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The long term effect of agricultural, vadose zone and climatic factors on nitrate contamination in Nebraska's groundwater system

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Abstract

A four-decade dataset (1974–2013) of 107,823 nitrate samples in 25,993 wells from western and eastern parts of Nebraska was used to assess long-term trends of groundwater nitrate concentration and decadal changes in the extent of groundwater nitrate-contaminated areas (NO_3-*N*≥10 mg N/L) over the entire state. Spatial statistics and regressions were used to investigate the relationships between groundwater nitrate concentrations and several potential natural and anthropogenic factors, including soil drainage capacities, vadose zone characteristics, crop production areas, and irrigation systems. The results of this study show that there is no statistically significant trend in groundwater nitrate concentrations in western Nebraska, in contrast with the increasing trend ($p < .05$) to the east. The spatial extent and nitrate concentrations in contaminated groundwater in center pivot-irrigated areas was less than in gravity-irrigated areas. Areas with a thicker vadose zone and larger saturated thickness of the aquifer have relatively lower nitrate

concentrations. The results of a classification and regression tree (CART) model indicate the difference in the influence of physical factors on groundwater nitrate concentrations between western and eastern Nebraska, namely that groundwater nitrate concentrations correspond with vadose zone thickness, effective hydraulic conductivity, and saturated thickness in the west, while in eastern Nebraska, concentrations are correlated with average percent sand in the topsoil (0–150 cm), well depth, and effective hydraulic conductivity.

Keywords: Groundwater nitrate contamination, agricultural, vadose zone and climatic factors, CART method, Nebraska

1. Introduction

Nebraska, an agriculturally intensive state in the mid-western United States (U.S.), has a large number of wells with nitrate concentrations above the drinking water standard of 10 mg NO_3-N/L (NDEQ, 2015). The High Plains/Ogallala Aquifer (HPOA) is a major alluvial aquifer that extends from South Dakota in the north to Texas in the south, and supplies tremendous amounts of water for agricultural, municipal, and industrial uses. About two thirds of the water in the HPOA is in Nebraska, which also contains a number of large rivers with dams and canal diversions. Groundwater irrigation in Nebraska has increased significantly over the past six decades with the adoption of center pivots, which replaced traditional flood irrigation methods. Now, more than 3.4 million hectares of land rely on groundwater from the HPOA to irrigate crops in Nebraska (USDA, 2014). While nitrate may occur naturally in groundwater, a major cause of high nitrate occurrence in Nebraska's wells is the extensive fertilizer application across the state (Stanton and Lynne, 2006; Gurdak and Qi, 2006; Gurdak et al., 2009; Exner et al., 2014), particularly in irrigated fields.

Consumption of water with elevated nitrate concentrations can cause health problems, primarily for infants; its effects are called "blue baby syndrome" or methemoglobinemia, which is caused by the inability of the blood to deliver enough oxygen to the infant's body, as described by Comly (1945). In 1962, the U.S. Public Health Service officially recommended a nitrate standard of 10 mg NO_3-N/L for drinking water (U.S. Public Health Service, 1962). Though other countries have tighter standards, the U.S. has retained this 10 mg NO_3-N/L to the present day (Sattelmacher, 1962; Simon et al., 1964; Kross et al., 1995; NAS, 1995; U.S. EPA, 2004, 2007, 2017; Tiemann, 2017).

Generally, large amounts of nitrogen fertilizers and irrigation are applied annually in agricultural areas of Nebraska to increase and maintain agricultural production and crop yields (Spalding, 1975; Exner and Spalding, 1976; Adelman et al., 1985; Grassini et al., 2012; Ferguson, 2015). Consequently,

nitrate contamination in Nebraska's groundwater occurs primarily from nitrate leaching in agricultural areas. Although these practices and risks are distributed widely across the state, some parts of the HPOA are more vulnerable than others, for example due to soil drainage characteristics at the land surface (Spalding and Exner, 1993; Nolan et al., 1997; Exner et al., 2010, 2014).

Rising nitrate concentrations in groundwater has prompted Nebraska's Natural Resources Districts (NRDs) to begin implementing groundwater management plans for quality and quantity in the mid- 1980s (NRD, 2017). The Central Platte NRD (CPNRD) plan is representative of those adopted throughout the state of Nebraska for groundwater quality management, although each of the 23 NRDs is free to enact regulations tailored to local conditions. The CPNRD Groundwater Quality Management Program (GWQMP) was initiated in 1988, and involves a phased approach to nitrogen management (CPNRD, 2016). The CPNRD defined four classes of nitrate contamination: Phase I, II, III, and IV. These classes correspond to nitrate concentrations of<7.5 mg/L (Phase I), 7.6–15 mg/L (Phase II),>15 mg/L (Phase III), and areas where the rate of decline in NO_3-N concentrations have not been satisfactory (Phase IV) (CPNRD, 2016). Within these areas, the timing and application rates of nitrogen fertilizer on irrigated agriculture are regulated differently as presented in supplemental information (**Table S1**).

Although Nebraska NRDs have intensively monitored the extent of nitrate contamination in groundwater, as published in the Nebraska Groundwater Quality Monitoring Report (NDEQ, 2015), and many researchers and managers have made efforts to minimize the impact of irrigated crop production on the occurrence of nitrate in Nebraska's groundwater, it continues to be challenging to control nitrate contamination (**Table S2**). This is likely due to the complexity of the aquifer system and difficulty in measuring the effectiveness of the best management practices (BMPs) from the GWQMP in reducing areas of nitrate contamination in groundwater. Previous studies by Exner et al. (2010, 2014) have analyzed long-term groundwater nitrate concentration trends in several regions of eastern Nebraska, but their analysis did not include the more rural, semi-arid west, which is also extensively cultivated (Dappen et al., 2007). Additionally, the agricultural areas are expanding in western Nebraska (Dappen et al., 2007; Hiller et al., 2009), potentially impacting the groundwater quality due to significant N fertilizer application (Exner and Spalding, 1994). USGS reports have indicated widespread nitrate contamination in both eastern and western Nebraska (Verstraeten and Ellis, 1994; Verstraeten et al., 1994, 1998).

There is a significant difference in climate, precipitation and irrigation practices across the state. Western Nebraska is drier, with greater temperature extremes. The predominant bedrock is older (Gutentag et al., 1984),

which means the landscape is less flat, soil texture is coarser than in many of the easternmost parts of the state, and hydraulic conductivity is lower in the aquifer. One purpose of this study is the analysis of the long-term groundwater nitrate concentration trends in western Nebraska, which will help increase understanding the occurrence of nitrate contamination in groundwater. Examining the commonalities and distinctions between the eastern and western parts of the state will help in planning the policy for the protection of groundwater from nitrate contamination. Furthermore, we employ a statistical classification method to establish the correlations among interrelated factors which may be important to nitrate concentrations in the east and west, which have not been considered in previous studies such as Exner et al. (2014), whose landmark study of eastern Nebraska demonstrated the increasing trends in nitrate over the course of three decades. This assessment goes beyond Nolan et al. (1997) and Nolan and Hitt (2006), who demonstrated that many states, including Nebraska, are threatened with nitrate contamination in groundwater. Several studies have attempted to identify sources of groundwater nitrate contamination based on the local analysis in various parts of the United States (Van der Schans et al., 2009; Lockhart et al., 2013; Murgulet and Tick, 2013) as a supplement to these nationwide surveys. The research presented here shows the spatial-temporal changes of nitrate contamination in groundwater on a local to regional scale for the entire state of Nebraska.

Nitrate may be influenced by many factors, some continuous (e.g., vadose zone thickness, thickness of the aquifer, depth to groundwater, etc.), and others categorical (e.g., type of well – domestic, irrigation, or monitoring; presence of barnyard within the property, older or newer wells, etc.). For the analysis of large data sets, the Classification and Regression Tree (CART) is one of the most commonly used decision tree tools. CART can be used to analyze complex interactions among predictors based on regression equations, particularly when there is a large amount of data with many variables (Zhang et al., 2003; Qi et al., 2010). For example, Burow et al. (2010) used CART to identify the relative significance of N inputs, biogeochemical processes, and physical aquifer properties in explaining nitrate concentrations in groundwater. In this study, we evaluate additional influential factors which were not considered in Burow et al. (2010), such as soil drainage classes, percent sand and organic matter in the topsoil, and weather data. In addition, we develop CART models to predict groundwater nitrate concentrations based on the presence or degree of these factors.

As mentioned above, Nebraska has clear differences in hydrogeology and spatial characterizations between western and eastern parts (e.g., rainfall amounts, soil texture, population growth, and crop varieties). Thus, studies of groundwater nitrate contamination should be considered in each

region of Nebraska in order to identify the local causes of leaching and solutions to nitrate occurrence in Nebraska's groundwater.

The objectives of this study include: (i) to estimate the long-term trends of groundwater nitrate concentrations in western and eastern Nebraska; (ii) to examine four decades (1974–2013) of change in the spatial distribution of groundwater nitrate concentrations; and (iii) to evaluate relationships between high groundwater nitrate concentrations (≥ 10 mg NO_3-N/L), and potential natural (e.g., weather, and soil drainage) and anthropogenic (e.g., crop production and price, well type, and irrigation system) factors using CART.

This complements the work by Exner et al. (2014) for the years 1981–2010 in eastern Nebraska, in part by considering a time series that is 33% longer (40 years rather than 30 years) as well as including the western part of the state. This also complements the national-scale analysis of Nolan and Hitt (2006), which contextualizes the local risk of nitrate contamination in groundwater occurring in the High Plains.

Shallower wells are likely to have higher contamination levels than deeper wells. Older wells typically have higher contamination than newer wells and could be linked to construction techniques (Spalding and Exner, 1993). The higher the number of screen zones and the longer the total screen length, the higher the chance for the well to capture the groundwater from the aquifer from all depths. High organic matter tends to preserve soil structure. A higher percentage of sand and organics allows greater infiltration water to the underlying aquifer. Thick vadose zones attenuate the movement of chemicals. A deeper aquifer may have some dilution effect on contaminants reaching the water table. Precipitation prior to planting and during the growing season affects recharge. Temperature is likely to affect plant evapotranspiration. If the land-applied nitrogen load is high at land surface, there will likely be more nitrate available to leach to groundwater as a portion may not be utilized by plants. Many of these factors are not independent: for example, a shallow well is more likely to be installed where the water table is close to the land surface, which may also co-occur with sandier soils that permit more recharge. Monitoring wells may have higher nitrate concentrations by virtue of purposeful installation in locations known to be contaminated. This study highlights the use of CART to identify the relative importance of these interdependent factors, suggesting possible causal mechanisms for nitrate contamination in eastern and western Nebraska that can be further investigated using process-based modeling to get specific causal information for smaller areas.

The goal of this paper is to use three statistical techniques – spatial interpolation, pairwise regression, and CART – to identify patterns of nitrate contamination in Nebraska. Each of these techniques can help to demonstrate the factors that are associated with nitrate contamination in both space and time.

2. Materials and methods

2.1. The study area in western and eastern Nebraska

The study area is the state of Nebraska, representing a geographic area of ~200,000 km^2 between latitude 40°N to 43°N and longitude 95° 19′W to 104° 03′W. Nebraska has two major climatic zones: a humid continental climate (average annual precipitation ~750 mm) in the eastern part of the state and a semi-arid climate (average annual precipitation ~350 mm) in the western area of the state (HPRCC, 2016).

In this comparative analysis, the state of Nebraska is divided into west and east regions. The western region includes 8 Natural Resource Districts (NRDs), and the eastern region includes 15 NRDs (**Fig. 1**). There are 48 weather stations in Nebraska in the network of the High Plains Regional Climate Center (HPRCC) and 201 in the National Oceanic and Atmospheric Administration (NOAA), also shown in **Fig. 1**.

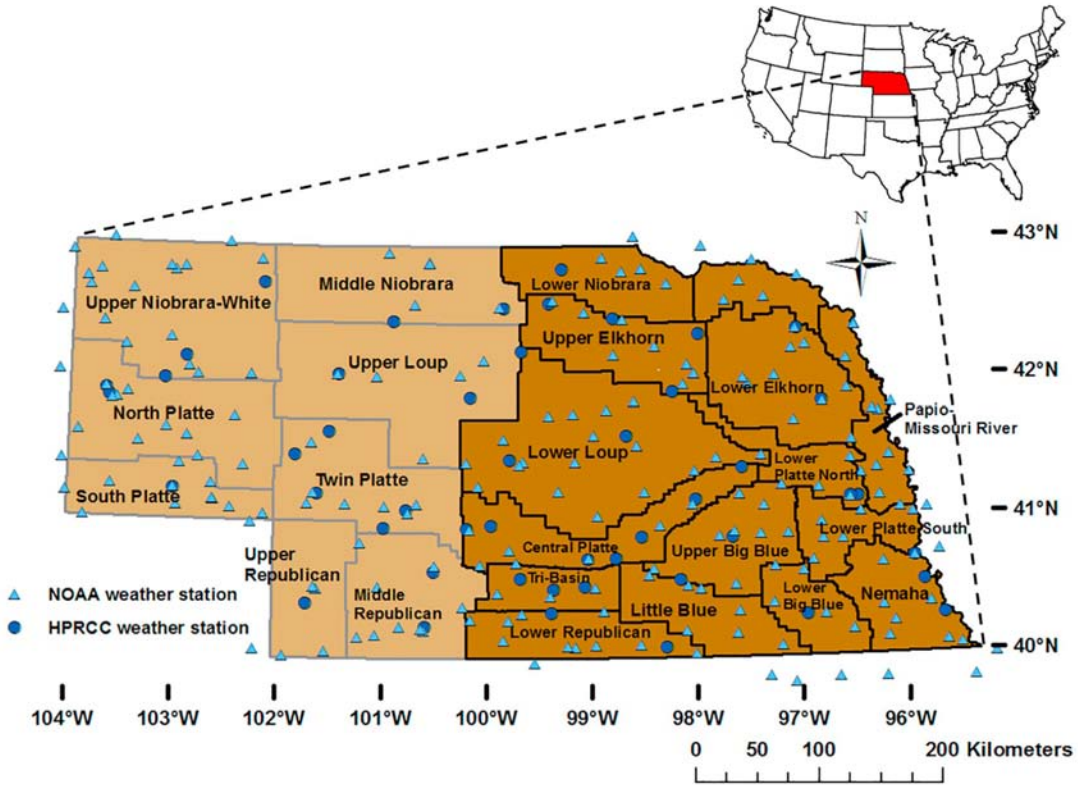


Fig. 1. The location of the study area and associated NRDs in western and eastern Nebraska with weather stations.

