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1 **The APOBEC3 genes and their role in cancer: insights from human papillomavirus**

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11
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15 **Abstract**

16
17 The interaction between human papillomaviruses (HPV) and the apolipoprotein-B mRNA
18 editing catalytic polypeptide-like (APOBEC)3 (A3) genes has garnered increasing attention in
19 recent years, with considerable efforts focused on understanding their apparent roles in both
20 viral editing and in HPV-driven carcinogenesis. Here we review these developments and
21 highlight several outstanding questions in the field. We consider whether editing of the virus
22 and mutagenesis of the host are linked, or whether both are essentially separate events,
23 coincidentally mediated by a common, or distinct A3 enzymes. We discuss the viral
24 mechanisms and cellular signalling pathways implicated in A3 induction in virally-infected
25 cells, examine which of the A3 enzymes might play the major role in HPV-associated
26 carcinogenesis and in the development of therapeutic resistance. We consider the parallels
27 between A3 induction in HPV-infected cells and what might be causing aberrant A3 activity
28 in HPV-independent cancers such as those arising in the bladder, lung and breast. Finally, we
29 discuss the implications of ongoing A3 activity in tumours under treatment and the therapeutic
30 opportunities that this may present.

31
32
33 **Introduction**

34 A link between sexual contact and cervical cancer was first reported in 1842, by the Italian
35 physician, Rigoni-Stern (Rigoni-Stern 1842), yet the role of human papillomaviruses (HPVs)
36 as the incriminating infectious agent was not substantiated until the 1970s; at which time,
37 intranuclear papillomavirus particles were found within koilocytic epithelial cells of cervical

38 condylomatosis (Torre *et al.* 1978; Hills & Laverty 1979). By 1983, the DNA of HPV-16 and
39 HPV-18 had been successfully isolated from cervical cancer biopsies (Dürst *et al.* 1983;
40 Boshart *et al.* 1984) but the many molecular mechanisms by which these viruses cause cancer
41 continue to be elucidated.

42
43 HPVs are small, non-enveloped DNA viruses, consisting of an 8kb circular genome (Figure
44 1A) encased in a viral capsid. There are over 200 different genotypes with tropisms for stem
45 cells in the basal layer of either cutaneous or mucosal epithelia, in which the viral life cycle is
46 tightly linked to and dependent upon keratinocyte differentiation (Figure 1B). The HPV
47 genome consists of six early genes responsible for viral genome maintenance and amplification
48 and two late genes (L1 and L2) which encode the viral capsid proteins and are expressed in
49 terminally differentiated keratinocytes immediately prior to host cell death and release of
50 virions (for detailed reviews see Doorbar *et al.*, 2015; McBride, 2017). Infection is typically
51 either asymptomatic, or associated with benign warts. At least 14 HPV types however
52 (including HPV-16 and HPV-18), are carcinogenic, and these ‘high-risk’ (HR-HPV) types
53 cause human cancers in the mucosal epithelia of several sites, including the cervix, vulva,
54 vagina, penis, anus, and oropharynx (tonsils and tongue base). The vast majority of HR-HPV
55 infections are cleared naturally within 12-18 months by the host immune system (Richardson
56 *et al.* 2003; Bodily & Laimins 2011), yet globally, HPV infection accounts for over 600,000
57 cancers (90% of which are cervical cancers) and 250,000 deaths per year (de Martel *et al.*
58 2017). The complex biology underlying HPV-associated carcinogenesis is the subject of many
59 detailed reviews (e.g. Bodily & Laimins 2011; Doorbar *et al.* 2015; Lechner & Fenton 2016).
60 Here we will focus on the emerging role that one or more of the apolipoprotein-B mRNA
61 editing catalytic polypeptide-like-3 (APOBEC3 or A3) family of innate immune response
62 genes appear to play in this process, including the generation of somatic alterations to the host
63 genome that in addition to viral oncoprotein expression, are required for HPV-associated
64 carcinogenesis.

65

66

67 **Current model of HPV-induced carcinogenesis**

68

69 Papillomaviruses rely on host DNA polymerases and the DNA damage response for replication
70 and amplification of their genomes, and must therefore induce cell cycle entry upon infection;
71 a process which is driven by two viral early genes, E6 and E7 (reviewed in Doorbar *et al.*,
72 2015; McBride, 2017). E6 and E7 from high-risk HPV types (HR-E6/HR-E7, also known as
73 the HPV oncogenes) harbour several activities not shared by their low-risk homologues, which
74 appear to be important for carcinogenesis and may serve to trigger the mutagenic activity of
75 APOBEC3 (A3) proteins seen in HPV-associated cancers. In particular, the induction of
76 replication stress, host DNA repair responses and downregulation of the pRB and p53 tumour
77 suppressors (Munger & Jones 2015) are key activities of HR-E6 and E7 that will be discussed
78 in this context. During productive infection, the expression of E6 and E7 is restricted to the
79 basal and parabasal layers of the epithelium and at later stages is repressed by the viral E2
80 protein but in a small fraction of HR-HPV infections the virus persists and cells with increased
81 E6/E7 expression gain a selective growth advantage, populating the upper epithelial layers.
82 Differentiation of these cells is blocked, resulting in loss of additional viral gene expression
83 and exit from the productive life cycle. In the cervix this can be observed in the transition from
84 early cervical intraepithelial neoplasia (CIN1), to precancerous CIN2/3 lesions that forms the
85 basis of cervical cancer screening. In CIN3 lesions and invasive carcinoma, integration of the
86 virus into the host genome and loss of viral episomes is commonly observed, with a selection
87 *in vivo* for clones in which integration has disrupted the E2 gene, permitting further increases
88 in E6/E7 expression (Bodily & Laimins 2011; Doorbar *et al.* 2015).

89

90 **The A3 genes and somatic mutagenesis in cancer**

91

92 The rate at which somatic mutations accumulate in cells is governed both by the rate at which
93 DNA damage occurs and by the fidelity with which it is repaired or damaged cells are
94 eliminated by apoptosis. Loss of p53 could explain persistence of cells carrying DNA damage
95 but which mutational processes generate the mutations in HPV infected cells and does HPV
96 increase the rate at which DNA damage occurs? The wealth of somatic mutation data generated
97 by large-scale cancer genomics efforts such as The Cancer Genome Atlas (TCGA) project and
98 the International Cancer Genome Consortium (ICGC) has recently enabled identification of
99 mutational signatures - distinctive patterns that can reveal the mutational processes operational

100 in different tumours (Nik-Zainal *et al.*, 2012; Alexandrov *et al.*, 2013a; Alexandrov *et al.*,
101 2013b). As might be expected, skin cancer genomes are dominated by CC>TT mutations
102 consistent with those caused by ultraviolet light in experimental systems, while cancers
103 associated with tobacco smoking display a mutational signature (G>T and GG>TT mutations)
104 implicating tobacco carcinogens such as benzo(a)pyrene. In both cases, the mutation signatures
105 display a transcriptional strand bias that is consistent with the known role for transcription-
106 coupled nucleotide excision repair in resolving such lesions (Alexandrov *et al.*, 2013). Other
107 mutational signatures arise from specific defects in the pathways responsible for repairing
108 DNA damage; at least four signatures have been linked to defects in mismatch repair for
109 instance, while defects in double strand break repair by homologous recombination give rise
110 to a signature observed in tumours harbouring *BRCA1* or *BRCA2* mutations
111 (<https://cancer.sanger.ac.uk/cosmic/signatures>, Forbes *et al.*, 2017). The mutational signatures
112 observed in tumour samples (or indeed in healthy tissue) are therefore shaped both by the
113 processes that have caused damage to the DNA during the lifetime of the individual and by the
114 pathways (or defects therein) responsible for repairing that damage.

