

1 **Using voluntary agreements to exclude stock from waterways: an**
2 **evaluation of project success and persistence.**

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5

6 **KEYWORDS**

7 Stock exclusion, condition assessment, maintenance, voluntary agreements, river restoration

8

9 **ABSTRACT**

10 Agriculture is one of the major drivers of ecological degradation in river basins. Excluding
11 stock (cows and sheep) from grazing riverbanks, and accessing rivers, is one of the most
12 common river restoration activities. To be effective, stock exclusion must be maintained
13 indefinitely. In Australia, and elsewhere, stock exclusion projects are most commonly
14 implemented by establishing voluntary agreements between landholders and government
15 agencies. This study examined: the extent to which landholders in three catchment
16 management authority (CMA) regions in south east Australia maintain stock exclusion from
17 waterways, whether vegetation on riverbanks recovered, and the effectiveness of assessment
18 methods. It was found that nearly half of landholders continue to graze stock on the
19 riverbank. There has been some success with improving the condition of riparian vegetation.
20 Sites with full stock exclusion contain the pre-European abundance of juvenile trees, and,
21 sites with continued grazing contain significantly lower abundance of juvenile trees.
22 Establishing the effectiveness of management was made more difficult by the inconsistent
23 methods used by the different CMAs. Stock exclusion projects implemented using voluntary
24 agreements have the potential to succeed if overseeing is improved between government
25 agencies and CMAs, and between CMAs and landholders. Projects will be easier to assess if
26 regional authorities use consistent methods of assessment. Voluntary agreements are only

27 suitable for environmental management if projects are monitored, maintained, and assessed
28 appropriately.

29 **INTRODUCTION**

30 Agricultural practices are one of the central causes of ecological degradation in river basins
31 (Suding 2011). Over the last 30 years improving the condition of degraded riverine
32 ecosystems has become a central goal of river management, and a widespread area of
33 scientific research (Bernhardt et al. 2007). One focus has been on assessing the impact of the
34 agricultural sector on ecosystems, and designing evidence-based interventions to mitigate
35 further damage. Common river restoration interventions include establishing fishways on
36 dams to restore fish populations, revegetating river basins for gully control, and excluding
37 stock from grazing riverbanks to improve riparian vegetation (Moore & Rutherford, 2017).
38 Ideally, these projects should follow the principles of adaptive management (Koehn et al.
39 2001; Newson 2008); design, implementation, maintenance, monitoring and assessment,
40 whereby the outcomes of assessment inform the next stages of design, and so forth
41 (Rutherford et a. 2000) (Figure 1).

42 -----Figure 1 about here-----

43 In practice, the agencies responsible for river management, including government authorities
44 and private institutions, often neglect project, monitoring and assessment (e.g., Bernhardt et
45 al. 2007; Brookes & Lake 2007; Palmer et al. 2005; Palmer et al. 2014). By comparison, the
46 problem of project maintenance is only now being considered (Moore & Rutherford 2017).
47 Moore and Rutherford (2017) suggest that to be successful, many river restoration projects
48 must be maintained indefinitely, and this is the case for stock exclusion projects. However,
49 academic researchers and river management agencies tend to focus on design and
50 implementation of these projects, rather than assessing how well interventions are maintained
51 (e.g., Department of Environment Land Water and Planning (DELWP) 2015). This paper

52 explores how well one common type of river restoration project (excluding stock from
53 grazing riparian areas) has been maintained and assessed.

54 In Australia (Brooks & Lake 2007), North America (Bernhardt et al. 2007), and the United
55 Kingdom (River Restoration Centre 2018), fencing along waterways to exclude stock, is one
56 of the most common type of river restoration project. However, these projects are rarely
57 monitored or assessed, and assessment methods are often inadequate, as demonstrated by the
58 study conducted by Ede (2011). As a result, very little is known about whether landholders
59 maintain stock exclusion over the long-term, or if these projects achieve the intended
60 ecological objectives. In short, it is unclear how well scientific knowledge translates into
61 effective environmental management (Bernhardt et al. 2007).

62 This study investigated stock exclusion projects in Victoria, Australia, including whether
63 projects are maintained, the condition of riparian vegetation, and how effectively regional
64 authorities conduct assessment and monitoring. Over the last three decades more than 10,000
65 km of stock exclusion fencing has been constructed in Victoria through the establishment of
66 voluntary agreements between regional catchment management authorities (CMAs) and
67 landholders (P. Vollebergh, DELWP, personal communication, February 5th, 2017).

68 Voluntary agreements are contracts that stipulate the terms of stock exclusion projects,
69 including funding and maintenance. The contracts specify that government agencies
70 subsidize the construction of fences, and revegetation of the riverbank with native species,
71 while landholders are legally required to maintain fences, manage weeds in the fenced areas,
72 and exclude stock from grazing riparian areas indefinitely (DSE 2011; Moore & Rutherford
73 2017).

74 Importantly, similar voluntary arrangements are used to implement a variety of
75 environmental projects elsewhere, including stock exclusion and habitat enhancement in the

76 United Kingdom (UK) (Rural Repayments Agency 2018), and North America (Bernhardt et
77 al. 2007). Many of these projects have involved government grants for landholders to
78 construct fences for stock exclusion, such as the UK Nature's Wildlife Enhancement Scheme
79 Agreements (Smith & Rushton 1994; Smith et al. 2003). We are aware of only one study that
80 has assessed the effectiveness of government-funded stock exclusion projects, or whether
81 landholders maintain projects (Ede, 2011), and this study was also conducted in Victoria,
82 Australia. Ede (2011) investigated 129 stock exclusion projects in Victoria and concluded
83 that 92% of landholders continue to exclude stock. However, the only landholders evaluated
84 were those who had volunteered their projects for evaluation. Landholders who had not
85 maintained their frontages would be unlikely to volunteer. Thus, Ede's (2011) sample was
86 unrepresentative. Further, the study assumed that intact fences meant that landholders did not
87 allow stock access, which might not be the case. Given that stock exclusion projects
88 implemented using voluntary arrangements with landholders are one of the most common
89 river restoration interventions, further research is justified.

