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# A Conditional Generative Model for Speech Enhancement

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Ian McLoughlin

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**Abstract** Deep learning based speech enhancement approaches like Deep Neural Networks (DNN) and Long-Short Term Memory (LSTM) have already demonstrated superior results to classical methods. However these methods do not take full advantage of temporal context information. While DNN and LSTM consider temporal context in the noisy source speech, it does not do so for the estimated clean speech. Both DNN and LSTM also have a tendency to over-smooth spectra, which causes the enhanced speech to sound muffled.

This paper proposes a novel architecture to address both issues, which we term a conditional generative model (CGM). By adopting an adversarial training scheme applied to a generator of deep dilated convolutional layers, CGM is designed to model the joint and symmetric conditions of both noisy and estimated clean spectra. We evaluate CGM against both DNN and LSTM in terms of Perceptual Evaluation of Speech Quality (PESQ) and Short-Time Objective Intelligibility (STOI) on TIMIT sentences corrupted by ITU-T P.501 and NOISEX-92 noise in a range of matched and mismatched noise conditions. Results show that both the CGM architecture and the adversarial training mechanism lead to better PESQ and STOI in all tested noise conditions. In addition to yielding significant improvements in PESQ and STOI, CGM and adversarial training both mitigate against over-smoothing.

**Keywords** Deep learning · Speech enhancement · Generative model

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## 1 Introduction

Speech enhancement aims to improve the quality and intelligibility of speech which is degraded by background noise. Recently, with the emergence of deep learning techniques, Deep Neural Network (DNN) based speech enhancement methods [?, ?, ?, ?, ?, ?, ?, ?, ?, ?, ?] have been demonstrated to outperform classical methods such as spectral subtraction [?] or log-MMSE [?, ?]. For example, in [?], a multi-objective learning framework was proposed to optimize a joint objective function, and mask-based post-processing used to improve performance. Also, in [?], the authors proposed enhancing the noisy and reverberant speech by learning a mapping to reverberant target speech. Most recent works [?, ?, ?, ?, ?] that employ Long-Short Term Memory (LSTM) [?] to better model the context of long term noisy speech, have achieved even better enhancement results.

However, two issues are still not well addressed in these advances. The first is that the temporal context information, which is characteristic of both noisy and clean speech time sequences, is not fully exploited. In conventional DNN and LSTM based methods, only the temporal context of noisy speech is considered, with the temporal dependency limited by the restricted number of input frames in DNN, or by the finite memory capacity of LSTM.

Meanwhile, the temporal context of output frames (i.e. estimated clean speech), is generally considered to be independent. This is obviously unlike real speech, which does exhibit temporal correlation between frames. Furthermore, past frames are not used as an input to the estimation. The second issue is spectral over-smoothing, generally resulting in muffled enhanced speech [?]. Current DNN and LSTM based methods usually adopt Minimum Mean Square Error (MMSE) as a training criterion, and are widely regarded as regression models. However, the MMSE criterion cannot effectively alleviate spectral over-smoothing, since it is found that smoothing effects often cause square error reduction during estimation [?, ?].

To address both issues, we propose a conditional generative model (CGM) for speech enhancement, which will be detailed in Section 2. CGM comprises a generator and a discriminator plus a training scheme. Specifically, we design a novel generator inspired by WaveNet [?] to take full advantage of temporal context information in both noisy and estimated clean speech spectra, and replace conventional MMSE with a more elaborate criterion that can provide a more realistic and accurate estimation. Furthermore, an adversarial training scheme inspired by Generative Adversarial Networks (GANs) [?] is adopted to achieve this.

As far as we know, the first attempt at using GAN for speech enhancement is SEGAN [?], in which a generator directly imports approximately one second of noisy waveform, then outputs a clean waveform of the same length. SEGAN achieved a Perceptual Evaluation of Speech Quality (PESQ) [?] improvement of approximately 0.2 over unprocessed noisy speech. It was a clear demonstration of the potential of GANs for speech enhancement. Unlike SEGAN, our proposed CGM architecture mainly operates in the frequency domain with

context input from both noisy and estimated clean speech spectra. Furthermore, CGM can support different generators. In Section 3 we make use of this property to evaluate using conventional DNN and LSTMs as generators within the new architecture. Evaluation in terms of PESQ and Short-Time Objective Intelligibility (STOI) [?] will show that CGM is able to effectively exploit the temporal context information in both noisy and clean speech. Section 4 will detail significant enhancement improvements compared to DNN and LSTM with both the architecture and the adversarial training scheme being shown able to contribute to overall performance. Section 5 will conclude the paper.

## 2 Conditional Generative Model

As mentioned, CGM is expected to model the conditional distribution of current clean spectrum, given input (noisy) and past estimated output (clean) spectra:

$$\mathbf{x}_t \sim p_r(\mathbf{x}_t | \mathbf{x}_{t-1}, \dots, \mathbf{x}_{t-C}, \mathbf{y}_{t+F}, \dots, \mathbf{y}_t, \dots, \mathbf{y}_{t-P}) \quad (1)$$

where  $\mathbf{x}_t$  and  $\mathbf{y}_t$  are the  $t$ -th frame of clean and noisy spectra and  $C$ ,  $F$  and  $P$  determine the receptive field of past clean spectra, future and past noisy spectra respectively. Unfortunately, the distribution shown in Eq. (1) is difficult to model explicitly because of the high dimensional continuous variable  $\mathbf{x}_t$ . However, it is possible to model the distribution implicitly according to the principles of the GAN framework. GAN generator  $G$ , estimates the  $t$ -th clean frame,  $\hat{\mathbf{x}}_t$ , as,

$$\hat{\mathbf{x}}_t = G(\mathbf{x}_{t-1}, \dots, \mathbf{x}_{t-C}, \mathbf{y}_{t+F}, \dots, \mathbf{y}_t, \dots, \mathbf{y}_{t-P}; \theta) \quad (2)$$

where  $\theta$  represents the parameter set of  $G$ , and generator  $G$  implicitly models a conditional distribution,

$$\hat{\mathbf{x}}_t \sim p_\theta(\hat{\mathbf{x}}_t | \mathbf{x}_{t-1}, \dots, \mathbf{x}_{t-C}, \mathbf{y}_{t+F}, \dots, \mathbf{y}_t, \dots, \mathbf{y}_{t-P}) \quad (3)$$

When adversarial training converges,  $p_\theta$  tends to converge to  $p_r$ , which means  $\hat{\mathbf{x}}_t$  and  $\mathbf{x}_t$  are expected to come from the same conditional distribution [?]. Therefore, in order to implement this idea, three components of CGM are needed; (i) a generator  $G$  which imports both noisy and estimated clean spectra to generate  $\hat{\mathbf{x}}_t$ ; (ii) a discriminator  $D$  which distinguishes whether the input is a real spectrum or not; (iii) an adversarial training scheme and a multi-step prediction training strategy.

