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7	Freshwater mussels as a tool for reconstructing climate history
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### 24 Abstract

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26 Sclerochronology provides an important and widely used tool to examine annual environmental 27 patterns in marine systems, but few similar tools have been developed to establish ecological 28 indicators in freshwaters on a seasonal scale. Previous work using marine mussels as ecological 29 indicators have practiced shell ashing, acetate peels, and thin sectioning, all of which destroy the 30 specimens. We studied the external annual rings of three freshwater mussel species with clear 31 annual bands from the River Medway, UK, in order to non-invasively investigate the relationship 32 between banding patterns, growth parameters, location, and seasonal water temperatures. We 33 tested the accuracy and precision of this method through repetition of measurements and the 34 reproduction of results through separate agers. Overall, mussels living downstream had higher 35 length-at-age curves, asymptotic lengths  $(L_{\infty})$ , and growth constants (k) than those living 36 upstream. In Unio pictorum and Unio tumidus, there was a negative relationship between 37 asymptotic lengths and growth constants, indicating that larger asymptotic lengths took more 38 time to reach. Unio tumidus had the highest asymptotic lengths and the lowest growth constants 39 across sites while Unio pictorum had the lowest asymptotic lengths and the highest growth 40 constants across sites. Anodonta anatina showed simultaneously increasing asymptotic lengths 41 with increasing growth constants and had the highest overall lengths of all three species. Summer 42 water temperatures had the largest positive effect on annual growth, followed by spring and 43 winter water temperatures, while autumnal temperatures had a negative impact on growth. The 44 findings of this study suggest that through their annual banding, freshwater mussels can be used 45 as tools to document historical water temperatures, especially in the warmer months of spring 46 and summer, and can therefore serve as powerful indicators of spatial and temporal patterns in 47 water temperature. Such tools can help infer palaeoclimatic conditions from fossil and subfossil

- 48 shells, establish baselines for understanding future climatic change, and support conservation
- 49 efforts aimed at protecting temperature-sensitive taxa.

# 51 Keywords

- 52 Ecological indicator; Sclerochronology; Unionidae; Climate change; Freshwater ecosystems;
- 53 Seasonality

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# 72 **1. Introduction**

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74 Freshwater ecosystems are facing a 'global crisis' (Strayer and Dudgeon, 2010) as a result of 75 increasing human demands (i.e. irrigation, potable supplies, aquaculture, industrial uses, and 76 sanitation) combined with greater fragmentation, impoundment, pollution, introduction of non-77 native species and effects of climate change (Johnson and Evans, 1990; Vörösmarty et al., 2000; 78 Strayer and Dudgeon, 2010). Climate change, in particular, affects freshwaters species more than 79 marine or terrestrial species since freshwater temperatures are highly dependent on air 80 temperatures, and habitats are often fragmented, limiting the ability of species to move as the 81 environment changes (Jenkins, 2003; Woodward et al., 2010). It is therefore essential that we 82 develop effective tools for monitoring freshwater ecosystems, identifying habitat degradation 83 and species decline, and quantifying the effects of rehabilitation programmes. Currently, we are 84 lacking sufficient freshwater temperature records, and there is a need to establish proxies to 85 reconstruct past temperature fluctuations and to set baselines against which future change can be 86 compared. These proxies can be used to assess freshwater quality and then potentially create 87 predictive models (Alizadeh, et al., 2017; Wang, et al., 2014). This will allow us to understand 88 how freshwater communities have responded to climate change in the past and how they might 89 be affected in the future.

Common aquatic animals used as environmental proxies include corals, brachiopods, fish,
and bivalves (Hudson et al., 1976; Helama et al., 2006; Scourse et al., 2006). Freshwater mussels
(Bivalvia: Unionida) have been identified as effective indicator taxa whose abundance is often
positively correlated with associated biodiversity (Aldridge et al., 2007; Chowdhury et al., 2016).
Their capacity to filter large volumes of water (up to 40 litres per individual per day (Tankersley)

and Dimock Jr., 1993)) means that their growth patterns are likely to capture information about
their surrounding environment.

97 Annual growth bands of living organisms have been used as ecological indictors in taxa as 98 diverse as trees, fishes and corals. Studies have encompassed terrestrial, marine and freshwater 99 ecosystems and covered geographies including polar, temperate and tropical regions. The 100 greatest attention has focused on tree rings as climatic proxies. By relating spatial variation in 101 annual tree rings to anomalies in annual climate records, dendrochronology can provide a clear 102 picture of recent (Douglass, 1941) and palaeoclimatic (LaMarche, 1974) patterns over long 103 periods of time (Cook et al., 1995). Proxies have also been created for environmental and 104 climatic records of aquatic systems using a similar technique called sclerochronology. The 105 annual increments in fish otoliths and scales, coral skeletons, and bivalve shells can be measured 106 and cross-dated to provide information on factors such as climate, hydrology, pollution, 107 reproduction and migration patterns (Helama et al., 2006; Rypel et al., 2008; Helmle and Dodge, 108 2011).

109 Annual bands on bivalve mollusc shells have become widely used as a tool for ageing and 110 reconstructing population structure. Rings are counted from the shell margin towards the umbo, 111 linking them with growth years (Lefevre and Curtis, 1912; Jones, 1983). Though genetic factors 112 can affect early growth increments of molluses, external factors mainly drive the visible, annual 113 growth banding on mussel shells (Coker et al., 1921; Marchitto, Jr. et al., 2000; Gooding et al., 114 2009). Thus, growth bands are good indicators of environmental parameters including 115 temperature (Davenport, 1938; Kennish and Olsson, 1975; Helama et al., 2006), salinity 116 (Navarro, 1988; Marsden and Pilkington, 1996), nutrients (Ansell, 1968; Schöne et al., 2003) and 117 dissolved oxygen (Goodwin et al., 2001). Molluscs develop these dark bands due to a 118 discontinuity in growth and a withdrawing of the mantle during stress or large disturbances (such

as colder temperatures each winter). When molluses start to grow again, they do not start exactly
where they left off, but a little distance back, resulting in a slight overlap in the outer layers of
the shell which forms a dark band.

