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| 1  | Cellulase and xylanase production at pilot scale by solid-state fermentation from coffee |
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| 2  | husk using specialized consortia: The consistency of the process and the microbial       |
| 3  | communities involved   |
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# 18 Abstract

| 19 | Solid state fermentation is a promising technology however rising concerns related to                          |
|----|--|
| 20 | scale up and reproducibility in a productive process. Coffee husk and a specialized inoculum                   |
| 21 | were used in a 4.5L and then in 50L reactors to assess the reproducibility of a cellulase and                  |
| 22 | hemicellulase production system. Fermentations were consistent in terms of cellulase                           |
| 23 | production and microbial communities. The higher temperatures achieved when operating at                       |
| 24 | 50L generated a shift on the microbial communities and a reduction of nearly 50% on                            |
| 25 | cellulase production at pilot scale. In spite, an overall enzymatic production of 3.1±0.5 FPUg                 |
| 26 | <sup>1</sup> DM and 48±4 Ug <sup>-1</sup> DM for FPase and Xyl activities was obtained, respectively, with low |
| 27 | deviation coefficients of 16 and 19% for FPase and Xyl production. Gaseous emissions                           |
| 28 | assessment revealed an emission factor of $2.6 \cdot 10^{-3}$ kg volatile organic compounds per Mg of          |
| 29 | coffee husk and negligible NH <sub>3</sub> , CH <sub>4</sub> and N <sub>2</sub> O emissions.                   |



# 33 1. Introduction

34 Cellulases and xylanases are hydrolytic enzymes related to the biodegradation of lignocellulosic material, with great interest in the bioethanol production. Cellulase purchase 35 has been reported as the most expensive point in the entire chain of bioethanol production, 36 37 accounting up to 40% of the total cost (Arora et al., 2015). For this reason, many efforts have 38 been made to obtain a low cost cellulase, using different technologies and substrates. In this context, agroindustrial wastes appear as ideal substrates for microbial fermentation, due to 39 40 their rich content of organic components, low cost and wide availability (El-Bakry et al., 2015). Furthermore, the use of agricultural by-products presents a better energy balance and a 41 42 lower environmental impact than those of pure substrates (Olofsson et al., 2008). Solid state fermentation (SSF) is a suitable strategy for producing low cost enzymatic 43 products (El-Bakry et al., 2015). SSF of lignocellulosic biomass presents important 44 45 advantages over conventional submerged fermentation such as reduced energy requirements, 46 high productivity and less inhibitory effects for enzymatic production (Chen, 2013). Also, 47 SSF allows the use of organic wastes for biotransformation into bioproducts enabling the production of a concentrated product, due to the low amounts of water used in the process 48 49 (Mitchell et al., 2006). Despite these great advantages, the main challenges to overcome in 50 SSF processes are the scaling up and the development of a standard and continuous process at 51 large scale (Kuhad et al., 2016; Mitchell et al., 2006). Our research group has operated SSF as a multispecies self-heating thermophilic batch (similar to the composting process), which 52 53 demonstrated to be successful for the production of proteases (Abraham et al., 2013), 54 amylases (Cerda et al., 2016) and cellulases (Cerda et al., 2017) using non-sterile wastes as substrate. 55

Specifically, cellulase production, using coffee husk as substrate and a specialized
consortium as inoculum, was successfully carried out at a representative scale (>1 kg

58 substrate) in a sequential batch operation mode with promising results towards a continuous and reproducible cellulase production (Cerda et al., 2017). There are very few other attempts 59 in developing a continuous process for enzyme production (Astolfi et al., 2011; Cheirsilp & 60 Kitcha, 2015) and even less to assess the SSF scaling up problem (Biz et al., 2016; Idris et al. 61 2017; Ortiz et al., 2017). In order to deliver a sustainable and low cost continuous SSF 62 process it is necessary to consider the inherent variability of the organic wastes and the 63 64 inoculum required for enzymatic production. Most of the reported SSF process are performed under sterile conditions and using specific microorganisms as seen in Table 1 (El-Bakry et 65 66 al., 2015; Behera & Ray, 2016; Kuhad et al., 2016). In this sense, a multispecies SSF such as the proposed by our group (Cerda et al., 2017) has been only been suggested by one other 67 author (Wang et al., 2016). In this SSF, these authors used autochthonous microbiota 68 69 (without inoculation) which allowed them to guarantee the reproducibility of the process 70 using a metagenomics approach. Taking this into consideration, it seems of great importance to assess the proper propagation of the specialized mixed inoculum at a large scale in order to 71 72 achieve a standardized cellulase production process.

Besides the production of the targeted product, the environmental impacts of the SSF process must be taken into account when considering SSF benefits. This fact has been assessed in previous studies (Maulini-Durán et al., 2015), which reported gaseous emissions from the SSF for cellulases, proteases and lipases production at the pilot scale. This work remains, to our knowledge, the only reported research on this subject, although the issue of emissions from SSF is crucial for further environmental impact studies.

Considering the above, the main objectives of this work are i) to study the influence of
using a specialized inoculum in the production of cellulases and xylanases operating a pilot
scale SSF process, with a full characterization of the microbial communities ii) the
assessment on the reproducibility of the process at laboratory and pilot scale and iii) the

complete characterization of the gaseous emissions of the process, including emission factors
for ammonia, volatile organic compounds, methane and nitrous oxide. To our knowledge,

85 there are no similar studies reported in literature.

86

### 87 2. Materials and methods

### 88 2.1 Raw material

Coffee husk (CH) was kindly provided by Marcilla S.A (Mollet del Vallès, Barcelona,
Spain) and stored frozen at -20°C until use. CH presented a general characterization of:
moisture content 68.2±0.4% (wet basis), pH 6.5±0.1 and C/N ratio 13.3±0.5. Fiber content in
CH was 25.7±0.2, 14.6±0.1 and 17.6±0.5% (dry basis) of cellulose, hemicellulose and lignin,
respectively.

