

1 **ESTIMATION OF PM₁₀-BOUND MANGANESE CONCENTRATION NEAR A**
2 **FERROMANGANESE ALLOY PLANT BY ATMOSPHERIC DISPERSION**
3 **MODELLING**

4

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16 **ABSTRACT**

17 Numerous studies have associated air manganese (Mn) exposure with negative health
18 effects, primarily neurotoxic disorders. This work presents a description of the
19 emission and dispersion of PM₁₀-bound Mn from industrial sources in the Santander
20 bay area, Northern Spain. A detailed day-specific emission estimation was made and
21 assessed for the main Mn source, a manganese alloy production plant under 8
22 different scenarios. Dispersion analysis of PM₁₀-bound Mn was performed using the
23 CALPUFF model. The model was validated from an observation dataset including 101
24 daily samples from four sites located in the vicinities of the manganese alloy plant.
25 Model results were in reasonable agreement with observations ($r = 0.37$; NMSE = 2.08;
26 Fractional Bias = 0.44 and Modelled/Observed ratio = 1.57). Simulated and observed
27 Mn concentrations in the study area were much higher than the guidelines proposed
28 by the World Health Organization (WHO) and the U.S. Environmental Protection
29 Agency (USEPA), highlighting the need to reduce the Mn concentrations in the area.

30 Based on the analysis of the Mn source contribution from the ferromanganese alloy
31 plant, some preventive and corrective measures are discussed at the end of the paper.
32 This work shows that CALPUFF dispersion model can be used to predict PM₁₀-bound
33 Mn concentrations with reasonable accuracy in the vicinities of industrial facilities
34 allowing the exposure assessment of the nearby population, which can be used in
35 future epidemiological studies.

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37 **Keywords**

38 Mn sources; ferromanganese alloy plant; CALPUFF; industrial emissions; air quality
39 modelling

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42 **Highlights**

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44 A detailed Mn emission inventory for a ferromanganese alloy plant was developed

45 PM₁₀-bound Mn concentrations were modelled using CALPUFF

46 Based on model performance metrics, a reasonable prediction of Mn concentrations is

47 obtained

48 Modelled and observed Mn concentrations regularly exceed the USEPA RfC in the

49 study area

50 Control of fugitive emissions may substantially reduce Mn concentrations

51

52

53 **1. Introduction**

54 Manganese (Mn) is an essential trace element required for normal growth,
55 development and cellular homeostasis (Erikson *et al.*, 2005). In humans and animals,
56 Mn is required as a cofactor of several enzymes necessary for neuronal and glial cell
57 function, as well as enzymes involved in neurotransmitter synthesis and metabolism
58 (Erikson and Aschner, 2003). Nonetheless, excessive and prolonged inhalation of Mn
59 particles results in its accumulation in selected brain regions that causes central
60 nervous system dysfunctions and an extrapyramidal motor disorder, referred to as
61 manganism (Park, 2013; Kwakye *et al.*, 2015). It is well known that occupational
62 exposure to Mn can affect neuropsychological function (Finley, 2004). Several authors
63 have pointed that the most hazardous route of Mn exposure is airborne. Inhaled Mn
64 may enter the central nervous system (CNS) directly and be absorbed very effectively
65 (Andersen *et al.*, 1999; Krachler *et al.*, 1999; Mergler *et al.*, 1999).

66 Studies regarding environmental air Mn exposure effects have been done, especially in
67 susceptible groups like children (Crossgrove and Zheng, 2004; Riojas-Rodríguez *et al.*,
68 2010; Rodríguez-Barranco *et al.*, 2013; Carvalho *et al.*, 2014). Such studies suggest that
69 environmental air Mn exposure may also be associated with neurotoxic disorders,
70 including motor and cognitive deficits (Haynes *et al.*, 2010; Lucchini *et al.*, 2012; Roels
71 *et al.*, 2012; Carvalho *et al.*, 2014; Chen *et al.*, 2016b; Menezes-Filho *et al.*, 2016). Due
72 to the evidence of negative health effects as a consequence of environmental Mn
73 overexposure, the World Health Organization (WHO) has proposed an annual average
74 guideline value of 150 ng/m³ (WHO, 2000). The U.S. Environmental Protection Agency
75 (USEPA) has also proposed a daily reference concentration (RfC) of 50 ng/m³ in the
76 respirable fraction (US EPA, 1993). However, there is no specific European regulation
77 that establishes airborne limit values for Mn.

