

1 **Late greenhouse gas mitigation has heterogeneous effects on European caddisfly**
2 **diversity patterns**

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27 **ABSTRACT**

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29 Little remains known about how the timing of mitigation of current greenhouse gas emissions
30 will influence freshwater biodiversity patterns. Using three general circulation models, we
31 evaluate the response of 260 broad-ranging European caddisfly species to climate conditions
32 in 2080 under two scenarios: business as usual (A2A) and mitigation (A1B). If implemented
33 effectively, recent government commitments established under COP21, to mitigate current
34 greenhouse gas emissions, would result in future climatic conditions similar to the mitigation
35 scenario we explored. Under the Cgcm circulation model, which we found to be the most
36 conservative model, suitable environmental conditions were predicted to shift 3° more to the
37 east under the mitigation scenario compared to business as usual. The majority of broad-
38 ranging European caddisfly species will benefit from mitigation, but 5 to 15% of species that
39 we evaluated will be bigger losers under the mitigation scenario compared to business as
40 usual. Under the mitigation scenario, caddisfly species that will retain less of their current
41 range and experience lower predicted range expansion are those that currently have relatively
42 limited distributions. Continental-scale assessments such as the ones that we present are
43 needed to identify species at greatest risk of range loss under changing climatic conditions.

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45 **KEYWORDS** Biogeography, Climate change, Freshwater Ecosystems, Macroinvertebrates,
46 Scenarios

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48 **INTRODUCTION**

49

50 A growing number of studies are evaluating how alternative scenarios could influence Earth's
51 biodiversity under future climate change (McMahon et al. 2011; Garcia et al. 2014; Warren et

52 al. 2018). Series of scenarios have been developed to represent how political decisions
53 influence greenhouse gas emissions and are used to evaluate the subsequent magnitude of
54 policy influences on future climate conditions. Plausible alternative scenarios to business as
55 usual have also been developed to represent the potential benefits gained from mitigating
56 greenhouse gas emissions (Nakicenovic et al. 2000). Immediate and future policy-based
57 actions to reduce greenhouse gas emissions could mitigate the strength of climatic change
58 over the next several decades and reduce biodiversity losses (Nakicenovic 2000).

59 National level commitments, established ahead of the 21st Conference of the Parties
60 (COP21), aimed to mitigate greenhouse gas emissions through 2025 or 2030 (UNFCCC 2015).
61 These commitments are predicted to result in a 3°C increase in surface temperature and
62 climate conditions similar to those depicted under IPCC's A1B scenario by the end of the
63 century (UNFCCC 2015). There remains a need to better understand the influence of such
64 mitigation measures on global and regional biodiversity patterns and processes. Climatic
65 change is also likely to have varied consequences on biodiversity patterns depending on the
66 region considered, and interactions between temperature, precipitation and species-specific
67 tolerances are likely to influence the magnitude and velocity of change in species'
68 distributions (VanDerWal et al. 2013).

69 The impact of climatic change on freshwater biodiversity patterns also remains poorly
70 understood (Balint et al. 2011; Domisch et al. 2012). Comte et al. (2013), demonstrated that
71 most of our knowledge about the impact of climate change focused on at least one salmonid
72 species, and that there is a general lack of studies on climate-change effects on threatened
73 species. The situation is similar for freshwater invertebrates, and despite a growing number of
74 studies (Domisch et al. 2012; Simaika et al. 2013; Warren et al. 2018), a broader
75 understanding of potential climate change impacts on this diverse group of species is needed.
76 Literature reviews have been used to evaluate the sensitivity of Europe's caddisfly species to

77 changing climate (Hering et al. 2009), but to our knowledge only Domisch et al. (2012) have
78 quantified the influence of changing climate on habitat suitability for aquatic
79 macroinvertebrate in Europe.

80 We explored the potential benefits of mitigating business as usual greenhouse gas
81 emissions for European freshwater biodiversity; focusing on a group of well sampled and
82 broader ranging European caddisfly species. Caddisflies (Trichoptera) constitute a group of
83 interest when it comes to assessing climate change impacts on freshwater biodiversity because
84 they are diverse, and generally broad-ranging, with more than 1700 species in Europe (Graff
85 et al. 2008). We considered current climate, and potential future climate scenarios for 2080
86 using IPCC scenarios A2A and A1B. We chose these two scenarios because one predicts
87 business as usual emissions (A2A) and the other a leveling off in emissions by 2050 because
88 of mitigation efforts (A1B). We focused our analysis on 260 well-sampled, and relatively
89 broad-ranging, European caddisfly species, and used Iterative Ensemble Models (Lauzeral et
90 al. 2012, 2015) to evaluate how temperature and precipitation changes under these two
91 scenarios and three general circulation models (Cgcm, Hadcm and CSIRO) could modify
92 individual species' current distributions as well as European-wide species diversity patterns
93 by the end of the 21st century. It is predicted that wide-ranging species will extend their range
94 and that more specialized, range-restricted species will see declines in suitable range areas
95 under future climate conditions (Hering et al. 2009; Domisch et al. 2012). With this in mind,
96 we anticipated that the different climate scenarios we explored would result in varied
97 combinations of both *winner*s and *loser*s and generate contrasted changes in caddisfly species
98 richness across different areas of Europe.

