

1 **PPR proteins – orchestrators of organelle RNA metabolism**

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8 Pentatricopeptide repeat (PPR) proteins are important RNA regulators in chloroplasts and mitochondria,
9 aiding in RNA editing, maturation, stabilisation or intron splicing, and in transcription and translation
10 of organellar genes. In this review, we summarise all PPR proteins documented so far in plants and the
11 green alga *Chlamydomonas*. By further analysis of the known target RNAs from *Arabidopsis thaliana*
12 PPR proteins, we find that all organellar-encoded complexes are regulated by these proteins, although
13 to differing extents. In particular, the orthologous complexes of NADH dehydrogenase (Complex I) in
14 the mitochondria and NADH dehydrogenase-like (NDH) complex in the chloroplast were the most
15 regulated, with respectively 60 and 28% of all characterised *A. thaliana* PPR proteins targeting their
16 genes.

17 *Abbreviations* – CMS, Cytoplasm Male Sterility; PMT, Post-transcriptional modification; T/P/OPR,
18 tetra-, penta-, octo-tricopeptide repeat.

19

20 **The need to regulate organelle genetic expression**

21 Photosynthesis and respiration, which arose initially in prokaryotic organisms, requires the assembly of
22 many different components to form functional protein complexes (Vermaas 2001) and networks for
23 effective electron transfer (Anraku 1988). After the endosymbiotic events in which a free-living
24 cyanobacterium and an alpha-proteobacterium gave rise respectively to the chloroplasts and
25 mitochondria found in eukaryotic cells, there was migration of essential genes from the “new organelle”
26 to the nucleus. In the majority of present-day photosynthetic eukaryotes we find only around 5% of the

1 several thousand chloroplast proteins encoded in the organelle (Martin et al. 2002), and even fewer of
2 the mitochondrial proteins. Nonetheless, the genes that remain encode many of the key proteins of the
3 photosynthetic and respiratory processes. Having three different compartments synthesising organellar
4 proteins poses a major challenge to regulate precisely and efficiently the expression of the various
5 components in the proper dosage. A key question therefore is how the nucleus orchestrates the
6 production and assembly of these complex structures, in particular to achieve a fine-tuned balance of
7 the different components of the photosynthetic and respiratory machinery.

8 The organelle environment has retained many bacterial properties from the endosymbiotic events, and
9 there are many similarities between the genetic machinery of chloroplasts and mitochondria and in
10 bacteria. Nonetheless there is little regulation of transcriptional processes in organelles, and mRNA
11 levels do not correlate with protein abundance (del Campo 2009). Instead, eukaryotes have focussed
12 their efforts on developing highly complex and effective post-transcriptional factors that influence
13 photosynthetic and mitochondrial component dosages and correct assembly of the complexes by RNA
14 stabilisation, modification, editing, and translation (Rochaix 2002). One group of proteins intimately
15 involved in this system are the so-called pentatricopeptide repeat (PPR) proteins, which are RNA
16 binding and are involved in the maturation of organelle RNA in eukaryotic organisms (Barkan and Small
17 2014). These proteins are closely related to tetratricopeptide repeat (TPR) proteins involved in protein-
18 protein interactions and share functionality with the octotricopeptide repeat (OPR) proteins (Rahire et
19 al. 2012. Bohne et al. 2016).

20 T/P/OPR proteins are members of the alpha-solenoid family of proteins. They contain between 2 and 30
21 degenerate 34, 35 or 38 amino acid helical repeats respectively, which stack together to form extended
22 surfaces that recognise DNA, RNA or other proteins (Kobe and Kajava 2000) (Fig. S1). This repeat is
23 often degenerate, although it can be easily recognised by its repetition and some consensus amino acids
24 that are highly conserved (Small and Peeters 2000). Each repeat contains two antiparallel alpha helices
25 that, in the case of PPRs, are thought specifically to recognise an RNA base (Delannoy et al. 2007). In
26 higher plants such as *A. thaliana*, there are hundreds of PPR proteins encoded in the nucleus, the vast
27 majority of them targeted to mitochondria or chloroplasts (Colcombet et al. 2013). The high number of
28 PPRs in land plants been linked to the extent of post-transcriptional editing of mRNA from C to U of
29 the different organellar mRNA (Barkan and Small 2014). This is supported by the fact that there are no

