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An Overview of Methods to Mitigate Artefacts in Optical Coherence Tomography Imaging of the Skin

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Background: Optical Coherence Tomography (OCT) of skin delivers three dimensional images of tissue microstructures. Although OCT imaging offers a promising high resolution modality, OCT images suffer from some artefacts that lead to misinterpretation of tissue structures. Therefore, an overview of methods to mitigate artefacts in OCT imaging of the skin is of paramount importance. Speckle, intensity decay, and blurring are three major artefacts in OCT images. Speckle is due to the low coherent light source used in the configuration of OCT. Intensity decay is a deterioration of light with respect to depth, and blurring is the consequence of deficiencies of optical components.

Method: Two speckle reduction methods (one based on artificial neural network and one based on spatial compounding), an attenuation compensation algorithm (based on Beer-Lambert law) and a de-blurring procedure (using deconvolution) are described. Moreover, optical properties extraction algorithm based on extended Huygens–Fresnel (EHF) principle to obtain some additional information from OCT images are discussed.

Results: In this short overview, we summarize some of the image enhancement algorithms for OCT images which address the abovementioned artefacts. The results showed a significant improvement in the visibility of the clinically relevant features in the images. The quality improvement was evaluated using several numerical assessment measures.

Conclusion: Clinical dermatologists benefit from using these image enhancement algorithms to improve OCT diagnosis and essentially function as a non-invasive optical biopsy.

Keywords: Optical Coherence Tomography, Image Enhancement, Speckle Reduction, Blurring Correction, Intensity Decay Compensation.

1. INTRODUCTION

In dermatology, the gold standard for diagnosis of a disease is a biopsy that is sent for histopathological examination ¹. There is still no reliable or definitive method of non-invasive diagnosis for skin disease. Histopathology requires slicing and staining a sample, physically altering it each time it is stained ². This method leaves room for human error, through slicing, staining, and reading the image. In addition, biopsy can be traumatic and risky, especially for elderly patients, because of poor wound healing and possibility of infection ³. Therefore, several non-invasive imaging modalities have been developed to enhance the diagnosis of skin diseases ⁴⁻¹⁰. Among several different modalities, optical coherence tomography (OCT) stands out. When compared with multispectral digital dermoscopy and spectroscopy, for example, it is noted that these techniques lack adequate penetration depth ¹¹⁻¹⁴. Another technique, high frequency sonography has a better penetration depth, however the contrast is not satisfactory ¹⁵. OCT's intermediate resolution and penetration depth give it great potential to image the skin. Recently, OCT has been used as an optical biopsy method for differentiation among different tissues, e.g., healthy versus tumorous ^{16,17}.

OCT is a non-invasive, non-ionizing optical imaging modality which works based on low coherence interferometry^{18,19}. To form an OCT image, the magnitude and time delay of backscattered infrared light returned from a biological sample is measured transversally^{20,21}. Providing high resolution images and a moderate penetration depth, i.e., one to three millimeters, OCT is currently utilized in several medical and biomedical applications including dermatology, dentistry²², oncology²³, and cardiology²⁴ in addition to its initial successes in ophthalmology²⁵. Quantitative analysis of OCT images through extraction of optical properties has made OCT an even more powerful modality²⁶⁻²⁸. An OCT system is characterized by several parameters such as imaging speed, lateral and axial resolutions, and penetration depth²⁹. To assess these system parameters, a virtual tissue, so-called phantom, with known optical properties, e.g., anisotropy factor, absorption and scattering coefficients, is utilized³⁰⁻³². The phantoms are usually designed using Mie theory where the concentration and particle size of scatterers and absorbers are determined.