Table 1. The number of samples and well types including D=domestic, I=irrigation, Q=monitoring, C=commercial and industrial, and S=livestock wells that have been recorded in four decades (1974–1983; 1984–1993; 1994–2003; 2004–2013). Not all of these data were used in each part of this analysis.

		West — No. of wells					*East — No. of wells*				
Time periods	*No. of samples*	D	I	Q	C	S	D	I	Q	C	S
1974–1983	4748	426	654	1	2	335	1763	1255	134	3	226
		1418					3381				
1984–1993	15,986	857	402	81	6	6	2048	3308	907	21	52
		1352					6336				
1994–2003	42,597	669	2045	811	2	7	1821	7053	1030	19	47
		3534					9970				
2004–2013	44,492	127	1906	849	5	26	923	7406	562	44	49
		2913					8984				
1974–2013	**107,823**	**1518**	**3397**	**982**	**14**	**371**	**4499**	**13,208**	**1607**	**67**	**330**
		6282					**19,711**				

2.2. Data collection and analysis

2.2.1. Groundwater nitrate data

A total of 107,823 nitrate concentration measurements were obtained from 25,993 wells, distributed across 6282 wells in the western part and 19,711 wells in the eastern part of Nebraska for the time period from 1974 until 2013, from the Quality-Assessed Agrichemical Contaminant Database for Nebraska Groundwater (http://dnrdata.dnr. ne.gov/clearinghouse) (UNL, 2000). Most of the samples were taken in eastern Nebraska (76% of total samples during 1974 to 2013), which has a higher population and greater density of agricultural land. Well types are shown in **Table 1**.

Irrigation wells in the dataset have the longest screened intervals, with an average of 24m, compared with 7m for domestic wells and 5m for monitoring wells. Most irrigation wells are screened for their entire length, in contrast with other well types which are not screened near the surface. Monitoring wells are commonly shallow, with an average well depth of 24m. The average well depths of domestic and irrigation wells are 43m and 69m, respectively. More comparative descriptions of these well types between western and eastern Nebraska such as well depths, screen zones, pumping capacities, construction details, etc. are in **Table 2**.

For groundwater nitrate assessment, the NRDs and NDEQ collect samples during July and August every year (NDEQ, 2015). Samples are usually taken from a tap near the well head. Wells which are not in continuous operation are pumped for at least 2h before water is sampled (Schepers et

Table 2. General descriptions of well types including domestic, irrigation, and monitoring wells, followed by number of wells and number of samples collected. Not all of these measurements were used in every part of this analysis.

		West / (East)					
Characteristics	*Well types*	*No. of data*	*Max*	*Min*	*Mean*	*SD*	*Source*
Well depths (m)	Domestic	2583	265	2	48	32	UNL and
		(10,645)	(296)	(0.3)	(39)	(25)	NDNR
	Irrigation	12,475	188	6	79	35	
		(52,072)	(373)	(4)	(58)	(30)	
	Monitoring	13,570	248	3	26	19	
		(20,763)	(160)	(0.6)	(23)	(22)	
Screen zones (m)	Domestic	299	43	3	8	5	UNL and
		(1510)	(74)	(1.2)	(5.5)	(4.5)	NDNR
	Irrigation	6889	134	0.6	38	22	
		(42,900)	(146)	(0.3)	(25.6)	(20)	
	Monitoring	12,590	129.5	0.6	7	8	
		(12,339)	(30)	(0.01)	(3.6)	(3.3)	
Number of screen intervals (–)	Domestic	299	2/3%	1/97%	–	–	UNL and
		(1510)	(3/0.07%)	(1/96%)	–	–	NDNR
	Irrigation	6889	4/0.16%	1/95%	–	–	
		(42,900)	(3/1.03%)	(1/96%)	–	–	
	Monitoring	12,590	3/0.43%	1/99%	–	–	
		(12,339)	(2/1%)	(1/99%)			
Pumping capacities (gallons/min)	Domestic	2583	2000	1	20	37.2	NDNR
		(10,645)	(2000)	(1)	(19.5)	(28.4)	
	Irrigation	12,475	5000	2	1028	607.7	
		(52,072)	(9020)	(3)	(876)	(331)	
	Monitoring	13,570	1334	1	19	74	
		(20,763)	(1100)	(1)	(8.4)	(45)	
Construction details	All types: Under state regulations of Title 178, Chapter 12, "Water Well Standards" for a variety of intended uses (drinking water, irrigation, livestock watering, geothermal energy, or others), the NDHHS recommends that after drilling, a casing of either plastic (PVC), fiberglass, teflon or steel pipe will be placed in the bore hole. The casing must be extended at least 12 in. (~30 cm) above the surrounding land and is capped with a watertight seal on the top. The space between the bore hole and the well casing should be maintained a minimum of 2 in. (~5 cm) and must be grouted to protect surface water from running down the casing. A well screen is joined to the casing at one or more intervals in the aquifer's water-bearing zone. Clean sand or gravel that stabilizes the aquifer material, must be placed in the space between the bore hole and the screen while allowing water to move into the well.						UNL (IANR) and NDHHS

NDEQ is the Nebraska Department of Environmental Quality: http://dnrdata.dnr.ne.gov/clearinghouse).
NDNR is the Nebraska Department of Natural Resources: http://www.dnr.ne.gov/groundwater-data
UNL (IANR) is the University of Nebraska-Lincoln, Institute of Agriculture and Natural Resources: http://water.unl.edu/wells/design-construct
NDHHS is the Nebraska Department of Health and Human Services: http://dhhs.ne.gov/Pages/default.aspx

al., 1991; Exner et al., 2014). All samples are collected in polyethylene bottles and immediately put on ice until delivered for laboratory analysis. Samples are analyzed using the EPA-approved cadmium reduction method or HACH EPA equivalent/compliant methods (Exner et al., 2005; NDEQ, 2015).

To evaluate groundwater nitrate trends, if more than one concentration was reported in a well in a year, the maximum concentration was selected, because the maximum concentration is important for health. The dataset of maximum concentrations for all individual wells was divided into two groups, one for the western half of Nebraska and the other for the eastern part. The concentrations within western and eastern Nebraska were then averaged for each year.

2.2.2. Spatial characterization data

The distribution of irrigated and non-irrigated row crops was available from the MIRAD-US project under the USGS Early Warning and Environmental Monitoring Program (USGS, 2015) and the 2005 Nebraska Land Use Map (University of Nebraska–Lincoln, 2010). The data for irrigation systems in Nebraska were obtained from the University of Nebraska–Lincoln Conservation and Survey Division (School of Natural Resources, 2015) and the Center for Advanced Land Management Information Technologies 2005 Nebraska Land Use map (University of Nebraska–Lincoln, 2010). The spatial map of soil drainage capacities was made in accordance with Exner et al. (2014) by consolidating the seven drainage classifications of the Soil Survey Geographic Database (USDA, 2015) into three groups: excessively well drained, well drained and poorly drained. The spatial maps of corn and soybean production years were created by stacking raster layers of annual data from the National Agricultural Statistics Service (NASS) Cropland Data Layer (USDA-NASS, 2015).

2.2.3. Weather and crop price data

Weather data were collected from 48 stations of the HPRCC (http://hprcc6.unl.edu/cgi-hpcc/home.cgi) automated weather data network (AWDN) and 201 NOAA stations (http://www.ncdc.noaa.gov/cdoweb/datatools/findstation). Daily data included precipitation; wind speed; solar radiation; relative humidity; maximum, average and minimum temperatures; and potential evapotranspiration (ET_p) across the study area during 2004 and 2013.

Soil nitrate, which can be derived from most nitrogen materials in commercial fertilizers, biomass, and animal wastes, is highly soluble in water and can easily be transported through soil to groundwater with recharge from agricultural land. Evapotranspiration (ET) is a highly variable and yet significant driving force (USGS, 2000) that is a primary determinant of the

amount and timing of recharge. ET affects nitrate concentrations in groundwater through changes to the water balance, especially by decreasing recharge. Because most of the area where groundwater is exposed to nitrate contamination is fully irrigated, and therefore unlikely to experience any long-term moisture deficit, the potential evapotranspiration (ET_p) is a good approximation for the actual ET. In this study, ET_p is calculated on a daily time step using the Penman-Monteith (PM) equation with a fixed surface resistance of 45 sm^{-1} and fixed plant height of 0.5m for a reference surface of grass and alfalfa (Monteith, 1965).

Assuming that N applied per acre is independent of the number of acres in production, increasing crop land areas leads to more total N fertilizer application. Typical N application rates for corn and soybeans in Nebraska are ~180 kg/ha (based on an anticipated yield of 150 bu/ac) and ~100 kg/ha (in a case of nitrogen deficiency in soil), respectively (Shapiro et al., 2008; Ferguson et al., 2006). A higher corn price shifts the corn-soybean rotation in favor of continuous corn and encourages more fertilizer use. Thus, trends in total N fertilizer should be considered in the context of trends in corn price. Historical corn prices were obtained from the Department of Agricultural and Consumer Economics of the College of Agricultural, Consumer and Environmental Sciences at the University of Illinois (http://farmdoc.illinois.edu/manage/pricehistory/price:history.html).

2.3. Groundwater nitrate-contaminated areas

To differentiate factors controlling leaching and vulnerability to contamination, areas with high groundwater nitrate concentration were first identified and delineated. Outlined areas of nitrate concentrations (≥10 mg NO_3-N/L) were created using ArcGIS 10.3.1. The nitrate concentration in each well during each decade (1974–1983; 1984–1993; 1994–2003; 2004–2013) was computed across 2 km by 2 km grid cells using the point-to-raster conversion tool. Spatial analysis tools (interpolation, reclassify and contour) were used on grid cells with average concentration ≥10 mg NO_3-N/L. If more than one concentration was reported in a well in a year, the concentrations were averaged, in contrast with the trend analysis (Section 2.2.1), in which the greatest measured concentration was used in a year. The average concentration was more representative for spatial comparison among wells, because spatial interpolations are sensitive to outliers.

Four methods of interpolation in ArcGIS 10.3.1 (inverse distance weighting, ordinary kriging, interpolation from contours, and natural neighbor) were compared for spatially estimating averaged values of nitrate concentrations from wells in **Table 1**. This includes data from some multi-level monitoring wells, including from studies involving nitrates from point sources, which are not representative of nitrates from agricultural drainage.

Monitoring wells represented up to 30% of wells in the west (2004–2014) and up to 14% of wells in the east (1984–1993). Nitrate levels from multi-level monitoring wells were averaged across depths before interpolation, since only one value can be used for a given location in any of the above interpolation methods. The selected interpolation methods were cross validated by reserving 10% of known data points from the database for error assessment. The results indicated that the natural neighbor method had the smallest error as calculated by the root mean square error (**Fig. S1**) and the percent of error (**Table S3**), and so this method was used to delineate areas of high nitrate concentrations (≥10 mg NO_3-N/L). Our methodology is different from the previous study by Exner et al. (2014) which used the "topo to raster" contour interpolation tool for determining the areas of high nitrate concentrations (≥10 mg NO_3-N/L) and excluded multi-level monitoring wells.

2.4. CART model for estimating groundwater nitrate concentrations

2.4.1. Methodology for the CART analysis

CART is a useful and popular tool in the field of data science. In this study, the program language "R" was selected to estimate the indicators for splitting nodes, using the package "rpart" (Loh, 2011). According to the description of Breiman et al. (1984), a CART model is principally built for classifying and predicting responses to covariates based on three steps including "tree" growing, pruning, and optimizing (**Fig. 2**).

