## Kent Academic Repository Full text document (pdf)

## **Citation for published version**

Shwartz, Assaf and Davies, Zoe G. and Macgregor, Nicholas A. and Crick, Humphrey Q.P. and Clarke, Donna and Eigenbrod, Felix and Gonner, Catherine and Hill, Chris T. and Knight, Andrew T. and Metcalfe, Kristian and Osborne, Patrick E. and Phalan, Ben and Smith, Robert J. (2017) Scaling up from protected areas in England: The value of establishing large conservation areas.

## DOI

https://doi.org/10.1016/j.biocon.2017.06.016

## Link to record in KAR

http://kar.kent.ac.uk/62228/

### **Document Version**

Author's Accepted Manuscript

#### **Copyright & reuse**

Content in the Kent Academic Repository is made available for research purposes. Unless otherwise stated all content is protected by copyright and in the absence of an open licence (eg Creative Commons), permissions for further reuse of content should be sought from the publisher, author or other copyright holder.

#### Versions of research

The version in the Kent Academic Repository may differ from the final published version. Users are advised to check http://kar.kent.ac.uk for the status of the paper. Users should always cite the published version of record.

#### Enquiries

For any further enquiries regarding the licence status of this document, please contact: **researchsupport@kent.ac.uk** 

If you believe this document infringes copyright then please contact the KAR admin team with the take-down information provided at http://kar.kent.ac.uk/contact.html





# Scaling up from protected areas in England: the value of establishing large conservation areas

Assaf Shwartz<sup>1,2\*</sup>, Zoe G. Davies<sup>1</sup>, Nicholas A. Macgregor<sup>1,3</sup>, Humphrey Q.P. Crick<sup>3</sup>, Donna Clarke<sup>4</sup>, Felix Eigenbrod<sup>4</sup>, Catherine Gonner<sup>1</sup>, Chris T. Hill<sup>5</sup>, Andrew T. Knight<sup>6</sup>, Kristian Metcalfe<sup>7</sup>, Patrick E. Osborne<sup>8</sup>, Ben Phalan<sup>9</sup> & Robert J. Smith<sup>1</sup>

#### Affiliations:

- Durrell Institute of Conservation and Ecology (DICE), School of Anthropology and Conservation, University of Kent, Canterbury, Kent CT2 7NR, UK.
- 2. The Human and Biodiversity research lab (HUB), Faculty of Architecture and Town Planning at the Technion-Israel Institute of Technology, Haifa, 32000 Israel.
- 3. Natural England, Nobel House, 17 Smith Square, London SW1P 3JR, UK.
- 4. Biological Sciences, University of Southampton, Southampton SO17 1BJ, UK
- 5. GeoData, University of Southampton, Southampton SO17 1BJ, UK.
- 6. Department of Life Sciences, Imperial College London, Silwood Park Campus, Ascot, SL5 7PY UK.
- 7. Centre for Ecology and Conservation, College of Life and Environmental Sciences, University of Exeter, Penryn Campus, Cornwall TR10 9FE, UK.
- 8. Centre for Environmental Science, Faculty of Engineering and the Environment, University of Southampton, Southampton SO17 1BJ, UK.
- Department of Forest Ecosystems and Society, Oregon State University, Corvallis, Oregon 97331-2106, USA.

#### Short title: Scaling up from protected areas

Keywords: Gap analysis; biodiversity; agri-environment schemes; conservation planning

World count: 6478

#### Number of table and figures: 5

<u>Corresponding author</u>: Assaf Shwartz, The Human and Biodiversity research lab (HUB), Faculty of Architecture and Town Planning at the Technion-Israel Institute of Technology, Haifa, Israel. Tel: +972 4 8294106, Email: <a href="mailto:shwartza@technion.ac.il">shwartza@technion.ac.il</a>

#### 1 Abstract

2 Protected areas (PAs) are vital for conserving biodiversity, but many PA networks consist of 3 fragmented habitat patches that poorly represent species and ecosystems. One possible 4 solution is to create conservation landscapes that surround and link these PAs. This often 5 involves working with a range of landowners and agencies to develop large-scale 6 conservation initiatives (LSCIs). These initiatives are being championed by both government 7 and civil society, but we lack data on whether such landscape-level approaches overcome 8 the limitations of more traditional PA networks. Here we expand on a previous gap analysis 9 of England to explore to what extent LSCIs improve the representation of different 10 ecoregions, land-cover types and elevation zones compared to the current PA system. Our 11 results show the traditional PA system covers 6.37% of England, an addition of only 0.07% 12 since 2001, and that it is an ecologically unrepresentative network that mostly protects agriculturally unproductive land. Including LSCIs in the analysis increases the land for 13 14 conservation more than tenfold and reduces these representation biases. However, only 15 24% of land within LSCIs is currently under conservation management, mostly funded through agri-environment schemes, and limited monitoring data mean that their 16 17 contribution to conservation objectives is unclear. There is also a considerable spatial 18 overlap between LSCIs, which are managed by different organisations with different 19 conservation objectives. Our analysis is the first to show how Other Effective Area-Based 20 Conservation Measures (OECMs) can increase the representativeness of conservation area 21 networks, and highlights opportunities for increased collaboration between conservation organisations and engagement with landowners. 22

23 1. Introduction

24 Terrestrial biodiversity is under unprecedented pressure, despite intensifying conservation 25 efforts. Protected areas (PAs) have long been used to mitigate these threats by separating 26 biodiversity and incompatible land uses, and now cover 14.6% of the global terrestrial realm 27 (Watson et al. 2014). Moreover, PA networks are continuing to expand, as most national 28 governments have committed to increase the proportion of their land surface under 29 conservation to 17% by 2020 (CBD, 2011). However, even with this new commitment, 30 conservation success is far from guaranteed (Venter et al., 2014). This is because PA 31 networks have often developed in an *ad hoc* manner and have three features that limit their 32 effectiveness. First, many PAs are small and isolated, and so cannot maintain broad-scale 33 ecological processes or sustain viable populations of wide-ranging species (Armsworth et al., 34 2011). Second, PAs are often placed in remote areas with little economic potential (Joppa 35 and Pfaff, 2009), leaving many ecosystems and species poorly represented (e.g. lojă et al., 36 2010; Jackson and Gaston, 2008). Third, PAs fix conservation efforts in space based on 37 conditions at a certain time, while ecosystems and their threats are dynamic (e.g. Araújo et 38 al., 2011).