99

100 **METHODS**

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102 **Species occurrence data**

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104 We extracted caddisfly species occurrence records from a European-wide database (Schmidt-
105 Kloiber et al. 2017). To our knowledge this database is the most detailed and comprehensive
106 database for European Trichoptera. Our assessment started with 322 caddisfly species which
107 had more than 100 records, and a total of 395,513 records in the database. We removed
108 species living in ponds or wetlands from the dataset because air temperature is a poor proxy
109 for the influence of temperature on species dependent on these deeper water habitats (Caissie
110 2006). Further, only the species with more than 100 occurrence records in the database were
111 considered in our subsequent analysis to ensure more reliable predictions. We also removed
112 individual species occurrence records from before the year 1950, and only retained records up
113 to the year 2000, and did this to ensure that records aligned with the time period of current
114 climatic data considered (1950-2000). We also ensured that individual records retained for
115 modelling had an accuracy of at least 1 km to reduce spatial error.

116 Our final database contained 260 caddisfly species, whose current distribution areas
117 varied from 3 to 42% of Europe's total area (mean = 2.4 ± 0.8 million km² SD; range size =
118 0.3 – 4.2 million km² SD). The 260 modeled 'current' distribution ranges also fit in each of
119 the species' known distributions in European ecoregions; validated by two Trichoptera
120 experts (A. Schmidt Kloiber and W. Graf).

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122 **Climate variables**

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124 We accessed global-scale spatial climate data for both current (1950-2000) and future (2080),
125 from WorldClim (<http://www.worldclim.org>). All spatial climate data were 30 arc-seconds,
126 approximately 1 km x 1 km, spatial resolution. Based on current conditions, we considered

127 only those ecologically relevant climatic variables and removed correlated variables, based on
128 Pearson's correlation coefficients. When two climatic variables were strongly correlated
129 ($r > 0.7$), we retained the most ecologically relevant variable, resulting in six climatic variables
130 included for all subsequent species distribution modelling: 1) temperature seasonality; 2)
131 maximum temperature of the warmest month; 3) minimum temperature of coldest month; 4)
132 precipitation of wettest month; 5) precipitation of driest month and 6) precipitation
133 seasonality. We assumed air temperature as a substitute for water temperatures, because
134 European-wide data on projected changes in water temperature are not available. Further,
135 caddisflies depend on both aquatic (larval) and terrestrial (adult) environments, and the
136 potential for caddisfly sensitivity to changes in temperature have been previously
137 demonstrated by Hering et al. (2009). Moreover, using air temperature as a substitute for
138 water temperature is generally acceptable for large scale studies that cover a certain extent of
139 climate, because air and water temperature in streams and rivers are strongly positively
140 correlated (Caissie et al. 2006). For 2080, we considered these six climatic variables under
141 A1B and A2A scenarios of anthropogenic activity from the 4th Assessment Report of the
142 Intergovernmental Panel on Climate Change (IPCC 2007), and Cgcm (Canadian Centre for
143 Climate Modelling and Analysis), Hadcm (Hadley Centre for Climate Prediction and
144 Research's General Circulation Model) and CSIRO (Commonwealth Scientific and Industrial
145 Research Organization) GCMs. The three GCMs we selected have been previously used to
146 evaluate the impact of climate change on freshwater organisms in Europe (Domisch et al.
147 2012; Buisson et al. 2009). We refrained from averaging across GCMs because the goal of
148 our study was to demonstrate variability between models, and averaging across GCMs can
149 smooth patterns and limit our ability to fully assess alternative scenario influences on climate
150 suitability, and ultimately on species patterns.

