1	Targeting antibiotic resistant bacteria with
2	phage reduces bacterial density in an
3	insect host
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18 ABSTRACT

19 Phage therapy is attracting growing interest among clinicians as antibiotic resistance 20 continues becoming harder to control. However, clinical trials and animal model 21 studies on bacteriophage treatment are still scarce and results on the efficacy vary. 22 Recent research suggests that using traditional antimicrobials in concert with phage 23 could have desirable synergistic effects that hinder the evolution of resistance. Here, 24 we present a novel insect gut model to study phage-antibiotic interaction in a system 25 where antibiotic resistance initially exists in very low frequency and phage 26 specifically targets the resistance bearing cells. We demonstrate that while phage 27 therapy could not reduce the frequency of target bacteria in the population during 28 positive selection by antibiotics, it alleviated the antibiotic induced blooming by 29 lowering the overall load of resistant cells. The highly structured gut environment 30 had pharmacokinetic effects on both phage and antibiotic dynamics compared to in 31 vitro: antibiotics did not reduce the overall amount of bacteria, demonstrating a 32 simple turnover of gut flora from non-resistant to resistant population with little 33 cost. The results imply moderate potential for using phage as an aid to target 34 antibiotic resistant gut infections, and question the usefulness of *in vitro* inferences.

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41 INTRODUCTION

42 Problems arising from antibiotic resistant infections are set to increase worldwide. 43 As a consequence, bacteriophages (bacteria-specific viruses) have started to attract 44 serious consideration as antimicrobial agents after this approach was largely 45 forgotten for decades in the Western world [1, 2]. However, their efficacy as 46 therapeutic agents remains controversial [3]. Some of the in vivo work promises 47 therapeutic potential in insect [4], mouse [5], and human infections [6], and in vitro 48 experiments show overwhelming evidence of phages controlling bacterial population 49 sizes without adverse effects on non-target bacteria [7]. Despite this, the few 50 existing modern and properly controlled medical trials report varying success [3, 6, 51 8]. Key limitations of phage therapy include high specificity, ease at which bacteria 52 can evolve resistance, and localised activity in the body.

53 It has been argued that phages may be of particular therapeutic value when 54 combined with antibiotics, by constraining the emergence and spread of antibiotic 55 resistance [9]. A good example of recent success in combination treatment comes 56 from a difficult chronic *Pseudomonas aeruginosa* infection in human aortic graft [10]. 57 Theoretically, phages may limit antibiotic resistance during treatment because of 58 reduction in population size and synergistic costs of resistance [9]. Moreover, with 59 cases where antibiotic resistance is carried on plasmids, phages can be used to 60 directly target the plasmid carrying cells [11]. However, this latter approach has only 61 been investigated in vitro [11, 12], which ignores a plethora of selection pressures 62 towards both the host bacteria and the phage, such as host immune system, spatial

63 structures within the tissues, nutrient availability, and presence of native microbial64 flora [13].

65 Here, we studied the effects of antibiotic and phage treatment to bacterial 66 load and frequency of resistance in a gnotobiotic insect gut model system, where 67 phages target the bacteria harbouring antibiotic resistance plasmids. We compare 68 these findings to in vitro context to assess the usefulness of inferring in vivo 69 dynamics from in vitro studies. A full factorial setup of antibiotics and phage were 70 orally administered to cabbage looper (Trichoplusia ni) larvae harbouring 71 Enterobacter cloacae gut bacteria and a low initial frequency of antibiotic resistance 72 plasmid. We show that targeting the tetracycline resistant cells with phage could not 73 prevent the increase in resistance frequency in the presence of antibiotics. However, 74 phage reduced the bacterial population size when plasmid was driven to high 75 frequency with antibiotic selection.

