

ORIGINAL CONTRIBUTION: QUANTIFICATION OF VAPORIZED TARGETED  
NANODROPLETS USING HIGH FRAME RATE ULTRASOUND AND OPTICS

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1 **Abstract-** Molecular targeted nanodroplets, promising to extravasate beyond the vascular  
2 space, have great potential to improve tumor detection and characterization. High frame rate  
3 ultrasound, on the other hand, is an emerging tool for imaging at a frame rate 1-2 orders of  
4 magnitude higher than common existing ultrasound operating systems. In this study, we used  
5 high frame rate ultrasound combined with optics to study the acoustic response and size  
6 distribution of Folate Receptor (FR) - targeted versus Non-Targeted (NT)-nanodroplets *in*  
7 *vitro* with MDA-MB-231 breast cancer cells immediately after ultrasound activation. A flow  
8 velocity mapping technique, Stokes' theory, and optical microscopy were used to estimate the  
9 size of both floating and attached vaporized nanodroplets immediately after activation. It was  
10 found that the size of floating vaporized nanodroplets was on average more than 7 times  
11 larger than the size of vaporized nanodroplets attached to the cells. The results also showed  
12 that the acoustic signal of vaporized FR-nanodroplets was persistent after activation, with  
13 70% of the acoustic signals still present 1 second after activation, compared to the vaporized  
14 NT-nanodroplets where only 40% of the acoustic signal remains. The optical microscopic  
15 images showed on average 6 times more vaporized FR-nanodroplets generated with a wider  
16 range of diameters (from 4 to 68  $\mu\text{m}$ ) that still attach to the cells compared to vaporized NT-  
17 nanodroplets (from 1 to 7  $\mu\text{m}$ ) with non-specific binding after activation. It was also found  
18 that the mean size of attached vaporized FR-nanodroplets was on average about 3-fold larger  
19 than that of attached vaporized NT-nanodroplets. The study offers an improved  
20 understanding of the vaporization of the targeted nanodroplets in terms of their sizes and  
21 acoustic response in comparison with non-targeted ones, taking advantage of high-frame-rate  
22 contrast-enhanced ultrasound and optical microscopy. Such understanding would help design  
23 optimized methodology for imaging and therapeutic applications.

24

25 **Key words:** Targeted Molecular Imaging, Nanodroplets, Phase-Change Contrast Agents,  
26 Microbubbles.

## INTRODUCTION

Medical ultrasound imaging has been regarded as a highly accessible and affordable tool that can provide high-resolution and real-time images without any ionising radiation. The advent of contrast-enhanced ultrasound (CEUS) with microbubble contrast agents, together with the development of contrast specific pulse sequences have significantly increased imaging sensitivity from within the blood (Eckersley, et al. 2005). Furthermore, the microbubbles have also been used in ultrasound-mediated therapies as well (Dijkmans, et al. 2004). Recently the field has seen new developments in high-frame-rate CEUS imaging (Leow, et al. 2015, Tremblay-Darveau, et al. 2014).

It has been demonstrated that low-boiling-point perfluorocarbon nanodroplets can be formed simply by condensing their perfluorocarbon microbubbles with increasing pressure and decreasing temperature (Sheeran, et al. 2011). These low-boiling-point nanodroplets can be rapidly activated to microbubbles by the application of external acoustic pressure, commonly referred to as ‘acoustic droplet vaporisation (ADV)’ (Kripfgans, et al. 2000). These nanodroplets mainly have three advantages compared to micorbubbles in the context of CEUS imaging applications. First, nanodroplets have smaller diameters than microbubbles which enables them to potentially go beyond the vascular region not accessible to microbubbles. Second, nanodroplets have longer half-life than microbubbles under in vivo conditions. Third, nanodroplets can be activated into microbubbles in any preset time and location (Sheeran and Dayton 2014). Such features of nanodroplets have enabled their applications for super-resolution imaging (Zhang, et al. 2018, Zhang, et al. 2018), dual-modality imaging (Lin, et al. 2017), High Intensity Focused Ultrasound (HIFU), therapeutic histotripsy (Aydin, et al. 2016, Moyer, et al. 2015), sonoporation (Liu, et al. 2016), chemotherapy (Zhu, et al. 2017) and drug & gene delivery (Zhou, et al. 2014). In biology and tissue engineering, nanodroplets can also be used for DNA fragmentation (Kasoji, et al. 2015) and acoustically responsive scaffolds (Moncion, et al. 2016) where microbubble contrast agents cannot.

1 In cancer imaging and therapy, nanodroplets could play an important role as they are  
2 approximately 3 to 6 times smaller in diameter than microbubbles prior to vaporisation theoretically  
3 (Mercado, et al. 2016, Oleksandr, et al. 2013) and approximately 12 fold smaller in diameter  
4 experimentally (Sheeran, et al. 2015). These nanodroplets have the potential to extravasate from the  
5 vascular endothelium to the cancerous tissue and form target-specific binding. Once bound, the  
6 targeted nanodroplets can be vaporized into microbubbles for imaging and therapeutic purposes.  
7 The maximum particle extravasation size from the vasculature is approximately 10 nm in normal  
8 tissue whereas the gap junction between the porous tumour endothelium may be as large as 200 nm  
9 (Ji, et al. 2013). The enhanced permeability and retention (EPR) effect increases the passive  
10 accumulation of nanoparticles (50-200nm) in tumour tissue. It has been found that the nanodrug  
11 delivery to tumors by the EPR effect can only offer a 2-fold improvement in nanodrug delivery  
12 compared with normal tissue, which results in insufficient drug concentration for treating most  
13 cancers (Nakamura, et al. 2016). With respect to cancer, it has been found that the folate-receptor  
14 (FR) is overexpressed on the surface of breast, ovarian, cervical, and colorectal cancer cells but not  
15 in normal human tissue (Parker, et al. 2005). Previous literature has reported the treatment of  
16 ovarian cancer in Phase II clinical trials using a FR-targeted chemotherapeutic agent combined with  
17 FR-targeted SPECT imaging agents (Morris, et al. 2014, Naumann, et al. 2013). In addition, recent  
18 work (Hadinger, et al. 2018, Marshalek, et al. 2016) using FR-targeted nanodroplets has  
19 demonstrated that targeted agents can also selectively promote intracellular delivery which can be  
20 incorporated as a strategy for using nanodroplets for even more precise cancer cell imaging and  
21 therapy (Matsunaga, et al. 2012).

22 The low-boiling-point decafluorobutane nanodroplets (boiling point = - 2°C) have been  
23 investigated for imaging purposes (Zhang, et al. 2018, Zhang, et al. 2017). The first ultrasound  
24 molecular imaging using low-boiling-point nanodroplets was demonstrated in 2013 (Sheeran, et al.  
25 2013). As proof-of-principle, Sheeran and co-workers used RGD peptide-targeted nanodroplets to  
26 target the overexpressed  $\alpha_v\beta_3$  integrin on human umbilical vein endothelial cells. However, this

1 study was performed using a clinical Siemens imaging systems and therefore the imaging frame rate  
2 was limited to 30 Hz. Using confocal microscopy, Marshalek et al. have shown the capability of  
3 low-boiling-point FR-nanodroplets to target MDA-MB-231 breast cancer cells followed by  
4 internalisation via folate-receptor mediated endocytosis (Marshalek, et al. 2016). However, some  
5 important aspects of the targeted nanodroplet immediately after vaporisation, including the acoustic  
6 response and size distribution of the resultant microbubbles have not been fully understood.

7 In this study, we investigated the acoustic response and size distribution of vaporized folate-  
8 receptor targeted nanodroplets with MDA-MB-231 breast cancer cells using high frame rate  
9 ultrasound and optics. The study offers an improved understanding of the vaporization of the  
10 targeted nanodroplets in terms of their sizes and acoustic response in comparison with non-targeted  
11 ones, taking advantage of high-frame-rate contrast-enhanced ultrasound and optical microscopy.  
12 Such understanding would help design optimized methodology for imaging and therapeutic  
13 applications.

