework for assessing different types of impacts is proposed. In this framework, a comprehensive decision support tool involving stakeholders is in focus. The framework is supplemented with a procedure for generating objectives and presenting results in an appropriate way to the many stakeholders involved. The impacts overview table and the assessment techniques are applied to a case study to illustrate the process, and finally conclusions and perspectives for future work within the field are set out.

Keywords: Environment Impact Assessment (EIA), Stakeholder involvement, Multi-Criteria Decision Analysis, Analytic Hierarchy Process (AHP), Transport assessment

1. Introduction

Member countries in the European Union (EU) are by a number of regulations required to perform comprehensive examinations of the consequences of infrastructure projects (Pearce et al., 2006). Environmental Impact Assessments (EIA) are governed by the EU Directive “On the assessment of the effects of certain public and private projects on the environment” from 1985 (EU, 2014). The directive states which project types that need to undergo an EIA, and it consists of a list of environmental impacts and additional information that the EIA is to clarify (EU, 2014). The directive is adopted in the Danish EIA regulation as well as in the Danish law for planning (DME, 2015). However, Danish EIAs have recently received criticism of the numerous appraisals performed for each project, which are very costly and do not seem to be used in the way it is intended in the decision making process (The Engineer, 2013). A part of this criticism may stem from the fact that the EIA guidelines are not that detailed from European level, and it is unclear how it should be used in the decision making process. Here the appraisal seem to be a tool for qualifying the basis for decision rather than for choosing the most environmental friendly option. To change this perception and use of the EIAs there is a need for more transparency in the process. In this respect, the often-varying impact assessment part is a key issue.

Extensive research has been conducted within the area of indicators and impacts for assessing transport infrastructure projects (see e.g. Jourmard and Nicolas (2010), Jourmard and Gudmundsson (2010), Zietsmann et al. (2011) and Cornet et al. (2018)). Several frameworks for environmental and social indicator sets have also been developed to accommodate this need (see e.g. Cornet (2016), Marsden et al. (2006), Niemeijer (2002), Niemeijer and Groot (2008), or NCHOD (2005)). However, most of the research considers the issues on a theoretical and general level, and overall it has shown that it is not possible to develop one single list of impacts or indicators to consider when assessing transport projects. Instead, specific conditions in the single

countries need to be taken into account when developing such a list. This paper makes an attempt to develop an operational and comprehensive list of impacts for the use in the Danish transport sector in order to make the assessment process more consistent and transparent.

Thus, the purpose of this paper is to review the current Danish approach for transport project assessment and develop a comprehensive list of impacts to be considered in the assessment process. Moreover, the paper examines the transparency of the EIA by clarifying the content of the reports. The paper takes its basis in the Danish sector, but makes use of input from similar processes in the normally comparable countries: Sweden and the UK. The approach is illustrated using an infrastructure case study.

The structure of the paper is as follows. After this introduction, Section 2 reviews the impacts assessed in the Danish EIA process and the assessment techniques used. Following this, the main differences between the Danish and corresponding Swedish and UK procedures are outlined to identify possible improvements in the Danish process. Section 3 develops a revised framework for the Danish EIA process, and in Section 4 the suggested techniques and improvement are applied to a case study. Section 5 discusses the strengths and weaknesses of the proposed framework, and finally Section 6 presents the conclusions and perspectives for further work within the field.

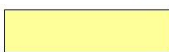
2. Impacts assessed in the Danish EIA

There are some differences between the aforementioned EU Directive on EIA and the contents of the Danish regulation. These will be outlined in the following.

Annex 4 in the EU Directive and in the Danish regulation describes the impacts that need to be included in the EIA. An outline of these impacts is depicted in Figure 1. The yellow colour depicts requirements stated in both the EU Directive and the Danish regulations, and the orange colour depicts requirements only stated in the Danish regulation. Note that all requirements are

listed in Annex 4 of the directive with no or little explanation (and no subdivision such as in the figure).

Content of Annex 4 in the EU Directive and Danish EIA regulation							
Project description	Project alternatives	Surroundings	Environmental impacts in short and long term	Mitigation measurements	Risk of major accidents	Non-technical summary	Absences and final appraisal
Overall design and the physical framework of the project	Overview of the alternatives and their location and design	Description of the surroundings in the study area that are significantly affected by the alternatives:	Impact on the drainage systems and groundwater level	Mitigation measurements that aim to avoid, minimise, remedy or compensate for the predicted impacts of the project	The vulnerability of the project based on the potential consequences if major accidents or disasters occur	Summary of the information and impact assessment provided in the EIA process without a technical view	Overview of the absences in the report and uncertainties associated with the basis of the calculations
Map of project in a relevant scale	0-alternative: A description of the future situation, if the project is not implemented, seen from a scientific and environmental point of view	1. Population and socio-economic 2. Fauna and flora 3. Soil 4. Water 5. Air and climate 6. Landscape 7. Material goods 8. Architectural and archaeological cultural remains 9. The connection between the affected	Air pollution	Monitoring of the project during construction and operation	Expected consequences on the environment if major accidents or disasters occur		Overall appraisal of the environmental impacts
Geographical area needed	Generated alternatives and explanation for the selected and deselected alternatives based on the environmental impacts		Noise nuisances		Risk assessment pursuant to Union legislation (EU Directive) and national regulations		
The process of the production (amount and type of resources needed)		Description of: 1. Amount of traffic 2. Public access to the project area	Use of natural resources				
Expected resource waste and emissions: 1. Water 2. Air 3. Ground pollution 4. Noise 5. Vibrations 6. Light 7. Warmth 8. Radiation			Emission of contaminants				
			Additional nuisances				
			Disposal of toxic chemicals and additional waste				
			Methods used to predict the impacts of the environment				



Requirements stated in **both** the EU Directive and the Danish regulations



Requirements **only** stated in the Danish regulation

[Figure 1. Content of the EU EIA regulation and Danish EIA regulation, adapted from (DME, 2015)]

As shown in Figure 1, extra requirements has been added to the content of the EIA in Denmark. E.g., the Danish regulation states that the “amount of traffic” (which apart from congestion can lead to emission of noise, air pollution, greenhouse gasses, accidents etc.) must be determined and described, the public access to the project area must be clarified, and the project area must be illustrated on a map. Furthermore, the Danish regulation states that an overall appraisal of the environmental impacts should be specified. However, the type of environmental impact assessment or the degree of detail in the appraisal is not described in the regulation. Thus, it is not clear whether the regulation alludes to the assessment of individual impacts or to assessments where the environmental impacts are compared in an overall assessment of the environmental consequences.

The analysis in this section is based on a review of the 10 most recent EIA reports concerning road, rail and public transport projects in Denmark. The reports are listed below.

- New Fixed Link, Frederikssund (DRD, 2010a)
- New Fixed Link, Aalborg (DRD, 2011)
- New Fixed Link, Storstrøm (DRD, 2014a)
- By-pass road, Ribe (DRD, 2015)
- By-pass road, Næstved (DRD, 2010b)
- By-pass road, Haderup (DRD, 2014b)
- Light-rail, Ring corridor 3 (DMT, 2015)
- Copenhagen - Ringsted High-speed Railway (DTA, 2009)

- Electrification and upgrading of speed limits, North of Køge-Næstved (RND and DNA, 2014)
- Metro City Ring (CC and MF, 2006)

2.1 Structure and content

The content and structure of the EIA is put into a scheme, see Figure 3, that presents the information and impacts contained in the studied reports. Information marked in yellow are included in all studied reports, and information marked in orange are only included in some reports. Information not required by the Danish EIA regulation (but still assessed in some reports) is marked with an arrow.

The assessment of the impacts is to some extent subjective as the formulation of the requirements in the EU Directive and the Danish regulations only are vaguely stated. E.g., the Danish regulation demands a description of the “amount of traffic”, but it does not state whether this includes calculations of capacity and traffic safety. From the review of the EIA reports, it is assumed that the phrase “amount of traffic” includes all directly related traffic impacts such as capacity and traffic forecasts, whereas impacts that occur in consequence of traffic (e.g. traffic safety, punctuality, temporary traffic constructions) are not covered.

From Figure 2 it is evident that the Danish EIAs are structured very randomly and the report structure are very different between authorities. The top authority of EIA is the Danish Ministry of Transport, but the practising authority depends on the intervention at hand. The Danish Road Directorate (DRD) is the authority if the project involves government owned roads, Rail Net Denmark carries out studies for Danish Rail projects, and affected municipalities are authorities for minor transport projects. E.g., EIAs conducted by the Danish Road Directorate usually consist of four different papers: a summary report, an environmental appraisal, a land use analysis, and a site

analysis together with a number of background notes. Reports conducted by the Rail Net Denmark consist of one final report and several technical notes specifying consequences on groundwater, soil, noise, vibrations, geographical area, natural resources, etc.