122 Annual banding of marine bivalves, specifically Arctica islandica and Spisula solidissima, 123 has widely been studied for the purpose of sclerochronology and cross-matching (Jones, 1983; 124 Marchitto, Jr. et al., 2000; Schöne et al., 2002; Scourse et al., 2006), whereas very few studies 125 have looked at the potential of freshwater bivalve banding and growth patterns as environmental 126 indicators. Freshwater mussels represent an attractive tool for environmental monitoring due to 127 their clear annual rings (Neves and Moyer, 1988; Haag and Commens-Carson, 2008; Rypel et 128 al., 2008), longevity (Aldridge, 1999), wide geographical distribution (Lopes-Lima et al., 2016), 129 high abundance and accessibility for sampling (Strayer and Smith, 2003), and opportunity for 130 non-destructive ageing of live specimens (Aldridge, 1999; Helama and Nielsen, 2008; Rypel et 131 al., 2008).

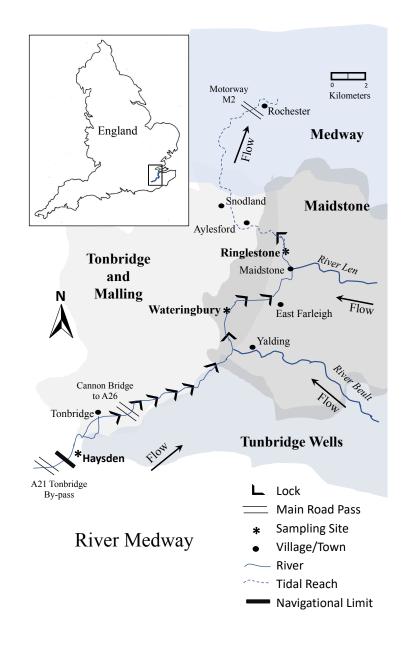
132 Freshwater mussels have been effectively used to interpret a variety ecological and 133 hydrological patterns, but to date have not been used to investigate patterns in seasonal climate 134 history. For example, European unionid mussels have been used to study the effects of habitat, hydrology, and sediment composition on shell morphology (Zieritz and Aldridge, 2009), to 135 136 determine the effect of genetic differentiation on ecophenotypic differences (Zieritz et al., 2010), 137 to compare shell morphology and population dynamics in a sympatric population (Aldridge, 138 1999), and to compare the density of freshwater mussels to overall taxon richness (Aldridge et 139 al., 2007).

Studies of growth rates in freshwater mussels of the Illinois River, USA, demonstrate a general increasing trend over the past 150 years which has been attributed to impoundment, eutrophication, water quality improvements, and warming water temperatures (Fritts et al., 143 2017). However, it is likely that local habitat effects and species-specific responses have 144 additional effects on growth patterns. Furthermore, it can be expected that environmental effects 145 will have a differing influence on growth rates depending on the time of the year, which may 146 render seasonal pattern studies more informative than annual studies. For example, a cold winter 147 or hot summer can suppress bivalve growth, but a warmer spring can facilitate growth (Killam 148 and Clapham, 2018).

149 In this study, we investigated the utility of growth bands as climatic indicators in three 150 widespread European freshwater mussel species, Unio pictorum (Linnaeus), Unio tumidus 151 (Philipsson) and Anodonta anatina (Linnaeus). These mussels were chosen based on their 152 International Union for the Conservation of Nature (IUCN) status of "least concern" (IUCN, 153 2018), their abundance and widespread distribution in the River Medway, and their clear annual 154 growth rings. Other species native to the UK and present in the River Medway were less suited 155 for our study; Pseudanodonta complanata (Rossmässler), is of conservation concern (IUCN, 156 2018), while Anodonta cygnea (Linnaeus) is rare and localised within the River Medway 157 (Aldridge, 2009).

158 Most previous work on bivalve growth rings have resorted to sectioning shells or sectioning 159 hinge ligaments (Neves and Moyer, 1998; Cerrato, 2000; Goodwin et al., 2001). These 160 approaches are necessary for species that are slow-growing, long-lived or subject to erosion of 161 the external shell. However, the external rings of unionid mussels are typically clear and intact, 162 allowing us to determine age without killing or damaging the specimens. Furthermore, the three 163 species that we used have been successfully aged by their external annuli in previous studies due 164 to their fast growth, short lives, and little umbonal erosion (Aldridge, 1999; Zieritz and Aldridge, 165 2009).

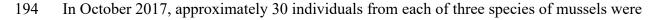
166	Shell annulus patterns along the length of a river were investigated to understand
167	interspecific and habitat-induced effects. It was anticipated that growth rates in these filter
168	feeders would increase towards the lower reaches of the river continuum due to increased
169	temperature and greater abundance of particulate organic matter and algae (Vannote et al., 1980).
170	The three objectives of our study were: (1) to compare mussel growth banding between different
171	species and different locations along the river; (2) to assess the relationship between band
172	spacing and annual water temperatures; and (3) to investigate the effects of seasonal climate on
173	mussel growth, and therefore establish the use of mussel bands to document past climate
174	changes.
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176	2. Material and Methods
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178	2.1 Study site
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180	Studies were conducted at three sites within a 27 km navigable reach of the River Medway,
181	Kent, UK (Fig. 1), encompassing the entire range of abundant mussel populations within the
182	river. The most upstream site was located in Haysden (51.19° N, 0.25° E) at the upper
183	navigational limit of the river. The mid site was located 17 km downstream in Wateringbury
184	(51.25° N, 0.42° E) and the lowest site was located in Ringlestone (51.27° N, 0.69° E),
185	approximately 1 km upstream from the river's tidal sluice. The study reach is a highly regulated
186	
	lowland river, comprising 10 locks and a benthos of gravels and marginal silt. Maximum width
187	lowland river, comprising 10 locks and a benthos of gravels and marginal silt. Maximum width ranged from 15 m to 30 m and depth from <2 m to <4 m. Littoral vegetation was dominated by



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**Fig. 1.** Distribution of the three sampling locations on the River Medway, Kent, UK. The four surrounding counties in Kent are listed in bold.