90 We undertook our research in conjunction with three catchment management authorities
91 (CMAs) in Victoria who conducted the first regional assessments of stock exclusion projects
92 (note that the CMAs are deidentified throughout this paper in order to maintain anonymity, so
93 we refer to them as CMAs A, B, and C). Staff from the three CMAs collected data about the
94 condition of fences, evidence of continued grazing, and the condition of vegetation. Measures
95 of vegetation condition included the abundance of overall native vegetation, native juvenile
96 trees, and invasive species. Importantly, each CMA used different measures and methods of
97 data collection. Thus, our hypotheses vary between study regions. We focus on three research
98 questions. Firstly, we examined how well landholders maintain stock exclusion projects. Few
99 studies consider whether landholders continue to maintain environmental projects over the
100 long-term. One exception is research conducted in association with the United States

101 Department of Agriculture that emphasized the inhibiting cost of fence maintenance (Hafner
102 & Brittingham 1993; Platts 1982; Platts & Nelson 1985; Platts & Wagstaff 1984; Reichard
103 1989). However, the problem of maintenance was identified retrospectively, rather than
104 prospectively or experimentally. In contrast, Ede (2011) conducted 129 field assessments of
105 stock exclusion sites in Victoria and concluded that effective stock exclusion was observed at
106 92% of sites. However, most stock exclusion fences contain gates. Thus, we tested whether
107 fence condition was a good surrogate for stock exclusion, and hypothesized that there would
108 be no relationship between the condition of fencing and a separate measure of evidence of
109 stock grazing in the riparian area. We also examined whether those landholders that continue
110 to graze follow key recommendations outlined in the Victorian grazing guidelines (DEPI
111 2013; DELWP 2016), including the recommendation to exclude stock entirely for 3-5 years
112 following revegetation, and excluding stock in spring and early summer. Further, we
113 investigated whether grazing has ceased on sites with less than or up to 25% native
114 vegetation cover, as stipulated in the Victorian grazing guidelines. However, the data varied
115 considerably between CMAs, and analysis of sites with less than or up to 25% vegetation
116 cover was only possible on sites in CMA A.

117 The second research question we addressed was whether stock exclusion is associated with
118 an improvement in the condition of riparian vegetation, including the abundance of native
119 vegetation, juvenile trees, and invasive vegetation. Riparian grazing reduces the abundance of
120 native vegetation on riverbanks, particularly during summer and dry periods (e.g., Fleischner
121 1994). In contrast, stock exclusion increases the abundance of native vegetation on riverbanks
122 (e.g., Hough-Snee et al. 2013; Miller et al. 2010; Schulz & Leininger 1990). Thus, we
123 hypothesized that sites with complete exclusion would contain a greater abundance of native
124 vegetation compared to sites with continued grazing.

125 Grazing destroys seedlings before they can mature into canopy trees (Fleischner 1994), while
126 stock exclusion increases the abundance of juvenile native trees (Robertson & Rowling
127 2000). Ede (2011) found that 52% of fenced riparian areas examined contained between 1-
128 5% coverage of juvenile trees. The abundance of juvenile trees was ranked on a scale of one
129 to five whereby the value of one represented 0% coverage, the value of two represented <1%
130 coverage, the value of three represented 1-5% coverage, the value of four represented 6-25%
131 coverage, and the value of five represented >25% coverage (Ede 2011). Importantly, these
132 categories do not reflect distinctions outlined in the Victorian Ecological Vegetation
133 Condition (EVCs) assessment guidelines; the pre-European baseline coverage of juvenile
134 trees on riverbanks in Victoria is 5%. Thus, it is impossible to determine the number of sites
135 that meet the baseline condition. We hypothesized that sites with full exclusion would contain
136 a greater abundance of juvenile trees compared to sites with continued grazing (Robertson &
137 Rowling 2000), and further that sites with exclusion would meet the EVC baseline of 5%
138 coverage, while sites with grazing would contain less than 5% coverage.

139 Without effective weed management, stock exclusion sites can contain more weeds than
140 grazed sites (Lunt et al. 2007; Morris & Reich 2013). Grazing reduces the abundance of all
141 vegetation, including invasive species (Morris & Reich 2013). Thus, in the absence of
142 grazing, the amount of weeds could increase. However, landholders involved in stock
143 exclusion projects in Victoria are required to manage weeds after the cessation of grazing
144 (DSE 2011). Thus, we hypothesized that all sites examined in our study would contain a low
145 abundance of invasive vegetation, and that there would be no difference between grazed sites
146 and sites with stock excluded from grazing the riverbank.

147 The third research question considered the quality of data collected by CMAs. It is widely
148 acknowledged that lack of monitoring and assessment is a problem for river restoration
149 projects (e.g., Bernhardt et al. 2007; Brookes & Lake 2007; Kondolf et al 2007; Lave 2018,

150 Palmer et al. 2005 2014). For example, the Victorian Catchment Management Council
151 (VCMC) conducts five-yearly reviews of all available data from the ten Victorian CMAs
152 about the state-wide condition of catchments, including the condition of riparian vegetation
153 on river restoration sites (VCMC 2017). The 2012 VCMC review emphasized the inadequacy
154 of condition assessment data across the state. The publication prompted an investigation by
155 the Victorian Auditor-General into the effectiveness of the CMAs (Victorian Auditor-General
156 2014). The audit report acknowledged the substantial limitations of existing data, and the
157 need to improve arrangements for monitoring and assessment of catchment condition. Thus,
158 in 2013 the CMAs began to assess the condition and outcomes of government funded stock
159 exclusion projects.

160 We examined whether the data would allow us to test hypotheses about whether stock
161 exclusion improves the condition of riparian vegetation. We also considered the usefulness of
162 the data in relation to the five-yearly reviews conducted by the VCMC. The VCMC reports
163 about the state-wide condition of catchments in Victoria (VCMC 2017). This process requires
164 that data are comparable between regions. Thus, we explored whether the data from three
165 regions could be meaningfully compared to gain a state-wide overview of the success of
166 riparian restoration projects. The research aims and hypotheses outlined above are
167 summarised in the following.

168 **RESEARCH AIMS AND HYPOTHESES**

169 There were three purposes of the research. The first purpose was to determine how many
170 landholders continue to graze in riparian areas after the establishment of fencing, compared to
171 those that have excluded stock entirely. We also examined whether those landholders that
172 continue to graze follow the Victorian grazing guidelines (DELWP 2016). The second
173 purpose of the research was to investigate whether stock exclusion is associated with an

174 improved condition of riparian vegetation. We tested seven hypotheses about the relationship
175 between stock exclusion and vegetation condition. These hypotheses, and the statistical data
176 analysis methods, are summarized in Table 1.