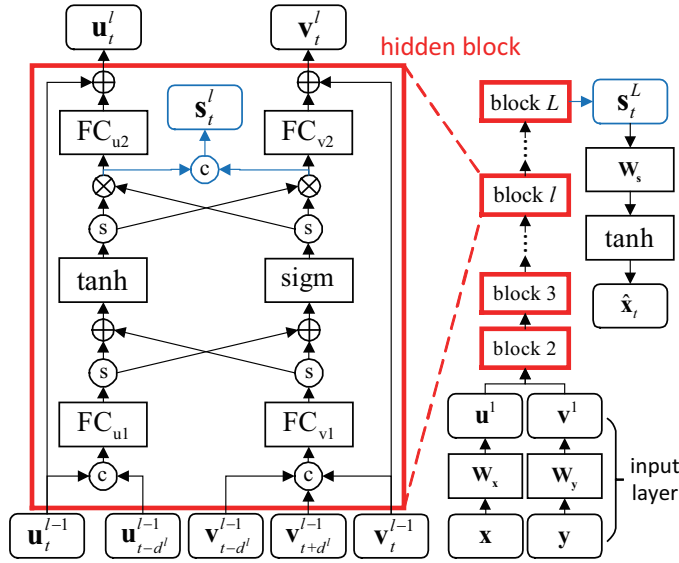
Conventional DNN and LSTMs can also work as generator  $G$  in the proposed architecture, although they would only use noisy spectra  $\mathbf{y}$  as input. The effectiveness of doing this will be evaluated in Section 3.

It is worth mentioning that in this paper, ‘real spectrum’ or ‘real sample’ refer to the magnitude spectrum of clean speech collected from dataset, while ‘fake spectrum’ or ‘fake sample’ denote the estimated clean speech magnitude spectrum generated by  $G$ .

## 2.1 Generator Architecture

In the experiments of this paper, the sampling rate for all waveforms was 16 kHz, from which magnitude spectra of dimension 257 were computed at 32 ms frame length with 10 ms shift. The generator  $G$  imports and outputs  $\mu$ -law companded [?] magnitude spectra of noisy and estimated clean speech. In fact, this setting is helpful for our generator, because unlike log magnitude spectra,  $\mu$ -law companded magnitude spectra lie in the range of  $[-1, 1]$ , which is more suitable for a feedback-structure model. Our initial experiments also showed that  $\mu$ -law companded magnitude spectra performed better than log magnitude spectra.

The architecture of  $G$  is shown in Fig. 1 and consists of three parts; input layer, several stacked hidden blocks and an output layer, all described below;



**Fig. 1** An overview of the generator  $G$  in CGM.  $\mathbf{x}$  and  $\mathbf{y}$  represent clean and noisy spectra. FC,  $\mathbf{W}$ ,  $\text{sigm}$ ,  $\text{c}$ ,  $\text{s}$ ,  $\otimes$  and  $\oplus$  represent full connection, linear transformation, sigmoid activation, concatenation, equally slicing operation, element-wise multiplication and addition respectively.

1) An input layer processes neighboring clean and noisy spectra at each time step with linear transformation:

$$\mathbf{u}_t^1 = \mathbf{W}_x[\mathbf{x}_{t-1}, \mathbf{x}_{t-2}] \quad (4)$$

$$\mathbf{v}_t^1 = \mathbf{W}_y[\mathbf{y}_{t+1}, \mathbf{y}_t, \mathbf{y}_{t-1}] \quad (5)$$

where  $[\cdot]$  represents concatenation.

2)  $L - 1$  hidden blocks are stacked, as shown in Fig. 1. Hidden blocks are designed with the main consideration that the enhancement of noisy spectra

will benefit from the estimation of clean spectra, and vice versa.  $FC_{u2}$  and  $FC_{v2}$  are two fully connected layers which have the same input and output dimensions, but use different sets of parameters. This setting follows the design methodology of ResNet [?], where  $FC_{u2}$  and  $FC_{v2}$  both correspond to the second weight layer in the building block. Meanwhile,  $\tanh$  and  $\text{sigm}$  are the main components of the gated activation unit, which was firstly introduced in [?] to replace ReLU [?], and was also used in [?]. For outputs  $\mathbf{u}_t^l$  or  $\mathbf{v}_t^l$ , the connections within each hidden block are symmetric with respect to inputs  $\mathbf{u}_*^{l-1}$  and  $\mathbf{v}_*^{l-1}$ . In addition, with the similar conditioning method in [?],  $\mathbf{u}_t^l$  and  $\mathbf{v}_t^l$  can be considered as conditional outputs, i.e.

$$\mathbf{u}_t^l = f(\mathbf{u}_t^{l-1}, \mathbf{u}_{t-d^l}^{l-1} | \mathbf{v}_{t+d^l}^{l-1}, \mathbf{v}_{t-d^l}^{l-1}), l = 2, \dots, L \quad (6)$$

$$\mathbf{v}_t^l = g(\mathbf{v}_{t+d^l}^{l-1}, \mathbf{v}_t^{l-1}, \mathbf{v}_{t-d^l}^{l-1} | \mathbf{u}_t^{l-1}, \mathbf{u}_{t-d^l}^{l-1}), l = 2, \dots, L \quad (7)$$

where  $d^l$  is the temporal dilation factor for hidden block  $l$ . In this paper, the dilation is doubled for every hidden block up to a limit and then repeated: e.g. 1,2,4,8,1,2,4,8. The dilation factors are based on the method used in the WaveNet [?] architecture. As long as  $L$  and  $d^l$  of all hidden blocks are known, according to eqns. (4) to (7),  $C = \sum d^l + 2$  and  $P = C - 1$ .

3) As shown in the right part of Fig. 1, output  $\hat{\mathbf{x}}_t$  is derived from skip vector  $\mathbf{s}_t^L$  of the last hidden block  $L$  with a linear transformation and a  $\tanh$  activation:

$$\hat{\mathbf{x}}_t = \tanh(\mathbf{W}_s \mathbf{s}_t^L) \quad (8)$$

where  $\mathbf{s}_t^L$  is the concatenation of the two gated activation units shown towards the top of the hidden block structure in the left part of Fig. 1.

There are two main differences between our proposed architecture and WaveNet. Firstly, WaveNet is a time-consuming architecture, for it predicts one waveform sample at a time, and needs many dilated convolution layers to obtain an adequate receptive field. By contrast, our proposed architecture processes each frame with much fewer convolution layers, which means it is likely to work faster than WaveNet. Secondly, the conditioning methods are different. In WaveNet, the linear transformed condition vector is added directly to activation inputs in all layers, while in our proposed architecture, condition vectors are extracted layer by layer.