78 Globally, 90 % of atmospheric Mn emissions are derived from natural sources (dust,
79 erosion, volcanoes, sea-salt spray, etc.); however, in industrialized regions,
80 anthropogenic emissions of Mn may explain the majority of atmospheric Mn inputs
81 (Nriagu, 1989). High concentrations of Mn in air have been commonly associated with
82 an industrial origin, such as Mn alloy and steel production, battery manufacturing and

83 Mn ore mining (Crossgrove and Zheng, 2004). High Mn concentrations in air have been
84 widely reported in areas close to ferromanganese alloy plants, pointing out that even
85 when PM₁₀ concentrations fulfil the European regulatory limits, Mn should be a cause
86 for concern in locations influenced by the emission from this activity. For instance,
87 Colledge *et al.* (2015) reported a 24-h concentration of Mn of 1,130 ng/m³ near of a
88 ferromanganese refinery located in the Marietta community (Ohio, USA).
89 Approximately 7.2 km to the north of this plant, in the city of Marietta (14,515
90 inhabitants), Haynes *et al.* (2010) reported an annual average concentration of 203
91 ng/m³. Also, a 12-h average air Mn concentration of 7,560 ng/m³ has been reported by
92 Ledoux *et al.* (2006) in the vicinities of a ferromanganese plant located in Boulogne-
93 Sur-Mer agglomeration (120,000 inhabitants, France). In the metropolitan area of
94 Salvador (Brazil), 1.3 km from a ferromanganese alloy production plant, Menezes-Filho
95 *et al.* (2009) reported a mean 24-h concentration of Mn in PM_{2.5} of 151 ng/m³. Other
96 studies in the vicinity of closed manganese alloy production plants also reported
97 elevated concentrations of Mn: 3,500 ng/m³ (2-h) in Beauharnois, Canada (Boudissa *et al.*,
98 *et al.*, 2006) and 49.5 ng/m³(24-h) in Valcamonica, Italy (Lucchini *et al.*, 2012).

99 Airborne Mn concentrations of 4 – 23 ng/m³ have been reported in several urban
100 background sites in Spain (Querol *et al.*, 2007) although higher concentrations were
101 measured at urban-industrial sites. For example, Querol *et al.* (2008) reported a
102 concentration peak of 87 ng/m³ close to a steel plant in Llodio (northern Spain) for the
103 1995-2005 period. Moreover, annual average concentrations above the WHO
104 guidelines have been frequently reported in the vicinities of a manganese alloy plant in
105 the Region of Cantabria, northern Spain (Figure 1). An annual average concentration
106 of 166 ng/m³ was reported in 2007 (Moreno *et al.*, 2011). Furthermore, annual
107 average concentrations of 781 and 1,072 ng /m³ were reported in 2005 and 2009
108 respectively, in the area of Maliaño, a small town where this ferroalloy plant is placed
109 (CIMA, 2006; 2010). The application of corrective measures in the facility in 2008 led to
110 an improvement in Mn air concentrations in Santander, where annual mean
111 concentrations of 49.1 ng/m³ (Arruti *et al.*, 2010) and 31.5 ng/m³ (Ruiz *et al.*, 2014)
112 were reported for 2008 and 2009, respectively. However, according to recent
113 measurements, Mn concentrations in 2015 still exceeded the WHO recommendation

114 in some areas of Maliaño, with monthly mean concentrations of 713.9 ng/m³ and a
115 maximum daily value of 3,200 ng/m³ (Hernández-Pellón and Fernández-Olmo, 2016).

116 A common approach for assessing the exposure to Mn in the atmosphere is the
117 measurement of the particulate matter-bound Mn concentration (Ledoux *et al.*, 2006;
118 Moreno *et al.*, 2011; Marris *et al.*, 2012, 2013; Hernández-Pellón *et al.*, 2017).
119 However, measurements are expensive, mainly for micropollutants such as trace
120 metals, and may have limited spatial representativeness. Therefore, a combination of
121 measurements and modelling approaches is desired in order to provide an integrated
122 understanding of the phenomena and the assessment of population exposure (Chen *et*
123 *al.*, 2012). In addition, dispersion model results are an essential instrument in the
124 design and implementation of corrective measures.