1 reports of post-transcriptional editing of chloroplast or mitochondrial mRNAs in the green alga
2 *Chlamydomonas reinhardtii*, which has only 14 PPR proteins (Tourasse et al. 2013). However, *A.*
3 *thaliana* encodes just one OPR protein, RAP, which is involved in the processing of the chloroplast 16S
4 ribosomal RNA (Kleinknecht et al. 2014), whereas *C. reinhardtii* has more than forty OPR proteins
5 (Eberhard et al. 2011). This might therefore indicate different evolutionary routes for the two
6 photosynthetic organisms in the control of their organelle genes: expansion of either PPRs or OPRs.

7 Investigation of the function of PPR proteins, either individually or in systematic studies such as by
8 Cheng et al. (2016), have revealed that their effect is not limited to RNA stability or RNA editing, but
9 includes processing of polycistronic mRNAs (Meierhoff et al. 2003), translation of various mRNA with
10 which they associate (Yamazaki et al. 2004), and intron splicing of organellar group II introns
11 (Khrouchtchova et al. 2012). There have been several detailed reviews of PPR proteins and their
12 functioning over the last few years (Schmitz-Linneweber and Barkan 2007, Stern et al. 2010, Shikanai
13 and Fujii 2013, Tourasse et al. 2013, Barkan and Small 2014, Hammani et al. 2014, Manna 2015). Here,
14 we gather what is known of PPR proteins specifically in photosynthetic organisms, documenting where
15 known their organelle targeting, their mechanism of function, their target genes and what effect knocking
16 out or down that specific PPR has on the organelle and/or the host organism. We then analyse further
17 the PPR proteins in *A. thaliana* to provide a first detailed examination of their role in a single organism.

18

19 **PPR proteins are found throughout the plant kingdom**

20 PPR proteins play crucial roles in plant function and development, with more evidence gained every
21 year. Since their discovery in 2000 (Small and Peeters 2000), the realisation of the scale of their
22 representation in plant genomes has been recognised, with ~450 in *A. thaliana* and close to 600 in maize
23 (Lurin et al. 2004). The majority have been identified simply from their predicted amino acid sequences,
24 but a total of 188 PPR proteins from photosynthetic organisms have been further characterised to some
25 extent. Supplementary Dataset 1 lists all these documented PPR proteins, with a summary in Table 1.
26 More than half of the studies describe a PPR protein from *A. thaliana*, reporting a total of 41 chloroplast-
27 targeted PPR proteins and 63 mitochondrially localised. There are also reports on agriculturally relevant
28 species such as *Zea mays* (maize) or *Oryza sativa* (rice), which combined represent 25% of the total

1 (Table 1). For those from “other plant species” the majority did not characterise the PPR function directly
2 but instead linked it to an observed phenotype, most commonly cytoplasmic male sterility (CMS)
3 (Gillman et al. 2007, Uyttewaal et al. 2008, Liu et al. 2016). This is where ordinarily monoecious plants
4 are converted to females because of pollen abortion, a desirable agronomic trait for ease of production
5 of hybrid lines (reviewed in Budar et al. 2003). In order to consider the molecular details of the function
6 and target genes of PPRs, and to gain relevant insight into the overall role of PPRs more generally, we
7 decided to focus solely on the *A. thaliana* data due to the high numbers of PPRs characterised, 108 in
8 total, representing almost a quarter of all PPRs in this plant.

9

10 **Editing is the most prevalent function of *A. thaliana* PPR proteins**

11 Based on analyses of knockout lines for each of the characterised *A. thaliana* PPR proteins, it is possible
12 to determine their targets and which aspect of post-transcriptional modification (PTM) they affect (Table
13 2). The different PTMs that PPR proteins are important for are mRNA stabilisation, transcript processing,
14 intron splicing, and RNA editing, as well as effects on the translation of certain mRNAs (for a
15 comprehensive review of PTMs refer to Stern et al. 2010). Inspection of the various PTMs of
16 mitochondrial and chloroplast genes by the different 108 PPR proteins, presented in Table 2, reveals
17 some interesting observations. Firstly, all organelle encoded processes are affected by at least one PPR
18 protein. We also found that the frequency of PPRs involved in mRNA stabilisation and translation is
19 quite low in both organelles, whereas that for mRNA processing and intron splicing is more common.
20 By far and away the most frequent prevalent effect is that of RNA editing, accounting for 40 of 76 (53%)
21 of the PTMs identified in the chloroplast, and 65 of 95 (68%) in the mitochondria.