192 2.2 Mussel collection and measurement of shell growth rings



- 195 collected from each site by hand sampling. Studies focused on the three most numerically
- abundant and widespread species in the river (Aldridge, 2009): A. anatina, U. pictorum and U.

197 *tumidus*. Annual bands, identified as continuous dark bands around the entire shell (Aldridge,

198 1999), were measured from each mussel using a Vernier caliper.

- 199
- 200 2.3 Standardisation and data analysis
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Individual growth parameters were determined using the Walford plot model (Walford, 1946;
Aldridge, 1999), which uses a linear regression common to de-trending individual growth series
(Helama and Nielsen, 2008). Compared to other methods of fitting growth curves, such as the
logistic or the Gompertz, this model is convenient for large numbers of individuals (Walford,
1946) and assumes von Bertalanffy growth curves from the equation:

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- 208
- $L_{t+m} = cL_t + d \tag{1}$
- 209

where  $L_t$  is length of shell at time t,  $L_{t+m}$  is length of shell at time t + m; m is the interval at which measurements were taken (one year in this study, m=1), c is the slope of the Walford plot line, and d is the y-intercept of the Walford plot line. The asymptotic length ( $L_{\infty}$ ) and the growth constant (k) were then calculated for individuals of all three species at all three sites using equations 2 and 3. The theoretical maximum growth ( $L_{\infty}$ ) of a mussel if allowed to grow to its full potential is determined by the equation:

 $L_{\infty} = d/(1-c)$ 

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219 where  $L_{\infty}$  is the asymptotic length, or the y-intercept of the 45° line. The rate at which the

asymptotic length is reached is determined by the equation:

(2)

- 222
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 $k = -\ln(c)/m$ 

224 where k is the growth constant.

225 To account for declining growth increments as mussels age and to plot individual mussel 226 growth trends, the natural logarithm of all the raw growth data was taken (Scourse et al., 2006). 227 Without doing so, the growth data for the most recent growth years would have smaller residuals 228 since the majority of our mussels were at an age where growth had already slowed down 229 significantly. This would have displayed a gradual slow of annual mussel growth over time due 230 to ageing, not changing water parameters. Using similar techniques as is used in tree ring width 231 series, each mussel was plotted as the deviation from a standardised index. The ratios of annual 232 actual-to-expected ring width yields a set of dimensionless ring 'indices' with a defined mean of 233 1.0 and an approximately homogeneous variance (Fritts, 1976; Cook et al., 1995). Rather than 234 averaging raw growth data, this method allows us to understand good *versus* bad years for 235 growth since it displays each annulus as a positive or negative deviation from the mean index. 236 This method accounts for the fact that mussels, like trees, grow at a faster rate when young. The 237 index of each mussel was calculated as:

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 $I_t = R_t / G_t \tag{4}$ 

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where Rt is the ring width measured, Gt is the expected ring width based on the slope and yintercept of its Walford plot, and It is the resultant growth ring standardised index with a mean of
1.0. This analysis equalised the growth variations regardless of age or size. By plotting the
indices of each mussel's growth increments, a unique mean standardised growth index was

(3)

created for each species at all three sites. This ensured differences in growth trends betweenlocation and species were documented.

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248 *2.4 Temperature* 

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250 Since seasonal water temperatures are rarely recorded over long time scales, little research exists 251 on how seasonal temperature changes affect mussel growth. Using logistic modelling based on 252 seasonal air temperatures recorded from the Environment Agency (EA) and Meteorological 253 Office (Met Office), we filled in missing water temperature data to perform seasonal growth 254 analyses. In order to isolate the effects of temperature from the various other factors affecting 255 growth, we also included sex, location, and species in our analyses. Although there are many 256 other driving factors, literature reviews and previous research led us to conclude that temperature 257 is the main driving factor for most other water parameters and is therefore the factor that would 258 supply the most valuable information (Domisch et al., 2013; Mulholland et al., 1997; Woodward 259 et al., 2010).

260 Regular long-term data on water temperature within the River Medway was not available 261 (hence, the need to establish proxies), and since we started our study in 2017 and used annuli 262 dating back to 2009, we did not have the ability to record all the necessary water temperatures 263 directly. However, water temperature for shallow rivers such as the Medway have been shown to 264 closely track air temperature, especially when averaged across seasons (Webb and Nobilis, 265 1999). Daily air temperature records from 2009 to 2016 were acquired from the UK 266 Meteorological Office for the central England district (Met Office, 2018) along with irregularly 267 recorded water temperature data for the same period from the UK Environment Agency

268 (Environment Agency, 2018) at six monitoring stations identified as being closest to the study 269 sites. Water temperature was related to air temperature using a logistic model of the form: 270

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$$T_w = \frac{\alpha}{1 + e^{\gamma(\beta - T_a)}} \tag{5}$$

272

273 where  $T_w$  is the water temperature,  $T_\alpha$  the air temperature,  $\alpha$  the estimate of the highest water 274 temperature,  $\beta$  the air temperature at the inflection point and  $\Upsilon$  the steepest slope of the logistic 275 function (see Benyahya et al., 2007). The coefficients  $\alpha$ ,  $\beta$  and  $\Upsilon$  were unknown and were 276 calculated using numerical optimization. We followed the methods of Webb et al. (2003), 277 whereby the root mean square error (RMSE) between the observed and calculated water 278 temperatures was minimised. RMSE measures the predicted values minus the observed values in 279 a quadratic sense and can be impacted by outliers (Janssen and Heuberger 1995). Numerical 280 optimization was undertaken using the 'optim' function in R (R Core Team, 2017) using the 281 default Nelder and Mead (1965) method. For each site, 80% of the water temperature data was 282 used to train the model. The remaining 20% used to examine model fit, the accuracy of the 283 coefficients and transferability, again using RMSE. All logistic models passed the RMSE 284 validation, each with a difference between validation RMSE and training RMSE within  $\pm 0.4$ . 285 This allowed daily predicted water temperatures to be calculated in the Medway, which were 286 summarized for each year and for each season: winter (December – February), spring (March – 287 May), summer (June – August) and autumn (September – November). Furthermore, recent 288 literature suggests that the incorporation of both observed and predicted values into the 289 forecasting model improves performance (Alizadeh et al., 2017). Soft-computing techniques 290 using logistic models are becoming more popular in environmental management research (e.g. 291 Olyaie, et al., 2015).