OCT imaging is a favorable high-resolution imaging method in medical and biomedical applications, and many modifications have already been applied on the OCT hardware and software, however, OCT images still contain artefacts^{29,33-35}. Three major artefacts in OCT images are speckle noise, intensity decay and blurring. Similar to other low coherent imaging modalities, OCT images are contaminated by speckle which degrades the quality of images and conceals diagnostically relevant features. Intensity decay is due to the decline in the incident and backscattered light amplitude when it passes through the biological sample¹⁸. Blurring is a result of aberrations and is due to the imperfection of optical devices used in the configuration of the OCT³⁶ as well as aberrations introduced by superficial layers of the tissue investigated. Blurring mainly deteriorates the lateral resolution of OCT images. Addressing these issues, OCT images need to be enhanced in order to deliver microscopic features of biological samples more effectively. In this manuscript, some of the most significant image artifacts in OCT imaging and the advancements to mitigate them are reviewed; specifically speckle noise, intensity decay and blurring. A speckle reduction method, an attenuation compensation algorithm and a de-blurring procedure are described. Moreover, optical properties extraction routines to obtain some additional information from OCT images are discussed.

Enhancement of the quality of images in combination with assessment of optical properties can enhance the feasibility of differentiation of melanoma from benign lesions³⁷ as well as the differentiation of subtypes of basal cell carcinoma³⁷.

2. LOW COHERENCE INTERFEROMETRY

An OCT image is constructed based on the principles of time of flight and low coherence interferometry³⁸. The interferometry is used to magnify the small time delay between the backscattered light returned from the sample and the reflected light from a reference mirror (Fig.1). The basic components of an OCT system are a low coherent light source, with a short enough coherence length to be able to have depth sectioning capability, a beam splitter to split light between two arms; a reference mirror, and some opto-electronic components such as a XY galvo scanner³⁹. Coherence length is a measure of temporal coherence, expressed as the propagation distance over which the coherence significantly decays. The schematic of a time domain OCT system is shown in Fig. 1.

3. SPECKLE REDUCTION

In OCT imaging, if the central wavelength of the light source is equal to or larger than the compartments within the sample under investigation, the interference of the reflected light with different amplitudes and phases generates a grainy texture in the image called speckle. Speckle degrades the quality of OCT images, particularly the borders of cellular layers⁴⁰ in comparison with speckle-free imaging methods⁴¹. The probability density function (PDF) of the speckle has been approximated by Rayleigh distribution, or Rician distribution⁴². Speckle pattern is highly dependent on the microstructural content (size and density) of the sample being imaged. Due to this correlation, speckle is also known to carry some morphological information, thus is not appropriate to consider it as an image noise. This issue has made finding a suitable solution to reduce the speckle quite challenging. The speckle reduction methods are categorized into two main categories: software based and hardware methods^{26,40,43-53}.

3.1 Software-Based Speckle Reduction Methods; Digital Filtering

Software based speckle reduction methods rely on a mathematical model of the speckle, and they can be classified into adaptive and non-adaptive filters. The former are implemented based upon the local first order statistics, such as mean and variance, while the latter are implemented based on the overall statistics in the image. Wiener filter is one of the most popular adaptive methods^{54,55}. Some of the non-adaptive algorithms are Kuwahara filter, Hybrid Median filter, Enhanced LEE filter (ELEE), Symmetric Nearest Neighborhood (SNN), thresholding with fuzzy logic^{56,57}. Wavelet based de-speckling has been a successful non-adaptive de-speckling method in which the image is decomposed into its wavelet bases, allowing differentiation of noise components through signal processing^{29,50,58-60}. Considering the importance of wavelet mother function in this method, Haar mother function has proven a fast and efficient solution, enabling speckle noise reduction without substantially diminishing contrast or spatial resolution in the image^{61,62}. Another adaptive speckle reduction method has been developed based on artificial neural network (ANN)^{63,64}. ANN offers an intelligent solution which reduces speckle while preserving the morphological information in the image. In this method, the speckle is first modelled. The model used⁶⁵⁻⁶⁷ follows Rayleigh distribution and is given by Eq.1:

$$f(x_{i,j}) = \frac{x_{i,j} e^{-\frac{x_{i,j}^2}{2\sigma^2}}}{\sigma^2} \quad (1)$$

where $x_{i,j}$ is the image pixel and σ is the noise variance of the image (noise parameter). A cascade forward back propagation ANN is then used to estimate a noise parameter for the image, followed by a numerical solution to the inverse Rayleigh distribution function⁶⁸. The block diagram of this algorithm is illustrated in Fig. 2. C-scan (en-face) OCT images of *Drosophila* heart before and after applying ANN based de-speckling method are presented in Fig. 3.