22 This high frequency of RNA editing is found in land plants and it is often correlated with the high
23 abundance of PPR proteins. The reason for so many editing PPRs has been proposed to be as a means
24 for the cell to ‘debug’ the genetic material in the organelles, which is transmitted by asexual reproduction,
25 so there is no opportunity for gene conversion during recombination (Maier et al. 2008). This repair
26 would be more necessary for the land plants due to the higher rates of UV radiation. The debugging
27 theory states that after the mutation has occurred, a PPR protein would evolve to fix it. However, mutants
28 incapable of editing the chloroplast-targeted CLB19 (Ramos-Vega et al. 2015) or the mitochondrial

1 REME2 (Bentolila et al. 2013) resulted in lethality, implying the reverse order of events. Moreover, on
2 four other occasions mutations of these PPR proteins led to impaired gametogenesis but not lethality
3 (Lu et al. 2011, Sun et al. 2018) making it impossible to select for these organellar mutations in the first
4 place. Instead, the theory of neutral evolution theory is more likely to be operating here. This theory
5 (reviewed in Barkan and Small 2014) proposes that biology tends to evolve complexity by having
6 processes in the cell that are redundant or do not have any effect in the functioning of the cell. This could
7 explain why in a third of the cases analysed in this review, 59 of 171 (34%), there is no physiological
8 effect when editing of a particular gene is impaired (Robbins et al. 2009, Brehme et al. 2015) (Table 2
9 and Supplementary Dataset 1).

10 However, from the data presented in Table 2, we can see that there is a higher proportion of “no
11 phenotype” in the mitochondria than in the chloroplast, which might be due to the greater number of
12 editing by PPR proteins that happens in this organelle. Interestingly, if we link the post-transcriptional
13 processes to the “no phenotype”, we can see that editing accounts for 15 of 16 cases in the chloroplast
14 and 34 of 41 in the mitochondria. This suggests that editing is favoured by neutral evolution probably
15 because it provides more opportunity for evolution via the degenerate code and synonymous and neutral
16 amino acid mutations. However, “no phenotype” is not restricted to editing, it is also seen for PPRs
17 involved in RNA stability (Stoll et al. 2014), processing (Fujii et al. 2016) and intron splicing (Yap et al.
18 2015) although to a significantly lesser extent. Nonetheless, it is possible that it was not possible to
19 quantify the effect of the knock-out or that these proteins work in a highly complex network where they
20 have a dose effect in the function of the target gene. For example, *A. thaliana nad4* is edited by eight
21 different PPRs (Fig. 1) and, in half of the cases, the lack of editing has no significant phenotype. This
22 could provide a safety net for possible random mutations in the PPR transcripts or in the target mRNA.
23 Despite the high correlation of editing and “no phenotype” seen in our analysis, it is worth pointing out
24 that editing can play a crucial role in plants, especially in ferns where it has been reported that editing
25 for the creation of the start codon is conserved and poses a selective advantage over having a translatable
26 sequence encoded in the genome (Li et al. 2018).

27

28 **NADH dehydrogenase: the main target?**

1 Figure 2 shows a schematic of the complexes found in the chloroplast thylakoid and mitochondrial inner
2 membranes as well as soluble proteins, together with a compilation of the numbers of PPR proteins
3 known to act on each one. Each complex has components whose transcripts are influenced by at least 2
4 PPR proteins. Surprisingly, the vast majority of the PPR proteins, a total of 78 of 108 analysed so far,
5 are linked to two similar complexes in the organelles, the mitochondrial NADH dehydrogenase
6 (Complex I) and the NADH dehydrogenase-like (NDH) complex in the chloroplast. These complexes
7 share a common L-shaped form (Shikanai 2016) and both catalyse proton translocation across the
8 membrane during electron transfer to a quinone, but their roles are quite different. The chloroplast NDH
9 is a ferredoxin-dependent plastoquinone reductase involved in PSI cyclic electron transfer and is
10 important for optimising the induction of photosynthesis in water stress. Nevertheless, the complex is
11 not necessary for growth in optimal conditions (Burrows et al. 1998) and its genes are the first to be lost
12 in nonphotosynthetic orchids (Schelkunov et al. 2015). In contrast, Complex I is responsible for
13 oxidation of NADH produced during respiration and it is the main point of entry of electrons into the
14 mitochondrial electron transport chain (mETC) providing up to 40% of the protons for the formation of
15 ATP (Fromm et al. 2016). Unlike its chloroplast counterpart, lack of this complex affects carbon
16 metabolism and photosynthesis (Fromm et al. 2016), and entails profound changes leading to curled
17 leaves and delayed reproductive and vegetative development phenotype (de Longevialle et al. 2007).
18 Surprisingly, recent studies have found that hemiparasitic mistletoe has lost the availability to produce
19 a functional Complex I (da Fonseca-Pereira et al. 2018) pointing to more similarities to the NDH
20 complex.