115

116 Strikingly, cancers in several tissues, including breast, lung, bladder, cervix and head and neck
117 frequently display two closely-related signatures characterized by C>T transitions and C>G
118 transversions at TpC dinucleotides that have been attributed to the deoxycytidine deamination
119 activity of one or more APOBEC enzymes (Burns *et al.*, 2013a; Burns *et al.*, 2013b; Alexandrov
120 *et al.*, 2013a; Roberts *et al.*, 2013; Taylor *et al.*, 2013). Humans possess 11 APOBEC genes,
121 with physiological roles including antibody diversification (Activation-Induced Cytidine
122 Deaminase, *AICDA*), cellular mRNA editing (*APOBEC1*) and inhibition of exogenous virus
123 and endogenous retroelement replication, which are mediated by members of the 7-gene
124 APOBEC3 (A3) family, (Figure 2), reviewed in (Holmes *et al.* 2007; Conticello 2008; Harris
125 & Dudley 2015). Soon after the cloning of *APOBEC1*, it was shown that liver-specific
126 overexpression in transgenic mice or rabbits caused hepatocellular carcinoma (Yamanaka *et al.*
127 *et al.* 1995). The subsequent demonstration that *APOBEC1*, several A3 enzymes and *AICDA*
128 (*AID*) could deaminate single-stranded (ss)DNA in addition to RNA (Harris *et al.* 2002;
129 Petersen-Mahrt *et al.* 2002), together with the finding that transgenic *AICDA* mice were also
130 cancer-prone (Okazaki *et al.* 2003) suggested a potential role for mutagenic APOBEC/AID

131 activity in the development of human cancers; a hypothesis that awaited large-scale testing
132 until the advent of next-generation sequencing (NGS) and the detection of the aforementioned
133 mutational signatures in tumour exomes. APOBEC1 and several of the closely-related A3
134 enzymes (A3A, B, C, D, F and H) display a preference for deamination of TpC sites in ssDNA
135 *in vitro* that is consistent with the TpC mutational signatures observed in cancer genomes, with
136 gene expression analysis and loss-of-function experiments in breast cancer cell lines suggesting
137 a prominent role for A3B (Burns *et al.*, 2013a). Distinct A3G and AID mutational signatures
138 have also been detected across a wide range of cancer types (Rogozin *et al.* 2019) but for the
139 purposes of this review we focus on the TpC signatures, henceforth referred to as APOBEC-
140 associated. Analyses of cancer genome sequencing data and studies in cells overexpressing
141 A3A or A3B suggest the major exposure of ssDNA substrate for A3 activity in tumour cells
142 arises on the lagging strand during DNA replication, presumably as a result of replication fork
143 stalling due to replication stress (Green *et al.* 2016; Haradhvala *et al.* 2016; Hoopes *et al.* 2016;
144 Morganella *et al.* 2016; Seplyarskiy *et al.* 2016). Unlike other mutational signatures, A3-
145 mediated mutations are frequently enriched in early-replicating regions of the genome,
146 although interestingly this effect is more pronounced in lung and bladder cancer exomes from
147 TCGA than in cervix and is not apparent in head and neck squamous cell carcinoma (HNSCC)
148 (Kazanov *et al.* 2015).

149

150 The strong enrichment of the APOBEC signature in cervical cancer exomes (Burns, Temiz and
151 Harris, 2013; Alexandrov *et al.*, 2013; Roberts *et al.*, 2013), together with previous evidence
152 for A3 editing of human papillomavirus (HPV) genomes in plantar warts and precancerous
153 cervical lesions (Vartanian *et al.* 2008) suggested that the presence of HPV in cells might
154 somehow induce or potentiate A3 activity, damaging the host genome and resulting in the
155 observed enrichment of these mutational signatures in HPV-associated cancers (Kuong & Loeb
156 2013). Having identified A3B among a list of genes that are consistently upregulated in HPV-
157 associated malignancies irrespective of anatomic site, we tested for such an association in
158 HNSCC, observing increased *APOBEC3B* expression and enrichment of the APOBEC
159 mutational signature in the ~15% of HPV-associated cases in the CGA HNSCC cohort, the
160 majority of which are oropharyngeal tumours. We also noted a distinctive pattern of APOBEC
161 signature mutations in exon 9 of the *PIK3CA* proto-oncogene in HPV+ HNSCC and in other

162 cancer types displaying the APOBEC mutational signature, thus directly implicating APOBEC
163 activity in the generation of oncogenic driver events (Henderson *et al.* 2014; Chakravarthy *et*
164 *al.* 2016). These findings were subsequently confirmed by TCGA (The Cancer Genome Atlas
165 Network 2015) and by recent analyses of expanded (Gillison *et al.* 2019) and independent (Qin
166 *et al.* 2018) HPV+ HNSCC cohorts . In a separate study published the same year, Vieira and
167 colleagues also reported the enrichment of APOBEC signature mutations in HPV+ CGA
168 HNSCCs and showed induction of A3B mRNA expression and deaminase activity in
169 keratinocytes by E6 from the two major high-risk HPV types, HPV16 and HPV18 (Vieira *et*
170 *al.* 2014). Consistent with these observations, APOBEC signature mutations are also enriched
171 in HPV+ penile carcinoma exomes, with those tumours harbouring higher viral loads
172 displaying greater enrichment (Feber *et al.* 2016). In further work, Pyeon and colleagues noted
173 upregulation of both A3A and A3B expression in precancerous cervical lesions and
174 demonstrated their induction by E7 in keratinocytes (Warren *et al.* 2015a). The same group
175 have since shown that E7 from HR-HPV types can stabilize A3A protein by blocking its
176 polyubiquitination by cullin-RING-based E3 ubiquitin ligase complexes (Figure 3), thus HPVs
177 appear to modulate A3 expression at multiple levels (Westrich *et al.* 2018). Also of note are
178 roles that A3 enzymes may play in HPV-associated cancer that are independent of their
179 mutagenic activity against the host genome. Intriguingly, Periyasamy and colleagues have
180 shown that A3B associates with the oestrogen receptor (ER) in breast cancer cell lines and co-
181 activates ER target genes (Periyasamy *et al.* 2015a). The proposed mechanism involves
182 deamination of promoter sites by A3B, leading to recruitment of DNA repair proteins and local
183 chromatin remodelling. The cervical epithelium is also an oestrogen-responsive tissue; indeed
184 HPV E6/E7-driven cervical cancer development in transgenic mice can be promoted by
185 oestradiol infusion over several months (Brake & Lambert 2005). It is possible then, that A3B
186 could also fuel cervical carcinogenesis via this non-mutagenic but nonetheless deaminase-
187 dependent transcriptional activity.

188

189 **A3 genes and viral restriction**

190 Numerous studies indicate an important role for A3 genes in innate immunity and it is
191 presumably an aberrant triggering and/or regulation of this response that results in the somatic

192 mutagenesis observed in cancer. Coincident with the cloning of the human A3 genes (Jarmuz
193 *et al.* 2002) and the discovery that they can deaminate ssDNA (Harris *et al.* 2002), a series of
194 seminal papers demonstrated a role for A3G (originally termed CEM-15) in HIV-1 restriction
195 (Sheehy *et al.* 2002) and revealed a deaminase-dependent mechanism involving extensive
196 editing of the first strand cDNA and resulting in G-to-A mutations on the positive strand (Harris
197 *et al.* 2003; Mangeat *et al.* 2003; Zhang *et al.* 2003), although APOBEC3G also exerts
198 deaminase-independent antiviral activity against HIV-1 (Newman *et al.* 2005). Other A3
199 enzymes, notably A3F and A3DE, also appear to function in HIV-1 restriction in lymphocytes,
200 while A3A is required in monocytes – a cell type in which it is highly expressed. Unlike A3G
201 however, it is not incorporated into HIV virions and may act together with A3G in this capacity
202 (reviewed in (Chiu & Greene 2008).

203

204 One major obstacle to the study of A3 function *in vivo* is the greatly increased complexity of
205 the A3 locus in primates compared with model organisms. Rodents possess only one A3 gene:
206 a double-domain enzyme most closely related to A3G (Conticello *et al.* 2005), thus dissecting
207 the roles of individual A3 genes in an organismal context remains a challenge. Use of murine
208 A3 (mA3) knockout mice (which are viable and fertile) has clearly demonstrated that it
209 functions as a cell-autonomous restriction factor for exogenous murine retroviruses including
210 mouse mammary tumour Virus (MMTV), Friend murine leukaemia virus (MLV) and to a
211 lesser extent, Moloney murine leukaemia virus (MoMLV), with recent work suggesting a
212 primarily deaminase-independent mechanism (Okeoma *et al.* 2007, 2009; Stavrou *et al.* 2018).

213

214 Several A3s including A3A also inhibit Long Interspersed Element-1 (LINE-1)
215 retrotransposition, through a mechanism that appears to involve deamination of single-stranded
216 cDNA exposed by the action of RNase-H upon RNA/DNA hybrids (Richardson *et al.* 2014).
217 Indeed, it appears likely that the activity against endogenous retroviruses drove the expansion
218 of the A3 family seen in primates and other mammals, since it predates the appearance of
219 lentiviruses (Conticello *et al.* 2005; Chiu & Greene 2008). It was recently proposed that this
220 activity against retroelements could ameliorate the loss of LINE1 silencing caused by E7
221 inhibition of RB1, thus providing a potential explanation for why HPV causes A3 upregulation
222 (Wallace & Münger 2018).