292 Given that mussels primarily grow between mid-spring and mid-autumn and cease growth 293 during winter (which creates the dark annual band), annual mussel growth measured by the 294 length of each band corresponds to the temperature of the previous year's spring, summer, and 295 autumn and the current year's winter. Therefore, spring, summer, and autumn temperatures were 296 shifted up a year to connect seasonal mean temperatures with their corresponding annual growth 297 indices. For example, a growth ring created in 2012 will demarcate the outer band edge of 298 growth and temperature information for spring 2011, summer 2011, autumn 2011, and winter 299 2011 (December) going into winter 2012 (January and February).

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301 2.5 Statistical analyses

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303 All statistical analyses were implemented using R programming language (R Core Team, 2017). 304 Analysis of variance (ANOVA) tests were used to determine if length-at-age curves, asymptotic 305 lengths  $(L_{\infty})$ , or growth constants (k) differed between sites and species. Final models were 306 created after examining Pearson plots of the residuals versus fitted values and Cook's distance. 307 Inspection of the normal Q-Q plots and histograms of the Pearson residuals did not show 308 deviations from homoscedasticity or normality. Where significant differences were identified 309 from the ANOVAs, post hoc Tukey's Honestly Significant Difference (HSD) tests were run 310 using the 'multcomp' package (Hothorn et al., 2008) to determine between group differences. 311 A linear mixed-effects model (LME) was employed to analyse the relationship between 312 standardised mussel growth indices, site, species, and antecedent seasonal temperature. Within 313 the model, seasonal temperature, site, and species (without interaction terms) were entered as 314 fixed effects and individual mussel identity as a random effect. Inspection of residual plots did 315 not reveal deviations from homoscedasticity or normality. The likelihood ratio test was used as a

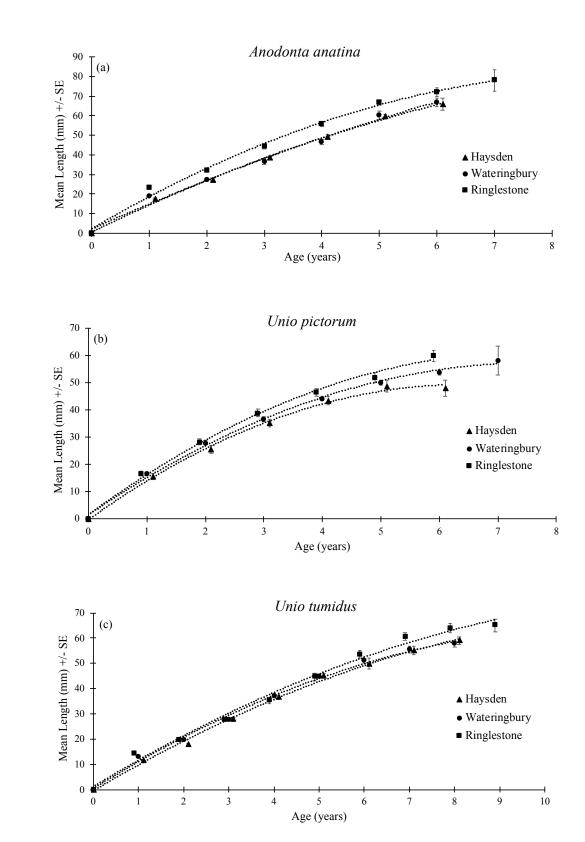
316 means to attain p-values for each variable in the linear mixed-effects model. This was conducted 317 by withdrawing each variable individually and running an ANOVA between the null model and 318 each new model excluding one variable. This allowed us to understand the effect of each variable 319 individually. The likelihood ratio test also helped us to determine which variables to include in 320 the final model through Chi-squared distributions and Akaike information criterion (AIC). The 321 LME model was created using the 'lme4' package (Bates et al., 2015) and Tukey HSD tests were 322 again run using the 'multcomp' package (Hothorn et al., 2008). All significance was assessed at 323 P< 0.05. 324 3. Results 325 326 327 3.1 Length-at-age relationships 328 329 Length-at-age curves differed significantly between sites and between species (Table 1a). 330 Overall, mussels showed significantly larger annual lengths at Ringlestone than at Haysden or 331 Wateringbury. Lengths increased moving downstream from Haysden to Wateringbury to 332 Ringlestone. A. anatina had significantly higher lengths than either U. tumidus or U. pictorum, 333 and *U. pictorum* had significantly higher lengths than *U. tumidus* (Fig. 2 and Table 1a). 334 Overall, asymptotic length  $(L_{\infty})$  differed significantly between sites with largest 335 asymptotic lengths downstream at Ringlestone and smallest lengths at Wateringbury, and 336 between species with significantly larger  $L_{\infty}$  in U. tumidus and A. anatina compared to U. 337 pictorum (Fig. 3a and Table 1b). Growth constant (k) also differed significantly between sites 338 with higher k in Ringlestone and in Wateringbury compared to in Haysden, and between species 339 with higher k in *U. pictorum* and *A. anatina* than in *U. tumidus* (Fig. 3b and Table 1c).