125 The concentrations of several heavy metals have been modelled using different air
126 quality models such as the Hybrid Single Particle Lagrangian Integrated Trajectory
127 Model (HYSPLIT) for Cu, As, Zn, Co, Ni, Cr (Chen *et al.*, 2012, 2013, 2016a); Fine
128 Resolution Atmospheric Multi-pollutant Exchange (FRAME) for As, Cr, Cd, Cu, Ni, Pb,
129 Se, V, Zn (Dore *et al.*, 2014); CHIMERE Model for Pb, Cd, Ni, As, Cr, Se (González *et al.*,
130 2012); The Flexible Air Quality Regional Model (FARM) for Pb, Ni, Cd, As (Adani *et al.*,
131 2015); Community Multi-scale Air Quality (CMAQ) for Hg (Zhu *et al.*, 2015); CALPUFF
132 Modeling System (CALPUFF) for Cd, Pb, Zn (MacIntosh *et al.*, 2010); AERMOD/CALPUFF
133 Modeling Systems (AERMOD/CALPUFF) for Cr (Burger, 2004). However, few air
134 manganese modelling studies have been done. Even though Haynes *et al.* (2010)
135 modelled the exposure to Mn air concentrations through the AERMOD model and
136 evaluated the relationship between biological measures of Mn in blood and hair,
137 model outputs were not compared with observations. Carter *et al.* (2015) quantified
138 integrated mass fluxes of Mn into soils and compared these soil-derived values with
139 atmospheric deposition using the SCIPUFF model. Colledge *et al.* (2015) tried to assess
140 airborne Mn concentration levels predicted by AERMOD by comparison with
141 measurements. Nevertheless, Mn emission rates were derived from a simplified “site-
142 surface area emission method” due to the difficulty of obtaining reliable emission data
143 from the industries.

144 The aim of this study is to estimate the air Mn concentration in an urban area in the
145 vicinity of a ferromanganese alloy plant using the CALPUFF dispersion model. In
146 addition, the development of a detailed Mn emission inventory for the main source is
147 done, which allows us to perform the simulation with a detailed day-by-day Mn
148 emissions rate. Besides describing concentration patterns under different emission
149 scenarios, the simulation is assessed by means of a multi-site dataset of PM₁₀-bound
150 Mn concentrations from a previous 13 months experimental campaign.

151 **2. Methodology**

152 **2.1. Site description**

153 The area of study is located in the Region of Cantabria, along the Santander Bay in
154 northern Spain (Figure 1). Terrain is relatively complex with a 600 m height mountain
155 at 5km south, as well as a water mass in the bay. Main land uses are residential,
156 industrial and commercial. SW and NE are the prevailing wind directions, as the wind
157 rose plotted in Figure 1 shows.

158 This study focuses on Maliaño, a town of 10,000 inhabitants, located 7 km away from
159 Santander City, where high concentrations of Mn in ambient air have been previously
160 reported (Hernández-Pellón and Fernández-Olmo, 2016; Hernández-Pellón *et al.*,
161 2017).

162 The main Mn source in the area of study is a 225,000 t/year capacity ferromanganese
163 alloy production plant. This plant, with a total operation area of 174,353 m², includes
164 four electric arc furnaces, which are dedicated to high carbon ferromanganese (FeMn
165 HC) and silicomanganese (SiMn), and an additional furnace is used to produce refined
166 ferromanganese (FeMn MC). This factory has been operating since 1963 and is one of
167 the most important ferroalloy plants in the world in terms of production capacity; even
168 though the production went down during the economic crisis (42,000 tons in 2009), in
169 the last years activity levels are similar to those reported before the crisis (131,000
170 tons in 2015). Three more factories have been identified as Mn sources in the area
171 (Figure 1): a steel plant and two iron foundries that use Mn alloys as additives in their
172 production processes although their Mn emissions are low according to the estimation
173 described below.

174 **2.2. Observations dataset**

175 The observation dataset used in this paper was obtained from a 1 year 24-h PM₁₀
176 sampling campaign from January 2015 to January 2016 that was carried out in the
177 south of Santander bay (Hernández-Pellón and Fernández-Olmo, 2016). Sampling and
178 analysis procedures are described in Hernández-Pellón and Fernández-Olmo (2016).
179 The whole campaign includes 360 Mn daily values taken at nine sampling points
180 throughout the area of interest. For this study, four representative sites close to the
181 main manganese source were chosen (see Figure 1). At these four sites, 157 samples
182 were available for the year 2015. From those, 101 samples had Mn concentrations
183 above the USEPA RfC, i.e. 50 ng/m³. Table 1 shows the statistics of all the observed
184 PM₁₀- bound Mn concentrations at the selected four sites and the comparison after
185 removing samples with concentrations lower than 50 ng/m³.