177 -----Table 1 about here-----

178

179 The third purpose of the research was to investigate the quality of data collected by CMA
180 staff, and thus, whether CMA methods of assessing stock exclusion are effective. We
181 considered whether the available data from three CMAs were sufficient for determining the
182 ecological outcomes of stock exclusion projects, and whether the data collected by the three
183 CMAs were comparable.

184 **METHODS**

185 *Participants & procedure*

186 The participants were 231 landholders from three, predominately agricultural, regions in
187 northern Victoria: CMA A (n = 137), CMA B (n = 50), and CMA C (n = 50). Landholder
188 involvement in stock exclusion projects varied from 2 to 10 years prior to assessment. Little
189 data were available about the age of each project. All 231 landholder properties were visited
190 for evaluation by CMA staff members. The average age of landholders was 55 years old, and
191 more than 80% were male. Participant property sizes ranged between 200 and 3,000 hectares.
192 Figure 2 indicates that the main farming activities were keeping stock, including cattle and
193 sheep, and mixed agriculture, including cropping, horticulture, and stock fodder. Most
194 farmers in CMA B were graziers while the majority of farmers in CMA A and CMA C
195 practiced multiple farming activities.

196 -----Figure 2 about here-----

197

198 Data about evidence of grazing, fence condition, and vegetation condition, including the
199 abundance of native vegetation, juvenile trees, and invasive species, was collected by CMA
200 staff during these evaluations. In addition, we mailed a social survey to all participants to
201 examine whether landholders who continue to graze follow the Victorian grazing guidelines
202 (VGGs) that were established by the Department of Environment and Primary Industry in
203 2013 (DEPI 2013; DEWLP 2016). The social survey also included items about the type of
204 farming that landholders practice, and the size of properties. In total, 93 landholders
205 completed and returned usable surveys (40% return rate).

206 We also analysed gridded daily precipitation data from the Bureau of Meteorology's
207 Australian Water Availability Project (AWAP) dataset (Jones et al 2009) to investigate
208 whether climate might explain any differences of vegetation condition we found between the
209 three CMAs. Daily precipitation was extracted from an AWAP grid cell ($0.05^\circ \times 0.05^\circ$,
210 approximately 5km x 5km) representative of the farm's latitude and longitude for the period
211 1900-2016. We calculated the average long-term rainfall for each of the 93 study sites, as
212 well as the average of the two years during which the research was conducted (2013-2014),
213 and used ANOVAs to explore any statistical differences between the regions.

214

215 *Measures*

216 *Evidence of stock exclusion or stock grazing*

217 Measures of stock exclusion were recorded by CMA staff during visual inspections, and
218 landholder responses to open-ended questions about grazing practices in the social survey.
219 Field observations recorded by CMA staff included hoof marks, eaten or damaged vegetation,
220 and the presence of stock on riverbanks during the inspection. Three staff from each CMA
221 conducted condition assessments. Staff underwent internal training to standardize assessment
222 methods within each CMA. Thus, slightly different methods were used between each CMA.

223 We obtained information about whether landholder grazing regimes uphold the VGGs from
224 the sub-sample of 93 landholders who completed our social survey. Vegetation data
225 (described below) and survey data were used to investigate whether landholders who
226 continue to graze do so in accordance with the Victorian grazing guidelines. In 2013 the
227 Victorian Department of Environment and Primary Industry published guidelines for
228 managing grazing on riparian land (DEPI 2013). A revised edition was published in 2016 by
229 the now Department of Environment, Land, Water, and Planning. These guidelines were
230 introduced to restrict grazing to sites that are unlikely to be altered by the presence of stock
231 on riverbanks. Grazing of short-duration ('crash' grazing) is permitted to control invasive
232 pasture grasses that would otherwise out-compete planted or self-sown native seedlings
233 (DELWP 2016). Our study investigated whether current grazing regimes reflect key
234 recommendations stipulated in the Victorian grazing guidelines, including the following:

- 235 • Stock should be excluded from riverbanks in spring and early summer when native
236 species typically germinate;
- 237 • Stock should be excluded from revegetation areas for 3-5 years to allow native
238 vegetation to establish;
- 239 • Grazing should not be permitted on sites with less than or equal to 25% vegetation
240 cover

241 The social survey included two items about grazing regimes. The first item asked landholders
242 to report how many years following the completion of the restoration project that grazing
243 commenced. The second item asked about the time of year, including the seasons and
244 months, that grazing occurs. The data were used to investigate whether grazing regimes
245 reflect the first two recommendations outlined above.

246 *Fence condition*

247 The condition of fencing was described by CMA staff during site inspections. Observations
248 were recorded including damage to fence wires, and evidence that gates in fences were used
249 for stock access. Each CMA developed a ranking system that indicated whether fencing
250 effectively excluded stock from the riverbank, or if stock were able to access the riverbank.
251 For example, CMA A classed fence condition as ‘good’, ‘medium’, or ‘poor’, whereby
252 fences in ‘good’ condition effectively exclude stock, and those in ‘medium’ or ‘poor’
253 condition permit stock access to varying degrees. We standardized fence condition data from
254 the three CMA regions into two categories: ‘good’ fencing successfully excludes stock while
255 ‘poor’ fencing permits stock access to riverbanks.

256 *Vegetation condition*

257 The relationship between stock exclusion and vegetation condition was assessed by the
258 abundance of: native vegetation, juvenile trees, and invasive species. However, the quality of
259 vegetation condition data collected by the three CMAs varied considerably. CMA A and
260 CMA C used standardised state-wide data collection procedures, while CMA B used a
261 method devised by regional staff. Staff from CMA B conducted a visual assessment of
262 riverbanks and classified vegetation condition as ‘good’, ‘medium’, or ‘poor’. These data did
263 not include measures of vegetation features or the abundance of native and invasive
264 vegetation. Therefore, we excluded vegetation data from CMA B from our analysis. The
265 assessment method, and vegetation measures for each CMA are summarized in Table 2.

266 CMA A

267 CMA A used an assessment method consistent with the methods outlined in the Victorian
268 Ecological Vegetation Classes (EVC) guidelines (DSE 2004). This method involved walking
269 the riverbank for up to 100m along the length of the fenced riverbank and recording
270 observations about the abundance of vegetation observed at each site on a continuous scale,

271 between 0% and 100%. The EVC classes provides a benchmark for setting restoration goals
272 and assessing projects (Parkes et al. 2003). We compared vegetation condition data from
273 CMA A to the predicted pre-European vegetation species distributions (known as Ecological
274 Vegetation Classes). For example, the most common EVCs of sites in the study regions were
275 Floodplain Riparian Woodland, Riparian Forest, Riverine Grassy Woodland, and Box
276 Ironbark Forest (DELWP 2017). These EVCs stipulate that the pre-European vegetation had
277 a benchmark of 5% juvenile tree cover, whereby juvenile tree cover refers to the percentage
278 of area covered by the foliage of individual canopy plants, taller than 0.3m but below 5
279 metres (DSE 2004). Therefore, we anticipated that sites in CMA A with continued grazing
280 would have less than 5% juvenile tree cover than sites with continued grazing.