## 2.2 Discriminator Architecture

The discriminator  $D$  imports one frame of 257-dimension magnitude spectra, and must determine whether it is from real or fake data. The architecture of  $D$  is similar to the DCGAN discriminator [?], and is composed of one-dimensional convolution, leaky ReLU [?], batch normalization [?] and full connection layers. Details of the architecture are shown in Table 1. This architecture cooperates with the adversarial training described in Section 2.3, which is based on the Wasserstein-GAN [?] approach, so the last layer is a linear transformation.

**Table 1** Discriminator  $D$  Architecture

Layer	Setting
Input	Input dimension=257
Convolution	Kernel size=8, Stride=4, Kernel number=64, Pad=2
Leaky ReLU	Slope=0.2
Convolution	Kernel size=8, Stride=4, Kernel number=128, Pad=2
Batch Normalization	Momentum=0.9, $\epsilon=0.001$
Leaky ReLU	Slope=0.25
Convolution	Kernel size=8, Stride=4, Kernel number=256, Pad=2
Batch Normalization	Momentum=0.9, $\epsilon=0.001$
Leaky ReLU	Slope=0.25
Full Connection	Output dimension=1

### 2.3 Adversarial Training

The two main differences between the proposed architecture and the Wasserstein-GAN system are how it is trained, and how it samples. Firstly, our CGM training criterion is more elaborate. The GAN loss functions for  $D$  and  $G$  are defined similar to [?] as:

$$L_D = \mathbb{E}_{\mathbf{z}} [\mathbb{E}_{\hat{\mathbf{x}}_t \sim p_\theta(\hat{\mathbf{x}}_t|\mathbf{z})} D(\hat{\mathbf{x}}_t) - \mathbb{E}_{\mathbf{x}_t \sim p_r(\mathbf{x}_t|\mathbf{z})} D(\mathbf{x}_t)] \quad (9)$$

$$L_G = -\mathbb{E}_{\mathbf{z}} \mathbb{E}_{\hat{\mathbf{x}}_t \sim p_\theta(\hat{\mathbf{x}}_t|\mathbf{z})} D(\hat{\mathbf{x}}_t) \quad (10)$$

where  $\mathbf{z} = \{\mathbf{x}_{t-1}, \dots, \mathbf{x}_{t-C}, \mathbf{y}_{t+F}, \dots, \mathbf{y}_t, \dots, \mathbf{y}_{t-P}\}$  is a pair of sequences of clean spectra and corresponding noisy spectra, forming the input of  $G$ . Eqns. (9) and (10) encourage  $G$  to generate realistic spectra, which ideally cannot be distinguished from real ones by  $D$ , but they do not indicate the amount of error (or correspondence) between  $\hat{\mathbf{x}}_t$  and  $\mathbf{x}_t$ . In order to solve this problem,  $L_G$  is modified by adding a Mean Square Error (MSE) component to the loss function, which is complementary to GAN loss,

$$L_G = \mathbb{E}_{\mathbf{z}} [-\alpha \mathbb{E}_{\hat{\mathbf{x}}_t \sim p_\theta(\hat{\mathbf{x}}_t|\mathbf{z})} D(\hat{\mathbf{x}}_t) + (1 - \alpha) \mathbb{E}_{\hat{\mathbf{x}}_t \sim p_\theta(\hat{\mathbf{x}}_t|\mathbf{z}), \mathbf{x}_t \sim p_r(\mathbf{x}_t|\mathbf{z})} \frac{1}{2} \|\hat{\mathbf{x}}_t - \mathbf{x}_t\|_2^2] \quad (11)$$

where  $\alpha \in [0, 1)$  is a scalar controlling the relative weighting of GAN and MSE loss. Note that  $\alpha$  cannot be set to 1 because this will cause MSE loss to be ignored. As a result,  $G$  would not generate correct spectra during inference and would fail to enhance noisy speech.

Secondly, a sampling method needs to be defined for eqns. (9) and (11), that incorporates both clean and noisy inputs. To do this, a pair of corresponding clean and noisy spectra sequences,  $\mathbf{z}$ , are sampled, from which  $G$  generates a fake sample  $\hat{\mathbf{x}}_t$ . Next the real sample  $\mathbf{x}_t$  corresponding to  $\mathbf{z}$  is selected. More details will be given in Section 2.5.

## 2.4 Multi-step Prediction Training

Using a conventional training procedure [?], a mismatch problem would arise between training and inference stages. This is because, in conventional training, clean spectra of  $\mathbf{z}$  are real spectra  $\mathbf{x}_{t-1}, \dots, \mathbf{x}_{t-C}$ , whereas in the inference stage, these change to predicted spectra  $\hat{\mathbf{x}}_{t-1}, \dots, \hat{\mathbf{x}}_{t-C}$ . The errors between the two spectra lead to a mismatch, especially prevalent in low SNR situations. To alleviate this, we adopt a multi-step prediction training strategy. During training,  $G$  does look-ahead prediction in sequential time steps. After each spectra is predicted, it is fed back as the next estimated clean input of  $G$ . When multi-step prediction has finished, the loss gradients across all time steps are back-propagated as a batch.

## 2.5 Complete Training Algorithm

By combining adversarial training and multi-step prediction training described in Section 2.3 and 2.4, we propose the complete training algorithm for CGM, as detailed in Algorithm 1. The main part, line 15 to 22 in Algorithm 1, is illustrated and described in Fig. 2.

It is worth mentioning that, to implement multi-step prediction training, two fixed-size queues,  $\mathbf{Q}_x$  and  $\mathbf{Q}_y$ , are used to store the input sequences of clean and noisy spectra,  $[\mathbf{x}_{t-1}, \dots, \mathbf{x}_{t-C}]$  and  $[\mathbf{y}_{t+F}, \dots, \mathbf{y}_{t-P}]$ , respectively at current prediction step  $t$ . Therefore, the sizes of  $\mathbf{Q}_x$  and  $\mathbf{Q}_y$  are  $C$  and  $F+1+P$  respectively. At the beginning of each step, the input of  $G$ ,  $\mathbf{z}$ , is built with all items of  $\mathbf{Q}_x$  and  $\mathbf{Q}_y$ . Then at the end of each step, the last items of  $\mathbf{Q}_x$  and  $\mathbf{Q}_y$  are popped out, and the newly estimated clean spectrum is pushed into the front of  $\mathbf{Q}_x$ . Likewise, the next noisy spectrum is pushed into the front of  $\mathbf{Q}_y$ .