Non-technical summary	Background	Project description and alternatives	Principles and methods	Traffic consequences	Environmental impacts	Geographic Area	Socio-economic	Absences	
Summary of the information and impact assessment provided in the EIA process without a technical view	► Existing circumstances	Rough assessment and studies of alternatives:	Methods and models for calculations of traffic and capacity on roads and railways	Traffic calculations in the construction and operating period on roads and railways (traffic forecasts, amount of traffic/passengers, travel time savings)	Landscape and visual conditions	Properties affected permanently or temporary	► Construction costs	Uncertainties associated with the basis of the calculations	
	► Purpose of the EIA studies and assessment	1. Idea generating process and preliminary consultation 2. Stepwise selection of alternatives without regard to environmental impacts, traffic, economic and technical factors 3. Reason for deselection of alternatives	Methods and models for calculations of the noise level and vibrations	Capacity	Noise				1. Changes access to properties 2. Expropriation 3. Division of properties – new plan for land distribution 4. Restrictive covenant
	► The process of the public consultations accomplished				Soil conditions, amount of soil needed and polluted soil				
	► The remaining/ future process of the project	Physical layout and design on the alternatives considered	Estimation and calculations of environmental impact consequences	► Traffic safety, accidents and recorded safety	Outdoor and recreational activities				
	► Historical review	► Speed limitations and speed considerations	► Methods to assess the magnitude of the impact	► Temporary traffic flow for traffic on roads and railways	Air pollution and climate				
	► The value and importance of the current intervention at hand	Baseline studies (0-alternative): description of the future situation if the intervention is not implemented		► Traffic-related coherences	Groundwater, drainage and wetlands	► The robustness of the analyses			
	► Previous decision processes, studies, reports, plans, committee appointments, consultations and rulings	Adjustment to the additional transport infrastructure systems		► A additional traffic effects e.g. safety for ship traffic	Light		► Description of the non-monetary impacts		
		► Extra options and opportunity for change		► Punctuality, timetables and correspondences	Raw materials, energy and waste	► Summary assessment of the alternatives			
		Demolition of existing infrastructure e.g. bridges. Strategies, methods and reestablishment of the transport systems and surroundings			Flora and fauna				
		Attached activities that relate to the project			Natura 2000-areas				
	► Time schedule			Population and socio-economy: businesses, health, human and society					
	► Completion of project: steps in the construction period and building methods for construction of bridges or tunnels			Mitigation measurements and monitoring					
				Geology (stability of ground)					
				Magnetic fields					
				Smell and dust					
				Environmental considerations at the end of the construction period.					

[Figure 2. Information and impacts contained in Danish EIA reports]

Presentation of traffic impacts in the EIA is not required according to the EU Directive. Yet, these impacts are usually well described in Denmark where EIA reports usually include traffic forecasts, capacity calculations, safety, additional precautions needed within the study area, and an examination of the traffic flow during the construction period.

2.2 The methodologies applied

The impacts assessed in the EIA are divided into monetary and non-monetary assessable impacts, and for each impact, the assessment methodology is noted in Table 1. In general, several methods (e.g. mapping, calculations, and overview tables) are used to assess consequences for the monetary impacts, and the findings are often well described and illustrated in the reports. The non-monetary impacts on the other hand, are usually only described qualitatively and supported by maps. The absence of overview tables and figures illustrating, summarising or underlining the main consequences results in that the essence of the impact often disappears in the amount of prose.

[Table 1. Methods used to assess monetary and non-monetary impacts in the EIA]

Monetary impacts	Methods used for assessment
Noise	Terminology, calculations, modelling and mapping
Air and climate	Terminology, calculations and modelling
Raw materials needed	Qualitative descriptions and establishment of the need. The impact is usually included in the construction costs
Soil	Qualitative descriptions and appraisal of impact using mapping. Relocation of soil is usually included in the construction costs
Geographical area needed	Terminology and mapping. Expropriation is usually included in the construction costs.
Traffic	Calculations, modelling and mapping e.g. of traffic growth, travel time savings, amount of traffic. Calculations concerning traffic accidents and capacity
Construction costs	Qualitative descriptions and presentation of benefits, costs and investment criteria e.g. NPV and IRR in an overview table
Non-monetary impacts	Methods used for assessment
Landscape	Qualitative descriptions (and sometimes mapping and overview tables)
Vibrations	Qualitative descriptions
Preservations	Qualitative descriptions (and sometimes mapping)

Archaeological heritage and cultural heritage	Qualitative descriptions (and sometimes mapping)
Outdoor and recreational activities	Terminology, qualitative descriptions (and sometimes overview tables)
Water	Qualitative descriptions (and sometimes mapping)
Light	Qualitative descriptions
Flora and fauna	Terminology, qualitative descriptions (and sometimes mapping)
Natura 2000-areas	Qualitative descriptions (and sometimes mapping). The impact is also assessed under the Danish Habitat Directive, Article 6.
Population	Qualitative descriptions
Geology	Qualitative descriptions
Magnetic fields	Qualitative descriptions
Smell and dust	Qualitative descriptions

A specific terminology is used to assess certain impacts. The terminology is used to specify the degree of disturbance, importance of the impact based on the size of the damaged area, the probability of the occurrence of the impact consequences and the duration of the impact. The terminology used in EIA reports is shown in Table 2. However, the exact formulation of the terminology can vary a bit from the different authorities.

[Table 2. Terminology used to assess certain impacts]

Degree of disturbance	Importance	Probability	Duration
Significant	National	High (> 75 %)	Permanent (> 5 years)
Moderate	Regional	Middle (25-75 %)	Temporary (1-5 years)
Small	Local	Low (< 25 %)	Short term (< 1 year)
None	Cross-border		
	Not important		

The terminology is used to classify the impact and underline the importance of the specific impacts. Even though the intention is good it usually turns out as being meaningless as the terminologies is only mentioned in the text and thereby lost in the amount of prose. Only three of the studied reports are using overview tables to highlight the terminology, see Figure 3.

Danish EIA reports	Terminology	Overview table
New Fixed Link, Frederikssund	✓	✓
New Fixed Link, Aalborg	✓	
New Fixed Link, Storstrøm	✓	
By-pass road, Ribe	✓	✓
By-pass road, Næstved	✓	
By-pass road, Haderup	✓	
Light-rail, Ring corridor 3	✓	✓
Copenhagen - Ringsted Railway	✓	
Electrification, Køge – Næstved	✓	
Metro City Ring	✓	

[Figure 3. EIA reports using terminology and overview tables]

In overview tables, the terminology is sometimes converted to colour scales to illustrate the expected impacts and to provide comparability of the different alternatives. Figure 4 is an example of an overview table from DRD (2010a) where the degree of disturbance is converted to a four-step colour signature. The landscape and soil impacts are divided into sub-categories, and the degree of

disturbance is evaluated for each sub-category and for each alternative (see upper line of Figure 4) in both the construction period and the operating period.

Impact on the landscape and soil	Overall appraisal										
	N1a	N1b	N1c	N2a	N2b	S1	S2a	S2b	S3a	S3b	S6
Construction period											
Landscape east of the fjord											
Landscape near the coast of the fjord											
Landscape west of the fjord											
Geological areas of interest											
Soil conditions											
Operating period											
Landscape east of the fjord											
Landscape near the coast of the fjord											
Landscape west of the fjord											
Geological areas of interest											
Soil conditions											
Signature:	None										
	Small										
	Moderate										
	Significant										

[Figure 4. Example of overview consequence table for assessment of alternatives in Danish EIA reports, adopted from DRD (2010a)]

Overview tables are useful to summarise the consequences of each impact, but they can also be useful to compare all alternatives and impacts and thereby act as a summary of the assessments. However, none of the studied EIA reports are using overview tables to compare all alternatives and impacts. The impacts are only described and assessed separately. Actually, only a few of the reports conclude on the findings and highlight the overall advantages and disadvantages of the alternatives. This can be a huge disadvantage for decision makers who often do not have time to read the entire report but instead relies on a summary.

2.3 Main differences compared to Swedish and UK EIAs

The main differences between the impacts assessed in Denmark and the corresponding content in the United Kingdom (UK) and Sweden are presented in the following section. The review of the

UK process is based on the assessment of the High Speed Rail 2 (HS2) project (Booz & Co. and Temple, 2011; HS2 Ltd., 2013), which is a project that has undergone extensive assessment and an enormous amount of public available publications concerning the project exist (Cornet et al., 2018). The Swedish content is based on the following four recent EIA reports concerning rail and road projects:

- Road 222, Skurubron (MN and SC, 2014)
- Road 23, Älmhult-Ljungstrop and Ljungstrop-Mölleryd (MÄ and KC, 2011)
- Railway, Mälars-träck (STA, 2013)
- Railway, Ostlänken (SRA, 2009)

2.3.1 The Swedish content

The Swedish government has just as Denmark applied additional regulations for their EIAs compared to the EU Directive. The results of the review of the content in the Swedish reports are presented in Figure 5. Note that the colours of the areas have the same meaning as for Figure 2. The limited number of orange coloured areas indicates that the reports contain the same impacts and are structured identically even though they are produced by different authorities. The few alterations just point out differences in project size and the variations in impacts on the environment. The homogeneity entails a degree of transparency, and the findings become more verifiable and auditable. It is in this way easier to obtain the needed information and to understand the problem at hand.