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152 **Species distribution models**

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154 We modelled current and future distributions for 260 caddisfly species using an ensemble
155 modeling framework developed by Lauzeral et al. (2015). Ensemble models are known to be
156 more efficient than single models for predicting species distributions (Marmion et al. 2009),
157 but they need reliable presence and absence data (Lobo et al. 2010). Presence-only models,
158 such as Maxent provide an alternative to the lack of reliable absences (Phillips et al. 2006), but
159 such models are known to overestimate the range of species (Yackulic et al. 2013; Ward et al.
160 2009). Iterative Ensemble Models (IEM) offer a way to deal with uncertain absences in
161 ensemble models and have been shown to provide reliable predictions of species distributions
162 (Lauzeral et al. 2012). IEM is an improvement of the ensemble models that simultaneously
163 apply a wide range of statistical methods to produce a consensual response that synthesizes
164 individual model outputs. The iterative step of the IEM enhances models reliability by
165 correcting for incompleteness in species distribution databases (Lauzeral et al. 2012). We
166 determined that IEMs were well suited for our data, where false absences (the species has not
167 been detected, but is present) are likely to be present (Lobo et al. 2010). Indeed, despite more
168 than a century of intensive surveys carried out across Europe (Schmidt-Kloiber et al. 2017),
169 the absence of a given caddisfly species in a European region remains uncertain.

170 Although criticized for not incorporating ecological processes (Evans et al. 2012),
171 IEMs are considered the most efficient method for predicting species distributions when
172 species' ecological traits are poorly understood or not available (Araújo et al. 2007). Our IEM
173 used six predictive modelling methods belonging to three commonly used correlative species
174 distribution modelling techniques. We used two regression techniques: generalized linear
175 models (GLM) and generalized additive models (GAM); two machine learning techniques:
176 random forest (RF) and generalized boosted regression models (GBM); and two classification
177 techniques, linear discriminant analysis (LDA) classification and regression trees (CART).

178 Raw variables were used without prior transformation in all models except for GLM and LDA
179 models where variables were squared to deal with nonlinearity, and in the GAM model, where
180 variables were spline transformed ($df = 4$). We generated 1000 trees in our GBM models and
181 300 trees in our RF models, and for both of these modelling methods, the number of
182 predictors randomly selected at each node was the square root of the total number of climate
183 variables ($n = 6$).

184 The six model outputs from IEM were averaged to provide a per-pixel relative
185 suitability for each species, which was then converted into presence or absence by
186 maximizing the True Skill Statistic (TSS). The calibration data set was randomly selected as
187 70% of the data matrix. This process was repeated 10 times to measure the sensitivity of our
188 predictions to the calibration dataset, giving rise to 10 presence-absence values per 1 km^2
189 pixel. The species was considered as present if predicted in at least 5 out of the 10 repeats.
190 Model quality was quantified using TSS, accounting for model sensitivity and specificity. All
191 statistical analyses and modelling were carried out in R Statistical Software Version 3.1
192 (<http://www.R-project.org/>).

193 Our models predicted current and potential future range distributions for 260 European
194 caddisfly species. Using these predictions, we represented future (2080) species ranges
195 considering both no dispersal and dispersal scenarios for each GCM. Under no dispersal
196 scenarios, species ranges were constrained to their current distribution ranges, and under
197 dispersal scenarios predicted species ranges extended outside their existing distribution range.

198

199 **RESULTS**

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201 Our models showed good performance for each of the 260 caddisfly species ($TSS > 0.6$), with
202 a mean $TSS = 0.83 (\pm 0.06 \text{ SD})$ and low variability in model performance across species.

203 Based on the 260 caddisfly species considered in our analysis, we found that species richness
204 peaks in central Europe (Fig. 1a). Under a non-dispersal scenario, species richness would
205 decline throughout Europe regardless of the scenario (Fig. 1b) or the circulation model
206 considered (Fig. 1b, S1b and S2b). In addition, under a non-dispersal scenario, mitigation
207 primarily benefits species in areas of Central and Eastern Europe, whereas under mitigation,
208 Southern Europe (e.g. areas of Italy and Greece; Fig. 1b) loses more species.

209 Similar to the non-dispersal scenario, when allowing for species' dispersal, areas of
210 Southern Europe (Italy and Greece; Fig. 1c) lose more species under the mitigation scenario.
211 Allowing species dispersal results in species richness shifting in both a north and east
212 direction by 2080, regardless of the circulation model considered (Fig. 1c, S1c and S2c).
213 Using Cgcm GCM, which provides the most conservative shifts in species distributions, the
214 northward shifts in the centroid of caddisfly species' distributions are $4.87 \pm 1.03^\circ \text{SD}$ under
215 business as usual and $4.93 \pm 1.34^\circ \text{SD}$ under the mitigation scenario, with no significant
216 difference between scenarios (t-test, $p > 0.23$). In contrast, the magnitude of eastern shift in
217 species richness significantly differs between scenarios (t-test, $p < 0.01$), and surprisingly, the
218 centroid of richness shifts three degrees further to the east under the mitigation scenario
219 ($4.47 \pm 2.56^\circ \text{SD}$) compared to business as usual ($1.33 \pm 2.24^\circ \text{SD}$) (Fig. 1c).