186 **2.3. Mn sources and estimated emissions**

187 Manganese emissions from the main industrial sources in Santander bay were
188 estimated. All point and area (fugitive) Mn sources were individually considered in this
189 modelling assessment and are shown in Table 2. It was assumed that all manganese
190 emissions are PM₁₀ – bound (Carter *et al.*, 2015), with the exception of fugitive particle
191 emissions from piles. In the later case, Mn emissions are assumed to be associated
192 with total suspended particulates (TSP) considering a PM₁₀/TSP ratio of 0.5 (US EPA,
193 1998). Mn emissions were calculated by using emission factors obtained from US EPA
194 (1984), and are expressed as kg/ton of product or kg /MWh (Table S1). Information
195 about production rates, energy and raw material consumption, efficiency, and plant
196 characteristics has been taken from Environmental Declarations of the companies
197 (Ferroatlántica S.L., 2015; Global Steel Wire S.A., 2015) and Integrated Prevention and
198 Pollution Control (IPPC) permits (BOC, 2008a, 2008b, 2008c, 2008d).

199 **2.3.1. Emissions from the ferromanganese alloy plant**

200 The refinery located in Maliaño specializes in ferromanganese (FeMn) and
201 silicomanganese (SiMn) alloy production. Four electric arc furnaces (20 MW, 30 MW
202 and 2x35 MW) are dedicated equally to FeMn HC and SiMn, and an additional 3 MW
203 furnace is used for FeMn MC production. The production of these alloys releases Mn-

204 bearing particles in different sections of the production process. Figure 2 summarizes the
205 main point and fugitive sources of air Mn associated to this process.

206 Point sources may be systematic and non-systematic. The systematic point sources
207 work under regular operation conditions. They include: (i) Flare emissions: the off-gas
208 exiting the electric arc furnace is filtered by a wet scrubber before it is flared-out. The
209 manganese emissions depend on the amount of particles generated in the furnace and
210 on the scrubber efficiency. Four point sources corresponding to the four furnaces have
211 been considered. (ii) Controlled stack emissions from tapping/ladle/casting: fugitive
212 particle emissions from tapping, ladle and casting are hooded and filtered by a
213 baghouse. Two point sources corresponding to the exit of the baghouses of the two
214 smelting buildings have been considered. (iii) Controlled stack emissions from FeMn
215 MC manufacture: emissions produced in the FeMn MC furnace are filtered by a
216 baghouse. One point source corresponding to this furnace has been considered. (iv)
217 Controlled stack emissions from product handling and processing: once the ferroalloys
218 are obtained they are subjected to handling, grinding, classification and trucks loading.
219 Fugitive particle emissions are also hooded and filtered by baghouses. Five point
220 sources have been considered for these operations. Non-systematic point sources
221 correspond to the alternative bypass of the off-gas control equipment to reduce the
222 risk of fire or explosion under certain operation conditions. Four non-systematic point
223 sources corresponding to the four main furnaces have been considered. The different
224 types of point sources considered in this study are shown in Table 2.

225 Main fugitive sources are uncontrolled smelting emissions, and ores and slag pile
226 emissions: (i) Uncontrolled smelting emissions are produced in tapping, ladle and
227 casting operations when particles are not fully captured by the hooding system; these
228 emissions are generated inside the buildings and are emitted through the openings
229 located in the building walls. These emissions are defined as two volume sources
230 corresponding to the two furnace/ladle buildings. (ii) Ores and slag pile emissions
231 consist of manganese-bearing particles released by wind erosion and handling. These
232 emissions are defined as area sources; three areas are considered based on the piles
233 location. The selected fugitives sources are also summarized in Table 2.