21 In the chloroplast, 24 of 85 (28%) of the total characterised chloroplast PTM events are involved with
22 the NDH complex, for its mitochondrial counterpart, NADH dehydrogenase, this number is increased
23 to 60 of 99 (60%) (Fig. 2). The number of PPRs affecting the NDH/NADH complexes is substantially
24 greater than for the other complexes. Similarly, in *Oryza sativa* and *Zea mays*, PPRs affecting the NDH
25 and NADH dehydrogenase are 7 of 27 (26%) and 15 of 24 (62%) respectively. These high numbers
26 could be attributed to the theory of neutral evolution: these complexes are not vital for the plant, in
27 contrast to the ATPases or the two photosystems, allowing minor deficiencies from mutations and other
28 post-transcriptional processes to be better tolerated.

29 Alignment of NDH and NADH dehydrogenase PPR proteins with each other does not reveal any

1 similarity, neither between PPRs targeted to the same organelle, nor linked to orthologous subunits (data
2 not shown). The absence of similar patterns is illustrated by consideration of how two gene orthologues
3 from NADH dehydrogenase (*nad4*) and NDH (*ndhD*) are modified, processed and protected by their
4 respective PPRs. Both transcripts are heavily edited, but the editing sites are not localised in the same
5 area (Fig. 1). Moreover, mitochondrial *nad4* also has PPR-aided intron splicing, 5' processing and 3'
6 stabilisation, whereas *ndhD* does not (Fig. 1). Thus, it is likely that the PPR and the post-transcriptional
7 modification mechanisms evolved separately for these two genes.

8 Nevertheless, it is worth noting that in the *A. thaliana* chloroplast and mitochondrion all complexes that
9 have at least one element encoded in the organelle genomes are influenced by PPR proteins. The extent
10 of involvement in the post-transcriptional processes varies depending on the complex involved, with
11 ATP synthase being the least regulated in both organelles, perhaps because of the vital function that it
12 carries out in the cell. We found similar numbers of PPRs involved in the chloroplast cytochrome b_6f
13 complex and the mitochondrial counterpart the cytochrome bc_1 complex. In the mitochondria, more than
14 half of the PPR proteins associated with the cytochrome bc_1 (Complex III) and cytochrome oxidase
15 (Complex IV) interact with genes involved in biogenesis (Tang et al. 2010) and maturation (Chateigner-
16 Boutin et al. 2013), rather than the structural subunits, demonstrating PPR proteins have acquired a more
17 complex role in the production of the functional complexes. In the chloroplast both photosystems, PSI
18 and PSII, have the same number of PPRs interacting with them (Fig. 2A). However, although the targets
19 were identified from unbiased mutant libraries, it should be pointed out that many more PPR proteins
20 remain to be characterised, so this relative proportion may change in the future.