# 341 *3.2 Standardised growth for different years and seasons*

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343 The standardised growth indices showed that growth rates were relatively low across all sites and 344 species in 2012 and 2013, and relatively high in 2015 and 2016 (Fig. 4). There was a strong 345 correlation between annual growth patterns in A. anatina and U. pictorum, but less similarity 346 between these species and U. tumidus (Table 2), suggesting that not all species respond to 347 temperature changes in the same way. At most sites, A. anatina and U. pictorum grew well in 348 2011 whereas this was a relatively poor growth year for U. tumidus. Conversely, 2014 was a 349 relatively good growth year for U. tumidus but a below average year for A. anatina and U. 350 pictorum.

351 The linear mixed-effects model (LME) of mussel growth as a function of site (Table 3) 352 showed significantly lower growth at both Ringlestone and Wateringbury compared to at 353 Haysden. Annual growth indices were significantly higher in U. tumidus compared to U. 354 *pictorum.* Additional LME models were run separating U. *tumidus* from the other species due to 355 its unique standardised growth trends, but all species showed similar trends in growth as a 356 function of seasonal temperatures and site. Seasonal water temperature was a significant 357 predictor of mussel growth in all species (Table 3 and Fig. 5); warmer water temperatures in the 358 winter, spring, and summer were associated with increased mussel growth, while warmer 359 autumns decreased growth. Summer temperatures had the biggest positive effect on growth, 360 followed by spring, then winter, and finally autumn.



365 Fig. 2. Mussel length-at-age curves from three sites on the River Medway separated by species;

366 (a) *A. anatina*, (b) *U. pictorum*, (c) *U. tumidus*; triangles represent Haysden, circles represent

367 Wateringbury, and squares represent Ringlestone. The points are staggered for clarity. Error bars

368 represent standard error.

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370

(a) Length-at-age		ANOVA			
Variable	d.f.	Sum Sq	Mean Sq	F-value	p-value
Site	2	9168	4584	125.10	< 0.001*
Species	2	13321	6660	181.70	< 0.001*
Year	1	358598	358598	9782.30	< 0.001*
Residuals	1723	63162	37		
(b) L <sub>∞</sub>					
Site	2	10001	5000	3.59	0.030*
Species	2	33710	16855	12.11	< 0.001*
Residuals	253	352287	1392		
(c) k					
Site	2	0.135	0.0676	6.16	0.002*
Species	2	0.864	0.4321	39.34	< 0.001*
Residuals	253	2.779	0.011		
(a) Length-at-age		Tukey HSD			
Variable	Estimate	Std. Error	t-value	p-value	
Ringlestone- Haysden	3.21	0.38	8.46	<0.001*	
Wateringbury - Haysden	0.67	0.36	1.87	0.15	
Wateringbury- Ringlestone	-2.54	0.35	-7.20	<0.001*	
U. pictorum - A. anatina	-5.27	0.39	-13.47	< 0.001*	
U. tumidus- A. anatina	-12.41	0.36	-34.31	< 0.001*	
U. tumidus - U. pictorum	-7.15	0.36	-20.09	< 0.001*	
(b) L∞					
Ringlestone- Haysden	0.35	6.37	0.06	1	
Wateringbury - Haysden	-10.79	5.63	-1.92	0.14	
Wateringbury- Ringlestone	-11.14	5.79	-1.93	0.13	
U. pictorum - A. anatina	-16.95	5.90	-2.87	0.01 *	
U. tumidus- A. anatina	10.25	5.92	1.73	0.20	
U. tumidus - U. pictorum	27.20	5.58	4.88	< 0.001 *	
(c) k					
Ringlestone- Haysden	0.04	0.02	2.45	0.04*	
Wateringbury - Haysden	0.04	0.02	2.42	0.04*	
Wateringbury- Ringlestone	-0.01	0.02	-0.34	0.94	
U. pictorum - A. anatina	0.01	0.02	0.78	0.71	
U. tumidus- A. anatina	-0.12	0.02	-6.92	<0.001*	
U. tumidus - U. pictorum	-0.13	0.02	-8.17	< 0.001*	

372 Table 1 Statistical comparisons of mussel growth parameters between sites and species within 373 the River Medway; (a) length-at-age curves, (b) asymptotic length  $L_{\infty}$ , and (c) growth constant k; the analysis of variance (ANOVA) tests were run with mean lengths,  $L_{\infty}$  and k as functions of 374 375 site and species; corresponding post hoc Tukey HSD estimates represent the difference in 376 significant response variables between levels; \* indicates p < 0.05. (a)

Asymptotic Growth (mm) +/- SE 300 b ab а 200 Site Haysden Wateringbury Ringlestone 100 A. anatina U. pictorum U. tumidus (b) Species 0.6 Growth Constant k +/- SE . Site 0.4 🚍 Haysden b Wateringbury а а 0.2 A. anatina U. pictorum U. tumidus Species

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**Fig. 3.** Boxplots of the growth parameters for three *Unionidae* species found in three sites along the River Medway; (a) plot of asymptotic lengths  $L_{\infty}$  and (b) plot of growth constants k; boxplots annotated with different letters indicate groups that are statistically different (P< 0.05); boxes are based on mean, 1st and 3rd quartiles, and error bars represent 95% confidence intervals; dots mark outliers.

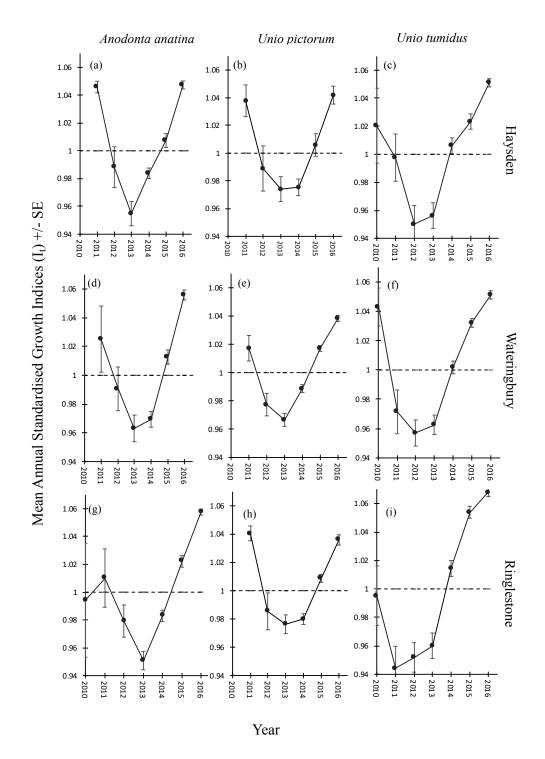


Fig. 4. Mean annual standardised growth indices for all records of each species at all three sites;
(a) *A. anatina* at Haysden, (b) *U. pictorum* at Haysden, (c) *U. tumidus* at Haysden, (d) *A. anatina*at Wateringbury, (e) *U. pictorum* at Wateringbury, (f) *U. tumidus* at Wateringbury, (g) *A. anatina*