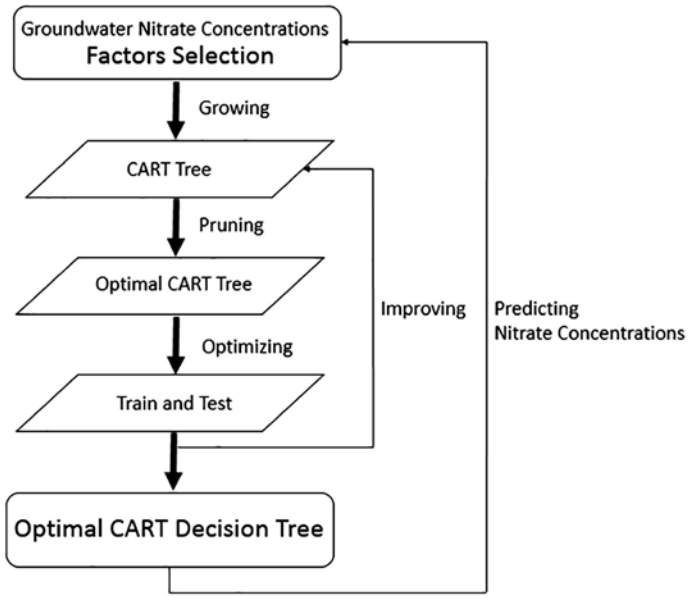


Fig. 2. The CART model for estimating groundwater nitrate concentrations.

"Tree" growing requires two steps, as described in the name of the tool: classification, and regression. The first step uses a classification tree – a recursive partitioning technique – to run several variables against the or dependent variable, to find the most robust and consistent method of sorting the observations into groups based on their similarity. The program checks the greatest improvement of the "purity" score of the resultant nodes (categories), to identify the best splitter in the case of the categorical variable, as well as alternative splitters ("surrogates") that would create similar groups. Thus CART splits the samples into populations with similar attributes, ensuring that the resulting populations are as similar to each other, and as different from other groupings, as possible. For discrete inputs, such as well type in this analysis, the classification is evaluated using a metric known as a Gini coefficient (Breiman et al., 1984). The regression tree, which is generated for continuous input variables such as screen depth and sand content, has the same procedure with the classification tree, except it uses the variance between groups as the indicator instead of the Gini coefficient. CART automatically splits the observations into a large number of small subgroups with very similar characteristics, but only the first several splits are likely to be statistically significant; therefore it is necessary to get rid of the smallest "branches" in the decision tree.

After generating a detailed decision tree from the combination of classification (group membership) and regression (group values) (**Fig. S2**), the second step of the CART methodology is "tree pruning." Pruning cuts the "branches" of the tree to reduce over-fitting, thereby increasing the ability of new data prediction in the decision tree (Mingers, 1989). In CART, the "minimum cost complexity" pruning method is used to optimize the decision tree. Typically, the cost-complexity pruning threshold of the decision tree is considered equivalent to the decision tree error.

The final step in CART involves evaluating the pruned trees using the split test method, which is one method of examining the optimal tree (Dobbin and Simon, 2011). The observed data are divided into two groups, one for training and the other for testing. The groundwater nitrate concentration dataset within the contaminated area (NO_3-$N \geq 10$ mg N/L) during 2004–2013 was randomly separated into two subsets, 80% of the data (12,880 samples) as the model training observations and 20% of the data (2000 samples) as the testing observations. In this study, the groundwater nitrate concentration was the target value, whereas weather conditions, well and soil characteristics, and surface nitrate-nitrogen loading for each well were chosen as the potential contributing factors based on a literature review (**Tables 4** and **5**). Groundwater nitrate concentrations were estimated and evaluated by a CART model through the procedure of growing, pruning, and optimizing based on the optimal tree. An overview of CART methodology is presented in **Fig. 2** and the principal equations of the CART model are described in **Table 3**.

Table 3. Principal equations of the CART model (Breiman et al., 1984; Yohannes and Webb, 1999).

Parameter	*Equation*	*Definition*
Gini coefficient	$Gini\,(t) = 1 - \sum_{j=1}^{k} p^2\,(j\,|\,t\,)$	$Gini(t)$ is an indication of the purity at node t, k is the number of categorical predictor variables, $p(j|t)$ is the probability of a record output being in class j for the node t. When the $Gini(t)$ equals zero, it means all the observations in the node belong to a single group (the most purity).
The reduction of Gini coefficient	$\Delta Gini(t) = Gini(t) - p_L Gini(t_L) - p_R Gini(t_R)$	$\Delta Gini(t)$ is the reduction of Gini coefficient at node t (the greatest value means the best splitter), $Gini(t)$ is the Gini coefficient of output variables before reducing at node t, $Gini(t_L)$ and p_L are respectively the Gini coefficient and the proportions of observations at the left child node t_L, $Gini\,(t_R)$ and p_R are respectively the Gini coefficient and the proportions of observations at the right child node t_R.
The variance of the regression tree	$R(t) = \frac{1}{N-1} \sum_{j=1}^{k} (x_i(t) - \bar{x}\,(t))^2$	$R(t)$ is an indicator of the variance at node t, N is the number of observations for the node t, k is the number of categorical predictor variables, $x_i(t)$ is the output variables in class j for the node t, and $x\,(t)$ is the mean of the output variables in class j for the node t.
The reduction of the variance	$\Delta R(t) = R(t) - p_L R(t_L) - p_R R(t_R)$	$\Delta R(t)$ is the reduction of variance at node t (the greatest value means the best splitter), $R(t)$ is the variance of output variables before reducing at node t, $R(t_L)$ and p_L are respectively the variance and the proportions of observations at the left child node t_L, $R(t_R)$ and p_R are respectively the variance and the proportions of observations at the right child node t_R.
The cost-complexity pruning	$R_\alpha(T) = R(T) + \alpha\|\tilde{T}\,\|$	$R_\alpha(T)$ is the cost-complexity pruning of the decision tree, T, $R(T)$ is the error of classification in the decision tree, T, α is the complexity parameter, which will range from 0 to 1 and increase during the pruning process to represent how much additional accuracy is in the tree. When α is increased, the tree will be pruned. $\|\tilde{T}\,\|$ is the number of child nodes.

Table 4. Selected factors for the analysis of the CART model.

WEST
EAST

Factors	*Max*	*Min*	*Mean*	*SD*	*Source*
Well attributes					
Well depth (m)	153	4.5	33	23	UNL and NDNR
	373	6	56	29	
Well age based on sampling date (yr)	75	0	20	14	UNL and NDNR (calculated from the difference between
	88	0	32	12	sampling date and completed date of wells)
Length of wells screen (m)	104	0.15	10	13	UNL and NDNR
	109	1.83	91	28	
Number of screen intervals (–)	2	1	1	0.1	UNL and NDNR
	5	1	2	0.3	
Soil characteristics and physical vadose zone properties					
Average percent sand in 0–150 cm (%)	95.73	9.79	61.87	21.37	NRCS SSURGO
	96.3	2.6	50.93	35.8	
Average percent organic matter in 0–150 cm (%)	1.77	0.27	0.82	0.35	NRCS SSURGO
	5	0.28	0.87	0.51	
Effective hydraulic conductivity (cm/day)	163.54	16.73	101.06	47.45	SNR UNL (calculated based on vertical flow and
	94.53	19.27	59.32	18.68	Pedotransfer Function in ROSETTA database)
Vadose zone thickness (m)	68	2.14	12	9	NDNR
	65	2.14	18	12	
Saturated thickness (m)	132	0.15	21	19	UNL and NDNR (calculated from well depth
	357	0.3	38.4	25	and vadose zone thickness)
Weather data					
Mean montly precipitation during Apr-Sep (mm)	113.47	27.71	66.04	16.15	PRISM Climate Group
based on 2004–2013 data	163.33	37.32	88.92	19.1	
Mean annual max temperature (°C) based on	20.27	14.02	17.55	1.02	PRISM Climate Group
2004–2013 data	20.61	13.58	16.56	1.41	
Mean annual min temperatue (°C) based on	3.83	−0.71	1.69	0.79	PRISM Climate Group
2004–2013 data	5.61	0.92	3.24	1.02	
Surface nitrate loading					
Nitrate-N load on surface around each well based	50.95	0.5	20.74	21.98	Literature review (see Table 5)
on land cover (kg/ha/yr)	50.95	1.44	36.67	17.55	

Abbreviations
UNL, University of Nebraska-Lincoln: http://dnrdata.dnr.ne.gov/clearinghouse
NRCS SSURGO is the USDA Natural Resources Conservation Service and Soil Survey Geography database: https://websoilsurvey.sc.egov.usda.gov/App/HomePage.htm
SNR UNL is the School of Natural Resources at the University of Nebraska-Lincoln: http://snr.unl.edu/data/geologysoils/NebraskaTestHole/NebraskaTestHoleMap.aspx
NDNR, Nebraska Department of Natural Resources: https://dnr.nebraska.gov/data/groundwater-data
PRISM Climate Group is the Parameter elevation Regression on Independent Slopes Model Climate Group: http://www.prism.oregonstate.edu/

2.4.2. Factors for CART modeling

A CART model was created to identify the most significant factors affecting nitrate concentrations in Nebraska's groundwater, beginning with 13 potentially influential factors divided into four groups. These included well attributes, soil and vadose zone characteristics, weather conditions, and surface nitrate-nitrogen load around each well (see Table 4). Selection of these factors was also influenced by the availability of data.

Figs. 3 and **4** present box plots and trend lines of vadose zone characteristics (well depth, saturated thickness, and vadose zone thickness) with nitrate sample data for three major well types, domestic, irrigation, and monitoring, within the contaminated area (2004–2013) in western and eastern

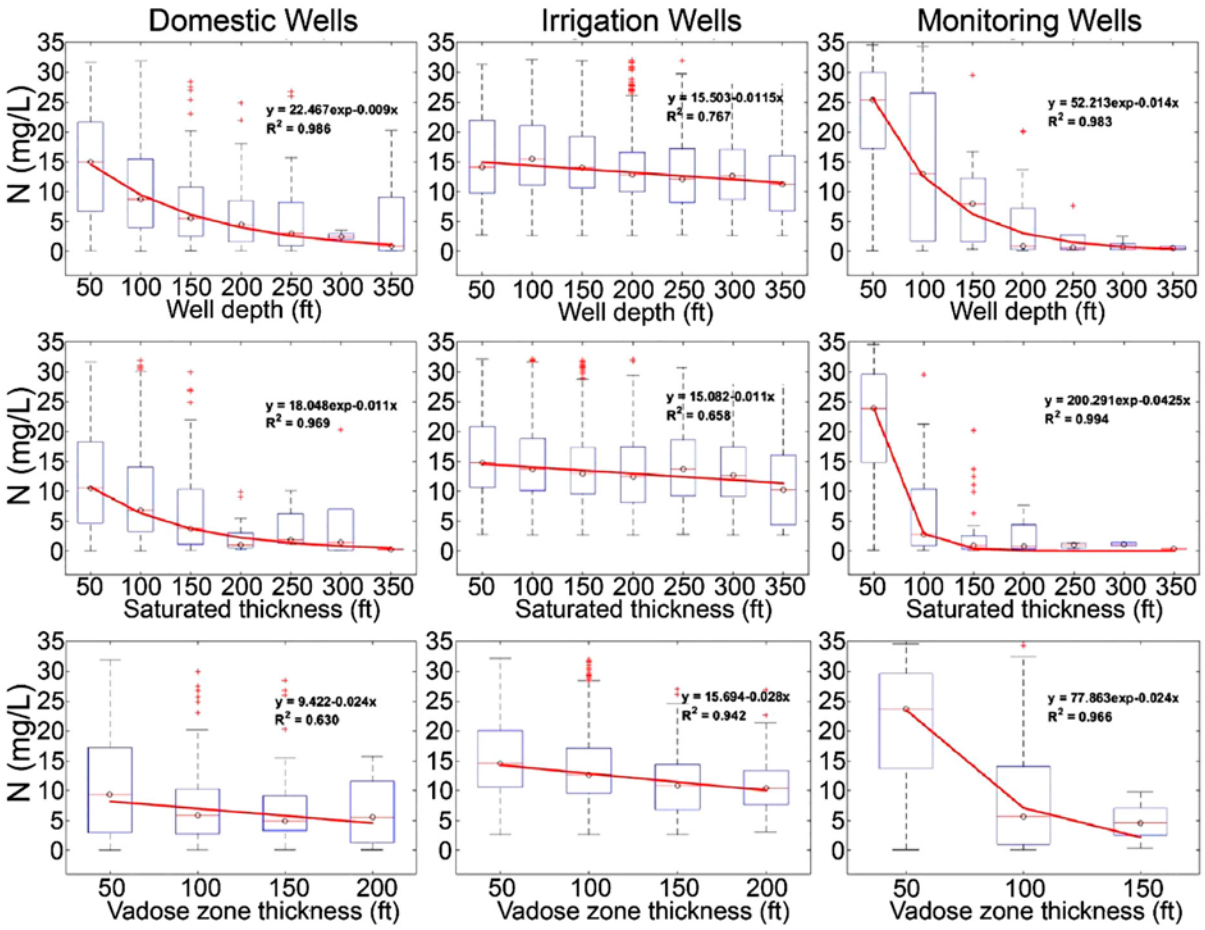


Fig. 3. Vadose zone characteristics (well depth, saturated and vadose zone thickness) with groundwater nitrate concentrations (1974–2013) within the 2004–2013 contaminated area in eastern Nebraska. 1 ft (ft)=0.3048 m (m). The 2004–2013 contaminated area encompasses most of the contaminated area from previous decades.