39

These problems are evident in England, where much biodiversity is restricted to small, privately owned fragments of semi-natural habitats. Most of these habitats have been shaped over thousands of years by anthropogenic use and management, but have suffered significant fragmentation and degradation in the last century (Lawton et al., 2010). The English PA network is based on a restrictive zoning approach (Lawton et al., 2010), which uses planning legislation to identify National Natural Reserves (NNRs) and Sites of Special Scientific Interest (SSSIs) and then limit damaging development within them. Historically,

47 this network has comprised of mostly small (< 1km<sup>2</sup>) and isolated PAs (the median size of SSSIs and NNRs are 0.2 km<sup>2</sup> and 1.1 km<sup>2</sup> respectively), typically confined to uplands and 48 49 ecoregions with low agricultural potential (Oldfield et al., 2004). To overcome these 50 limitations, the United Kingdom (UK) has adopted a complementary approach based on 51 agri-environment schemes and other incentive-based payment schemes. These pay 52 landowners for income foregone and to cover the costs of management actions designed to improve landscape quality for conservation or other objectives, thereby providing an 53 54 important source of funding for conservation inside and outside PAs. In England, the 55 European Union's Common Agricultural Policy has funded agri-environment schemes since 1987 (Bright et al., 2015). Until recently, these schemes included Higher-Level Stewardship 56 57 (HLS), which supported intensive habitat maintenance and restoration within target areas in 58 production landscapes (Natural England, 2012), and English Woodland Grants that funded 59 projects to restore and manage woodlands (Raum and Potter, 2015). Both of these were 60 replaced in 2016 by the new Countryside Stewardship scheme (Natural England, 2015) and 61 the UK's departure from the European Union could bring further changes.

62

63 Past research has shown that the English PA network is relatively effective at representing 64 species and plays a major role in supporting species in response to climate change (Gaston 65 et al., 2006; Gillingham et al., 2015; Jackson et al., 2009). However, 56% of species in the UK have declined since 1970 (Hayhow et al., 2016), underlining the limitations of the PA 66 67 network and agri-environment schemes. Recognising this problem, the UK government commissioned work on how to improve nature conservation and ecosystem service 68 69 provision (Lawton et al., 2010; NEA, 2011). These recommended a more proactive approach 70 to improving England's ecological networks, based on landscape-scale habitat restoration

(Defra 2011) with five key steps identified to help achieve this objective: (i) improve habitat
quality; (ii) increase the size of habitat patches; (iii) enhance connectivity; (iv) create new
sites, and; (v) improve the wider environment (Lawton et al., 2010).

74

75 These government reviews provided renewed impetus to a trend that had been developing 76 across the UK conservation sector. In particular, several conservation non-governmental 77 organisations (NGOs) recognised the need for new large conservation areas, which should 78 extend beyond the boundaries of existing PAs to encompass whole landscapes. These NGOs 79 have established their own schemes to develop large conservation areas, such as the Royal Society for the Protection of Birds' "Futurescapes" (RSPB 2001) and the Wildlife Trusts' 80 "Living Landscapes" (Wildlife Trusts 2007). There is also an increasing appetite for greater 81 82 collaboration among and between conservation NGOs and local and national governmental 83 agencies to support existing and new initiatives (Macgregor et al., 2012).

84

85 It was in this context that a recent project explored large-scale conservation initiatives 86 (LSCIs) in England, Scotland and Wales, where LSCIs were defined as any area larger than an 87 arbitrary threshold of 10 km<sup>2</sup> that is actively managed for biodiversity conservation goals 88 (Eigenbrod et al., 2017). This research looked at the different categories and locations of 89 LSCIs, the factors involved in their planning and management, and their environmental benefits (Adams et al., 2016; Eigenbrod et al., 2017; Macgregor et al., 2012). This analysis 90 91 identified over 800 LSCIs in England, Scotland and Wales, which were subsequently 92 categorised based on land tenure and management strategy (Macgregor et al., 2012). This 93 large number of LSCIs highlights the growing interest in the approach in the UK. However, 94 despite their number and appeal, there is little evidence on whether these new initiatives

have resulted in a more representative PA network. The aim of this paper is thus to explore
the extent to which LSCIs and agri-environment schemes have complemented the current
network of PAs to reduce spatial biases.

98

99 The best way to explore this question is to undertake a gap analysis, a spatially resolved 100 quantitative approach for measuring how well PA networks represent biodiversity and 101 protect different biogeographic zones, land-cover types and species (e.g. Jenkins et al., 102 2015; Scott et al., 1993). Here we conduct the first ever gap analysis of the relative 103 contribution of PA, LSCIs and agri-environment schemes, focusing on these different 104 conservation area types in England. We begin by measuring how England's PA network has 105 changed since a 2001 gap analysis in terms of extent and protecting different ecoregions 106 and elevation zones (Oldfield et al. 2004). We then assess the contribution of two other 107 major categories of conservation management initiatives: large-scale conservation 108 initiatives (LSCIs), using the recently created LSCI database (Eigenbrod et al., 2017), and; 109 incentive payment areas (IPAs) based on agri-environment and woodland improvement 110 schemes. This involves measuring the overlap in the PA, LSCI and IPA networks, and the 111 extent to which land under these management types cover the different ecoregions, land-112 cover types and elevation zones. In doing so, we test the hypothesis that Other Effective 113 Area-Based Conservation Measures (OECMs), as highlighted in the Convention for Biological Diversity's Aichi target 11 (CBD 2011), reduce some of the limitations of the original PA 114 115 network by better representing England's ecoregions and land with higher socio-economic 116 value.

117

118 2. Methods

#### 119 *2.1. Types of conservation areas*

120 We distinguished four categories of conservation areas in our analysis:

 Protected areas (PAs). We focused on National Nature Reserves (NNRs) and Sites of Special Scientific Interest (SSSIs), the core statutory designations for biodiversity protection in England. We did not include European and internationally designated PAs in this analysis, because they are already included as NNRs or SSSIs, and we excluded National Parks and Areas of Outstanding National Beauty because non-PA land within such areas is normally not managed with conservation as a primary objective (Oldfield et al., 2004).

Type 1 Large Scale Conservation Initiatives (LSCIs). These consist of large, privately owned land parcels that are managed by one or a few organisations or individuals,
 typically for long periods of time. Examples include the Great Fen Project, Wild
 Ennerdale and Wicken Fen Vision (Table S1). Type 1 LSCIs are currently managed
 primarily for conservation.

Incentive Payment Areas (IPAs). These are agricultural land parcels receiving HLS or
 woodland grant scheme payments (Natural England, 2012; Raum and Potter, 2015)
 under renewable ten year contracts. We excluded land under Entry-Level Stewardship
 schemes, as they cover only a small proportion of any land holding and support broader
 environmental improvement actions rather than conservation management (Davey et
 al., 2010).

Type 2 Large Scale Conservation Initiatives (LSCIs) represent large areas that are typically
 proposed to be managed for biodiversity conservation. They consist of many land
 parcels managed by different organisations or individuals, but guided through a single
 conservation initiative overseen by an organisation or partnership. Examples include the

UK Government's Nature Improvement Areas, the RSPB's "Futurescapes" and most of the Wildlife Trusts' "Living Landscapes" (Table S1). The majority of Type 2 LSCIs include PAs and farmland and thus have multiple management objectives. The conservation objectives are often achieved through shorter-term projects that encourage people to improve the conservation, ecosystem service and/or social capital value associated with their land. Project lengths are variable, often built from sequences of funding rounds, and benefits frequently only last as long as the funding.