# 94 2.2 Specialized inoculum

The inoculum used in this work consisted on a specialized consortium of 95 microorganisms able to produce cellulases using CH as sole substrate. This was previously 96 97 obtained operating SSF by sequential batch (SB) using compost as starting inoculum. Main 98 species identified by DNA sequencing were the bacteria *Pseudoxanthonomas taiwanensis* and Sphingobacterium composti and the yeasts Cyberlindnera jardinii and Barnettozyma 99 100 californica, all of them previously reported as lignocellulose degraders (Cerda et al., 2017). 101 The procedure to obtain this inoculum is described in Cerda et al. (2017). Briefly, compost was added in a 10% (w/w) ratio to a mixture of CH and wood chips as bulking agent in a 1:1 102 103 (v/v) ratio to provide the proper porosity to the mixture (Ruggieri et al., 2009). During the process there was no evidence suggesting wood chips degradation due to the short time of 104 each cycle. Sequential batch operation was performed in cycles until stable cellulase 105

production of  $9 \pm 1$  FPU g<sup>-1</sup> DM (filter paper units per grams of dry matter). SB operation 106 started with a 48h fermentation stage followed by a substrate exchange of 90% of volume 107 every 48h. The process was operated for several cycles until stable operation was achieved 108 109 and the final fermented solids were stored frozen for further use as specialized inoculum. The specialized inoculum presented a general characterization of: moisture content of 55.0±3.1% 110 (wet basis), pH 9.1±0.1 and C/N ratio of 13.1±0.6. Fiber content in the specialized inoculum 111 was 23.5±0.5, 13.3±0.5 and 18.3±0.6% (dry basis) of cellulose, hemicellulose and lignin, 112 respectively. 113

114 2.3. Solid State Fermentation (SSF)

SSF was performed in a 50L pilot bioreactor in triplicate batches (R1, R2 and R3).
Each batch was inoculated with fermented solids from a 4.5 L propagation reactor (P1, P2
and P3, respectively) inoculated with the thawed specialized consortium. Both propagation
and pilot operations were non-isothermal, using thermally isolated reactors in all cases. Full
description is presented below.

120 2.3.1. Inoculum preparation in propagation reactors

A propagation reactor was required to provide the proper amount of biomass to inoculate the pilot reactors. Inoculum was obtained by using an initial mixture containing CH and 10% of the mixed specialized inoculum with wood chips, which was used in a ratio of 1:1 (v/v), giving a total weight of 1.2 kg per batch. The process was carried out for 48h in 4.5 L airtight packed bed reactors, working under non-isothermal conditions and oxygen controlled aeration (120-240 mL min<sup>-1</sup>, oxygen setpoint 11.5% in air).

127 2.3.2 Pilot reactor operation

Three batches were performed in 50 L air-tight packed bed reactors. A schematic diagram of the pilot reactor and a detailed description can be found elsewhere (Puyuelo et al., 2010). Temperature, exhaust gas oxygen concentration and inlet airflow were monitored during the trials. The experiments were performed with forced aeration and airflow was manually adjusted to ensure that the oxygen content in the reactor remained above 10%, in order to provide full aerobic conditions (Puyuelo et al., 2010).

The mixtures were prepared by mixing CH and the specialized inoculum obtained 134 from the propagation reactor in a 90:10 (w/w) ratio respectively. Wood chips were added as 135 bulking agent in a volume ratio of 1:1 (v/v). The final weight of the mixture was 15.2 kg for 136 137 each reactor. The first batch (R1) was performed to obtain a full profile of enzymatic activity production, collecting gaseous and solid samples at 0, 8, 16, 24, 35, 48, 58, 72 and 134 h. 138 Also the process time for maximum cellulase activity was established. Two additional 139 140 batches (R2 and R3) were performed in order to assess the reproducibility of the process and the fermentation was stopped at the moment of maximum cellulase activity. 141

142 2.3.3 Sampling

Gaseous samples were collected in 1-L Tedlar® bags for ammonia (NH<sub>3</sub>), volatile organic compounds (VOC), nitrous oxide (N<sub>2</sub>O) and methane (CH<sub>4</sub>) content determination prior to opening the reactor. After the collection of the gaseous samples, the reactor was opened and the solid content was manually homogenized to obtain a representative solid sample for enzymatic activity determination. Filter paper activity (FPase) was measured for cellulase production. Xylanase (Xyl) production was followed for hemicellulase production.

In addition to the enzymatic measurements, the solid samples were analyzed in order
to determine the neutral detergent fiber, acid detergent fiber and lignin content. These
analysis were carried out by the method of Van Soest (1991) using the Ankom200 Fiber

Analyzer incubator (Ankom Technology, Macedon, NY), adding amylase and sodium
sulphite solutions. Degradation percentage of cellulose, hemicellulose and lignin were
calculated according to a mass balance and considering the weight evolution throughout the
process.