14

## 15 MATERIALS AND METHODS

### 16 *Preparation & Characterization of Nanodroplets*

17 Preparation of nanodroplet solutions was adapted from previously described methods  
18 (Marshalek, et al. 2016). For the non-targeted (NT) -nanodroplet lipid solution, the lipid colloid was  
19 generated by dissolving a 9:1 molar ratio of 1,2-dipalmitoyl-sn-glycero-3-phosphocholine (DPPC)  
20 and 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-[amino(polyethylene glycol)-2000]  
21 (DSPE-PEG-2000) into a solution of phosphate-buffered saline (PBS), propylene glycol, and  
22 glycerol (16:3:1, volume: volume) to achieve a total lipid concentration of 1 mg/mL (Avanti Polar  
23 Lipids, Alabaster, AL, USA). For the FR-targeted lipid solution (FR-nanodroplets), 1,2-distearoyl-  
24 sn-glycero-3-phosphoethanolamine-N-[folate(polyethylene glycol)-2000] (DSPE-PEG-2000-Folate)  
25 was added to the mixture of lipid powder to maintain a 9:0.8:0.2 molar ratio of DPPC, DSPE-PEG-  
26 2000, and DSPE-PEG-2000-Folate respectively. The mixture of lipid powder was also dissolved

1 into a solution of PBS, propylene glycol, and glycerol (16:3:1, volume: volume) to achieve a total  
2 lipid concentration of 1 mg/mL (Avanti Polar Lipids, Alabaster, AL, USA). The DSPE-PEG-2000-  
3 Folate lipid bioconjugate was prepared following a previous described method (Chen, et al. 2013).  
4 A volume of 1 mL of lipid solution was added to a 2 mL glass vial.

5 The headspace of the vial was purged with decafluorobutane gas (Fluoromed, Round Rock,  
6 TX, USA) via an inlet needle and the contents equilibrated with a vent needle. Mechanical agitation  
7 was applied using a modified amalgamator (Bristol-Myers-Squibb, New York, NY, USA) to  
8 produce the stable microbubbles.

9 For condensing microbubbles into nanodroplets, the headspace of the vial was pressurised  
10 according to previous methods (Zhang, et al. 2018). Briefly, the vials of microbubbles were  
11 immersed in an ice-salt bath (-5 °C to -10 °C) followed by pressurization with ambient air into the  
12 vial septum.

13 A NanoSight NS300 (Malvern Instruments, Inc., Malvern, UK) was used to measure the  
14 size and concentration of both FR- and NT-nanodroplet solutions via nanoparticle tracking analysis  
15 (NTA); the nanoparticle measurement range being 10 nm to 1000 nm. Both nanodroplet solutions  
16 were diluted 1000-fold in deionised water. Three (3) samples were prepared for each nanodroplet  
17 solution and 3 measurements were performed for each sample. For both FR- and NT-nanodroplet  
18 solutions, 9 measurements in total (N=9) were performed to obtain the mean values of size and  
19 concentration of each nanodroplet solution. Five vials of nanodroplet solution were consolidated to  
20 form the final nanodroplet solution for the experiments.

21

## 22 *Cell culture*

23 The immortalised human breast cancer cell line, MDA-MB-231, was obtained from an  
24 immortalized cell line maintained at the Hammersmith Hospital campus at Imperial College London.  
25 Cells were grown in RPMI-1640 Medium supplemented with 10% fetal bovine serum (FBS), 2mM  
26 L-glutamine, 100 U/mL penicillin and 100µg/mL streptomycin (Sigma-Aldrich, St. Louis, Missouri,

1 USA). Cells were cultured in a T75 culture flask at 37 °C in an incubator with 5% CO<sub>2</sub>. The mean  
2 diameter of the cells were about 20-30 μm as noted by prior literature (Kim, et al. 2007).

3

#### 4 *Experimental set-up*

5 MDA-MB-231 cells were detached with 0.25% trypsin-ethylenediaminetetraaceticacid  
6 (EDTA) (Sigma-Aldrich, Missouri, USA). Detached cells were neutralised using full serum media  
7 and centrifuged at 1300 rpm for 5 minutes. Cell pellets were resuspended in 1 mL of media before  
8 seeding 2.2 million cells with 10 mL of media into an optically and acoustically transparent Opticell  
9 chamber (Nalge Nunc International, Rochester, USA). The inner dimensions of the Opticell  
10 chamber are 7.6 cm x 6.6 cm x 0.2 cm respectively. The thickness of the MDA-MB-231 breast  
11 cancer cell layer is normally about 5 μm according to previous literature (Winnard, et al. 2008).  
12 After 24 hours of incubation, 0.2 mL of nanodroplet solution ( $\sim 1.1 \times 10^9$  nanodroplets) were added  
13 to the media and the diluted solution mixed well before being injected into each Opticell chamber.  
14 The chamber was incubated at 37°C for 10 minutes. After incubation, media was aspirated and cells  
15 were washed with additional media three times to remove any residual unbound nanodroplets from  
16 the Opticell Chamber. The Opticell chamber was then immersed and equilibrated in a 37 °C  
17 temperature-controlled water tank. The transducer was held 15mm above the top surface of the  
18 Opticell chamber as illustrated in Figure 1. Acoustic absorbers were placed at the bottom of the  
19 water tank to reduce ultrasound reflection. Immediately after the acquisition of ultrasound data, the  
20 Opticell chambers were transferred to obtain the microscopic imaging. Images were obtained using  
21 a Nikon DXM-1200C (Nikon Instruments Inc.) equipped with a 40× objective lens and a numerical  
22 aperture (NA) of 0.65. The resolution of the microscope is 0.1613 μm/pixel. The microscopic  
23 imaging plane was focused directly on the breast cancer cells fixed to the bottom plane of the  
24 Opticell chamber. As a reference point for microscopic imaging, the Opticell chamber under the  
25 transducer where ultrasonic activation conducted was marked. For each Opticell chamber, five  
26 independent optical measurements in different regions were acquired before and after ultrasound

1 exposure to observe the newly vaporized nanodroplets attached to the cell surface. Two Opticell  
2 chambers were used for FR- nanodroplets and another two for NT- nanodroplets.

3

#### 4 *Imaging Acquisition & Analysis*

5 A customised ‘Imaging-Activation-Imaging’ acquisition sequence as demonstrated in Table  
6 1 was implemented on an ultrasound research platform (Verasonics Vantage 128, Kirkland, USA)  
7 with a L11-4 38-mm linear array probe (ATL, USA) (Lin, et al. 2016). The spatial and temporal  
8 peak-negative-pressure (PNP) was measured by hydrophone calibration as described by Lin (Lin, et  
9 al. 2017). The acoustic vaporisation of nanodroplets were achieved by electronically sweeping of  
10 the transmitted focus waves (8-MHz, 5-cycle sinusoidal waves with peak negative pressure of 5.37  
11 MPa, f-number=0.4, axial focus=15 mm) over the depth of interest. Only one activation focus-pulse  
12 was transmitted to activate the nanodroplets. For imaging, a coherent compounding plane wave  
13 pulse inversion technique was employed to form an image (Montaldo, et al. 2009). Plane waves  
14 were transmitted at 9 different angles (Angle range:  $-10^{\circ}$  to  $10^{\circ}$ ) at 4 MHz with a PNP of 0.20 MPa  
15 was transmitted. The ultrasound imaging resolution cell size was 0.56 x 0.64 x 1.5 mm respectively  
16 in the axial, lateral and elevational directions. Similar to conventional pulse inversion technique, a  
17 ‘positive’ and a ‘negative’ pulses were acquired at each transmission angle to suppress tissue signal  
18 and enhance microbubble signal (Shen, et al. 2005). Fifty (50) pre- and 500 post-activation images  
19 were collected at a rate of 500 frames per second. A differential imaging technique is applied to  
20 remove the background signal (Brown, et al. 2017). Frames after activation were subtracted by the  
21 average of frames before activation to remove background signals. The region of interest (ROI) was  
22 defined by the bottom plane (indicated by the blue box between 16 to 17 mm in Figure 4) of the  
23 Opticell chamber where MDA-MB-231 breast cancer cells were attached. Customized Matlab  
24 scripts were written to enable all the analyses and calculations. All the images were shown in grey  
25 scale where each pixel in the image was normalized by the maximum pixel value.

26



## 1 *Flow velocity mapping & Size estimation*

2 After ultrasonic exposure of FR-nanodroplets, a portion of resultant microbubbles were still  
3 attached to the cells whereas another portion of large bubbles floated up due to buoyancy as  
4 illustrated in Figure 2.