223

224 An activity against DNA viruses was first shown for A3A, in studies demonstrating inhibition
225 of adeno-associated virus replication through a deaminase-independent mechanism (Chen *et*
226 *al.* 2006; Narvaiza *et al.* 2009). These *in vitro* experiments were supported by a study in which
227 a human A3A transgene (but not A3G) expressed in the mA3 knockout background reduced
228 infectivity of a murine parvovirus without evidence of viral genome editing, while neither A3A
229 nor A3G inhibited herpesvirus infection in this *in vivo* model (Nakaya *et al.* 2016). HPV
230 pseudovirions produced in 293T cells overexpressing A3A or A3C displayed decreased
231 infectivity, while A3A knockdown increased infectivity, suggesting these A3s may act as HPV
232 restriction factors *in vivo* (Ahasan *et al.* 2015; Warren *et al.* 2015a) but the mechanism by
233 which A3A inhibits HPV awaits full elucidation. Although the deaminase activity appears to
234 be required, evidence of editing was not detected in HPV pseudovirion genomes from cells
235 over expressing A3A, leading to the suggestion that its recently described RNA-editing activity
236 may be responsible (Sharma *et al.* 2015; Warren *et al.* 2017). On the other hand, HPVs are
237 subject to A3 editing *in vivo*, as first reported by Vartanian and colleagues (Vartanian *et al.*
238 2008), see below for detailed discussion. It appears that A3A and A3C may act on HPV at
239 different levels, as cells expressing A3A contained reduced levels of encapsidated
240 pseudovirions, while A3C was found to physically interact with the L1 viral capsid protein,
241 potentially inhibiting infectivity by interfering with viral entry into target cells (Ahasan *et al.*
242 2015). Of note, several groups have reported cell cycle arrest upon transfection of APOBEC3A
243 and have linked this to DNA damage caused by its deaminase activity against genomic DNA
244 (Landry *et al.* 2011; Land *et al.* 2013; Mussil *et al.* 2013). Since HPV replication is dependent
245 upon host cell transit through S-phase, it will be interesting to determine whether the restriction
246 activity observed *in vitro* is due to a direct effect on the virus, or whether it is an indirect
247 consequence of an A3A-mediated cell cycle arrest.

248

249 **A3s as HPV editors?**

250 As discussed above, transient transfection experiments using HPV pseudovirions in 293FT
251 cells suggested possible roles for A3A and A3C in HPV restriction but did not implicate viral
252 genome editing in this process. In W12, an HPV-16+ cell line originally derived from a low-
253 grade CIN lesion (Stanley *et al.* 1989), over-expression A3A or A3G did not reduce virus copy
254 number but did result in editing of the *E2* gene, as detected by the highly-sensitive 3D-PCR
255 method originally used to demonstrate editing of the HPV-1a and HPV-16 LCRs in warts and

256 precancerous cervical lesions respectively (Vartanian *et al.* 2008; Wang *et al.* 2014). Editing
257 was likewise detected upon treatment of W12 cells with IFN- β , which induced expression of
258 A3A, A3F and A3G (Wang *et al.* 2014). Editing of the HPV-16 *E2* gene in precancerous
259 cervical lesions has also been demonstrated using 3D-PCR (Kukimoto *et al.* 2015) and these
260 observations have since been supported by NGS of the entire HPV-16 genome, revealing the
261 expected strand-coordinated C:G>G:A transitions overrepresented at TpC sites throughout the
262 early genes but enrichment within the LCR (Wakae *et al.* 2015). The authors speculate that
263 enrichment for A3 editing in the LCR could result from increased exposure of single-stranded
264 DNA at the origin of replication and/or transcription from the p97 promoter both located in
265 this region (Figure 1). This study also reported A3A and A3C to be the most abundant A3
266 transcripts in the one HPV-infected cervix examined, while A3B was expressed at much lower
267 levels. It should be noted that the frequency of HPV editing detected in all these studies was
268 significantly lower than that detected for other viruses known to be edited by A3s, such as
269 HIV-1 or HBV (Wakae *et al.* 2015). Indeed, in the W12 cell system it was necessary to block
270 repair of deaminated cytosines with an inhibitor of uracil-DNA glycosylase to reveal editing,
271 even when using highly sensitive techniques such as 3D-PCR or NGS for detection (Wang *et*
272 *al.* 2014).

273

274 Taken together, these studies suggest that if A3s are playing a role in HPV restriction *in vivo*,
275 it is likely to be either much less effective than the response against viruses such as HIV-1, or
276 that it proceeds via an editing-independent mechanism, as suggested by the pseudovirion
277 studies (Ahasan *et al.* 2015; Warren *et al.* 2015a). Nevertheless, low-level HPV editing by A3s
278 could still contribute to HPV pathology, by generating variation that could facilitate evasion of
279 host adaptive immune responses, analogous to the role that sublethal A3-mediated editing
280 appears to play in HIV-1 immune escape (reviewed in Venkatesan *et al.*, 2018).

281

282 Papillomaviruses hijack the host DNA repair machinery for the amplification stage of their
283 replication cycle, specifically homologous recombination (recombination-dependent
284 replication (RDR)), which allows very high fidelity viral replication consistent with the very
285 slow rate of papillomavirus evolution; approximately 2×10^{-8} nucleotide substitutions per site
286 per year in the coding region (Rector *et al.* 2007; Sakakibara *et al.* 2013). Thus unlike RNA
287 viruses, in which low-fidelity replication generates considerable variation, editing, even at a
288 low frequency likely represents an important source of papillomavirus variation. RDR occurs
289 independently of host DNA replication, in an extended G2-like cell cycle phase that the virus

290 maintains in differentiating keratinocytes and although this results in very high fidelity
291 replication, depending on the precise mechanism it may also involve the generation of long
292 stretches of single-stranded DNA (Sakakibara *et al.* 2013), thus potentially exposing the viral
293 genome to A3 activity. Intriguingly, a recent analysis of cancer gene expression data has shown
294 that A3B is co-expressed with multiple DNA damage response and G2/M-phase cell cycle
295 genes, suggesting it might be induced in precisely this context (Ng *et al.* 2019). Indeed, a recent
296 study in which HPV was sequenced from 124 CIN lesions and 27 invasive cervical carcinomas
297 supports a role for A3s in generating within-host sequence diversity as assessed by looking for
298 minor variants (allele frequency of greater than 0.5%) in NGS data, with the greatest proportion
299 of A3 signature mutations observed in CIN1 lesions, suggesting this process is primarily acting
300 during productive infection, when HPV is actively replicating (Hirose *et al.* 2018). As the
301 authors of this study point out, editing of HPV at this point may be favoured by exposure of
302 ssDNA during viral replication but would also be consistent with a role for within-host editing
303 in generating variation prior to viral release and subsequent inter-host transmission, and
304 therefore contributing to viral evolution. In this regard, A3 activity has been invoked as the
305 cause of TpC dinucleotide depletion in the mucosal alpha-papillomaviruses, of which the HR-
306 HPVs are examples (Warren *et al.* 2015b). This TpC depletion has primarily occurred at the
307 third codon position in viral open reading frames, as might be expected given the preservation
308 of amino acid sequence permitted, meaning the A3 editing activity observed in current HPV
309 genomes frequently affects the first or second codon positions, resulting in non-synonymous
310 mutations (Hirose *et al.* 2018). Although such mutations would frequently be deleterious, those
311 that do not compromise fitness could aid evasion of host adaptive immune responses by altering
312 viral antigens and therefore undergo positive selection, at least within-host. In tumours, the
313 HPV sequence observed reflects not only the editing that has occurred but also the effect of
314 selection against loss of (and possibly for enhancement of) host cell fitness. This purifying
315 effect (along with the loss of episomal HPV DNA frequently observed upon progression) likely
316 explains the reduced intra-sample sequence diversity observed in CIN3 and invasive lesions in
317 this study. This observation is also consistent with the findings from a much larger-scale study
318 in which HPV-16 genomes from 5,570 samples representing productive (largely cervical),
319 precancerous and invasive lesions were sequenced, revealing a remarkable degree of inter-host
320 variation that was again highest in productive lesions. In this study, the authors observed that
321 approximately 80% of individuals harboured unique (differing by at least two nucleotides from
322 other samples) HPV-16 genomes, with the sequence context in which these variants occurred
323 again implicating A3 activity in HPV evolution (Mirabello *et al.* 2017). Taken together then,

324 A3 editing of HPV occurs at a frequency much lower than that observed for retroviruses such
325 as HIV-1, rendering a role for deamination in HPV restriction highly unlikely. Rather, the low
326 level of editing detected in these sequencing studies suggests an ongoing role for A3 activity
327 in shaping HPV evolution by introducing variation otherwise lacking in a virus that is
328 replicated with such high fidelity.