156 2.3.4 Parameters monitoring

157 Temperature and oxygen content were continuously monitored and recorded in the
158 reactors during the fermentations. Specific oxygen uptake rate (sOUR) was calculated
159 according to the following:

160  
$$sOUR = F \cdot (0.209 - y_{O_2}) \cdot \frac{P \cdot 32 \cdot 60 \cdot 10^3}{R \cdot T \cdot DW \cdot 10^3}$$
 Equation (1)

where: sOUR is the Specific Oxygen Uptake Rate (mg O<sub>2</sub> g<sup>-1</sup> DM h<sup>-1</sup>); F, airflow into the 161 reactor (mL min<sup>-1</sup>);  $y_{O2}$ , is the oxygen molar fraction in the exhaust gases (mol  $O_2$  mol<sup>-1</sup>); P, 162 pressure of the system assumed constant at 101325 (Pa); 32, oxygen molecular weight (g O<sub>2</sub> 163  $mol^{-1}O_2$ ; 60, conversion factor from minute to hour; 10<sup>3</sup>, conversion factor from mL to L; R, 164 ideal gas constant (8310 Pa L K<sup>-1</sup> mol<sup>-1</sup>); T, temperature at which F is measured (K); DW, 165 initial dry weight of solids in the reactor (g);  $10^3$ , conversion factor from g to mg. The area 166 below the sOUR curve was also determined, which represents the cumulative oxygen 167 consumption (COC) during the process (Ponsá et al., 2010). 168

169 2.4 Enzyme extraction

Fermented solids were mixed thoroughly on a magnetic stirrer for 30 min with 0.05M
citrate buffer, at a pH of 4.8 and ratio of 1:15 (w:v) respectively. The extract was separated
by centrifugation at 10000 rpm during 10 min followed by a filtration with a 0.45 µm filter.

The supernatant was used for the cellulase and xylanase activity assay (Ang et al., 2013;Dhillon et al., 2012b).

175 *2.5 Enzyme assay* 

Total cellulase activity was measured using the filter paper activity assay (FPase) 176 recommended by IUPAC (Ghose, 1987). Final products were measured using dinitrosalicylic 177 178 acid (DNS) reagent (Miller, 1959). One unit of FPase (FPU) was expressed as equivalent to the amount of enzyme that releases 1 µmol of reducing sugars from Whatman filter paper in 179 0.05M citrate buffer (pH 4.8) under assay conditions. Xylanase activity (Xyl) was measured 180 according to the reported by Ang et al. (2013). The final products were also measured using 181 dinitrosalicylic acid (DNS) reagent (Miller, 1959). One unit of Xyl (U) activity was 182 expressed as 1 µmol of xylose released from xylan birch wood under assay conditions. 183 184 The enzyme yields are expressed in terms of activity units per grams dry matter, i.e, FPU g<sup>-1</sup> DM and U g<sup>-1</sup> DM for FPase and Xyl, respectively. 185

# 186 2.6 Determination of gaseous emissions

187 VOC, CH<sub>4</sub> and N<sub>2</sub>O analysis were performed by means of gas chromatography
188 (Agilent Tech. 6890 N Network GC system, Madrid, Spain). NH<sub>3</sub> concentration was
189 measured using an ammonia sensor (Industrial Scientific sensor iTX-T82). All these
190 measurements were performed as described elsewhere (Maulini-Duran et al., 2015).

191 2.7 *Microbial characterization* 

Identification of the microbial population was performed in the final products from
the propagation reactors and in the fermented solids obtained from the pilot reactors at the
highest cellulase production, using next generation sequencing. Total DNA was extracted and
purified using PowerSoil<sup>™</sup> DNA Isolation Kit (MoBio Laboratories, USA) according to

| 196 | provider's specifications. DNA samples were checked for concentration and quality using the     |
|-----|---|
| 197 | NanoDrop ND-1000 Spectrophotometer (NanoDrop Technologies, Wilmington, Delaware;                |
| 198 | USA). Bacterial 16S rRNA hypervariable regions V3-V4 and fungal ITS1-ITS3 were                  |
| 199 | targeted. Later sequencing of the extracted DNA and bioinformatics were performed on            |
| 200 | MiSeq platform by Life Sequencing S.A (Valencia, Spain).  |
| 201 | 2.8 Routine methods   |
| 202 | Moisture content, total and volatile solids and pH were determined according to                 |
| 203 | standard procedures (U.S. Composting Council, 2001) in a representative solid sample, as        |
| 204 | explained above.  |
| 205 | 2.9. Statistical Analysis   |
| 206 | Statistical analysis was performed with basic ANOVA techniques while pairwise                   |
| 207 | comparisons were based on the Tukey test (p<0.05). Statistics were performed with               |
| 208 | MINITAB <sup>™</sup> V17.   |
| 209 |   |
| 210 | 3. Results and Discussion   |
| 211 | 3.1 Specialized inoculum preparation  |
| 212 | The average initial moisture, pH and C/N ratio for propagation reactors were 67±1,              |
| 213 | 8.01±0.01 and 12.5±0.5, respectively. Figure 1 presents the sOUR and the temperature            |
| 214 | profiles of the three propagation reactors (P1, P2 and P3) for inoculum preparation. All three  |
| 215 | propagation reactors presented a similar trend. However, the heterogeneity of the materials     |
| 216 | led to a series of small differences during the processes despite of using the same specialized |
| 217 | consortium.   |
|     |   |

218 P2 and P3 started at temperatures of 21 and 25°C respectively, which was notably higher than initial P1 temperature (12°C). This difference was attributed to the preparation process of the 219 substrate, which includes a defrosting stage. Major differences were found during transition 220 221 to thermophilic stage after 24h of operation. After reaching 45°C the automatic control started to act according to the oxygen requirements of the fermentation, which can be clearly 222 observed in the oscillation of sOUR profiles of P2 and P3. In this sense, at the end of the 223 fermentation sOUR obtained for P1, P2 and P3 were 2.6, 3.0 and 3.1 mg O<sub>2</sub> g<sup>-1</sup> DM h<sup>-1</sup>, 224 respectively. 225

Additionally, COC resulted in very similar with values of 65.3, 86.1 and 94.8 mg  $O_2$ g<sup>-1</sup> DM for P1, P2 and P3 respectively. It is highly possible that initial temperature affected the performance of P1, in detriment of the global biological activity (Sundh & Rönn, 2002). It is for this reason that, in order to improve reproducibility of the process, in terms of biological and enzymatic activity, the initial temperature of the process must be set on proper values to reduce the lag phase of microbial communities that will grow on the reactors.