5 The vaporized-nanodroplet (microbubble) rising velocity in the stagnant liquid is mainly  
6 governed by the buoyancy force and the drag force experienced by the vaporized nanodroplets. In  
7 the force balance approach, the rising velocity is calculated from the balance of buoyancy and drag  
8 force. The rising velocity of vaporized nanodroplets in a viscous liquid at low Reynolds number can  
9 be expressed by the equation (1) below:

$$10 \quad V = \frac{(\rho_l - \rho_g)gD_b^2}{18\mu_l} \quad (1)$$

11 Calculations were adapted from Stokes' equation (Parmar and Majumder 2015) where V is  
12 the rising velocity,  $D_b$  is the diameter of microbubble,  $\rho_l$  is the density of liquid at 37 °C  
13 (approximately 1 g/cm<sup>3</sup>),  $\rho_g$  is the density of gas at 37 °C (11.2 kg/m<sup>3</sup>), g is the gravity acceleration  
14 (9.8 m/s<sup>2</sup>) and  $\mu_l$  is the viscosity of liquid at 37 °C ( $8.90 \times 10^{-3}$  dyne s/cm<sup>2</sup>).

15 The size distribution of the attached vaporized nanodroplets can be directly estimated from  
16 microscopic images. Individual vaporized nanodroplets were segmented out of the images (T.J  
17 Atherton 1999) to estimate the diameter distribution of both vaporized FR- and NT-nanodroplets  
18 that remain attached to the cells. A customized Matlab script was written to perform the  
19 segmentation to separate the microbubbles out and measure their diameters. The size distribution of  
20 floating vaporized nanodroplets can be estimated by tracking average rising velocities of the newly  
21 vaporized nanodroplets immediately after acoustic activation using the flow velocity mapping  
22 technique described previously (Leow, et al. 2015) combined with Stokes' equation (Parmar and  
23 Majumder 2015). Briefly, the cross-correlation analysis was performed to compute a local velocity  
24 between the corresponding interrogation windows of two neighbouring ultrasound frames. A  
25 velocity map was displayed with color-coded velocity vectors. The full flow field within the

1 imaging plane of Opticell chamber was estimated and therefore flow velocity within any point in  
2 the imaging plane could be determined. The number of vectors and their locations in the  
3 image/video were chosen for visualization purpose. By estimating the average flow velocity in the  
4 resolution cell in all individual trials, the average size of bubble population could be obtained via  
5 Stokes' equation.

6 Figure 3 shows a representative flow velocity mapping of vaporized nanodroplets  
7 immediately after activation (supplementary video data 2). The movement of the vaporized  
8 nanodroplets could be visualized and the corresponding average flow velocity could be obtained.  
9 The average flow velocity obtained from flow velocity mapping was used for the size estimation  
10 combined with equation (1).

11

## 12 *Statistical analysis*

13 All data were expressed as the mean  $\pm$  standard deviation. Statistical significances were  
14 analyzed using the two-sample Student's t-test (with the assumption of t-distribution) and two-  
15 sample z-test (with the assumption of normal distribution) according to the sample size. The  
16 Student's t-test was used to compare two sets of data with sample size smaller than 30 while the  
17 two-sample z-test was used to compare two sets of data with sample size larger than 30. A \*p-  
18 value<0.05 was considered statistically significant, a \*\*p-value<0.01 strongly significant, and a  
19 \*\*\*p-value<0.001 was highly significant.

20

21

## RESULTS

### 22 *Characterization of Nanodroplets*

23 The size distribution of NT-nanodroplets and FR-nanodroplets measured using Nanoparticle  
24 Tracking Analysis (NTA) are illustrated in Figure 4. No statistically significant differences were  
25 found for the mean diameters of NT-nanodroplets and FR-nanodroplets (N=9). The mean diameters  
26 of NT-nanodroplets and FR-nanodroplets were  $118.7\pm 6.7$  nm and  $116.8\pm 5.9$  nm, respectively and

1 were within the size range for promoting EPR effect (up to 400 nm) demonstrated by the previous  
2 study (Ernsting, et al. 2013). The concentration of NT- and FR-nanodroplets were  $(3.51\pm 0.26)\times 10^{10}$   
3 droplets/mL and  $(3.45\pm 0.31)\times 10^{10}$  droplets/mL respectively.

4

#### 5 *Acoustic response of vaporized nanodroplets*

6 Figure 5 shows the temporal snapshots of FR and NT-nanodroplets in the Opticell chamber  
7 0.002 s before, and 0.002 s and 1 s after activation (Supplementary video data 1). The bottom plane  
8 where the cells were located was between 16 and 17 mm. More persistent and visible ultrasound  
9 contrast signal could be seen for vaporised FR-nanodroplets compared to vaporised NT-  
10 nanodroplets 1 s after activation.

11 Figure 6 shows the quantitative analysis of acoustic response (i.e. the grayscale value) of  
12 vaporized FR- and NT- nanodroplets. First, the normalised contrast amplitude of FR-nanodroplets  
13 is significantly higher than the NT-nanodroplets. Secondly, it was observed that contrast signal of  
14 FR-nanodroplets was relatively persistent after activation, with 70% of the acoustic signals  
15 remaining 1s after activation, compared to the NT-nanodroplets where only 40% of the contrast  
16 signals remained. The fast decay of contrast signal from NT-nanodroplets could also be observed  
17 compared to the FR-nanodroplets.

18

#### 19 *Size comparison between floating and attached vaporized nanodroplets*

20 Figure 7 shows the acquired microscopic images focused at the bottom of Opticell chamber  
21 before and after ultrasound exposure. Figure 8 shows the quantification of size distribution of both  
22 vaporized FR- and NT- nanodroplets attached to the cells. The number of vaporized FR-  
23 nanodroplets observed on the bottom plane was 6.3 times larger than that of vaporized NT-  
24 nanodroplets. The vaporized FR-nanodroplets had significantly larger diameters (mean=9.8  $\mu\text{m}$ )  
25 compared to the vaporized NT-nanodroplets (mean=3.6  $\mu\text{m}$ ). Moreover, the vaporized attached FR-  
26 nanodroplets had a diameter distribution between 4 to 68  $\mu\text{m}$  whereas the vaporized attached NT-

1 nanodroplets possessed a much narrower range (from 1 to 7  $\mu\text{m}$ ). Although there were some small  
2 vaporized NT-nanodroplets that could be seen, they were not adherent to the cells according to  
3 Figure 8.

4         The size distribution of attached vaporized nanodroplets were obtained using the microscope  
5 imaging and microbubble segmentation (Sennoga, et al. 2010) while the size distribution of floating  
6 vaporized nanodroplets were obtained using ultrasound imaging, flow velocity mapping and Stokes'  
7 theory. These two sets of data are shown in a box plot in Figure 9 for comparison. The mean  
8 diameter of FR- and NT- vaporized nanodroplets were 70  $\mu\text{m}$  and 75  $\mu\text{m}$  respectively. The  
9 maximum diameter estimated was approximately 150  $\mu\text{m}$ . The average size of floating vaporized  
10 nanodroplets was significantly larger than that of cell-surface bound microbubbles generated in both  
11 cases. However, for the attached vaporized FR-nanodroplets, the maximum diameter of all  
12 microbubbles measured on the cell membrane was 68  $\mu\text{m}$  as observed in Figure 7.

13

## 14 DISCUSSION

15         Targeted nanodroplets for molecular imaging has the potential of a wide range of clinical  
16 and pre-clinical applications in cancer and cardiovascular diseases (Marshalek, et al. 2016, Porter,  
17 et al. 2016). The study offers an improved understanding of the vaporization of the targeted  
18 nanodroplets in terms of their sizes and acoustic response in comparison with non-targeted ones,  
19 taking advantage of high-frame-rate contrast-enhanced ultrasound and optical microscopy. Such  
20 understanding would help design optimized methodology for imaging and therapeutic applications.  
21 One potential quantity of interest is the surface density of the ligand of interest. This could  
22 potentially be achieved through quantifying the vaporized targeted droplets which currently will  
23 require further studies.

24         For imaging applications, the bioeffects induced after the activation of nanodroplets is  
25 required to be minimal for safety consideration. Any vaporized droplets with a diameter larger than,  
26 e.g. 10 microns, could cause occlusion in the microcirculation, and an increased risk of cavitation

1 induced bioeffects and should be avoided (Sheeran, et al. 2016). For therapeutic applications such  
2 as HIFU and histotripsy, the larger size of activated nanodroplets can facilitate cavitation to achieve  
3 better therapeutic performance (Moyer, et al. 2015, Vlasisavljevich, et al. 2017). Therefore, the size  
4 distribution of the nanodroplets is important for each specific applications.