76 MATERIALS AND METHODS

77 Although it's been argued that Lepidopterans lack a resident microbiome [14], 78 Enterobacter cloacae forms a persistent gut association with Lepidopteran larvae 79 [15] after oral inoculation. The strain was isolated from *P. xylostella* in the insectary 80 of University of Oxford. Two spontaneous antibiotic resistant mutants, ANC C2 (rif^R) and 11.1B (strep^R + nal^R) were used in this experiment. The IncP-type 81 82 plasmid RP4, coding for tetracycline resistance, is usually conjugative [16], and 83 the lytic, plasmid dependent tectivirus PRD1 specifically infects RP4-bearing 84 cells by recognising the bacterial sex-apparatus [17]. However, in this system the 85 plasmid is essentially non-conjugative and functions as a carrier of tetracycline

86 resistance and phage receptor genes. The mechanistic basis for low RP4 87 conjugation in these E. cloacae strains is unclear. Given that the phage remains 88 infective, it could be that ANC C2 rifampicin-resistant mutant was an impotent 89 recipient for the plasmid rather than that 11.1B(RP4) lacked the ability to express 90 pili. Although rifampicin resistance mutations are most often polymerase related, 91 known mechanisms in Enterobacteriaceae include elongated or abundant outer 92 membrane LPS-chains [18] that could theoretically interfere with conjugation (but, 93 see: [19]).

94 The in vitro experiment used 200 µl cultures on a 96-well plate with LB and 95 0.1% starting frequency of plasmid. 24 replicate populations were subjected to full 96 factorial antibiotic (AB) and phage (P) treatment: AB- P-, AB- P+, AB+ P-, and AB+ 97 P+, respectively. The antibiotic treatments were set to 12 µg/mL tetracycline and phage starting density to 5×10^7 phage particles. The growth in optical density 98 99 (600nm) was recorded at 24 h with a spectrophotometer in 30°C. Plasmid frequency 100 and transconjugants were sampled from a subset (N=44) of populations at 24 h by 101 selective plating.

The *T.ni* were maintained as follows: The adults, fed with 100mM sucrose solution, were let to mate and lay eggs on paper strips in flight chambers. The eggs were placed in autoclaved, single-filter vented, 305 x 203 x 100mm Genesis[™] containers for surgical instruments to maintain sterile conditions while allowing gas exchange. Hatched larvae were let to feed on Hoffman diet (288 g wheatgerm, 132 g caesin, 117 g sucrose, 70 g agar, 57 g dried brewers yeast, 37.5 g Wesson's salts, 6 g sorbic acid, 3.75 g cholesterol, 3.75 g methyl-4-hydroxybenzoate and 2 g Vanderzant vitamin mixture in 2750 ml water) [20]. The liquid medium was poured into a sterile steel mould and solidified to diet cubes with approximately 25mm edges. The cubes were placed on Petri dish covers inside the containers. All rearing and *in vivo* bacterial work was carried out in 25 °C. For population maintenance, 50-60 pupae were removed from their cocoons per flight chamber and let to emerge and mate to start a new generation of hosts.

115 For inoculating the larval gut with E. cloacae, clones ANC C2 and 11.1B 116 bearing RP4 were grown over night in LB (30 °C, 180 rpm shaking), the latter diluted 117 1:10 with 0.85 % NaCl, and then mixed together in a 50:1 ratio. The frequency of the 118 plasmid bearing cells was counted by dilution plating as 0.072% and bacterial density approximately 1.2×10^8 CFU. Diet cubes were dipped in this solution, dried for 5 min, 119 and 3rd instar larvae were allowed to feed on this bacterial diet for 30 h. The larvae 120 121 were then subjected to the AB- P-, AB- P+, AB+ P-, and AB+ P+ treatments. 122 Tetracycline (200 µg/mL final concentration) was added directly to the autoclaved diet mix and 30 μ l of 10¹⁰ pfu/mL filtered phage lysate was pipetted onto each face 123 124 of the phage treatment diet cubes. The experiment was carried out in two 125 independent batches (final N=156 larvae). After 96 h, the larvae were surface 126 sterilised with 70% alcohol and homogenised in 500 µl 0.85% NaCl with Qiagen 127 Tissuelyser II[™]. The homogenate was serially diluted and plated on 12 µg/mL 128 tetracycline, 12 µg/mL tetracycline + 100 µg/mL rifampicin, and LB plates to calculate 129 the frequencies of plasmid carriers, transconjugants, and total density of bacteria. 24 130 bacterial clones from the phage treatment serial dilutions on tetracycline were 131 tested for resistance against the ancestral phage with a phage plaque overlay assay.