150

151 These four conservation area categories are known to overlap, so we ranked them according to their conservation objectives, letting us report the amount of land belonging to 152 153 the management category that gave the highest weight to conservation (Table 1). PAs were 154 assigned the highest management category, followed by Type 1 LSCIs, Incentive Payment 155 Areas and, finally, Type 2 LSCIs. This hierarchy was used because: PAs are managed for 156 conservation; Type 1 LSCIs have similar goals to PAs, differing only in not having statutory 157 obligations to manage the whole site for conservation; IPAs are likely to have more 158 biodiversity benefits on land managed specifically for conservation, and; Type 2 LSCIs 159 include land that is not currently managed for biodiversity, and the areas that are managed 160 for conservation fall within existing PAs or IPAs.

161

162 *2.2. Data collection and preparation* 

We used data held by Natural England on NNRs, SSSIs, Type 1 LSCIs and Type 2 LSCIs in 2013, as well as IPAs as of December 2013. Information was extracted from the existing database (Eigenbrod et al., 2017), for the 341 LSCIs that are found in England, have defined boundaries and meet the Type 1 or Type 2 criteria. We then used the Land Cover Map 2007

to exclude urban areas from each LSCI. The IPA boundaries were from maps of land holdings with HLS and woodland grant scheme agreements. We only considered those stewardship options which contribute to conservation. Where farm agreements contained at least one whole-farm option, we considered the entire farm as an IPA. If this was not the case, we used the HLS data to map the IPA land parcels (see Text S1 for further details). We clipped all of these datasets with the England political boundary to exclude any estuarine or marine areas (following Oldfield et al., 2004).

174

175 To determine the characteristics of the different conservation management categories, we used datasets describing elevation, slope, distance to infrastructure, ecoregion type, 176 177 agricultural land quality and land-cover class. All of these data types were used in previous 178 gap analyses to measure the representativeness of PA networks and the extent to which 179 PAs are found in remote areas on land with low agricultural potential (e.g. Oldfield et al., 180 2004; Pressey and Tully, 1994). We did not use the available species distribution data because much of it has a spatial resolution of 10 km x 10 km, which is a great deal coarser 181 182 than the majority of the PAs and agri-environment scheme land parcels, making it 183 impossible to measure levels of species representation with precision.

184

The first step in the analysis was to produce six GIS layers derived from five spatial datasets, which were resampled to produce GIS layers with the same resolution of 80 m (matching the dataset with the coarsest resolution). Three of the layers described physical factors. We used the SRTM Digital Elevation Model (DEM) to produce the elevation zone layers (Table S2), where each elevation value was assigned to one of the following four classes: 0 to 200 m; 201 to 400 m; 401 to 600 m and > 600 m. We also used this DEM to produce the slope

layers using the Slope function in ArcGIS (ESRI 2011; ArcGIS Desktop: Release 10. Redlands,
CA). To produce the remoteness layer we used national data on public transport
infrastructure (Table S2) and calculated distance from nearest transport node points (e.g.,
bus stops and train stations).

195

196 Another three layers described ecological and environmental factors. For ecoregions we used the National Character Areas (NCA) layer produced by Natural England (Table S2). The 197 198 NCA layer subdivides England so each of the 159 NCAs (which we term "ecoregions" 199 hereafter) represent a unique combination of landscape, biodiversity, geodiversity, cultural 200 and economic activity. We also used the Provisional Agricultural Land Classification (Table 201 S2) dataset, which divides England into five categories of agricultural land (with grade 1 202 representing the highest and grade 5 the lowest respectively) and two additional categories 203 of land in non-agricultural use (i.e. non-arable and suburban). We used the Land Cover Map 204 2007 (Table S2), derived from satellite imagery, to produce the land-cover layer by 205 reclassifying the original 23 land-cover types into seven: (i) coastal, salt and freshwater; (ii) 206 mountains, heath and bog; (iii) woodland; (iv) semi-natural grassland; (v) arable; (vi) 207 suburban, and; (vii) urban.

208

209 2.3. Data analysis

We calculated the percentage overlap between the different conservation area categories by converting the vector file for each into a raster format with an 80 m resolution, and using the Raster Calculator in ArcGIS to identify each combination of categories. Given the overlap between the conservation area categories, there were 15 combinations (e.g. PA + Type 1

LSCI), which were reclassified to the category that gave most weight to conservation basedon the hierarchy described above and in Table 1.

216

217 We used ArcGIS to determine the characteristics of these different management categories 218 based on the elevation, slope and remoteness layers. We did this by randomly selecting and 219 extracting data from 1000 points of land belonging to each management category (i.e. PAs, 220 Type 1 LSCIs, Type 2 LSCIs and IPAs) and land not within a conservation area. This helped 221 ensure our sampling points were spatially independent and also avoided identifying 222 statistically significant but negligible differences because of the large sample size. We then 223 used non-parametric Kruskal–Wallis rank tests and post-hoc pairwise Wilcoxon rank tests 224 with a Bonferroni correction to explore differences between the management categories, 225 since homogeneity of variance and normality assumptions were not met. This random 226 sampling with replacement of 1000 locations was repeated ten times for each 227 environmental variable and the data were analysed using R.2.12.2 (R Development Core 228 Team 2007). To provide an overview, we also reclassified the elevation, traffic node distance 229 and slope layers into classes. We then calculated for each conservation management 230 category the proportion of land that fell within each class, and compared this to the overall 231 land that fell in each class of elevation, traffic node distance and slope across England 232 (following Eigenbrod et al. 2009; see Table S3 for more details).

233

We conducted a gap analysis to assess the extent to which the different conservation management category networks represent surrogates associated with biogeographic differences in biodiversity. This involved calculating the percentage of each ecoregion,

elevation zone, agricultural land quality class and land-cover class under each conservation
management category, based on data extracted using the Tabulate Area function in ArcGIS.

240 Finally, we calculated the protection equality scores for the conservation area networks. 241 This approach is based on the Gini coefficient (Barr et al., 2011), and describes how 242 cumulatively adding land belonging to the different conservation management categories 243 changes the extent to which every ecoregion is protected equally. We only used data on 244 ecoregion coverage because protection equality scores are more robust when based on a 245 large number of conservation features, and because the different ecoregions already represent the different elevation zones, land-cover classes and land quality classes (for 246 247 further information on the calculation of protection equality scores see text S2).

248

249 3. Results

250 3.1. Temporal changes in PA coverage

251 The 4335 nationally designated terrestrial PAs (NNRs & SSSIs) cover 6.37% (8,322.4 km<sup>2</sup>) of England's land surface (Figure 1), representing an increase of 83.6 km<sup>2</sup> (0.07%) since 2001 252 253 (Table 1). The increase had little impact on the median area of individual PAs, which at 0.17 km<sup>2</sup> is similar to that in 2001 (Oldfield et al., 2004). This is because 82% of the 4111 SSSIs 254 255 and 46% of the 224 NNRs are smaller than 1 km<sup>2</sup>. Many ecoregions are still poorly 256 represented, with 78% of the 159 ecoregions having < 10% of their area protected by PAs 257 (Figure 2a). Similarly, the percentage of PAs within the 0-200m elevation zone (Figure 3a), 258 which represents 87% of England's terrestrial area, remains unchanged since the 2001 259 analysis at 3.5%, showing a consistent spatial bias in PAs towards upland areas.