281 In accordance with the EVC guidelines, total native vegetation cover is classified as ‘absent’
282 if less than 10% cover is observed, ‘few’ if between 10% and 50% cover is observed, and
283 ‘abundant’ if more than 50% cover is observed. Total invasive species cover is classified as
284 ‘low cover’ if between 5% and 25% cover is present, ‘easily observable’ if between 25% and
285 50% is present, and ‘visually dominant’ if more than 50% cover is present. The total native
286 vegetation cover of riparian areas was a measure of the highest percentage of cover of each of
287 native grasses, shrubs, juvenile trees, and mature trees. These data were used to examine the
288 relationship between native vegetation cover and stock exclusion, and to investigate whether
289 grazing is excluded from sites with less than or equal to 25% native vegetation cover are, as
290 per the Victorian grazing guidelines.

291 CMA C

292 In contrast to CMA A, staff from CMA C followed a ‘rapid assessment’ method to assess
293 vegetation condition. Staff walked the length of the riverbank for one hundred meters and
294 recorded observations about vegetation condition. The abundance of juvenile trees and

295 invasive species was classified on a categorical scale of one to five, rather than on a
296 continuous scale of between 0% and 100%. The value of one is equal to no regeneration, two
297 is equal to less than 1% ground cover, three is equal to up to 10% ground cover, four is equal
298 to between 10% and 30% groundcover, and the value of five is equal to abundant regrowth of
299 more than 30% regeneration.

300 The abundance of invasive vegetation was also classified on a categorical scale of one to five,
301 whereby the value of one indicates that no invasive vegetation is present, the value of two
302 indicates that less than 10% of the riverbank is covered with invasive species, the value of
303 three indicates that between 10% and 40% is covered, the value of four indicates that between
304 40% and 60% is covered, and the value of two indicates that more than 60% of the riverbank
305 is covered. We used this categorical data to examine the relationship between stock
306 exclusion, and the abundance of juvenile trees and invasive species on sites in CMA C.

307 -----Table 2 about here-----

308 The quality of vegetation data were also central to our analysis of the condition assessment
309 methods employed by each CMA. We examined whether it would be possible to compare
310 vegetation condition data to EVC benchmarks, as stipulated by the Victorian EVC guidelines
311 (DSE, 2004), and whether it was possible to compare data between the three CMAs.

312 **RESULTS**

313 The assessment of riparian restoration projects conducted by CMA staff across three regions
314 included the evaluation of 231 landholder properties. However, the evaluation data indicated
315 that some properties no longer run cattle, while others do not currently have riverbank
316 fencing. Therefore, the sample size varies for the statistical analyses used to test each
317 hypothesis, as described below.

318 Figure 3 suggests that the annual average rainfall varies considerably between regions.
319 ANOVA confirmed that there was a significant difference between the three regions for the
320 long-term average rainfall, and the average rainfall of the two years during which the
321 research was conducted (2013-2014). The long-term average rainfall of CMA B ($M =$
322 1030.28 , $SD = 216.16$) was significantly higher than the long-term average of CMA A ($M =$
323 405.93 , $SD = 50.48$) and CMA C ($M = 764.73$, $SD = 229.86$), $F(2, 78) = 3.12$, $p = .00$.
324 Similarly, the average rainfall for 2013 and 2014 of CMA B ($M = 925.20$, $SD = 188.42$) was
325 significantly higher than the two-year average of CMA A ($M = 334.27$, $SD = 29.86$) and
326 CMA C ($M = 652.36$, $SD = 198.29$), $F(2, 78) = 3.11$, $p = .00$.

327

328 -----Figure 3 about here-----

329

330 In addition, a t-test was computed to investigate whether the average rainfall in all three
331 regions for 2013 and 2014 was different to the long-term average, as suggested by the
332 columns marked 'total' in Figure 3. A t-test found that the average rainfall of all 93
333 landholder properties was significantly lower in 2013 and 2014 ($M = 742.78$, $SD = 312.12$)
334 compared to the long-term average ($M = 645.22$, $SD = 285.88$), $t(82) = 2.09$, $p = 0.02$. Thus,
335 rainfall was taken into consideration for interpreting our findings about vegetation condition.

336

337 *Descriptive results*

338 *Grazing practices*

339 The number of sites in CMAs A, B and C where stock were grazed or excluded, and where
340 either the landholders no longer run stock or the data were insufficient to determine stock
341 access, is summarized in Table 3.

342 -----Table 3 about here-----

343

344 Of the sub-sample of 93 landholders that completed the social survey, 53 (57%) continue to
345 graze restoration sites, and 40 (43%) practice total exclusion of stock from restoration sites.

346 Of those landholders that continue to graze, 41 responded to the survey item asking
347 landholders to report the time of year that grazing occurs. Most landholders graze in spring
348 (N = 14) or summer (N = 17). Two landholders reported grazing all year round, and two
349 landholders reported grazing only when the river is high. Of those that graze, 30 landholders
350 responded to the survey item about the length of time since the establishment of the stock
351 exclusion project after which grazing resumed. Four reported that grazing resumed less than
352 one year after the establishment of stock exclusion projects, half reported that grazing
353 resumed less than three years after, and the remainder reported that grazing resumed more
354 than three years after.

355 *Vegetation condition*

356 Table 4 displays the means and standard deviations for measures of vegetation condition
357 from sites in CMA A. Of the 137 site assessments conducted in CMA A, four assessments
358 were missing data related to the coverage of juvenile trees and the total coverage of native
359 vegetation, and ten assessments were missing data related to the total coverage of invasive
360 species. Therefore, the sample sizes vary for these measures (Table 4). The standard
361 deviation of juvenile tree cover shows little variability between sites ($SD = 7.79$), while the
362 standard deviation of native vegetation cover ($SD = 22.54$) and invasive species cover ($SD =$
363 18.77) shows considerable variability between sites.