Non-technical summary	Background	Project description and alternatives	Principles and methods	Traffic consequences	Environmental impacts	Geographic Area	Socio-economic	Absences
Summary of the information and impact assessment provided in the EIA process without a technical view	<ul style="list-style-type: none"> ► Existing circumstances ► Purpose of the EIA studies and assessment ► The process of the public consultations accomplished 	Rough assessment and studies of alternatives: <ol style="list-style-type: none"> Idea generating process and preliminary consultation Stepwise selection of alternatives without regard to environmental impacts, traffic, economic and technical factors Reason for deselection of alternatives 	Methods and models for calculations of traffic and capacity on roads and railways Methods and models for calculations of the noise level and vibrations	Traffic calculations in the construction period on roads and railways (traffic forecasts, amount of traffic/passengers, travel time savings) Capacity	Landscape and visual conditions Noise Vibrations Preservations Soil conditions, amount of soil needed and polluted soil Archaeological heritage and cultural heritage	Properties affected permanently or temporarily <ol style="list-style-type: none"> Changes access to properties Expropriation Division of properties – new plan for land distribution Restrictive covenant 	<ul style="list-style-type: none"> ► Construction costs 1. Preliminary examination of the construction costs 2. Environmental costs 3. Additional options added to the project 4. EU financial support 	Uncertainties associated with the basis of the calculations Lack of knowledge
	<ul style="list-style-type: none"> ► The remaining/ future process of the project 	Physical layout and design on the alternatives considered <ul style="list-style-type: none"> ► Speed limitations and speed considerations 	Estimation and calculations of environmental impact consequences <ul style="list-style-type: none"> ► Methods to assess the magnitude of the impact 	<ul style="list-style-type: none"> ► Temporary traffic flow for roads and railways 	Outdoor and recreational activities Air pollution and climate Groundwater, drainage and wetlands	Temporary workplaces: <ol style="list-style-type: none"> Access roads Storing areas and construction areas Harbours needed for material shipping 	<ul style="list-style-type: none"> ► Socio-economic results: <ol style="list-style-type: none"> Net present value (NPV) Internal rate of return (IRR) Net present value per public invested DKK (NCR) ► The robustness of the analyses 	
	<ul style="list-style-type: none"> ► Historical review ► The value and importance of the current intervention at hand ► Previous decision processes, studies, reports, plans, committee appointments, consultations and rulings 	Baseline studies (0-alternative): description of the future situation if the intervention is not implemented Adjustment to the additional transport infrastructure systems <ul style="list-style-type: none"> ► Extra options and opportunity for change 		<ul style="list-style-type: none"> ► Traffic-related coherences ► Additional traffic effects e.g. safety for ship traffic ► Punctuality, timetables and correspondences 	Light Raw materials, energy and waste Flora and fauna Natura 2000-areas Population and socio-economy: businesses, health, human and society Mitigation measurements and monitoring Geology (stability of ground) Magnetic fields Smell and dust Environmental considerations at the end of the construction period.		<ul style="list-style-type: none"> ► Description of the non-monetary impacts ► Summary assessment of the alternatives 	

[Figure 5. Information and impacts contained in Swedish EIAs]

In the Swedish EIA procedure the relevant environmental impacts are described systematically in the following order:

- (1) General knowledge of the specific impacts and prerequisites.
- (2) The findings of impact consequences in both the construction and operation period. All findings are summarised by using illustrations, see Figure 6.
- (3) Different possible mitigation measures (for the construction and operating period).

	Norrköping - Bäckeby			Bäckeby-Linköping		
	Red alternative	Blue alternative	Green alternative	Red alternative	Blue alternative	Green alternative
Landscape	Orange	Pink	Orange	Yellow	Pink	Orange
Culture	Orange	Orange	Pink	Orange	Pink	Orange
Nature	Pink	Pink	Orange	Orange	Yellow	Orange
Outdoor and recreational areas	Orange	Yellow	Pink	Yellow	Yellow	Pink
Health	Orange	Pink	Pink	Pink	Orange	Orange
Nature resources	Pink	Yellow	Yellow	Yellow	Yellow	Yellow
Risk and safety	Pink	Pink	Pink	Pink	Pink	Pink
Construction time	Pink	Pink	Pink	Pink	Pink	Pink

Small consequences:
Moderate consequences:
Significant consequences:

[Figure 6. Example of overview consequence table for assessment of alternatives in Swedish EIAs, adopted from SRA (2009)]

The impacts assessed in Danish and Swedish EIAs are almost identical. However, transport impacts are described significantly more detailed in Denmark than in Sweden. This level of detail is not irrelevant as future transport developments can influence the environmental state, health and well-being of wildlife as well as human beings dramatically. Therefore, a thorough detailed examination of all impacts is very important. However, the Danish process can learn from the Swedish and the extensive use of consequence tables, such as the one depicted in Figure 6, which provides the decision maker with a visual summary of the consequences of the impacts.

2.3.2 Structural techniques used in the UK

In the UK, intervention-specific objectives are stated early in the process. The objectives are identified based on stakeholder involvement, and the formulation of objectives is based on existing local, regional and national visions. Moreover, the objectives are continually developed as additional data and information is collected. The objectives are used to generate and improve alternatives, and only those alternatives that can comply with the objectives, alone or in combination with other alternatives, can be selected. The generated and selected alternatives can vary in size, technology, costs, transport mode, etc. Furthermore, alternatives are deselected based on “show-stoppers”, risk assessment, costs and objectives (TAG, 2014). In TAG (2014), “show-stoppers” are defined as any physical, legal and institutional constraints that may limit the potential transport options available.

All potential relevant impacts are described in the reports. This means that the list of impacts assessed usually is long, and summary tables are frequently included to underline and illustrate the main conclusions. Moreover, the tables are used to compare the impacts for the different alternatives, and to ensure that the reader (e.g. the decision-maker or stakeholder) can obtain the needed information without being overloaded with information (TAG, 2014; GOV, 2013). E.g. for each alternative in an assessment, a table containing the impacts is produced. The alternatives are divided into intervals, and for each interval the impacts are given a score on a 5 point scale indicating the consequences on e.g. the environment, see Figure 7.

The purpose of such an overview table is to illustrate the total consequences of the alternatives, to enhance hazards and to provide comparability between the alternatives. Just as in Sweden, the many guidelines of EIA in the UK entail that the methods are used systematically and homogeneously. The output of reports in the UK is transparent even though containing a high level of information as numerous impacts are assessed at a relative detailed level.

Evaluation	Description
--	Highly unsupportive of objective
-	Unsupportive of objective
o	Neutral
+	Supportive of objective
++	Highly supportive of objective
U	Unknown at this stage of the design process
N/A	Not applicable

[Figure 7. Key to evaluation adopted from Booz & Co. and Temple (2011)]

3. Proposed framework for the Danish EIA process

In the following section, the best methods and principles for use in the Danish EIA are presented based on the review of Sweden, UK and additional literature (i.e. Niemeijer (2002), NCHOD (2005), Marsden et al. (2006), Niemeijer and Groot (2008), Jourmard and Nicolas (2010), Jourmard and Gudmundsson (2010), Zietsmann et al. (2011), Cornet (2016) and Cornet et al. (2018)). In this connection an overview table concerning potential impacts relevant for Danish transport projects is developed.

3.1 Objectives and alternatives

A clear set of intervention-specific objectives should be identified and formulated in the early stage of the project process to obtain a transparent EIA. In accordance with the AHP technique a three level hierarchy of objectives (see Table 3) can assist and support the clarification of the rationality of the intervention and provide a framework for the evaluation and appraisal process (see also TAG (2014)). The *high-level objectives* are used to generate a range of alternatives. Ideally, the generated alternatives should represent different solutions that vary in scale, technologies, costs, transport

modes, etc. The *intermediate-level objectives* are used to specify the objectives that should be met by the expected impacts of the project, and the *low-level objectives* are the operational objectives that ensures that the intermediate objectives are met.

High-level (strategic outcomes)	Intermediate-level (specific objectives)	Low-level (operative objectives)
Strategic outcomes that express the desired end state and reflect the aims and ambitions for the local, regional, national (and international) area and population. These are wide and qualitatively formulated.	Specific objectives that should be achieved for a number of impacts that are expected to occur due to the project. These objectives need to be achieved for the high level outcomes to be realised, both in the long and short run.	Operational objectives that represent the desirable outputs which are necessary for the intermediate objectives to be achieved. These objectives need performance indicators and they should be as SMART ¹ as possible.

[Table 3. Hierarchy of objectives (TAG, 2014)]

The start-phase of the project should include a session, where alternatives that alone cannot comply with the high-level objective are combined with other alternatives. After this alternatives that clearly cannot comply with the high-level objectives, alone or in combination with other alternatives, are discarded. The selection of alternatives should include relevant stakeholders to ensure consensus (Barfod and Salling, 2015). Thus, a thorough stakeholder analysis should be

¹ The SMART model is a tool used to formulate objectives to ensure that these are realistic and ambitious. A SMART objective is: Specific, Measurable, Attainable, Realistic and Time defined (Gudmundsson et al., 2015)

conducted prior to the start-phase in order to identify who should be included in the further process. This will be the responsibility of the managing authority of the EIA.

Furthermore, the selection of alternatives should be based on both socio-economic analyses and environmental appraisals.

3.2 Identifying important impacts

The objectives and the selected alternatives should be used to select a range of impacts relevant for the project. The review of Danish EIAs has revealed a ‘usual pallet’ of environmental impacts even though these impacts do not seem significantly important to the specific intervention at hand.