The average cellulase activity produced in the three reactors was  $8.3\pm0.2$  FPU g<sup>-1</sup> DM. These values are in accordance to the obtained during the sequential batch operation for the production of the specialized inoculum (9±1 FPU g<sup>-1</sup> DM), which was carried out in the same configuration and scale (Cerda et al., 2017). Then, it is remarkable the fact that it is possible to carry out a consistent and reproducible SSF for the production of cellulases using a mixed inoculum without compromising productivity.

238 *3.2 Pilot reactor operation* 

239 3.2.1 Process follow up

Figure 2a presents the fermentation profile for a 134 h operation using a previously 240 propagated inoculum. The fermentation presented a quick start-up with no lag phase as 241 observed in the sOUR and thermophilic temperature profiles (Figure 2a). This can be 242 attributed to the initial conditions of the inoculum, which was in its highest biological and 243 enzymatic activity. Biological activity was found at its maximum during the first 30h of 244 fermentation in a full thermophilic stage. Maximum sOUR and temperature were 3.6 mg O<sub>2</sub> 245 g<sup>-1</sup> DM h<sup>-1</sup> and 71°C, respectively, at 24h. Oxygen requirements reached a total COC of 243.2 246 mg  $O_2$  g<sup>-1</sup> DM. COC found at 24h of fermentation, at its highest sOUR, was 80.2 mg  $O_2$  g<sup>-1</sup> 247 248 DM, which roughly represents 33% of the total oxygen consumption in 136h. In this context, sOUR obtained at pilot scale, was slightly higher than the obtained in the propagation 249 250 reactors and the observed during the process of adapting the inoculum (Cerda et al., 2017), which was around 2.5-3.0 mg O<sub>2</sub> g<sup>-1</sup> DM h<sup>-1</sup>. The increase on the rate of degradation can be 251 associated to the conditions of the inoculum in terms of temperature and sOUR. It is 252 important to highlight the importance of choosing the exact moment to have the maximum 253 enzymatic activity, which in occasions, can match with the maximum biological activity, 254 reflected as sOUR (Abraham et al., 2013) or not (Cerda et al., 2016). 255 In addition, the pH reached an alkaline value of 9.22±0.01 and the C/N ratio was 256

13.0±0.2, which reflected organic matter degradation. These conditions remained until the
end of the fermentation, achieving final values of 9.34±0.01 for pH and a C/N ratio of 11±1.

Final moisture value for propagation fermentations was 63±2% while pilot
fermentations a moisture content of 62±2% was observed at 24h of fermentation. The
differences of moisture content between propagation and pilot reactors, and even among
replicates, showed no significant differences. Probably, the fact of working on close reactors
and short times has result in this moisture conservation.

Cellulolytic and hemicellulolytic activities also showed their maximum during the 264 most active stage of the fermentation (Figure 2b). FPase and Xyl reached their maximum 265 production in the first 24h of operation with values of 3.6±0.2 FPU g<sup>-1</sup> DM and 42.7±2.6 U g<sup>-1</sup> 266 <sup>1</sup>DM, respectively. FPase presented a local maximum, decreasing after this moment to 267 negligible values. This can be attributed to several factors such as nutrient depletion or the 268 inhibition of the enzymatic system due to the formation of by-products (Brijwani & Vadlani, 269 270 2011; Salgado et al., 2015) or non productive adsorption to lignin hydrolyzates (Gao et al., 2014). On the contrary, Xyl activity presented a dramatic decrease to almost zero at 72h of 271 fermentation and increased to  $9 \pm 2 \text{ Ug}^{-1} \text{ DM}$  by the end of the fermentation. 272

The measurements for cellulase and xylanase activity are currently performed using 273 several different methods, some of them with many concerns on its reproducibility (Coward-274 Kelly et al., 2003). It is for this reason that the comparison between researches is complex; 275 276 however, a summary of several enzyme production processes is presented in Table 1. All enzymatic activities are produced in a wide range of values, probably due to the variety of 277 278 inocula and substrates used. FPase production in this work was found in the reported range of production, as seen in Table 1. As for Xyl production, the reported range of production (10.6 279 to 2601 U g<sup>-1</sup> DM, according to Table 1) is wider than the reported for cellulase. 280

Considering cellulase and xylanase production, it is remarkable the fact that most of the production systems shown in Table 1 are carried out using small amounts of substrates (1-25g), using pure strains (species of the genera *Aspergillus* or *Trichoderma*) and long fermentation times (96-240h). In this sense, the results obtained in this work are promising, due to the significant cellulase and hemicellulase production in a short fermentation time (24h), therefore allowing the faster valorization of organic wastes and improving process economics.

During the most active stage of the process at 24 h of operation, partial degradations 288 of cellulose, hemicellulose and lignin were of 4.9, 13.4 and 4.1%, which are in accordance 289 with reported literature (Salgado et al., 2015). At the end of the fermentation a final cellulose 290 291 degradation was 24.1%. In addition, final lignin hydrolysis was 11.25%, which is higher than the observed by other researches in short solid-state fermentations (Umasaravanan et al., 292 2011). The most interesting result was obtained for hemicellulose hydrolysis. A final 293 degradation of 34.9% was achieved in spite of the relatively low Xyl values as compared with 294 literature (Table 1). 295

This process was performed using a non-sterile substrate, which provides a complex 296 297 dynamic process, involving different metabolisms. For this reason, enzymatic (or not enzymatic) products cannot be properly correlated to operational parameters, but only 298 expressed as the net result of these different metabolisms. This might be the reason for not 299 finding correlations among cellulases enzymatic complex and xylanase activities production 300 with their respective substrates. Even more, no correlation was found with any of the 301 302 monitoring parameters. This is probably due to the fact that parameters like sOUR or 303 temperature, even though useful, provide an extremely simplified overview of the process. For this reason, there is the need to seek for different parameters or techniques to properly 304 correlate the enzymatic production profile. In this sense, the identification of microbial 305 communities appears as an attractive alternative that enables the potential direct correlation 306 among substrate consumption and enzyme production. 307

308 3.2.2 Gaseous emissions

Figure 3 presents the evolution of the cumulative emissions of CH<sub>4</sub>, N<sub>2</sub>O, VOCs and
NH<sub>3</sub> throughout the SSF process carried out during the first pilot batch (R1).