3.2 Hardware-based speckle reduction methods; Compounding techniques

The most common hardware-based speckle reduction method is compounding. In compounding techniques⁶⁹, partially de-correlated images acquired from a stationary sample are averaged. The quantities to be averaged specify the compounding procedure.

Some of the quantities used in compounding methods are backscattering angles, central wavelengths, polarizations, and displacements. This results in techniques referred to as angular compounding, frequency compounding, polarization compounding, and spatial compounding, respectively^{18,46,70,71}.

For instance, in the spatial compounding method, the averaging quantity is the tissue or the imaging probe motion, which comes from the inherent imperfection of the scanners used in the configuration of the imaging system⁷². Five different algorithms including averaging, random weighted averaging, random pixel selection and random pixel selection together with median filtering were used to average their partially correlated images obtained from the spatial compounding method⁷². The flowchart of the spatial compounding method with different algorithms is illustrated in Fig. 4.

The authors demonstrated that the random pixel selection together with median filtering represent an efficient, simple, and edge-preserving de-speckling method compared to the common averaging method⁷².

4. IMAGE BLURRING CORRECTION

Blurring stems from wavefront aberration in the imaging system^{73,74}. Aberrations are produced by the imperfections of optical devices that are used in the interface optics of the imaging system. They result in resolution and contrast degradations. One way to reduce aberration is by adaptive optics (AO). AO systems are composed of a wavefront sensor (WFS) to measure the wavefront distortion, a deformable mirror (DM), or a spatial light modulator (SLM) to correct the distortion, and a control loop algorithm to control the correction process⁷⁵. Recently, less-expensive sensor-less AO methods utilizing blind optimization have been studied⁷⁶⁻⁷⁸. In a sensor-less AO system, an optimization algorithm with a cost function, e.g. photo-detected intensity value, is used. Improving the cost function means reducing the aberrations and diminishing the blurriness in the images. Some of the effective optimization methods used in sensor-less AO systems are simulated annealing algorithm (SA), genetic algorithm (GA), and particle swarm optimization (PSO)⁷⁹. Avanaki et al. compared the performance of these three optimization methods in a sensor-less AO systems⁸⁰. Another popular method to reduce blurriness is deconvolution. Several deconvolution techniques have been studied⁸¹⁻⁸⁵. For some deconvolution methods, the point spread function (PSF) of the imaging system needs to be determined. There are two main methods to obtain the PSF; (a) analytical methods, (b) imaging very small particles embedded in a solid phantom. Fish et al. successfully used the Lucy–Richardson algorithm, which is a well-established deconvolution algorithm, to deblur OCT images^{86,87}. Lucy–Richardson algorithm is based on the maximum-likelihood calculation to recover an undistorted image which has been blurred by a known PSF⁸⁷. In Fig.5, the result of using deconvolution algorithm on an image of skin is shown.

5. INTENSITY DECAY COMPENSATION

On OCT image, several layers and structures of the skin are distinguishable¹⁶. The stratum corneum, or top keratin-full layer of the epidermis is visible as a hyperreflective line at the skin-air interface. The other epidermal layers can sometimes be visualized depending on the location being imaged. The dermal-epidermal junction, which is disrupted in many skin diseases and cancers, is visualized as a junction between a less intense signal area (epidermis) and more intense signal area (dermis). The dermis is seen as an area of intense signal with hyporeflexive patches, which are hair follicles or sebaceous glands.