An overview table containing a list of all potential relevant impacts for transport projects can be used to identify the project specific relevant impacts. A proposal for such an impact overview table for Danish transport projects is shown in Table 4 (Olesen, 2016). The table is based on the review of impacts assessed in Denmark, Sweden, the UK as well as the aforementioned additional literature. However, in an initial phase of a project it can be difficult to predict all relevant impacts, and therefore, only potential important impacts are identified. As the project information level increases and the *intermediate-level* and *low-level objectives* are formulated, the selected impacts can be reviewed, and only the substantially important impacts included further on in the assessment. To select the impacts, an Environmental Risk Assessment (ERA) tool can be used to identify potential hazards. All impacts are listed in an event or decision tree and each impact is assigned a “score of relevance” (SR). The SR reflects the probability of a particular hazard to occur, and impacts with high SR should be examined in detail. When assigning mitigation measurements to the current impacts they might need extra attention and impacts with a low SR should probably not be included in the final assessment (Morris and Therivel, 2001).

[Table 4. Overview table used for generating impacts (Olesen, 2016)]

Landscape	Soil	Nature
<i>Physically-related</i>	<i>Geology and geomorphology</i>	<i>Fauna</i>
Geology	Surface geology *	Lost or ruined habitats
Terrain conditions	Underground geology *	Breed disturbance (e.g. birds)
Landform and ecology	The immaterial history of Earth *	Direct deceases (e.g. on road)
	Topography (terrain) *	Pollution (incl. eutrophication) *
<i>Human-related</i>	Vibrations *	Microorganisms underground *
History of landscape		Fauna on bottom of e.g. streams
Land use (incl. expropriation)	<i>Soil conditions</i>	and lakes
Buildings and habitations	Polluted soil and other	
Cultural means	implications of the soil	<i>Flora</i>
Preservations	Amount of soil (mass balance)	Natura 2000-areas
		Felling or pruning of e.g. trees
<i>Aesthetic-related</i>		Vegetation in e.g. streams, lakes
Visual conditions *		Ecological links and ecosystem *
Sensuous feelings *		Distinctive valuable natural resorts
Material assets and archaeological heritage	Air pollution and climate	Water
<i>Archeologic</i>	<i>Air pollution</i>	<i>Surface water</i>
Historical buildings and sites	Sulphur dioxide (SO ₂) *	Streams, lakes and other wetlands *
Ancient remains	Particulates (dust, PM ₁₀ , PM _{2.5}) *	Drainage of surface areas
Historical areas *	Nitrogen oxides (NO _x : NO, NO ₂) *	Hydraulic systems *
Archaeological findings in the study area	Carbon monoxide (CO) *	Recreational value *
	Volatile organic compounds (VOCs), e.g. benzene *	Infiltration and water flow at land *
<i>Materials and sensuous feelings</i>	Toxic organic micropollutants	Pollution of surface water *
Administration of natural resources	(TOMPs), e.g. PAHs, PCBs *	Humane related
Reutilisation of materials		

Waste	Toxic metals, e.g. lead *	Changes in cost line and the water
Magnetic fields	Toxic chemicals, e.g. chlorine *	depth
Light	Ozone (O ₃) *	Establishment of storm water
Air, smell and dust	Ionising radiation (radionuclides)*	reservoirs
Energy use		
Noise (incl. compound noise) *	<i>Climate</i>	<i>Groundwater</i>
Pressure on the labour market *	Greenhouse gasses *	Water quality *
Delivery of materials	Changes in rainfall and seasons	Lowering of groundwater level *
	Alterations to the airflow *	Changes in flow and direction
	Addition of moisture from industrial cooling towers, reservoirs *	Protection of aquifers
	Reduction in sunlight	Influence from buildings *
	Ponding of cold air behind physical barriers *	Drainage of wetlands
		Conditions for water catchment in the study area

Population

<i>Economic impacts</i>	<i>Social impacts</i>	<i>Transport</i>
Local and non-local employment	Changes in population size	Capacity and delay
Characteristics of employment *	Changes in other population characteristics *	Number of tours
Labour supply	Small urban communities	Speed
Local and state finances	Settlement patterns *	Accidents
House prices	Distribution between private and public sectors	Pedestrian and cycle flow
Agriculture	Health services; social support *	Accessibility
Tourism	Social problems *	Location and type of car parking
	Local activities/services	Barrier effects
	Outdoor and recreational activities	Freight transport
		Public transport
		Station, line and junction capacity
		Traffic management systems *

The impacts marked with an asterisk can be interpreted in more than one way. A more detailed description of these impacts are provided in Appendix A.

3.3 Assessment techniques

In Denmark, monetary impacts are assessed using a CBA whereas non-monetary impacts are mainly assessed qualitatively. To ensure a broader assessment of non-monetary impacts, a wider range of comprehensive decision tools can be used to support the decision-making process. The use of multi-criteria decision analysis (MCDA) have previously been suggested to support this process, see e.g. Barfod and Salling (2015), Wright et al. (2009), Tsamboulas (2003) and Vreker (2002). The Analytic Hierarchy Process (AHP) by Saaty (1977) is a comprehensive tool for MCDA based on stakeholder involvement. In the AHP tool, qualitative assessments for both monetary and non-monetary impacts are performed by structuring, comparing and weighting all impacts in a pairwise way to arrive at a final set of scores (total scores) for the alternatives (Belton and Stewart, 2002). Unlike the in CBA, the comparisons are performed according to the subjective preferences of the participants of the assessment group. The inclusive nature of the AHP techniques is found to be very useful for decision making processes in the public sector which often involves a long list of conflicting criteria as well as stakeholders influencing the process. For this reason and its versatility, AHP has been chosen for use in the present study.

All relevant impacts (direct/primary impacts, indirect/secondary impacts as well as accumulated impacts that occur over time) should be assessed. To obtain the maximum value of performing the AHP, the assessments should be based on discussions in groups of stakeholders, experts, etc. (Barfod, 2018; Barfod and Salling, 2015). This part of the assessment can with benefit be structured using a workshop-concept where the participants (stakeholders) under the guidance of

an impartial facilitator can go through the different steps of appraisal. It can be difficult for stakeholders to agree on the weights of the impacts, and therefore, different overall stakeholder profiles can be generated to represent preferences relating to e.g. sustainability, environment, economy, urban development and social conditions (Cornet et al., 2018) However, it is important that all profiles are challenged to ensure useful discussions about the relative importance of the impacts (Barfod, 2012; Leleur, 2012).

Due to the inherited subjectivity of the MCDA the results are associated with uncertainties. The uncertainties should be actively managed and measured using risk assessments and sensitivity analyses concerning both the monetary impacts testing for optimism bias (Salling, 2008) and non-monetary impacts using ERA (Olesen, 2016; Morris and Therivel, 2001).

Depending on the type of study, the output should be reported in different ways to different audiences. In general, the output should be presented in a level of detail that enables different parties to contribute to the debate and make their decisions in a fully informed manner. All conclusions should be presented in a clear and logical manner without over-burdening the reader with information (TAG, 2014). A short and concise EIA report (incl. CBA, MCDA and ERA) should be presented to the decision-makers, whereas public servants should have a more detailed version of the report.

4. The case study

This section presents the case study used to exemplify the proposed framework. The case concerns the overall infrastructure in the Greater Copenhagen area in Denmark, which consists of five major transport corridors that provide accessibility to central Copenhagen, see Figure 8.



[Figure 8. Location of the Greater Copenhagen area and the five corridors]

The corridors are heavily congested as the need for travelling along and across the corridors has increased significantly during the recent decade. The case concerns the examination of alternatives for dealing with the issue (Sund & Bælt A/S, 2007; Nielsen, 2011; DRD, 2012; 2013a; 2016). The alternatives consist of new road and rail corridors and alternatives upgrading or replacing the existing infrastructure corridors. Objectives are formulated in order to generate alternatives, and ERA and the overview table (see Table 4) is used to identify the relevant impacts. Finally, all alternatives and impacts are assessed in a comparative analysis using the AHP tool including CBA results.

4.1 Objectives and alternatives

A three-level set of objectives has been formulated and prioritised by the authors, see Table 5. The prioritisation is based on the hypothesis that the current political majority consensus in Denmark in 2018 prioritises traffic and economic related objectives².

[Table 5. Objectives at three levels]

High-level	Intermediate-level	Low-level
(strategic outcomes)	(specific objectives)	(operative objectives)
1. Better connection between major transport corridors	1. Create better flow on the major transport corridors and connecting roads	1. Increase the average speed on major transport corridors during peak hours to the travelling speed after project opening.
2. Redirect heavy traffic around city centres	2. Improve the economic benefits for commuters	2. Reduce the peak hours (where the average speed is below V km/h) with a minimum of W minutes per weekday at specific major transport corridors
3. Achieve a positive socio-economic return	3. Improve the economic benefits for business travellers	3. Achieve a socio-economic BCR ³ value greater than 1, a NPV above 0 and an IRR higher than the discount rate
4. Create growth in the Greater Copenhagen area and better conditions for businesses.	4. Avoid noise nuisances in urban areas	
	5. Preservation of important historical buildings and areas	
	6. Preservation of recreational areas	

² The Danish government anno 2018 represents the right-wing political spectrum and have at several occasions given indications of this strategy

³ Benefit cost rate (BCR) is a socio-economic performance indicator

-
4. Reduce the everyday travel time for commuters and business travellers with X % in the year after opening.
 5. Achieve Y % lower noise levels than the established noise limits for the construction period⁴.
 6. Preservation of Z % of the most important historic buildings and areas.
 7. Preserve or reconstruct all recreational areas of importance (if the users/locals want them preserved or reconstructed)
-

The high-level objectives are used to generate a range of 13 alternatives, see Table 6. The authors have generated the alternatives based on the aforementioned existing literature on the issue to exemplify the process, and the alternatives include different modes, infrastructures, regulations, pricings and other ways of influencing travel behaviour.