329

330 **Modulation of A3 gene expression by HPV**

331 Tight regulation of A3 expression and activity and is presumably essential for limiting their
332 potential mutagenic activity but as we have discussed, in addition to a possible restriction
333 activity against HPV, there may be an evolutionary advantage to the virus from inducing at
334 least a certain level of A3 expression (see Figure 3 for a summary of pathways currently
335 implicated in A3A and A3B transcriptional regulation and their modulation by HR-E6 and E7
336 proteins). Whether induction of A3 expression by HR-HPV types is a trait that the viruses have
337 evolved to promote adaptation and immune evasion, a host response mechanism that has
338 evolved to inhibit viral replication or a combination of the two, it may be an important cause
339 of A3-mediated host genome mutagenesis and therefore of viral carcinogenesis. One likely
340 candidate for host genome mutagenesis, the nuclear-localised A3B, is expressed at low basal
341 levels in normal adult tissues but it is often highly expressed in cancer biopsies, at least at the
342 mRNA level (Jarmuz *et al.*, 2002; Burns *et al.*, 2013a) suggesting it may be playing an
343 important ongoing role in mutagenesis at the time of diagnosis and potentially therefore, in
344 driving therapeutic resistance. Indeed, high A3B mRNA levels in biopsy specimens are
345 associated with poor prognosis in oestrogen receptor (ER)+ breast cancer (Sieuwerts *et al.*
346 2014; Periyasamy *et al.* 2015b; Law *et al.* 2016). Unlike in breast and ovarian cancer, A3B
347 mRNA levels are not correlated with A3 signature mutation burden in HPV-associated cancers
348 (Roberts *et al.* 2013; Henderson *et al.* 2014; Ojesina *et al.* 2014) but A3B expression is
349 consistently elevated in HPV-associated cancers in comparison to both normal tissue and to
350 HPV-independent cancers arising at equivalent anatomic sites (Chakravarthy *et al.* 2016).
351 These observations, together with the aforementioned studies demonstrating A3B upregulation
352 by HR-HPV types (Vieira *et al.* 2014; Warren *et al.* 2015a), suggest an important role for A3B
353 in HPV-associated cancer but also possibly in the viral life cycle. Here we review several
354 recent studies that have detailed various mechanisms by which HPV modulates expression of
355 A3B and other A3 genes.

356

357 Mori and colleagues identified two E6-responsive regions in the A3B promoter: basal promoter
358 activity in human keratinocytes can be activated by E6 at a distal region (-200 to -51), while a
359 proximal region (+1 to +45) exerts inhibition of gene expression which can be relieved by E6,
360 acting through the zinc finger protein ZNF384 through an as-yet unknown mechanism (Mori
361 *et al.* 2015). Consistent with previous findings from the Harris lab (Burns *et al.*, 2013a),
362 Periyasamy *et al.* recently demonstrated an inverse relationship between *TP53* status and A3B
363 expression levels in both primary breast tumours and breast cancer cell lines (Periyasamy *et al.*
364 2017). As mentioned earlier, HR-HPVs have evolved a strategy by which to overcome p53-
365 mediated cell cycle control. The E6 oncoprotein binds to a short LxxLL consensus sequence
366 within the cellular ubiquitin ligase, E6AP, forming a heterodimer (Huibregtse *et al.* 1991;
367 Martinez-Zapien *et al.* 2016). A trimeric complex is subsequently formed by the recruitment
368 of p53, leading to ubiquitin-dependent p53 proteasomal degradation (Scheffner *et al.* 1993).
369 Using a combination of RNA interference and pharmacological induction of p53 protein with
370 Nutlin-3 in breast cancer cell lines, they elucidated a mechanism whereby p53 represses A3B
371 expression via the action of its target gene, p21^{WAF1/CIP1} (*CDKN1A*) in stabilizing the
372 E2F4/DP1/p107/p130-containing DREAM (DP1, RB-like, E2F4, and MuvB) transcriptional
373 repressor complex (Fischer *et al.* 2014) at cell cycle genes homology region (CHR) elements
374 in the A3B promoter. They also demonstrated that both the E6 and E7 proteins from HPV16
375 can act independently to increase A3B expression in immortalized keratinocytes through this
376 pathway; E6 via p53 degradation, with E7 likely acting through its effects on the p107 and
377 p130 pRb family pocket proteins in the DREAM complex (Periyasamy *et al.* 2017), thus also
378 offering a mechanistic basis for the E7-mediated A3B upregulation previously observed by
379 Warren and colleagues (Warren *et al.* 2015a).

380

381 The A3B promoter also harbours target elements for the TEAD family of transcription factors
382 (TEAD1-4 in mammals) (Mori *et al.* 2017). These evolutionarily conserved transcription
383 factors, that recognise the consensus DNA sequence (AGGAATG) mediate expression of
384 multiple genes involved in cell proliferation, epithelial–mesenchymal transition and apoptosis
385 evasion, acting in complexes with TAZ (transcriptional co-activator with PDZ binding motif)
386 or YAP (Yes-associated protein), both of which are phosphorylated and inhibited by the Hippo
387 tumour suppressor pathway (Jacquemin *et al.* 1996; Zhao *et al.* 2008; Zhang *et al.* 2009; Zhu
388 *et al.* 2015). E6 induces TEAD1 and TEAD4 expression in keratinocytes and increases YAP
389 protein levels by preventing its degradation, although the TEAD-dependent induction of A3B

390 appears to be YAP/TAZ-independent and may instead involve alternative coactivators (He *et*
391 *al.* 2015; Mori *et al.* 2017).

392

393 E6 mediated p53 degradation therefore not only de-represses A3B transcription via the
394 DREAM complex but also results in increased levels of TEAD expression, further activating
395 the A3B promoter. Finally, it has been reported that replication stress induced by oncogenic
396 pathway activation or by chemotherapy agents such as hydroxyurea or gemcitabine also causes
397 ATR/CHK1-dependent upregulation of A3B, at least in breast cancer cell lines (Kanu *et al.*
398 2016). High E6 / E7 levels might similarly drive A3B upregulation via this as-yet undefined
399 replication stress mechanism, thus together with the ZNF384-mediated effects and the
400 additional activity of E7 in potentiating A3B expression, it appears that HPV could upregulate
401 A3B via multiple mechanisms. Importantly, some of these mechanisms may act during the
402 productive life cycle, while others may be restricted to precancerous/cancerous cells in which
403 HPV has integrated into the host genome, the life cycle has been aborted and only high-level
404 E6/E7 expression remains. It is also worth noting that in cells with wild-type *TP53*, A3B over-
405 expression induces ATR/CHK1-dependent cell cycle arrest and apoptosis (Nikkilä *et al.* 2017).
406 By removing p53 then, HPV not only activates A3B transcription but possibly also allows the
407 A3B protein to accumulate to levels that would not otherwise be tolerated in normal cells.

408

409 Although the regulation of A3B by HPV has been the focus of much attention, it is important
410 to consider the roles that other A3 genes may play, both in the response to HPV infection and
411 potentially, in HPV-associated cancer. In their key paper reporting the first evidence for
412 APOBEC editing of HPV in human cells, Vartanian and colleagues noted that HPV1a DNA
413 co-transfected with A3A, A3C and A3H but not A3B displayed evidence of cytosine
414 deamination (Vartanian *et al.* 2008), and while low risk HPV genomes isolated from warts
415 display evidence of A3 editing, several tested low risk E6 variants did not upregulate A3B in
416 cultured keratinocytes (Vieira *et al.* 2014).

417

418 Taken together with the findings of Warren and colleagues, that A3A but not A3B inhibits
419 HPV infectivity, we should at least consider the possibility that the A3 response to HPV
420 infection is entirely separate from any role in host mutagenesis during cancer development,
421 with the former mediated by A3A and/or A3C, A3H and the latter mediated by A3B. An
422 alternative hypothesis is that although A3B is induced by HPV, it is not responsible for the
423 mutations seen in either viral or host genomes. Consistent with this possibility is work from

424 the Gordenin lab showing that, at least when expressed in yeast A3A and A3B generate subtly
425 different mutation signatures, in which A3A preferentially targets YTCA sites (i.e. a
426 pyrimidine at the -2 position) while A3B targets RTCA (i.e. a purine at the -2 position). Upon
427 analysis of tumour exome data, they found much greater enrichment of the YTCA (A3A)
428 signature across multiple tumour types including cervical cancer (Chan *et al.* 2015). The
429 apparent preference of A3A for pyrimidine at -2 is also supported by in vitro studies using
430 purified enzyme (Shi *et al.* 2017; Silvas *et al.* 2018). These observations suggest A3A, rather
431 than A3B, may be the major source of somatic mutations to the host genome in HPV-associated
432 cancer, although further functional investigation (e.g. analysis of A3 signature mutation
433 accumulation in A3A or A3B knockout cells expressing HPV oncogenes) will be required to
434 help solve this question.