The turbidity of biological tissues results in attenuation in the incident light amplitude in relation with the depth of penetration, therefore an attenuation compensation algorithm is required. One way to model light attenuation is to use Monte Carlo simulation⁴⁹. In attenuation compensation of an OCT image, it is beneficial to have prior information about the tissue. The authors modelled the skin based on its layered histological architecture in OCT skin images⁵⁷. They then estimated the attenuation of light in OCT skin images for each layer separately. They segmented the OCT image prior to attenuation estimation or compensation similar to the method in⁸⁸. They assume that the A-lines' intensity profile extrema correspond to different layers^{89,90}. When the border between two adjacent layers however is not easily distinguishable, a more complex segmentation algorithm is required⁸⁹. For instance, a semi-automatic segmentation method based on a user defined threshold, has been described by Blomberg. et al.⁹¹; Hori et al. proposed another segmentation algorithm based on A-scan peaks and some statistical operations⁹². In the mentioned work, rubber-band algorithm along with some interpolations was used to resolve boundary problems.

An attenuation compensation algorithm for OCT images of skin is proposed in^{93,94}. In this algorithm, a weighted median filter is used to reduce the speckle. Afterwards, the de-noised image is used as the input to a skin layer detection algorithm that searches for the most probable position of local extrema along A-scans by using a cumulative occurrence profile followed by some morphological operations⁶⁸. The attenuation coefficient is calculated for each layer of skin (creating an attenuation model for skin) using the Beer-Lambert law; Beer-Lambert law relates the total attenuation of the signal with the property of the tissues where light passes through⁹⁵. Compensation is then performed for each layer by using the attenuation coefficient of that layer considering the impact of the upper layers' attenuation. In Fig.6, the image enhancement

procedure is demonstrated in a block diagram. The results of attenuation compensation algorithm applied on OCT skin images are demonstrated in Fig.7.

6. OPTICAL PROPERTIES EXTRACTION

An OCT image carries important morphological information. By quantitative analysis of OCT images, some optical properties can be extracted and more information can be delivered to specialists to make diagnostic decisions. Some of the optical properties that can be extracted from OCT images include scattering coefficient, absorption coefficient, refractive index, and anisotropy factor. For instance, with the intention of extracting the scattering coefficient of a region of interest (ROI) on an OCT image, one should refer to the equation of light propagation in tissue. The solution to this equation can be given by using geometric optics approximations, Rytov approximation⁹⁶, or extended Huygens–Fresnel (EHF) principle⁹⁷⁻¹⁰⁰. Initial research into optical property extraction and OCT signal modelling is presented by Schmitt, who used single-scattering theory to model the scattering coefficient. He followed up his single scattering model by a modified model for two-layer-scattering geometry^{32,101}. Thrane et al. proposed an approach for OCT modelling in a multilayer-scattering geometry based on the ray tracing method, so-called ABCD matrix and the EHF principle^{102,103}. They obtained the root mean squared (RMS) of the OCT signal as a function of scattering coefficient, μ_s , at different depths by Eq.2.

$$\langle i^2(z) \rangle = \frac{\alpha^2 P_R P_S \sigma_b}{\pi^2 \omega_H^2} \left[e^{-2\mu_s z} + \frac{2e^{-\mu_s z}(1-e^{-\mu_s z})}{1 + \frac{\omega_S^2}{\omega_H^2}} + (1 - e^{-\mu_s z})^2 \frac{\omega_S^2}{\omega_H^2} \right] \quad (2)$$

where α , is the conversion factor for power to current, P_R and P_S are the optical powers of the reference arm and sample arm beams, respectively. σ_b is the effective backscattering cross section. ω_H^2 and ω_S^2 are the 1/e irradiance radii in the discontinuity plane in the absence and presence of scattering and they are given by to Eqs. 3, 4, and 5,

$$\omega_H^2 = \omega_0^2 \left(A - \frac{B}{f} \right)^2 + \left(\frac{B}{k\omega_0} \right)^2 \quad (3)$$

$$\omega_S^2 = \omega_0^2 \left(A - \frac{B}{f} \right)^2 + \left(\frac{B}{k\omega_0} \right)^2 + \left(\frac{B}{k\rho_0} \right)^2 \quad (4)$$