5

#### 6 *Comparison with the existing literatures*

7 The binding efficiency of the FR-nanodroplets in this study was about 2.4 activated  
8 nanodroplets per cell after activation according to the experimental results. For the size of targeted  
9 vaporized nanodroplets, Marshalek and coworkers also used the same nanodroplets to target the  
10 MDA-MB-231 breast cancer cells, however that work only focused on the size of the vaporized  
11 nanodroplets internalized within the cells rather than those attached to the cell surface (Marshalek,  
12 et al. 2016). Sheeran et al., 2013 used RGD targeted nanodroplets to target the HUVEC cells and  
13 their results show the size of vaporized targeted nanodroplets ranged from 1-3  $\mu\text{m}$  (Sheeran, et al.  
14 2013). In our study, a wide range of diameters (from 4 to 68  $\mu\text{m}$ ) of vaporized targeted nanodroplets  
15 could be observed by microscopic images. This could be due to the concentration used, the  
16 ultrasound pulse sequence employed and also different targeted ligands used. Future studies on such  
17 effects needs to be conducted. For the size of floating vaporized nanodroplets dislodged from cells,  
18 there is no previous literature reported on this topic to the best of our knowledge.

19 Different targeting ligands may have different affinities to the receptors on cells  
20 (Toporkiewicz, et al. 2015). If one targeting ligand has a very high affinity to the receptor, there  
21 will be more droplets attached on cells prior to activation. The high concentration of bubbles  
22 generated by ultrasound-mediated activation could likely lead to diffusion/coalescence on the  
23 membrane surface. However, the assumption was made that diffusion/coalescence could as well  
24 occur on the cell surface. Therefore, the size may also change due to different targeting ligands.

25

#### 26 *Acoustic response immediately after activation*

1           There is a sharp decrease in the acoustic signal the first 100ms immediately following  
2 activation shown in Figure 5. This is likely because the large-sized newly vaporized nanodroplets  
3 float up to the top plane of the Opticell chamber when experiencing buoyant force. As vaporized  
4 nanodroplets moved away from the ROI as illustrated in Figure 5, there was a significant decrease  
5 in the acoustic signal. The populations of newly vaporized nanodroplets underwent some dynamic  
6 processes such as gas diffusion in/out of the microbubbles and the coalescence of microbubbles  
7 within the first 100ms (Lajoinie, et al. 2014, Raymond, et al. 2016). Moreover, the formation of the  
8 vortex due to the high MI activation pulse could also push the generated microbubbles out of the  
9 imaging plane as there was no confinement in the elevational direction in the Opticell chamber  
10 (Raymond, et al. 2016). The acoustic signal of newly vaporized NT-nanodroplets does not  
11 disappear at the end of 1 second post-activation. This is mainly because the NT-nanodroplets are  
12 subjected to non-specific binding to cells. It is feasible that the lipid component could possibly  
13 infuse into the cell membrane bilayers to contribute to the non-specific binding (Escribá, et al.  
14 2008). Moreover, the acoustic signal decay may also be related to the cancer cell studied, the target  
15 ligand chosen (Marshalek, et al. 2016), the activation and imaging pulses (Sheeran, et al. 2013), and  
16 the confinement of experimental environment (Lin, et al. 2017) as the Opticell chamber is a  
17 confined environment.

18

#### 19 *Size distribution of attached vaporized nanodroplets*

20           After activation, vaporized FR-nanodroplets were expected to remain on the bottom plane  
21 due to FR-binding to the cell membrane. While the successful binding and vaporization could be  
22 realized using nanodroplets, the maximum diameter of vaporized nanodroplets attached to the cells  
23 were as high as 68  $\mu\text{m}$  which was larger than the mean diameter of the microbubble population  
24 before condensation (which has a peak size distribution centered approximately around 0.5 – 6  
25 microns). The large FR-microbubbles (68  $\mu\text{m}$ ) were bound to the targeted cells despite the  
26 relatively large buoyant force. There are a few possible explanations for the large newly generated

1 microbubbles. First, from a chemistry perspective, the monolayer of the lipid shell will be zipped to  
2 form bilayer strands from the microbubble condensation to nanodroplets, followed by the bilayer  
3 strands being unzipped after activation to reform the monolayer (Mountford, et al. 2015, Sheeran, et  
4 al. 2016). The unstable vaporized nanodroplets may lack some lipids immediately after acoustic  
5 activation and subsequently may tend to coalesce to share more lipid shell to promote the formation  
6 of larger microbubbles. In addition, a larger activation energy barrier is required to achieve the  
7 homogenous nucleation of those nanodroplets of smaller diameters (less than 100nm) (Mountford,  
8 et al. 2015), thus that the nanodroplet size outliers (diameter larger than 1000nm) are easier to be  
9 activated to form larger vaporized nanodroplets. Second, from an imaging perspective, the high  
10 frame rate ultrasound imaging pulse from transducer may ‘push’ the microbubbles to coalesce to  
11 larger ones (Postema, et al. 2004). The secondary radiation force may also affect the microbubble  
12 coalescence as described in the literature (Antonios and James 2016, Himanshu, et al. 2017). Finally,  
13 from an activation perspective, although the activation frequency (8MHz) used in this study was  
14 higher than the activation frequency used in some previous studies (Sheeran, et al. 2016, Sheeran, et  
15 al. 2015), it may still not be high enough to completely vaporize all the nanodroplets, especially  
16 those which are small in size, due to the lack of a ‘superharmonic focusing’ effect which initiates  
17 the nanodroplet nucleation (Shpak, et al. 2014).

18         Moreover, the mean size of attached vaporized FR-nanodroplets was about 3 times larger  
19 than that of attached vaporized NT-nanodroplets. This may be because more FR-nanodroplets  
20 attached to the MDA-MB-231 cells than NT-nanodroplets prior to ultrasound activation. Thus, after  
21 activation, there were more vaporized FR-nanodroplets undergoing diffusion/coalescence to form  
22 larger microbubbles.

23         While the aggregation of nanodroplets once injected into the Opticell chamber cannot be  
24 absolutely rule out, the nanodroplet membranes do contain PEG which has a tendency to retard this  
25 phenomenon. Moreover, the aggregation of both kinds of nanodroplets was not observed under the  
26 microscope prior to activation as the resolution of the microscope is 0.1613  $\mu\text{m}/\text{pixel}$ .

1

## 2 *Spontaneous vaporization of nanodroplets*

3           Spontaneous vaporisation of FR-nanodroplets could be seen before ultrasonic activation.  
4 This is due to the absorption of the thermal energy (temperature = 37 °C) provided by the chamber  
5 environment. In this study, a high acoustic pressure (MI=1.9) activation pulse (with 10 half-cycles)  
6 was expected to destroy the existing spontaneously vaporized nanodroplets (William, et al. 2006)  
7 and also generate newly ultrasound-activated nanodroplets. Hence, most of the vaporized  
8 nanodroplets shown after ultrasound activation were expected to be the vaporized nanodroplets  
9 activated by the ultrasound pulse.

10           There were possible interactions between the spontaneously vaporized nanodroplets and  
11 nanodroplets under ultrasound. Thus, the presence of these spontaneously vaporized nanodroplets  
12 could have an influence on the activation process of the nanodroplets in their proximity by  
13 potentially assisting in the activation of nanodroplets.

14           It is possible that a small amount of spontaneously vaporized droplets would still persist  
15 after activation (attached/dislodged). However, since this will occur for both FR- and NT-  
16 experiments, the significant difference of size comparison was not expected to be affected.

17           A ‘no activation pulse’ control experiment was performed compared to an experiment with  
18 activation pulses and the results were shown in the Figure 10 below. As can be seen from the figure  
19 that the low-pressure imaging pulses were shown to not able to destroy any of the spontaneously  
20 vaporized droplets. For the experiment with imaging and activation pulses, as indicated by the red  
21 arrows on the figure, it can be clearly seen that the spontaneously vaporized droplets were destroyed  
22 by the activation pulses.

23

## 24 *Flow velocity mapping*

25           With respects to flow velocity mapping, it was difficult to associate the velocity vectors with  
26 individual vaporized nanodroplets due to the large resolution cell size which might contain a



1 number of vaporized nanodroplets. The signal amplitude within such a resolution cell is weighted  
2 by the individual bubble signal strengths which may be highly size dependent. Furthermore, it could  
3 be potentially affected by a number of elements, such as complex function of scattering, resonance  
4 and nonlinear detection scheme effects etc. However, we believe the size estimation approach used  
5 in this study is still able to demonstrate the average size range of the vaporized targeted droplets and  
6 help our understanding of their behavior after activation.

