



## Unmanned aerial vehicle observations of water surface elevation and bathymetry in the cenotes and lagoons of the Yucatan Peninsula, Mexico

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5

6 **Unmanned aerial vehicle observations of water surface**  
7 **elevation and bathymetry in the cenotes and lagoons of the**  
8 **Yucatan Peninsula, Mexico**

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15

16

17 **Abstract**

18 Observations of water surface elevation (WSE) and bathymetry of the lagoons and *cenotes* of the Yucatán  
19 Peninsula (YP) in southeast Mexico are of hydrogeological interest. Observations of WSE (orthometric water  
20 height above mean sea level (amsl)) are required to inform hydrological models, to estimate hydraulic gradients  
21 and groundwater flow directions. Measurements of bathymetry and water depth (elevation of the water surface  
22 above the bed of the water body) improve current knowledge on how lagoons and *cenotes* connect through the  
23 complicated submerged cave systems and the diffuse flow in the rock matrix. A novel approach is described  
24 that uses unmanned aerial vehicles (UAVs) to monitor WSE and bathymetry of the inland water bodies on the  
25 YP. UAV-borne WSE observations were retrieved using a radar and a global navigation satellite system on-  
26 board a multi-copter platform. Water depth was measured using a tethered floating sonar controlled by the  
27 UAV. This sonar provides depth measurements also in deep and turbid water. Bathymetry (wet-bed elevation  
28 amsl) can be computed by subtracting water depth from WSE. Accuracy of the WSE measurements is better  
29 than 5–7 cm and accuracy of the water depth measurements is estimated to be ~3.8% of the actual water depth.  
30 The technology provided accurate measurements of WSE and bathymetry in both wetlands (lagoons) and  
31 *cenotes*. UAV-borne technology is shown to be a more flexible and lower cost alternative to manned aircrafts.  
32 UAVs allow monitoring of remote areas located in the jungle of the YP, which are difficult to access by human  
33 operators.

34

35 **Keywords:** Mexico, karst, groundwater/surface-water relations, cenote.

36

37

## 38 **1 Introduction**

39 The Yucatán Peninsula (YP) in southeast Mexico is a region of high environmental value, hosting one of the  
40 world's largest and most spectacular karst aquifers. Merediz-Alonso (2007) reported the need for new scientific  
41 datatypes to identify and advocate appropriate management decisions. Groundwater on the YP has an  
42 incommensurable value as it sustains biodiversity and supports numerous ecosystems (Bauer-Gottwein et al.  
43 2011). Around the world, groundwater and surface water can be generally viewed as one continuous water  
44 resource, but on the YP the high degree of interaction between groundwater and surface water is probably more  
45 evident than anywhere else (e.g. Schiller et al., 2017). Generally karst aquifers are characterised by landforms  
46 caused by chemical dissolution of the limestone rock, such as sinkholes (closed depressions, tens of m in  
47 diameter), karst fields (called polje, large depressions with a flat floor, several km<sup>2</sup> or more), and karren (also  
48 called lapies, fissures and runnels on the surface, tens of cm wide) (Monroe 1970). However, the Chicxulub

49 Impact Crater (Sharpton et al. 1992, 1993), discovered by Hildebrand et al. (1991, 1995), played a key role in  
50 defining the distinctive structural features of the YP. The footprint of the Chicxulub impact is believed to have  
51 caused major fracturing in the limestone bedrock and caused the high density of sinkholes (locally known as  
52 *cenotes*). Because of the Chicxulub impact, *cenotes* are especially dense along a semi-circular line named the  
53 ring of *cenotes* (Perry et al. 1995; Connors et al. 1996). The diameter of these *cenotes* on the YP varies from a  
54 few meters to more than 100 m (Schmitter-Soto et al. 2002). The *cenotes* were classified according to their  
55 formation process and their geometry as: caves, jug-shaped, cylindrical, and plate-shaped *cenotes* (Hall 1936).  
56 Navarro-Mendoza (1988) and Marín (1990) differentiated between coastal *cenotes*, which are shallower (3-35  
57 m deep), and inland *cenotes*, which have depths greater than 100 m and walls up to 20 m high. Thus, the unique  
58 direct connection between surface and subterranean water bodies is firstly marked by groundwater cropping out  
59 in the *cenotes* through fractures and dissolution features (Schmitter-Soto et al. 2002). Secondly, on the YP,  
60 groundwater also surfaces through a mosaic of freshwater wetlands consisting of sloughs, channels,  
61 floodplains, and marshes (Gondwe et al. 2010b).

62 This study was motivated by the necessity to retrieve new hydrological datatypes that provide, in the short  
63 term, the opportunity to improve understanding of the karst aquifer and enhance knowledge of  
64 groundwater/surface-water interaction. Hydraulic measurements are important to promote the establishment of  
65 natural protected areas (hydrogeological reserves) that preserve adequate water quality for the population  
66 (Escolero et al. 2000) and groundwater dependent ecosystems (e.g. Kløve et al. 2011). Water surface elevation  
67 (WSE) observations can inform hydrogeological models to improve knowledge of the piezometric surface,  
68 groundwater flow streamlines, and to understand how water bodies are connected in the complicated YP karst  
69 aquifer. Bathymetry observations are important to compute the volume of surface water and identify fractures  
70 and caves in the bed of the water bodies. However, in-situ hydraulic observations of bathymetry and water  
71 surface elevation are generally labour-intensive, especially in the deep *cenotes* or in water bodies located in the  
72 jungled and remote areas. Thus, the aim of this study is to demonstrate that unmanned aerial vehicles (UAVs)  
73 are able to retrieve a new airborne real-time observational dataset, including bathymetry and WSE, in the  
74 floodplains and *cenotes* of the YP with an unprecedented flexibility, high accuracy and high spatial resolution.

75

## 76 **1.1 Water surface elevation observations**

77

78 Groundwater and surface water levels on the YP have traditionally been collected manually by field operators.  
79 However, lack of resources, inaccessibility due to dense vegetation, the size of the area, and the poorly  
80 developed terrestrial communication network restrict coverage of large areas or establishment of widespread  
81 monitoring networks.

82 Changes in WSE can be observed with synthetic aperture radar interferometry (InSAR) in wetlands. Alsdorf et  
83 al. (2001) established that the accuracy of InSAR WSE observations is within a few centimetres for the L-band.  
84 Lu et al. (2005) demonstrated that also C-band InSAR can be used for monitoring WSE changes, with an  
85 accuracy that is potentially less or equal to 2 cm (Lu and Kwoun 2008). Gondwe et al. (2010) confirmed that  
86 InSAR data (RADARSAT-1 with HH polarization) can be used in the wetland of the Sian Ka'an reserve,  
87 located in YP, with an accuracy of few cm.

88 However, there are several constraints in using InSAR data for monitoring the WSE: i) In-SAR data rely on  
89 vegetation emerging from the water body that allows for a sufficient coherence of the backscattered signal.  
90 Generally, only water surface positioned beneath vegetation (e.g. swamp forest, saline marsh, brackish marsh)  
91 can be monitored. Indeed, reflection from the water surface is generally specular (Alsdorf et al. 2000) and  
92 WSE can be monitored with InSAR only in case of double bounce scattering. Thus, it requires the signal to be  
93 reflected twice, i.e. first by the water surface and secondly by vertical vegetation elements such as tree trunks or  
94 grass. ii) InSAR cannot measure the changes in absolute WSE, because phase differences between near pixel  
95 values of interferograms only observe the relative temporal displacement of water surface. Therefore, in situ  
96 measurements at a location within the interferogram are needed to convert from relative WSE changes into  
97 absolute WSE (Gondwe et al. 2010a).

98 Only radar altimeters can measure absolute WSE; however space-borne radar altimeters face limitations in  
99 monitoring WSE: low accuracy, spatial and temporal resolution (Schumann and Domeneghetti 2016).  
100 Spaceborne altimeters have an accuracy of few decimetres (Calmant et al. 2008; Domeneghetti et al. 2015),  
101 which is suboptimal for many hydrological applications. In addition, they have a footprint that is in the order of  
102 several hundreds of meters (Asadzadeh Jarihani et al. 2013; Villadsen et al. 2015; O'Loughlin et al. 2016;  
103 Biancamaria et al. 2017), which results in a spatial resolution too coarse for monitoring the small and adjacent  
104 water bodies of the YP.