⁴ Noise limits for road (rail) traffic: recreational areas in open land = 53 (59) dB, recreational areas in (or near) urban areas = 58 (64) dB, residential areas = 58 (64) dB, public use = 59 (64) dB, liberal industry = 63 (69) dB.

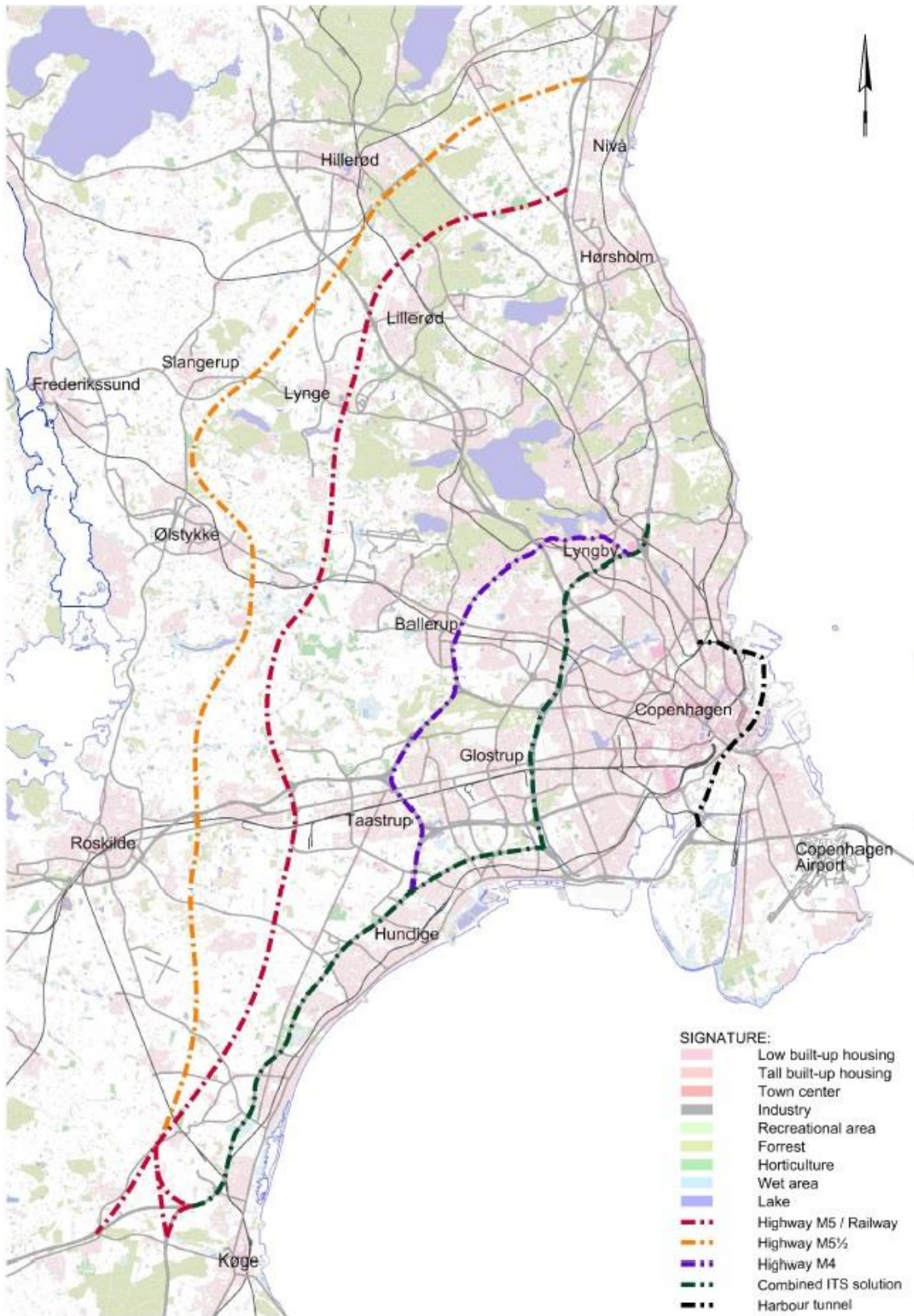
[Table 6. Generated alternatives]

No.	Name	Mode	Comment	Achievable objectives
1	Highway M5	Road	4-lane motorway or expressway in ring corridor 5	All
2	Highway M5½	Road	4-lane motorway or expressway in ring corridor 5½	All
3	Highway M6	Road	Upgrade of existing 6 th ring corridor: Rute 6/Rute 53	Some
4	Highway 4	Road	Extension of capacity of the existing Highway 4	All
5	ITS on Highway 3	Road	More effective utilisation of Highway 3 by implementing ITS and perform minor constructions	Some
6	Pointwise upgrades at Rute 6/Rute 53	Road	Upgrade of Rute 6/Rute 53 by performing pointwise constructions e.g. a new bypass east of Hillerød	Some
7	Construction of a section, Highway M5	Road	Construction of a section of Highway M5 e.g. between Roskilde finger and Frederikssund finger	Some
8	Railway in corridor 5	Rail	Double railway track in ring corridor 5	All
9	Light rail in M5	Public	Light rail or Bus Rapid Transit (BRT) in corridor 5	Some
10	Main road and light rail in M5	Road/ Public	2-lane main road or expressway in ring corridor 5 combined with light rail or BRT	All/Some
11	ITS, access roads	Road	ITS solution with dynamic lanes (more lanes into Copenhagen (Cph) in the morning, opposite in the afternoon) on some main access-roads to Cph	Some
12	Harbour tunnel	Road	A 4-lane road bypass east of Copenhagen in a harbour tunnel	All/Some
13	Shipping	Ship	Shipping of freight (incl. the lorry) between Northern Germany and Southern Sweden	Some

The alternatives are scrutinized as described in Section 3 and reduced to a set that fulfils the high-level objectives. The alternatives selected for further assessment are presented in Table 7 and illustrated in Figure 9.

[Table 7. Selected alternatives]

No.	Name	Mode	Comment
1	Highway M5	Road	4-lane motorway or expressway in ring corridor 5
2	Highway M5½	Road	4-lane motorway or expressway in ring corridor 5½
3	Highway 4	Road	Extension of capacity of the existing Highway 4
4	Combined ITS solution	Road	More effective utilisation of Highway M3 by implementing ITS and perform minor constructions combined with introducing dynamic roads on Køge Bugt highway (a main access motorway to Copenhagen)
5	Railway in corridor 5	Rail	Double railway track in ring corridor 5
6	Harbour tunnel	Road	A 4-lane road bypass east of Copenhagen in a harbour tunnel



[Figure 9. Selected corridor alternatives]

4.2 Selection of impacts

The impacts presented in Table 4 are systematically reviewed, and the ones relevant for the selected alternatives are grouped in Table 8. In order to be considered relevant for further analysis the selected impacts must be operational in this preliminary stage, and the impacts must all represent issues affected by the respective alternatives. If not, they will not produce a useful input for the comparative analysis and the selection of the best performing alternative, i.e. they will be irrelevant.

[Table 8. Selected relevant impacts from Table 3]

Landscape	Soil	Nature
<i>Human-related</i>	<i>Geology and geomorphology</i>	<i>Fauna</i>
Land use (incl. expropriation)	Vibrations	Lost or ruined habitats
Cultural means		
<i>Aesthetic-related</i>	<i>Soil conditions</i>	<i>Flora</i>
Visual conditions	Amount of soil (mass balance)	Natura 2000-areas
Material assets and archaeological heritage	Air pollution and climate	Water
<i>Archeologic</i>	<i>Air pollution</i>	<i>Surface water</i>
Historical buildings and sites	Sulphur dioxide (SO ₂)	Streams, lakes and other wetlands
	Particulates (dust, PM ₁₀ , PM _{2.5})	
<i>Materials and sensuous feelings</i>	Nitrogen oxides (NO _x : NO, NO ₂)	<i>Groundwater</i>
Noise (incl. compound noise)	Carbon monoxide (CO)	Lowering of groundwater level
	Volatile organic compounds (VOCs), e.g. benzene	
	<i>Climate</i>	
	Greenhouse gasses	

Population		
<i>Economic impacts</i>	<i>Social impacts</i>	<i>Transport</i>
Local and state finances	Small urban communities	Capacity
Agriculture	Outdoor and recreational activities	Delay
		Accessibility
		Barrier effects
		Freight transport
		Public transport

To simplify the process in this case example, only transport-related impacts that can be assessed without a traffic model have been selected. This also means that impacts such as “Pedestrian and cycle flow” and “Location and type of car parking” are considered irrelevant as they do not contribute to the segregation of the alternatives. The selection can e.g. be done using ERA as noted in Section 3.

4.3 Assessment of main impacts

The assessments of the impacts are to be presented in an illustrative and transparent way, and to condense the information the assessments are summarised in two overview tables – one for the monetary impacts (Table 12) and one for the non-monetary impacts (Table 13). The impacts are evaluated using the scale in Figure 10.