234 Daily production rates and the operation hours of each furnace have been used to
235 calculate a detailed hourly emission inventory for the plant. Hourly wind data from a
236 local meteorological station located in Guarnizo (500 meters south of the
237 ferromanganese alloy plant), have been used to calculate TSP fugitive emissions from
238 piles, according to the procedure given in Section 11.9 of US EPA (1988). Even though
239 this method does not include pluviometry in its calculation, it was used because of its
240 simplicity and the low frequency (8% during the period of interest) of high
241 precipitation events. It is assumed that during rain events Mn concentrations may be
242 slightly overestimated, since it is known that rainfall diminishes dust fugitive emissions
243 from piles. A PM₁₀/TSP ratio of 0.5 and a Mn content of 45 % were applied to estimate
244 the PM₁₀-bound Mn emission rate (US EPA, 1998). Therefore, an hourly Mn emission
245 rate for every source and for every day of the studied period has been calculated to
246 feed the dispersion model.

247 **2.3.2. Emissions from other industrial sources**

248 Emission rate calculations from other industrial sources have been simplified because
249 of the low contribution to the total Mn emissions as they do not use Mn as a main
250 product in their productive process. A single point source is considered for each of the
251 following plants (see Table 2): (i) Steel plant: stack emissions from the electric arc
252 furnace filtered by a baghouse before releasing. (ii) Iron foundry 1: stack emissions
253 from the cupola furnaces treated by a baghouse. (iii) Iron foundry 2: stack emissions
254 generated in the cupola furnaces treated by a wet scrubber. A constant emission rate
255 for the whole year was assumed for these industrial sources.

256 **2.4. Air quality modelling system and model set-up**

257 CALPUFF is a multi-layer, multi-species non-steady-state Lagrangian puff dispersion
258 model which can simulate the effects of time and space-varying meteorological
259 conditions on pollutant transport, transformation, and removal (Scire *et al.*, 2000). The
260 model has been adopted by the USEPA in its *Guideline on Air Quality Models* as a
261 preferred model. The integrated modelling system consists of three main components
262 that are CALMET (a diagnostic 3 – dimensional meteorological model), CALPUFF (an air
263 quality dispersion model), and CALPOST (a postprocessing package). In this study, the

264 USEPA approved version of CALPUFF (v5.8) included in CALPUFF View interface (v8.4.0)
265 has been used.

266 A grid domain of 20 km x 20 km with 10,000 cells of 200 m x 200 m each was used; the
267 center of the grid is defined by the location of the ferromanganese alloy plant. Terrain
268 elevation has been obtained from the Shuttle Radar Topography Mission (SRTM 1)
269 with a resolution of 90 m. Land cover data have been obtained from the Global Land
270 Cover Characterization (GLCC) with 1 km spatial resolution. Meteorological
271 information necessary to run CALMET has been taken from local meteorological
272 stations. 1-hour resolution surface data from three locations (Parayas AEMET X:
273 432703 m Y: 4808800 m; Santander-CMT AEMET X: 435281 m Y: 4815665 m and
274 Guarnizo CIMA X: 432146 m Y: 4806368 m, Figure 1) have been combined with
275 representative upper air data from vertical soundings at Santander-CMT
276 meteorological station.

277 Dry and wet deposition were also evaluated, considering a mean particle diameter of
278 0.84 μm with a standard deviation of 0.47 μm . These values were obtained from
279 Hernández-Pellón *et al.* (2017), who measured the particle size of manganese-bearing
280 particles contained in PM₁₀ filters collected in the area of study. Scavenging Coefficient
281 for the liquid precipitation was calculated according to Jindal and Heinold (1991). The
282 model has been run with the “puff” option as it produces similar model results but
283 involves significantly shorter run times than the “slug” approach.

284

285 **2.5. Model performance evaluation and sensitivity analysis to different emission** 286 **scenarios**

287 CALPUFF was run for 101 days in 2015, corresponding to daily samples having Mn
288 concentrations above the USEPA RfC, i.e. 50 ng/m³, to improve our understanding of
289 the conditions that yielded higher concentrations and the associated exposure levels.
290 A set of descriptive statistics, including standard deviation, mean, and median were
291 generated to compare measured and modelled PM₁₀ - bound Mn data for each
292 receptor. Model performance was assessed through a series of common statistics
293 (Thunis *et al.*, 2012): Pearson Correlation Coefficient (r), normalized-mean-square

294 error (NMSE), Fractional Bias (FB) and Modelled – Observed ratio (Mod/Obs). Taylor
295 Diagram (Taylor, 2001) is also included in the analysis since it conveys information of
296 three complementary model performance statistics simultaneously on a single 2D
297 graph: correlation coefficient, standard deviation and root-mean-square error (RMS).