105 On the other hand, UAVs have a tremendous potential in environmental monitoring, because they can  
106 potentially be used to remotely sense hydraulic observations in remote, inaccessible and dangerous areas  
107 (Klemas 2015; Tauro et al. 2016). The technology described by Bandini et al. (2017a) opened up the possibility  
108 of monitoring WSE from UAVs with high accuracy (better than 7 cm) and optimal spatial resolution, allowing  
109 retrieval of WSE also in small lakes and narrow rivers.

## 110 **1.2 Bathymetry observations**

111

112 Bathymetry observations are generally collected in-situ with manned vessels. On the YP, inflatable dingles or  
113 canoes equipped with echo sounders are generally employed to retrieve observations of open water bodies.  
114 These in-situ surveys generally allow for a good coverage of the water body area with an accuracy that depends  
115 on the echo sounders performance. These surveys can be easily conducted in wetlands and open-sky plate-  
116 shaped *cenotes*, but require a minimum water depth to navigate and are difficult to conduct in jug-shaped or  
117 cylindrical *cenotes*. Furthermore, vessels generally need to be towed to the water body by a road vehicle (Ore et  
118 al. 2015), while many water bodies are located in the jungled and remote areas, thus are difficult to access.

119 Remote sensing techniques can overcome the limitations of in-situ observations. The most common remote  
120 sensing techniques to measure bathymetry are: (i) LIDAR observations, (ii) through-water photogrammetry, (iii)  
121 methods based on estimating water depth indirectly from the radiometric properties of multispectral images.  
122 These techniques generally require shallow and clear water bodies.

123 Bathymetric LIDARs are rarely implemented in UAVs, because of the trade-off between their performance and  
124 size or cost. Because of these limitations, accurate bathymetric LIDARs are generally too heavy for being  
125 transported by UAVs and require manned aircrafts. The lightweight innovative LIDAR Bathymetric Depth  
126 Finder BDF-1, which was recently presented by RIEGL, is one of the first lightweight (~5.3 kg) and compact  
127 LIDARs available on the UAV market specifically developed for bathymetry surveys. However, this profiler  
128 LIDAR can retrieve measurements only up to 1-1.5 times the Secchi depth (Mandlbürger et al. 2016) and  
129 requires a large UAV platform (around 20 kg) to be operated.

130 Through-water photogrammetry involves digital photogrammetry to map the submerged topography applying  
131 photogrammetric techniques, after correcting for the difference between the refractive indices of water and air.  
132 Two-media photogrammetric methods have been applied to both aerial (Westaway et al. 2000, 2001) and

133 UAV-borne images (Woodget et al. 2015). However, the photogrammetric solution relies on the identification  
134 of the homologous point pairs by using automated stereo-matching techniques (Lane et al. 2010). Water  
135 turbidity, water surface roughness, and maximum light penetration depth reduce the accuracy (Feurer et al.  
136 2008; Marcus et al. 2012) and can even suppress the signal of the bed texture on the imagery (Lane et al. 2010).  
137 For these reasons, the applicability of through-water photogrammetry is limited and not suitable for most of the  
138 water bodies on the YP.

139 Although the majority of the surveyed cenotes and lagoons are several meters deep, in some cases, the water  
140 was sufficiently clear and with a bottom reflectance suitable for estimating bathymetry with optical techniques.  
141 In this context, Flener et al. (2013) reported a method to determine bathymetry from UAVs, exploiting  
142 reflectance in the optical range based on Lyzenga's algorithm widely used with satellite datasets (Lyzenga  
143 1981). However, spectral-depth remote sensing is generally applied only to rivers with a depth of less than 1-  
144 1.5 m (Legleiter et al. 2004; Carbonneau et al. 2006; Legleiter 2012) because of the limited penetration depth  
145 of natural light. Moreover, reflectance-depth relationships are affected by substrate type, water surface  
146 roughness, and water column optical properties (Winterbottom and Gilvear 1997; Lejot et al. 2007; Legleiter et  
147 al. 2009; Bergeron and Carbonneau 2012; Legleiter 2014). The assessment of the potential of these methods  
148 would require flights at a sufficient height to capture each water body in one single picture (i.e. altitude of  
149 several hundreds of meters), otherwise incoming radiation, sun and camera's angles should be recorded to  
150 correct for their effect on the image brightness.

151 Similarly the potential of satellite high-resolution images (e.g. WorldView, IKONOS, QuickBird) has already  
152 been assessed in many scientific papers (e.g. Eugenio et al., 2015; Mishra et al., 2004; Ohlendorf et al., 2011;  
153 Stumpf et al., 2003) and have been applied also over the very shallow Caribbean sea reef around the YP  
154 (Cerdeira-Estrada et al. 2012). However, high-resolution satellite images are only commercially available. In  
155 this context, the potential of "open-access" medium-resolution satellite images, such as Landsat 8 satellite  
156 multispectral images, for estimating bathymetry has already been evaluated by other researchers, especially in  
157 coastal environments (Jagalingam et al. 2015; Pacheco et al. 2015).

158 Bandini et al. (2017b) reported the possibility to measure bathymetry with a tethered floating sonar controlled  
159 by the UAV. This technology was considered as a promising alternative to airborne LIDARs and optical-  
160 derived bathymetry. In this study, a tethered sonar, which can be controlled by lightweight UAVs, showed

161 good performance in deep water bodies with variable water turbidity and bottom substrate. Furthermore,  
162 sonar-derived measurements are valuable to calibrate and validate Landsat 8 reflectance-depth relationships.

163

## 164 2 Materials and methods

165

166 For this proof-of-concept study, an off-the-shelf DJI hexa-copter Spreading Wings S900 multi-copter platform  
167 equipped with DJI A-2 flight controller (Fig. 1) was used.

168

169

(a)



170

171 Fig. 1 (a) Hexacopter DJI Spreading Wings S900. The wooden box hosts the UAV payload. (b) The  
172 hexacopter during a flight above a lagoon.

173

174 Two different cameras were used during the flights: a Sony DSC-RX100, for flights requiring finer resolution  
175 and less distorted images, and a fish-eye lens Eken H9 camera for flights requiring images with larger field-of-  
176 view.

177 The on-board inertial measurement unit (IMU) was a Xsense MTi 10-series. The IMU measures the linear and  
178 angular motion of the UAV with a triad of gyroscopes and accelerometers, while a magnetometer measures the  
179 heading (angle between the drone's nose and the true north direction). The on-board global navigation satellite



180 system (GNSS) consisted of a NovAtel receiver (OEM628 board) and an Antcom (3G0XX16A4-XT-1-4-Cert)  
181 dual-frequency global positioning system (GPS) and GLONASS flight antenna. The differential GNSS system  
182 required the installation of a static base station.

183

## 184 **2.1 Base station of the differential GNSS system**

185

186 A GNSS station was installed on the top of a building located in Felipe Carrillo Puerto, Quintana Roo. The  
187 antenna was secured for stability and positioned very close to the roof surface to avoid multipath errors, in a  
188 location with a clear view of sky. The GNSS antenna installed on the roof served as the base station for the  
189 position solution of the differential carrier-phase GNSS system, with the rover antenna located on the drone.  
190 The base station was a NovAtel receiver (Flexpack6) with a NovAtel GPS-703-GGG pinwheel triple frequency  
191 GPS and GLONASS antenna. The accurate position of the base station had to be computed in an international  
192 geodetic reference.

193 A second GNSS antenna, which was part of the Mexican “National Geodetic Network” and is located in  
194 Chetumal (Quintana Roo), was used as reference station. Observations of this second antenna were available on  
195 the website of Mexican institute “Instituto Nacional de Estadística y Geografía” (INEGI 2013). The position of  
196 this second antenna was provided in the reference frame ITRF2008 at 2010.0 epoch, with reference ellipsoid  
197 GRS80. To compute the absolute position of the base station used for this study, a carrier-phase differential  
198 solution was computed in post-processing using the INEGI antenna as master station of known coordinates.  
199 Carrier-phase differential GNSS allows corrections for most of all the GNSS errors that are in common  
200 between the receivers (e.g. satellite orbit errors, satellite clock errors, atmospheric errors). Only multipath  
201 errors and noise of the individual receivers are uncorrelated and cannot be corrected in differential mode.  
202 However, the baseline between the two antennas is of ~120 km (Fig. 2). Due to the length of this baseline, the  
203 errors of the receivers (e.g. satellite orbit, atmospheric errors) are slightly different. Thus, the position of the  
204 base station installed for this study could not be retrieved with an accuracy of few mm: the absolute accuracy of  
205 the position in the ITRF2008, epoch 2010.0 of the base station is assumed ~3 cm. The coordinates of the two  
206 antennas are shown in Table 1.