388 at Ringlestone, (h) *U. pictorum* at Ringlestone, (i) *U. tumidus* at Ringlestone; error bars represent

389 standard error.

	UpHays	UpWater	UpRingle	AaHays	AaWater	AaRingle	UtHays	UtWater	UtRingle
UpHays	1.00								
UpWater	0.90	1.00							
UpRingle	0.99*	0.90	1.00						
AaHays	0.97*	0.92	0.97*	1.00					
AaWater	0.96*	0.94	0.93	0.94	1.00				
AaRingle	0.86	0.98*	0.84	0.88	0.95*	1.00			
UtHays	0.65	0.90	0.66	0.70	0.73	0.89	1.00		
UtWater	0.47	0.79	0.46	0.51	0.63	0.83	0.94*	1.00	
UtRingle	0.28	0.65	0.27	0.33	0.48	0.71	0.86	0.98*	1.00
2									

**Table 2** Correlation matrix of all mean standardised growth indices of mussels from the River

395 Medway; Up represents species U. pictorum, Aa represents A. anatina, Ut represents U. tumidus,

396 Hays represents site Haysden, Water represents Wateringbury, and Ringle represents

397 Ringlestone; \* indicates a significant correlation after Bonferroni correction ( $P \le 0.006$ ).

	Linear mi	xed-effe	cts model		Likelihoo	d ratio te	st	
Variable	Estimate	Std. Error	t-value	p-value	Variable	χ2	d.f.	p-value
(Intercept)	-0.79	0.09	-8.43	< 0.001*				
Summer	0.08	0.005	17.40	< 0.001*	Summer	278.76	1	< 0.001*
Spring	0.05	0.003	16.02	< 0.001*	Spring	239.08	1	< 0.001*
Winter	0.05	0.002	20.30	< 0.001*	Winter	369.05	1	< 0.001*
Autumn	-0.03	0.003	-11.65	< 0.001*	Autumn	130.50	1	< 0.001*
Ringlestone-Haysden	-0.06	0.004	-13.65	< 0.001*	Site	198.05	2	< 0.001*
Wateringbury-Haysden	-0.06	0.004	-13.72	< 0.001*				
Wateringbury- Ringlestone	-0.0001	0.003	-0.04	1.00				
U. pictorum- A. anatina	-0.0007	0.003	-0.21	0.98	Species	8.51	2	0.01*
U. tumidus- A. anatina	0.007	0.003	2.29	0.06				
U. tumidus- U. pictorum	0.007	0.003	2.57	0.03*				

Table 3 Results from linear mixed-effects model (LME) and likelihood ratio test for the effects of seasonal temperature, site, and species on annual mussel growth in the River Medway; the LME was run with standardized annual mussel growth as the response variable verses all analysed variables as fixed effects: seasonal temperatures, site, and species; estimates for continuous variables (i.e. seasonal temperatures) represent the difference in standardised growth indices as temperatures rise; estimates for discrete variables (i.e. site and species) represent the difference in standardised growth indices between two levels; the likelihood ratio test was run with standardised annual mussel growth as a function of seasonal temperatures, site, and species; \* indicates P< 0.05.

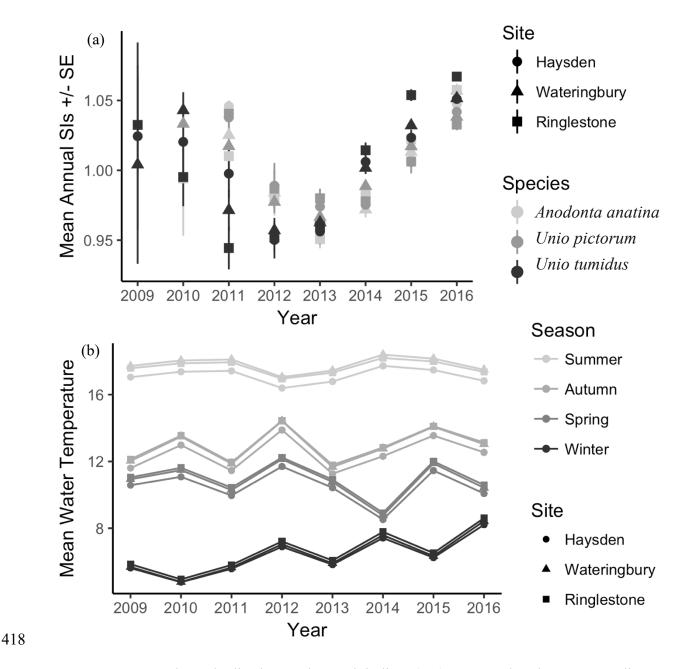


Fig. 5. Mean annual standardised mussel growth indices (SIs) compared to the corresponding mean seasonal water temperatures in the River Medway; (a) standardised growth indices for all three *Unionidae* species at all three sites over a period of eight years; (b) seasonal water temperatures for each site across the same time period; error bars represent standard error.