Ammonia was emitted in higher amounts than the rest of the gases analyzed, and was 311 mainly released after the thermophilic stage at the highest biological activity condition as 312 reported by other authors (Maulini-Duran et al., 2015). Ammonia emission factor was 3.5 10<sup>-</sup> 313 <sup>2</sup> kg Mg<sup>-1</sup>CH, obtained at 134 h. Ammonia emission is mainly related to nitrogen compounds 314 content such as ammonium from proteins, which can be stripped out as ammonia through the 315 exhausted gas outlet. VOCs, on the other hand, were also found as one of the main 316 contributors to emissions with a total emission factor of  $1.1 \cdot 10^{-2}$  kg Mg<sup>-1</sup> CH. In spite of this 317 value, when it is considered a productive process for cellulase and xylanase, the SSF should 318 take only 24 h, where the emission factor was  $2.6 \cdot 10^{-3}$  kg Mg<sup>-1</sup>CH. 319

Previous studies reported VOC emission factors 1000-fold higher than the obtained in this work (Maulini-Duran et al., 2015), using orange peels (rich in limonene and other volatile compounds) as substrate for cellulase production in a 5 day fermentation. VOCs emitted during the current process presented an almost linear trend, indicating a nearly continuous degradation of the carbonaceous material as suggested in other studies (Pagans et al., 2006b).

In contrast to NH<sub>3</sub> and VOC emissions, CH<sub>4</sub> and N<sub>2</sub>O are not directly related to 326 composition but to the appearance of anaerobic zones in the solid matrix of the reactor, 327 especially at larger scales than those reported in SSF literature. In the case of N<sub>2</sub>O emissions, 328 these are reported to be associated either to anaerobic or anoxic conditions by means of the 329 heterotrophic denitrification and nitrifier denitrification processes during ammonia 330 degradation as reported by some authors Wunderlin et al., (2013). In this study, CH<sub>4</sub> and 331 332 N<sub>2</sub>O emissions were only observed in very low amounts mainly during the most active stage of the fermentation. In this context, the 134 h process presented total cumulative emission 333 factors of  $9.4 \cdot 10^{-4}$  and  $2.6 \cdot 10^{-4}$  kg Mg<sup>-1</sup> CH for CH<sub>4</sub> and N<sub>2</sub>O, respectively. Even more, when 334 considering the 24 h productive process, these factors were even lower, achieving values of 335

 $4.9 \cdot 10^{-4}$  and  $1.1 \cdot 10^{-4}$  kg Mg<sup>-1</sup> CH for CH<sub>4</sub> and N<sub>2</sub>O, respectively. Other studies presented emissions of these pollutants obtained during the highest biological activity in similar processes (Maulini-Duran et al., 2015), which are in agreement with this work. However, the obtained emission factors were 10-fold higher than those observed in that process. The absence of published data on the emissions of pollutant gases from SSF unable the comparison of the obtained values, although in any case they are lower than those of similar processes, such as composting (Pagans et al., 2006a; Pagans et al., 2006b).

The complete emission profile was performed in order to fully assess the potential environmental impact and its correlation with enzyme production. However, if it is considered the period of time when maximum enzymatic production is achieved, only VOC emissions are relevant due to NH<sub>3</sub> is only produced after 32h of fermentation. This is of great importance, when considering a potential gas treatment.

The operational measurements presented in Figures 2 and 3 and fibers degradation were performed in order to fully understand the process dynamics and environmental impact, however, in terms of cellulase and xylanase production, the process should be stopped at 24 h.

352 3.2.3 Replicates and consistency

In Figure 4 it is presented the first 24 h of operation of the first SSF (see Figure 2 for the complete profile) and two replicates of this 24 h fermentation.

Average of the initial moisture, pH and C/N ratio for the triplicates were 68±2, 8.32±0.01 and 13.3±0.5 respectively. Initial temperature in the three pilot reactors were 27.8, 27.8 and 29.2°C for fermentations R1, R2 and R3, respectively. The optimal initial conditions of the inoculum along with these temperature values allowed a rapid start-up of the 359 fermentation, obtaining a very similar profile during the first 8h. It is during this period that the thermophilic stage started in all replicates with an average temperature of 47-48°C. After 360 this period differences on the sOUR profile appeared in R1 (Figure 4a) in comparison with 361 R2 and R3. It is possible that the initial conditions of the inoculum could have affected the 362 performance of the pilot fermentations. Final sOUR and COC obtained at 24 h of 363 fermentation for the three processes were 3.6 mg  $O_2$  g<sup>-1</sup> DM h<sup>-1</sup> and 77.6 mg  $O_2$  g<sup>-1</sup> DM for 364 R1, 3.9 mg  $O_2$  g<sup>-1</sup> DM h<sup>-1</sup> and 65.5 mg  $O_2$  g<sup>-1</sup> DM for R2 and 4.9 mg  $O_2$  g<sup>-1</sup> DM h<sup>-1</sup> and 71.8 365 mg  $O_2$  g<sup>-1</sup> DM for R3, respectively. Also the most interesting difference between the 366 367 propagation and pilot fermentations is the maximum temperature reached during the thermophilic stage, which was above 70°C. It is likely that the higher temperature could 368 affect the microbial diversity of the process and therefore the enzymatic productivity (Ortiz et 369 370 al., 2017; Idris et al., 2017).