364 The means and standard deviations for measures of vegetation condition from sites in CMA
365 C are also displayed in Table 4. The mean score for juvenile tree cover on sites in CMA C
366 was close to the value of '3' on the categorical scale. Thus, according to the scale, most sites

367 contained 'up to 10% cover'. The standard deviation indicates very little variability ($SD =$
368 2.66). The mean score for the abundance of invasive species coverage on sites in CMA C
369 indicates that on average, sites in CMA C contain between 10% and 40% coverage. The
370 standard deviation suggests there is little variability ($SD = 1.13$).

371 -----Table 4 about here-----

372 Data from CMA A were analysed to determine if grazing continues on sites with less than or
373 equal to 25% total native vegetation cover. Of the 65 sites that were grazed, 20 contained less
374 than or equal to 25% total native vegetation cover.

375 *Fence condition*

376 Of the 232 site assessments, 30 records were missing data related to fence condition. In total,
377 70% of sites contained fences in 'good' condition ($N = 141$) and 30% of sites contained
378 fences in 'poor' condition ($N = 61$). Further, Chi Square analysis revealed that fence
379 condition varied between the three CMA regions, $\chi^2(2, N=202) = 3.05, p = .000$. Of 118 sites
380 in CMA A, 80% ($N = 94$) were in good condition. Similarly, of 38 sites in CMA C, 79% ($N =$
381 30) were in 'good' condition. By contrast, of 46 sites in CMA B, only 37% ($N = 17$) were in
382 'good' condition.

383 *Relationships between grazing, vegetation condition, and fence condition*

384 Hypotheses 1, about the relationship between stock exclusion and fence condition, was
385 supported as there was no relationship between fence condition and grazing, $\chi^2(1, N=124) =$
386 .411, $p = .52$. Hypothesis 2 was supported as sites in CMA A that were grazed ($M = 1.68, SD$
387 $= 4.14$) contained significantly less juvenile tree cover than sites with full exclusion, ($M =$
388 $4.77, SD = 9.87$), $t(131) = -2.32, p = .02$. Hypothesis 3 was partially supported as grazed
389 sites contained less than 5% juvenile tree coverage, and sites with total exclusion contained
390 only marginally less than 5%. Hypothesis 4 was supported as there was no difference in the

391 amount of native species coverage between grazed sites ($M = 21.69$, $SD = 21.21$) and sites
392 with total exclusion ($M = 23.38$, $SD = 23.83$), $t(131) = -.43$, $p = .67$. Similarly, Hypothesis 5
393 was not supported as there no difference in the amount of invasive species coverage between
394 grazed sites ($M = 25.19$, $SD = 18.13$) and sites with total exclusion ($M = 29.95$, $SD = 19.20$), t
395 (125) = -1.44 , $p = .154$.

396 Hypothesis 6 was not supported as the abundance of juvenile trees was no different between
397 grazed sites ($M = 2.63$, $SD = .62$) in CMA C and sites with total exclusion ($M = 2.67$, $SD =$
398 $.88$), $t(41) = -.17$, $p = .868$. Hypothesis 7 was supported as there was no difference in the
399 abundance of invasive species between grazed sites ($M = 3.25$, $SD = 0.87$) and sites with total
400 exclusion ($M = 3.39$, $SD = 0.52$), $t(27) = -0.51$, $p = 0.31$. All sites contained between 10%
401 and 40% coverage of invasive vegetation.

402 *Data quality and the consistency between CMA vegetation measures*

403 The research investigated the effectiveness of vegetation condition assessments from two
404 perspectives; firstly, whether the data were of sufficient quality to determine the influence of
405 stock exclusion projects on vegetation condition, and secondly, whether it was possible to
406 meaningfully compare data between the three CMAs. We found that the quality of vegetation
407 condition data varied considerably between CMAs. We were able to statistically analyse data
408 collected by CMA A and CMA C. The analysis explored whether the abundance of native
409 vegetation, juvenile trees, and invasive vegetation, was different for grazed sites compared to
410 sites with complete stock exclusion. In addition, it was possible to compare data from CMA
411 A against the relevant EVC baselines. However, we were unable to compare data from CMA
412 B or CMA C against baseline conditions.

413 We also found that it was not possible to compare vegetation data between the three CMAs,
414 or to summarize the overall condition of vegetation across the three regions. Thus, with the

415 exception of the abundance of juvenile trees in CMA A, it is difficult to be definitive about
416 whether stock exclusion projects are effective for improving the condition of degraded
417 riparian vegetation in Victoria.

418 **DISCUSSION**

419 To be effective, many environmental projects, such as stock exclusion, must be monitored,
420 assessed, and maintained indefinitely. We examined data from an evaluation of a common
421 river restoration project; stock exclusion. Here we discuss the main findings, and the
422 implications for improving the success of river restoration more widely.

423 *Maintenance of fences and stock exclusion*

424 Despite that fact that approximately 70% of fences on stock exclusion sites were in ‘good’
425 condition’, stock grazing continues on nearly half of the sites examined in the research. Ede
426 (2011) assumed that intact fences successfully excluded stock. However, we found that there
427 was no relationship between fence condition and evidence of grazing, suggesting that fence
428 condition alone is not an appropriate proxy for actual stock access to riverbanks. Of the 93
429 landholders who complete the social survey, 53 continue to graze the fenced frontage. The
430 Victorian grazing guidelines suggest that stock should be excluded from riverbank areas
431 during spring and early summer, and that grazing should cease for between 3 to 5 years after
432 the establishment of restoration sites. Of the 53 landholders that continue to graze, more than
433 half graze in spring and summer. More than half reported that grazing recommenced less than
434 3 years after the establishment of projects. The grazing guidelines also stipulate that full
435 exclusion should occur on sites with less than 25% total cover of native vegetation. Grazing
436 continues on 65 sites in CMA A, and nearly one third of those sites contain less than 25%
437 total coverage of native vegetation.