## 3 Experiments

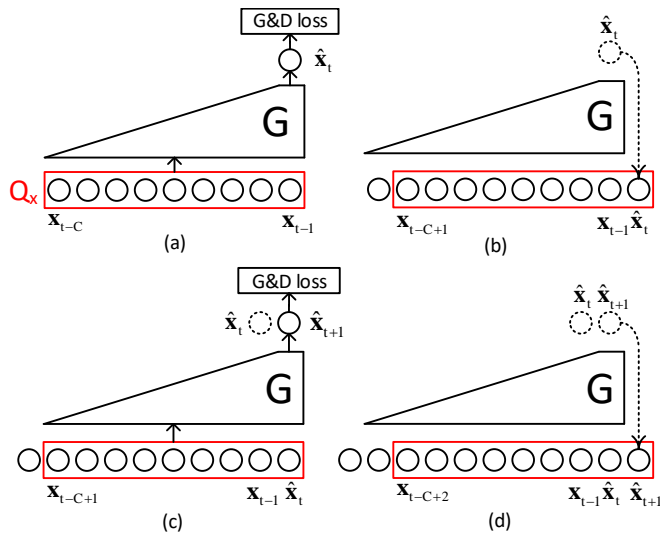
### 3.1 Experimental Settings

Experiments employed all 4620 TIMIT [?] utterances for training, along with copies corrupted by 6 SNR levels of 13 types of noise<sup>1</sup>. Meanwhile, 192 utterances from the TIMIT core test set, along with utterances corrupted by 6 noise types<sup>2</sup> at 4 levels of  $\{-5, 0, 5, 10\}$ dB SNR, were used to build the test set. Four generative models were used for comparison.

<sup>1</sup> Noises were *con\_bin*, *met\_mono*, *off\_mono*, *car\_mono*, *rai\_mono*, *res\_mono*, *train*, *traffic* from the ITU-T recommendation P.501 database [?] and *white*, *factory1*, *factory2*, *babble*, *machinegun* from NOISEX-92 [?], each at levels of  $\{-5, 0, 5, 10, 15, 20\}$ dB SNR.

<sup>2</sup> Matched noises: *white* from NOISEX-92 database, *res\_mono* and *con\_bin* from ITU-T recommendation P.501 database; Mismatched noises: *destroyerops*, *f16* and *m109* from NOISEX-92 database.





**Fig. 2** An illustration of Multi-step Prediction Training in the complete training algorithm of CGM. (a) to (d) mainly shows the procedure of line 15 to 22 in Algorithm 1 when  $s = 0$  and  $s = 1$ . The noisy input part is omitted for ease of presentation. Each circle represents one frame of clean or estimated clean spectrum. "G&D loss" corresponds to the calculation of  $L_G$  and  $L_D$  (line 18 and 19 in Algorithm 1). (a)  $s = 0$ ,  $\mathbf{Q}_x$  is initialized with all clean spectra, then estimated clean spectrum  $\hat{\mathbf{x}}_t$  is generated by  $G$ .  $L_G$  and  $L_D$  at current frame are calculated and accumulated. (b)  $s = 0$ , the last item  $\mathbf{x}_{t-C}$  is popped out from  $\mathbf{Q}_x$ , and  $\hat{\mathbf{x}}_t$  is pushed at the front of  $\mathbf{Q}_x$ . (c)  $s = 1$ ,  $\hat{\mathbf{x}}_{t+1}$  is generated given already changed  $\mathbf{Q}_x$ , and another frame of  $L_G$  and  $L_D$  are accumulated. (d)  $s = 1$ , the last item  $\mathbf{x}_{t-C+1}$  is popped out from  $\mathbf{Q}_x$ , and  $\hat{\mathbf{x}}_{t+1}$  is pushed at the front of  $\mathbf{Q}_x$ .  $\mathbf{Q}_y$  behaves similarly as  $\mathbf{Q}_x$ , with the difference that clean spectrum is replaced by noisy spectrum. This procedure repeats until  $s > S - 1$ , with clean spectra in  $\mathbf{Q}_x$  gradually replaced by estimated clean spectra. At last,  $\theta$  or  $\phi$  is updated according to line 23 to 28 in Algorithm 1, when all  $L_G$  and  $L_D$  are accumulated.

1. **DNN** was a baseline deep neural network [?] consisting of 3 hidden layers with 2048 ReLU activation units per layer and a 257-dimensional output layer. Batch Normalization [?] was employed to improve generalization.
2. **LSTM** was a baseline LSTM system [?] consisting of 3 hidden layers with 620 cells per layer and a 257-dimensional output layer.
3. **CGM<sub>S</sub>** was a short context CGM, sized to match the temporal context of the baseline DNN system. In CGM<sub>S</sub>,  $L=3$ ,  $[d^2, d^3]=[1,2]$ , and the dimension of  $s_t^l$  was  $544 \times 2$ . From Section 2.1, we can see that  $C=5$  and  $P=4$ .
4. **CGM<sub>L</sub>** was designed to make use of a longer temporal context with  $L=9$  and  $[d^2, \dots, d^9]=[1,2,4,8,1,2,4,8]$ . The dimension of  $s_t^l$  was  $256 \times 2$ .  $C$  was therefore set to 32 and  $P$  to 31.

For both DNN and LSTM, 9 frames of noisy log magnitude spectra were concatenated as the input vector at each time step to give a fair comparison between methods. Setting  $F = 4$  for the CGMs ensured that all four models imported the same future noisy context of  $\mu$ -law companded [?] input magnitude spectra. In terms of the past noisy spectra, DNN and CGM<sub>S</sub>

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**Algorithm 1** Complete training algorithm for CGM. Default parameter values used in experiments of this paper:  $S = 33$ ,  $K_0 = 5$ ,  $\mu = 0.00002$ ,  $m = 256$ ,  $c = 0.02$ ,  $\alpha = 0.5$ .