ω_0 is 1/e irradiance radius at the lens plane. A and B are elements of the ABCD ray matrix, $k = 2\pi/\lambda_0$ is the wavenumber, λ_0 is the central wavelength of the light source, and f is the focal length of the objective lens. ρ_0 , is the lateral coherence length, which is a function of depth and obtained by Eq. (7)

$$\rho_0(z) = \sqrt{\frac{3}{\mu_s z}} \times \frac{\lambda}{\pi \theta_{rms}} \left(\frac{nB}{z} \right) \quad (5)$$

where θ_{rms} is the rms scattering angle. In another study, an optical properties extraction (OPE) algorithm¹⁰⁴ is proposed to compute the scattering coefficient of a ROI in an OCT image¹⁰⁵. In their OPE algorithm, the averaged A-scan of the ROI between pixel indices ranging through a specified axial depth, was fitted onto equation (4); the distance was minimized using the Levenberg–Marquardt least-square method. Avnaki et al. utilized a dynamic focus (DF)-OCT system in their experiments¹⁰⁶ which made it possible to implement the OPE algorithm much easier. With DF-OCT it was then unnecessary to de-convolve the reflectivity profile from the confocal gate profile. They showed that the scattering coefficients obtained from the OPE algorithm are consistent with those calculated by Mie scattering theory (see Fig.8).

As an applications of the OPE algorithm, they demonstrated differentiation between basal cell carcinoma affected and healthy eyelid tissues via their scattering coefficients extracted by OPE from the OCT images^{104,107}.

7. CONCLUSION

Optical coherence tomography presents a promising method of non-invasive skin imaging with the potential to supplant biopsy as a diagnostic technique. At this time, diagnosis via OCT relies on the clinician identifying morphologic features of skin disease present in the image. Reducing artefact in the images carries the potential to more accurately discern borders between structures, allowing more accurate diagnosis. Additionally, there is a great deal more information available in the image but beyond the ability of the human eye to discern. Algorithms to extract and analyze the optical properties in OCT images could provide tools to further support the clinician in diagnosis. Image processing and analysis techniques may result in enhanced diagnostic capability for the average dermatologist while providing a more rapid and non-invasive technique.

In this short overview, we summarized three major artefacts in OCT images including speckle, intensity decay and image blurring. The issues are described, along with some of the developed technologies and algorithms to diminish them. Furthermore, optical properties extraction from OCT images and the possibility of using such data to differentiate between healthy and non-healthy tissues is explained.

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Conflict of interest

All the authors state no potential conflict of interest.

Ethical approval:

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

Informed consent:

Informed consent was obtained from all individual participants included in the study.

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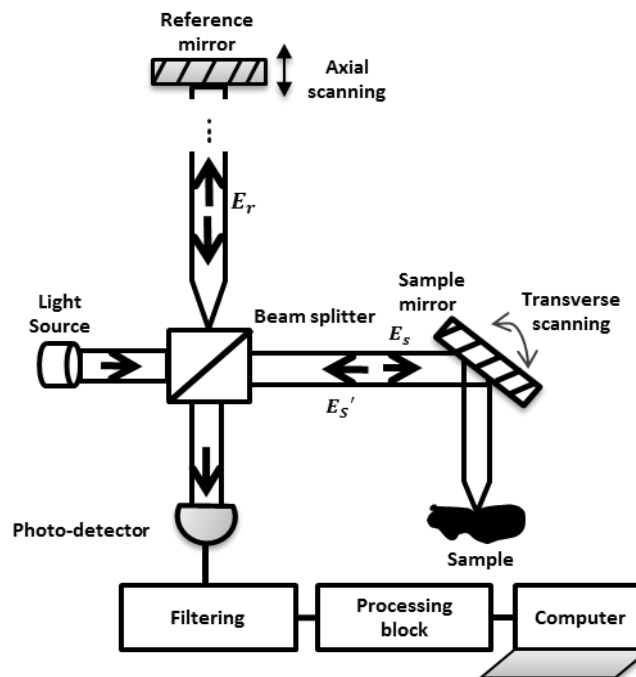