438 To be effective, stock exclusion projects must be maintained indefinitely. These results
439 suggest that landholders who voluntarily adopt environmental practices do not necessarily
440 maintain those practices over the long-term. This could be explained by numerous factors,
441 including whether the terms of voluntary agreements are adequate (in this case, this includes
442 whether the agreements reflect the grazing guidelines), and if the individual landholder
443 chooses to uphold the terms of the agreement. Given that CMA records are incomplete, the
444 exact number of landholders in agreements that predate the grazing guidelines is not known.
445 Problems related to the establishment and administration of contractual agreements between
446 parties involved in environmental management are not uncommon. Even where the contract
447 is commercial in nature, compliance can be poor. For example, Hallwood (2007) suggests
448 that the failure of 50% of mitigation wetlands in the USA is related to the poor design and
449 implementation of contracts between government agencies and the firms who construct the
450 wetlands. Firms shirk contractual responsibilities, such as maintaining water levels, because
451 their operations are not overseen by regulatory authorities. Thus, Hallwood (2007) concludes,
452 “An un-enforced contract is not worth the paper it is written on.” (p.449). Many river
453 restoration projects, including stock exclusion, increasing instream wood loads, and habitat
454 enhancement (E.g., Bernhardt et al. 2007; Gunningham 2003; Rural Payments Agency 2018),
455 involve establishing voluntary opt-in agreements with farmers. It is highly probable that such
456 voluntary agreements will require even more oversight than the robust legal contracts
457 between regulatory authorities and mitigation wetland agencies.

458 *Abundance of vegetation*

459 We hypothesized that sites with stock excluded would contain a greater abundance of native
460 species (e.g., Hough-Snee et al. 2013; Schulz & Leininger 1990). In contrast to the findings
461 of Ede (2011), stock exclusion in CMA A did not increase the amount of native vegetation

462 within the fenced frontage, compared to sites with continued grazing. However, it is likely
463 that two years of below average rainfall reduced the growth of native species. Thus, our data
464 may not reflect the true benefits of stock exclusion for increasing the abundance of native
465 vegetation. In the context of climate change and drought events in Australia, native
466 vegetation may struggle to out-compete invasive species, particularly in the absence of
467 effective weed management (e.g., Morris & Reich 2013).

468 Consistent with Robertson & Rowling (2000), sites in CMA A with continued grazing
469 contained less cover of juvenile trees than sites with stock excluded. Grazed sites contained
470 less than half the amount of cover stipulated as the EVC baseline for juvenile trees, while
471 sites with stock excluded contained nearly the baseline amount. This suggests that excluding
472 stock might contribute to an increase in the abundance of juvenile trees.

473 Sites in CMA C were ranked on a scale of 1 to 5 in relation to juvenile tree coverage. This
474 approach is not dissimilar to the method employed by Ede (2011). In contrast, we found that
475 there was no difference for juvenile tree coverage between sites with stock grazing and sites
476 with stock exclusion in CMA C. This may be due to the fact that our sample size was smaller
477 than Ede's (2011), or that the data rankings may have obscured any genuine differences
478 between grazed and ungrazed sites.

479 As predicted, there was no relationship between the abundance of weeds and grazing on sites
480 in CMA A and sites in CMA C. All sites in CMA A contained low (between 5% and 25%)
481 abundance of invasive species. All sites in CMA C contained between 10% and 40%
482 abundance of invasive species. This suggests that landholders are performing weed
483 management. The fact that sites in CMA C contain a greater abundance of invasive species
484 compared to sites in CMA A may be related to climate. During 2013 and 2014, CMA A
485 experienced significantly less rainfall than sites in CMA C (Figure 3). Thus, the low amount

486 of invasive vegetation may reflect rainfall rather than the amount of weeding performed by
487 landholders.

488 Overall, our results suggest that while most landholders maintain fences, approximately half
489 continue some amount of grazing. Thus, fence condition does not reflect stock grazing.

490 Further, with the exception of juvenile trees, there is little relationship between grazing and
491 vegetation. However, this may be because the time between the establishment of projects and
492 assessment varies considerably between sites. Unfortunately, we were unable to obtain exact
493 dates for when each project was established. We know that some projects were established
494 several years ago, while others were established more than a decade ago. Juvenile trees of
495 between 0.3m to 0.6m in height can grow within a year of seeding or planting saplings (Di
496 Stefano 2002). Thus, it is possible that not enough time has elapsed since the establishment of
497 some projects to find significant changes in the abundance of native vegetation.

498 Of note, our results may also be confounded by two years of below average rainfall. Death et
499 al (2015) emphasize the importance of designing river restoration projects in the context of
500 future climate change trajectories, such as extreme flooding that may alter channel
501 morphology and ecology. We suggest that it is equally as important to consider if existing
502 management arrangements are likely to be suitable in the future. Landholders in drought-
503 prone regions (or flood-prone regions) may require additional assistance to maintain
504 environmental projects (Moore et al. 2018).

505 *Effectiveness of condition assessment*

506 This study suggests that poor data quality, and lack of consistency between agencies, are
507 ongoing problems that continue to present challenges for the assessment and management of
508 river restoration projects. Each CMA used different assessment methods. Thus, it is difficult

509 to determine how effective stock exclusion projects are, or the overall condition of riverine
510 ecosystems in Victoria.

511 These observations demonstrate the importance of linking measures of condition assessment
512 to benchmark targets for vegetation recovery, and highlight a fundamental problem with
513 environmental management that involves multiple tiers of governance (such as between the
514 state departments responsible for publishing guidelines and CMAs): lack of consistency and
515 oversight. Methods of assessment, and the conditions of voluntary agreements should reflect
516 best-practice guidelines. Oversight is required, both in terms of government agencies
517 ensuring CMAs follow consistent methods, and in terms of farmers complying with contracts.
518 Hallwood (2007) comes to similar conclusions about the widespread failure of mitigation
519 wetlands. The United States Environmental Protection Agency provides numerous guidance
520 documents about complying with the requirements for establishing mitigation wetlands
521 (USEPA 2018). However, these guidelines are often not adhered to. Thus, oversight is
522 required to improve compliance, and contracts should include penalties for non-compliance
523 (Hallwood 2007).

524 Our suspicion is that the problem of inconsistent methods between CMAs in Victoria is
525 related to the transition from regional management systems to a centralized condition
526 assessment system. Prior to the introduction of centralized systems in recent years, including
527 the EVCs and Victorian Grazing Guidelines, the CMAs developed independent methods of
528 implementing and assessing river restoration projects. CMA officers are likely to be
529 concerned that they will lose much of the valuable information that they have collected in the
530 past if it is not consistent with the new centralised approaches. Thus, further research is
531 needed to support the transition to consistent methods of condition assessment that are
532 comparable between regions, while still maintaining the value of existing CMA data. This

533 type of transition from regional to centralized methods of environmental management
534 represents the maturation of the river restoration sector.