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**Input:**  
Prediction step size,  $S$ ;  
The number of iteration of  $D$  per  $G$  iteration,  $K_0$ ;  
Learning rate,  $\mu$ ;  
Batch size,  $m$ ;  
Clipping parameter,  $c$ ;  
Weighting parameter,  $\alpha$ ;

**Output:**  
 $G$  parameter set,  $\theta$ ;  
 $D$  parameter set,  $\phi$ ;

- 1: Randomly initialize  $\theta$  and  $\phi$ ;
- 2:  $p = 1$ ; //  $p$  records total iteration times
- 3: **while**  $\theta$  has not converge **do**
- 4:   **if**  $\text{mod}(p, K_0 + 1) \neq 0$  **then**
- 5:      $K = K_0$ ; // Optimize  $D$
- 6:   **else**
- 7:      $K = 1$ ; // Optimize  $G$
- 8:   **end if**
- 9:   **for**  $k = 1$  to  $K$  **do**
- 10:     Clear  $D$  loss:  $L_D = 0$ ;
- 11:     Clear  $G$  loss:  $L_G = 0$ ;
- 12:     Sample a batch:  $\{\mathbf{x}_{t+S-1}^{(i)}, \dots, \mathbf{x}_{t-C}^{(i)}, \mathbf{y}_{t+F+S}^{(i)}, \dots, \mathbf{y}_{t-P}^{(i)}\}_{i=1}^m$ .
- 13:     Initialize clean input queue:  $\mathbf{Q}_x = [\{\mathbf{x}_{t-1}^{(i)}\}_{i=1}^m, \dots, \{\mathbf{x}_{t-C}^{(i)}\}_{i=1}^m]$ ;
- 14:     Initialize noisy input queue:  $\mathbf{Q}_y = [\{\mathbf{y}_{t+F}^{(i)}\}_{i=1}^m, \dots, \{\mathbf{y}_{t-P}^{(i)}\}_{i=1}^m]$ ;
- 15:     **for**  $s = 0$  to  $S - 1$  **do**
- 16:       Build  $G$  input:  $\mathbf{z} = \{\mathbf{Q}_x, \mathbf{Q}_y\}$ ;
- 17:       Estimate clean spectra:  $\{\hat{\mathbf{x}}_{t+s}^{(i)}\}_{i=1}^m = G(\mathbf{z})$ ;
- 18:       Accumulate  $D$  loss:  $L_D = L_D + \frac{1}{m} \sum_{i=1}^m [D(\hat{\mathbf{x}}_{t+s}^{(i)}) - D(\mathbf{x}_{t+s}^{(i)})]$ ;
- 19:       Accumulate  $G$  loss:  $L_G = L_G + \frac{1}{m} \sum_{i=1}^m [-\alpha D(\hat{\mathbf{x}}_{t+s}^{(i)}) + (1-\alpha) \frac{1}{2} \|\hat{\mathbf{x}}_{t+s}^{(i)} - \mathbf{x}_{t+s}^{(i)}\|_2^2]$ ;
- 20:       Pop out the last item of  $\mathbf{Q}_x$ , push  $\{\hat{\mathbf{x}}_{t+s}^{(i)}\}_{i=1}^m$  at the front of  $\mathbf{Q}_x$ ;
- 21:       Pop out the last item of  $\mathbf{Q}_y$ , push  $\{\mathbf{y}_{t+F+s+1}^{(i)}\}_{i=1}^m$  at the front of  $\mathbf{Q}_y$ ;
- 22:     **end for**
- 23:     **if**  $\text{mod}(p, K_0 + 1) \neq 0$  **then**
- 24:       Update  $\phi$  according to  $\nabla_{\phi} L_D$  using RMSProp [?] at learning rate  $\mu$ ;
- 25:       Clip  $\phi$ :  $\phi = \text{clip}(\phi, -c, c)$ ;
- 26:     **else**
- 27:       Update  $\theta$  according to  $\nabla_{\theta} L_G$  using RMSProp at learning rate  $\mu$ ;
- 28:     **end if**
- 29:      $p = p + 1$
- 30:   **end for**
- 31: **end while**

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processed the same 4 frames,  $\text{CGM}_L$  exploited 31 frames, while LSTM exploited all past frames. Meanwhile the number of parameters in DNN, LSTM and  $\text{CGM}_S$  were all about 13.7 million, and  $\text{CGM}_L$  contained fewer, at approximately 12.3 million. As discussed, only the CGMs were able to make use of past clean estimated spectra, with  $\text{CGM}_S$  and  $\text{CGM}_L$  importing 5 and 32 frames respectively per step.

**Table 2** Exploring the benefit of incorporating clean estimated data as well as noisy input data in the model. PESQ and STOI for various system configurations in different levels of machined and unmatched noise.

dB SNR	Matched noise				Mismatched noise				Mean
	-5	0	5	10	-5	0	5	10	
PESQ									
Noisy	1.376	1.716	2.075	2.432	1.487	1.840	2.199	2.544	1.959
DNN	1.967	2.449	2.820	3.084	1.876	2.322	2.686	2.985	2.524
CGM <sub>S</sub>	2.250	2.684	3.006	3.260	<b>2.018</b>	2.427	2.770	3.081	2.687
LSTM	2.289	2.712	3.006	3.230	1.955	2.415	2.791	3.104	2.688
CGM <sub>L</sub>	<b>2.486</b>	<b>2.900</b>	<b>3.188</b>	<b>3.407</b>	2.006	<b>2.443</b>	<b>2.809</b>	<b>3.131</b>	<b>2.796</b>
STOI									
Noisy	0.597	0.710	0.812	0.889	0.612	0.717	0.812	0.888	0.755
DNN	0.721	0.821	0.884	0.922	0.683	0.798	0.872	0.917	0.827
CGM <sub>S</sub>	0.781	0.864	0.916	0.948	<b>0.722</b>	0.825	0.893	0.937	0.861
LSTM	0.791	0.862	0.904	0.931	0.701	0.811	0.881	0.923	0.851
CGM <sub>L</sub>	<b>0.840</b>	<b>0.899</b>	<b>0.935</b>	<b>0.958</b>	0.720	<b>0.827</b>	<b>0.897</b>	<b>0.942</b>	<b>0.878</b>

The adversarial training scheme of Section 2.3, was evaluated with  $\alpha$  set to 0.5 in eqn. (11). Conventional MMSE training [?] was evaluated by setting  $\alpha = 0$  in eqn. (11). The RMSProp algorithm was adopted for the adversarial training, with a fixed learning rate of 0.00002. MMSE applied the Adam algorithm [?] with a fixed learning rate of 0.0002. All experiments were implemented using MXNet [?], with the enhanced waveform reconstructed from the estimated clean magnitude spectra, using phase from the original noisy speech.

## 4 Results and Analysis

In this section, results are evaluated to separately identify the benefits of (i) utilizing estimated clean speech as an input, (ii) making use of longer temporal context and (iii) employing adversarial training, before presenting the combined results.

### 4.1 Utilizing estimated clean speech as input

The hypothesis that exploiting the temporal context in clean estimated speech, in addition to noisy input speech would be advantageous, is tested in this section. To do this we constructed an experiment in which the two CGM structures were operated *without* the benefit of adversarial training, and compared directly with their matching baseline systems. PESQ and STOI results are shown in Table 2, for both matched and mismatched noise experiments in each system. Each CGM was operated with  $\alpha = 0$  to disable adversarial training. For comparison, scores are also given for un-enhanced noisy input speech.