7 The imaging frequency used in this study was 4 MHz, which may not show well the small  
8 bubbles generated. The generated bubbles with approximately 2  $\mu\text{m}$  may resonate at this imaging  
9 frequency according to a previous literature (Tang and Eckersley 2006).

10

#### 11 *Assumption of Stokes' equation*

12 The Stokes' equation was used as a mathematical model to convert the rise velocity to the  
13 diameter of rising microbubbles (Parmar and Majumder 2015). There are three assumptions for  
14 applying this equation. First, it is assumed that the microbubbles are perfectly spherical. Second, it  
15 is assumed that there is no internal gas circulation within the microbubbles and no slip exists at the  
16 boundary. Third, it is assumed that the liquid inside the chamber is stagnant. In this study, the  
17 activation pulse may induce a flow inside the chamber. However, as can be seen from Figure 8, the  
18 flow was still dominated by the rising microbubbles. It may be possible that some non-gaseous  
19 particles may have detached from the bottom of Opticell chamber with the floating microbubbles,  
20 however, no non-gaseous attachment to the bubbles can be observed.

21

#### 22 *Size of nanodroplets before activation*

23 The unfiltered (without size manipulation) FR- and NT-nanodroplets were used in this study.  
24 It is noted that the presence of nanodroplet size outliers may potentially affect the performance of  
25 acoustic vaporization of nanodroplets to give larger sizes of vaporized nanodroplets (Sheeran, et al.  
26 2016). In the *in vivo* setting, the large vaporized nanodroplets should be filtered out by the lungs

1 (Butler and Hills 1979). Therefore, the size population of nanodroplets will need to be manipulated  
2 in future studies. Although the mean size of vaporized FR-nanodroplets generated immediately after  
3 activation is 9 $\mu$ m, vaporized droplet outliers as large as 68  $\mu$ m were detected. The size population  
4 of newly generated microbubbles will also be affected by the gas concentrations in the ambient  
5 fluid and confined environment (Lin, et al. 2017).

6

### 7 *MDA-MB-231 breast cancer cells*

8 The MDA-MB-231 cells are immortalized, having gone through a number of passages. This  
9 large number of passages could result in cell receptor heterogeneity, thus different number of  
10 receptors. While this has not been studied in detail, Marshalek et al. (Marshalek, et al. 2016) alluded  
11 to efficiency of binding to MDA-MB-231 using folate-targeted nanodroplets. This has also been  
12 noted for other cell lines (i.e. Pancreatic Cancer [Panc]-1). Whether this is due to cell  
13 contact/confluence or large number of passages generating heterogeneity, is not explicitly known.

14

### 15 *Ultrasound pulses on detachment*

16 It has been shown that ultrasound pulses with even medium amplitude are able to detach  
17 targeted microbubbles (Loughran, et al. 2012). Unlike imaging vascular endothelial targets where  
18 shear stress from blood flow can detach the microbubbles from their bound sites, reducing  
19 ultrasound signal, the flow is very limited outside the vasculature and any detached bubbles could  
20 potentially stay in position and continue to contribute to ultrasound signal. The activation pulse  
21 could also cause diffusion of gas out of the microbubbles resulting in loss of echogenicity. Future  
22 work is required to study the impact of potential detachment of vaporized nanodroplets on the  
23 ultrasound molecular images.

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## CONCLUSION



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#### 14 Figures

15 Figure. 1. Schematic diagram of the experimental set-up (not to scale). The red box indicates the region of  
16 interest of ultrasound images at the upper and lower planes (MDA-MB-231 breast cancer cells) of the  
17 Opticell chamber.

18 Figure. 2. Schematic diagram shows the cross section of Opticell chamber after acoustic activation of FR-  
19 nanodroplets. A portion of newly vaporized FR-nanodroplets were still attached to breast cancer cells  
20 whereas another portion of large vaporized FR-nanodroplets were floating.

21 Figure. 3. The concentration and size characterization of NT- and FR-nanodroplets measured using  
22 Nanoparticle Tracking Analysis (NTA) (N=9). The curve and its corresponding shaded error bar represent  
23 the mean and standard deviation respectively. NT-nanodroplets:  $118.7 \pm 6.7$  nm and FR-nanodroplets:  
24  $116.8 \pm 5.9$  nm.

25 Figure. 4. Representative temporal snapshots show FR- and NT-nanodroplets in Opticell chamber 0.002s  
26 before, and 0.002s and 1s after activation respectively using the pulse inversion imaging at MI=0.1 and

1 focus-pulse activating at  $MI=1.9$ . The region of interest (ROI) shows the top plane (indicated by the red box  
2 between 14 to 15 mm) and bottom plane (indicated by the blue box between 16 to 17 mm) of the Opticell  
3 chamber where MDA-MB-231 breast cancer cells located.

4 Figure. 5. Quantification of normalized acoustic amplitude (i.e. the grayscale value of pixels in ROI) of  
5 activation of FR- and NT-nanodroplets within bottom ROIs in the Opticell chambers using the pulse  
6 inversion imaging at  $MI=0.1$  and focus-pulse activating at  $MI=1.9$ . The blue curve represents for FR-  
7 nanodroplet and the red curve represents for NT-nanodroplet. The shaded error bar represents the  
8 corresponding standard deviation.

9 Figure. 6. Representative microscopic imaging of FR-nanodroplets and NT-nanodroplets before and after  
10 activation in Opticell chamber. (a) FR-nanodroplets before activation (b) FR-nanodroplets after activation (c)  
11 NT-nanodroplets before activation (d) NT-nanodroplets after activation. The scale bar represent  $30\ \mu\text{m}$ .

12 Figure. 7. The histogram (a) and box plot (b) shows the diameter distribution of both vaporized FR- ( $N=819$ )  
13 and NT- ( $N=139$ ) nanodroplets (NDs) immediately after acoustic activation of nanodroplets. The newly  
14 vaporised FR-nanodroplets have a wider range of diameters than vaporized NT-nanodroplets. The mean  
15 diameter of vaporized FR-nanodroplets is significantly larger than that of vaporized NT-nanodroplets.

16 Figure. 8. Quantitative flow vector visualization of vaporized FR-nanodroplets generated immediately after  
17 activation inside the Opticell chamber. Each measurement is averaged over 10 ms to reduce the noise. The  
18 data used is after the processing of singular value decomposition filtering to reduce the noise. The length and  
19 direction of the vector arrow represent the magnitude and direction of the velocity within the region of the  
20 arrow. Supplementary video illustrates the temporal changes of flow patterns in the Opticell chamber.

21 Figure. 9. The box plot shows the size distribution of floating and attached FR- and NT- vaporized  
22 nanodroplets (NDs) immediately after acoustic activation ( $v =$  vaporized). The sizes of attached, vaporized  
23 nanodroplets were obtained from microscopic imaging. Alternatively, the sizes of floating vaporized  
24 nanodroplets were obtained from flow velocity mapping of ultrasound imaging. As can be seen from the  
25 figure, the size of floating vaporized nanodroplets were significantly larger than that of attached vaporized  
26 nanodroplets for both vaporized FR- and NT- nanodroplets. \*\*\* represent highly significant difference,  $p$ -  
27 value $<0.001$ .

1 Figure. 10. A control experiment with only imaging pulses (left) and an experiment with both imaging and  
 2 activation pulses (right) were performed at 37 degrees in a droplet solution, demonstrating that the activation  
 3 pulse was able to remove the spontaneously vaporized droplets. (a) and (c) show two consecutive imaging  
 4 frame without activation pulses. (b) and (d) show two consecutive imaging frame (before and after activation  
 5 respectively) with activation pulses in between. The experimental conditions were kept the same as detailed  
 6 in Table 1. Note that (a) and (b) are very first frame of the individual experiment showing spontaneously  
 7 vaporized droplets, while the horizontal line in (d) corresponds to the ultrasound focal depth of the  
 8 vaporization pulses.

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16 Tables.