425 **4. Discussion** 

426

427 Our data demonstrate that growth banding of freshwater mussels can serve as a powerful 428 indicator of historic water temperatures. In the River Medway, downstream populations were 429 typically larger age-to-age. U. pictorum and A. anatina shared similar years for above average 430 and below average growth, while U. tumidus responded differently. Warmer winters, springs and 431 summers were correlated with wider growth bands and warmer autumns were correlated with 432 narrower growth bands. 433 434 4.1 Length-at-age patterns 435 436 All three species of mussel had lowest length-at-age growth trends at the upstream river site,

437 Haysden, and higher trends downstream. These patterns were further supported by our finding 438 that downstream mussels had higher asymptotic lengths, indicating that these individuals have 439 the potential to reach larger sizes. Mussels also showed larger growth constants downstream, but 440 these statistics were mainly driven by A. anatina from Ringlestone. The relationship between 441 growth constant and the asymptotic length is usually negative so that larger growth constants 442 often correlate with lower asymptotic lengths and indicate that the asymptotic length is reached 443 at a faster rate (Bauer, 1992; Aldridge, 1999). Both U. pictorum and U. tumidus had lowest 444 growth constants in Ringlestone, meaning they would reach their asymptotic lengths at a slower 445 rate. This could be because all mussels in Ringlestone had greater asymptotic lengths and would 446 therefore need more time to reach their maxima. U. tumidus had the highest asymptotic length 447 and the lowest growth constant overall, whereas U. pictorum had the lowest asymptotic length

and the highest growth constant. Thus, within these two species, a higher asymptotic growthlength was coupled with having a lower growth constant.

450 Elevated growth rates at downstream sites may be driven by higher algal productivity and 451 greater abundance of fine particulate organic matter typically found downstream (Vannote et al., 452 1980; Zieritz et al., 2010; Moss, 2011). It is also possible that faster sporadic flow rates in 453 upstream locations of lowland rivers such as the Medway may impose further restrictions on 454 shell morphology that constrain growth rates (Zieritz and Aldridge, 2009). Similar downstream 455 growth patterns have been found from rivers elsewhere (e.g. mussels in the Colorado River 456 Delta, Mexico and fish in the Warta River, Poland) and have been explained by increasing 457 salinity, reduced oxygen uptake, increasing amounts of fine-grained sediments, and increasing 458 food availability downstream (Ansell, 1968; Navarro, 1988; Przybylski, 1996; Schöne et al., 459 2003a).

460

# 461 *4.2 Temperature effects on growth*

462

Water temperature variation in the River Medway affected mussel growth in all four seasons during our study period. This relationship was positive in summer, spring, and winter, meaning mussel growth increased with rising water temperatures. Conversely, this relationship was negative in autumn, and mussel growth declined with rising water temperatures.

The increase in growth with temperature from winter to summer is most likely due to the fact that higher temperatures increase the metabolic activity of mussels and extend the annual growing period, therefore increasing the rate of shell production (Bolotov et al., 2018). Along with the direct physiological effects that water temperature has on mussel growth, there are many indirect effects of temperature which may also impact growth, reproduction, and survival.

472 Previous studies have shown strong correlations between temperature and various environmental 473 conditions which drive mussel growth, many of which increase with rising temperatures; these 474 include phytoplankton abundance (Robarts and Zohary, 1987), particulate organic carbon (POC) 475 (Page and Hubbard, 1987; Kasai et al., 2010), chlorophyll a concentration (an indicator of 476 phytoplankton levels) (Kautsky, 1982; Kasai et al., 2010; Kasai and Hirakawa, 2015; Tanabe et 477 al., 2017), excretion of dissolved organic carbon (DOC) from phytoplankton (Zlotnik and 478 Dubinsky, 1989) and nutrient availability (nitrogen, phosphorous, and silicate) (Rhee and 479 Gotham, 1981; Kasai et al., 2010), all reaching peak levels around the same time as the main 480 growing season of mussels.

481 While warmer temperatures generally resulted in positive growth, our study also 482 documented a decline in growth at higher autumnal temperatures. Similar reduction in growth of 483 unionid mussels during elevated temperatures has been reported by Mann and Glomb (1978), 484 Mann (1979), and Bayne and Worrall (1980). The negative relationship between increasing 485 autumnal temperatures and mussel growth may result from attempting to grow (driven by an 486 increased metabolism) at a time of limited food quality and quantity, resulting in an overall net 487 loss of energy and a decrease in the ability to put on weight or increase shell length. In addition 488 to the stress of high temperatures, species U. tumidus and U. pictorum already have high 489 metabolic demands during the autumn due to the energy requirement of tissue histolysis and 490 histogenesis following their summer spawning period (Mann, 1979; Aldridge, 1999). Therefore, 491 the temperature-induced additional metabolic drive during a season of re-growth and low food 492 availability may hinder overall shell production.

493 It is important to note that an increase in growth rate does not necessarily indicate a 494 healthier population. Although increases in nutrients and phytoplankton (following temperature 495 rises) promote increased growth rates in mussels, these higher growth rates can result in reduced

496 longevity, abundance, and species richness (Haag and Rypel, 2011; Ridgway et al., 2011; Fritts 497 et al., 2017). While this study demonstrates that mussel growth banding is significantly affected 498 by seasonal water temperatures, and therefore documents valuable climate change data within 499 freshwater ecosystems, understanding the full impact of temperature-accelerated growth rates on 500 mussel communities and other freshwater biota requires further investigation. Additionally, other 501 water parameters that often differ per location, such as hydrology, and biotic factors, such as 502 population density and parasite load (Zieritz & Aldridge, 2011), were not measured in this study 503 and may have had further effects on annual growth.

504

## 505 *4.3 Freshwater mussels as indicators of climate patterns*

506

Among our study species, annual summer water temperatures followed by spring water temperatures had the biggest effects on growth indices, supporting the knowledge that mussels grow mainly in the warmer months of spring and summer. The significant effects of seasonal temperatures on growth indices suggest that it is possible to use mussel growth increments to study past freshwater climate trends, particularly those of warmer seasons, and indicate that projected climate change will affect the future growth patterns of freshwater mussels.