535 *Limitations*

536 There are four limitations of this study that may have influenced our data and our findings.
537 Firstly, most agricultural sites have histories related to past land use practices. Past land use
538 can influence the success of current restoration practices. For example, a longer history of
539 grazing prior to the current landholder is likely to make it more difficult to revegetate the
540 riverbank (Belsky et al. 1999). Similarly, the time since stock exclusion, and thus the amount
541 of recovery, varied between sites. Secondly, many stock exclusion projects were established
542 during the recent decade-long drought in Victoria. Reduced rainfall may have reduced
543 vegetation growth (Jansen & Robertson 2001). Thirdly, while most sites included in this
544 study ran cattle, approximately one quarter also ran sheep. Given that sheep have less impact
545 on riverbanks, this is not likely to affect the main outcomes of our study, other than to under-
546 represent the true impact of cattle on riverbanks. Thirdly, evidence of grazing does not
547 always reflect landholder practices. In some cases stock from properties on the other side of
548 the waterway can gain access to restoration sites by crossing the river channel. This is a
549 known issue and one that CMAs are actively addressing. Fourthly, this study does not
550 account for landscape-scale factors, including the availability of upstream seedbanks, and
551 flood regimes.

552 **CONCLUSIONS**

553 The success of environmental projects in river basins depends as much on maintenance and
554 management as it does on designing and implementing appropriate interventions (Moore &
555 Rutherford 2017). To be effective, voluntary agreements should stipulate measurable targets,

556 and reinforce strong links between restoration activities, and the ecological processes that are
557 involved for achieving those targets (Danne 2003; Kehoe 2006).

558 We found that each CMA involved in the research used different vegetation assessment
559 methods. Thus, it was difficult to draw conclusions about the condition of vegetation in
560 Victoria, or the success of stock exclusion projects. Our analysis suggests that voluntary
561 agreements should contain specific terms that are consistent with best practice guidelines, and
562 that policy makers should conduct more effective oversight of contract compliance. Further,
563 we acknowledge that the river restoration industry is in transition, from fragmented
564 approaches to centralized approaches. While this is necessary to effectively evaluate the
565 success of costly projects, there is a need to consider the impact of this transition on regional
566 authorities. The process would benefit from greater participation from, and consultation with,
567 regional authorities.

568 It appears that there are few incentives for landholders to uphold the terms of their voluntary
569 agreements, and no consequences if they do not do so. This is a common problem with using
570 voluntary agreements to implement environmental projects (e.g., Danne, 2003). Others have
571 suggested that in some circumstances VAs should be more strictly policed (Gunningham,
572 2003). We suggest this is possible for the VAs considered here. CMAs could require
573 landholders to pay back the cost of fencing, revoke grazing licences, or issue fines. However,
574 using such legal measures may discourage other farmers from entering into voluntary
575 agreements in the future. Thus, while there is a need to develop more effective incentives for
576 compliance, the use of legal instruments should be given careful consideration. It may be
577 possible to reinforce a sense of social responsibility, and obligation, by CMA officers visiting
578 the sites more frequently, and informing landholders of what other farmers like them are
579 doing (Moore et al. 2018).

580 The agricultural sector is one of the single greatest contributors to the degradation of river
581 systems worldwide (Belsky et al. 1999; Suding 2011). Projects to exclude stock from grazing
582 riverbanks are amongst the most common river restoration projects. The next challenge is to
583 ensure that these projects, and river restoration projects more generally, are assessed and
584 maintained to the degree that is required to improve the ecological condition of river systems.
585 Addressing this challenge will involve the following: enhancing the capacity of water
586 authorities to conduct effective monitoring and assessment, supporting landholders to meet
587 challenges associated with climate change, and determining where in the chain of
588 administration the link between state-level guidelines and local and regional practices has
589 broken.

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592 and experience was critical to the study (unfortunately we cannot name them to maintain
593 anonymity). We thank the 93 landholders who completed the social survey about grazing
594 regimes. We also thank the University of Melbourne Behavioural Sciences ethics committee
595 who provided valuable feedback and approved the research (Approval ID: 1441618).

596 **DATA ACCESSIBILITY**

597 Data used in this study can be provided on request. However, readers should be aware that in
598 compliance with the ethics approval for the research, no data that may identify the
599 landholders or regional authorities involved in the research may be made public.

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716

717 *Figure captions:*

718

719 **Figure 1.** Principles of adaptive management for establishing river restoration projects

720 (adapted from Rutherford et al (2001)).

721 **Figure 2.** Frequency of common farming activities (sheep, cattle and mixed farming,

722 whereby mixed farming refers to a combination of cropping, lifestyle, and stock) in CMA A,

723 CMA B, CMA C.

724 **Figure 3.** Long-term and two-year (2013-2014) annual average rainfall for CMA A, CMA B,

725 and CMA C.

726

Table 1. Hypotheses and statistical data analysis methods

1	No relationship between stock exclusion and fence condition.	Chi Square
2	Sites in CMA A with continued grazing will contain less cover of juvenile trees than sites with stock exclusion.	t-test
3	Sites in CMA A with continued grazing will contain less than 5% coverage of juvenile trees, while sites with stock exclusion will contain 5% or greater coverage of juvenile trees.	
4	Sites in CMA A with continued grazing will contain less native vegetation than sites with stock exclusion.	
5	No difference for abundance of invasive vegetation between sites in CMA A with continued grazing and sites with stock exclusion.	
6	Sites in CMA C with continued grazing will contain less juvenile tree coverage, than sites with stock exclusion.	
7	No difference for abundance of invasive vegetation between sites in CMA C with continued grazing and sites with stock exclusion.	

Table 2.

CMA	Assessment method	Vegetation Measures
A	Consistent with EVC guidelines	Continuous scale % cover 0-100
B	Method devised by CMA.	Poor, medium, good
C	State-sanctioned Rapid assessment method	Categorical scale 1-5

Table 3. Summary of grazing practices

CMA	Grazed	Excluded	No stock/insufficient data
A	65	71	1
B	13	25	12
C	16	27	1
Total	94	109	14

Table 4. Descriptive statistics for measures of vegetation condition in CMA A and CMA C

	N	Mean	SD
CMA A Juvenile tree cover (%)	133	3.29	7.79
CMA A Total native vegetation cover (%)	133	22.56	22.54
CMA A Total invasive species cover (%)	127	27.66	18.77
CMA C Abundance of juvenile trees*	44	2.66	.78
CMA C Abundance of invasive species*	44	3.27	1.13

*Juvenile tree cover for CMA C was measured on a scale of 1 to 5 where the value of 1 represents the least amount of cover and the value of 5 represents the most amount of cover.