207

208 **Table 1. Coordinates of the two static GNSS antennas used for the study. Coordinates are provided in ITRF2008 at**  
 209 **2010.0 epoch.**

Antenna Location	Operator	Latitude (N)	Longitude (W)	Ellipsoidal Height (m)
Chetumal	INEGI	18° 29' 42.99641"	88° 17' 7.20961"	2.955
Felipe Carrillo Puerto	Installed for this study	19° 34' 54.03868"	88° 02' 34.73677"	10.5031

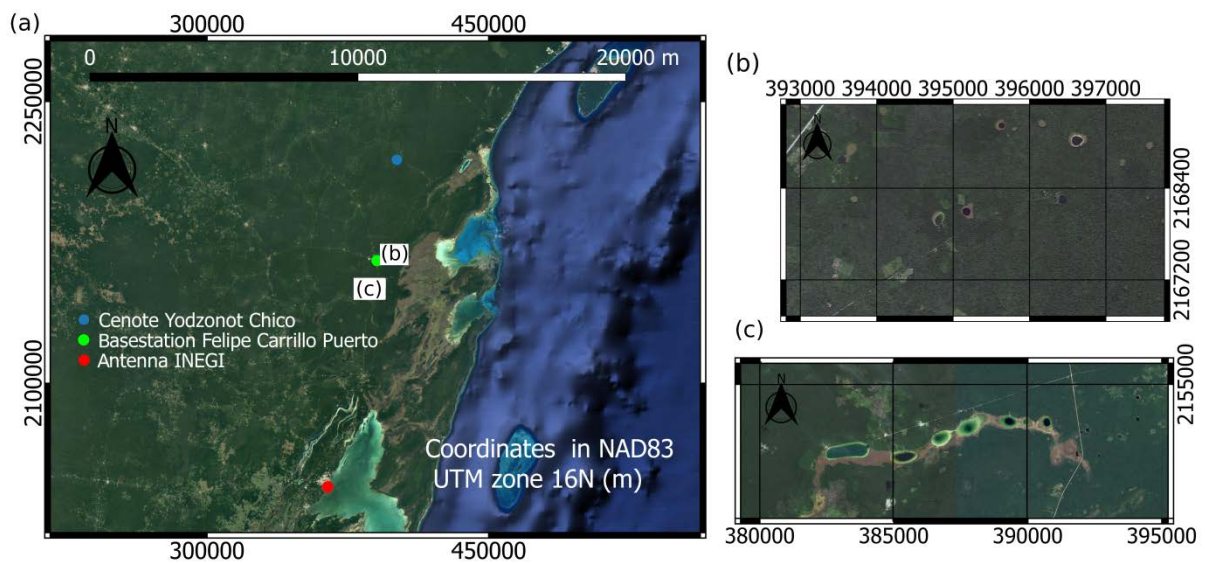
210

211

212 Fig. 2 shows a map with the locations of the two GNSS antennas and the case study areas.

213

214



215

216 Fig. 2 (a) Map showing the two static GNSS antennas (antenna located in Chetumal belonging to INEGI's  
 217 network and antenna located in Felipe Carrillo Puerto used as base-station during the flights). Cenote XII  
 218 (Yodzonot Chico) is highlighted with a blue circle in (a). The investigated cenotes and lagoons are shown in (b)  
 219 and (c) and in Fig.5 with magnified images. Background map retrieved from Google Earth (2017).

220

221 **2.2 Flight campaigns**

222 Flights were conducted in February and March 2017 with the objective to monitor the lagoons and the *cenotes*  
 223 listed in Table 2, which are located in the state of Quintana Roo, Mexico.

224 **Table 2. Location of the water bodies surveyed with the UAV. The name of some water bodies is not available (-).**

Water body Identification Number	Name of the water body	Locality	Coordinates in UTM, zone 16N, NAD83 reference system. x [easting], y [northing]. (m)
I.	<i>Laguna Noh-Cah</i>	Noh-Cah	376988.541, 2147788.459
II.	<i>Laguna Ocom Santa Isabel</i>	Santa Isabel	383511.933, 2152574.494
III.	<i>Laguna Pucté</i>	Ocom	386623.799, 2152920.257
IV.	<i>Laguna Balam Nah</i>	Ocom	387776.341, 2153358.224
V.	<i>Laguna Sijil Noj Ha'</i>	Ocom	389320.749, 2153519.580
VI.	<i>Laguna -</i>	Ocom	390749.902, 2153588.732
VII.	<i>Cenote K'ux Chúuk</i>	Chancah-Veracruz	394103.801, 2154505.004
VIII.	<i>Laguna -</i>	Felipe Carrillo Puerto	394818.378, 2167972.467
IX.	<i>Laguna Vigía Chico</i>	Felipe Carrillo Puerto	395164.141, 2168099.246
X.	<i>Cenote Vigía Chico</i>	Felipe Carrillo Puerto	396437.701, 2168266.365
XI.	<i>Laguna -</i>	Felipe Carrillo Puerto	396604.819, 2169032.806
XII.	<i>Cenote Yodznot Chico</i>	Chumpón-Tepich	401107.368, 2218977.181

225

226

227

228 **2.3 Payload for UAV-borne WSE observations**

229

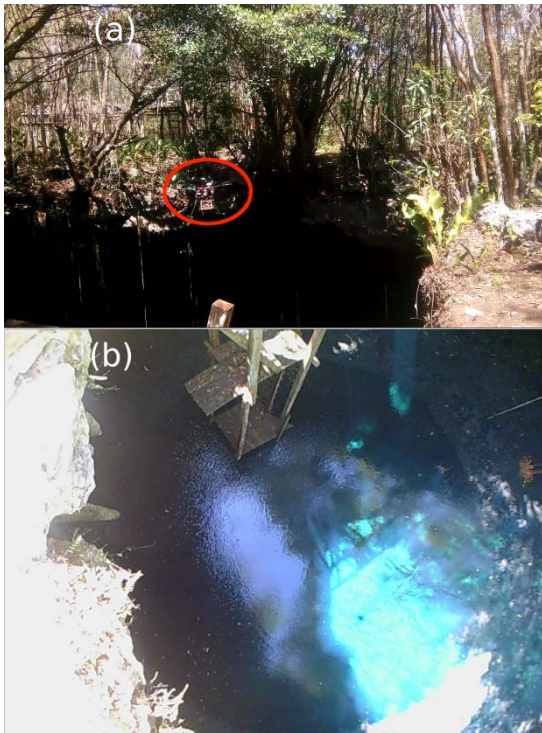
230 The payload consisted of a radar and the GNSS system. Bandini et al. (2017a) described the WSE measuring  
 231 system, including the rationale for the sensor selection, post-processing methods, and system accuracy. As  
 232 described in the cited paper, the radar is the ARS 30X developed from Continental. WSE is measured by

233 subtracting the range to the water surface (range measured by the radar) from the drone altitude (retrieved by  
234 the GNSS system) above the reference ellipsoid. Observations can be filtered with a low-pass filter as  
235 described in Bandini et al. (2017a) and corrected to compensate for the drone roll and pitch angles retrieved by  
236 the IMU.

237 The base station in Felipe Carrillo Puerto is used for GNSS augmentation to improve the drone position  
238 accuracy. The baseline between the base and the rover station is less than 15 km for all the flights except the  
239 flight above *cenote* XII, which is ~55 km. WSE above the reference ellipsoid can be converted into  
240 orthometric height, i.e. meters above mean sea level (m amsl), if the geoid undulation is known. An online  
241 program to convert coordinates from the GRS80 ellipsoid to the GGM10 geoid, which is the reference  
242 gravimetric model for Mexico, is available on the INEGI website.