**Table 3** Exploring the benefit of increasing temporal context. PESQ and STOI are assessed for various CGM configurations in different levels of machined and unmatched noise, both with adversarial training ( $\alpha = 0.5$ ) and without ( $\alpha = 0$ ). The gain in score achieved by the additional temporal context is given as a percentage, for each noise level.

dB SNR	$\alpha$	Matched noise				Mismatched noise				Mean
		-5	0	5	10	-5	0	5	10	
PESQ										
CGM <sub>S</sub>	0	2.250	2.684	3.006	3.260	2.018	2.427	2.770	3.081	2.687
CGM <sub>L</sub>	0	2.486	2.900	3.188	3.407	2.006	2.443	2.809	3.131	2.796
CGM <sub>S</sub>	0.5	2.280	2.727	3.049	3.291	<b>2.046</b>	2.440	2.778	3.075	2.711
CGM <sub>L</sub>	0.5	<b>2.520</b>	<b>2.934</b>	<b>3.223</b>	<b>3.445</b>	2.041	<b>2.464</b>	<b>2.827</b>	<b>3.148</b>	<b>2.825</b>
Gain <sub>S → L</sub>		10.5%	7.8%	5.9%	4.6%	-0.4%	0.8%	1.6%	2.0%	4.1%
STOI										
CGM <sub>S</sub>	0	0.781	0.864	0.916	0.948	0.722	0.825	0.893	0.937	0.861
CGM <sub>L</sub>	0	<b>0.840</b>	<b>0.899</b>	<b>0.935</b>	<b>0.958</b>	0.720	<b>0.827</b>	<b>0.897</b>	<b>0.942</b>	0.877
CGM <sub>S</sub>	0.5	0.781	0.864	0.916	0.948	0.716	0.822	0.891	0.936	0.859
CGM <sub>L</sub>	0.5	<b>0.840</b>	<b>0.899</b>	<b>0.935</b>	<b>0.958</b>	<b>0.723</b>	<b>0.827</b>	<b>0.897</b>	<b>0.942</b>	<b>0.878</b>
Gain <sub>S → L</sub>		7.6%	4.1%	2.1%	1.1%	0.3%	0.4%	0.6%	0.6%	2.0%

From this table, we can compare results for CGM<sub>S</sub> and DNN, which have matching input context lengths, similar numbers of trainable parameters and the same training criteria. The improvement in overall mean PESQ from 2.524 to 2.687, and STOI improvement from 0.827 to 0.861 comes about primarily from the use of additional information contained within the estimated clean speech context.

Similarly we can compare CGM<sub>L</sub> and LSTM, where the CGM uses less temporal context than LSTM, has fewer parameters and the same training criteria, but includes the additional estimated clean speech context. This allows the CGM to improve mean PESQ from 2.688 to 2.796 and STOI from 0.851 to 0.878.

Not only do the overall mean results improve through the use of estimated clean speech context, but the PESQ and STOI are improved for every tested level of noise in both noise conditions. There is thus a clear benefit obtained from incorporating past clean estimated speech frames in the model for subsequent frames.

## 4.2 Increasing temporal context

The two CGMs, with different temporal context lengths (9 and 31 respectively), were operated both with and without adversarial training, to determine whether increased temporal context was beneficial to performance. Results are shown in Table 3 for the tested noise levels in both matched and mismatched conditions. A percentage improvement in PESQ and STOI is given for each noise level and condition, as a separate row below the results for each evaluation type.

It can be seen that the improvement from additional context is almost (but not quite) identical when  $\alpha = 0$  to when  $\alpha = 0.5$ , but in both cases, the

**Table 4** Exploring the benefit of adversarial training. PESQ and STOI are assessed for various system configurations in different levels of machined and unmatched noise, both with adversarial training ( $\alpha = 0.5$ ) and without ( $\alpha = 0$ ).

dB SNR	$\alpha$	Matched noise				Mismatched noise				Mean
		-5	0	5	10	-5	0	5	10	
PESQ										
Noisy speech		1.376	1.716	2.075	2.432	1.487	1.840	2.199	2.544	1.959
DNN	0	1.967	2.449	2.820	3.084	1.876	2.322	2.686	2.985	2.524
DNN	0.5	2.157	2.633	2.997	3.270	1.918	2.346	2.711	3.028	2.633
LSTM	0	2.289	2.712	3.006	3.230	1.955	2.415	2.791	3.104	2.688
LSTM	0.5	2.335	2.760	3.060	3.293	1.969	2.425	2.803	3.120	2.721
CGM <sub>S</sub>	0	2.250	2.684	3.006	3.260	2.018	2.427	2.770	3.081	2.687
CGM <sub>S</sub>	0.5	2.280	2.727	3.049	3.291	<b>2.046</b>	2.440	2.778	3.075	2.711
CGM <sub>L</sub>	0	2.486	2.900	3.188	3.407	2.006	2.443	2.809	3.131	2.796
CGM <sub>L</sub>	0.5	<b>2.520</b>	<b>2.934</b>	<b>3.223</b>	<b>3.445</b>	2.041	<b>2.464</b>	<b>2.827</b>	<b>3.148</b>	<b>2.825</b>
Adv. Gain		3.3%	2.9%	2.6%	2.4%	1.5%	0.7%	0.6%	0.6%	1.8%
STOI										
Noisy speech		0.597	0.710	0.812	0.889	0.612	0.717	0.812	0.888	0.755
DNN	0	0.721	0.821	0.884	0.922	0.683	0.798	0.872	0.917	0.827
DNN	0.5	0.764	0.849	0.902	0.935	0.699	0.806	0.878	0.923	0.845
LSTM	0	0.791	0.862	0.904	0.931	0.701	0.811	0.881	0.923	0.851
LSTM	0.5	0.798	0.866	0.908	0.935	0.702	0.811	0.882	0.924	0.853
CGM <sub>S</sub>	0	0.781	0.864	0.916	0.948	0.722	0.825	0.893	0.937	0.861
CGM <sub>S</sub>	0.5	0.781	0.864	0.916	0.948	0.716	0.822	0.891	0.936	0.859
CGM <sub>L</sub>	0	<b>0.840</b>	<b>0.899</b>	<b>0.935</b>	<b>0.958</b>	0.720	<b>0.827</b>	<b>0.897</b>	<b>0.942</b>	0.877
CGM <sub>L</sub>	0.5	<b>0.840</b>	<b>0.899</b>	<b>0.935</b>	<b>0.958</b>	<b>0.723</b>	<b>0.827</b>	<b>0.897</b>	<b>0.942</b>	<b>0.878</b>
Adv. Gain		1.6%	0.9%	0.6%	0.5%	0.5%	0.2%	0.1%	0.2%	0.6%

benefit is greatest at the lowest SNR levels in matched noise but the highest SNR levels in mismatched noise. For example, the additional context improves PESQ by 10.5% at -5 dB SNR in matched noise and by 2.0% at 10 dB SNR in mismatched noise. This result is due to the ability of the underlying model to compensate effectively for familiar noise at even severe levels of corruption (i.e. using the learnt noise temporal context to improve its understanding of the noise in the matched conditions), and use the same ability in mismatched conditions, but applied to the inherent temporal correlation in the speech (i.e. to exploit the longer temporal context of the speech in unfamiliar noise).