260

261 *3.2. Extent and overlap between the different conservation management categories* 

262 Land under LSCIs and IPAs is much larger than the land dedicated to formal PAs (Figure 1). 263 Adding the large privately owned Type 1 LSCIs expands the net coverage of England by only 264 1%, because they cover < 1% of England's land surface and 37.9% of their area is already 265 protected by PAs (Table 1). However, adding the IPAs nearly triples the land under 266 conservation management from roughly 9,000 to 23,000 km<sup>2</sup>, increasing coverage to 20.5%. 267 Adding Type 2 LSCIs, which are managed by multiple different organisations or individuals, 268 further increases this coverage to nearly 64% of England's terrestrial surface (Figure 1, Table 269 1), as 76% of the land in these Type 2 LSCIs is not part of a PA or an IPA and so it is only proposed to be managed for biodiversity conservation (Figure 1). 270

271

#### 272 3.3. Characteristics of the different conservation management categories

273 Areas where conservation objectives were prioritised tended to be in upland areas, on land 274 with lower agriculture quality and in more remote areas, e.g. coastal, wetland and montane 275 areas (Figure 3). A greater proportion of PAs and Type 1 LSCIs contained woodland and 276 semi-natural grasslands than was the case for Type 2 LSCIs. PAs and Type 1 LSCIs were on 277 average higher, more remote, and steeper, while Type 2 LSCIs were lower, less remote and 278 flatter (Figure 4; Table S3). These patterns were mirrored in the protection equality results. 279 The PA network on its own had a protection equality score of 32%, because many ecoregions had negligible levels of protection, while a few upland and heathland ecoregions 280 281 had PA coverage of > 40% (Figure 2). Including the Type 1 LSCIs made little difference to this 282 result, increasing protection equality to 34%. However, adding land in IPAs increased 283 protection equality to 62%, and also including land in Type 2 LSCIs increased it to 74% 284 (Figure 2, Figure S1).

285

#### 286 4. Discussion

287 Expanding conservation efforts beyond PAs is a step change in nature conservation policy 288 for many countries (Boitani et al. 2007; Lawton et al. 2010; Reyers et al. 2012), but its 289 importance is increasingly recognised. For example, the Convention on Biological Diversity's 290 Aichi target 11 recognises that PAs are not the only approach for achieving goals for 291 expanding land under conservation, and explicitly states the value of "other effective area-292 based conservation measures" (CBD, 2011). England is one of the pioneers, as shown by the 293 development of hundreds of LSCIs, all of which aim to bring together different stakeholders 294 and improve nature conservation through increased action and investment (Macgregor et 295 al., 2012). One strength of this approach is that it is decentralised, allowing projects to 296 match local conditions, but measuring the effectiveness of these LSCIs at a national level is 297 important to inform general policies and strategies. This is why we used a gap analysis to 298 explore the extent to which LSCIs help scale-up conservation efforts from PAs. We found 299 LSCIs could substantially improve representation of less remote, flatter, lowland areas, with 300 higher grades of agricultural suitability. However, the impact of LSCIs on conservation will 301 depend on how they are planned and managed, which is an important caveat, because most 302 of the land under Type 2 LSCIs is not currently managed for conservation. Our case study is 303 the first to measure the relative contribution of LSCIs and land under agri-environment 304 schemes to producing representative conservation area networks and provides a number of 305 insights to inform policy and practice in human-dominated landscapes around the world.

306

#### 307 *4.1 Protected area coverage*

308 A key step in improving the representativeness of any PA network is undertaking a gap 309 analysis to identify species, habitats and ecoregions needing further protection. Such 310 analyses should be undertaken periodically to evaluate progress (Margules and Pressey, 311 2000; Pressey et al., 2013). Our study adopts this approach by repeating a gap analysis for 312 England undertaken over a decade ago (Oldfield et al., 2004). In England there are two main 313 types of PA established for biodiversity conservation, namely NNRs and SSSIs. These covered 6.3% of England's land surface over a decade ago (Oldfield et al., 2004) and our results show 314 315 how little this has changed, with only a marginal increase. The mean size of these PAs also 316 remains small, although the maximum size has risen from 160 km<sup>2</sup> to 440 km<sup>2</sup>, reflecting the 317 success of several initiatives to join up existing areas.

318

319 Despite a decade of government and conservation NGO efforts, the PA network still poorly 320 represents England's different ecoregions and elevation zones (Oldfield et al., 2004). For 321 example, 78% of ecoregions have < 10% PA coverage with only 3.5% of English lowlands 322 protected. These analyses also provide more detailed information on the spatial distribution 323 of the current PA network, reinforcing that it is still biased towards remote, upland areas 324 with lower agricultural potential. This helps explain why almost half of the PA network is 325 composed of land-cover classes associated with relatively remote or inaccessible land, such as coastal, montane and wetland vegetation. It should be noted that many of these 326 vegetation classes have conservation importance and the PAs also contain a high 327 328 percentage of woodland and semi-natural grassland. This suggests that although the PA 329 network is failing to represent different ecoregions adequately, it is protecting many 330 important sites for biodiversity.

331

332 Such a bias in PA network coverage is common, as most national networks over-represent 333 areas of low potential economic value (Joppa and Pfaff, 2009), but this tendency seems to 334 be particularly strong in England. This is because the English PA system's protection equality 335 score of 32% is lower than that of many other nations (Barr et al., 2011), although similar to 336 some other countries in Western Europe, such as Italy (33%) and France (39%). However, 337 comparison of equality scores requires caution, as they are based on the assumption that every conservation feature deserves equal protection and thus implicitly has equal 338 339 conservation value. This is rarely the case but England, like most other countries, lacks 340 nationally agreed targets on how much of each ecoregion should be protected. In the absence of such targets, the protection equality analysis provides a starting point to analyse 341 342 the extent to which PA networks are representative.

343

#### 344 4.2 The role of Large Conservation Areas

345 The LSCI approach is seen by many as one of the most effective ways of achieving the 346 required change in conservation efforts, to meet both national and international obligations 347 (CBD 2011; Macgregor et al., 2012). We investigated the current role of LSCIs by dividing 348 them into two groups based on tenure and level of management for conservation 349 objectives. Type 1 LSCIs are owned and managed primarily for conservation by one or a few 350 landowners and are often based on several existing NNRs and SSSIs. There are relatively few of these LSCIs and nearly half of them have PA status, which explains why adding them to 351 352 the gap analysis made little difference to the area dedicated for conservation or the spatial 353 bias in the area conserved. This is probably because the mechanism for establishing such 354 LSCIs is similar to the creation of large PAs, involving considerable land acquisition costs 355 (Naidoo et al., 2006). Once established, management costs per unit area decline as PA size

increases (Armsworth et al., 2011; Ausden and Hirons, 2002), suggesting Type 1 LSCIs have
financial as well as ecological benefits when compared to a set of smaller PAs. However,
creating such LSCIs requires the availability of large blocks of existing conservation land, or
willingness on the part of adjacent landowners to sell or lease their land for conservation,
which is unlikely on high-quality agricultural land (Adams et al., 2014; Knight et al., 2010).