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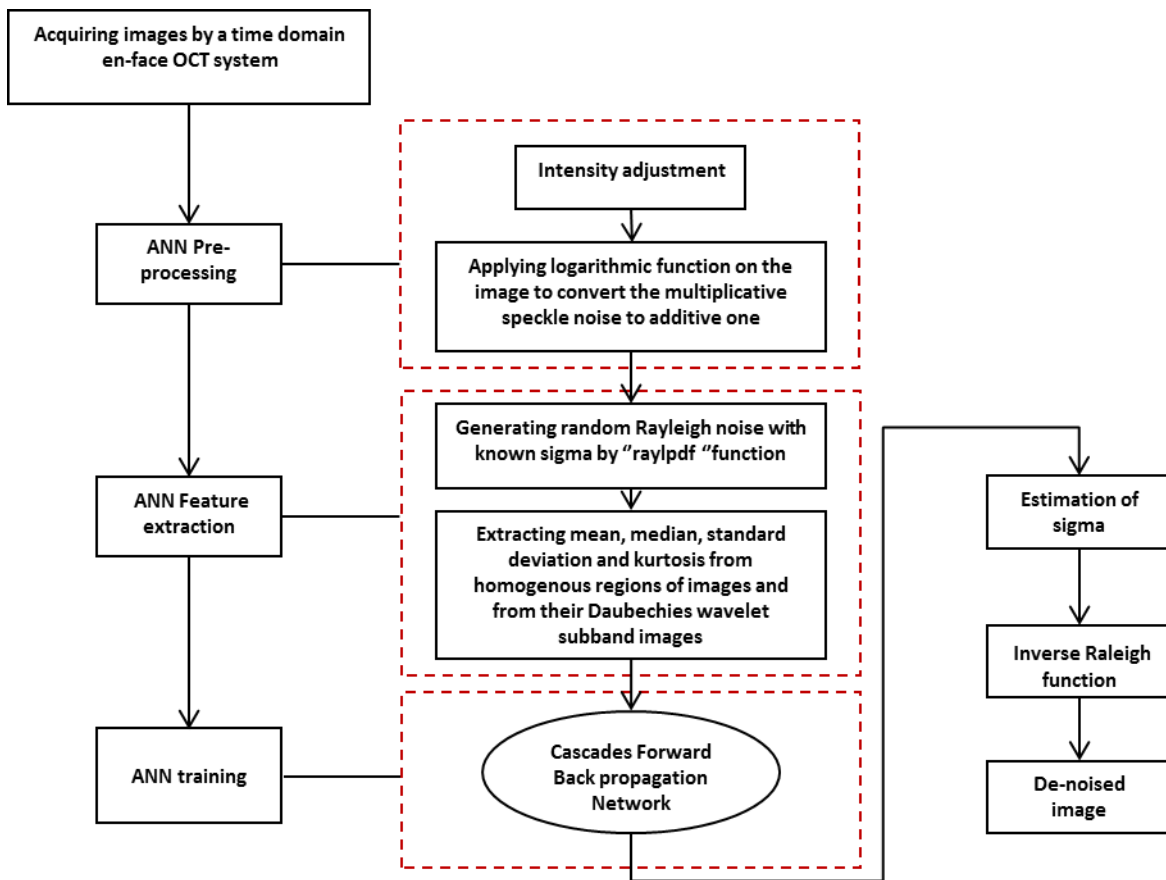