Evaluation	Description
--	Major deterioration of impact/high costs
-	Deterioration of impact/minor costs
o	Neutral
+	Improvement of impacts/minor benefits
++	Major improvements of impacts/major benefits
U	Unknown at this stage of the design process

[Figure 10. Key to evaluation of impacts]

	Highway M5	Highway M5½	Highway M4	Railway in corridor 5	ITS solution	Harbour tunnel
Economic impacts	Construction costs: about 12.3 bn. DKK incl. NAF 32.5% and a 50 % addition to the estimate. Maintenance costs: -1.9 bn. DKK. Terminal Value: 2.8 bn. DKK. It affects several agricultural areas	Construction costs: About 14 bn. DKK incl. NAF 32.5% and a 50 % addition to the estimate. Maintenance costs: -1.9 bn. DKK. Terminal Value: 2.8 bn. DKK. It affects several agricultural areas	Construction costs: About 3 bn. DKK incl. NAF 32.5% and a 50 % addition to the estimate. No effects on agricultural areas	Construction costs: About 14.5 bn. DKK incl. NAF 32.5% and a 50 % addition to the estimate. High maintenance and operating costs. Affect several agricultural areas	Construction costs are unknown but they probably correspond to those for Highway M4.	Construction costs: About 30 bn. DKK incl. NAF 32.5% and a 50 % addition to the estimate. No effects on agricultural areas
Transportation impacts (future robustness)	Time savings: About 31.7 bn. DKK, meaning that some delay is removed. Driving costs: About -11.8 bn. DKK. Capacity: High, and, therefore, it can handle a traffic growth. Accidents: Reduced by 7 injury accidents per year. Accessibility: Good as M5 is close to several major cities. Barrier effects: Large. Freight transport: Very good. Public transport: Improved significantly across fingers if highway buses are introduced. Very robust in long term	Time savings: About 28.6 bn. DKK which entail that some delay is removed. Driving costs: About -11.8 bn. DKK. Capacity: High, and, therefore, it can handle a traffic growth. Accidents: Reduced by 7 injury accidents per year. Accessibility: Relatively good as corridor 5½ is close to several major cities but not as close to Copenhagen (Cph) as M5. Barrier effects: Large. Freight transport: Very good. Public transport: Improved significantly across fingers if highway buses are introduced. Very robust in long term	Capacity: Relatively high. Delay: Can be reduced if traffic from M3 is transferred to M4. Accidents: Probably no changes. Accessibility: Small improvements due to the speed increases at M4. Barrier effects: Small exacerbations due to the road expansion. Freight transport: Small improvements. Public transport: Small improvements for the highway buses in M4. Good in short term but uncertain in long term.	Capacity: Major improvements in the Danish rail network, especially major relief of capacity in Cph. Delay: Major reductions for passenger and freight transport across fingers. Accidents: Unknown. Accessibility: Small improvements for passenger transport and major improvements for freight transport. Barrier effects: Large. Freight transport: Very good. Public transport: Major improvements due to the relief of capacity in Cph and the possibilities for transport across fingers. High future robustness	Capacity: Small improvements in short term but no improvements in long term due to a traffic growth. Delay: Small improvements in short term but none in long term due to a traffic growth. Accidents: Probably no changes. Accessibility: Small improvements in short term but none in long term due to a traffic growth. Barrier effects: No changes. Freight transport: No changes. Public transport: No changes. Good in short term but deprived in long term.	Capacity: Relatively high but in long term it might be used up by a traffic growth. Delay: Major improvements in central Cph but minor or no improvements outside Cph. Accidents: Major improvements as vehicles are removed from urban roads. Accessibility: Minor improvements for the traffic in Cph but major improvements for the traffic going through Cph. Barrier effect: Major improvements. Freight transport: Relatively poor as freight transport has to enter Cph. Public transport: Improvements for the city buses in Cph. High future robustness
Air pollution and climate	Climate costs in NPV: About -337 bn. DKK (an increase at 52 bn. kilo in CO2 per year). Air pollution costs in NPV: About -256 bn. DKK (the air pollution increases with about 400 tons per year).	Climate costs in NPV: About -318 bn. DKK (an increase at 49 bn. kilo in CO2 per year). Air pollution benefit in NPV: About 89 bn. DKK (the air pollution decreases with about 390 tons per year due to the fact that some traffic is removed from urban areas).	Traffic is not removed from urban areas. Moreover, the solution will induce an instant traffic increase. Therefore, the climate and air pollution situation is aggravated.	In case freight transport is transferred from road to rail and some passengers chose rail transport instead some car transport, major improvements in climate and air conditions must be expected	Traffic is not removed from urban areas. Moreover, the solution will induce an instant traffic increase. Therefore, the climate and air pollution situation is aggravated.	In case the traffic is removed from the local roads in Cph, the climate and air condition is improved. However, it must be expected that the local air conditions nearby the tunnel access roads, where the traffic is increased, will be aggravated.
Noise	Noise benefit: About 14 bn. DKK. The noise benefits are due to the fact that some traffic is removed from urban areas. However, cities nearby the corridor will experience an increase in noise nuisances.	Noise benefit: About 14 bn. DKK. The noise benefits are due to the fact that some traffic is removed from urban areas. However, cities nearby the corridor will experience an increase in noise nuisances.	Traffic is not transferred from urban areas to rural areas. Moreover, the traffic increase will entail an increase in noise.	Nearby cities will experience an increase in noise. However, the noise nuisances from railways are less annoying than for road traffic.	Traffic is not transferred from urban areas to rural areas. Moreover, a traffic increase will entail an increase in noise.	The noise level will be reduced in central Cph but the noise level will be increased near the tunnel access roads, which are located in urban areas.
CBA	Internal rate of return: 7.53 %	Internal rate of return: 5.19 %	The socio-economic results are probably significantly worse than for Highway M5½.	The socio-economic results are probably worse than for Highway M5 as it requires high operating and maintenance costs.	The socio-economic results are probably significantly less profitable than for Highway M5½ (low construction costs but minor time savings)	Poor socio-economic results (high construction costs and the time savings are probably equal to those for Highway M5).

[Figure 11. Summary Appraisal Table for monetary impacts]

	Highway M5	Highway M5½	Highway M4	Railway in corridor 5	ITS solution	Harbour tunnel
Social impacts	Major influence on urban communities along the corridor, and elimination of several recreational areas	Relatively large impact on urban communities in the corridor, and elimination of recreational areas	No changes	Large influence of urban communities in the corridor and elimination of recreational areas	No changes	Less traffic in Copenhagen entails more room for recreation areas. Expected increase in population size
Landscape	The following scenic areas will be affected: Large Deer Park, Brøde forest, Lyngge gravel plant, Bastrup lake, Ganløse Ore, Hove stream. Affection (direct or indirect) of 15 ancient remains. Expropriation of buildings is almost not necessary. Not aesthetically attractive.	The following scenic areas will be affected: Large Deer Park, Knorrenborgvang, Grønholt Hegn, Brøde forest, Rosenbusk, Uggeløse forest, Bure Voldsted, Bure lake, Slagslunde forest. Affection (direct or indirect) of 21 ancient remains. Expropriation of a few buildings is needed. Not aesthetically attractive.	Small deterioration of the aesthetic conditions as the road is expanded. No impact on landscape conservation values. Some expropriation of buildings is necessary.	The following scenic areas will be affected: Large Deer Park, Brøde forest, Lyngge gravel plant, Bastrup lake, Ganløse Ore, Hove stream. Affection (direct or indirect) of 15 ancient remains. Expropriation of buildings is almost not necessary. Not aesthetically attractive.	Small deteriorations of the aesthetic relationship at Highway M3, as the road must be expanded some places. No impact on landscape conservation values. Some expropriation of areas are needed due to the constructions at Highway M3	Small/indirect impacts on the following landscape conservation values: Naturepark Amager, Klovermarken, the citadel, Cph. rampart and Cph. harbour. A number of properties must be expropriated. Aesthetically, no difference in the operation period but significant differences in the construction period.
Historic areas	Several affected communities are of historical significance. However, these are only affected indirectly	Several cities are of historical significance. However, these are only affected indirectly	No historical areas or sites affected.	Several affected communities are of historical importance. However, these are only affected indirectly	No historical areas or sites are affected.	The alternative close to many historic buildings and areas that could be affected.
Soil	The construction work involves soil works, but it is assumed that mass balance can be achieved. The construction work probably causes minor vibrations.	The construction work involves soil works (more than for the Highway M5 as the alignment is longer). The construction work causes vibrations, which will probably affect the neighbors.	The amount of soil work is small. The construction work involves only negligible vibrations.	A railway requires a flat plateau. Therefore, the soil work is assumed to be comprehensive. It is expected that the construction will cause minor vibrations.	The amount of soil work is insignificant. However, the construction work will probably cause minor vibrations, especially near the construction work at Highway M3.	The alternative requires major excavation of soil. Therefore, the mass balance is poor. The construction work causes major vibrations.
Water	Risk of minor groundwater lowering. The corridor passes wet areas where surface water can be drained.	Risk of minor groundwater lowering. The corridor passes wet areas where surface water can be drained.	No changes in the groundwater level but the drainage of surface water must be examined. The route passes no large wet areas where the water can be drained. The road expansion is primarily in urban areas and, therefore, the water must be drained by using troughs.	Risk of minor groundwater lowering. The corridor passes wet areas, lakes and streams where the surface water can be drained.	No changes	Create potential major groundwater lowering. Moreover, it entails a risk of severe water pollution in the harbor. Drainage of surface water is less relevant for a tunnel, only the water headed into the tunnel from the cars and the tunnel openings must be drained by troughs.
Nature	The following Natura 2000-areas will be affected: Grib forest, Large Deer Park, Kirkelte, Stenløse, Vekse and Værebrosø, Bastrup lake, Bure lake and Jorhunde, Ganløse, Stenløse, Vekse and Værebrosø river valley, Gammel Havdrup marshland and Køge stream. Great deterioration of the flora/fauna links (dispersion of flora and fauna).	The following Natura 2000-areas will be affected: Grib forest, Large Deer Park, Kirkelte, Stenløse, Vekse and Værebrosø river valley, Sengeløse, Vashby marshland, Gammel Havdrup marshland and Køge stream. Great deterioration of the flora/fauna links (dispersion of flora and fauna).	No Natura 2000-areas will be affected. The road expansion might affect minor natural resorts. Thus, also the flora and fauna will be affected.	The following Natura 2000-areas will be affected: Grib forest, Large Deer Park, Kirkelte, Mølleå, Bastrup lake, Bure lake and Jorhunde, Ganløse, Stenløse, Vekse and Værebrosø river valley, Sengeløse, Vashby marshland, Gammel Havdrup marshland and Køge stream. Great deterioration of the ecological links, flora and fauna	No changes	The following Natura 2000-areas will be affected: West of part of Amager Island and the sea south of Amager. Major consequences for marine flora and fauna, but none or minor implications of flora and fauna on land.