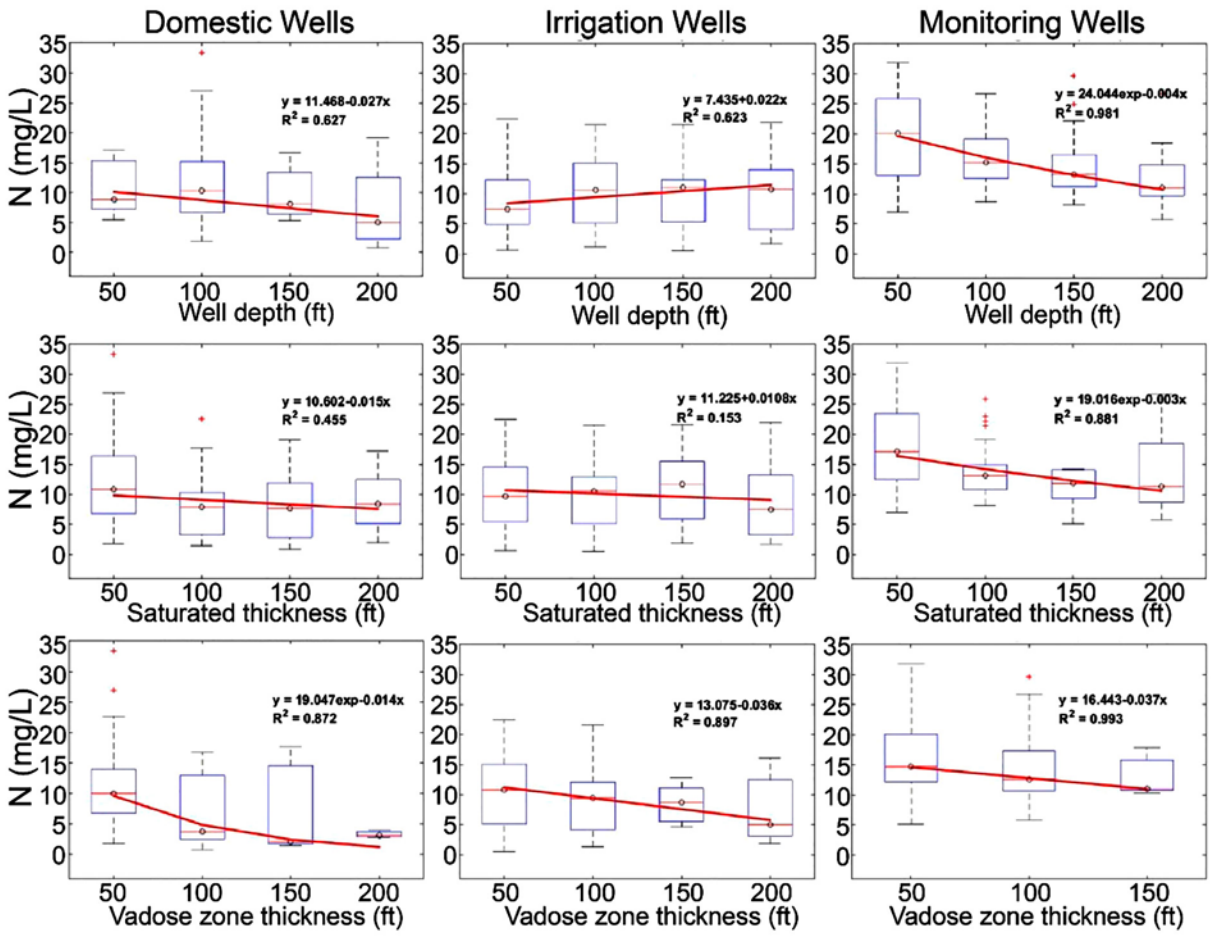


Fig. 4. Vadose zone characteristics (well depth, saturated and vadose zone thickness) with groundwater nitrate concentrations (1974–2013) within the 2004–2013 contaminated area in western Nebraska. 1 ft (ft) = 0.3048 m (m).

Nebraska. The monitoring well data used in these regressions includes data from multi-level monitoring wells for studies in eastern Nebraska, which were not used for CART analysis, although they were used for spatial interpolation.

Wells in a large part of eastern Nebraska exhibited shallower average well depth, less saturated thickness of the aquifer, and smaller vadose zone thickness along with a correlation of increasing nitrate concentrations with depths in wells of all types (domestic, irrigation and monitoring wells) as shown in **Figs. 3** and **4**. The contrasting shape of the regression in nitrate concentrations in domestic, irrigation, and monitoring wells probably occurs from differences in well attributes such as screen depths, well diameters, etc. The fitted correlation of median well depth, saturated thickness of aquifer, and vadose zone thickness with nitrate concentrations in

monitoring wells presents an exponential relationship with R^2 of 0.98, 0.99, and 0.97, respectively. Comparatively, a linear relationship is found only in irrigation wells with R^2 of 0.77 (well depth), 0.66 (saturated thickness), and 0.94 (vadose zone thickness). Deeper well depths and larger thicknesses of saturated and vadose zones are associated with lower nitrate concentrations in groundwater. The shallow well depth and smaller thicknesses of the saturated and vadose zones in the 0–50 ft (i.e., 0–15 m) range of monitoring wells have definitively higher nitrate concentrations than in domestic and irrigation wells. The well attributes, e.g. screen depths and well diameters, likely impact groundwater nitrate concentrations by affecting the part of the aquifer that the well draws from, particularly in the vertical profile of nitrate.

Based on these regressions, it is a valid concern that data from monitoring, irrigation, and domestic wells might not be comparable. In particular, monitoring wells are often put into place in areas of known nitrate contamination. However, CART analysis explicitly shows that in western Nebraska, monitoring wells in areas with a thin vadose zone and high hydraulic conductivity have more in common (in terms of nitrate concentrations) with irrigation and domestic wells in areas with a thin vadose zone and high hydraulic conductivity than with other monitoring wells that do not share these physical and geographical characteristics. No monitoring wells were included in the CART analysis for eastern Nebraska.

In the western part of Nebraska, domestic and monitoring wells have lower nitrate concentrations where the vadose zone is thicker. Except for irrigation wells, there are no obvious correlations of nitrate concentration with well depth and saturated thickness of the aquifer. Eastern Nebraska has higher nitrate concentrations in groundwater than in the west. Interestingly, at the same depth to water table or vadose zone thickness, groundwater nitrate concentrations in the east are higher than in the west. The difference in soil properties and other conditions between western and eastern Nebraska, as well as higher rainfall, recharge, and N inputs in the east, likely plays a significant role in predicting nitrate concentrations in groundwater.

Note that, while trends in groundwater concentrations over time were different between domestic, irrigation, and monitoring wells, the type of well was not a significant factor in absolute nitrate concentrations according to the CART model. The type of well was included as an input factor when creating the CART model, and if the mean groundwater nitrate concentration were significantly different among the types of wells, CART would have identified this factor as part of the classification tree. Instead, well type was identified in the west as a surrogate split in node 22, whereas everything beyond node 5 was considered statistically insignificant. In eastern Nebraska, no monitoring well data was used for CART analysis.

The depth from the land surface to the water table, referred to as vadose zone thickness, was estimated from a map of the depth to water. This was combined with the groundwater nitrate sample database of the University of Nebraska–Lincoln (UNL) between 1974 and 2013 using the extract values to points tool in ArcGIS 10.3.1. The depth to water map was created using the kriging interpolation tool using water depth data from 206,061 registered wells collected by the Department of Natural Resources (DNR) in Nebraska (http://www.dnr.ne.gov/groundwater-data). Saturated thickness was estimated from the difference between the well depth and the estimated depth to water table. From the estimation of the thickness of saturated zone, we found that many wells do not extend to the bottom of the aquifer in Nebraska. While the HPOA is known for its rapid depletion due to unsustainable use (Scanlon et al., 2012; McGuire, 2017; Haacker, et al., 2016), the depth to water in Nebraska has remained much more stable than the depth to water in other areas that rely on the aquifer for irrigation, such as the Kansas and Texas High Plains.

Average percent of sand and organic matter (OM) in the top soil (0–150 cm) were estimated from a map of a soil layer with the Soil Survey Geography (SSURGO) Mapunit Key (mukey). Each mukey is associated with specific soil characteristics (sand, silt, clay, organic matter, etc.). The soil layer was downloaded from SSURGO using the Web Soil Survey (WSS) operated by the USDA Natural Resources Conservation Service (NRCS) (WSS, 2017). Effective hydraulic conductivity (K_{eff}) was calculated from soil texture layers in the test hole, collected by the School of Natural Resources (SNR) at the University of Nebraska–Lincoln (UNL) (SNR-UNL, 2017). The K_{eff} was estimated based on vertical flow through soil layers. The saturated hydraulic conductivity (K_s) in each soil layer was predicted by the pedotransfer function in the ROSETTA database in HYDRUS-1D based on soil textures, which were obtained from the UNL-CSD test hole database.

Weather data, including monthly precipitation and maximum and minimum air temperature, were collected from the Parameter Elevation Regression on Independent Slopes Model (PRISM) Climate Group provided by the Oregon State University (PRISM, 2017). Land-use nitrate export coefficients were used for determining the annual average nitrate loading around each well under each year during 2004–2013. The land use data layers (2004–2013) were collected from the U.S. Department of Agriculture (USDA-NASS, 2016). The nitrate export coefficient for each land cover, which can indicate the amount of nitrates available for leaching from the surface due to inputs of fertilizers, crop residues, and atmospheric deposition (Reckhow and Simpson, 1980), were found using literature sources such as Parn et al. (2012) and Keeler and Polasky (2014). In this study, we used the nitrate export coefficients from Keeler and Polasky (2014) as shown in **Table 5**.

Table 5. Nitrate export coefficients assigned to land use codes based on 2004 to 2013 cropland data layer and Keeler and Polasky (2014).

Land use codes	*Land use description*	*Nitrate-N loading (kg/ha/yr)*
1	Corn	50.95
4	Sorghum	7.56
5	Soybeans	22.25
6	Sunflowers	7.56
12	Sweet corn	50.95
13	Popcorn	50.95
24	Winter wheat	7.56
26	Double crop winter wheat/soybeans	22.25
27	Rye	7.56
28	Oats	7.56
29	Millet	7.56
36	Alfalfa	10.16
42	Dry beans	7.56
44	Other crops	10.16
141	Deciduous forest	3.72
176	Pasture	3.2
190	Wetlands	1.44
225	Double crop winter wheat/corn	50.95
241	Double crop corn/soybeans	50.95

3. Results and discussion

3.1. Long-term trends of groundwater nitrate concentration

Fig. 5 presents long-term trends of groundwater nitrate concentration (1974–2013) in western and eastern Nebraska. Average annual groundwater nitrate concentrations in eastern Nebraska are increasing ($p < .05$), probably due to an increase in the intensity of crop production and the adoption of center pivots. Nitrate concentrations remain stable in the west, despite increasing irrigation intensity. Many of Nebraska's groundwater management programs implemented since 1988 may have reduced the loading contributing to increasing nitrate concentrations. Interestingly, it is only after the programs started that a clear upward trend begins in eastern wells, possibly a result of legacy nitrates reaching the water table.

3.2. Spatial distribution of groundwater nitrate contamination

Fig. 6 shows the contaminated areas of groundwater nitrate concentrations ≥10 mg NO_3-N/L during each of the previous four decades in Nebraska.

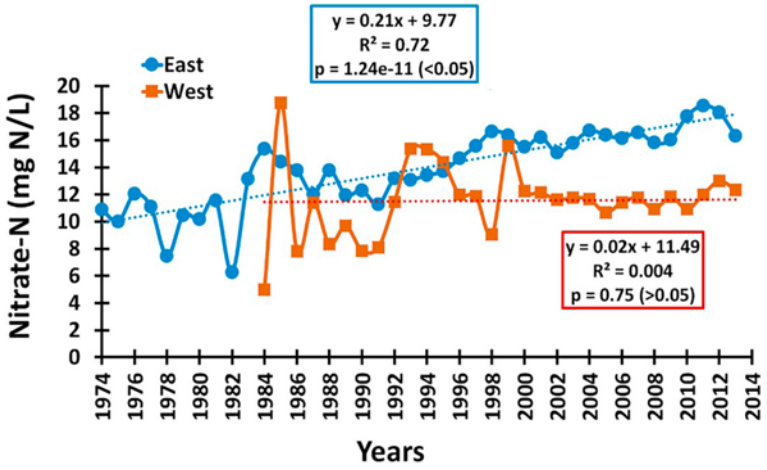


Fig. 5. Long-term trends of groundwater nitrate concentration (1974–2013) in western and eastern Nebraska.

Well depth, screen depth, and sampling date were obtained from the well database of the University of Nebraska–Lincoln (UNL, 2000). Within the groundwater nitrate contaminated areas during 2004–2013 (outlined in **Fig. 6**), there are a total of 40,758 available nitrate samples: 36,835 samples in the eastern part and 3923 samples in the western part of Nebraska. These data are based on nitrate samples in the 40-year database from 1974 to 2013, which covers only part of the state. Another 67,065 nitrate samples were excluded from this study because their highest nitrate concentrations were under the MCL of 10 mg NO_3-N/L. Based on the evaluation of average nitrate concentrations across the state, the groundwater nitrate-contaminated area in the west seems to be much smaller and more stable than in eastern Nebraska. On the other hand, the contaminated area (2004–2013) in the east part of Nebraska was more expansive than the previous decades, which makes sense given the long-term trends of groundwater nitrate concentration in **Fig. 5**.