435

436 **Additional cellular signalling pathways linked to A3 regulation**

437 The appearance of the A3 mutational signature in genomes of cancers with (presumably) no
438 viral aetiology clearly implicates alternative mechanisms for A3 induction. In addition to the
439 p53-dependent repression and ATR/CHK1-dependent induction of A3B discussed above,
440 several additional cellular pathways have been shown to induce A3 expression and it is worth
441 considering how they may contribute to A3 activity against viral or host genomes in HPV
442 infected cells.

443

444 **Protein kinase C (PKC) signalling.** The twelve PKC isoforms regulate a plethora of biological
445 processes and are characterised as conventional/classical (cPKC), novel (nPKC), or atypical
446 (aPKC). Receptor-mediated activation of phospholipase-C gamma (PLC) causes hydrolysis of
447 the plasma membrane lipid, phosphatidylinositol 4,5-bisphosphate (PIP₂), into diacylglycerol
448 (DAG) and inositol trisphosphate (IP₃), with the latter stimulating release of intracellular Ca²⁺.
449 Both DAG and Ca²⁺ are required for cPKC activation, while nPKC activation is DAG-
450 dependent but Ca²⁺-independent (reviewed in Mellor and Parker, 1998; Newton, 2003). By
451 mimicking DAG, the phorbol ester, phorbol 12-myristate 13-acetate (PMA, a tumour promoter
452 in animal models) potently activates both the cPKCs and nPKCs. Depending on the cell type
453 examined, both A3A and A3B induction has been reported upon activation of PKC signalling:
454 A3A was originally identified as Phorbolin-1, a protein enriched in psoriatic keratinocytes that
455 could be induced by treatment of normal keratinocytes with PMA (Rasmussen & Celis 1993;

456 Madsen *et al.* 1999), while A3B but not A3A, is induced following PMA treatment of the
457 mammary epithelial cell line, MCF10A (Leonard *et al.* 2015). Conversely, while a recent study
458 conducted in normal oral keratinocytes (Siriwardena *et al.* 2018) and our own observations
459 using NIKS in which we have epitope-tagged the endogenous A3A and A3B genes (Smith and
460 Fenton, unpublished) confirm a strong, protein kinase C (PKC)-dependent increase in A3A
461 protein expression upon PMA treatment, A3B mRNA is induced to a far lesser extent in
462 keratinocytes, with minimal or no detectable increase in A3B protein.

463

464 PKC isoforms perform important functions in keratinocyte proliferation and differentiation
465 (Dlugosz & Yuspa 1993; Denning *et al.* 1995; Papp *et al.* 2003; Yang *et al.* 2003; Seo *et al.*
466 2004) and in the context of HPV infection, PKC- α and PKC- δ are required for high risk HPV-
467 31 genome amplification during the intermediate phase of viral replication, while expression
468 of E5 from HPV-16 in mouse fibroblasts causes PKC activation through activation of PLC γ
469 (Crusius *et al.* 1999; Bodily *et al.* 2006). Interestingly, both A3A and A3B were recently shown
470 to be upregulated during Ca²⁺-stimulated differentiation of W12 cells (Wakae *et al.* 2018) and
471 although the intracellular pathway mediating Ca²⁺-induced A3A/B upregulation was not
472 investigated in this study, it is well-established that increases in extracellular Ca²⁺ trigger
473 activation of PKCs via PLC in keratinocytes (Jaken & Yuspa 1988). Activation of PKC
474 signalling during differentiation of HPV-infected keratinocytes is therefore a likely means by
475 which at least A3A and possibly also A3B could become upregulated during productive HPV
476 infections, potentially triggering viral genome editing alongside amplification.

477

478 ***Viral nucleic acid sensing / interferon signalling.*** Antiviral responses can be triggered through
479 the sensing of foreign DNA in endosomes by a subset of Toll-like receptors (TLRs), or in the
480 cytoplasm by the cyclic GMP-AMP synthase (cGAS) / stimulator of interferon gene (STING)
481 pathway (Lebre *et al.* 2007; Suspène *et al.* 2017). Both pathways result in the induction of type-
482 1 interferons (IFNs), which in turn induce a host of interferon-stimulated genes (ISGs,
483 including several A3s) with a broad range of antiviral activities, and both are inhibited by HPV,
484 suggesting a role in sensing the virus (Hasan *et al.* 2007; Albertini *et al.* 2018). Human
485 keratinocytes express several TLRs, among which TLR9 is activated by DNA containing
486 unmethylated CpG motifs including a region from the HPV16 E6 gene (Hasan *et al.* 2007,
487 2013; Lebre *et al.* 2007). In addition to type 1 interferons it induces tumour necrosis factor
488 (TNF α), which has recently been shown to upregulate A3A in keratinocytes (Amcheslavsky *et*

489 *al.* 2004; Siriwardena *et al.* 2018). The suppression of TLR9 by E7 provides further evidence
490 of its importance in the innate immune responses to HPV (Hasan *et al.* 2007, 2013).

491

492 Both cGAS and the retinoic acid-inducible gene I (RIG-I, a sensor of viral RNA) have been
493 implicated in keratinocyte responses to HPV infection and RIG-I is required for induction of
494 A3A expression by cytoplasmic DNA in the monocytic leukaemia cell line, THP-1 (Suspène
495 *et al.* 2017; Albertini *et al.* 2018; Chiang *et al.* 2018). Until recently it was thought that sensing
496 of viral DNA was limited to the cytoplasm or endosome, however, sensors of nuclear viral
497 DNA (IFI16, recently reported to restrict HPV18 replication (Lo Cigno *et al.* 2015) and IFIX)
498 have been described that also act together with cGAS to induce IFN responses (reviewed in
499 Diner, Lum and Cristea, 2015), thus providing another mechanism by which A3 activity could
500 be induced in HPV-infected cells. Finally, HPV16 genome integration triggers a type I IFN
501 response in keratinocytes, leading to episome clearance, loss of E2 expression and therefore
502 upregulation of E6/E7 expression from the integrated virus (Pett *et al.* 2006). Whether viral
503 integration is accompanied by IFN induction *in vivo* remains unknown but if so it could
504 generate a burst of A3 expression in neoplastic cells consistent with the proposed pulsatile
505 nature of the APOBEC mutational process (Helleday *et al.* 2014).

506

507 Downstream of viral nucleic acid sensing and PKC pathways lie NFκB transcriptional
508 complexes known to participate in regulating A3B expression (Leonard *et al.* 2015; Maruyama
509 *et al.* 2016). NFκB complexes are also directly activated by HR-E6; they become progressively
510 activated during cervical cancer development (Nees *et al.* 2001; James *et al.* 2006; Da Costa *et al.*
511 *al.* 2016; Tilborghs *et al.* 2017) and therefore likely contribute to the high A3B expression
512 levels seen in these and other HPV-associated tumours. Finally, while little is yet known about
513 how the A3 proteins are regulated, A3A and A3C have both been reported to bind the
514 pseudokinase, TRIB3. TRIB3 is localised to the nucleus and appears to target nuclear A3A for
515 degradation, thus inhibiting deamination of genomic DNA upon transfection of A3A into Hela
516 cells (Aynaud *et al.* 2012). Knockdown of TRIB3 expression also increased A3A levels in
517 NIKS but without an apparent stabilization of the protein (Westrich *et al.* 2018), while in a
518 third study, Land and colleagues saw no effect of TRIB3 on A3A-GFP levels in HEK293T
519 cells but did not report whether the A3A-TRIB3 interaction (initially observed in a yeast-2-
520 hybrid screen) still occurred (Land *et al.* 2013). The fusion of GFP to A3A could possibly

521 explain the absence of TRIB3 regulation in the latter study but further work is required to
522 determine the significance of the A3A-TRIB3 interaction.