220 The Cgcm GCM predicts increased suitability, with caddisfly species richness
221 increasing across 64% of the European landscape under the mitigation scenario compared to
222 under business as usual (Fig. 1c). Our predictions also show that most of the European
223 landscape (55% of total area) is predicted to experience higher species loss under business as
224 usual (Fig. 1d). However, under the mitigation scenario, 16% of Europe has more pronounced
225 species loss and 40% of Europe experiences similar loss under both mitigation and business
226 as usual (Fig. 1d). Areas predicted to experience higher species loss under mitigation are in
227 northern Europe as well as parts of Italy and Greece (Fig. 1d). Under mitigation, Northern and

228 Eastern Europe as well as some parts of Spain and Portugal gain higher numbers of species
229 than under business as usual (Fig. 1e). We found similar changes in geographical patterns
230 across Europe under the mitigation scenario for the two other GCMs used (Fig. S1d,e and
231 S2d,e).

232 We further explored which climatic variables explain predicted differences in species
233 richness patterns between the two future scenarios. Under Cgcm GCM, the difference
234 between the two scenarios in predicted loss or gain of species (measured per pixel) is mainly
235 due to two climate variables (Fig. 2 and S3). Predicted differences in species loss are a
236 consequence of higher maximum temperature of the warmest month predicted across southern
237 Europe under the mitigation scenario (Fig. 2a). Predicted differences in species-gain (per
238 pixel) are a consequence of higher precipitation predicted in the driest month under mitigation
239 (Fig. 2b).

240 At the individual species level, species show heterogeneous responses in distribution
241 according to the GCM considered. On average, species retain 41 to 71% of their current
242 distribution and tend to expand beyond their current distribution by 42 to 97% (Fig. 3, S4 and
243 S5). The effect of mitigating greenhouse gas emissions is also predicted to have
244 heterogeneous effects across GCMs, with Cgcm maintaining highest proportion of species'
245 current distributions (Fig. 3, S4 and S5). On average, under Cgcm, species retain 5% more of
246 their current distribution under a mitigation compared to business as usual scenario, but also
247 expand their distribution by the year 2080 (23% of their current range on average) under the
248 mitigation scenario (Fig. 3).

249 Roughly 20% of species (50 species) in our study are predicted to be losers, either
250 retaining less of their current distribution (37 species) or expanding less into new areas (28
251 species) under the mitigation scenario compared to business as usual (Fig. 4). Species with
252 relatively limited distributions in mountainous areas, parts of the Mediterranean and extreme

253 north Europe, are predicted to be at greater risk of distribution loss under mitigation, using
254 Cgcm and CSIRO GCMs (Fig. 4 and S6). For instance, under mitigation, the majority of
255 predicted ‘losers’ tend to be species that currently have relatively limited distributions (18%
256 of total European area based on the 50 ‘loser’ species; Fig. 4). Hadcm GCM predicts reduced
257 benefit to species from mitigation, and losers are more widely distributed across Europe (Fig.
258 S7).

259

260 **DISCUSSION**

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262 Our findings suggest that even late mitigation of greenhouse gas emissions, as
263 depicted under Cgcm GCM, will maximize retention of current European distribution areas
264 for most broader ranging caddisfly species compared to maintaining business as usual.
265 However, we also found that a mitigation scenario will have heterogeneous effects on species
266 distributions depending both on the species considered and global circulation conditions. The
267 ecological consequences of heterogeneous effects on species distributions remain poorly
268 understood, and to our knowledge no studies have evaluated the potential implications of
269 possible changes in species composition on food-web dynamics or the maintenance of
270 important ecological processes in Europe’s freshwater ecosystems. This remains an open area
271 for research and would provide improved understanding about how climate change could
272 influence freshwater ecological processes at regional scales.

273 Mitigation efforts, as depicted under A1B scenario and Cgcm GCM, are predicted to
274 put 14% of the caddisfly species we considered in our study at greater risk of losing
275 distributional area than under business as usual. Our results suggest that mitigating climate
276 change by 2050 will not linearly lower changes or impacts to caddisfly species – some of the
277 broader ranging species considered in our analysis stand to lose regardless of these efforts.