3.3. The relationships between groundwater nitrate concentrations and potential natural and anthropogenic factors

3.3.1. Characterization of nitrate-contaminated areas

As shown in **Fig. 7**a and b, extensive production of corn and soybean coincides with groundwater nitrate-contaminated areas. Currently, the total area of corn production is growing both in western and eastern Nebraska. Limited production of corn and the absence of soybean in western Nebraska

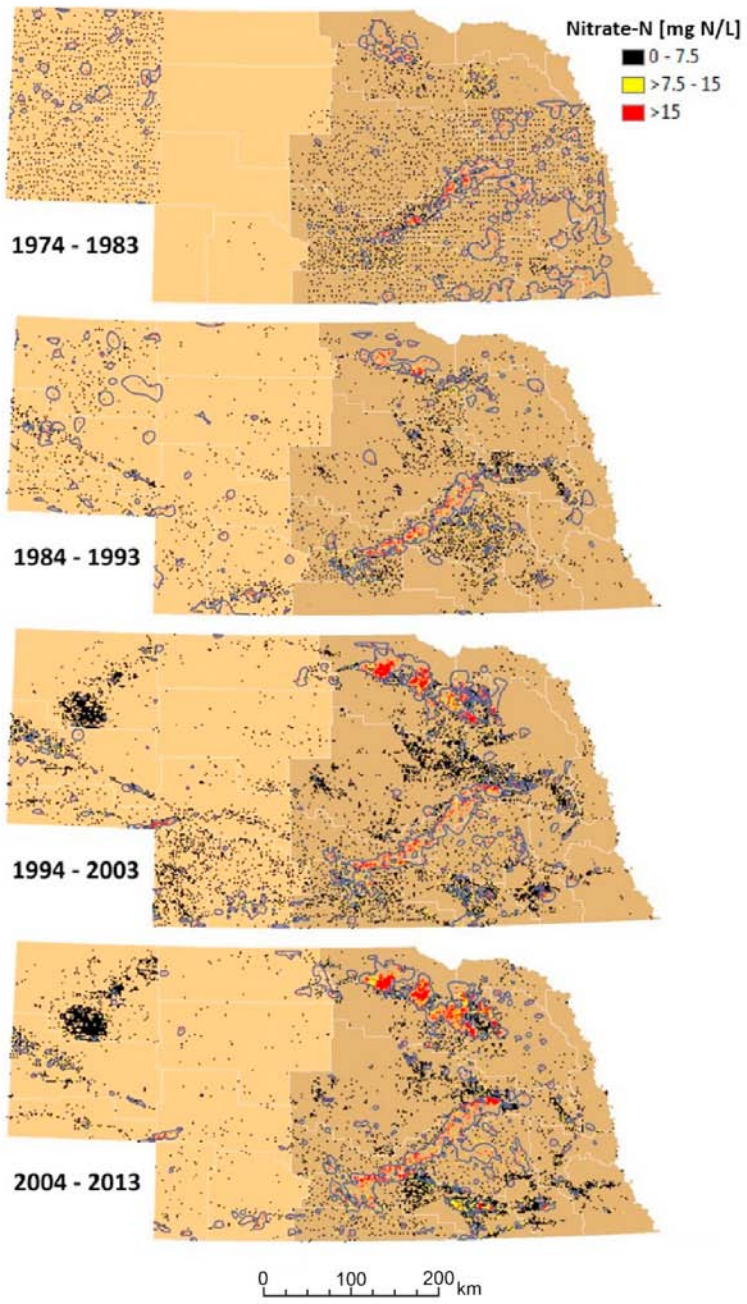


Fig. 6. The distribution of groundwater nitrate concentrations within the blue outlined areas of ≥10 mg NO_3-N/L during 1974–1983, 1984–1993, 1994–2003, and 2004–2013. NRD boundaries, which represent districts for nitrate management policies and which were used to delineate eastern and western Nebraska, are outlined in white.

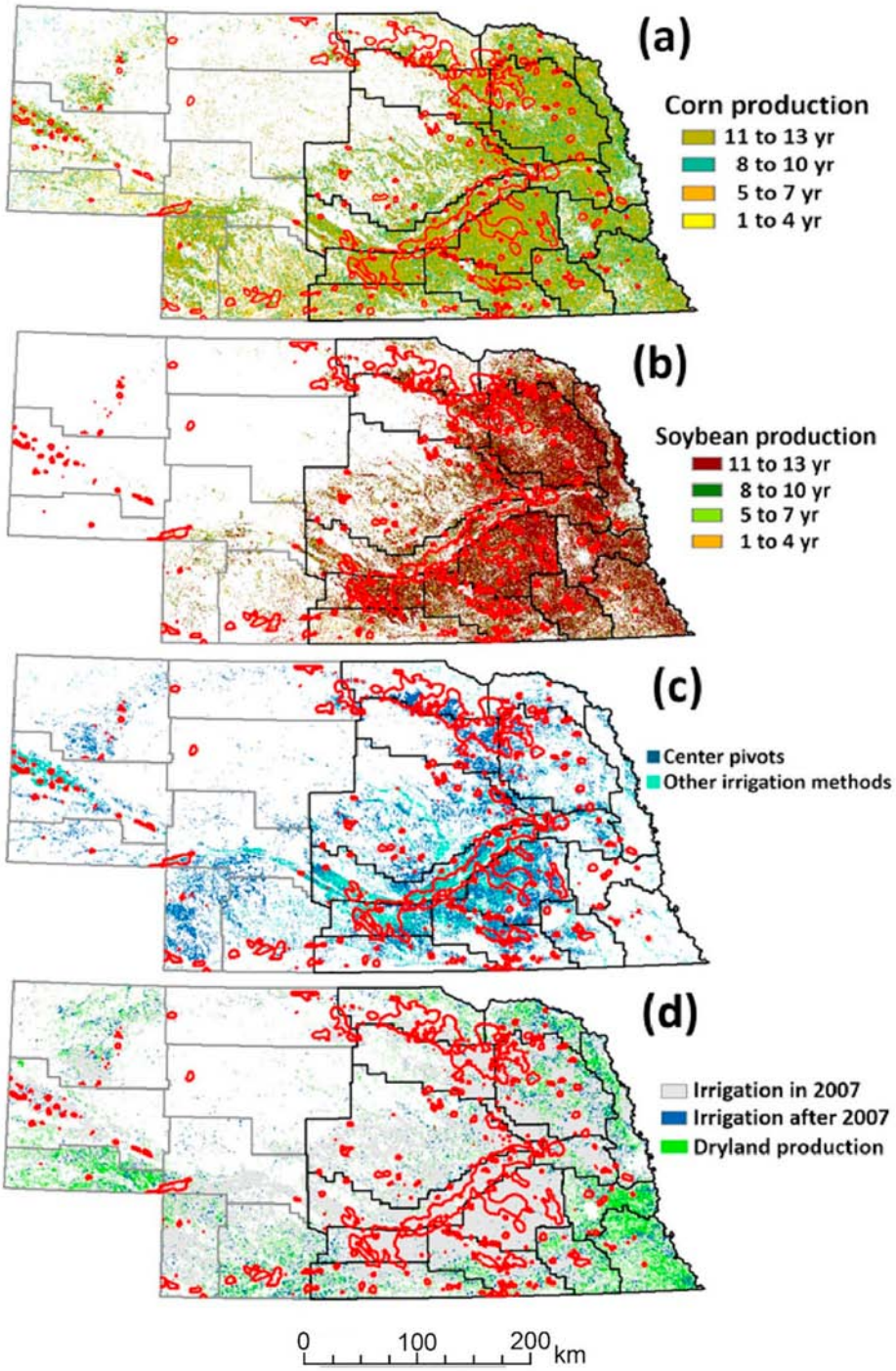


Fig. 7. Anthropogenic factors: **(a)** years of corn production between 2002 and 2014, **(b)** years of soybean production between 2002 and 2014, **(c)** irrigation systems, and **(d)** irrigated and non-irrigated (dryland) crops, with groundwater nitrate concentrations within the red outlined areas of ≥10 mg NO_3-N/L during 2004–2013. *(continued)*

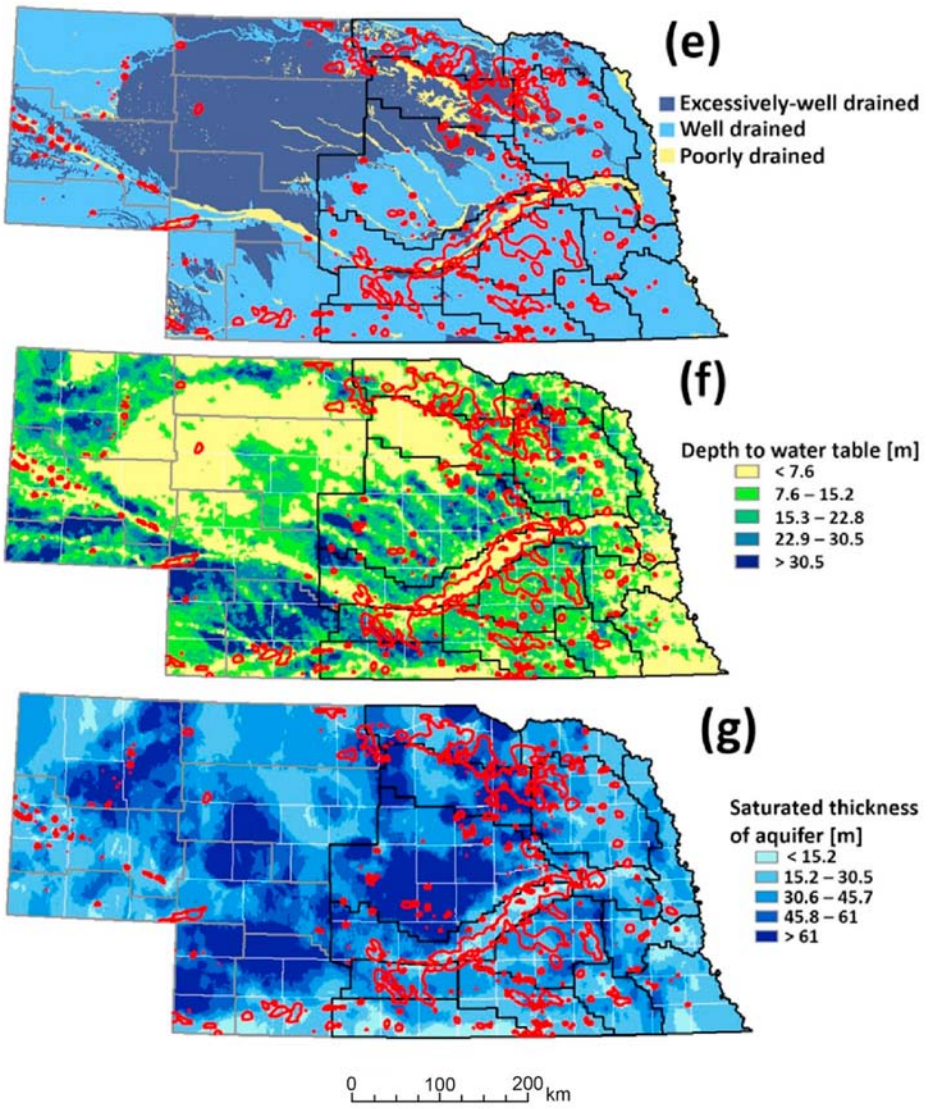


Fig. 7. *(continued)* The original map is of sufficient resolution (30 m) to show individual fields planted to corn or soybeans. Natural factors: **(e)** Soil drainage capacities, **(f)** depth to water table, and **(g)** saturated thickness with groundwater nitrate concentrations within the red outlined areas of ≥10 mg NO_3-N/L during 2004–2013.

resulted in limited groundwater nitrate-contaminated areas in western Nebraska compared to eastern Nebraska. Groundwater nitrate-contaminated areas are primarily irrigated with gravity irrigation systems in the eastern central part of Nebraska. This contamination is likely due to more water ponding in this type of irrigation, both within furrows and as tailwater. Contaminated areas tend not to occur in non-irrigated (dryland) agricultural

areas, where less water recharges than in the irrigated areas, particularly in southeastern Nebraska. In the west, smaller groundwater nitrate-contaminated areas were found in areas of dryland production and larger saturated thickness of the aquifer, as shown in **Fig. 7c** and **d**.