Phage survival in the gut was confirmed by overlaying homogenised larval faecal
samples on ancestral 11.1B(RP4) lawn on soft agar and observing plaque formation
(N=24).

Treatment effects on densities and frequencies in both experiments were analysed with SPSS statistics 21.0 with antibiotics, phage, and antibiotics*phage interaction in ANOVA-GLM model. Post-hoc multiple comparisons were Bonferroni corrected.

139 **RESULTS**

140 In vitro, antibiotics and phage had synergistic effects on the total density of bacteria 141 at 24h (AB: F_{1, 96}=3528.0, p<0.001, P: F_{1, 96}=73.7, p<0.001, AB*P: F_{1, 96}=97.0, p<0.001). 142 Multiple comparisons showed that there was no phage effect in absence of 143 antibiotics, but other pairwise differences were highly significant (p<0.001, Fig. 1. a). 144 Thus, single treatment allowed compensatory growth of the non-target strain, but 145 this effect was reduced for the plasmid carriers in the presence of antibiotics. The 146 plasmid went to near fixation in the presence of antibiotics (F1, 147 44=8864.4, 147 p<0.001) regardless of the phage (P and AB*P: p>0.05). This was contrary to previous 148 studies with the same plasmid and phage in *E. coli* [12]. The increase in frequency 149 was not the result of conjugational transfer, because unlike in *E. coli*, RP4 had very 150 low conjugation rate in E. cloacae: transconjugants were present in only one of the 151 44 sampled in vitro populations (AB+P- treatment at a very low frequency). We also 152 confirmed that the phage remained infective even with low conjugation. The cost of 153 plasmid carriage in the absence of antibiotics manifested itself as complete plasmid 154 extinction (at least below the detection threshold of <67 cfu/mL) in all sampled

populations (Fig 1. b). This was the case even though RP4 has been reported to be of
low cost and rapidly evolve towards no cost through chromosomal compensatory
mutations [21].

158 In vivo patterns of plasmid dynamics were mainly similar to that observed in 159 vitro, but the effects smaller. The non-targeted strain could fully compensate for the 160 reduction in density of the competing strain (AB: F_{1.156}=0.1, p=0.77), and phage had 161 no main effect on total bacterial load (P: F1, 156=3.0, p=0.085). Phage however 162 lowered bacterial density in the presence of antibiotics (interaction: F1, 156=6.7, 163 p=0.011), demonstrating that the treatment synergism was maintained in vivo (post 164 hoc AB+ P– and AB+ P+, p=0.020, Fig. 2. a). Phage-susceptibility screening of evolved 165 clones showed no emergence of resistance. Plasmid increased in frequency in all 166 treatments, but tetracycline selection drove the majority of the population to be 167 plasmid carriers with no effects of phage addition (effects on plasmid frequency, AB: 168 F_{1, 156}=845.0, p<0.001; P: F_{1, 156}=0.6, p=0.43; AB*P: F_{1, 156}=0.4, p=0.55 (Fig. 2. b). Thus, 169 phage therapy could not restrict the spread of antibiotic resistance in the *T. ni* gut.