523

524 **Current questions**

525

526 We have seen that multiple A3s can be induced in HPV-infected cells, and that circumstantial
527 evidence supports a role for either or both A3A and A3B in generating mutations in host and
528 viral genomes. Other A3s (A3C, A3G and A3H) all remain potential candidates, at least for
529 viral genome editing. One outstanding question is whether viral and host genome editing are
530 linked events, mediated by the same A3 at the same time. In this model, HPV induces A3
531 activity as discussed, possibly to generate variation in viral progeny, suppress retroelement
532 replication-induced interferon responses or to mediate transcriptional functions allowing
533 suppression of TLR9 expression or induction of DNA replication. Due to other activities of the
534 virus however, such as the induction of replication stress, A3 activity against the host genome
535 can also occur and in rare circumstances, this results in mutations in cancer-causing genes such
536 as *PIK3CA*. Cells in which these mutations occur will gain a selective survival advantage but
537 may remain held in-check by the host immune system for many years and / or lack additional
538 genetic or epigenetic changes required to form an invasive carcinoma. In this scenario, A3
539 activity may contribute to tumour development even from the earliest stages of an HPV
540 infection. This scenario is represented in Figure 4 as ‘Early, transient’ or ‘Early, sustained’
541 temporal models of A3 activity, depending on whether tumour subclones in which A3
542 mutagenesis has occurred early sustain high A3 activity, or whether this is subsequently
543 selected against due to increased chance of deleterious mutations and/or generation of
544 neoantigens and therefore immune-mediated elimination (‘cancer immunoediting’ (Schreiber *et*
545 *al.* 2011)). It is noteworthy that HPV genomes are physically tethered to fragile sites in the host
546 genome via the chromatin modifier, BRD4 (Jang *et al.* 2014), thus their replication (and likely
547 A3 editing) occurs in very close proximity to host DNA, potentially increasing the danger of
548 off-target A3 activity, particularly during the stable maintenance phase of viral replication
549 which unlike amplification occurs concurrently with cellular genome replication in S-phase
550 (Sakakibara *et al.* 2013; Reinson *et al.* 2015). Alternatively, the initial A3 response to viral
551 infection may result in editing of HPV but not host DNA, with aberrant activity against the
552 host genome coming much later, for example induced by IFN signalling associated with
553 episome clearance or subsequent upregulation of E6/E7 from integrants due to loss of E2
554 expression. Either way, the genomic instability caused by high level E7 expression, together

555 with removal of p53 by E6 and chronic activation of NF- κ B could all fuel A3 mutagenesis
556 throughout tumour development, not necessarily mediated by the same A3(s) responsible for
557 the viral editing seen in benign lesions. This scenario is represented in Figure 4 as ‘Late,
558 transient’ or ‘Late sustained’ A3 activity, again depending on whether A3 mutagenesis is
559 ongoing at the time of diagnosis and subsequently during treatment (see below). Given the
560 mutational and gene expression data currently available it is difficult to say which of these
561 models is the more likely, not least because almost all these data come from resected primary
562 tumour samples (Figure 4). At least in the case of cervical cancer it is possible to study
563 precancerous lesions, affording a rare opportunity to address some of these questions; a
564 targeted NGS study in which a panel of 48 cancer-associated genes including *PIK3CA* were
565 sequenced in 35 cervical cancers and 23 CIN2/3 lesions found only the *PIK3CA* exon 9 (A3-
566 mediated) mutations were detectable in the CIN2/3 lesions, with the rest exclusive to invasive
567 carcinoma. *PIK3CA* exon 9 was then Sanger-sequenced in a further 35 cervical carcinomas,
568 209 CIN3, 144 CIN2, 154 CIN1 and 105 normal samples, with mutations detected in 37% of
569 carcinomas but only 2.4% of CIN3 lesions and none in earlier lesions or normal cervix, leading
570 the authors to conclude this is a late event in cervical carcinogenesis (Verlaet *et al.* 2015). It is
571 important to note however, that Sanger sequencing would not have permitted the detection of
572 *PIK3CA* mutations in minor sub-clones that could be present from a much earlier stage in
573 carcinogenesis, thus ultra-deep sequencing of CIN lesions will be required to fully address this
574 question.

575

576 Analysis of allele frequency (a measure of the clonality, or proportion of tumour cells in which
577 a mutation is found and therefore a proxy for the time at which it occurred) for cancer driver
578 mutations seen in TCGA WES data suggests that A3 signature mutations become increasingly
579 enriched in later stages of tumour development in several tumour types. A3 mutations in lung
580 adenocarcinoma are largely subclonal (i.e. those occurring later), often ‘taking over’ from the
581 tobacco-associated signature, which generates clonal driver mutations (i.e. initiating or early
582 events) in smokers, consistent with its role in lung carcinogenesis (de Bruin *et al.* 2014;
583 McGranahan *et al.* 2015). In bladder cancer, A3 signature mutations appear in pre-invasive
584 tumours but continue to accumulate through progression, becoming increasingly enriched in
585 muscle-invasive disease, as confirmed by sequencing of matched metachronous samples
586 (Nordentoft *et al.* 2014; Lamy *et al.* 2016). Interestingly in bladder cancer it appears that one
587 of the two A3 mutation signatures defined by Alexandrov and colleagues (signature 13) is
588 enriched early, while the other (signature 2) becomes enriched in subclones (Alexandrov *et al.*,

589 2013a; McGranahan *et al.*, 2015). The reason for this is unclear but may reflect differences in
590 DNA replication across deaminated sites in early versus late tumours. In breast cancer, there is
591 evidence that A3 signature mutations begin to accumulate prior to copy number changes and
592 that A3-generated mutation clusters ('kataegis') appear at several distinct stages during the
593 development of a single tumour, again implying pulses of A3 activity from an early point,
594 represented in Figure 4 as a 'Pulsatile' model for A3 mutagenesis (Nik-Zainal *et al.*, 2012a;
595 Nik-Zainal, *et al.*, 2012b; Helleday, Eshtad and Nik-Zainal, 2014).

596

597 Maybe more important than the question of when A3 activity against the host genome first
598 appears, is whether it is ongoing at the time of diagnosis (as represented by the 'Early,
599 sustained', 'Late, sustained' and 'Pulsatile' models for A3 mutagenesis set out in Figure 4).
600 Experiments in cultured cells suggest that acquired resistance to cancer therapy can occur both
601 by selection of rare, pre-existing drug-resistant subclones and *de novo* mutations in 'drug-
602 tolerant' cells (Hata *et al.* 2016; Ramirez *et al.* 2016), and evidence that A3 activity continues
603 to generate mutations during treatment is accumulating, both from sequencing of metastatic
604 bladder cancer, post-gemcitabine/cisplatin-based chemotherapy (Faltas *et al.* 2016) and from
605 experimental models, in which chemotherapy drugs including gemcitabine have been shown
606 to induce A3B expression and deaminase activity via ATR/CHK1 signalling (Kanu *et al.*
607 2016). These observations suggest A3 activity could contribute to the evolution of therapeutic
608 resistance, a possibility that is supported by a recent study in which suppression of A3B
609 expression by inducible RNA interference delayed the acquisition of tamoxifen resistance in a
610 xenografted breast cancer cell line (Law *et al.* 2016). Increased A3B expression is also
611 associated with shorter overall survival and progression-free survival in patients receiving
612 Tamoxifen treatment in ER+ breast cancer (Sieuwertts *et al.* 2014; Law *et al.* 2016). It appears
613 then, that there could be therapeutic benefit to be gained through inhibiting A3B and/or other
614 A3 enzymes as an adjuvant to chemotherapy; a notion underlying A3 drug discovery efforts
615 currently underway in academia and industry (Olson *et al.* 2018; Venkatesan *et al.* 2018). In
616 addition to suppressing *de novo* mutagenesis and therefore the emergence of drug-resistant
617 subclones, an inhibitor of A3B could also have anti-cancer effects by interfering with its
618 activity as an ER transcriptional co-activator in breast but possibly also in other estrogen-
619 responsive tissues including the cervix as discussed earlier and ovarian cancer, another
620 malignancy in which A3B activity has been implicated (Leonard *et al.* 2013).