243 WSE measurements were carried out in all the water bodies listed in table 1. The Water Body XII (*Cenote*  
244 *Yodznot Chico*) was included because of its jug-shaped geomorphology (Hall 1936), although it is located ~50-  
245 60 km away from the other investigated water bodies. In this *cenote*, the free-surface water table is several  
246 meters below ground level. It features the prototypical *cenote* morphology that is representative for the *cenotes*  
247 located in the ring of *cenotes* around Mérida. This *cenote* is included to evaluate the performance of the UAV-  
248 borne water ranging technology for such targets. Indeed, there are two main challenges in retrieving water  
249 surface elevation in these water bodies. First, the small aperture of the *cenote* precludes a flight inside the small  
250 cavity. Indeed, a flight inside the sinkhole would be ideal to have a clear view of the water surface but it would  
251 cause a complete loss of the GNSS signal. Thus, the flight has to be performed above the sinkhole, but the  
252 dense vegetation overhanging and surrounding the aperture of the *cenote* complicates flight manoeuvres and  
253 degrades the GNSS signal, which is necessary for measuring water surface elevation. Secondly, the radar signal  
254 may potentially be affected by multipath disturbance from the walls of the *cenote* (Bandini et al. 2017a).

255



256

257 Fig. 3 (a) Video frame of the flight above the jug-shaped cenote (Water Body XII). The UAV is highlighted  
258 with a red circle. Vegetation overhanging the cenote complicates the computation of the position solution from  
259 the GNSS observations. (b) UAV-borne picture of the cenote.

260

### 261 **2.3.1 Ground truth for water surface elevation**

262

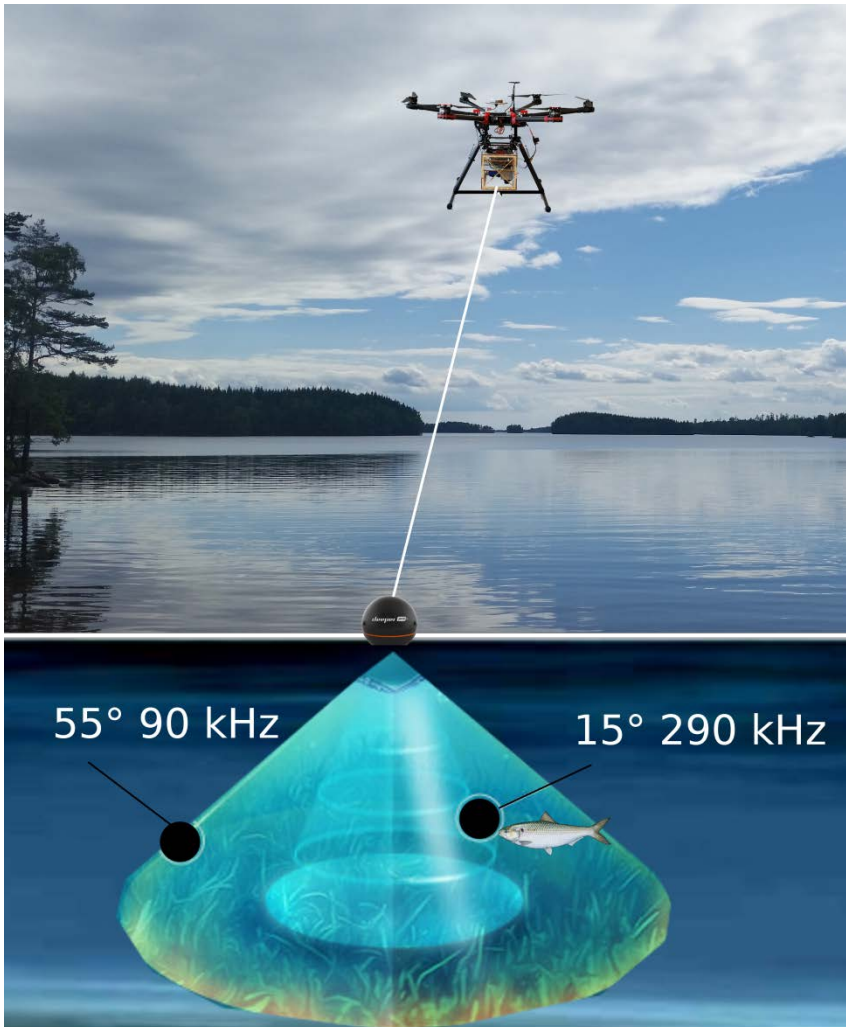
263 For some water bodies, UAV-borne WSE observations were compared with the ground-truth observations  
264 retrieved by a GNSS rover station (Leica Viva GS10). Similarly to Gondwe et al. (2010), the antenna of this  
265 station is manually positioned in a location closed to the water body where it can track several satellites (i.e.  
266 in clear open sky) for 15 minutes or more. Through levelling techniques, the offset between the position, where  
267 the rover station is placed, and the water surface is measured. In this way, accurate WSE determination is  
268 possible. Ground truth observations GNSS-based observations are also processed with carrier-based differential  
269 method using the observations of the base-station in Felipe Carrillo Puerto. Compared to the UAV-borne  
270 observations, in-situ measurements obtained with this rover station have the advantage of excluding the  
271 inaccuracy of the radar system and of averaging GNSS observations in a static mode for a long time. A vertical  
272 accuracy of ~4-5 cm is achievable with this static GNSS differential system.

273

274        **2.4        Payload for UAV-borne bathymetry observations**

275

276        Surveys to reconstruct bathymetry were conducted only in a subset of the water bodies of Table 2 (water bodies  
277        III, IV, V, VII, X). Bathymetry observations are obtained with a tethered sonar sensor controlled by the drone.  
278        The single beam sonar is the Deeper Smart Sonar PRO+ developed by the company Deeper, UAB. It allows  
279        retrieval of water depth with an accuracy of ~3.8% of the depth for a maximum depth potentially up to 80 m. If  
280        waveform analysis is accurately handled, the success of the bathymetric surveys is not affected by water  
281        turbidity, bed material, and topography. The accurate position of the sonar is determined relatively to the UAV  
282        platform position. Technical details of this measuring system are described in Bandini et al. (2017b). Fig. 4  
283        shows the tethered sonar and its measuring beam.



285

286 Fig. 4 Sketch of the tethered sonar. The sonar has two measuring beams at two different frequencies: 55° at 90  
 287 kHz and 15° at 290 kHz. The higher frequency is used for bathymetric survey, while the lower frequency is  
 288 generally preferred for other applications (e.g. to identify fish).

289

290

#### 291 **2.4.1 Correlation between water depth and spectral signature of satellite images**

292

293 Optical-derived bathymetry is generally based on a Beer-Lambert radiative transfer of light in water (equation  
 294 (1)), in which  $D$  is the depth,  $L_i$  is the radiance in the  $i^{\text{th}}$  wavelength,  $L_{i\infty}$  is the average signal over deep water,

295  $c_i$  is a function of several optical parameters (e.g. solar irradiance, atmosphere and water transmittance, and  
 296 water surface reflectance),  $A_{bi}$  is the bottom (b) albedo in the  $i^{\text{th}}$  wavelength, and  $K_i$  is the diffuse attenuation  
 297 coefficient (Jerlov 1976). Solving for optical depth,  $D$ , one obtains equation (2):

$$L_i = L_{i\infty} + c_i A_{bi} \cdot e^{-2k_i D} \quad (1)$$

$$D = \frac{\ln(c_i A_{bi})}{2k_i} - \frac{\ln(L_i - L_{i\infty})}{2k_i} \quad (2)$$

298 Assuming that the water and the bed sediment reflectance are homogeneous, that background optical effects  
 299 and solar irradiance are constant, and that the water column is uniform, equation (3) can be derived with  $A_0$  and  
 300  $A_1$  as constant coefficients. Alternatively, if observed reflectance ( $R_i$ ) is considered instead of radiance, the  
 301 equation shown in (4) holds, derived with  $B_0$  and  $B_1$  as constant coefficients.

