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23 Human malignant tumours have developed a variety of effective molecular strategies which
24 allow them to escape host immune surveillance leading to a disease progression. This
25 includes haematological malignancies such as acute myeloid leukaemia (AML), a blood/bone
26 marrow cancer originating from self-renewing myeloid cell precursors which rapidly
27 becomes systemic. AML cells are capable of escaping immune attack despite being
28 permanently exposed to host immune cells including cytotoxic T cells (CTCs) and natural
29 killer (NK) cells¹. AML cells successfully implement biochemical mechanisms which allow
30 them to inactivate cytotoxic lymphoid cells (NK cells and CTCs) upon direct contact as well
31 as at a distance². In this case they not only “fight back” against immune cells but also
32 effectively prevent the actual process of cytotoxic immune attack. In this work we will
33 discuss several important biochemical mechanisms which allow AML cells to form
34 immunological synapses with cytotoxic lymphoid cells and also comprehensively inactivate
35 anti-cancer immunity at a distance.

36 T helper (Th) type cells generate and secrete interleukin-2 (IL-2), a stimulatory cytokine
37 which triggers activation of NK cells as well as CTCs³. Upon activation, these cytotoxic
38 lymphoid cells become capable of attacking malignant (AML for example) cells delivering
39 the proteolytic enzyme granzyme B into them. Granzyme B itself can directly activate one of
40 the key apoptotic enzymes, caspase-3. However, granzyme B performs cleavage of the pro-
41 apoptotic protein Bid, forming its active form tBid which negatively impacts on
42 mitochondrial function, inducing release of cytochrome c, one of the major components of
43 electron respiratory chain. Cytochrome c interacts with apoptotic protease activating factor-1
44 (Apaf-1) and pro-caspase-9 thus forming an apoptosome, which induces programmed death
45 of target cell².

46 It has become evident that AML cells are capable of expressing surface proteins such as
47 programmed death-1 (PD-1) receptor ligands (PD-Ls) 1 and 2 as well as CD86, the ligand of

48 cytotoxic T-cell antigen 4 (CTLA4)¹. T helpers and CTCs/NK cells express PD-1 receptors
49 on their surface. AML cell surface-based PD-1 ligands 1 and 2 (PD-L(i)) interact with PD-1
50 on lymphoid cell surfaces. As a result, T helper cells stop producing IL-2 required for the
51 activation of both CTCs and NK cells. PD-1 signalling attenuates the protein kinase C θ
52 (PKC- θ) loop thus preventing activation of transcription factors NF- κ B and AP-1, which are
53 required for IL-2 production⁴.

54 On the other hand, the interaction of PD-Ls with PD-1 on the surface of NK cells and CTCs
55 leads to their rapid inactivation, and, as a result, they lose ability to kill AML cells¹. In
56 addition, AML cells are often capable of expressing the CTLA4 ligand CD86. Interaction of
57 CD86 with CTLA4 rapidly leads to inactivation of effector T cells^{1,5}.

58 Thus, one could conclude that CD86 and PD-Ls 1 and 2 are involved in the formation of
59 immunological synapses with both regulatory and cytotoxic lymphoid cells leading to
60 downregulation of the biochemical activation of CTCs and NK cells. Direct interaction of
61 PD-Ls and CD86 with CTCs and NK cells leads to loss of their anti-cancer activities.
62 Schematically this process is shown in Figure 1.

63 Recent evidence also demonstrated the ability of AML cells to downregulate the activity of
64 cytotoxic lymphoid cells through lymphocyte-activation gene 3 (LAG-3), which is a homolog
65 of CD84. AML cells were reported to induce exhaustion of cytotoxic lymphoid cells through
66 LAG-3 but detailed mechanisms of this event remain to be elucidated^{1,5}.