298 A preliminary study to evaluate model sensitivity to uncertain emission input data was
299 performed. These were the hooding efficiency in the smelting furnace buildings, and
300 the area and height of Mn ore piles. Since ore pile height and area change over time, a
301 high uncertainty is associated with these sources. Thus, an area of 4,269 m²,
302 corresponding to the total available open storage surface, and a 50% of this area,
303 2,134 m², were selected. In addition, two pile heights (8 and 16 meters) were
304 proposed, based on in-situ observations. According to the Best Available Techniques
305 Reference Document for the Non-Ferrous Metals Industries (European Commission,
306 2014), hooding efficiencies of 96 % and 86% have been proposed since the exact
307 collection efficiency in this particular plant is not known. Therefore, eight different
308 emission scenarios were defined (see Table 3) to study the influence of these inputs on
309 modelled PM₁₀-bound manganese surface concentrations. This information is useful
310 for identifying the relevant scale of the dispersion processes, the location of highest
311 concentration areas and the spatial variability of airborne Mn within the modelling
312 domain.

313

314 **3. Results and discussion**

315 **3.1. Model performance by emission scenario**

316 Model outputs were compared with observations to provide an estimate of the
317 modelling system capabilities to reproduce measurements and to gain a better
318 understanding regarding likely values of some highly uncertain emission parameters.
319 Table 4 shows the performance metrics used to compare the CALPUFF model
320 predictions with the measured concentrations for each of the scenarios simulated
321 (Table 3). Statistically significant correlations (r-values) for 95% confidence level were
322 found for 6 of the 8 scenarios modelled, ranging from 0.19 to 0.42 (S1). These values

323 are similar to those obtained by Chen *et al.* (2013) for different metals in the Algeciras
324 Bay industrial area, which varied from 0.13 to 0.39.

325 NMSE values varied from 1.99 to 8.26, with lower values associated with scenarios
326 where hooding efficiency was 96% (S1 to S4). Fractional Bias values varied from 0.15 to
327 1.24, showing a systematic overestimation in all the scenarios, mainly for those
328 considering a 86 % hooding efficiency (S5 to S8). Nonetheless, these values fall within
329 the ranges proposed by Borrego *et al.* (2008) of (-2) – 2. Kumar *et al.* (2006) proposed
330 a more restrictive range of (-0.5) – 0.5, which is only met by S2, S3 and S4 scenarios.
331 This range is often acceptable for major pollutants, but it is rarely used for metals. The
332 ratio between modelled and observed concentrations (Mod/Obs) varied from 1.16 to
333 4.27. Colledge *et al.* (2015) reported modelled/observed ratios for TSP air-Mn from
334 0.54 to 5.17 in two residential areas close to industrial Mn sources in Ohio, USA.
335 Tartakovsky *et al.* (2013) obtained worse concentration ratios for TSP that varied from
336 0.04 to 0.34. Even though the Mod/Obs scores from this study are better, only the
337 results for the S2, S3 and S4 scenarios would be within the proposed acceptable range
338 of 0.5 – 2 suggested by Borrego *et al.* (2008).

339 This analysis points out that hooding efficiency is the most influential variable, since a
340 moderate change of this parameter (from 96 to 86 %) leads to a large increase in Mn
341 concentrations. Although piles surface area only affects fugitive emissions from
342 ore/slag handling and storage, a moderate effect on the Mn modelled concentrations
343 is observed as well. The results point out that for the same pile height, 50% pile surface
344 scenarios yielded better results (in terms of NMSE, FB and Mod/Obs ratios) than those
345 considering 100% pile surface. In situ and aerial observations of piles confirm that
346 both, pile surface area and height keep changing in time leading to different
347 particulate matter emission patterns. Therefore, a 50 % piles surface area was
348 assumed as a reasonable compromise for modelling purposes. Finally, pile height was
349 found to have a lower impact on model performance. While 8 meters pile height odd
350 scenarios showed higher correlation coefficients, the other performance statistics
351 were worse than those scenarios that considered a 16 meters pile height. In particular,
352 8 meters pile-scenarios lead to an overestimation of Mn concentrations in the closest
353 site (CCV), but capture better the variability of daily Mn concentrations, leading to

354 higher correlation coefficients. To provide a complementary view of model
355 performance by scenario, a Taylor diagram was also plotted (Figure S1). This analysis
356 confirmed that S1 to S4 scenarios showed a better performance. Eventually S2, S3 and
357 S4 were selected since they provide a good compromise between relatively low RMS
358 error and high correlation factor.