302

$$D = A_0 + A_1 \cdot \ln(L_i - L_{i\infty}) \quad (3)$$

$$D = B_0 + B_1 \cdot \ln(R_i - R_{i\infty}) \quad (4)$$

303 To prove that sonar observations can also be used to calibrate and validate optical-derived bathymetry  
 304 measurements, the relationship between the top of atmosphere (TOA) reflectance of the Landsat 8  
 305 panchromatic band, which is the Landsat band with the highest spatial resolution (15 m), and the bathymetry  
 306 observations retrieved by the sonar was computed. The dark pixel ( $R_{i\infty}$ ) subtraction is essential to identify the  
 307 logarithmic correlation (Stumpf et al. 2003; Mohamed et al. 2016). In the bathymetry maps shown in the  
 308 Results section, DigitalGlobe imagery obtained from Google Earth (2017) shows the land surface surrounding  
 309 the water bodies, while the water bodies are represented in a grey scale displaying the TOA reflectance of the  
 310 eighth band (panchromatic) of Landsat 8, 8-day composite (17th-25th January 2017). Landsat 8 imagery was  
 311 directly downloaded from Google Earth Engine (Gorelick et al. 2016). Conversion from 8-bit digital number  
 312 (DN) to TOA Reflectance is performed by the processing methods implemented by Google Earth Engine. First  
 313 the DNs are converted into radiance values, using the bias and gain values specific to the individual



314 scene. Secondly radiance data is converted into TOA reflectance with a linear transformation that accounts for  
 315 solar elevation and seasonally variable Earth-Sun distance (Chander et al. 2009).

316

### 317 **3 Results**

#### 318 **3.1 WSE measurements**

319

320 Table 3 shows the WSE measurements obtained by the UAV-borne instrumentation during each single flight.  
 321 Measurements are compared with the ground truth obtained from the GNSS rover station.

322

323 **Table 3: WSE observations retrieved in the different water bodies. The table shows the mean and the standard**  
 324 **deviation of the UAV-borne WSE observations. Ground truth observations retrieved with the LEICA GNSS rover**  
 325 **station are also reported. In some water bodies, ground truth observations are not available (-).**

326

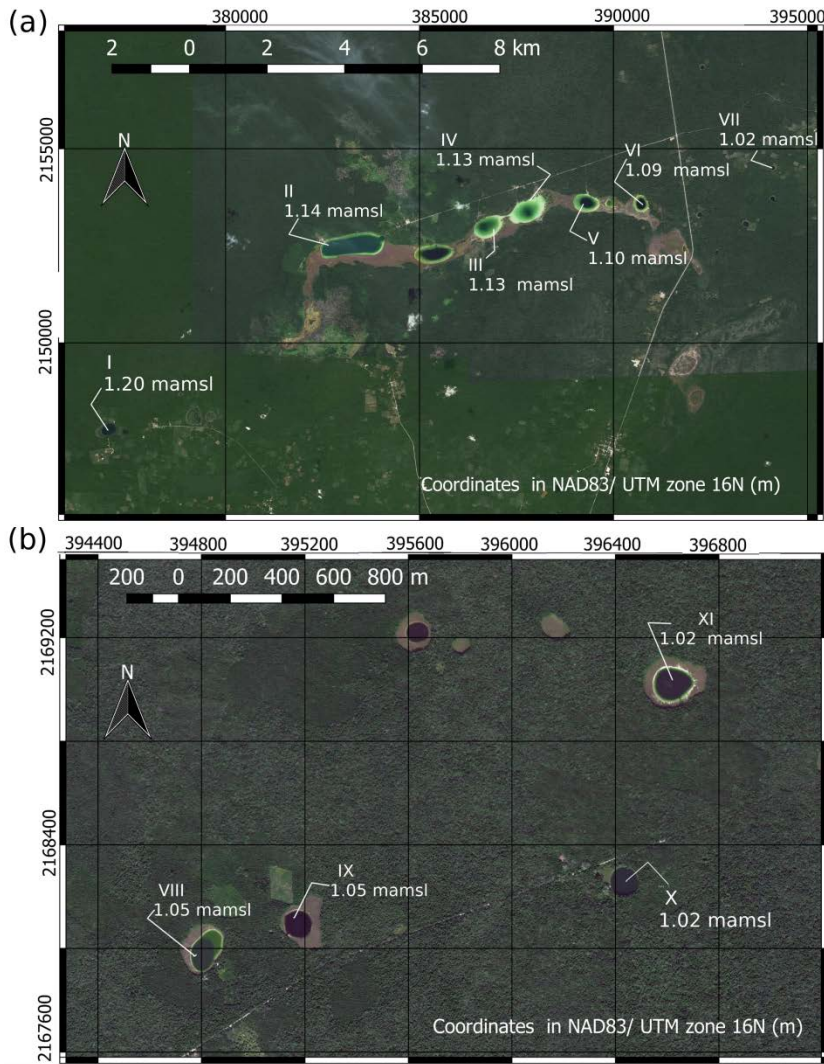
Water body Identification Number	Mean of UAV- borne WSE observations [m amsl]	Standard Deviation of UAV-borne WSE observations [cm]	Flight statistics		LEICA rover station (ground truth) [m amsl]
			Maximum flight height [m above ground level]	Flight time above lagoon [sec]	
I.	1.20	3	48	140	-
II.	1.14	5	50	300	1.16±0.06
III.	1.13	3	65	140	1.10±0.05
IV.	1.13	4	80	270	1.12±0.04
V.	1.10	11	112	265	1.07±0.05
VI.	1.09	3	45	300	-
VII.	1.02	3	53	270	-
VIII.	1.05	5	62	350	-
IX.	1.05	10	112	250	1.02±0.05
X.	1.02	6	59	270	-

XI.	1.02	10	101	430	-
XII.	0.8	50	12	370	0.90±0.15

327

328 Table 3 shows that there is a good agreement between the ground-truth observations and the UAV-borne  
329 observations; however, accuracies of both systems vary from site to site. Ground-truth GNSS measurements  
330 have an accuracy of ~5 cm. As shown in Table 3, the standard deviation of the UAV-borne observations is  
331 within 11 cm for all the flights except for the last one (flight above *cenote* XII), which is ~50 cm. The mean  
332 values of UAV-borne WSE observations show an accuracy within 5-7 cm when compared to the in-situ  
333 observations, except that for *cenote* XII. In the *cenote* XII, the accuracy of UAV-borne observations degrades  
334 but also ground truth is considered less accurate than for the other *cenotes*. Indeed, in this *cenote* a water level  
335 dip meter had to be deployed together with the GNSS and the levelling station. The dip meter was used to  
336 measure the range from the ground level to the *cenote* water surface. An overall system accuracy of ~15 cm  
337 was achieved for the in-situ measurements in *cenote* XII.

338 A map of the UAV-borne measurements in the water bodies, numbered from I up to VII, is shown in Fig. 5.



340

341 Fig.5 UAV-borne WSE (m amsl) observations. (a) Water bodies from I up to VII. (b) Water bodies from VIII  
 342 up to XI.

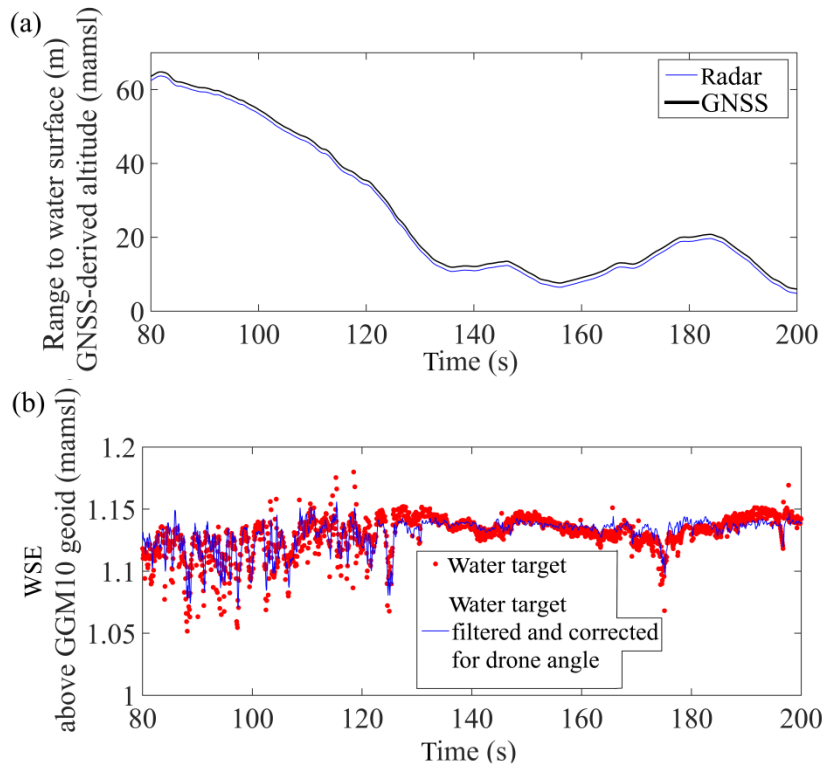
343

344 Fig. 5 shows that WSE decreases consistently from West to East, in the direction of the nearby ocean, with a  
 345 water-table slope of a few cm/km. In the water bodies from I up to VII, represented in Fig 5a, there is a  
 346 difference of 18 cm between the westernmost and easternmost water body over a distance of 18.4 km. This  
 347 slope is less than what other studies reported for this Pliocene area of the YP, e.g. 3-7 cm/km (Gondwe et al.  
 348 2010b), however, targets may not be aligned along a groundwater streamline.