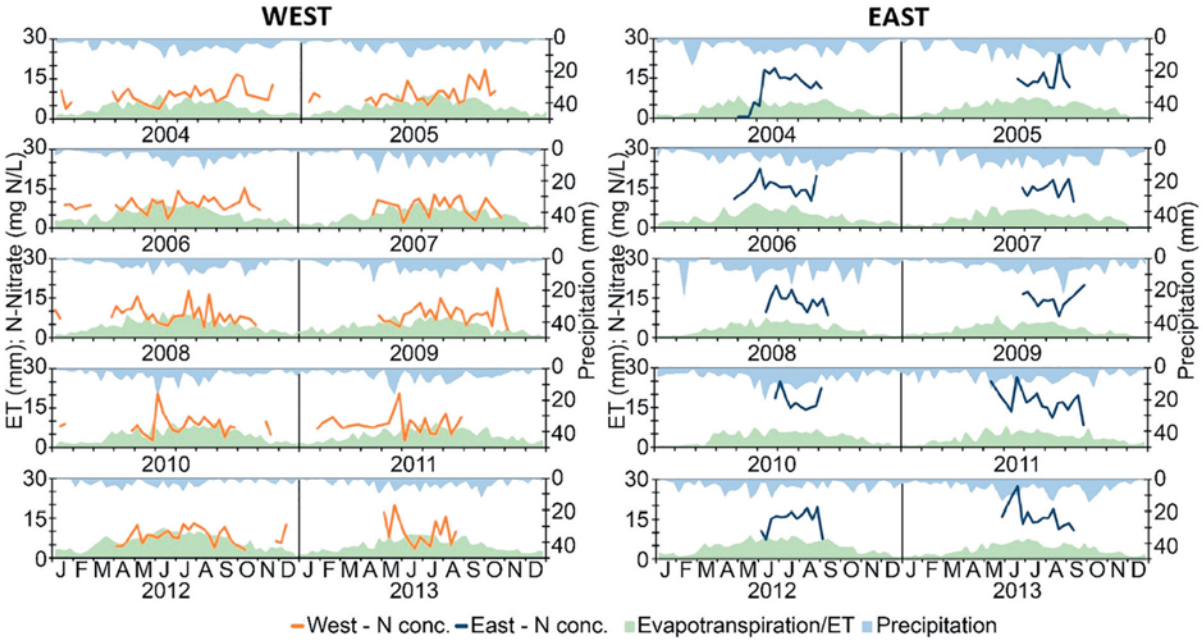
The soil drainage capacity can be an important factor in system response to nitrate applications. In the north-eastern part of the state, especially around the boundary between the Upper Elkhorn and Lower Elkhorn NRDs (**Fig. 7e**), groundwater under well-drained and excessively well-drained soils frequently exceeds the maximum contaminant level (MCL) of 10 mg NO_3-N/L. This is in accordance with Spalding and Hirsh (2012), who mention that the north-eastern area of the state has faced groundwater nitrate contamination beneath intensively spray-irrigated areas with coarse-textured soils. In fact, high levels of nitrate concentration occur in some areas where there is poor soil drainage, particularly in riparian areas in the central-eastern part of the state, such as the Platte River Valley in the Central Platte NRD (**Fig. 7e**). In the past, gravity irrigation dominated these areas. Although soil drainage is low compared to sand, extended ponding time within furrows could have caused greater leaching (Spalding et al., 1978). Extensive groundwater-surface water connectivity may also influence nitrate concentrations, and tributaries feeding the Platte River may also contribute nitrate to groundwater. The application rates of anhydrous ammonia fertilizer declined during the 1980's in the central area of the state due to lower crop prices (Exner et al., 2010). In comparison with the edges of the state, more of central Nebraska is well drained to excessively well-drained, to the extent that row crop production is not economically feasible. This part of the state is known as the Sand Hills and is visible as the large area of low crop production in **Fig. 7**. Except for a few intensively farmed areas near Alliance, Nebraska, the north central part of the state has not been threatened as intensively from high groundwater nitrate contamination, despite the vulnerability of the aquifer in areas with sandy soils.

The depth to the water table plays a significant role in predicting groundwater nitrate concentration. A deeper depth to water table reduces the probability for nitrate contamination compared with a shallower water table (i.e. thin vadose zone). This was found in both western and eastern Nebraska (**Fig. 7f**) and is consistent with the previous studies such as Spalding and Hirsh (2012) and Exner et al. (2014). In addition, the saturated thickness of the aquifer also influences groundwater nitrate concentrations, likely due to dilution and mixing. Areas with greater saturated thickness in both western and eastern Nebraska have lower nitrate concentrations in groundwater (**Fig. 7g**).

3.3.2. Assumptions of the validity of the extent of nitrate contamination in groundwater

After outlining the area of high nitrate concentrations (≥10 mg NO_3-N/L) in the last decade (2004–2013), factors controlling groundwater nitrate concentrations within the contaminated area were considered and compared between western and eastern Nebraska. These factors include depth to water table, saturated thickness of the aquifer (the distance from the top to the bottom of the water-bearing sediment), precipitation, evapotranspiration, cropland areas and prices of corn and soybeans.

Fig. 8 presents precipitation and potential evapotranspiration (ET_p) with groundwater nitrate data in the nitrate-contaminated (≥10 mg NO_3-N/L) area during 2004 to 2013 by considering weekly trends in western and



	N conc. (west)	*Precipitation (west)*	*ET (west)*
N conc. (west)	**1**		
Precipitation (west)	**0.274**	**1**	
ET (west)	**-0.027**	**0.131**	**1**
	N conc. (east)	*Precipitation (east)*	*ET (east)*
N conc. (east)	**1**		
Precipitation (east)	**0.266**	**1**	
ET (east)	**0.061**	**-0.159**	**1**

Fig. 8. Precipitation and ET_p with groundwater nitrate data in the high nitrate areas (≥10 mg NO_3-N/L) during 2004 and 2013 considering weekly trends in western and eastern Nebraska (top) and the Pearson's correlation coefficient (bottom).

eastern Nebraska. Precipitation has a statistically significant correlation ($p < .05$) with nitrate concentrations in both western and eastern Nebraska (**Fig. S3**). Total annual precipitation in the eastern part of Nebraska is higher than in the western region (**Fig. 8**). The ET_p differs slightly between western and eastern Nebraska when considering irrigated cropland. ET_p is not significantly related with groundwater nitrate concentrations ($p > .05$) (**Fig. S3**). However, precipitation and ET_p each show a small Pearson's correlation coefficient (~0.27 between precipitation and nitrate concentrations in both west and east, and −0.03 and 0.06 between ET_p and nitrate concentrations in west and east, respectively) (**Fig. 8**).

Fig. 9 presents high nitrate (≥10 mg NO_3-N/L) and lower nitrate (< 10 mg NO_3-N/L) areas under different irrigation systems in western and eastern Nebraska. Based on this study, center pivot irrigated areas have less risk of groundwater nitrate contamination than gravity irrigation systems. In eastern Nebraska (2,590,005 ha of irrigated area), there is about three times more irrigated area than in the west (806,898 ha of irrigated area), and the increased density of irrigated crops is directly related to high nitrate in groundwater. These graphs were created by overlaying a map of center pivot and gravity irrigated land with the map of nitrate-contaminated areas in 2004–2013. The total amount of land for each irrigation technology was then compared with the irrigated land from 2012 from MODIS-MIrAD falling within an identified nitrate-contamination zone (**Fig. 6**). Irrigation technology was obtained from USGS (http://earlywarning.usgs.gov/USirrigation).

Commodity prices are a strong driver of crop and nutrient management, and very likely play a leading role in increasing groundwater nitrate

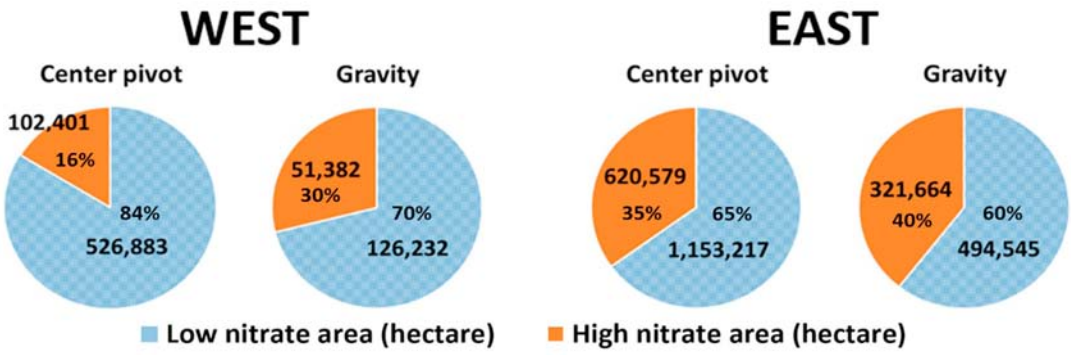
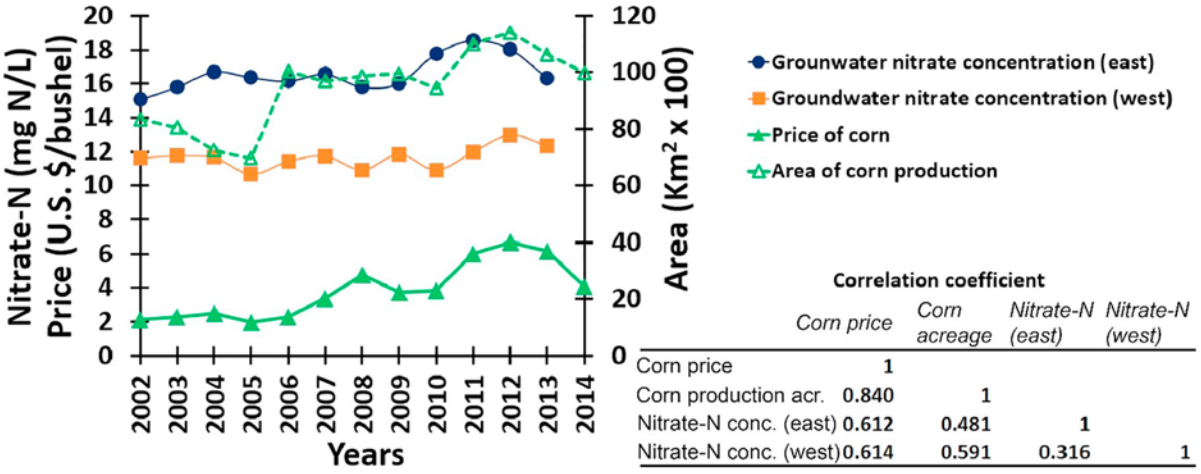


Fig. 9. Areas of high (or at the maximum contaminant level, MCL) nitrate concentrations (≥ exceeding 10 mg NO_3-N/L) and low (below the MCL) nitrate concentrations (< 10 mg NO_3-N/L) under different irrigation systems in western and eastern Nebraska.



Correlation coefficient

	Corn price	*Corn acreage*	*Nitrate-N (east)*	*Nitrate-N (west)*
Corn price	1			
Corn production acr.	0.840	1		
Nitrate-N conc. (east)	0.612	0.481	1	
Nitrate-N conc. (west)	0.614	0.591	0.316	1

Fig. 10. The comparison of corn prices, production area and groundwater nitrate concentrations in western and eastern Nebraska, 2002 to 2014.

concentrations. **Fig. 10** compares corn prices, area of production and groundwater nitrate concentrations in western and eastern Nebraska during 2002 to 2014. Corn price and production area have a correlation coefficient of 0.84. Application of nitrogen fertilizer is higher when corn production areas increase, but the trend of groundwater nitrate concentrations shows a smaller correlation coefficient (0.48 for eastern Nebraska and 0.59 for western Nebraska) with corn production areas, as compared to corn prices (0.61 for both east and west). This implies that nitrogen is applied more intensively (less crop rotation) when corn prices are high, which may lead to increases in nitrate leaching to groundwater.