By using growth rings to represent warmer or colder years, sclerochronology records can be created for the UK river systems to study long-term temperature fluctuations, which may help us to understand environmental changes occurring in these freshwater ecosystems. Long-lived, easily identifiable species at a single site may allow for long-term chronologies to be established, as is developed for marine bivalves. Since *U. tumidus* has the highest asymptotic lengths and takes the longest amount of time to reach these lengths, individuals of this species could contain the most rings and thus hold longer environmental records. Upon looking at individual species

520 trends, U. tumidus also shows the greatest separation in asymptotic length and growth constant 521 trends across sites suggesting that it might be the best species for studying water parameters 522 between locations. While annual mussel growth increments had significant relationships with 523 seasonal climate data, the species in this study only provided between three to seven growth 524 rings, limiting the amount of climate data we could match. It would be beneficial, therefore, to 525 create chronologies from longer-lived species, such as Anodonta cygnea (Linnaeus) (the swan 526 mussel) (Aldridge, 1999) to create more comprehensive freshwater histories. Additional use of 527 museum collections with known collection dates would allow further cross-matching of *in situ* 528 shell material which can last for decades with intact annuli (Bauer, 1992). Such studies may 529 establish robust baselines against which future changes in growth rates can be compared, and 530 thus may serve as an early warning of impending changes to mussel populations. This idea has 531 already been utilised in dendrochronology; a study performed in Iran used tree-rings and an 532 artificial neural network (ANN) to create a model that simulated groundwater levels from tree-533 ring diameter and precipitation inputs (Gholami et al., 2015). Given the ecosystem engineering 534 role of freshwater mussels, changes in mussel size or population structure could impact entire 535 freshwater communities. Documenting valuable information, their annual bands might allow us 536 to determine how whole ecosystems are responding to environmental changes.

Species-level and habitat-level effects can make a difference on interpretations of growth when using mussels as indicators of temperature change. The annual pattern of mean standardised growth indices of *A. anatina* and *U. pictorum* were highly correlated within all three sites, implying that annual effects dominated site effects. However, while these annual growth trends were relatively consistent down the river, they often opposed the growth trends of *U. tumidus*. This further emphasizes the importance of species in the study of environmental parameters since they may differ in habitat preferences and respond differently to environmental

544 change. Between our three species, A. anatina prefers sandy and gravel substrate (Bauer and 545 Wächtler, 2001), U. pictorum prefers firm, muddy substrate (Aldridge, 1999), and U. tumidus 546 prefers finely grained clay substrate (Annie et al., 2013). Zajac et al. (2016) revealed that while 547 A. anatina and U. pictorum occupied similar niches in a single lake, U. tumidus was the only 548 mussel species of the five studied that showed no relation to any of the measured habitat 549 variables. While all three of our study sites support diverse and abundant mussel communities, 550 they differ in physical composition. Haysden consisted mainly of gravel sediment, Wateringbury 551 was dominated by organic pellets and silt, and Ringlestone was characterised by a sticky clay 552 substrate. Ringlestone contained the most favourable substrate for U. tumidus, which could 553 explain why the greatest differences in U. tumidus growth compared to the other species 554 occurred at this site (years 2010, 2011, 2014, and 2015). Since U. tumidus may have greater 555 growth potential in Ringlestone (significantly higher  $L_{\infty}$  at this site), drastic temperature changes 556 might have a more noticeable effect on growth.

557 Different spawning and fertilisation times between species may also have an impact on 558 their response to changing seasonal temperatures. Aldridge (1999) found mature glochidia in the 559 outer demibranchs of both Unio species from May to June (summer), and of A. anatina from 560 December to March (winter into spring). This could account for some of the varying trends in the 561 standardised growth, with particularly slowed growth associated with periods when brooding 562 coincides with especially unfavourable temperatures for growth. For example, in 2012, low 563 summer temperatures correlated with a low growth index in U. tumidus, and in 2014, low spring 564 temperatures correlated with a low growth index in A. anatina.

565 When using annuli of mussels as indicators to past climate trends, understanding the 566 competing effects of seasonality as well as species-level and habitat-level effects can help us to 567 build better chronologies based on the specific mussels being studied. Overall, using longer-lived

568 species from downstream populations could provide the strongest data on historical spring and 569 summer temperatures in river systems. Eventually, by using established relationships between 570 growth and temperature, we could cross-reference banding patterns on older, un-dated *in situ* 571 shells to create longer temperature chronologies and reconstruct historical climates.

572 While seasonal temperatures have been shown to be significant correlates of growth in 573 this study, it is possible that additional parameters may be important and some of these may be 574 directly associated with temperature. Further studies might investigate the effects on growth of 575 phytoplankton levels, POC, DOC, water flow rates, and nutrient levels such as N, P, and silicate. 576 Future work could also include the effects of sex, additional locations, and more species 577 (preferably longer living species). Patterns in additional river systems and over longer 578 timeframes could also be considered. Ultimately, it may be possible to use mussel annuli patterns 579 to reconstruct historical climatic patterns.

580

# 581 **5. Conclusions**

582

583 Freshwater ecosystems are highly vulnerable to climate change and are experiencing global 584 habitat loss, species declines, and population shifts (Dudgeon et al., 2006; Markovic et al., 2014). 585 Current biomonitoring schemes may be undermined by climate change since they are largely 586 based on responses to organic pollution with little consideration of the increasing influence of 587 climate (Woodward et al., 2010). Presently, we have relatively few tools available for 588 understanding temperature patterns in freshwater systems, and those that exist often require 589 destructive sampling and specialist equipment. Mussels offer an attractive tool for documenting 590 water temperatures due to their clear annuli (capable of documenting large amounts of 591 environmental information), longevity, global dispersal, accessibility, and the ability to measure

592	their growth non-destructively. The established relationship between winter, spring, and summer
593	temperatures and annuli length will aid in the use of mussels to study past freshwater
594	temperatures and to understand the impacts that climate change may have on freshwater
595	ecosystems in the future.
596	
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600	
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