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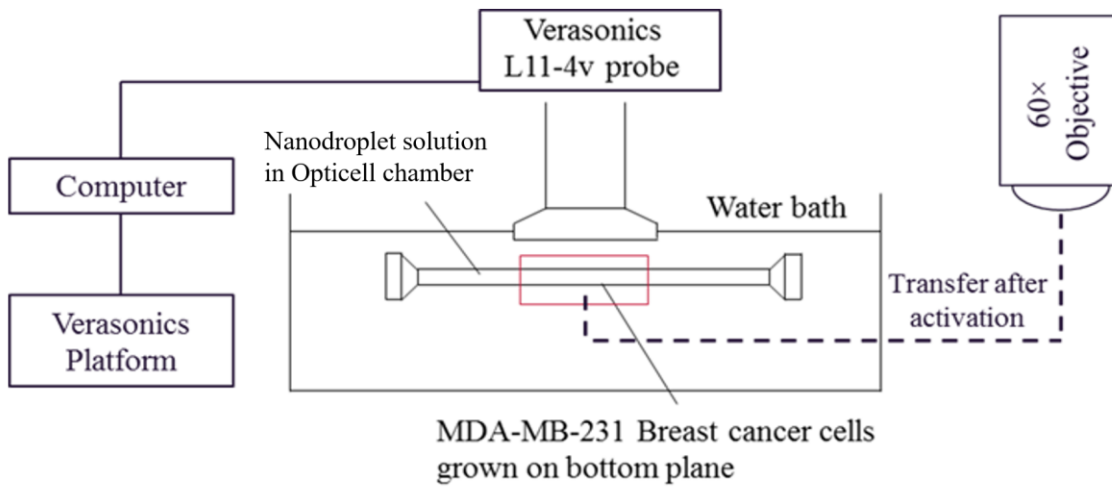
18 Table 1. Imaging-Activation-Imaging sequence and the corresponding ultrasound parameters used in the  
 19 experiments. PNP represents the spatial and temporal peak-negative-pressure.

State	Pulse type	Transmit frequency (MHz)	Pulse length (half-cycle)	PNP (MPa)	Mechanical Index
Imaging (Before)	Plane-wave	4	1	0.20	0.10
Activation	Focus-wave	8	10	5.37	1.90
Imaging (After)	Plane-wave	4	1	0.20	0.10