67 Recently, it has become evident that the immune receptor Tim-3 (T cell immunoglobulin and
68 mucin domain containing protein 3) is involved in protecting AML cells against host immune
69 surveillance^{2,6}. Tim-3 has a natural ligand galectin-9 (a tandem protein which contains two
70 receptor-binding domains fused together by a peptide linker) which was suggested to form an
71 autocrine loop with the receptor⁷. When present on the cell surface, galectin-9 induces Tim-3

72 downstream signalling which includes activation of pathways responsible for cell survival⁷⁻⁹.
73 This first of all includes activation of transcription factor nuclear factor kappa B (NF- κ B)⁷,
74 translational pathways controlled by mammalian target of rapamycin (mTOR) and hypoxic
75 signalling required for the adaptation of AML cells to stress conditions and their survival in
76 general^{8,9}. The Tim-3-galectin-9 complex was also reported to activate the β -catenin pathway
77 which, together with NF- κ B, controls self-renewal of AML cells⁷. Taken together, one may
78 conclude that galectin-9 mediates survival signalling through Tim-3 (Figure 2).

79 Galectin-9 lacks a secretory domain and thus requires a trafficker in order to be taken to the
80 cell surface and then secreted^{2,10}. We have recently found that AML cells but not healthy
81 leukocytes express the neuronal receptor latrophilin 1 (LPHN1). LPHN1 is expressed in
82 haematopoietic stem cells, but disappears upon maturation unless they undergo malignant
83 transformation into AML cells. Using its natural ligands (for example fibronectin leucine rich
84 transmembrane protein 3, FLRT3), LPHN1 facilitates exocytosis of Tim-3-galectin-9, which
85 then triggers cell survival signalling. However, Tim-3 either on its own or in complex with
86 galectin-9 can also be proteolytically shed from the surface of AML cells thus leading to
87 secretion of both proteins. Galectin-9 interacts with NK cells and CTCs (most likely though
88 Tim-3)². This leads to impairing of cytotoxic activity of NK cells and killing of CTCs.
89 Interestingly, NK cells produce interferon gamma (IFN- γ) in response to stimulation with
90 galectin-9. IFN- γ induces the activation of indoleamine 2,3-dioxygenase (IDO1), an enzyme
91 converting L-tryptophan into formyl-L-kynurenine, which is then degraded into L-kynurenine
92 and released¹². L-kynurenine impairs the cytotoxic activity of NK cells².

93 Intriguingly, IFN- γ is also known to induce the expression of PD-Ls¹³, which might further
94 promote the ability of AML cells to protect themselves against host immune surveillance.

95 Soluble Tim-3 released by AML cells is capable of downregulating IL-2 secretion by Th cells
96 acting via a receptor which remains to be identified². This prevents the activation of cytotoxic
97 lymphoid cells. Importantly, the secretion of Tim-3 and galectin-9 allows AML cells to
98 suppress cytotoxic lymphoid cells at a distance thus minimising direct interaction with them.
99 This allows AML cells to “focus on” self-renewal thus leading to rapid disease progression.
100 The functioning of the Tim-3-galectin-9 secretory and signalling pathway in AML cells is
101 summarised in Figure 2.

102 Importantly, stress associated with the events described above leads to release of high
103 mobility group box 1 (HMGB1) protein by AML cells which finally triggers production of
104 interleukin 1 beta (IL-1 β) by healthy leukocytes¹⁴. IL-1 β was reported to induce the
105 expression and production of stem cell factor (SCF) by epithelial cells via mTOR pathway
106 and hypoxic signalling¹⁵. SCF is a major hematopoietic growth factor that controls the AML
107 progression thus becoming highly oncogenic¹⁵. In such a way, AML cells employ body
108 systems to produce factors required for their proliferation/disease progression^{14,15}.

109

110 Taken together, it is clear that AML cells implement comprehensive mechanisms in order to
111 escape immune surveillance and progress the disease. Pharmacological targeting of the
112 biochemical pathways responsible for immune escape will enable the human immune system
113 to potentially cure AML and thus avoid aggressive chemotherapy and bone marrow
114 transplantation. Therefore, design and development of new strategies for anti-AML
115 immunotherapy are a major focus for current applied AML research. It is also vital to
116 investigate whether other cancers operate similar mechanisms since certain solid tumours
117 (e.g. colon cancer¹⁶) were already reported to use the Tim-3-galectin-9 loop for immune
118 evasion.