21 It is not just photosynthetic and respiratory complexes that interact with PPRs in the organelles. Factors
22 involved with both ribosomal proteins and RNA are prevalent in both organelles. In the chloroplast PPRs
23 influence other processes such as protein degradation by proteases (Ramos-Vega et al. 2015) or carbon
24 metabolism with the regulation of *rbcL* (Luro et al. 2013) or *accD* involved in fatty acid metabolism
25 (Du et al. 2017). Involvement of the PPR proteins in mRNA translation is also more prevalent in the
26 chloroplast (Table 2). Lastly, we found that four chloroplast PPRs: DG1 (Chi et al. 2008), PDM2 (Du et
27 al. 2017), PDM3 (Zhang et al. 2017) and VAC1 (Tseng et al. 2010), influence overall gene expression
28 (nuclear encoded polymerase (NEP)- and PEP-related genes) but no such PPRs were found to have a
29 similar effect in the mitochondria. This could be explained partially by the fact that mitochondria use

1 only nucleus-encoded RNA polymerases (review in Börner et al. 2015), whereas chloroplasts have in
2 addition a plastid-encoded polymerase (PEP) (Kremnev and Strand 2014). This could mean that there
3 has been a possible co-evolution of PPRs and PEPs in the photosynthetic organisms.

4

5 **Conclusions**

6 PPR proteins are multifaceted factors that are important for essential processes in plants, including
7 photosynthesis, respiration, organelle development and gametogenesis. In our meta-analysis of
8 characterised *A. thaliana* PPR proteins we found that there is a significant preference in the involvement
9 of these proteins for the chloroplast NDH and the mitochondrial NADH dehydrogenase compared to
10 other organellar complexes probably due to their non-vital role in the cell. Furthermore, editing seems
11 to be very widespread in both organelles even though there is a preference bias in the mitochondria of
12 *A. thaliana*. In some cases, knock-out of the PPR protein has no identifiable effect on the photosynthetic
13 organism, providing strong evidence for the theory of neutral evolution in this protein family. However,
14 there are only few studies on cumulative effects of these PPRs. It could be that the “no phenotype” PPR
15 proteins are part of a much larger network that gradually improves gene translation by editing or
16 removing certain introns or processing the 5’ or 3’ end of transcripts. Moreover, even in *A. thaliana*,
17 only a proportion of the entire PPR complement has been characterised. Therefore, there is now a need
18 to push for a more comprehensive knowledge of PPR proteins: how they are organised in their own
19 interaction network, and how they interact with other proteins to achieve their final functionality. Finally,
20 one question remains unanswered in all these studies: if these PPRs are involved in PTMs in the
21 organelles, which transcription factors or external cues regulate their expression in turn? Clearly, there
22 is still much to learn about these enigmatic nucleus-encoded factors, and their orchestration of organelle
23 function.

24

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26 Partnership.

27

1 **Figure legends**

2 **Fig. 1.** Representation of the effect of the PPR proteins on two orthologous genes in *A. thaliana*, the
3 mitochondrial *nad4* and chloroplast *ndhD*. These two genes are regulated by various PPR proteins the
4 effects of which are depicted by the key. If the diamonds are coloured, it means that without the PPR
5 protein, there is a phenotype that can be seen in the mutant strain such aberrant chlorophyll levels or
6 respiratory impairment. Translation start is indicated by +1.

7 **Fig. 2.** Representation of the number of PPR proteins involved with different complexes in the
8 chloroplast (A) and mitochondria (B). The different complexes are coloured according to the proportion
9 of the total of PPR proteins involved with their plastid-encoded genes. The values used for colouring
10 can be found in the tables showing the total number of PPR proteins, which do not add up to 108 because
11 one PPR can affect multiple complexes. Carbon metabolism in A involves the genes *rbcL* and *accD*.

12

13 **Supporting information**

14 Additional supporting information may be found in the online version of this article:

15

16 **Fig. S1.** Schematic representation of the repeats found in TPRs, PPRs and OPRs.

17 **Supplementary Dataset 1.** Compilation of the information found in the papers reporting a PPR in
18 several photosynthetic organisms.

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8

Table 1. Experimentally characterised PPR proteins in different plants and *Chlamydomonas reinhardtii*, and their subcellular location. The species included in “Other species” are *Raphanus sativus*, *Sorghum bicolor*, *Hordeum vulgare*, *Cucumis sativus*, *Brassica napus*, *Gossypium hirsutum*, *Brachypodium distachyon* and *Solanum tuberosum*. The majority of which are associated with cytoplasm male sterility (CMS). These represent a fraction of the total number of likely PPR proteins encoded by each organism. Some PPR proteins are dually localised (Supplementary Dataset 1).