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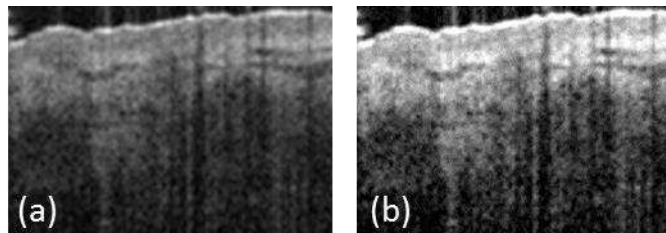


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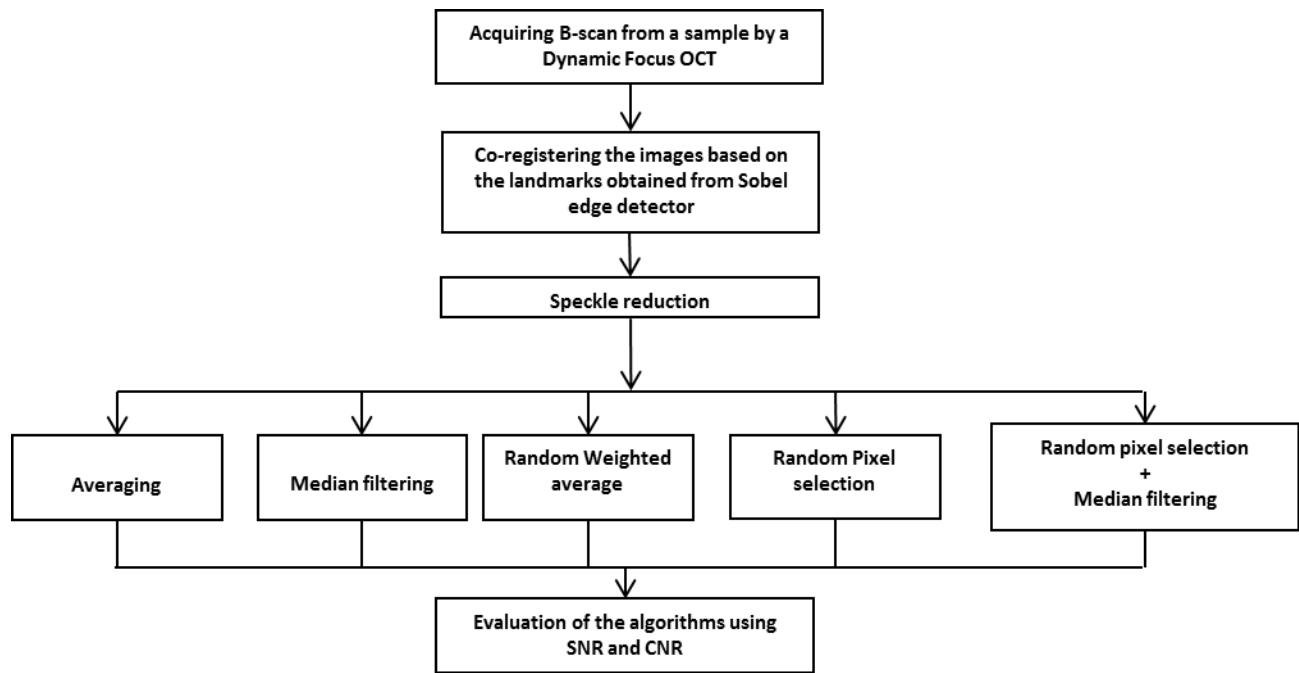


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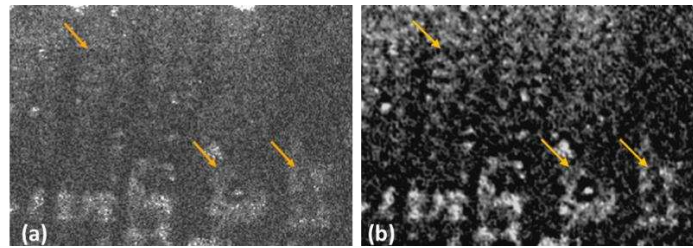