361

In contrast, Type 2 LSCIs are much more widespread than PAs and Type 1 LSCIs and, partly 362 363 because of this, do not show similar spatial biases. However, another reason for this lack of 364 bias is that most Type 2 LSCIs are long-term initiatives for increasing land under conservation, and at present they are largely made up of land that is not managed for 365 366 biodiversity. Our results show that only 24% of the land under Type 2 LSCIs is currently 367 managed to achieve conservation objectives (i.e. PAs or IPAs). Caution is therefore needed 368 when interpreting our results, as much of the higher quality agricultural land within Type 2 369 LSCIs is likely to have little current biodiversity value, nor much immediate prospect of being 370 managed for conservation, given that individual landowners are not obliged to engage with 371 or sustain any LSCI process. Moreover, even those who do manage their land for 372 conservation might only do so on selected land parcels rather than across the entire 373 holding. This means that at the moment a better measure of conservation land comes from IPA coverage, as these represent land parcels managed through specific suites of 374 conservation mechanisms (Knight et al. 2010). Adding the IPAs to the gap analysis increases 375 376 the land under conservation from 7.4% to 20.5%, when compared to a network of PAs and 377 Type 1 LSCIs; substantially reducing spatial biases and improving protection equality.

378

379 Our results also show that agri-environment payments are important for funding 380 conservation within LSCIs, although there is limited information on the cost-effectiveness of 381 these IPAs when compared to PAs (Batáry et al., 2015; Kleijn et al., 2006). Despite this 382 knowledge gap, agriculture is likely to remain a key component of any type of LSCI in 383 England and elsewhere in Europe, so short term incentives will remain vital for encouraging 384 some landowners to manage their land for biodiversity. Thus, conservationists will need to 385 focus efforts to ensure the most important areas are protected, and that connectivity is 386 maintained and enhanced within these production landscapes. To achieve conservation 387 objectives in the long-term, it is likely that other forms of funding will be needed and that 388 conservation organisations will have to secure permanent conservation management on 389 more land within LSCIs.

390

391 5. Conservation implications

392 The English government has set an ambitious goal to "halt overall biodiversity loss, support 393 healthy well-functioning ecosystems and establish coherent ecological networks, with more 394 and better places for nature, for the benefit of wildlife and people" (Defra, 2011). We found 395 that Type 2 LSCIs, areas that are typically proposed to be managed for biodiversity 396 conservation, cover extensive areas of England and so could play an important role in 397 achieving this goal, complementing the current PAs and Type 1 LSCIs. Indeed, both NGOs 398 and government agencies now see LSCIs as an essential part of conservation in England 399 (Adams et al., 2016). However, the success of those initiatives in achieving these national 400 goals depends heavily on the way they are funded, planned, managed and monitored 401 (Macgregor et al., 2015). Finding solutions to these important issues is challenging, but

402 could help inform every country seeking to implement LSCIs as a way of scaling-up their
403 conservation efforts and achieving their international commitments (CBD 2011).

404

405 With regards to funding Lawton et al., (2010) argued that, in addition to their importance 406 for biodiversity value, the value of ecosystem services provided by LSCIs outweigh the costs. 407 However, like many other countries, England lacks mechanisms to transfer such funds, so 408 the NGOs and government agencies that establish LSCIs receive little financial benefit for 409 maintaining these ecosystems. Moreover, a recent study showed that restoration costs can 410 exceed the market value of ecosystem services based on carbon storage, crops, livestock and timber (Newton et al., 2012), suggesting additional funding would be needed to 411 412 establish LSCIs and restore functioning ecosystems within them. Our work highlights the 413 potential contribution that agri-environment schemes could play in funding such efforts, 414 although the effectiveness of current approaches is mixed and could be improved (Batáry et 415 al., 2015; Kleijn et al., 2006; FERA, 2013). Funding for schemes in Type 2 LSCIs could boost 416 landowner engagement and also be used to assist farmers with completing the paperwork associated with such funding schemes, which can be a significant barrier to participation 417 418 (Christensen et al., 2011).

419

Planning and management of Type 2 LSCIs is similarly challenging, since they typically encompass a large number of individual land holdings and land owners, and our results show most of the land is not managed specifically for achieving conservation objectives. There is also a considerable temporal and spatial overlap between different LSCIs, with each overlapping project being overseen by different configurations of NGOs, government agencies and partnerships (Eigenbrod et al., 2017), but often with distinct conservation

426 objectives. Thus, the conservation benefits of these schemes depend on integrating a 427 multitude of stakeholder values and policies to prioritise and implement conservation action 428 that complements the existing PA network (Adams et al., 2016). These complexities suggest 429 a target-based spatial conservation prioritisation approach would be helpful, based on 430 existing empirical data and expert knowledge, as such systems are designed to guide the 431 prioritisation of conservation efforts, and to help understand and balance associated trade-432 offs (Carwardine et al., 2009; Metcalfe et al., 2015).

433

434 Such an analysis could usefully follow a two-tiered approach: a national-scale spatial 435 conservation prioritisation to identify broad focal landscapes, followed by fine-scale 436 analyses within each of these landscapes to identify when and how conservation action 437 should be implemented. The second tier would involve local partnerships determining the 438 best approach to take within these priority landscapes and the specific areas to focus on, 439 based on local data and knowledge of opportunities and constraints (Smith et al., 2009). 440 There are considerable benefits, in terms of building financial, human and intuitional capital, of adopting a systematic conservation planning approach at the landscape and LSCI level 441 442 (Bottrill et al., 2012). This approach could be used to develop more detailed conservation 443 goals, increase collaboration between individuals and organisations and so identify options 444 for reducing overlap and costs. This would help to ensure that nationally important 445 biodiversity was protected, but in a way that would maximise local buy-in and likelihood of 446 implementation.