### 4.3 The effect of adversarial training

Table 4 presents results that compare each system, operated with adversarial training ( $\alpha = 0.5$ ) to the same system without adversarial training ( $\alpha = 0$ ). Scores are given for un-enhanced noisy input speech, as well as baseline DNN and LSTM systems operating as  $G$  in the adversarial training architecture. The ‘Adv. gain’ row along the bottom of the PESQ and STOI sections shows the overall percentage improvement in each score due to the use of adversarial training. Clearly, the main benefits are obtained for the PESQ score in the highest levels of matched noise (3.3%), but it is shown to be beneficial under *all* of the tested conditions.

**Table 5** Performance improvement over baseline for final CGM architectures incorporating adversarial training and temporal context from past estimated clean speech frames.

dB SNR	Matched noise				Mismatched noise			
	-5	0	5	10	-5	0	5	10
PESQ								
DNN $\rightarrow$ CGM <sub>S</sub>	15.9%	11.4%	8.1%	6.7%	9.1%	5.1%	3.4%	3.0%
LSTM $\rightarrow$ CGM <sub>L</sub>	10.1%	8.2%	7.2%	6.7%	4.4%	2.0%	1.3%	1.4%
Noisy $\rightarrow$ CGM <sub>L</sub>	83.1%	71.0%	55.3%	41.7%	37.3%	33.9%	28.6%	23.7%
STOI								
DNN $\rightarrow$ CGM <sub>S</sub>	8.3%	5.2%	3.6%	2.8%	4.8%	3.0%	2.2%	2.1%
LSTM $\rightarrow$ CGM <sub>L</sub>	6.2%	4.3%	3.4%	2.9%	3.1%	2.0%	1.8%	2.1%
Noisy $\rightarrow$ CGM <sub>L</sub>	40.7%	26.6%	15.1%	7.8%	18.1%	15.3%	10.5%	6.1%

#### 4.4 Summary of improvements

Combining the three factors of adversarial training in the new architecture with the incorporation of temporal context from past estimated clean speech frames, for both long and short temporal context, the overall improvement for each tested noise condition is shown in Table 5. The final row for PESQ and STOI shows the improvement gained over un-enhanced (noisy) speech by the best architecture (CGM<sub>L</sub> with adversarial training). Examining the results, the proposed CGM architecture outperforms the baseline systems on every test case, and provides a very significant enhancement.

#### 4.5 Smoothing effects

To further study how CGM with adversarial training affects spectral over-smoothing, log domain spectrograms from one utterance in the test set are reproduced in Fig. 3 and Fig. 4 for various conditions. For ease of comparison, one unvoiced speech part and one voiced speech part are marked with rectangles respectively in each spectrogram.

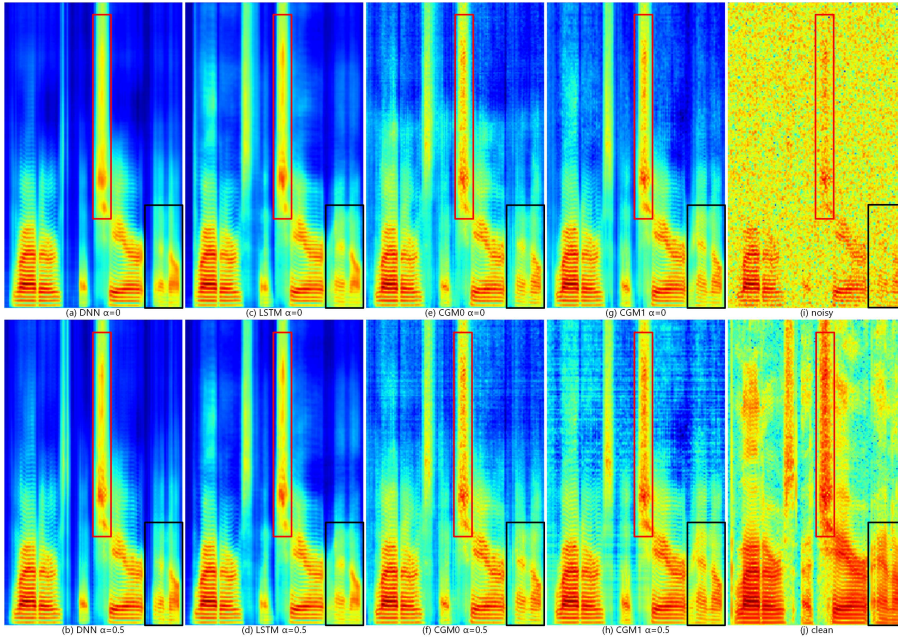
In *white* noise conditions (matched noise), when the MMSE criterion was employed, from Fig. 3(a)(c), we can see the effects of spectral over-smoothing in both unvoiced and voiced speech parts for DNN and LSTM enhanced speech. However, in Fig. 3(e)(g), CGMs restored some details of both the unvoiced and voiced parts that were lost by DNN or LSTM.

Meanwhile, the effect of adversarial training is shown in Fig. 3 bottom row, namely subplots (b), (d), (f) and (h). For each of DNN, LSTM and CGM, over-smoothing is slightly reduced in unvoiced and voiced parts compared to subplots (a), (c), (e) and (g) in the row above. Results in the *m109* noise condition (mismatched noise) reveal roughly similar trends, as shown in Fig. 4.

It is worth noting that adversarial training yielded more significant improvements for DNN and LSTM than for CGMs. This may be due to the fact that over-smoothed spectra generated by DNN or LSTM will result in

larger GAN loss. Therefore, the GAN loss gradients provided by the discriminator have a larger effect on the training of DNN or LSTM generators. On the contrary, the CGM itself is able to generate more realistic spectra, which are harder for the discriminator to distinguish. So GAN loss and gradients are smaller than for the DNN or LSTM generators. As a result, adversarial training has a smaller beneficial effect on the CGM. This may also explain why adversarial training obtained better PESQ and STOI improvement for DNN or LSTM than for CGM in Table 4.