Enzymatic activities obtained at 24 h of fermentation are presented in Table 2. FPase activity obtained in R2 and R3 presented no significant differences, as well as Xyl activity for R1 and R3. Differences among the enzymatic yields can be attributed to the complexity of the substrate and the nature of cellulolytic enzymes.

Additionally, Table 2 presents the average of enzymatic activities of the three 375 fermentations with their respective variation coefficient. FPase and Xyl production were 376  $3.1\pm0.5$  FPU g<sup>-1</sup> DM and  $45\pm8$  U g<sup>-1</sup> DM with a variation coefficient of 16 and 19%, 377 respectively. These variation coefficients can be considered relatively high; however, when 378 working with organic wastes the situation is different. Variation coefficients only from 379 380 proximal composition of the solid wastes can range between 10 to 50% (Leroy et al., 1992), therefore it is likely that any process that includes solid wastes has an intrinsic high variation. 381 Moreover, using mixed enzymatic compounds obtained by SSF in highly controlled 382 383 processes can achieve variation coefficients of nearly 10% (Martínez-Ruiz et al., 2008). In

this sense, the variation coefficients obtained in this work can be considered as acceptable,
taking into account that it is a complex process using non sterile material in a pilot scale.

In spite the reproducibility of the process between replicates is acceptable, there is a 386 reduction on cellulase production of nearly 50% when it is compared with the obtained in the 387 propagation reactors, and previous experiments (Cerda et al., 2017). As mentioned before, 388 this can be attributed to the higher temperature observed at pilot scale (>70°C) in comparison 389 with the propagation reactors (60°C). This fact has been also observed by Idris et al., (2017) 390 when working in the scale up of a cellulase producing system from 1 to 50g of substrate. 391 These authors observed an increase in the temperature of the SSF, which generated a 392 393 reduction of more than 50% in cellulase production even at this small scale. Moreover, Ortiz et al., (2015) also observed an increase of the temperature during the pilot trials of a cellulase 394 producing SSF, with its consequent drop in enzymatic productivity and viable cells. As a 395 396 measure to overcome this difficulties, these authors increased the aeration rate to decrease the temperature of the reactor, recovering enzymatic production and viability of the 397 398 microorganisms. These authors concluded that further research must be performed to improve 399 the reproducibility of the results obtained at lab scale.

In light of these results, it seems that the specialized biomass was able to adapt to the changing conditions in pilot reactors, still yielding adequate FPase and Xyl activities (Table 1). Even though the enzymatic productivities were found in the low-middle range of the reported literature it is remarkable that, even after the process scale up, enzymatic production was still adequate. This subject is not often studied because of the complexity of the process and the difficulties of working with complex solid wastes. 406 Differences in the temperature at the beginning and during the process will determine 407 the performance of the pilot fermentations with a potential influence on the development of 408 microbial communities as it is discussed in the next section.

409 *3.3 Microbial characterization* 

410 3.3.1 Bacteria

A total of 30 bacterial families were identified in the final products of propagation 411 reactors (P1, P2 and P3) and the pilot fermentations (R1, R2 and R3). The full composition is 412 presented in Figure 5. There are three main dominant families in all assessed samples: 413 414 Paenibacillaceae, Xanthomonadaceae and Sphingobacteriaceae; these are also the main families identified in the original inoculum (Cerda et al., 2017). The sum of these families 415 account for a 45.6, 47.9 and 43.4% for P1, P2 and P3 and 40.5, 40.9 and 39.4% for R1, R2 416 417 and R3, respectively. In this context, the addition of the specialized inoculum to a propagation reactor and then as inoculum for a pilot SSF generated great similarities among 418 the bacterial diversity at family level. Regarding the samples obtained from the propagation 419 reactors, P1 and P2 presented similar relative abundance of the microbial populations; 420 however, P3 showed slight differences. For instance, P1 and P2 showed low presence of the 421 422 family Flavobacteriaceae (2.1 and 2.4% for P1 and P2), while P3 showed significant abundance of this family (13.4%). The main specie found in this family was *Flavobacterium* 423 424 anatoliense, which is a strict aerobic bacteria isolated from several environments that has 425 been reported as unable to grow on cellulose or to hydrolyze complex polysaccharides 426 (Kacagan et al., 2013). Thus, this specie must grow on other CH components or metabolites produced by other species. In spite of the differences, the predominant specie found in all 427 428 propagation reactors was Pseudoxanthomonas taiwanensis. This bacterium was found predominant in the original specialized inoculum (Cerda et al., 2017) and it was able to 429

430 survive and colonize the propagation reactors, achieving a relative abundance of 14.7, 14,9 431 and 9.6% in P1, P2 and P3 respectively. *P. taiwanensis* has been widely related to cellulose 432 degradation systems, for its  $\beta$ -glucosidase production and the potential enhancement of the 433 growth of other cellulolytic bacteria (Eichorst et al., 2013).