447

448 6. Acknowledgments

449 We would like to thank Bill Adams, Paul Armsworth, and Malcolm Ausden for participating 450 in the project steering committee and sharing their expertise. We would also like to thank 451 Jake Bicknell, Janna Steadman, Rachel Sykes, Rachel White and the rest of the DICE team for 452 helpful advice and discussions. This research was funded by Natural England. 453 7. References 454 Adams, V.M., Pressey, R.L., Stoeckl, N., 2014. Estimating landholders' probability of 455 456 participating in a stewardship program, and the implications for spatial conservation 457 priorities. PLoS ONE 9, e97941. 458 459 Adams, W.M., Hodge, I.D., Macgregor, N.A., Sandbrook, L.C., 2016. Creating restoration 460 landscapes: partnerships in large-scale conservation in the UK. Ecology and Society 21: 1. 461 462 Araújo, M.B., Alagador, D., Cabeza, M., Nogués-Bravo, D., Thuiller, W., 2011. Climate change 463 threatens European conservation areas. Ecol. Lett. 14, 484–492. 464 465 Armsworth, P.R., Cantú-Salazar, L., Parnell, M., Davies, Z.G., Stoneman, R., 2011. 466 Management costs for small protected areas and economies of scale in habitat 467 conservation. Biol. Conserv. 144, 423–429. 468 Ausden, M., Hirons, G.J.M., 2002. Grassland nature reserves for breeding wading birds in 469 470 England and the implications for the ESA agri-environment scheme. Biol. Conserv. 106, 279-291. 471 472 Barr, L.M., Pressey, R.L., Fuller, R.A., Segan, D.B., McDonald-Madden, E., Possingham, H.P., 473 474 2011. A new way to measure the world's protected area coverage. PLoS ONE 6, e24707. 475 476 Batáry, P., Dicks, L.V., Kleijn, D., Sutherland, W.J., 2015. The role of agri-environment 477 schemes in conservation and environmental management. Conserv. Biol. 29, 1006–1016. 478

Boitani, L., Falcucci, A., Maiorano, L., Rondinini, C., 2007. Ecological networks as conceptual
frameworks or operational tools in conservation. Conserv. Biol., 21, 1414-1422.

481

- Bottrill, M.C., Mills, M., Pressey, R.L., Game, E.T., Groves, C., 2012. Evaluating perceived
  benefits of ecoregional assessments. Conserv. Biol. 26, 851–861.
- 484
- Bright, J.A., Morris, A.J., Field, R.H., Cooke, A.I., Grice, P.V., Walker, L.K., Fern, J., Peach, W.J.,
  2015. Higher-tier agri-environment scheme enhances breeding densities of some priority
  farmland birds in England. Agric. Ecosyst. Environ. 203, 69–79.
- 488
- Carwardine, J., Klein, C.J., Wilson, K.A., Pressey, R.L., Possingham, H.P., 2009. Hitting the
  target and missing the point: target-based conservation planning in context. Conserv. Lett.
  2, 4–11.
- 492
- 493 CBD, 2011. Conference of the Parties Decision X/2: Strategic plan for biodiversity 2011–494 2020.
- 495
- Christensen, T., Pedersen, A.B., Nielsen, H.O., Mørkbak, M.R., Hasler, B., Denver, S., 2011.
  Determinants of farmers' willingness to participate in subsidy schemes for pesticide-free
  buffer zones—A choice experiment study. Ecol. Econ. 70, 1558–1564.
- 499
- Davey, C.M., Vickery, J.A., Boatman, N.D., Chamberlain, D.E., Parry, H.R., Siriwardena, G.M.,
  2010. Assessing the impact of Entry Level Stewardship on lowland farmland birds in England.
  Ibis 152, 459–474.
- 503

504 Defra, 2011. The natural choice: securing the value of nature. Defra, London.

505

Eigenbrod, F., Anderson, B.J., Armsworth, P.R., Heinemeyer, A., Jackson, S.F., Parnell, M.,
Thomas, C.D., Gaston, K.J., 2009. Ecosystem service benefits of contrasting conservation
strategies in a human-dominated region. Proceedings of the Royal Society of London B:
Biological Sciences 276, 2903–2911.

- Eigenbrod, F., Williams, M., Macgregor, N.A., Hill, C.T., Osborne, P.E., Clarke, D., Sandbrook,
  L.C., Hodge, I., Steyl, I., Thompson, A., van Dijk, N., Watmough, G., 2017. A review of largescale conservation in England, Scotland and Wales. Natural England Joint Publication JP019.
  Natural England, York, England. Available at:
  <a href="http://publications.naturalengland.org.uk/publication/576203572223616">http://publications.naturalengland.org.uk/publication/576203572223616</a>
- 516

517 FERA, 2013. Evidence requirements to support the design of new agri-environment 518 schemes. Final Report to Defra of project BD5011. The Food and Environment Research 519 Agency, Sand Hutton.

520

521 Gaston, K.J., Charman, K., Jackson, S.F., Armsworth, P.R., Bonn, A., et al., 2006. The 522 ecological effectiveness of protected areas: The United Kingdom. Biol. Conserv. 132, 76–87. 523

524 Gillingham, P.K., Alison, J., Roy, D.B., Fox, R., Thomas, C.D., 2015. High abundances of 525 species in protected areas in parts of their geographic distributions colonized during a 526 recent period of climatic change. Conserv. Lett. 8, 97–106.

527

528 Hayhow, D.B., Burns, F., Eaton, M.A., A.I. Fulaij, N., August, T.A., Babey, L., Bacon, L., 529 Bingham, C., Boswell, J., Boughey, K.L., Brereton, T., Brookman, E., Brooks, D.R., Bullock, 530 D.J., Burke, O., Collis M., Corbet, L., Cornish, N., De Massimi, S., Densham, J., Dunn, E., 531 Elliott, S., Gent, T., Godber, J., Hamilton, S., Havery, S., Hawkins, S., Henney, J., Holmes, K., 532 Hutchinson, N., Isaac, N.J.B., Johns, D., Macadam, C.R., Mathews, F., Nicolet, P., Noble, D.G., 533 Outhwaite, C.L., Powney, G.D., Richardson P., Roy, D.B., Sims, D., Smart S., Stevenson, K., 534 Stroud, R.A., Walker, K.J., Webb, J.R., Webb, T.J., Wynde, R., Gregory, R.D., 2016. State of 535 Nature 2016. The State of Nature partnership

536

Iojă, C.I., Pătroescu, M., Rozylowicz, L., Popescu, V.D., Vergheleţ, M., Zotta, M.I., Felciuc, M.,
2010. The efficacy of Romania's protected areas network in conserving biodiversity. Biol.
Conserv. 143, 2468–2476.

540

Jackson, S.F., Evans, K.L., Gaston, K.J., 2009. Statutory protected areas and avian species
richness in Britain. Biodivers. Conserv. 18, 2143–2151.