349

350 Fig. 6 shows an example of the UAV-borne WSE observations, specifically the observations retrieved during  
351 the flight above III.

352



353

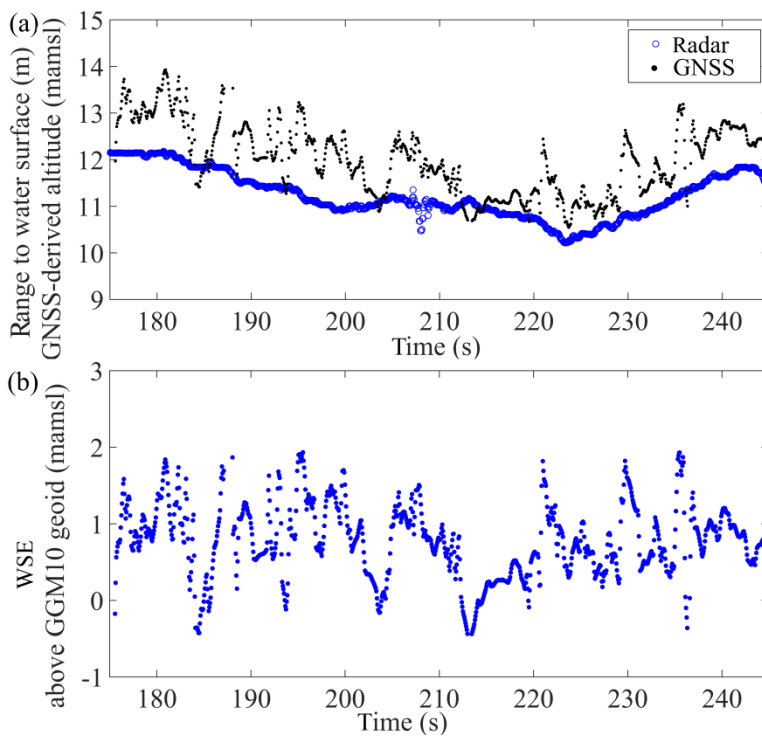
354 Fig. 6 Observations retrieved by the payload for measuring WSE during the flight above III. (a) Range to water  
355 surface is measured by the radar, and altitude above mean sea level is measured by the GNSS system. (b) Red  
356 dots are the raw WSE observations. Blue line shows observations that have been filtered and corrected for the  
357 pitch and roll angles of the drone.

358

359 The radar and the GNSS curves in Fig. 6 (a) show high correlation. The offset between the two curves should  
360 be constant since the WSE in the lagoon is uniform. WSE observations are shown in Fig. 6 (b). Red colour dots  
361 show observations obtained by subtracting the radar observations (range to the water surface) from the GNSS  
362 altitude (drone altitude above mean sea level). The filtered WSE observations, which are represented with a  
363 blue line, have an average of 1.13 m and a standard deviation of ~3 cm. The standard deviation in the  
364 measurements is due to inaccuracy of the radar-GNSS integrated system. As described in Bandini et al.

365 (2017a), the accuracy of the radar depends on the range to the water surface, while the accuracy of GNSS  
366 system is generally independent of flight height.

367 UAV-borne WSE measurements were more problematic in the jug-shaped water body XII (*Cenote Yodzonot*  
368 *Chico*), as shown in Fig. 7. Vegetation overhanging the water body complicated the computation of the position  
369 solution from the GNSS raw observations. Indeed the integer ambiguity of the GNSS signal was not entirely  
370 solved. IMU-GNSS integrated solutions, both loosely and tightly coupled (e.g. Groves, 2013; Noureldin et al.,  
371 2013), were tested but did not improve the GNSS solution positions. This was mainly caused by the  
372 disturbance on the GNSS signal during the GNSS-IMU initialization period caused by vegetation canopy.  
373 However, the radar successfully measured the range to the water surface, although this jug-shaped sinkhole  
374 exposes only a narrow field of view and its small ground aperture could potentially cause multipath effects of  
375 the radar signal. Nevertheless, the on-board radar retrieves the angle and the range of each target in its field of  
376 view, which makes it possible to identify the target representative of the water surface (Bandini et al. 2017a).



377

378 Fig. 7 Flight above Water Body XII (Cenote Yodzonot Chico). (a) Range measured by the radar and altitude  
379 measured by the GNSS system. (b) WSE observations.

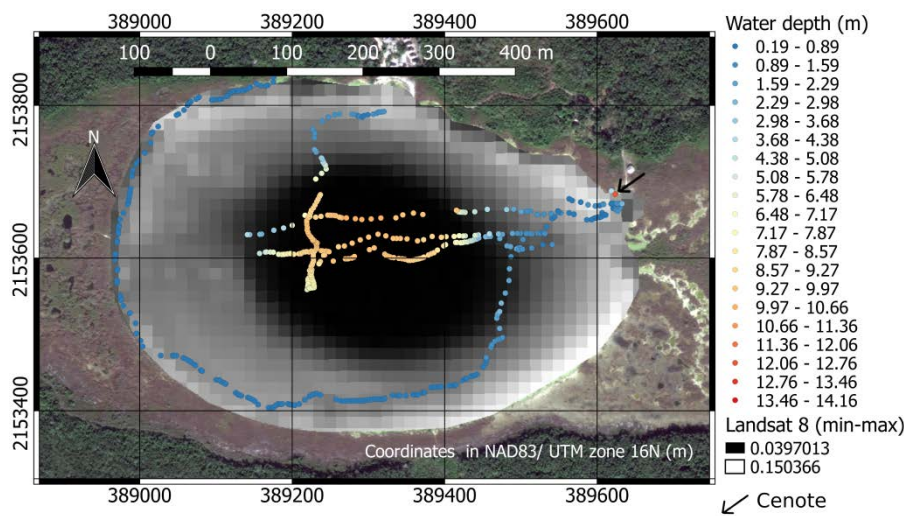
380 The standard deviation of the WSE observations shown in Fig. 7 is around 0.50 m. However, the mean of the  
 381 UAV-borne WSE observations is 0.8 m amsl, while WSE measured with in-situ instrumentation was around  
 382 0.9 m amsl. Thus, the difference from the ground-based benchmark is ~10 cm only.

### 383 3.2 Bathymetry measurements

384  
 385 Bathymetry observations for the *Laguna Sijil Noj Ha'* are reported in Fig. 8.

386

387



388

389 Fig. 8 Bathymetry observations in V (laguna Sijil Noj Ha').

390

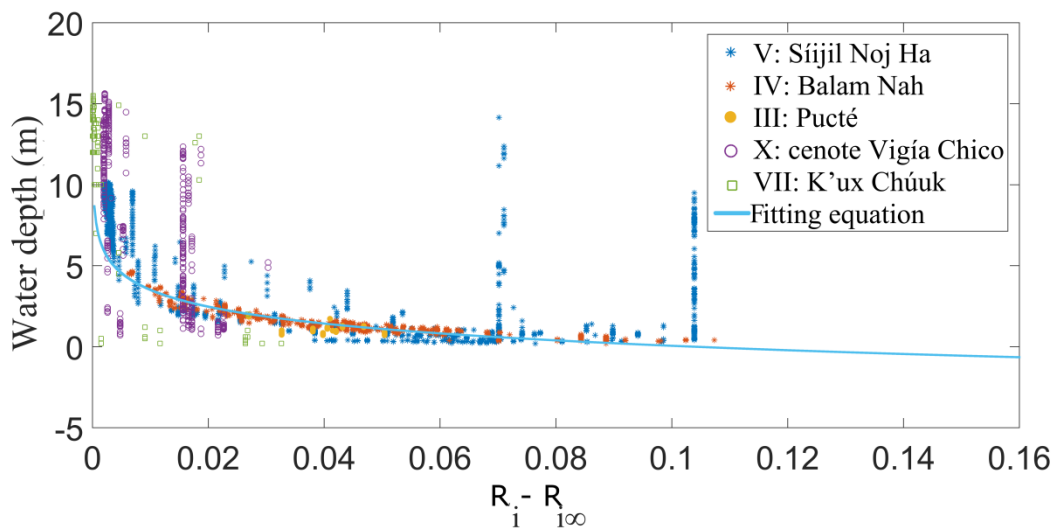
391

392 As shown by Fig. 8, water depth is retrieved only in some points of the lagoon, i.e. the locations in which the  
 393 tethered floating sonar is flown and placed in contact with the water surface. The orthometric elevation of the  
 394 wet-bed can be computed by subtracting the water depth from the WSE measured in this lagoon (1.10 m amsl).  
 395 The observations retrieved in the inner part of the lagoon depict a water depth between 8 and 10.5 m and fall  
 396 into an area of low reflectance of Landsat 8. The deepest point of the lagoon is on the eastern outer area of the  
 397 lagoon where there is a fracture zone in the lagoon bed that hosts a *cenote* (represented with the red dot depth  
 398 observation), which has a maximum water depth of ~14.15 m.