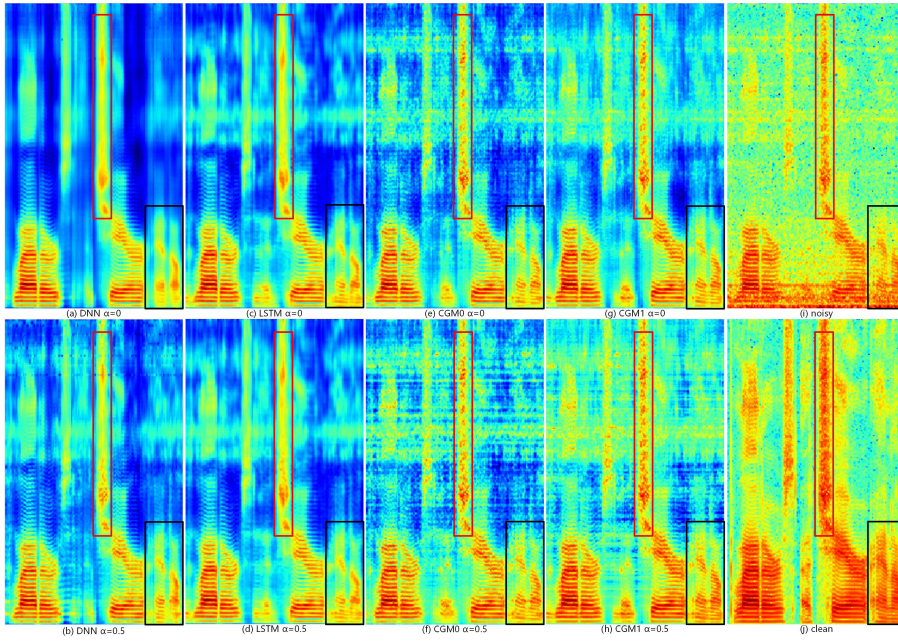
These results demonstrate that the adversarial training scheme and the CGM architecture are both beneficial for alleviating spectral over-smoothing, while the *combination* of both CGM architecture and adversarial training perform even better. This is very much in line with the numerical PESQ and STOI results.



**Fig. 3** Spectrograms of one utterance from the test set, showing original clean, noisy and all enhanced versions. The clean utterance was corrupted by *white* noise (matched noise) at  $\text{SNR} = 0$  dB. PESQs for these utterances are: (a) 2.404; (b) 2.462; (c) 2.573; (d) 2.696; (e) 2.683; (f) 2.714; (g) 2.842; (h) 2.891; (i) 1.327. A voiced and an unvoiced region have been outlined with rectangles in each processed spectrogram.

#### 4.6 Overall comparison of various methods

Apart from DNN, LSTM and our proposed methods, a model trained according to [?], denoted here as Multi-Obj, was also included for compari-



**Fig. 4** Spectrograms of one utterance from the test set, showing original clean, noisy and all enhanced versions. The clean utterance was the same as in Fig 3, and was corrupted by *m109* noise (mismatched noise) at SNR = 0 dB. PESQs for these utterances are: (a) 2.511; (b) 2.531; (c) 2.573; (d) 2.568; (e) 2.693; (f) 2.675; (g) 2.764; (h) 2.798; (i) 2.074. A voiced and an unvoiced region have been outlined with rectangles in each processed spectrogram.

son. Like DNN, Multi-Obj consisted of 3 hidden layers with 2048 ReLU activation units per layer. The input comprised a context of 9 frames of 257-dimensional log magnitude spectrum and 41-dimensional Mel-Frequency Cepstral Coefficient (MFCC) of noisy speech, i.e. an input vector dimension of  $9 \times (257 + 41) = 2682$ . The outputs were one frame of 257-dimensional log magnitude spectrum and 41-dimensional MFCC of estimated clean speech, plus one frame of 257-dimensional Ideal Binary Mask (IBM) [?]. Other settings followed [?]: Dropout, mean and variance normalization and Adam algorithm were applied for training, while batch normalization was not used. During inference, the post-processing method proposed in [?] was adopted.

Table 6 presents the comparison of model size (in terms of number of parameters), computational complexity (in terms of number of multiplication for estimating per frame of clean spectrum), mean PESQ and STOI of various methods. As discussed in Section 4.3, adversarial training ( $\alpha=0.5$ ) improved PESQ and STOI without increasing model size and computational complexity. For example, adversarial training raised the PESQ of DNN from 2.524 to 2.633, and STOI from 0.827 to 0.845. Moreover,  $\text{CGM}_L \alpha=0.5$  achieved the best PESQ and STOI, with the smallest model size and computational complexity.



**Table 6** Comparison of various methods, in terms of model size, computational complexity, mean PESQ and STOI. Multi-Obj was trained according to [?].  $\alpha=0$  and  $\alpha=0.5$  denote as without and with adversarial training respectively.

Model	Model size (million)	Computational complexity (multiplication per frame)	PESQ	STOI
Noisy speech	–	–	1.959	0.755
DNN $\alpha=0$	13.7	$1.37 \times 10^7$	2.524	0.827
DNN $\alpha=0.5$	13.7	$1.37 \times 10^7$	2.633	0.845
LSTM $\alpha=0$	13.7	$1.36 \times 10^7$	2.688	0.851
LSTM $\alpha=0.5$	13.7	$1.36 \times 10^7$	2.721	0.853
CGM <sub>S</sub> $\alpha=0$	13.7	$1.77 \times 10^7$	2.687	0.861
CGM <sub>S</sub> $\alpha=0.5$	13.7	$1.77 \times 10^7$	2.711	0.859
CGM <sub>L</sub> $\alpha=0$	12.3	$1.12 \times 10^7$	2.796	0.877
CGM <sub>L</sub> $\alpha=0.5$	12.3	$1.12 \times 10^7$	<b>2.825</b>	<b>0.878</b>
Multi-Obj	15.0	$1.50 \times 10^7$	2.634	0.850

## 5 Conclusion

This paper has proposed a conditional generative model (CGM) equipped with an adversarial training scheme for speech enhancement. The novel method is designed to exploit temporal context information from the spectra of both noisy and past estimated clean speech, in contrast to conventional schemes that tend to exploit only noisy input speech context. Experimental results reveal that CGM outperforms conventional DNN and LSTM models in terms of PESQ and STOI. Meanwhile, the architecture of CGM and the adversarial training scheme both appear to be effective at alleviating spectral over-smoothing, particularly when operating in conjunction with each other.

In this paper, the discriminator only determines whether its one frame of input magnitude spectrum is from real or fake data, but ignores the context information in the sequence of clean spectra. This characteristic limits the resolving power of the discriminator. Moreover, the generator needs  $F$  frames of future noisy spectrum to estimate current clean spectrum, which results in a time delay during inference. While current performance is good, additional experiments on expanded network structures and larger datasets are planned in the future.

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