170 **DISCUSSION**

Here, we investigated the effect of plasmid-targeting phage on the spread of antibiotic resistance plasmid *in vitro* and in an insect gut model. Different selective environment in the gut made treatment effects less pronounced compared to the liquid culture: for example, the increased complexity in spatial structures may have protected the plasmid from extinction in the absence of antibiotics, and thus allowed more non-resistant bacteria to coexist in the presence of tetracycline. This happened even though the antibiotic concentration in the diet was over 16 times higher than in 178 the liquid culture and the experiment length 4 times longer, allowing more time for 179 potential fixation and/or extinction to happen. Phage driven reduction in the 180 bacterial population size in the presence of tetracycline was also more moderate in 181 vivo, but it is also notable that no detectable phage resistance emerged in the 182 sampled populations. Surprisingly, antibiotics did not reduce the overall bacterial 183 density in the gut, but rather replaced non-resistant cells with resistant ones while 184 population size remained the same. In other words, antibiotics increased the 185 relative fitness of the resistant strain without reducing the absolute fitness [22]. This 186 was in contrast with liquid culture where the plasmid went even closer to fixation in 187 the presence of antibiotics, but resulted in lower total bacterial density, which 188 suggests a greater growth cost associated with antibiotic resistance in vitro. We 189 cannot attribute the inversion of fitness effects between in vivo and in vitro 190 conditions (increase in plasmid frequency in vivo, and extinction in vitro in absence 191 of antibiotics) directly to the plasmid, because it could also be due to unknown 192 differences in the mutant strains. The result still highlights the limitations of making 193 inferences from test tube dynamics.

194 In the absence of antibiotics neither *in vitro* nor *in vivo* regimes showed a 195 phage effect on the bacterial population size, which was not surprising because of 196 very low initial frequency of phage-susceptible (i.e. plasmid bearing) cells. Most 197 importantly, when antibiotic selection increased the frequency of phage-susceptible 198 cells by spreading antibiotic resistance, phage decreased the total density of 199 bacteria. This effect was bigger *in vitro*, but also clearly visible in the insect gut. The 200 gut lumen is a favourable environment for bacterial biofilm formation [23], which 201 can offer non-resistant cells spatial protection from antibiotics [24] and/or from 202 phage [25]. On the other hand, it could be that the observed phage efficacy to 203 reduce bacterial load *in vivo* is partly due to their known ability to eradicate biofilms 204 [26, 27], which can be important for bacterial persistence in the insect gut [23].

205 One potential epidemiological implication of viable phages in the faeces is 206 that the viral therapeutic agent could restrict ongoing pathogen transmission or be 207 transmitted with the target pathogen [28]. The model system presented in this study 208 allows the manipulation of structure and connectivity of the population of the 209 treated organism and would be an interesting future endeavour towards 210 epidemiological effects of phage therapy. Our findings have implications for 211 therapeutic use of phage, especially considering synergistic evolutionary effects with 212 antibiotics [9]. However, antibiotics overwhelmed the selection imposed by the 213 phages, meaning that the relative effect remained rather small. This could be 214 improved with using more efficient phages or phage cocktails in a way that can 215 target wider niche space *in vivo* by, for example, biofilm specificity [27]. It has also 216 been shown that the effect of phage on plasmid-maintenance can depend on the 217 type of antibiotics that are used [29]. To conclude, within-organism 218 pharmacokinetics and the spatial, temporal, and resource-related dynamics are very 219 different compared to a test tube, having major effects on cost of resistance, 220 magnitude of selection, and treatment efficacy even in a highly simplified and low 221 diversity model system.

222 **ETHICS**

223 N/A

224 DATA ACCESSIBILITY

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226 AUTHOR CONTRIBUTIONS

All authors conceived the study and designed the experiments. LM did the experimental work and analysed the data. All authors contributed to writing,

- approved the final version of the manuscript, and agree to be held accountable for
- the work performed.

231 COMPETING INTERESTS

232 No competing interests

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245 Figure 1. Dynamics in vitro

The density of bacteria (a), and the frequency of plasmid bearing cells (b) in

247 liquid culture after a 24 h factorial antibiotic (AB) and phage (P) treatments.

248 Error bars are +/- 1 S.E., (**) denotes p<0.001 difference.



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252 Figure 2. Dynamics in vivo

The overall density of bacteria (a) and the frequency of plasmid bearing cells (b) in the larval guts after a 96 h factorial antibiotic (AB) and phage (P) treatments. Error bars are +/- 1 S.E. (*) and (**) denote p<0.05 and p<0.001 differences, respectively.



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