278 Indeed, even though climatic conditions will be globally improved under mitigation, in a few
279 places, climate change is predicted to be more pronounced under mitigation than under
280 business as usual. For instance, we found that under the mitigation scenario we considered
281 that temperature is predicted to reach higher values in Western and Southern Spain, Italy and
282 Greece. Despite heterogeneities in our model responses according to the GCM considered, all
283 the models showed that species currently inhabiting Southern France, Italy and the Balkans
284 will benefit the least from efforts to mitigate greenhouse gases by 2050. These areas,
285 Southern France, Italy, and the Balkans also host high caddisfly species endemism – species
286 that Hering et al. (2009) suggest will have limited ability to adapt to changing climate.

287 When considering both a no-dispersal and a dispersal scenario we found a decline in
288 species richness in Southern Europe. However, we found that if species were able to freely
289 disperse then species richness would increase in both Eastern and Northern Europe by 2080.
290 Caddisflies are relatively poor dispersers compared to other flying macroinvertebrates like
291 dragonflies, but large ranging caddisfly species, like those considered in our study, are known
292 to be better dispersers compared to species with more restricted ranges (Hering et al. 2009).
293 We were unable to account for individual species dispersal abilities because this information
294 is known for so few species. It is possible that explicit consideration of species' dispersal
295 abilities, as opposed to unlimited dispersal, would restrict the potential expansion of species
296 into new regions and identify even greater losses for species. In turn, our dispersal scenarios
297 offer a conservative view, and are likely to exceed most species actual dispersal abilities.
298 Despite this limitation it is important to evaluate scenarios that consider potential dispersal
299 even though specific dispersal abilities remain poorly understood (Chen et al. 2011; Heino et
300 al. 2009). In addition to our limited ability to account for species' dispersal, we were not able
301 to account for other human disturbances or hydrological conditions into the future. As noted
302 above this means that our predictions likely offer an optimistic view of how caddisfly species

303 distributions in Europe are likely to be affected under climate change and overcoming the
304 limitations of our study would likely identify additional negative impacts of climate change
305 on habitat availability and possibly even greater predicted loss of species.

306 Our modelling approach also required us to focus on relatively broad-ranging, data
307 rich, species, meaning our results could overlook additional species loss from mountain tops
308 or small localized areas where species with relatively restricted distributions occur. Therefore,
309 overall patterns observed in our study are likely to be further emphasized by including species
310 with narrower distributions that are also considered to be more sensitive to climate change,
311 such as those inhabiting mountains or mountainous areas. Given the high likelihood of these
312 climatic conditions in future, proactive strategies are needed to identify species that will
313 potentially not benefit from climate change mitigation efforts and to identify strategies (e.g.,
314 species translocations; mitigation of other human-disturbances) to mitigate impacts. There
315 could be great benefit in more explicitly examining both no dispersal and dispersal scenarios
316 in relation to species sensitivity to climate change – characteristics outlined by Hering et al.
317 (2009). For example, Hering et al. (2009) demonstrate the status quo of species vulnerability
318 to climate change, but coupling data generated from their research with the models generated
319 here, would allow for a more dynamic and proactive approach. Coupling these methods could
320 help us to determine how changes in species distributions further influences their sensitivity to
321 climate change, and to also identify regions where sensitive species could be supported in
322 future.