20

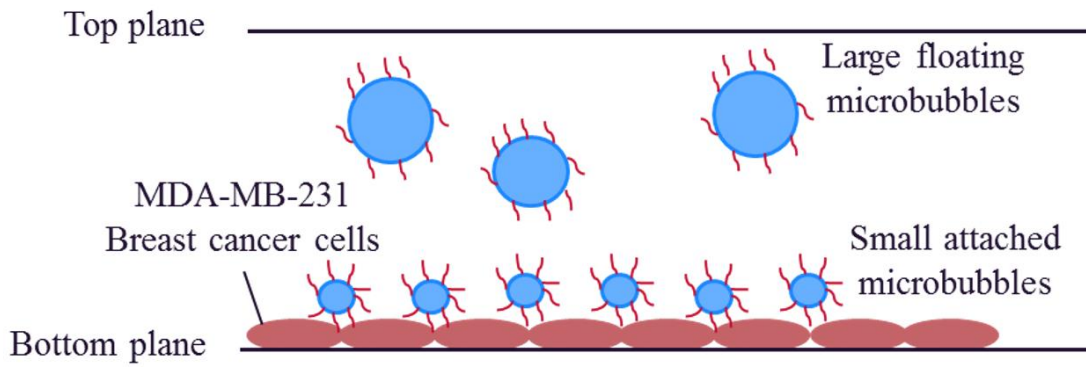
21

1 Figure 1.



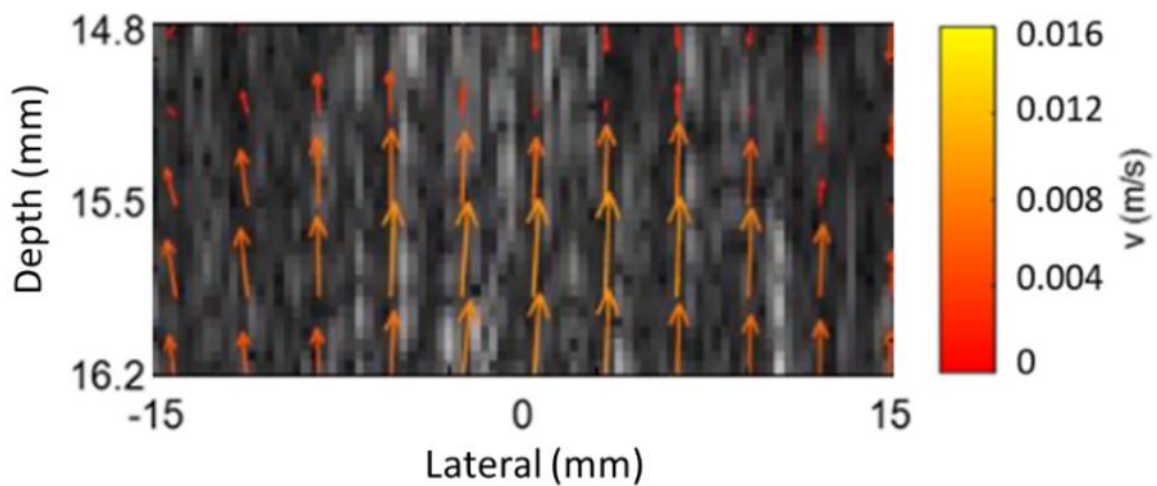
2

3 Figure 2.



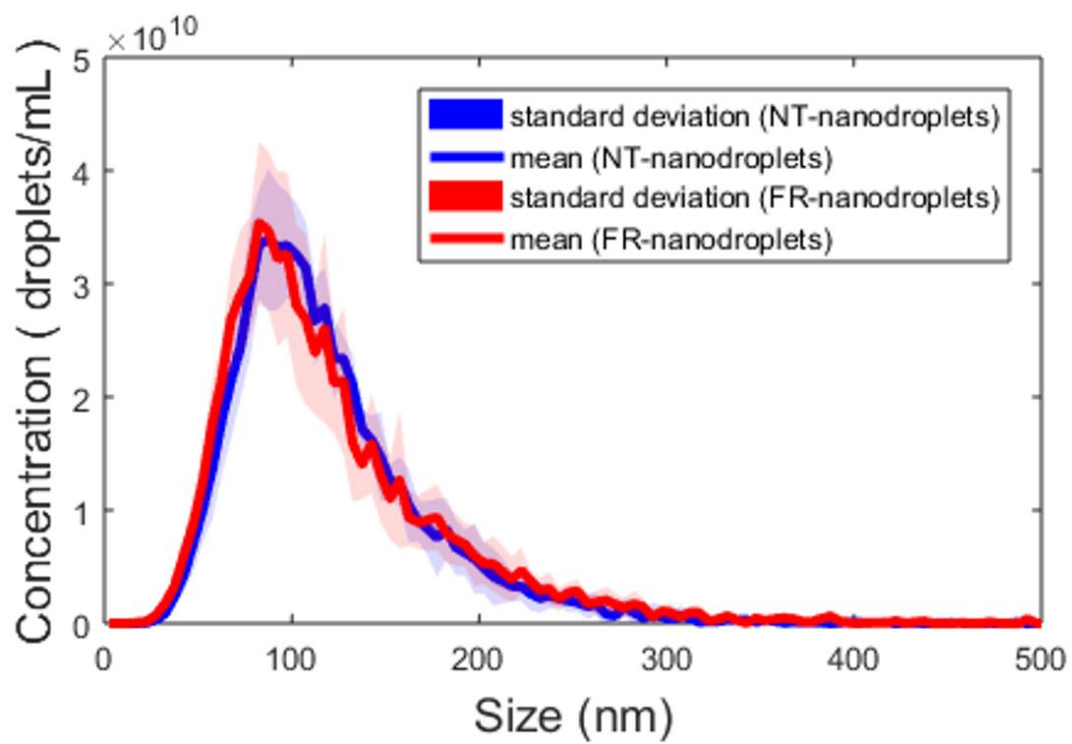
4

5 Figure 3.



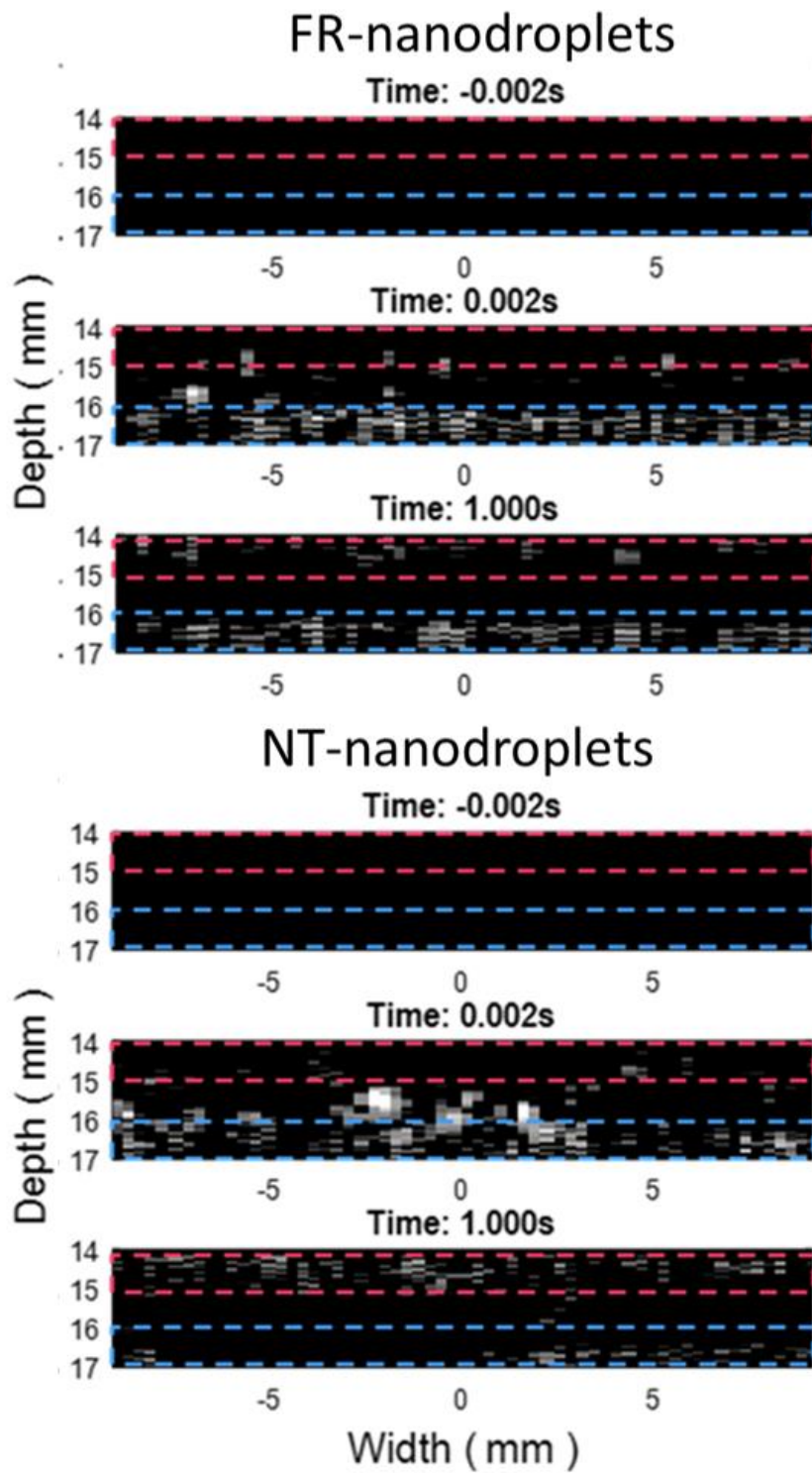
6

7 Figure 4.



1

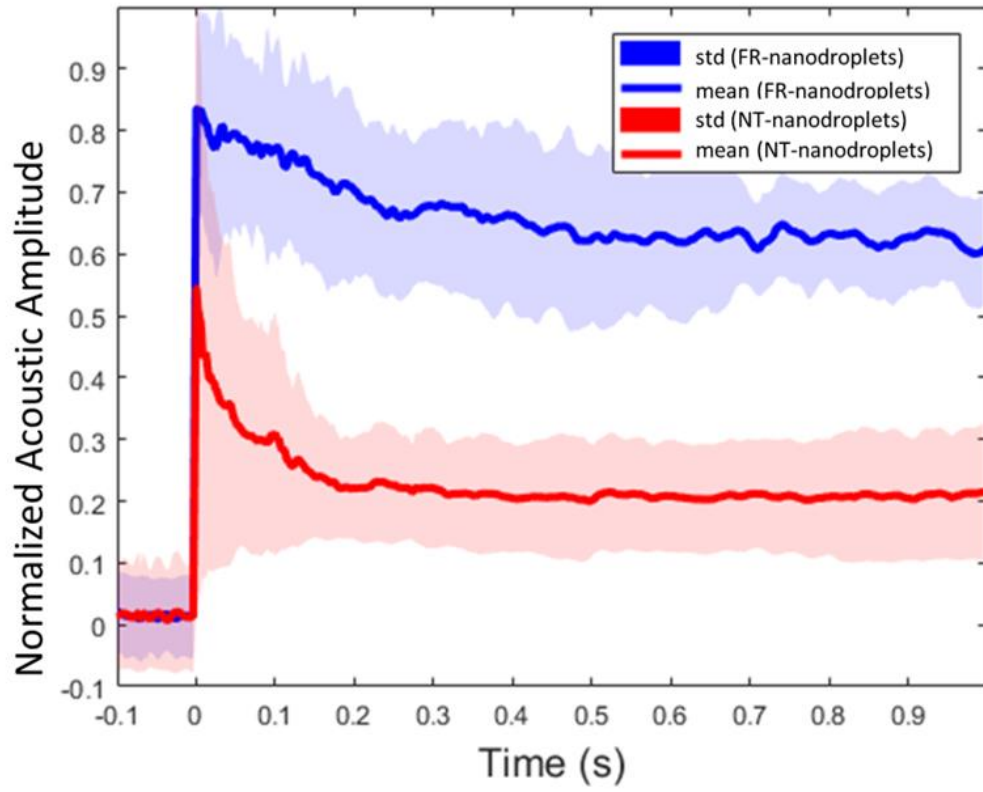
2 Figure 5.