621

622 One important consideration for developing A3 inhibitors as cancer therapies is whether a
623 selective inhibitor would be preferable to a pan-A3 inhibitor and if so, which would be the best
624 A3 to target. A rationale for selectively targeting A3B comes from the fact that it is a non-
625 essential gene in humans, as evidenced by the existence of a deletion polymorphism (A3A_B)
626 in which the A3A 3' untranslated region (UTR) and entire A3B open reading frame (ORF) are
627 absent and the A3A ORF is fused to the A3B 3' UTR (Figure 2). This polymorphism displays
628 a remarkable stratification across the global population, with a prevalence of 1% in Africa
629 rising to approximately 40% in South East Asia and South America and approaching fixation
630 in Oceania (Kidd *et al.* 2007). Somewhat surprisingly given the demonstrated mutagenic and
631 pro-growth functions of A3B in breast cancer cell lines, this deletion allele is associated with
632 an approximately 2-fold increased breast and ovarian cancer risk in Asian populations and in
633 certain European cohorts (Long *et al.* 2013; Xuan *et al.* 2013; Qi *et al.* 2014; Middlebrooks *et*
634 *al.* 2016; Wen *et al.* 2016). A recent Scandinavian study meanwhile, found an increased lung
635 cancer risk in A3A_B carriers aged under 50 and a similar age-related trend for prostate cancer
636 risk but no association with breast cancer risk, a result consistent with a further study conducted
637 in Sweden (Göhler *et al.* 2016; Gansmo *et al.* 2017). The reason for the increased cancer risk
638 associated with A3A_B remains unclear but it was shown that breast cancers from women
639 carrying at least one copy of the deletion allele harbour an increased burden of A3-related
640 mutations, suggesting another A3 enzyme is hyper-activated in these tumours (Nik-Zainal *et*
641 *al.* 2014). A hybrid A3A transcript encoded by a recombinant cDNA based on the A3A_B
642 allele accumulates to levels approximately 2-fold higher than those of A3A bearing its own
643 3'UTR in transient transfection experiments and in a Taiwanese oral squamous cell carcinoma
644 (OSCC) cohort, A3A was upregulated at both mRNA and protein levels and the A3 mutation
645 signature was enriched in the 50% of patients carrying the A3A_B allele (Caval *et al.* 2014;
646 Chen *et al.* 2017). Another study however proposed that mutations in A3A_B tumours are
647 generated by a specific variant of the polymorphic A3H gene. This variant (A3H haplotype I)
648 encodes a less stable but nuclear-localised protein that does not display linkage disequilibrium
649 with A3A_B but those A3A_B homozygous breast tumours with the highest A3 signature
650 mutation loads in TCGA cohort were found to be hetero- or homozygous for A3H-I (Starrett
651 *et al.* 2016). An A3B-selective inhibitor would therefore not be expected to display on-target
652 toxicity, although it would clearly be ineffective in A3A_B patients. In the Taiwanese OSCC
653 study, A3A expression was associated with longer disease-specific, disease-free and overall
654 survival specifically in those patients hetero- or homozygous for A3A_B, again supporting a
655 key role for A3A in these tumours (Chen *et al.* 2017). The authors of this study found that A3A

656 expression was reduced in tumours of higher stage but A3A expression was nevertheless
657 significantly associated with both overall and disease-specific survival in a multivariate
658 analysis including clinicopathological variables such as age, tumour stage, grade, evidence
659 perineural or bone invasion, in A3A_B carriers. The magnitude of this effect was marked, with
660 a disease-specific survival hazard ratio of 0.444 for ‘A3A-high’ tumours versus ‘A3A-low’
661 tumours. It is possible that the improved survival in this group could be linked to increased
662 neoantigen loads and therefore an enhanced adaptive immune response, as recently posited for
663 lung cancer, in which tumours with higher A3B levels displayed greater immune infiltration
664 and more durable responses to immune checkpoint blockade (Wang *et al.* 2018) and for bladder
665 cancer, in which a higher A3 signature mutation load was associated with improved prognosis
666 (Middlebrooks *et al.* 2016). It is also possible that the A3A expression detected in the
667 Taiwanese OSCC cohort emanated from infiltrating leukocytes rather than the tumour cells,
668 thus serving as a marker of immune infiltration. In this regard, it is interesting that the A3A_B
669 allele has previously been linked to increased immune infiltration in breast cancer (Cescon *et*
670 *al.* 2015; Wen *et al.* 2016). More studies on the expression and activity of the A3 enzymes in
671 A3A_B cells and tumours will be required to resolve these questions and more epidemiological
672 studies are needed to investigate potential associations between A3A_B and risk of other
673 cancers, including HPV-associated cancer. Given that A3A and A3B are induced by HR-HPV,
674 that HPV-associated cancers display such strong enrichment for the A3 mutational signature
675 and that A3 activity appears to generate within-host sequence variation in the viral genome, we
676 might expect A3A_B to confer an altered risk, either of persistent HPV infection and / or
677 carcinogenesis, and possibly even prognosis.

678

679 **Conclusions**

680

681 One or more of the A3 genes play important roles in the development of HPV-associated
682 cancers, by generating somatic mutations in the host genome but potentially also via their
683 activity against the virus. Our current understanding of A3-mediated mutagenesis in tumour
684 cells stems in large part from analysis of cancer sequencing data, supported by studies in which
685 A3 enzymes have been expressed either in human or yeast cells. The prospects of utilising A3s
686 as predictive biomarkers for cancer immunotherapy or targets for cancer treatment are
687 tantalising but much remains to be learned regarding which A3s are the most important players
688 in different cancers and how they become deregulated. To address such questions, we will need
689 to develop and utilise models in which we can study individual A3 genes in relevant models of

690 HPV infection and carcinogenesis. The relative ease of conducting loss-of-function studies
691 enabled by CRISPR-Cas9 technology (Shalem *et al.* 2015) should facilitate progress in this
692 regard, as will the chemical probes that we hope will soon emerge from A3 inhibitor
693 programmes (Olson *et al.* 2018). Studying A3 function in animal models remains a challenge
694 but approaches such as the expression of A3 transgenes in a mA3-null background provide
695 useful proof-of-concept and good mouse models of E6/E7-driven carcinogenesis are available
696 (Riley *et al.* 2003; Strati *et al.* 2006; Stavrou *et al.* 2014). In conclusion, the exploration of A3
697 involvement in cancer is still a new field, much remains unknown and we anticipate many
698 exciting developments in the coming years.

699

700 **Declaration of Interests**

701

702 The authors have no conflict of interest to declare.

703

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705

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708

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710

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713 to space restrictions.

714

715 **Figure Legends**

716

717 **Figure 1: HPV16 genome organisation and replication cycle. (A)** The HPV16 genome is
718 shown, with the E6 and E7 oncogenes represented in red, the remaining early genes in orange
719 and the genes encoding the major (L1) and minor (L2) capsid proteins shown in green. The
720 origin of replication in the long control region (yellow star) appears to be most heavily edited
721 by one or more human A3 enzymes. **(B)** The productive HPV replication cycle in stratified
722 epithelia: (1) Virus entry (purple dots) to the basal layer at the site of an abrasion (for example
723 in the stratified epithelium of the ectocervix) is shown; (2) infection of basal cells is followed

724 by expression of E6 and E7 (red), leading to host cell cycle entry and initial replication of the
725 HPV genome during S-phase; (3) cells in the mid-layer enter differentiation and are held in an
726 extended G2 phase during which HPV genomes are amplified by the host cell homology-
727 directed repair machinery (orange colour represents expression of HPV early genes E1, E2,
728 E4, E5); (4) virus assembly occurs in the upper layers, in which early gene expression is
729 replaced by L1 and L2 (green) during terminal differentiation and enucleation of host
730 keratinocytes; (5) viral particles (purple dots) are released from the epithelium (adapted from
731 Doorbar *et al.* 2012; Lechner & Fenton 2016).

732

733 **Figure 2: Schematic representation of the *APOBEC3* locus in humans and mice.**

734 Approximate relative lengths of the open-reading frames (ORFs) and 3' untranslated regions
735 (UTRs) of each gene are shown and homologous domains are represented in common colours.
736 The A3A_B deletion polymorphism is also represented, showing the fusion gene in which the
737 A3A ORF is fused to the A3B 3'UTR (relative 3' UTR sizes from UCSC Genome Browser
738 (<https://genome.ucsc.edu/>)).

739

740 **Figure 3: Regulation of *APOBEC3A* and *APOBEC3B* gene expression by several cellular**
741 **pathways impacted by HPV E6 or E7.** Pathways implicated in regulation of A3A and A3B
742 transcription are shown, grey arrows indicate proposed regulation via unknown intermediates,
743 dashed lines represent transcriptional regulation of the gene encoding the target protein, see
744 main text for details.

745

746 **Figure 4: A clonal selection model for HPV-associated tumour development and**
747 **progression, with alternative models for temporal involvement of A3 activity.** Top panel:

748 following persistent HPV infection, somatic alterations begin to accumulate in the host cell
749 genome, resulting in clonal expansion and the appearance of multiple subclones (increased
750 genetic variation, y-axis). Typically, cells in which viral integration occurs at particular site(s)
751 in the host cell genome will outgrow surrounding neoplastic clones, resulting in an invasive
752 carcinoma that is diagnosed and removed. The vast majority of information regarding somatic
753 mutations, mutational signatures and A3 gene expression currently comes from samples taken
754 at this point, thus it is not clear when A3 mutagenesis occurs during tumour development,
755 whether it is ongoing at the time of diagnosis and treatment and how it contributes to adaptation
756 to continual selection pressures or selective sweeps such as those shown in black dashed lines
757 (immunoediting or radiotherapy / chemotherapy respectively). Bottom panel: alternative

758 models for the temporal pattern of A3 activity (y-axis) against the host genome during HPV-
759 associated tumour development and progression (see main text for details). Note that the y-axis
760 represents ‘mutagenic A3 activity’ and not the expression of any one A3 enzyme. It is possible
761 that different A3s could be active at different stages of tumour development.