323

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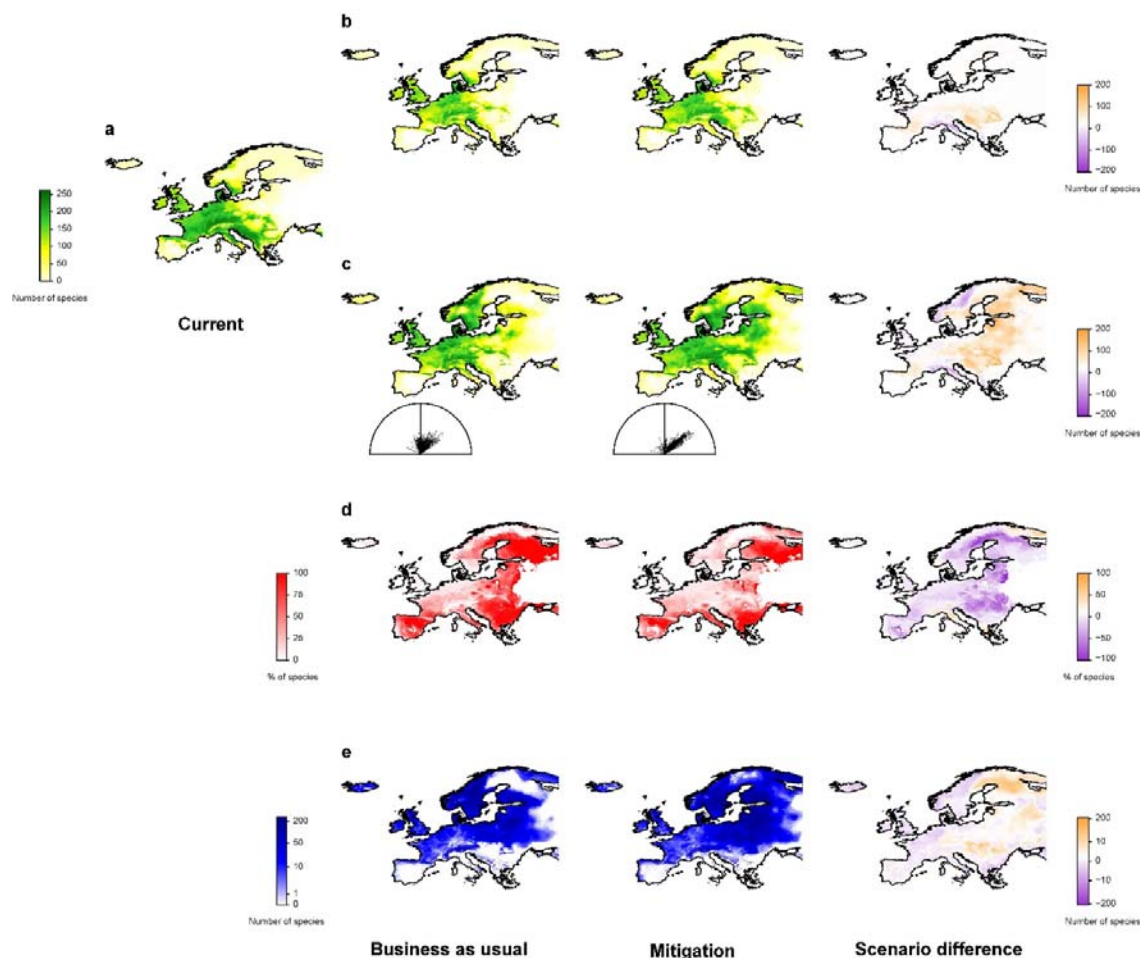
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409 Figures



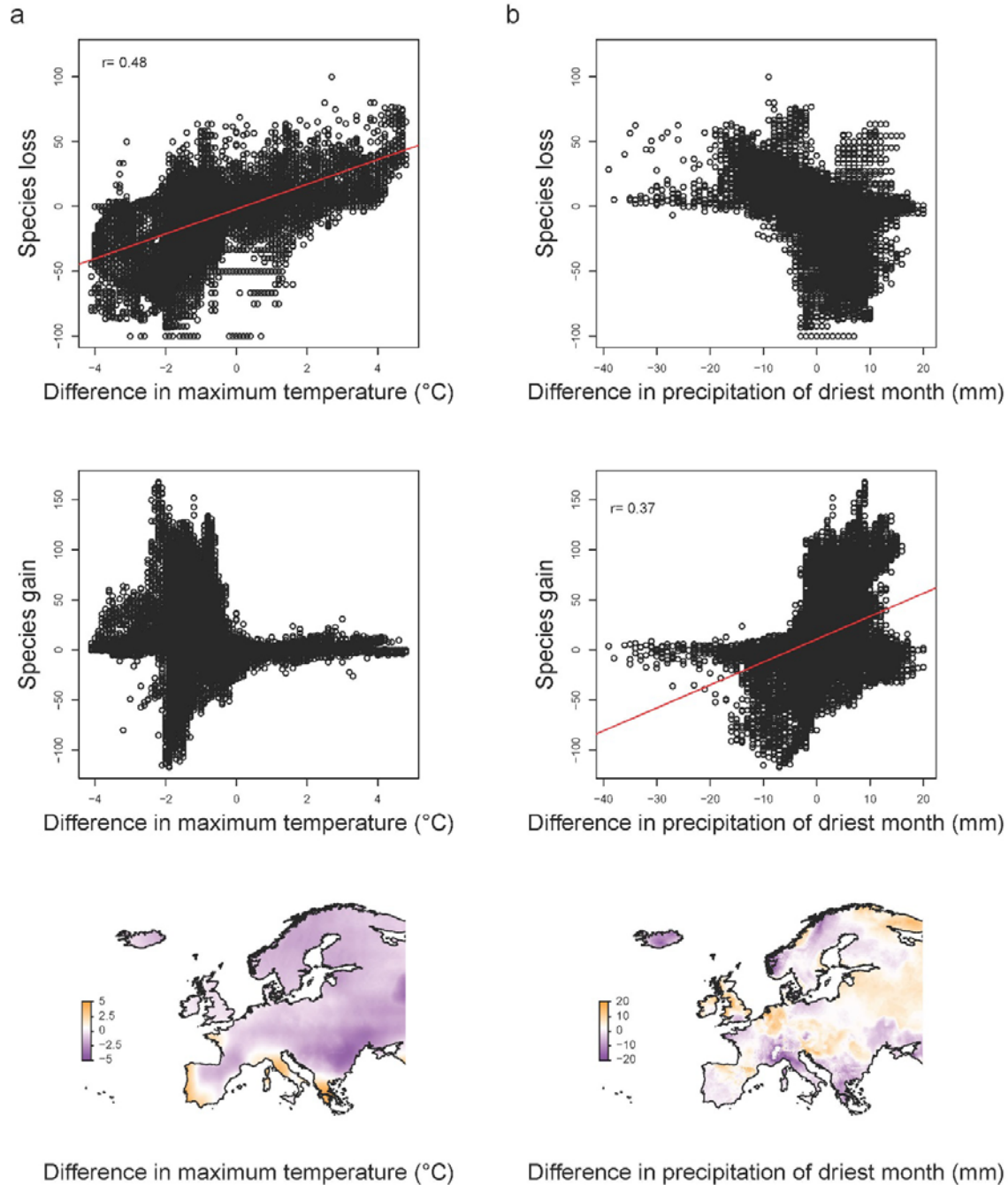
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411 **Figure 1.** Current and predicted biodiversity patterns for 260 European Trichoptera species.
412 Biodiversity patterns for: (a) current species richness and using four metrics to assess future
413 patterns: (b) species richness under no dispersal, (c) species richness under dispersal, (d) the
414 percentage of species lost per pixel and (e) the number of species gained per pixel compared
415 to current distributions. The four metrics are depicted based on business as usual (A2A) and
416 mitigation (A1B) scenarios, using Cgcm General Circulation Model. The difference in the
417 number or percentage of species per pixel between mitigation and business as usual scenarios