3.4. Estimation of groundwater nitrate concentrations based on CART model

Groundwater nitrate concentrations were forecast in western and eastern Nebraska through the optimized CART model. We found that vadose zone thickness, effective hydraulic conductivity, and saturated thickness were the most significant factors influencing groundwater nitrate concentrations in western Nebraska with an explanatory power of 30%, 16% and 12%, respectively, i.e. vadose zone thickness accounted for 30% of the total variability in nitrate concentrations. In eastern Nebraska, the most influential factors were average percent of sand in the top 0–150 cm of soil (21%), well depth (18%), and effective hydraulic conductivity (14%) (**Fig. 11**). The explanatory power is a measure of how much of the nitrate concentration

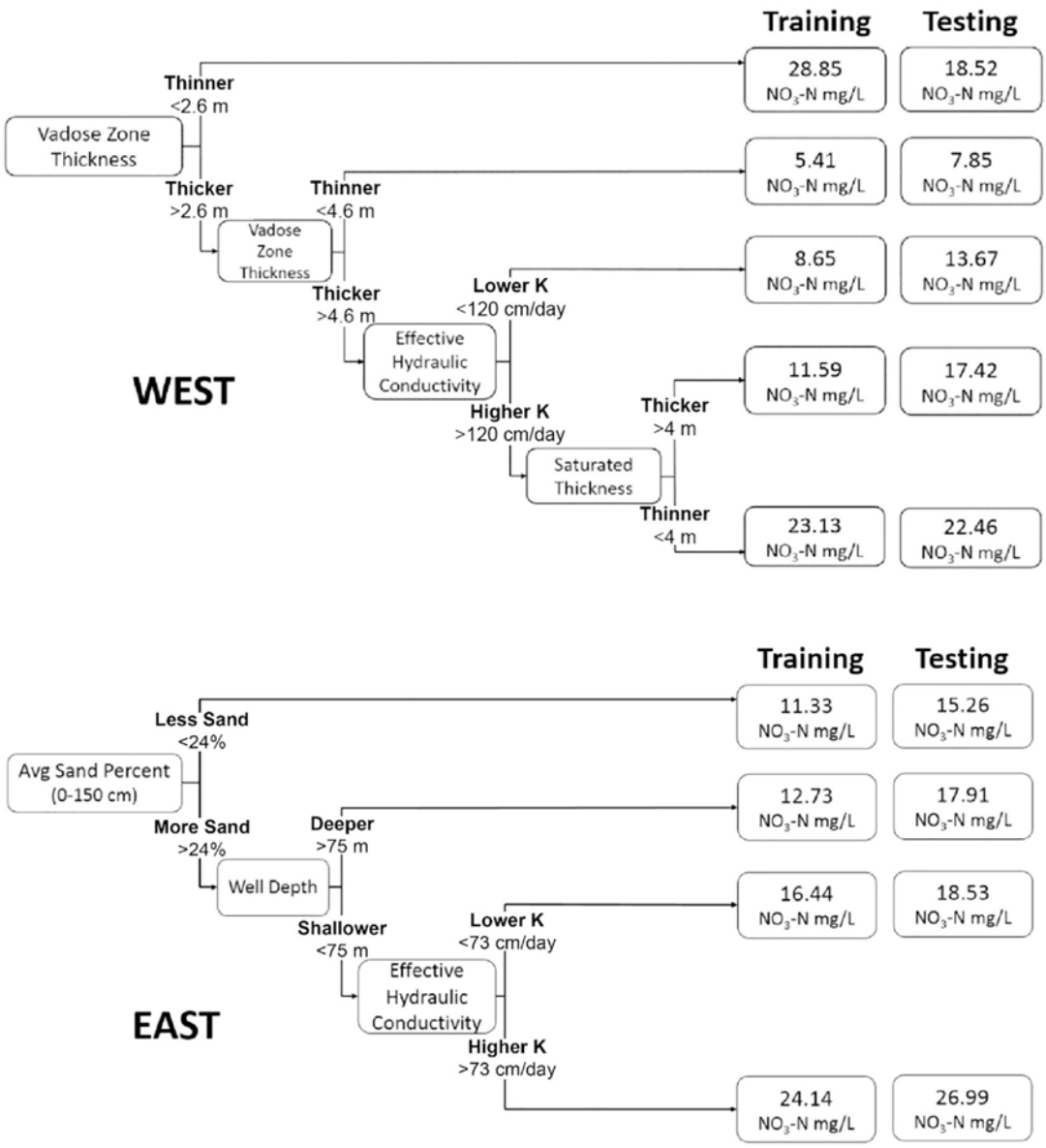


Fig. 11. The output of CART pruning tree for groundwater nitrate concentration prediction in western and eastern Nebraska.

can be attributed to each of these factors. The results of the model show the mean absolute error in predicting nitrate concentrations are 4.87 mg NO_3-N/L (west) and 3.51 mg NO_3-N/L (east). The relative errors are 32% (west) and 19% (east) as presented in **Table 6**. This indicates that the CART model can be used to predict groundwater nitrate concentrations most accurately in eastern Nebraska, which is unsurprising given the larger quantity of data available in the east.

Table 6. The error of the CART model after the validation.

Data	*WEST*				*EAST*			
	No. of observations	*NO_3-N conc.*	*Absolute error (mg N/L)*	*Relative error (%)*	*No. of observations*	*NO_3-N conc.*	*Absolute error (mg N/L)*	*Relative error (%)*
Node # 1					Node # 1			
Training	421	5.41	2.44	31.08	3,941	11.33	3.93	25.75
Testing	10	7.85	564	15.26				
Node # 2					Node # 2			
Training	1,274	8.65	5.07	37.08	1,796	12.73	5.18	28.92
Testing	136	13.67	266	17.91				
Node # 3					Node # 3			
Training	812	11.59	5.83	33.46	3,548	16.44	2.09	11.28
Testing	83	17.42	685	18.53				
Node # 4					Node # 4			
Training	44	23.13	0.67	2.98	1,025	24.14	2.85	10.56
Testing	13	22.46	199	26.99				
Node # 5								
Training	19	28.85	10.33	55.77				
Testing	44	18.52						
Min	10	5.41	0.67	2.98	29	11.33	2.09	10.56
Max	1,274	28.85	10.33	55.77	3,941	40.09	5.18	28.92
Mean	286	15.75	4.87	32.08	1,202	21.57	3.51	19.13

4. Conclusions

This study confirms that nitrate contaminated areas are expanding and new areas continue to emerge beneath irrigated cropland in Nebraska, particularly in the east. It is possible that some wells within the identified high-nitrate areas are still below MCL as all existing wells were not included in the database. However, nitrate concentrations in additional wells can be predicted using the optimal CART model of this study, with an expected accuracy of about 70–80%. The trends of increasing groundwater nitrate concentrations have occurred only in eastern Nebraska following an increase in the intensity of crop production and irrigation. While the rate of increase of average nitrate groundwater concentration has slowed in some areas under the Nebraska's GWQMP, intense irrigation increases the rate of nitrate leaching to groundwater.

This study additionally shows that the areal extent and growth of contaminated groundwater in predominately center pivot-irrigated areas is lower than beneath gravity-irrigated areas. Converting from gravity irrigation to center pivot may help to protect against the expansion of nitrate-contaminated groundwater. Based on this study, the spatial differences in climate, soil, cropping, irrigation and vadose zone characteristics

(e.g. precipitation, ET, soil drainage capacities, depth to water table) significantly predict groundwater nitrate concentrations in western and eastern Nebraska. Thus, the investigation of soil nitrogen processes and nitrate flux through soil into groundwater under climate variability and the complexities of aquifer and vadose zone characteristics are key for analyzing the occurrence of nitrate contamination in Nebraska's groundwater.

The CART model was used to identify the relative importance of well attributes, soil and vadose zone characteristics, weather conditions, and nonpoint-source N inputs for each well with groundwater nitrate concentrations. Physical characteristics – geography and well construction – were found to be significant, irrespective of well type (irrigation, monitoring, or domestic). This supports Burow et al.'s (2010) use of monitoring wells for predicting groundwater nitrate concentrations in CART modeling. Vadose zone thickness and well depth were found to be the most significant factors affecting groundwater nitrate concentrations in western Nebraska, with an explanatory power of 30%, 16%, and 12%, respectively. The most influential factors included average percent of sand in the 0–150 cm topsoil (21%), well depth (18%), and effective hydraulic conductivity (14%) in eastern Nebraska. After testing the model, we conclude that the CART model can be applied to predict groundwater nitrate concentrations from those influential factors. The CART model proved to be useful for both prediction of groundwater nitrate concentrations, and for identifying potential factors that could place areas at greater risk for groundwater contamination.

Acknowledgments — This paper is the result of an effort between the Nebraska Water Center (NWC) and the Water Sciences Laboratory at the University of Nebraska–Lincoln to protect Nebraska's drinking water resources. The authors are thankful for all postdoctoral researchers under the NWC who gave scientific guidance, participated in discussions, and provided expertise that greatly assisted the study.

Appendix A. Supplementary data — Supplementary data for this article can be found following the References

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The Long Term Effect of Agricultural, Vadose Zone and Climatic Factors on Nitrate Contamination in the Nebraska's Groundwater System

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Introduction

Elevated nitrate concentration in groundwater prompted Nebraska's Natural Resources Districts (NRDs) in the 1988 crop season to institute a groundwater quality management program (GWQMP) using a phased approach. The NRDs and the State of Nebraska defined four classes of nitrate contamination, labeled Phase I, II, III, and IV. These correspond to nitrate concentrations of less than 7.5 mg/L (Phase I), 7.6-15 mg/L (Phase II), greater than 15 mg/L (Phase III), and areas where rates of decline in NO_3 concentration have not been satisfactory (Phase IV). Within these areas, the timing and application rates of nitrogen fertilizer on irrigated agriculture are regulated differently as presented in supplemental information (Table S1), below.

To control and monitor nitrate contaminations in groundwater, Nebraska state agencies and NRDs have continuously collected a large number of samples from wells since 1974. These data are housed at a digitized warehouse at the University of Nebraska. There are 107,823 nitrate sample measurements in the database during 1974-2013. Several studies have utilized this nitrate database for the analysis of the long-term nitrate trends in groundwater, the investigation of factors affecting occurrence of nitrate in groundwater, and the efforts of the reduction of groundwater nitrate concentrations (< 10 mg N/L) in Nebraska. Table S2 contains summary results of analysis on 12 references of the investigation of the occurrence of nitrate in Nebraska's groundwater.

Table S1. Phased approach under the Central Platte NRD's Groundwater Management Quality Program (Hard, 2013; Exner et al., 2014; CPNRD, 2016).

Phase	Nitrate-N concentration (mg N/L)	Management
I	≤ 7.5	The application of nitrogen fertilizer is banned on sandy soils in Fall and Winter seasons. After November 1st, this application is allowed only on heavier-textured soil.
II	7.6 - 15	There are many significant regulations in agricultural areas. The application of nitrogen fertilizer is not allowed until March 1st. Annual soil and irrigation water tests are required and if manure is to be applied, laboratory analysis and nutrient accounting is also required. Then, a certification will be created by NRDs every four years. In terms of irrigation, the measurement of irrigation water applied to each crop field is required. Annual reporting of crop growth, nitrogen credits, recommended nitrogen rate, nitrification inhibitor use, soil and water analyses, nitrogen fertilizer and water applied, and crop yield will be recorded and presented in public.
III	≥ 15	All requirements of Phase II need to be completed and the application of nitrogen fertilizer or a nitrification inhibitor has to be regulated strictly.
IV	NO_3-N levels in groundwater is not declining at acceptable rate.	All requirements of Phase III need to be completed. Additionally, the rate of nitrogen fertilizer application must not exceed the NRD recommendation. Expected yield will be set by the NRD and NRD staff will closely work with the University of Nebraska for the best management practices (BMPs).

Table S2. References involving the investigation of the occurrence of nitrate in Nebraska's groundwater.

Author(s) (year)	Study sites	Results of analysis
Gormly and Spalding (1979)	Central Nebraska (Buffalo, Hall, and Merrick counties)	The nitrate-nitrogen (NO_3-N) concentrations were examined from 256 ground-water samples during 1976-1977. They investigated the potential nitrate sources with the isotopic values. They found that fertilizer was the primary source of contamination in most wells and only a small percentage of the wells derived from animal wastes.
Adelman et al. (1985)	Central Nebraska (Holt county and the Central Platte region)	They presented an overview of nitrate in Nebraska's groundwater showing that most nitrate contamination in groundwater was related to excessive application of commercial fertilizer on irrigated cropland with sandy topsoil and a shallow groundwater table. Excess irrigation water resulted more highly soluble nitrate moving down to the groundwater table. Moreover, they indicated that some rural people with contaminated wells were using small home distillation units to provide nitrate-free water.
Schepers et al. (1991)	Central Nebraska (The Central Platte Natural Resource District: CPNRD)	Their work reported results of a nitrogen and water management program in the CPNRD in Nebraska. They found that NO_3-N contamination of groundwater was directly influenced by yield goals and N fertilizer application rates. In 1988, yield goals were 42% higher from the application of excess N fertilizer rate of 148 kg ha^{-1} which was more than the recommended rates of 100 kg N ha^{-1} based on the University of Nebraska recommendations.
Spalding (1991)	Nebraska (93 counties)	NO_3-N concentrations in 2,195 rural domestic wells from 93 counties in Nebraska between 1985 and 1989 averaged 6.6 mg N/L