As mentioned before, the results showed that the same families predominant on 434 propagation reactors were predominant on the pilot SSF. In spite of the great resemblance, 435 there is a shift on the predominant specie when comparing with the propagation reactors. 436 Sphingobacteriaceae family became more relevant, with a 27.9, 28.9 and 22.9% of relative 437 abundance for R1, R2 and R3, and 10.9, 12.2 and 18.8 for P1, P2 and P3, respectively. The 438 439 harsh conditions generated during pilot SSF could have affected the development of the different microorganisms in the solid matrix. In this context, strong thermophilic and alkaline 440 environments favored the growth of bacteria able to thrive at these conditions, among them 441 442 Sphingobacterium thermophillum (15.8, 11.1 and 9.7% for R1, R2 and R3) and Sphingobacterium arenae (3.6, 7.8 and 6.8% for R1, R2 and R3). The new dominant species 443 444 relegate *P.taiwanensis* to a specie with a minor presence in the solid matrix, with a relative abundance of 2.4, 2.7 and 8.2% for R1, R2 and R3, respectively. S. thermophilum and S. 445 arenae have been reported to be present during the thermophillic stage of composting 446 447 processes with a potential for  $\beta$ -glucosidase production (Yabe et al., 2013). In addition, it has been found that several cellulase obtained under these conditions can be halotolerant 448 (Gladden et al., 2014), which is of great interest when it is considered their potential use in 449 bioethanol production. 450

In general, the relative abundance at family level presented high similarities in propagation reactors and the pilot SSF triplicates. Also, it is interesting to highlight the fact that families with relative abundance below 1% (registered in Figure 5 as "others") are higher in the propagation reactors than in the pilot SSF. This indicates a further reduction on biological diversity, as confirmed by the Shannon diversity index for propagation reactors P1,
P2 and P3 were 2.6, 2.4 and 2.2 and for pilot SSF were 1.8, 1.7 and 1.9 for R1, R2 and R3
respectively. Using a specialized consortium instead of a specific strain provided a robust
performance of the fermentation when scaling up. The adaptive shift in microbial community
allowed to keep a significant cellulase and xylanase production.

460 3.3.2 Fungi and yeasts

A total number of 21 fungal families have been identified in the final products of 461 propagation reactors (P1, P2 and P3) and the pilot fermentations (R1, R2 and R3). The full 462 composition is presented in Figure 6. *Phaffomycetaceae* was found as the dominant family in 463 all the assessed samples. This family accounted for a total of 74.4, 64.5 and 48.2% for P1, P2 464 and P3, and 79.7, 61.9 and 77.8% for R1, R2 and R3, which represented most of the 465 biological diversity of the process. This family was also found as predominant in the initial 466 inoculum, with a relative abundance of 43.2% (Cerda et al., 2017). These results proved, at 467 468 the family level, a consistent propagation and colonization of the specialized mycobiota 469 present in the initial inoculum. Even more, when performing the pilot SSF, the biological diversity was further reduced, maintaining *Phaffomycetaceae* as the predominant family and 470 471 increasing its relative abundance. Two species of this family appear as dominant in propagation reactors: Cyberlidnera jardinii with 64.3, 55.1 and 3.5% for P1, P2 and P3 and 472 Barnettozyma californica with a 10.1, 9.4 and 43.6% for P1, P2 and P3 respectively. These 473 two species were also found as dominant in the initial inoculum (Cerda et al., 2017), with a 474 relative abundance of 34 and 9.1% for *C.jardinii* and *B. californica*, respectively. There is a 475 476 clear difference on the relative abundance of these species in P3 when compared with P1 and P2. Both of these species are related to hemicellulose degradation with potential tolerance to 477 grow in the presence of lignin hydrolyzates, which is of great interest for a potential use on 478 479 bioethanol production (Morais et al., 2013; Nordberg et al., 2014).

As described, results for pilot reactors presented great similarities, at family level, 480 with propagation reactors, although a major shift occurred at specie level. In pilot SSF the 481 dominant specie was *B. californica* with a 72.6, 59.7 and 72.8% of relative abundance, 482 483 leaving C. jardinii as a minor component of the mycobiota with an abundance below 3% in all cases. B. californica, as mentioned before, is related to hemicellulose degradation and an 484 important xylanase producer; however, it has been also reported as an enzyme producer for 485 486 lignin degradation (Martorell et al., 2012). This specie is a producer of tyrosinase, which is an enzyme able to oxidize phenolic compounds that can potentially enhance lignin hydrolizates 487 488 degradation. The great abundance of this specie in the pilot SSF can, in a way, explain the high degradation of lignin in the reactors (above 11% of degradation). 489

In general, in the pilot SSF, most of the identified mycobiota presented high
hemicellulose and lignin degradation potential. Improvements of degradation on these
structures can make cellulose structure more accessible for the enzymes to act and, therefore,
increase cellulase production. In addition, biological diversity obtained in the pilot reactors
was reduced when compared with the propagation reactors. Shannon index for R1, R2 and R2
were 0.44, 0.62 and 0.50 and 1.02, 0.99 and 0.97 for P1, P2 and P3, respectively.

496 The most important result on these experiments relies on the fact that when the operational conditions of the reactors are similar, the biomass that is able to colonize the 497 reactors is the same, even when the fermentation is carried out using non sterile substrates, 498 thus demonstrating a robust and consistent process. When the scale or operational conditions 499 such as temperature change, there is a shift in microbial communities ensuring cellulase and 500 501 xylanase production, although lower still in the range of reported values (Table 1). It would be of great interest, as future research, to assess possible synergetic or antagonist behaviors 502 among the indentified bacteria and fungi. This could provide interesting information of the 503

microorganisms interaction and the potential of the biomass to produce an enzymatic cocktail
for lignocellulosic biomass hydrolysis in a scale close to industrial conditions.

As a final remark, it has to be stated that there is no previous research performed on the reproducibility of pilot scale SSF. Many aspects considered as SSF drawbacks have been undertaken, resulting in the development of a more robust and sustainable process. The use of a standard inoculum using wastes as substrate makes this technology more consistent for its application at larger scales, with the additional economic benefit of using organic wastes as raw material.