*Abundance of invasive species cover was measured on a scale of 1 to 5 where the value of 1 represents the least amount of cover and the value of 5 represents the most amount of cover.

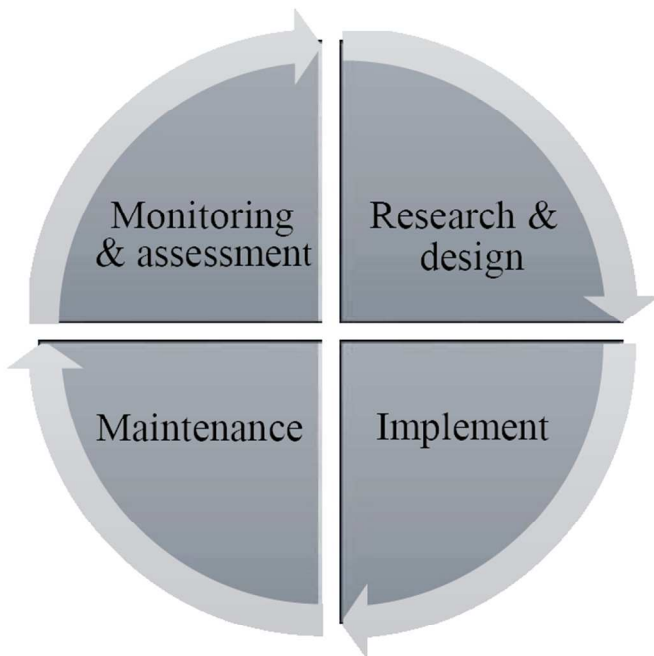


Figure 1. Principles of adaptive management for establishing river restoration projects (adapted from Rutherford et al (2001)).

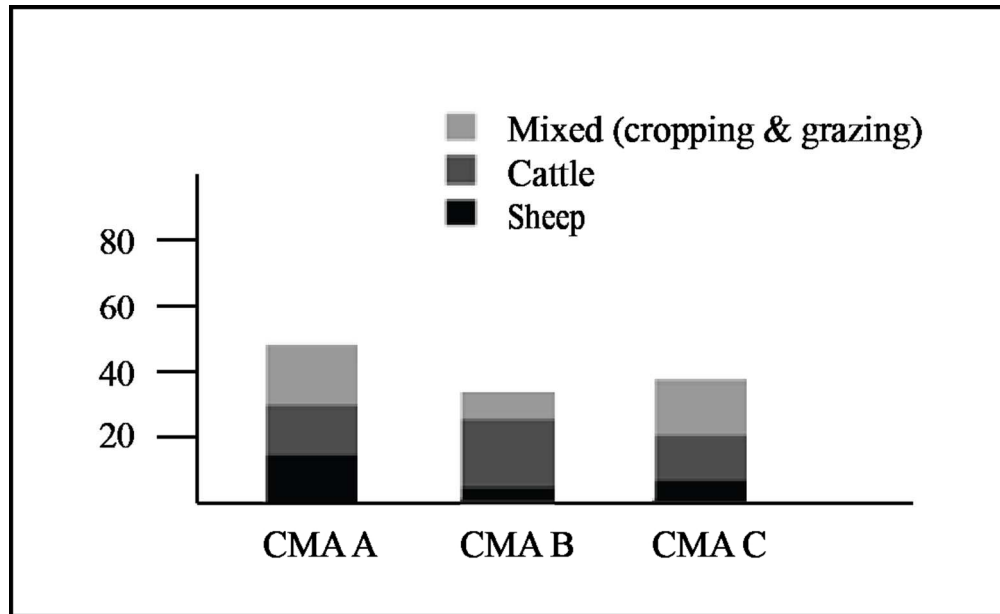


Figure 2. Frequency of common farming activities (sheep, cattle and mixed farming, whereby mixed farming refers to a combination of cropping, lifestyle, and stock) in CMA A, CMA B, CMA C.

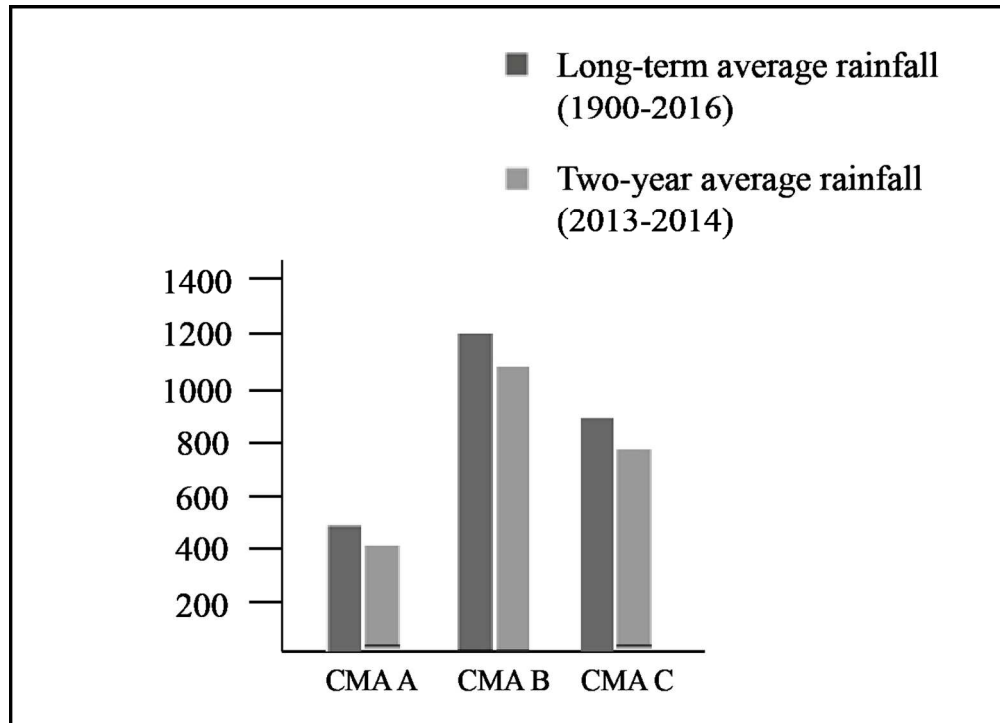


Figure 3. Long-term and two-year (2013-2014) annual average rainfall for CMA A, CMA B, and CMA C.