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122 **References**

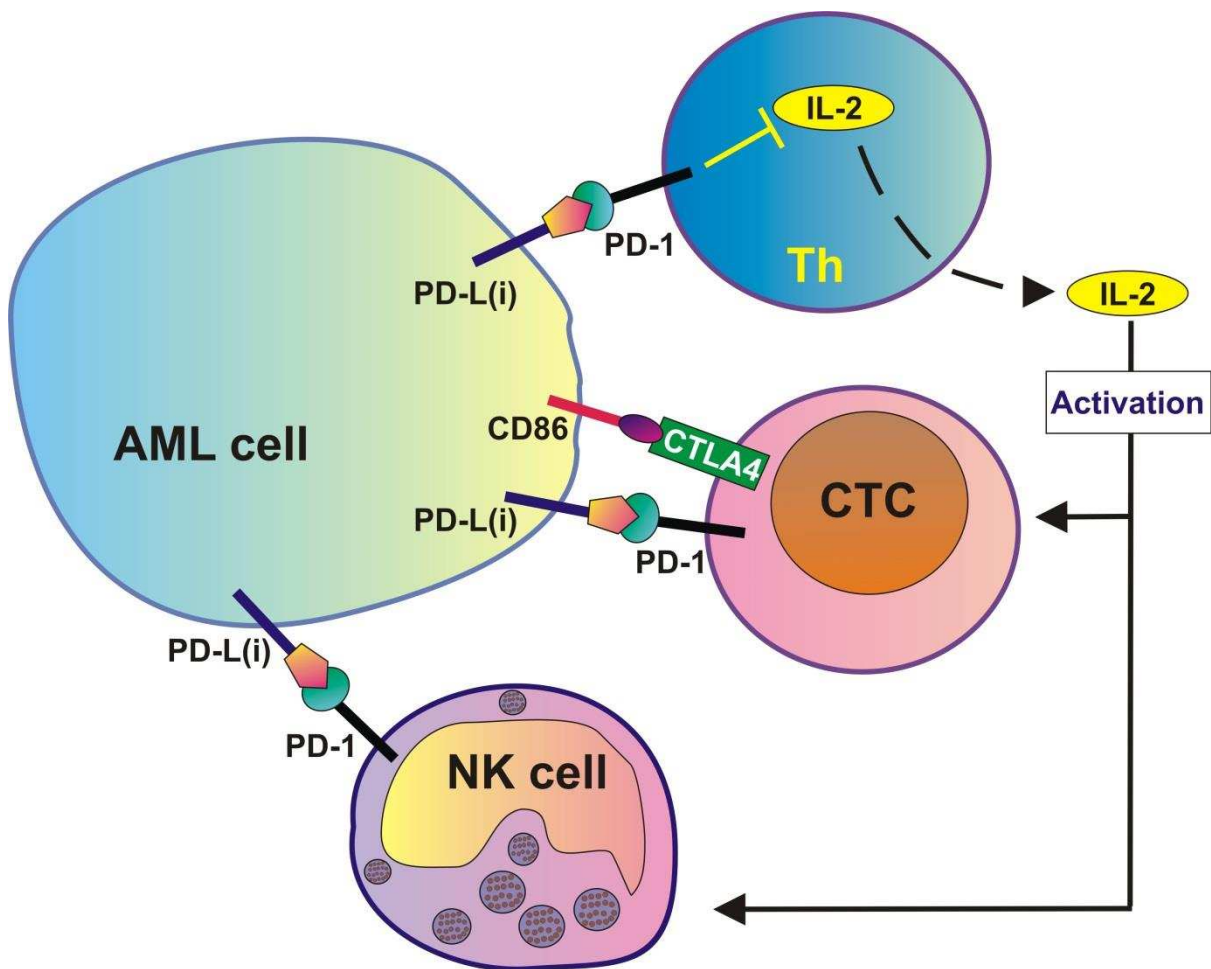
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172 **Figure Legends**