512

# 513 **4.** Conclusion

This work demonstrates the use of a specialized consortium developed from compost 514 as inoculum for cellulase production by SSF using non-sterile substrates. Consistent 515 516 propagation of the specialized inoculum was obtained in 4.5L bioreactors, with enzyme production and microbial diversity in accordance with the initial mixed inoculum. The 517 predominant cellulolytic microorganisms are bacteria (Pseudoxanthomonas taiwanensis and 518 Sphingobacterium composti) and some yeasts (Cyberlindnera jardinii and Barnettozyma 519 *californica*). Synergic effects have been also discussed. Experiments developed in 50L 520 bioreactors reached a high temperature. This modified the microbial communities and lead to 521 a lower enzymatic productivity. Additionally, the developed process presented low gaseous 522 emissions, therefore, it can be considered as a robust and environmentally friendly process. 523 524

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- 531
- 532

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#### 682 Figure captions

683

Figure 1. Operation profile of sOUR and temperature of the propagation reactors P1, P2 and
P3 (4.5L) of a specialized inoculum using coffee husk as substrate.

686

Figure 2. Operation profile of a) sOUR and Temperature and b) FPase and Xyl production
profile in a pilot reactor (50L) using a propagated specialized inoculum and coffee husk as
substrate, during 134 h. Xyl activity was expressed as U g<sup>-1</sup>DM and FPase was expressed as
FPU g<sup>-1</sup>DM.

691

Figure 3. Cumulative gaseous emissions generated during pilot scale solid-state fermentationusing a specialized inoculum and coffee husk as substrate.

694

Figure 4. Operation profile of sOUR and temperature of the three replicates of the pilot solidstate fermentation R1, R2 and R3 (50L) using a propagated specialized inoculum with coffee
husk as substrate.

698

Figure 5. Bacterial distributions at the family level according to the 16s sequencing for the final products of propagation reactors (P1, P2 and P3) and pilot fermentations at highest cellulase activity (R1, R2 and R3). Only families with a relative abundance >1% in all samples are depicted. Families detected with a relative abundance < 1% in these samples are grouped as "others".

| 704 | Figure 6. Fungal distributions at the family level according to the ITS sequencing for the  |
|-----|---|
| 705 | final products of propagation reactors (P1, P2 and P3) and pilot fermentations at highest   |
| 706 | cellulase activity (R1, R2 and R3). Only families with a relative abundance >1% in all      |
| 707 | samples are depicted. Families detected with a relative abundance < 1% in these samples are |
| 708 | grouped as "others".  |
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**Figure 1.** 



**Figure 2.** 





**Figure 3.** 



**Figure 4.** 





| Substrate                | Amount | Inoculum        | SSF time | FPase                    | Xyl            | References                 |
|--------------------------|--------|-----------------|----------|--------------------------|----------------|----------------------------|
|                          | (g)    |                 | (h)      | (FPU g <sup>-1</sup> DM) | $(U g^{-1}DM)$ |                            |
| Wheat straw              | 25     | T. reesei       | 240      | 1.2                      | n.r            | (Lever et al., 2010)       |
| Soybean hulls/Wheat bran | 100    | A. oryzae       | 96       | 10.8                     | 504.9          | (Brijwani & Vadlani, 2011) |
| Rice straw               | 10     | A. níger        | 96       | 9.0                      | 936.1          | (Dhillon et al., 2011)     |
| Sugarcane bagasse        | 5      | P. ostreatus    | 72-120   | 0.25                     | 11.0           | (Membrillo et al., 2011)   |
| Wheat bran               | 10     | A. níger        | 96       | 13.6                     | 2601           | (Bansal et al., 2012)      |
| Apple pomace             |        | A. níger        | 96       | 383.7                    | 4868           | (Dhillon et al., 2012a)    |
| Rice husk                | 5      | A. níger        | 96       | 3.1                      | n.r            | (Bansal et al., 2012)      |
| Orange peels             | 5      | A. níger        | 96       | 1.9                      | n.r            | (Martorell et al., 2012)   |
| Wheat straw              | 5      | A. níger        | 96       | 13.6                     | n.r            | (Martorell et al., 2012)   |
| Palm oil mill waste      | 1      | A. turbingensis | 120      | 2.4                      | 11.8           | (Bahera et al., 2016)      |
| Wheat bran/soybean bran  | 5      | A. fumigatus    | 120      | 5.0                      | 10.6           | (Delabona et al., 2013)    |
| Wheat bran               | 1,000  | T. reesei       | 96       | 8.2                      | n.r            | (Ortiz et al., 2015)       |
| Coffee husk              | 1,200  | Specialized     | 48       | 8-9                      | n.r            | (Cerda et al., 2017)       |
|                          |        | consortium      |          |                          |                |                            |
| Coffee husk              | 15,200 | Specialized     | 24       | 3.08                     | 44.51          | This work                  |
|                          |        | consortium      |          |                          |                |                            |

**Table 1.** Summary of maximum cellulase and xylanase activities production (FPase and Xyl) obtained by SSF.n.r: not reported.

| Table 2.Cellulase and xylanase yields obtained in the three replicates of the pilot solid-state |
|---|
| fermentation. Values of each enzymatic activity that do not share a letter are significantly    |
| different. n.m not measured.  |

| Replicate                 | FPase                    | Xyl            |
|---------------------------|--------------------------|----------------|
|                           | (FPU g <sup>-1</sup> DM) | $(U g^{-1}DM)$ |
| R1                        | 3.6±0.2(A)               | 43±3(F)        |
| R2                        | 2.9±0.3(B)               | (n.m)          |
| R3                        | 2.9±0.4(B)               | 48±5(F)        |
| Average $\pm$ s.d         | 3.1±0.5                  | 45±8           |
| Variation coefficient (%) | 16%                      | 19%            |