418 is on the right panel for b, c and d. Higher values under the mitigation scenario are positive
419 values. The half circles represent the strength and directionality of movement in the centroid
420 of each species' distribution under the business as usual and mitigation scenarios,
421 respectively. All images were created using R Statistical Software Version 3.1 ([http://www.R-](http://www.R-project.org)
422 [project.org](http://www.R-project.org)).
423

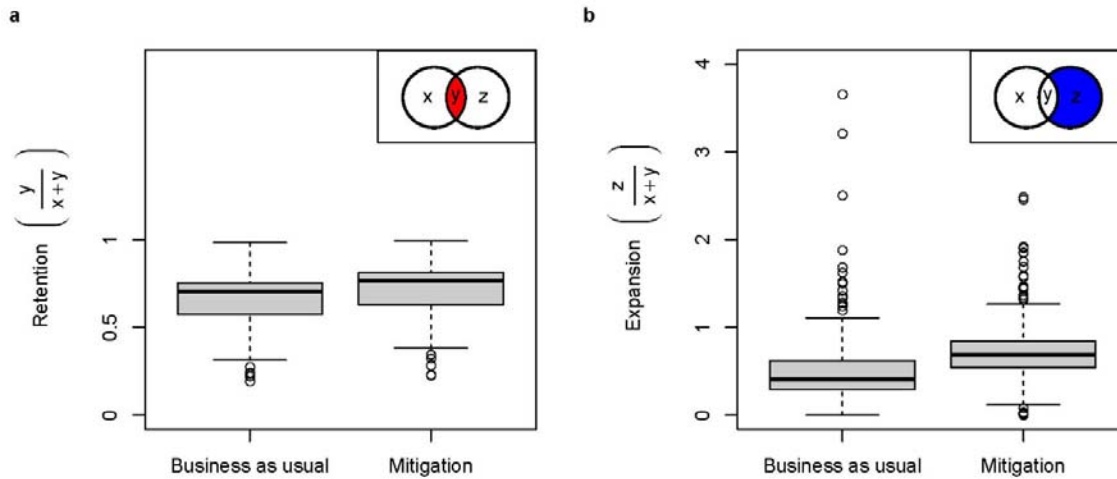
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427 **Figure 2.** Relationships between future biodiversity patterns and climate variables.
 428 Scatterplots of the relationship between the differences in number of species predicted to be
 429 lost or gained (per pixel) and difference in (a) maximum temperature and (b) precipitation of
 430 driest month under mitigation (A1B) compared to business as usual (A2A) scenario, using
 431 Cgcm general circulation model. The regression line is only shown when $r > 0.30$. The
 432 Pearson's correlation coefficient is given on the top left of each scatterplot. The map insets
 433 depict the geographical differences in (a) maximum temperature and (b) precipitation of the
 434 driest month between mitigation and business as usual scenarios, where higher values under
 435 the mitigation scenario are positive values and depicted in shades of orange. All images were
 436 created using R Statistical Software Version 3.1 (<http://www.R-project.org>).