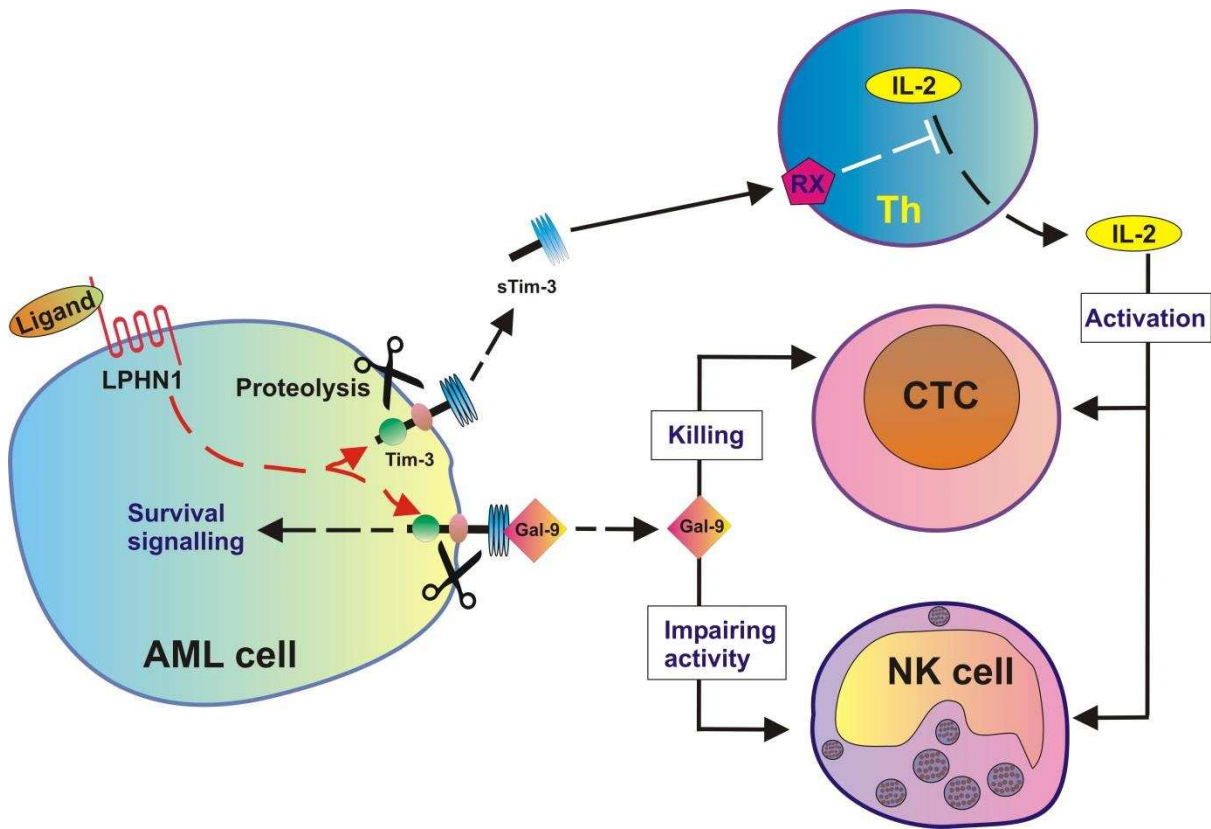
173 **Figure 1. AML cells suppress IL-2 production and the activity of cytotoxic lymphoid cells**
174 **via PD-1 and CTLA4 receptors**



175

176

177 **Figure 2. The Tim-3-galectin-9 pathway regulates both intracellular AML cell survival**
178 **signalling and immune escape.**



179