543

544

545 species on protected areas. Glob. Change Biol. 14, 2132–2138. 546 547 Jenkins, C.N., Houtan, K.S.V., Pimm, S.L., Sexton, J.O., 2015. US protected lands mismatch biodiversity priorities. Proc. Natl. Acad. Sci. 112, 5081–5086. 548 549 550 Joppa, L.N., Pfaff, A., 2009. High and far: Biases in the location of protected areas. PLoS ONE 551 4, e8273. 552 553 Kleijn, D., Baquero, R.A., Clough, Y., Diaz, M., Esteban, J.D., Fernández, F., Gabriel, D., 554 Herzog, F., Holzschuh, A., Jöhl, R., Knop, E., 2006. Mixed biodiversity benefits of agri-555 environment schemes in five European countries. Ecol. Lett. 9, 243–254. 556 557 Knight, A.T., Cowling, R.M., Difford, M., Campbell, B.M., 2010. Mapping human and social 558 dimensions of conservation opportunity for the scheduling of conservation action on private 559 land. Conserv. Biol. 24, 1348–1358. 560 561 Lawton, J.H., Brotherton, P.N.M., Brown, V.K., Elphick, C., Fitter, A.H., Forshaw, J., Haddow, 562 R.W., Hilborne, S., Leafe, R.N., Mace, G.M., Southgate, M.P., 2010. Making Space for Nature: 563 a review of England's wildlife sites and ecological network. DEFRA. 564 565 Macgregor, N., McCarthy, B., van Dijk, N., Spriggs, P., Adams, W, Selman, P., Hopkins, J., 566 Batten, J., Hughes, F., Bourn, N., Ellis, S., Plackett, J., Bulman, C., Jewell, C., Hares, L., 2015. 567 Working together to make space for nature: recommendations from a conference on large-568 scale conservation in England. Natural England, RSPB, The Wildlife Trusts, Butterfly Conservation and the National Trust joint publication (JP011). Natural England, York 569 570 Macgregor, N.A., Adams, W.M., Hill, C.T., Eigenbrod, F., Osborne, P.E., 2012. Large-scale 571 572 conservation in Great Britain: taking stock. Ecos 33, 13–23. 573 574 Margules, C.R., Pressey, R.L., 2000. Systematic conservation planning. Nature 405, 243–253. 24

Jackson, S.F., Gaston, K.J., 2008. Land use change and the dependence of national priority

576	Metcalfe, K., Vaz, S., Engelhard, G.H., Villanueva, M.C., Smith, R.J., Mackinson, S., 2015.
577	Evaluating conservation and fisheries management strategies by linking spatial prioritization
578	software and ecosystem and fisheries modelling tools. J. Appl. Ecol. 52, 665–674.
579	
580	Naidoo, R., Balmford, A., Ferraro, P.J., Polasky, S., Ricketts, T.H., Rouget, M., 2006.
581	Integrating economic costs into conservation planning. Trends Ecol. Evol. 21, 681–687.
582	
583	Natural England, 2012. Environmental Stewardship: funding to farmers for environmental
584	land management. <u>https://www.gov.uk/guidance/environmental-stewardship</u> (accessed
585	22.08.16).
586	
587	NEA, 2011. The UK National Ecosystem Assessment. UNEP-WCMC. Cambridge.
588	
589	Newton, A.C., Hodder, K., Cantarello, E., Perrella, L., Birch, J.C., Robins, J., Douglas, S.,
590	Moody, C. and Cordingley, J., 2012. Cost-benefit analysis of ecological networks assessed
591	through spatial analysis of ecosystem services. J. Appl. Ecol. 49, 571-580.
592	
593	Oldfield, T.E.E., Smith, R.J., Harrop, S.R., Leader-Williams, N., 2004. A gap analysis of
594	terrestrial protected areas in England and its implications for conservation policy. Biol.
595	Conserv. 120, 303–309.
596	
597	Pressey, R.L., Mills, M., Weeks, R., Day, J.C., 2013. The plan of the day: Managing the
598	dynamic transition from regional conservation designs to local conservation actions. Biol.
599	Conserv. 166, 155–169.
600	
601	Pressey, R.L., Tully, S.L., 1994. The cost of ad hoc reservation: A case study in western New
602	South Wales. Aust. J. Ecol. 19, 375–384.
603	
604	Raum, S., Potter, C., 2015. Forestry paradigms and policy change: The evolution of forestry
605	policy in Britain in relation to the ecosystem approach. Land Use Policy 49, 462–470.
606	

Reyers, B., O'Farrell, P.J., Nel, J.L., Wilson, K., 2012. Expanding the conservation toolbox:
conservation planning of multifunctional landscapes. Lands. Ecol., 27, 1121-1134.

- RSPB, 2001. Futurescapes: large-scale habitat restoration for wildlife and people. The Royal
  Society for the Protection of Birds, Sandy, UK
- 612
- Scott, J.M., Davis, F., Csuti, B., Noss, R., Butterfield, B., Groves, C., Anderson, H., Caicco, S.,
  D'Erchia, F., Edwards, T.C., Ulliman, J., Wright, R.G., 1993. Gap analysis: A geographic
  approach to protection of biological diversity. Wildl. Monogr. 3–41.
- 616
- Smith, R.J., Veríssimo, D., Leader-Williams, N., Cowling, R.M., Knight, A.T., 2009. Let the
  locals lead. Nature 462, 280–281.
- 619
- 620 Venter, O., Fuller, R.A., Segan, D.B., Carwardine, J., Brooks, T., Butchart, S.H.M., Di Marco,
- M., Iwamura, T., Joseph, L., O'Grady, D., Possingham, H.P., Rondinini, C., Smith, R.J., Venter,
  M., Watson, J.E.M., 2014. Targeting global protected area expansion for imperiled
  biodiversity. PLoS Biol 12, e1001891.
- 624
- Watson, J.E.M., Dudley, N., Segan, D.B., Hockings, M., 2014. The performance and potentialof protected areas. Nature 515, 67-73.
- 627
- Wildlife Trusts, 2007. A Living Landscape: a call to restore Britain's battered ecosystems, forwildlife and people. The Wildlife Trusts, Lincoln.
- 630

#### 631 Figures and Tables legend

632

633 <u>Table 1:</u>

Statistics describing the land under different conservation management categories found in England, presented in hierarchical order based on the weight given to conservation as a management objective (high to low). We present the total area, as well as the net cover for each category after accounting for overlaps with land in higher conservation categories. The percentage overlap is calculated as the net cover divided by the total area of each management category.

640

#### 641 Figure 1:

Land area in England under the four conservation management categories, ordered by the weight given to conservation as a management objective, from highest (protected areas, PAs) to lowest (Type 2 Large-scale conservation Initiatives, LSCIs), and land not managed for conservation (unmanaged). Land belonging to these different categories often overlaps so the map shows the highest conservation management category for any land parcel.

647

648

#### 649 <u>Figure 2:</u>

The cumulative percentage of protected area within each National Character Area (NCA) ecoregions for the four conservation management categories: a) protected areas (PAs); b) PAs and Type 1 Large-scale Conservation Initiatives (LSCIs); c) PAs, Type 1 LSCIs and Incentive Payment Areas (IPAs); d) PAs, Type 1 LSCIs, IPAs and Type 2 LSCIs.