		and exceeded the MCL in 17.4% of the sampled wells. In addition, his study indicated that the weighted mean exposure level of NO_3-N concentrations for the rural population using private drinking water wells was 7.5 mg N/L and the weighted frequency of exposure to NO_3-N levels exceeding the MCL was 20.4%.
Spalding and Exner (1993)	USA and Nebraska	More than 200,000 NO_3-N data points were estimated to show nitrate levels in groundwater in the USA. There was a high probability of exceeding the MCL of 10 mg N/L on irrigated cropland with well-drained soils. Higher NO_3-N concentrations in groundwater occurred in shallow wells (< 8 m). A significant increase in NO_3-N was found in older wells and in wells with poor construction. High NO_3-N concentrations were widespread in the northeast of Nebraska due to the poorly constructed wells. The poorly constructed wells were dug and lined with cement blocks, bricks, tile, or open-jointed materials. NO_3-N concentrations in 60% of the 87 open-jointed wells exceeded the MCL; however, concentrations in only 18% of the 221 continuously cased wells exceeded the MCL.
Gosselin et al. (1997)	Nebraska	NO_3-N, pesticides, and coliform bacteria from 1,808 rural domestic wells in Nebraska were examined for the 1994-1995 statewide assessment of groundwater quality. An average of 19% of domestic wells in Nebraska were contaminated by nitrates. They suggested that the application of best management practices and the sanitary surveys of existing wells will be required to improve the drinking water quality in rural domestic wells.
U.S. Department of the Interior (1999)	Nebraska	The U.S. Department of the Interior reported that many small city and village community water systems in Nebraska faced nitrate concentration in groundwater above the MCL of 10 mg N/L. The DRASTIC tool was used for statewide analysis of factors affecting the potential for groundwater nitrate contamination in Nebraska's small communities. The result of DRASTIC showed that the regions which have two or more of these conditions: intensive agricultural practices, permeable soils, or shallow water tables; were likely to cause elevated levels of groundwater nitrate concentrations above the MCL of 10 mg N/L.
McGinnis and Davis (2001)	Eastern Nebraska (The Omaha and the Santee Sioux Reservations)	42 wells in the Omaha Reservation between 27 July and 4 August 1994 and 40 wells in the Santee Sioux Reservation during June, July, and August of 1996 were sampled and analyzed for chemical parameters such as sulfate, chloride, sodium, calcium, magnesium, pH, iron, manganese, NO_3-N, total dissolved solids, conductivity, hardness, fluoride, alkalinity, and total coliform bacteria. The results of this study reported that both reservations (Omaha and Santee Sioux) had a high percentage of domestic wells containing coliform bacteria and exceeding the MCL of nitrate-nitrogen (> 10 mg N/L). Furthermore, they indicated that the occurrence of the contaminations was linked to factors such as well construction, maintenance, and land use.
Exner et al. (2010)	Central Nebraska	The long-term groundwater nitrate concentration trend during 1988-2003 (16 years) was estimated and shown to have a significant decrease at the slow rate of 0.26 mg N/L/year ($p < 0.0001$) on the terrace of Nebraska's Central Platte River valley under the GWQMP. However, average groundwater nitrate concentrations on the bottomland did not change over the same time period, while N fertilizer application rates on the terrace remained unchanged during the study. The management practices under the GWQMP in the Central Platte Natural Resources District can reduce groundwater

		nitrate concentrations. The conversion from furrow irrigation to sprinkler irrigation can reduce groundwater nitrate concentration about 50%.
Meals et al. (2012)	Central Nebraska (The Central Platte Natural Resource District: CPNRD)	The Nebraska National Institute of Food and Agriculture-Conservation Effects Assessment Project (NIFA-CEAP) on a Phase III management area in the CPNRD for the period of 1988 to 2006 (19 years) was described to evaluate the effectiveness of farm management practices in lowering groundwater nitrate concentrations underneath irrigated-corn production. Management practices commonly included the conversion from furrow to sprinkler irrigation and the improvement of nutrient management. They concluded that N fertilizer applications, crop canopy sensors, and more sprinkler irrigation were required for achieving further reduction in N leaching into groundwater.
Exner et al. (2014)	Eastern and central Nebraska	They analysed 17 management areas with a 31 year record (1981-2010) of approximately 44,000 nitrate samples from about 11,500 irrigation wells across the eastern and central Nebraska. They reported that average annual groundwater nitrate concentrations in 10 of the management areas have been significantly increasing ($p < 0.05$) without yet reaching a steady state, unless the application of nitrogen fertilizer has been controlled by the GWQMP program. In addition, they illustrated the decadal expansion of groundwater nitrate contamination considering areal characteristics, such as irrigation application methods, soil drainage capacities, distribution of irrigated and non-irrigated row crops, and years of corn production.
Ferguson (2015)	Central Nebraska (Central Platte River Valley)	The study attempted to reduce the groundwater nitrate contamination in the Platte River Valley of Nebraska by improving nitrogen use efficiency (NUE) in crops. According to the researcher's study, the requirement of N management practices, such as N timing use, fertigation, controlled-release N formulation, and use of crop sensors for N application should be considered to increase NUE for the reduction of nitrate in groundwater. Additionally, this study suggested that the change from furrow to sprinkler irrigation can also decrease nitrate concentration in groundwater.

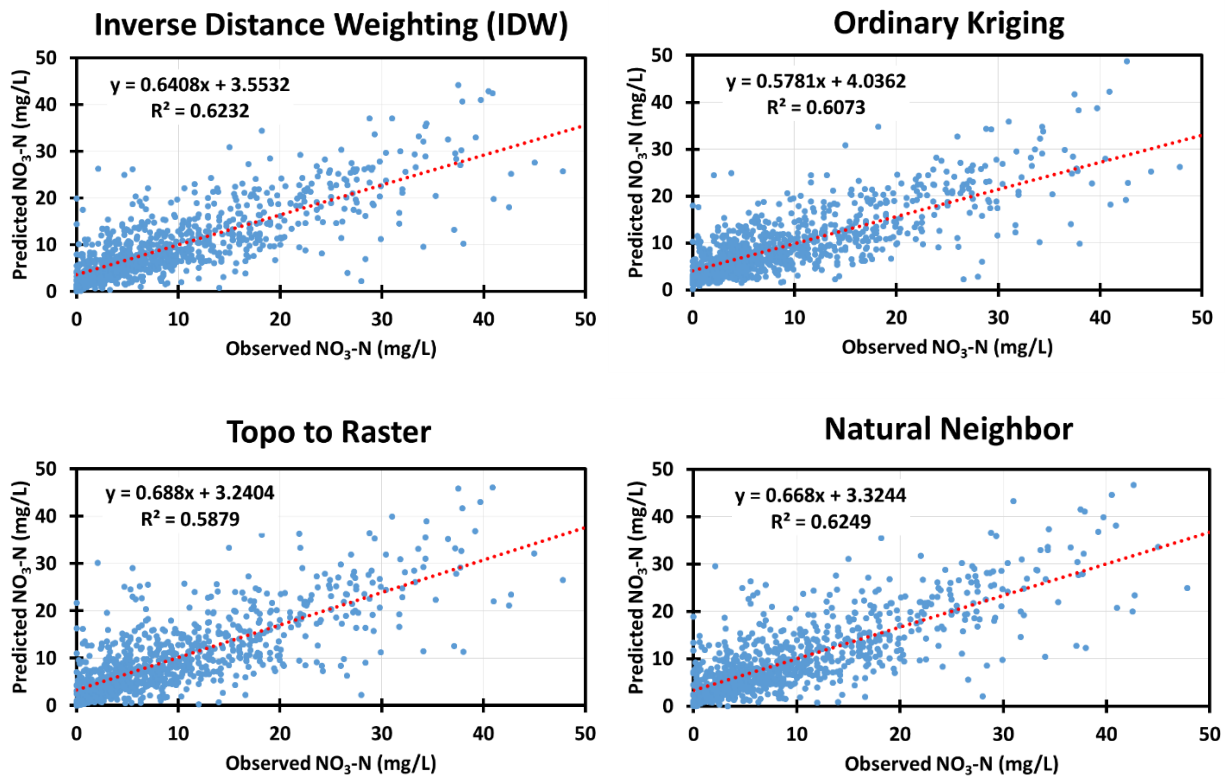

Figure S1. Root mean square error (RMSE) calculation results for selected interpolation methods in ArcGIS.

Table S3. Percent of wells in areas of nitrate contamination (NO_3-N > 10 mg/L) and non-contamination (NO_3-N ≤ 10 mg/L) in groundwater from interpolating by selected interpolation methods in ArcGIS.

2004-2013	Interpolation methods	Number of wells			Percent (%)	
		NO_3-N ≤ 10 mg/L	NO_3-N > 10 mg/L	Sum	NO_3-N ≤ 10 mg/L	NO_3-N > 10 mg/L
Contaminated area	IDW	312	2,354	2,666	12	88
	Ordinary Kriging	560	2,034	2,594	22	78
	Topo to Raster	220	2,315	2,535	9	91
	Natural Neighbor	177	2,334	2,511	**7**	**93**
Non-contaminated area	IDW	6,583	68	6,651	99	1
	Ordinary Kriging	6,335	388	6,723	94	6
	Topo to Raster	6,675	107	6,782	98	2
	Natural Neighbor	6,718	88	6,806	**99**	**1**

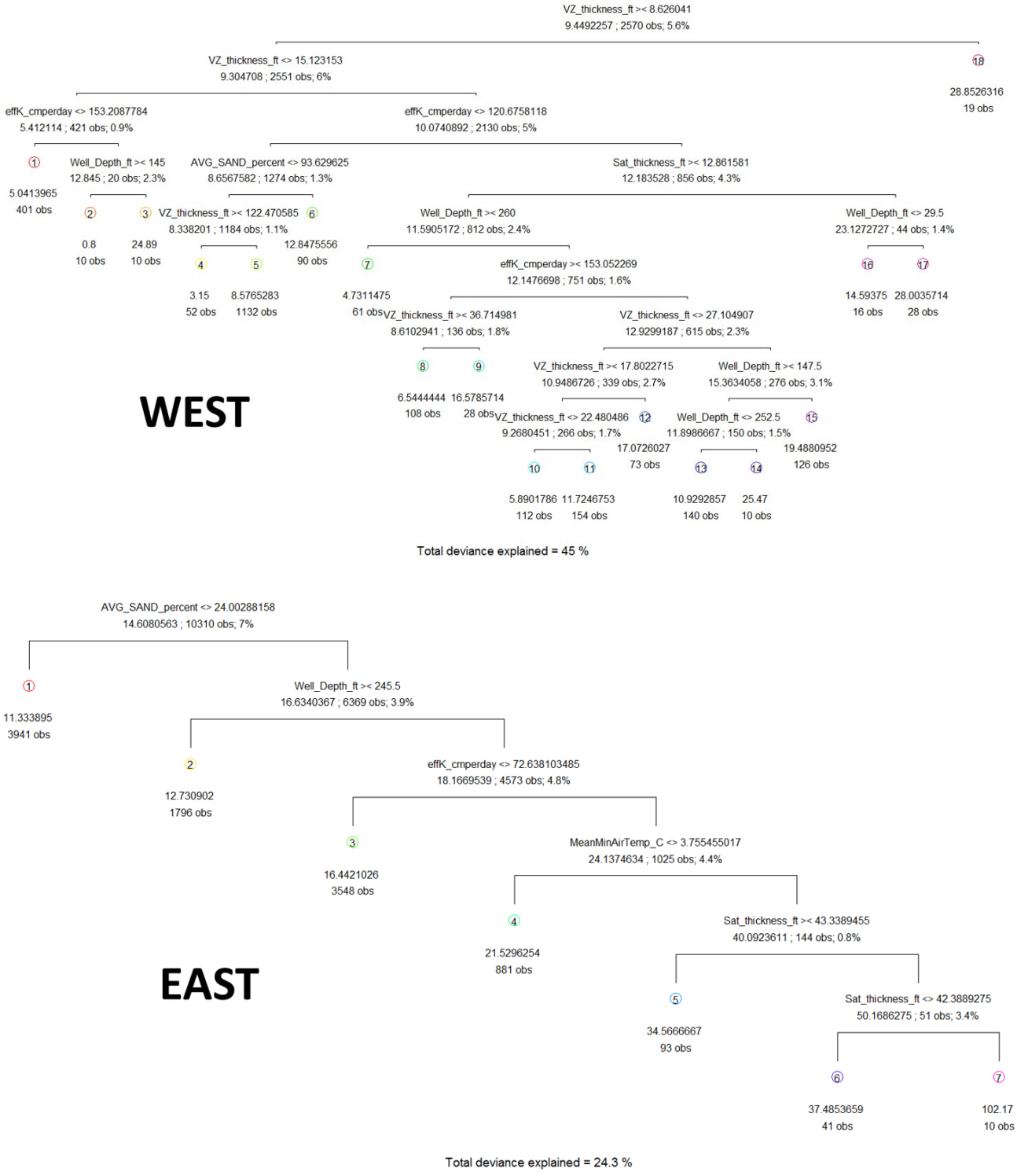

Figure S2. The CART tree before pruning presented 18 resultant nodes in the western part and 7 resultant nodes in the eastern part of Nebraska. Note the data uses US unit of the foot, which is approximately 30 cm.

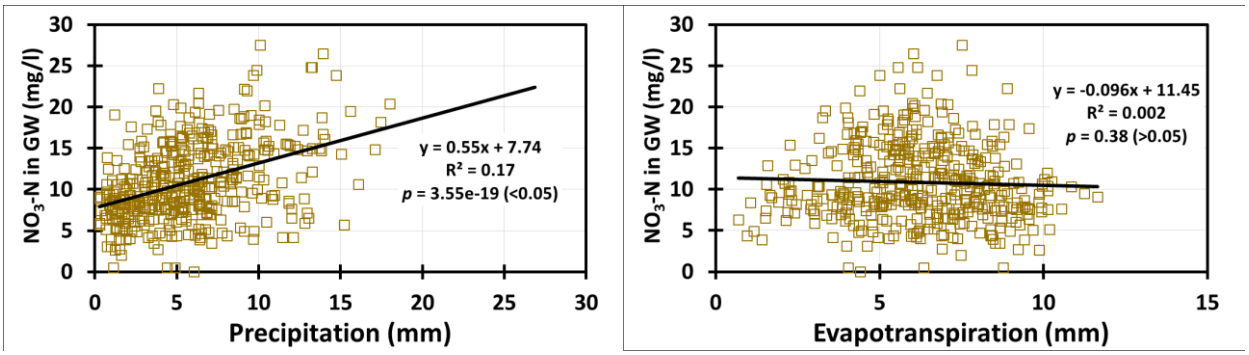

Figure S3. Correlation of precipitation and evapotranspiration with nitrate concentration in groundwater.

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