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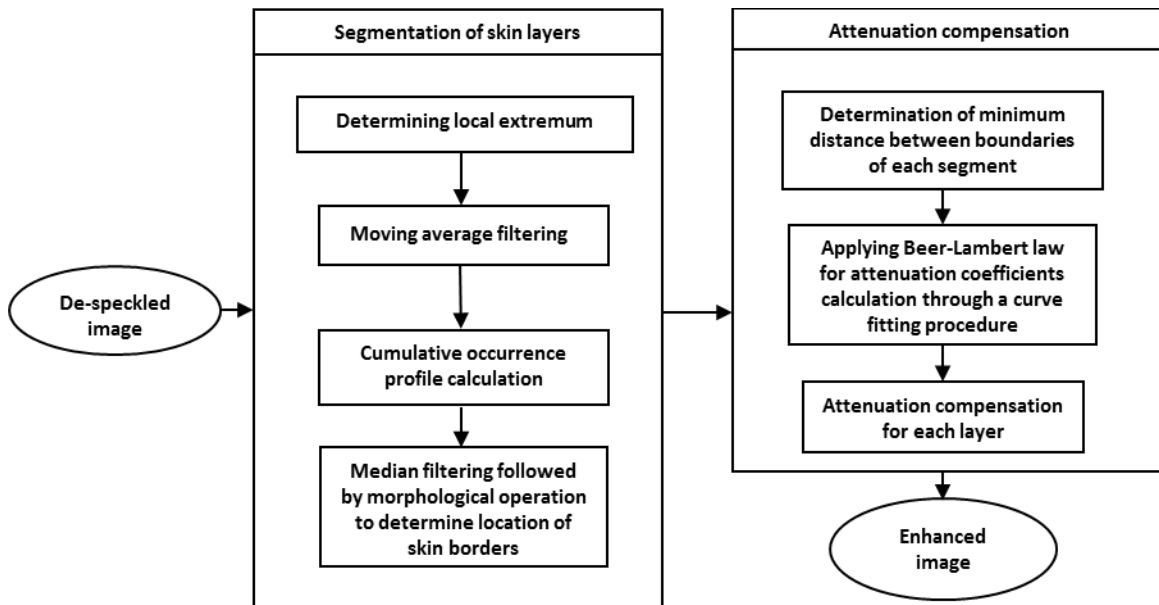


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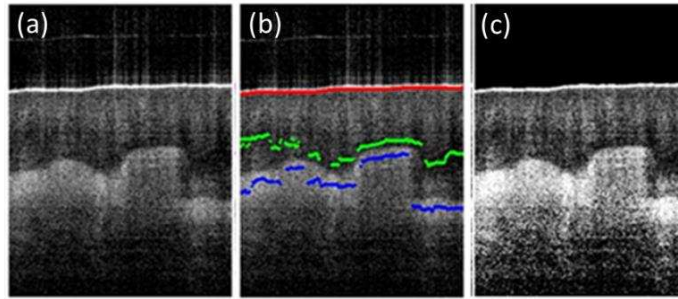


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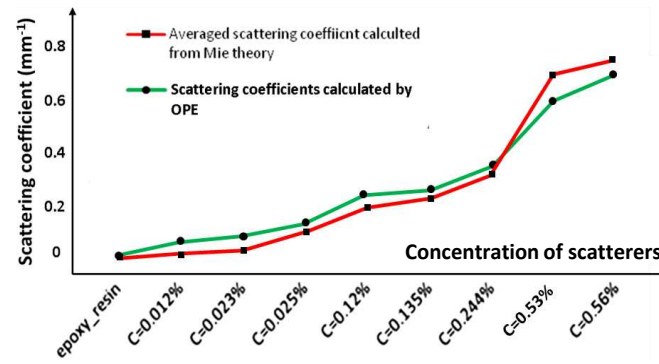


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