399 Water depth observations of *Laguna Balam Nah*, Pucté, *cenote K'ux Chúk*, *cenote Vigía Chico* are reported in  
 400 Appendix A. *Laguna Balam Nah* has a maximum depth of 4.65 m, while the maximum in *laguna Pucté* is  
 401 around 2.30 m. In the *cenote K'ux Chúk* (*cenote* located in Chanchah-Veracruz) the maximum depth is ~15.50  
 402 m. The shallower outer part has a water depth of less than 2 m, while the inner deeper area has a water depth of  
 403 more than 11 m. *Cenote Vigía Chico* presents an inner deep area covering most of its extension with a depth of  
 404 more than 10 m. On the western part of this *cenote*, there is a second smaller *cenote*, the maximum water depth  
 405 of which is ~11.2 m.

406 The water depth maps have shown a good agreement with the reflectance of the panchromatic band of Landsat  
 407 8. Fig. 9 shows the relationship between reflectance and depth. The darkest pixel ( $R_{i\infty}$ ), which was ~0.038, was  
 408 subtracted from the reflectance observations.

409



410

411 Fig. 9 Relationship between the bathymetry depth observations and  $(R_i - R_{i\infty})$  Landsat 8 reflectance values.

412

413

414 As shown by Fig. 9, a logarithmic law could be identified to estimate water depth, as shown in (5).

$$D = -1.5 \cdot \ln(R_i - R_{i\infty}) - 3.4 \quad (5)$$

415 However, the relationship showed a low  $R^2$  ( $\sim 0.6$ ). The logarithmic law fails to estimate water depth values  
416 larger than  $\sim 7$  m, threshold above which the curve is nearly a vertical line. Thus, Landsat panchromatic TOA  
417 reflectance cannot be considered as a robust proxy for water depth in deep water bodies. Furthermore, several  
418 outliers are visible. V and X are the water bodies with most outliers. The resolution of Landsat 8 images (15  
419 m) is the main reason for outliers. For example, in the water body V (lagoon) the resolution of Landsat 8 is  
420 unable to capture the deep area on the eastern side of the lagoon, where a collapse of the bed of the lagoon has  
421 created a sinkhole. For the water body X (*cenote*), Landsat 8 reflectance unexpectedly shows a majority of  
422 values that are either  $\sim 0.04$  or  $\sim 0.055$ , which corresponds to  $\sim 0.002$  and  $\sim 0.017$  after dark pixel subtraction,  
423 while the bathymetry observations showed variable depth values.

## 424 **4 Discussion**

425

426 This section highlights the potential of UAVs for retrieving hydrological observations of WSE and depth. The  
427 advantages of using UAVs and their limitations are compared to traditional techniques.

428

### 429 **4.1 UAV-borne WSE measurements compared to in-situ traditional techniques**

430

431 Compared to Gondwe et al. (2010a), who manually took measurements of WSE using a GNSS rover station  
432 and a levelling network, UAVs do not require any levelling network. The levelling network was necessary for  
433 manual operators to measure the offset between the water surface and the GNSS antenna, which needs to be  
434 positioned in a clear open sky area. Secondly, the possibility to measure WSE in a deep sinkhole, where the  
435 water table is several meters below ground level, is demonstrated. These observations are generally  
436 complicated to be retrieved in situ by manual operators because they require the installation of water level dip  
437 meters, in addition to the levelling and GNSS network. This study demonstrated that the UAV-borne radar was  
438 capable of measuring the range between the UAV and the water surface of the *cenote*. However, the on-board  
439 GNSS signal was strongly affected by the canopy during flights above cenotes in the jungled areas. To improve  
440 the GNSS position solution, new IMU-GNSS integration solutions should be used. For instance, ultra-tight



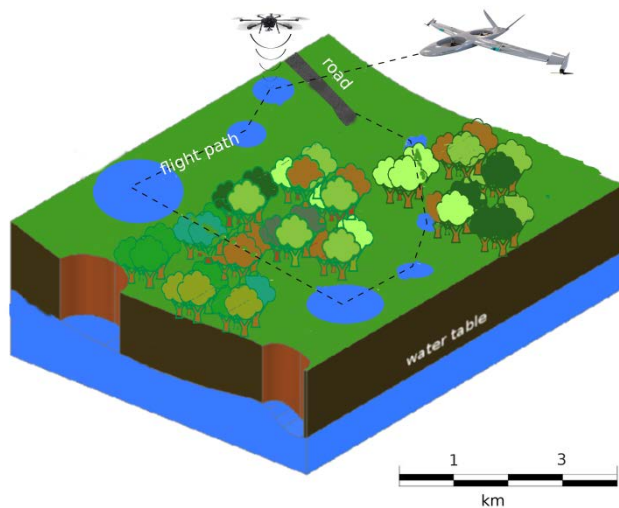
441 coupling, which is the highest integration level, generally shows good performance also in scenarios with low  
442 GNSS signal to noise ratio and less than 4 visible satellites (Olesen et al. 2017).

#### 443 **4.1.1 Optimal UAV platform for hydrological observations**

444

445 The advantage of using a multi-copter, compared to a fixed wing UAV, is justified by the possibility to: i) take-  
446 off and land vertically, ii) hover and accurately control its position to optimize GNSS signals. However, rotary  
447 wings UAVs are constrained by the limited flight time and low speed. An optimal solution for this monitoring  
448 task is the deployment of VTOL (Vertical Take-Off and Landing) hybrid UAVs. Such UAVs combine the  
449 advantage of fixed wing, such as flight endurance, and rotary wing, such as manoeuvrability. One of these  
450 advanced unmanned aircrafts is the hybrid platform developed in the Smart-UAV project, which is a  
451 collaboration between the Technical University of Denmark and the Danish company Sky-Watch (Knudsen et  
452 al. 2015; Bauer-Gottwein 2016; DTU and Sky-Watch 2017). The flight path for a potential hydrologic  
453 monitoring mission using a hybrid platform is shown in Fig. 10.

454



455

456 Fig. 10 Advantage of employing a hybrid VTOL UAV. The shown hybrid UAV is the platform developed for  
457 the SMART-UAV project. Drawing of the drones is not to scale.

458

459 As suggested by Fig. 10, multi-copters have a limited flight endurance (around 20 minutes), which makes it  
460 hard to cover a large area in a single flight and requires the operator to transport the platform to the surveyed

461 area. A hybrid platform would relocate from target to target in efficient fixed-wing mode and hover over each  
462 target for a couple of minutes to acquire WSE readings. This would allow monitoring of remote and hardly  
463 accessible water bodies, such as the ones inside densely vegetated areas (jungle) of the YP. According to  
464 Beddows and Blanchon (2007), the number of *cenotes* is between 7000 and 8000 in the state of Yucatan alone;  
465 while, according to Amigos de Sian Ka'an and Colectividad Razonatura A.C. (2012), there are ~5313 *cenotes*  
466 or suspected *cenotes* (e.g. collapses zones, vertical-walled open water cenote, etc.) in Quintana Roo. This  
467 number only includes the number of sinkholes, and does not consider the wetlands that are located throughout  
468 the YP. The majority of these water bodies are unexplored. Thus, a hybrid VTOL UAV could be used to  
469 establish a ground-based WSE monitoring program on the YP without the need of expensive drilling programs,  
470 making use of thousands of the free access points to the phreatic surface created by the cenotes and lagoons.  
471 However, such monitoring systems require high-performance hybrid platforms, and a legal regime that allows  
472 fully autonomous flights beyond visual line of sight.