762

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764

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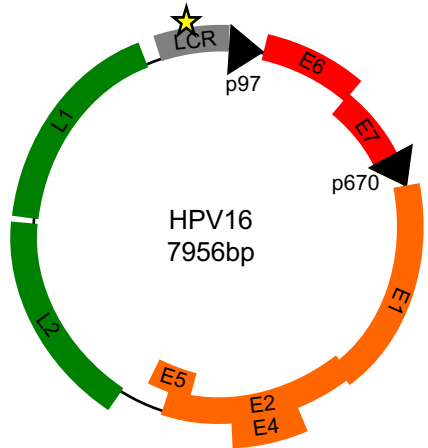
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1267

FIGURE 1

A



★ Origin of replication

B

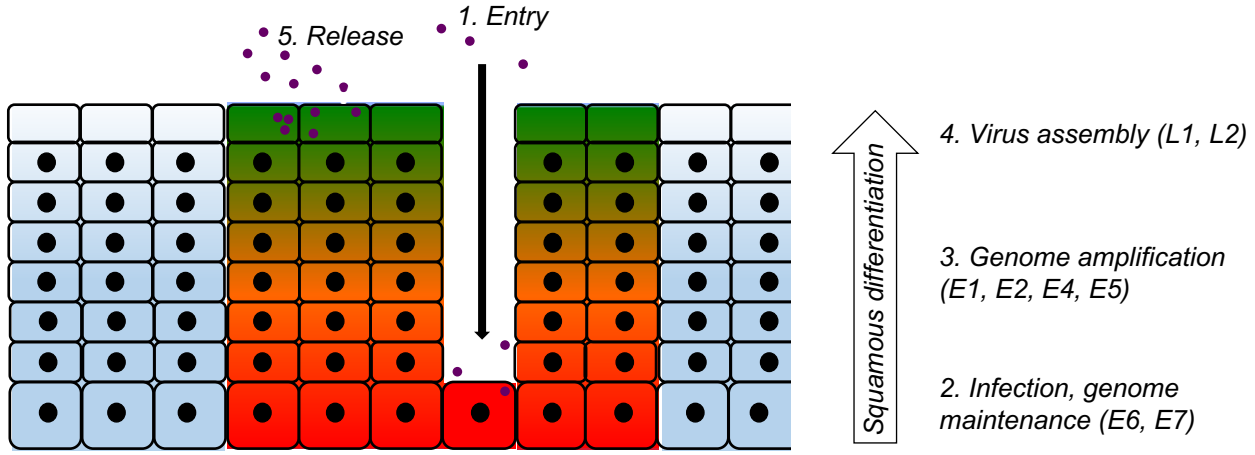
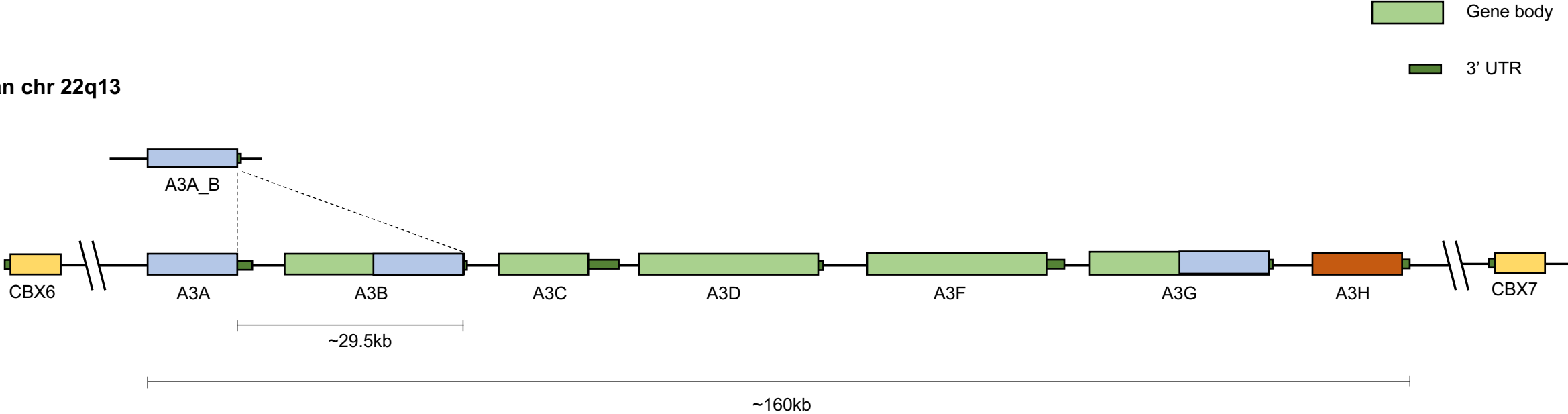


FIGURE 2

Human chr 22q13



Mouse chr 15



FIGURE 3

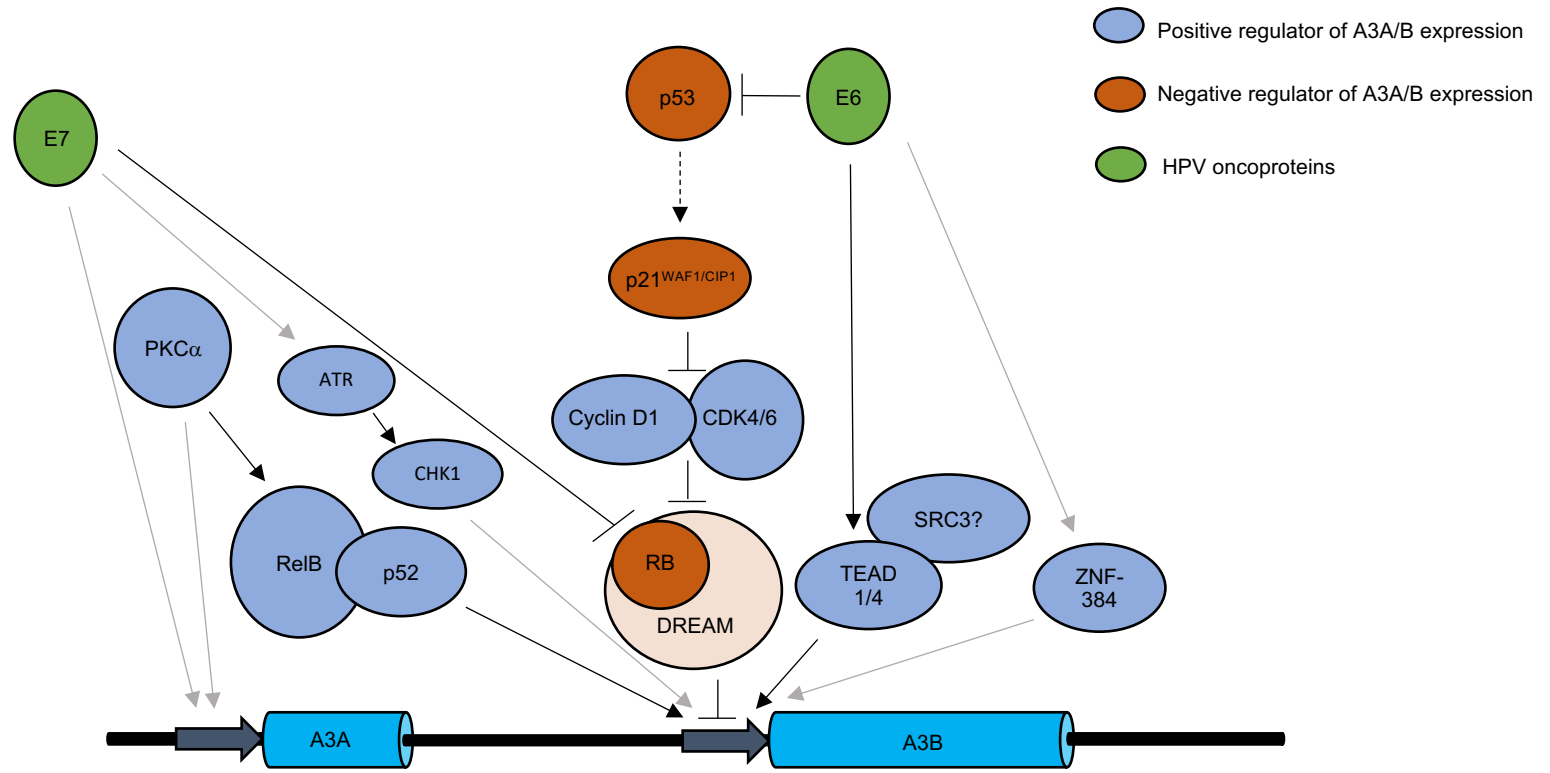


FIGURE 4