[Figure 12. Summary Appraisal Table for non-monetary impacts]

The overview tables indicate that Highway M5 and Highway M5½ require high costs and that the alternatives entail deterioration of the local air condition and the climate, and the social impacts, landscape, historical areas and sites, soil and water will be affected negatively. On the other hand, the monetary impact overview table evidently shows an increase in transportation, which entails socio-economic feasibility. Almost the same pattern is seen for the Railway in corridor 5; however, this alternative entails improvements in the local air condition and climate state, and due to the high maintenance and operating costs, the socio-economic results are not as beneficial as for Highway M5 and M5½.

The Combined ITS solution and Highway M4 have low construction costs and they only entail minor impacts on the environment. Conversely, they do not improve transportation in the region significantly and the socio-economic feasibility is uncertain.

The Harbour Tunnel is highly expensive and the surrounding landscape, historical areas and sites, soil and water will be affected negatively. The transportation impacts, noise, air and climate state in Greater Copenhagen areas are improved slightly. Thus, these impacts result in poor socio-economic results.

4.4 Comparative analysis

The six alternatives are compared using the AHP to obtain an overall ranking. In this case-study, the comparisons have been performed by the authors as it only serves as a demonstration of the assessment tool and how it can provide transparency during the process. Ideally, the comparison of alternatives and assigning of weights to impacts should be performed by stakeholders and experts. The imitation of different stakeholder profiles is obtained by weighting the impacts using three different profiles: political, local and sustainability desires, see Table 9.

The political profile sympathises with the prioritisation of the objectives (see Section 4.1), meaning that direct impacts, such as accessibility and delay, are the most important. However, the financial impacts are also important. Expropriation, which is covered by the Landscape impact, requires financial resources and is therefore important from a political point of view. The environmental and social impacts are least important in this profile.

In the sustainability profile, the weightings are based on the nested model of sustainability (Daly 1990; Costanza et al. 1997). Therefore, environmental impacts (Nature, Air pollution and climate, and Water) are given the highest weights followed by the social impacts (Material assets and archaeological heritage – due to the noise aspect – Landscape, Social impacts and Transportation). The economic impacts (Soil and Economic impacts) are then assigned the lowest weights (Pryn et al., 2015).

The local profile favours impacts that are important for local property owners and local politicians. Nature, Social impacts and Landscape are the impacts given the highest weights, followed by transport impacts, as the purpose of the project is to improve accessibility to the local districts.

[Table 9. Weights for criteria, sub-criteria and sub-sub-criteria. Weights for three different profiles: Criteria weights indicated with bold fonts, sub-criteria weights indicated with normal fonts, and sub-sub-criteria weights indicated with italic fonts. Note that the weights are normalised to sum to 1.]

	Political	Sustainability	Local
Landscape	0.11	0.059	0.155
Humane related	0.875	0.8	0.5
- <i>History of landscape and settlements</i>	<i>0.1</i>	<i>0.8</i>	<i>0.5</i>
- <i>Cultural means and land use</i>	<i>0.9</i>	<i>0.2</i>	<i>0.5</i>
Aesthetic related	0.125	0.2	0.5

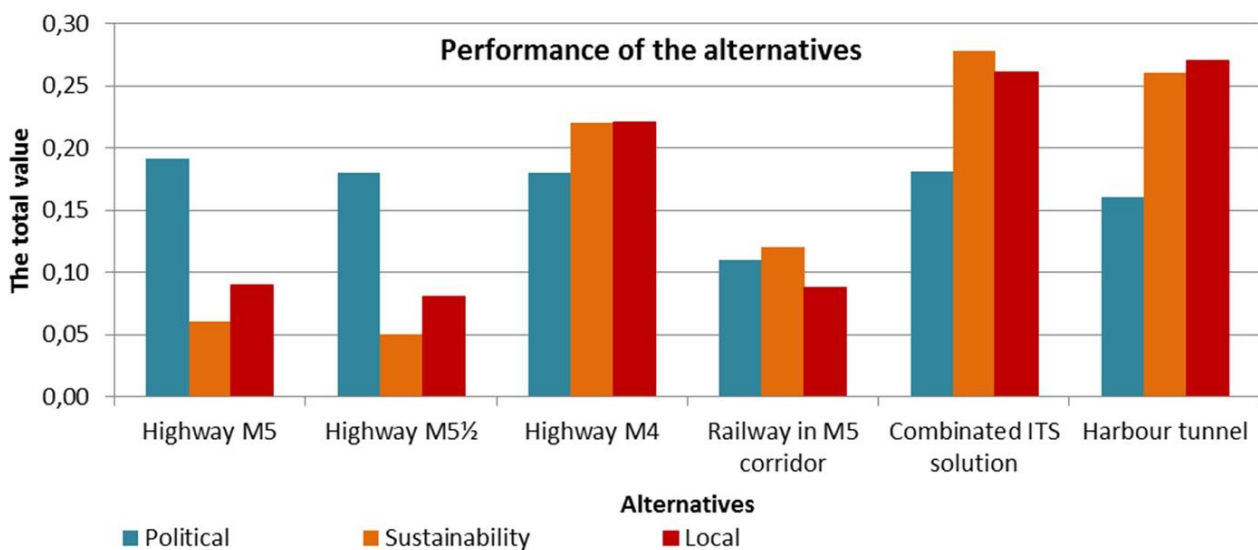
- Visual conditions	1	1	1
Soil	0.032	0.03	0.028
- Geology and geomorphology	0.125	0.9	0.9
- Vibrations	1	1	1
Soil conditions	0.875	0.1	0.1
- Amount of soil (mass balance)	1	1	1
Nature	0.105	0.377	0.324
Fauna	0.2	0.5	0.75
- Lost or ruined habitats	1	1	1
Flora	0.8	0.5	0.25
- Natura 2000-areas	0.875	0.875	0.875
- Ecological links and ecosystem	0.125	0.125	0.125
Material assets and archaeological heritage	0.087	0.087	0.089
Archaeologic	0.75	0.25	0.5
- Historical buildings and sites	1	1	1
Materials and sensuous feelings	0.25	0.75	0.5
- Noise (incl. compound noise)	1	1	1
Air pollution and climate	0.054	0.239	0.065
Air pollution	0.143	0.25	0.833
- Local air pollution	1	1	1
Climate	0.857	0.75	0.167
- Greenhouse gasses	1	1	1
Water	0.037	0.168	0.028
Surface water	0.5	0.5	0.5
- Streams, lakes and other wetlands	1	1	1
Groundwater	0.5	0.5	0.5
- Lowering of groundwater level	1	1	1
Population	0.576	0.04	0.284

Economic impacts	0.207	0.066	0.045
- Local and state finances	0.9	0.2	0.1
- Agriculture	0.1	0.8	0.9
Social impacts	0.049	0.785	0.598
- Small urban communities	0.5	0.5	0.5
- Outdoor and recreational activities	0.5	0.5	0.5
Transport	0.743	0.149	0.357
- Capacity	0.134	0.056	0.134
- Delay	0.205	0.056	0.205
- Accessibility	0.481	0.071	0.481
- Barrier effects	0.03	0.269	0.03
- Freight transport	0.094	0.034	0.094
- Public transport	0.055	0.515	0.055

The MCDA results should be clearly presented in the EIA, e.g. using a figure such as Figure 13, which illustrates the results from the case-study in form of total values⁵. Observing this figure, one can conclude that a project that simultaneously meets the demands of all profiles cannot be found.

Highway M5, Highway M5½ and the Railway in corridor 5 perform well in the political profile but poorly in the other profiles. Highway M4, the combined ITS solution and the Harbour tunnel seems to perform relatively well in the sustainability and local profile. However, it is important to note that the assessments are associated with uncertainty, and should in real life testing be supplemented with additional examinations in form of e.g. economic and/or financial appraisal before any alternative is selected or deselected.