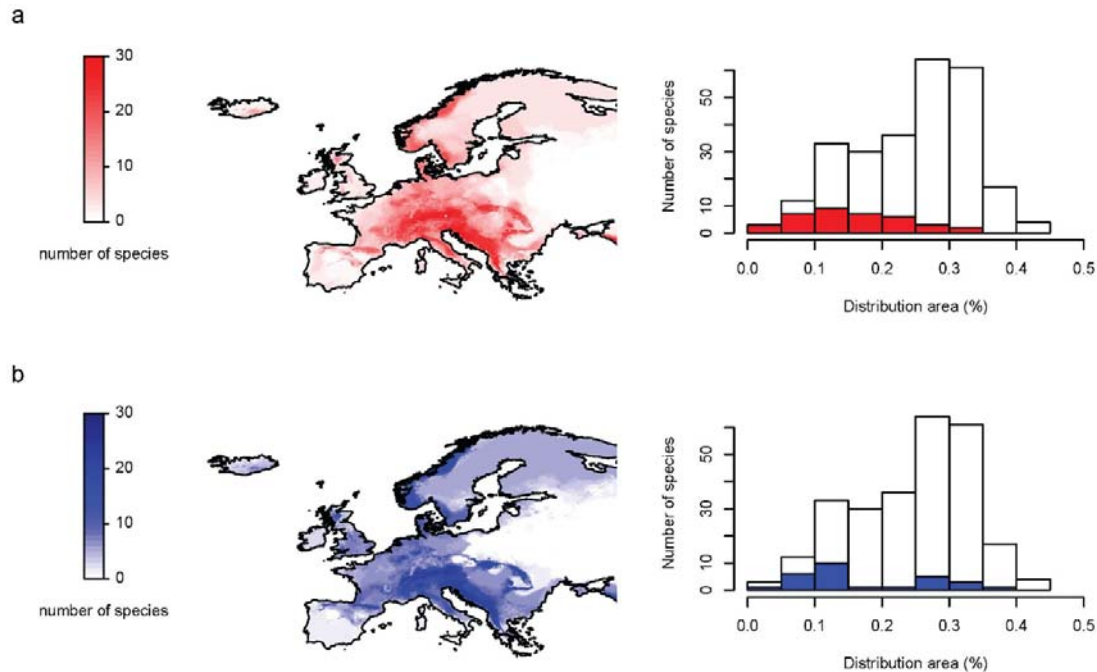
437

438 **Figure 3.** Retention and expansion of species' distributions under future scenarios. Boxplots
 439 represent the retention and expansion of species' distributions between current and business
 440 as usual (A2A) and mitigation (A1B) scenarios, using Cgcm general circulation model. The
 441 inset of each boxplot illustrates hypothetical current (left circle) and future (right circle)
 442 distributions of a species, where (x) is the current area that could be lost, (y) is the current
 443 area retained in future and (z) is the new area predicted in future. Retention is the proportion
 444 of a species' current geographical distribution area which persists under future climate
 445 conditions. Expansion is the predicted distribution area outside of a species' current
 446 distribution area divided by current predicted distribution area. An expansion value greater than one
 447 means a species is predicted to colonize a larger area than its current distribution area. All
 448 images were created using R Statistical Software Version 3.1 (<http://www.R-project.org>).

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453 **Figure 4.** Metrics of species' that will not benefit from mitigation. Maps represent the current
454 richness (per pixel) of those caddisfly species ($n = 50$) which are predicted to be bigger losers
455 under mitigation (A1B) than business as usual (A2A), using the Cgcm General Circulation
456 Model. Losers are either species predicted to have (a) less retention of their current
457 distribution area or (b) less expansion of distribution area under mitigation compared to
458 business as usual. Histograms of current distribution area occupied by 260 caddisfly species
459 (white bars) and species which are predicted to have (a) less retention of their current
460 distribution area (in red) or (b) less expansion of distribution area (in blue) under mitigation
461 compared to business as usual. Distribution area is represented as the proportional area of
462 Europe that a species currently occupies. All images were created using R Statistical Software
463 Version 3.1 (<http://www.R-project.org>).
464