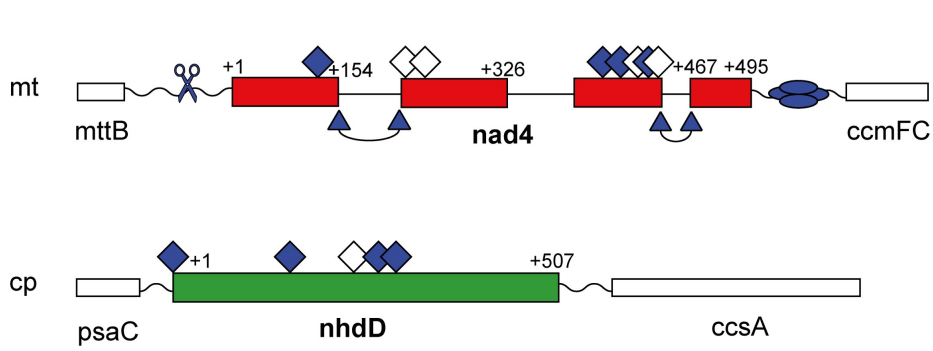
Characterised PPR proteins in photosynthetic organisms

Organism	Total	Chloroplast	Mitochondria	Nucleus
<i>Arabidopsis thaliana</i>	108	41	63	4
<i>Oryza sativa</i>	25	15	10	1
<i>Zea mays</i>	24	9	15	0
<i>Physcomitrella patens</i>	15	5	10	0
<i>Chlamydomonas reinhardtii</i>	3	3	0	0
Other plant species	18	2	15	1

Table 2. Knockout of *A. thaliana* PPR proteins enables targets to be established. All organelle encoded processes are affected by at least one PPR protein. In some cases, a single PPR protein targets several genes, and/or more than one post-transcriptional modification (PTM). This accounts for the fact that there are more events than total number of characterised proteins (see Supplementary Dataset). Functions of the PPRs have been categorised in mRNA stabilisation, translation, processing, splicing and editing (for detailed explanation of the functions please refer to Stern et al 2010). The “no phenotype editing” column highlights how many events do not result in a measurable phenotype due to lack of editing; the “no phenotype other PTMs” are all other knockouts with no phenotype.

	mRNA stabilisation	Processing	Splicing	Translation	Editing	No phenotype editing	No phenotype other PTMs
Chloroplast							
ATP synthase	0	0	0	3	1	1	0
Carbon metabolism	0	1	0	0	6	1	1
Cytochrome b6f	2	5	2	2	0	0	0
NDH	0	1	3	0	20	7	0
PEP RNA polymerase	0	1	1	0	5	2	0
Protease	0	0	0	0	1	0	0
PSI	0	1	2	0	0	0	0
PSII	0	0	0	0	2	1	0
Ribosomes	2	2	8	0	5	3	0
Total for each PTM	4	11	16	5	40	15	1
Mitochondria							
ATP synthase	0	0	1	0	2	1	1
Cytochrome c oxidase	0	4	0	0	11	6	3
Cytochrome c reductase	0	0	0	0	6	5	3
NADH dehydrogenase	4	3	15	1	36	18	2
Ribosomes	0	2	0	0	10	4	0
Total for each PTM	4	9	16	1	65	34	9

Gene orthologs in *A. thaliana*



Legend

◆ Editing

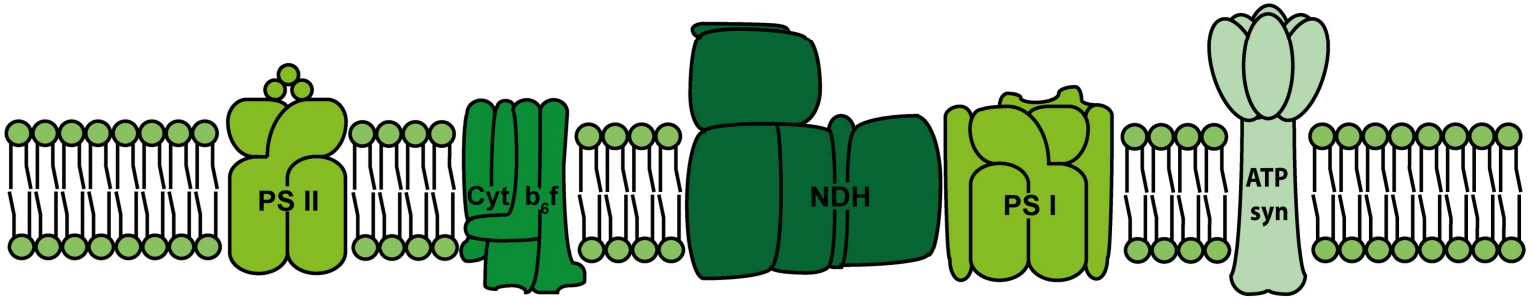
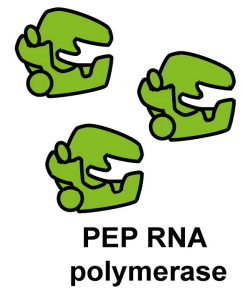
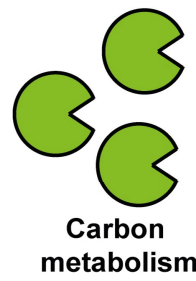
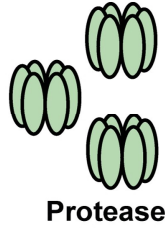
✂ Processing