⁵ The total value for each alternative is calculated using the additive value function model.



[Figure 13. Performance of alternatives in the three profiles]

5. Discussion

The review of the Swedish and UK assessment processes has shown that intervention-specific objectives are used to ensure that the projects comply with the needs, and that the generated alternatives can conform to those needs. The objectives are based on national transport policy visions to ensure that a project is in line with other national and international transport projects. In Denmark, no explicitly formulated national transport policy visions exist, which makes it difficult to specify and formulate the politically desirable objectives on the three levels.

This paper proposes a revision of the current Danish transport assessment process to include stakeholders with the purpose of improving the decision support. Presenting different output scenarios requires extra resources, and when the output has to cover all alternatives (including those that do not require EIA) the extra costs are somewhat significant. Hence, to ensure that the proposed revision can be realised, it is of major importance that only the impacts found relevant to assess for each case individually are included.

The proposed impact overview table (see Table 4) is not in any sense a final list, and the table only provides a current overview. When applied, the impacts should be carefully reviewed to clarify whether any additional relevant project-specific impacts should be included.

The presented AHP tool is proposed to complement the existing socio-economic assessment in the Danish assessment process. AHP can be applied at workshops to initiate useful group discussions about the relative importance of the impacts, and to ensure that the “best” alternatives are selected.

The weights of the impacts can reflect the different stakeholder profiles (as described in the case-study) to illustrate different worldviews in the society. Potentially stakeholders have different preferences and prioritisations of impacts, and it may be difficult to divide the stakeholders into a set of respective profiles. To meet all interests major priorities and profiles may be needed. However, not to induce confusion, the number of profiles presented to the public should be reduced to retain the communicative value of the profiles. The profiles should simply create transparency to the output of the assessments, and help readers understand the political dilemmas and thereby easier accept recommendations and political decisions.

The Ministry of Transport is the overall top authority of EIA in Denmark, but the practising EIA authority depends on the intervention at hand. Rail Net Denmark carries out EIA studies for Danish Rail projects, The Danish Road Directorate is the authority if the project involves government owned roads, and affected municipalities are EIA authorities for minor transport projects (DME, 2015). Thus, the question is: who is to be the authority of an EIA if different types of transport modes and projects with different size and costs are to be examined by the same EIA?

In Sweden, the majority of the different transport areas are grouped under Trafikverket⁶, and therefore, they do not have to deal with such a question. Instead of merging the Danish transport authorities, a working group comprised of employees from the involved authorities could be set to overview the EIA as a team.

6. Conclusion and perspective

In Danish EIAs, a long range of impacts are evaluated and described in long, and text-heavy, reports that are randomly structured. The findings for the conventional, monetary impacts are well described and carefully illustrated, whereas the more strategic, non-monetary impacts are only described qualitatively with only little or no illustrations or summaries attached. The corresponding process in Sweden is clearly structured, and all impacts are assessed systematically and transparent. As a result of this the findings become easier to verify and audit. In the UK, the verifiability and auditability come from the systematic use of comparison tables for the impacts and performance of the different alternatives. As in Sweden, the many UK guidelines for EIA require that the methods are used systematically and that they are clearly structured. Finally, intervention-specific objectives are stated early in the process based on stakeholder involvement, and used to generate and improve alternatives. Only those alternatives that can comply with the objectives, alone or in combination with other alternatives, are selected.

A key concern in the Danish transport assessment process is to identify intervention-specific objectives and to assess only the most important impacts for the specific transport project. To support this, an overview table containing a list of potential relevant impacts has been proposed

⁶ The Swedish Transport Administration (STA), responsible for the long-term planning of the transport system

along with guidelines for the selection of impacts based on the stated objectives and explicit circumstances of the considered alternatives. Only the most important impacts are to be assessed to ensure simplicity and transparency.

In the proposed revision, the creation of a comprehensive decision support tool will ensure a broader assessment of the non-monetary impacts. As demonstrated by the case, the AHP technique is able to assess all relevant impacts of a project and provide easy interpretable results. To obtain maximum value of using the AHP, the assessments should ideally be based on discussions in groups of stakeholders, experts, etc. in a structured workshop format. A format involving e.g. Delphi techniques could also be a solution if an even broader set of stakeholders are to be included. Finally, all findings should be presented clearly by using figures and overview tables to increase transparency. In general, the output should be presented in a level of detail that enables different parties to contribute to the debate and make fully informed decisions.

Overall, this paper has proposed a first attempt to revise the Danish assessment process towards a more appropriate standard. Future research within this field should concentrate on further examinations of the Danish EIA and corresponding processes in other comparable countries. This will enable us to uncover the necessary methods and guidelines for a new and more holistic EIA framework for the Danish transport sector.

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Appendix A⁷ Description of impacts

Landscape

- Visual conditions: proportions, colours, view, screen enclosure, texture
- Sensuous feelings: Sound, smell, taste, touch

Soil

- Surface geology: Glacial sedimentation and depositing, glacial drift and rivers
- Underground geology: Previous surface formations. The type of sedimentation is important to the ability to bear a construction
- The immaterial history of Earth: Preservation, protection and administration of fossils, stratigraphy, minerals or additional geological interests
- Topography (terrain): Shape of landscape/terrain. Natural: E.g. abrasion, sedimentary depositing in streams. Human-related: E.g. visual aspects
- Vibrations: Can cause landslides or cause the effect of a small earthquake

Nature

- Pollution (incl. eutrophication): Physical and chemical environment effects such as atmospheric pollution or pollution of water
- Microorganisms underground: Conditions for insects that affect e.g. the ground quality
- Ecological links and ecosystem: Retention of opportunities for diversification for wild plants and animals

Material assets and archaeological heritage

- Historical areas: E.g. harbours, communities, bridges, rivers, gardens
- Noise (incl. compound noise): During construction and operating period. Compound noise e.g. from different transport modes for simultaneous constructions in the area

⁷ Based on Morris and Therivel (2001)

- Pressure on the labour market: Amount of labour during the construction period

Air pollution and climate

- Sulphur dioxide (SO₂): Coal- and oil-fired power stations, industrial boilers, waste incinerators, domestic heating, diesel vehicles, metal smelters, paper manufacturing
- Particulates (dust, PM₁₀, PM_{2.5}): Coal- and oil-fired power stations, industrial boilers, waste incinerators, domestic heating, many industrial plants, diesel vehicles, construction, mining, quarrying, cement manufacturing
- Nitrogen oxides (NO_x: NO, NO₂): Coal-, oil- and gas-fired power stations, industrial boilers, waste incinerators, motor vehicles
- Carbon monoxide (CO): Motor vehicles, fuel combustion
- Volatile organic compounds (VOCs), e.g. benzene: Petrol-engine vehicle exhausts, leakage at petrol stations, paint manufacturing
- Toxic organic micropollutants (TOMPs), e.g. PAHs, PCBs: Waste incinerators, coke production, coal combustion
- Toxic metals, e.g. lead: Vehicle exhausts (leaded petrol), metal processing, waste incinerators, oil and coal combustion, battery manufacturing, cement and fertiliser production
- Toxic chemicals, e.g. chlorine: Chemical plants, metal processing, fertiliser manufacturing
- Ozone (O₃): Secondary pollutant formed from VOCs and nitrogen oxides
- Ionising radiation (radionuclides): Nuclear reactors and waste storage, some medical facilities
- Greenhouse gasses: CO₂: fuel combustion, especially power stations; CH₄: coal mining, gas leakage, landfill sites
- Alterations to the airflow: E.g. around large structures such as office blocks, multi-storey car parks and shopping arcades, causing wind turbulence which affects the comfort and sometimes the safety of pedestrians.
- Addition of moisture from industrial cooling towers, reservoirs: Can cause an increased frequency of fog or even icing on nearby roads
- Ponding of cold air behind physical barriers: This could be e.g. roads and railway bankments that cause increasing incidence of frost which can damage agriculture and horticultural crops in the area

Water

- Streams, lakes and other wetlands: Velocity, size and tempering of water systems, change in water flow, composition of sediments, stability and shape of base (abrasion)

- Hydraulic systems: Hydraulic systems includes sub-systems (sewerage, drain pipes, etc.) and ecosystems that is affected by the local climate
- Recreational value: Sailing, bathing beach, harbours, etc.
- Infiltration and water flow at land: Opportunity for the water to infiltrate to the ground and the consequences of too much water in the ground (that cannot infiltrate immediately)
- Pollution of surface water: Changes of quality due to e.g. chemical and organic pollution, changes of content of oxygen, haziness, changes in pH and waste
- Human related: Changes risk of flooding or changes regulation
- Water quality: Changes in quality due to e.g. chemical and organic pollution or movement of polluted water
- Lowering of groundwater level: Reduced flow of water in streams due to lowering of groundwater
- Influence from buildings: Buildings that is inadequately founded.

Population

- Characteristics of employment: Professions in the area
- Change in other population characteristics: Family size, income level, socio-economic groups, sex, age, employment, etc.
- Settlement patterns: Homelessness and additional housing problems
- Health services; social support: Education, police, fire stations, health- and social sector
- Social problems: Crime, stress, integration, diseases and parting
- Traffic management systems: Signs or other techniques using intelligent transport systems (ITS)