473

#### 474 **4.2 UAV-borne bathymetry measurements compared to in-situ traditional techniques**

475

476 At the current state, UAV platforms and sensors require an initial investment, pilot licensing or certification can  
477 be labour-intensive, and flight authorizations require a complex lengthy bureaucratic procedure. However, the  
478 potential of measuring WSE with UAVs is promising when compared to the use of manual operators and  
479 levelling networks.

480 Similarly, UAV-borne bathymetry observations can complement bathymetric observations retrieved with boats.  
481 The usage of boats is generally resource demanding, requires boat transportation from one water body to the  
482 other, and necessitates a minimum water depth to navigate. However, while the technology to monitor WSE is  
483 ready to be employed on a hybrid fully autonomous platform, water depth monitoring still presents numerous  
484 challenges. Indeed, the tethered sonar is an alternative to remote sensing methods based on spectral-depth  
485 relationships, which require shallow and clear water bodies, and to bathymetric LIDAR systems, which are  
486 generally too heavy for UAVs. However, dragging of the tethered sonar over the water surface can be  
487 performed only above open water surfaces and still relies on UAV piloting skills. UAV flights with the tethered  
488 sonar are difficult to perform in water bodies with dense aquatic vegetation and other obstacles. However,  
489 these herbaceous wetlands can be non-navigable also for manned and unmanned vessels.

490

### 491        **4.3        Correlation between bathymetry and spectral signatures**

492

493    A logarithm relationship exists between the reflectance of the panchromatic band of Landsat 8 images and the  
494    water depth of the investigated water bodies. However, the relationship is weak, with numerous outliers and a  
495    low  $R^2$ . Thus, more studies are necessary to evaluate the potential of commercial high-resolution satellite  
496    imagery in the inland water bodies of the YP. Moreover, methods considering multiple multispectral bands  
497    (e.g. Lyzenga's method) should be evaluated in this region. However, spaceborne or UAV-borne optical-  
498    derived remote sensing of bathymetry requires training data to calibrate depth-brightness or depth-reflectance  
499    relationships. Indeed, illumination, viewing geometry, water surface roughness, turbidity and bottom  
500    reflectance can vary across and between images (Legleiter and Roberts 2005; Lane et al. 2010). Thus, in-situ  
501    observations or UAV-borne sonar-based observations are required for calibration of image datasets.

## 502    **5        Conclusions**

503

504    This study demonstrates the potential of a UAV, equipped with an innovative payload, to retrieve WSE and  
505    water depth observations in the wetlands and *cenotes* of the YP. In particular, this study showed that:

- 506        • UAV-borne WSE was retrieved with an accuracy better than 5-7 cm in a subset of the lagoons of the  
507        Yucatan Peninsula. These observations can be used to estimate groundwater streamlines and hydraulic  
508        gradients.
- 509        • Water depth was retrieved with an estimated accuracy of ~3.8% of the actual water depth.  
510        Bathymetry observations were shown to be capable of identifying a fracture in the bed of a lagoon that  
511        creates a direct connection between the surface water and the underlying aquifer.
- 512        • In most jug-shaped *cenotes* on the YP, vegetation overhanging the water body disturbs the GNSS  
513        system and, concurrently, the narrow field of view to the water surface challenges the radar  
514        instrumentation. To solve these issues: i) GNSS and IMU data can be integrated with an ultra-tightly  
515        coupled solution in order to obtain accurate drone solution position when the GNSS signal quality is

516 degraded by the disturbing surroundings; ii) accurate target selection with radar instrumentation also  
517 ensures measurements of water targets with small view of the sky.

518 • UAV data could serve as training data for satellite observations. Indeed, InSAR observations can only  
519 retrieve WSE changes in wetlands and require absolute WSE data to be calibrated and referenced to  
520 mean sea level. Similarly, optical satellite-derived bathymetry requires observations for calibration  
521 and validation of the water depth observations.

522

### 523 **Acknowledgements**

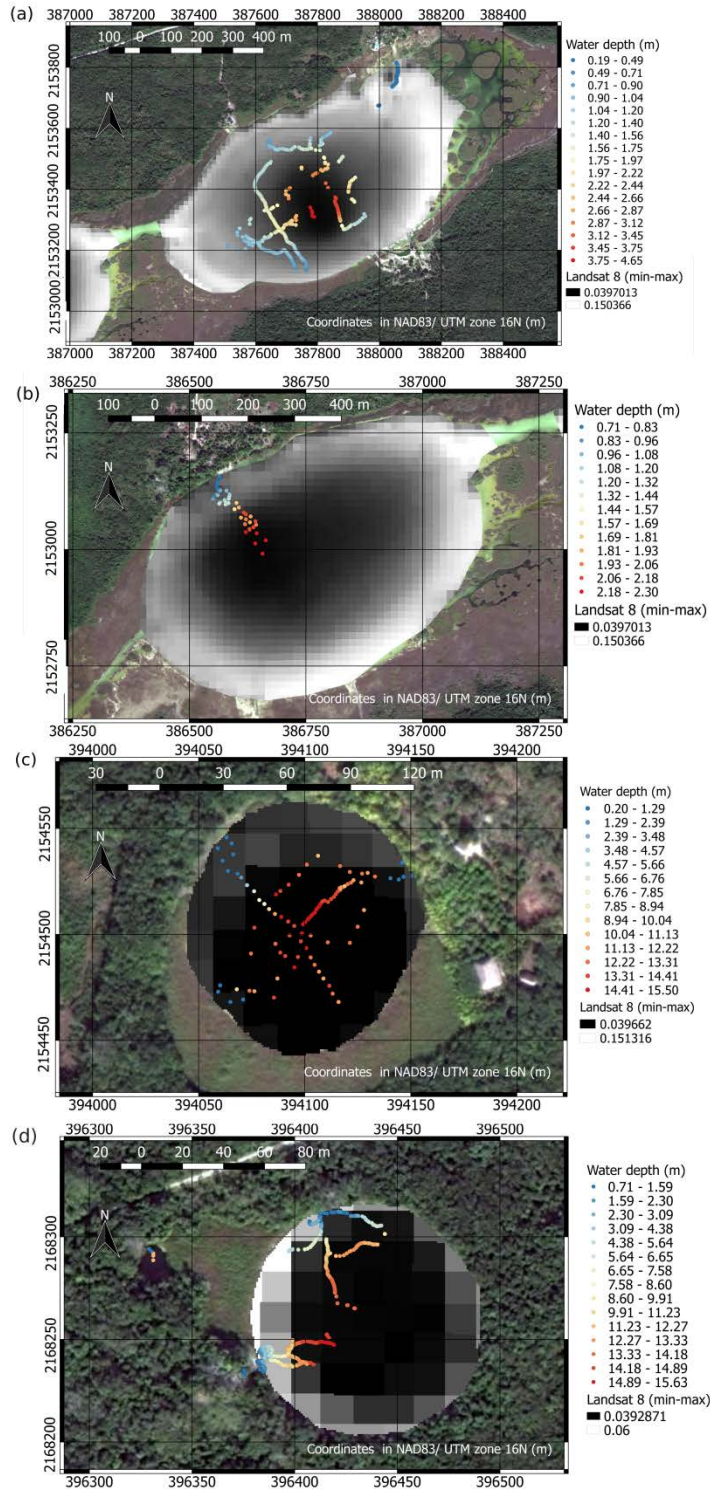
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527 Mexico dedicated to environmental conservation and sustainable development of the YP. Without associations  
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532 help during the planning phase and organization process.

### 533 **Appendix A**

534

535 Figure 11 depicts UAV-borne water depth observations retrieved in *Laguna* Balam Nah, Pucté, *cenote* K'ux  
536 Chúuk, and *cenote* Vigía Chico.

537



538

539 Fig. 11 Bathymetry observations in water body (a) laguna IV (Balam Nah), (b) laguna III (Pucté), (c) cenote  
 540 VII (K'ux Chúuk), and (d) cenote X (Vigía Chico).

541

542

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