● Stability

▽ Intron splicing

Colour = change phenotype
No colour = no phenotype

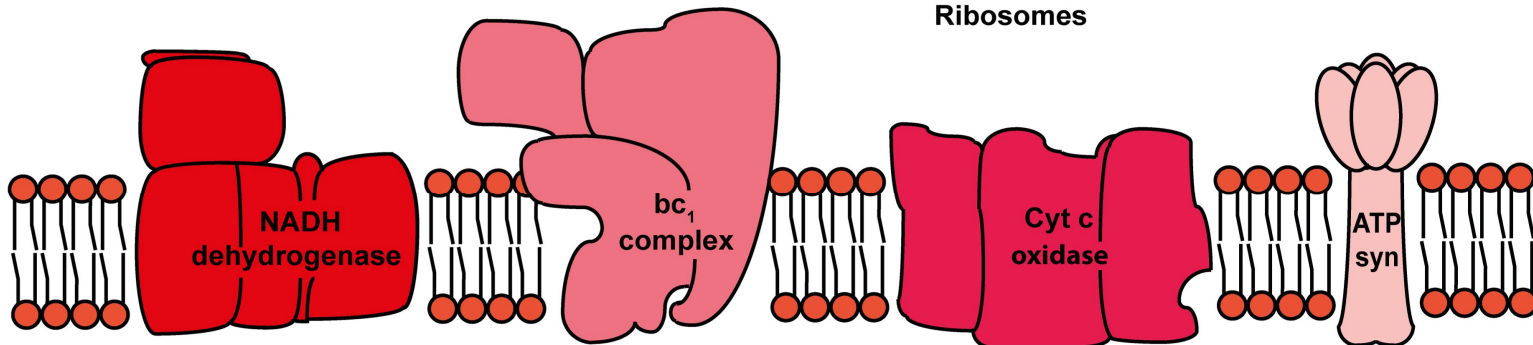
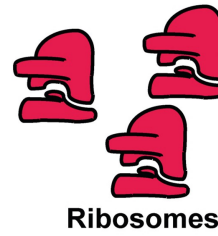
A



- High influence by PPRs
- Medium influence by PPRs
- Medium/Low influence by PPRs
- Low influence by PPRs

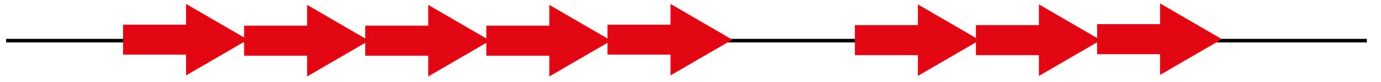
	Number of PPRs
NDH	24
Ribosomes	17
Cyt b ₆ f	14
PEP RNA Polymerase	7
Carbon metabolism	7
PS I	5
PS II	5
ATP synthase	4
Protease	2

B



- High influence by PPRs
- Medium influence by PPRs
- Medium/Low influence by PPRs
- Low influence by PPRs

	Number of PPRs
NADH dehydrogenase	60
Cyt c oxidase	15
Ribosomes	12
bc ₁ complex	9
ATP synthase	3



2-30 helical repeats composed of 34 amino acids - TPR

35 amino acids - PPR

38 amino acids - OPR