654

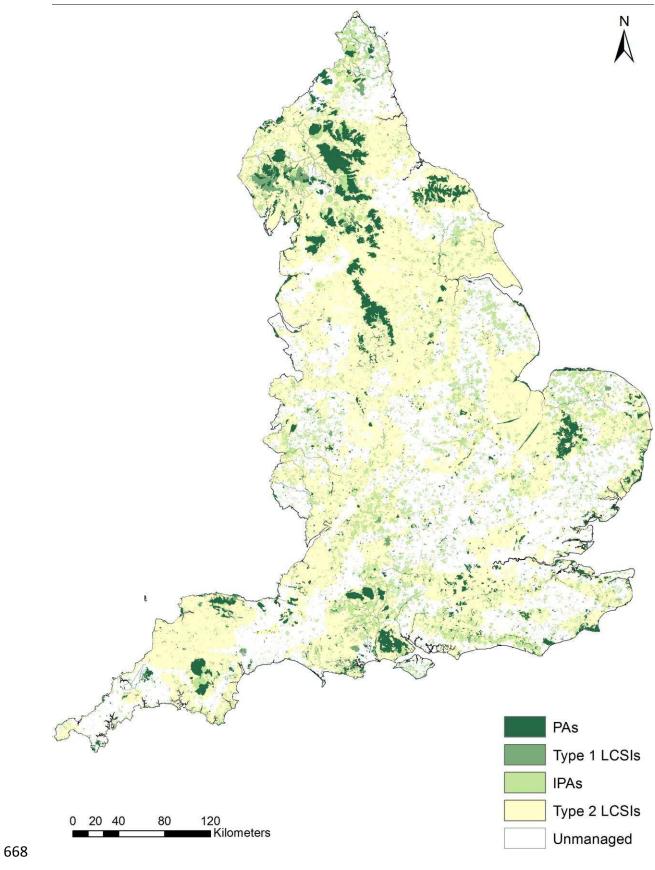
655 <u>Figure 3:</u>

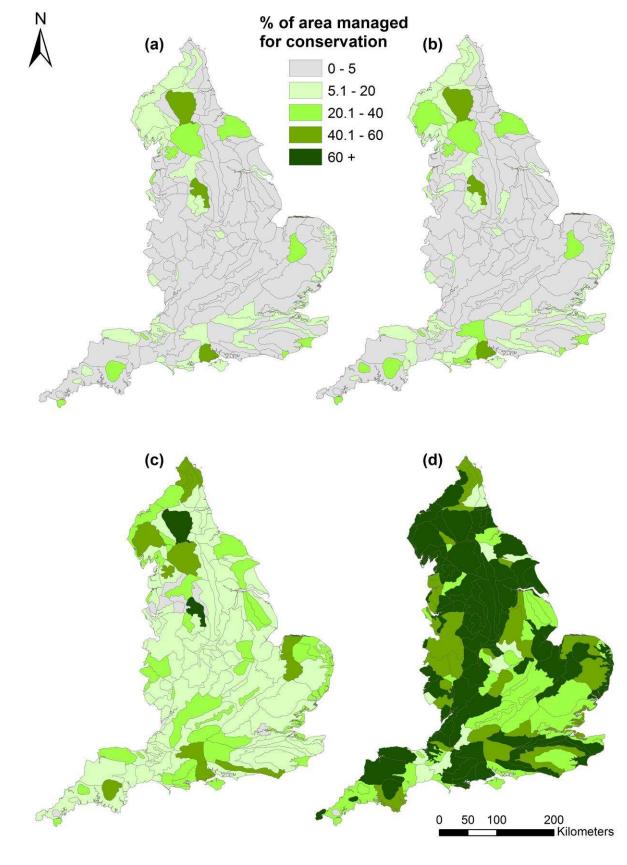
Details of the different conservation management categories by: (a) elevation classes, (b)
agricultural land quality; and (c) landcover class. These categories are protected areas (PAs),
Type 1 and Type 2 Large-scale Conservation Initiatives (LSCIs) and Incentive Payment Areas
(IPAs).

660

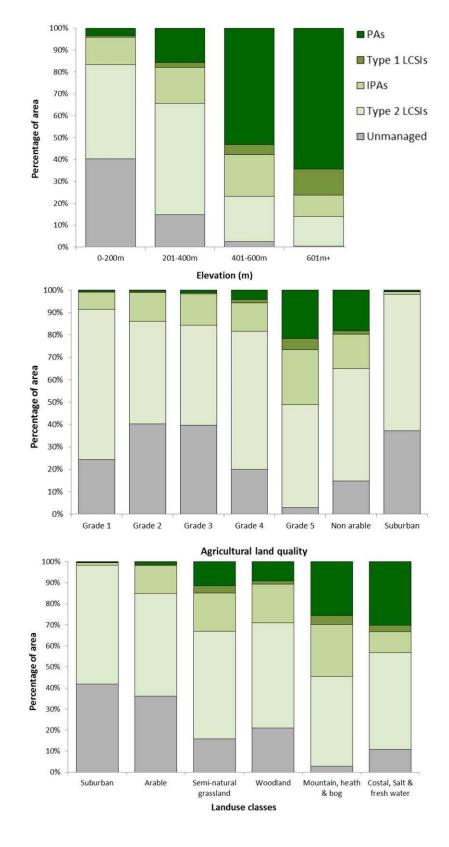
#### 661 <u>Figure 4:</u>

Altitude, distance from traffic nodes and slope of the four conservation management categories (PAs, Type 1 and Type 2 LSCIs and IPAs) and unmanaged land. We used pairwise Wilcoxon tests to explore differences between all possible management category pairs and used the Bonferroni correction to account for multiple testing. Significant differences (p<0.05) between management categories are indicated by letters.

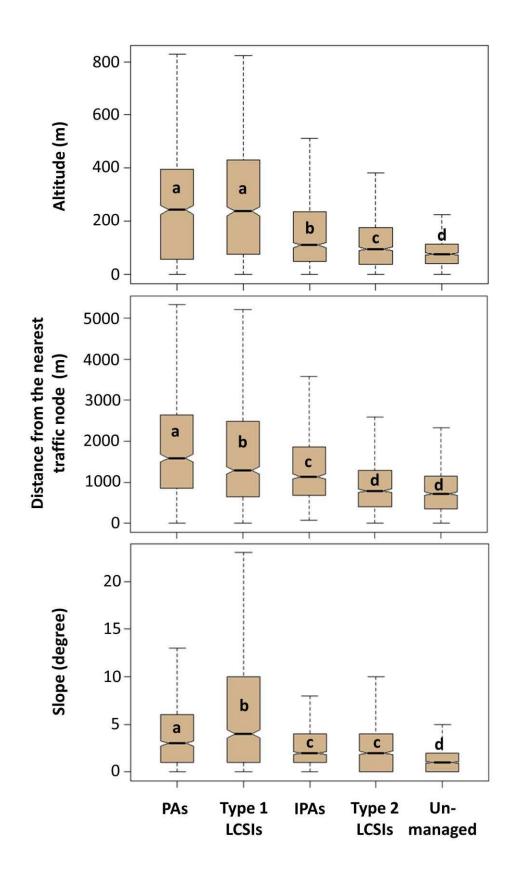












#### Table 1

Conservation management	Median area (and	Total area (km <sup>2</sup> )	Net additional	Overlap with higher	Cumulative % of England
category	range) in km <sup>2</sup>		cover (km²)	CMI categories	
Protected areas	0.17 (<0.01-440.9)	8957.5	8957.5	-	6.37
Type 1 Large Conservation Areas	18.91 (6.38-120.5)	2108.2	1276.0	39.5	7.36
Incentive Payment Areas	0.02 (<0.01-220.1)	22961.0	17085.6	25.6	20.45
Type 2 Large Conservation Areas	156.36 (9.25-5381.4)	112248.9	56429.2	49.7	63.71