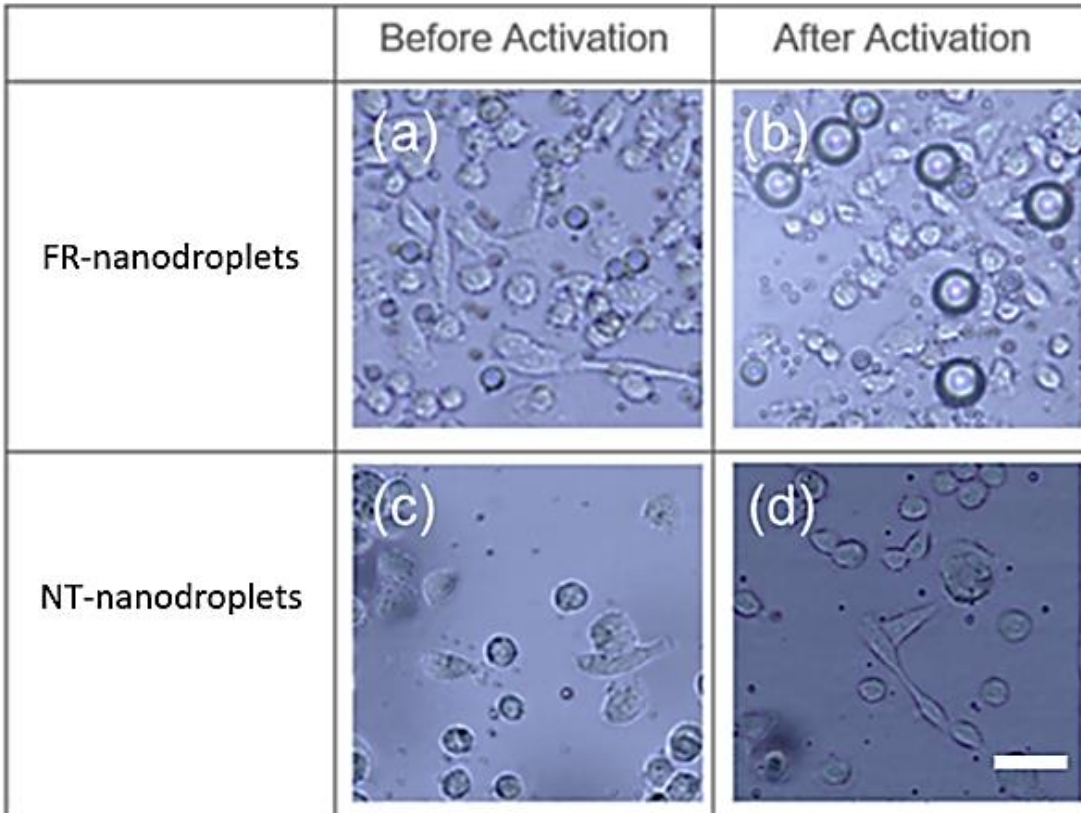
1

2 Figure 6.

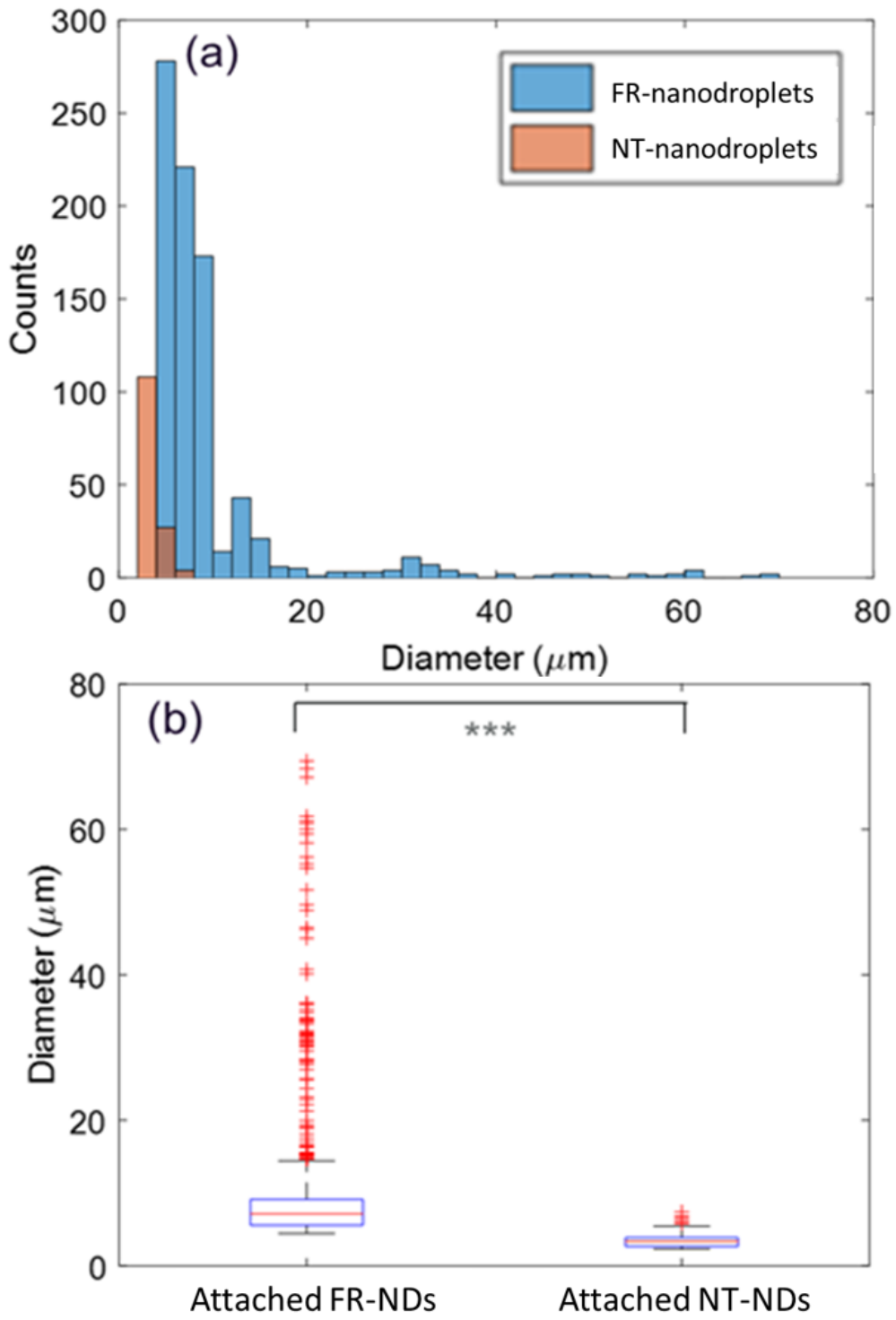




1  
2 Figure 7.

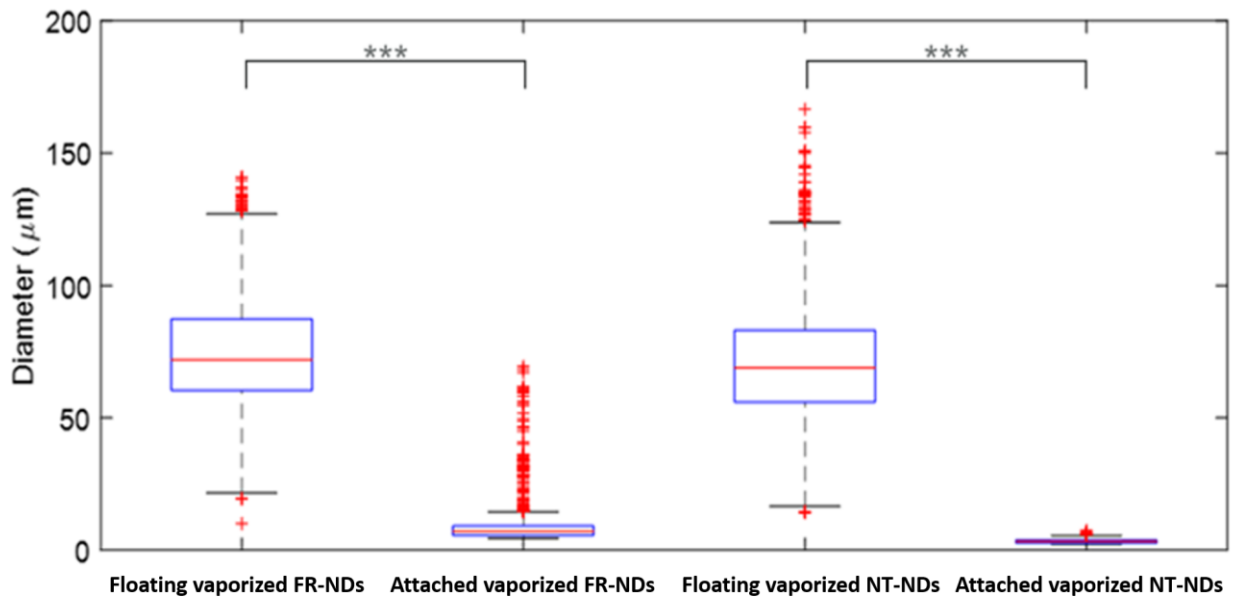


3  
4 Figure 8.



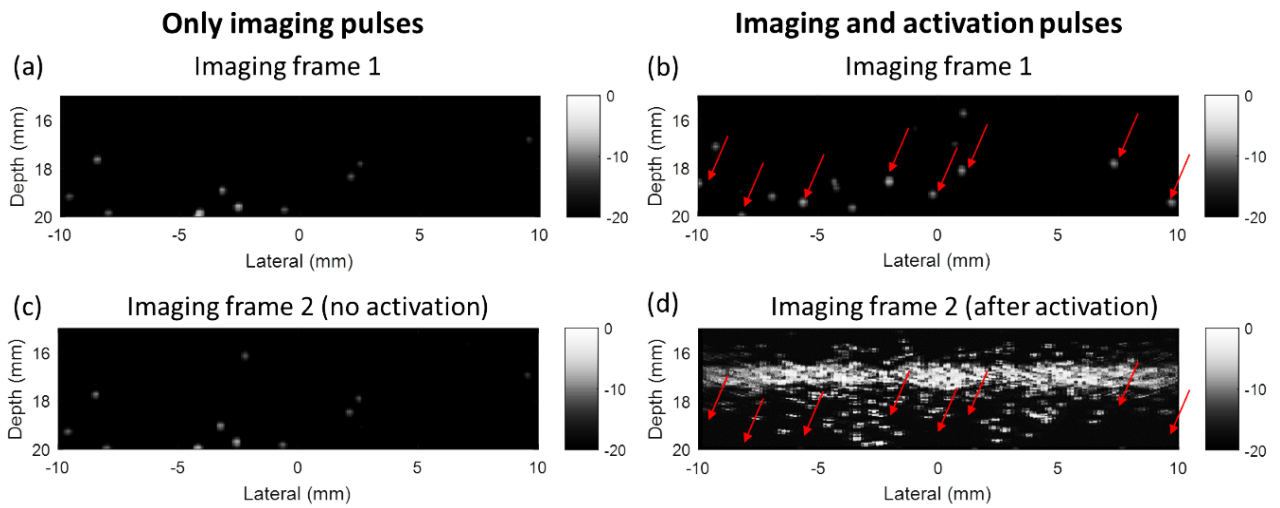
1

2 Figure 9.



1

2 Figure 10.



3