359 **3.2. Model performance by site**

360 Once overall performance was assessed considering all samples and locations, a site-
361 specific analysis was made based on the emission scenarios that best reproduced the
362 observations according to the discussion in the previous section.

363 Table 5 shows the performance metrics computed for each monitoring site (S2, S3 and
364 S4 scenarios). NMSE, FB and Mod/Obs concentrations are particularly good in CROS
365 and CMFC for the three scenarios while in CCV, only 350 meters north from the
366 manganese alloy plant, metric values were slightly worse. Despite presenting larger
367 overestimations and general poorer performance, GUAR showed the highest
368 correlation comparatively with the rest of the sites. This overestimation may be due to
369 the proximity of the receptor site to the main Mn sources (600 m south) but it may be
370 affected also by the small number of samples available at this site (11).

371 Table 6 shows a comparison between PM₁₀-bound observed and modelled Mn
372 concentrations for all the studied sites. The arithmetic mean of simulated PM₁₀-bound
373 Mn concentrations was 762 ng/m³ in S2, 826 ng/m³ in S3 and 611 ng/m³ in S4, while
374 the mean observed concentration was 527 ng/m³. Figure 3 compares observed and
375 predicted Mn through scenario-specific scatterplots. The clouds of points are in
376 reasonable proximity to the 1:1 slope with the exception of some few values in CROS
377 site. The results show a larger spread for this location indicating that observations at
378 this site may be affected to a larger extent by very local dispersion phenomena
379 induced by the urban canopy. Although land uses in the vicinities of the plant are
380 similar and the four locations selected meet similar criteria in terms of avoiding small
381 scale dispersion phenomena, the CROS monitoring site is surrounded by a more
382 complex urban geometry (as can be seen in Figure 1) and thus local dispersion may be
383 more influenced by building wakes and shielding effects.

384 The temporal trends of observed and modelled Mn concentrations (for S2 to S4
385 scenarios) at each receptor are compared in Figure 4. The model is able to capture the
386 underlying trend although deviations are not systematic and may be considerably high
387 for specific days. The accuracy of the model could be improved with the experimental
388 determination of the PM₁₀/TSP ratio and percentage of Mn in particles collected
389 around the ores pile area together with the particle size distribution at the point
390 sources of the manganese alloy plant. The use of a high-resolution Weather Research
391 and Forecasting (WRF) modeling system to produce meteorological data has improved
392 the accuracy of the prediction of metal concentrations in some dispersion modeling
393 studies (e.g. Chen *et al.*, 2016a); however, in the present study the quality of the
394 observed meteorological data is good enough to describe reasonably well the
395 dispersion of Mn in the studied area.

396 **3.3. Mn environmental exposure assessment**

397 The previous results may support the choice of S3 as the best modelling scenario.
398 While having good statistics, similar to S2 and S4, it exhibits a better correlation.
399 Arithmetic means for the whole simulated period (101 days, S3 scenario) are 1,738
400 ng/m³ in CCV; 519 ng/m³ in GUAR; 465 ng/m³ in CROS and 594 ng/m³ in CMFC. This
401 clearly reflects that most of the modelled daily PM₁₀-bound Mn concentrations in
402 Maliaño are much higher than the USEPA RfC (50 ng/m³). According to CALPUFF
403 simulated surface maps, the maximum PM₁₀-bound Mn concentration levels are
404 located in the surrounding area of the ferromanganese alloy plant, with daily average
405 concentration levels up to 5,000 ng/m³ in Maliaño (under moderate intensity south-
406 west wind conditions). However, the model indicates that Mn plumes are dispersed
407 along the Santander bay turning out in concentration levels around 200 ng/m³ in the
408 city, which is the most populated area in the region (172,656 inhabitants in 2016).
409 Three events under SW and W wind directions corresponding to 06/26/2015 (Figure
410 5a), 09/13/2015 (Figure 5b) and 11/13/2015 (Figure 5c) illustrate this situation. Since
411 SW is the prevailing wind direction in the area, the plume was similar in several
412 modelled days, resulting in higher PM₁₀-bound Mn concentrations in the receptors
413 located to the north of the ferromanganese alloy plant, especially in the closest one:
414 CCV (Figure 1). According to the simulation performed, the steel plant, iron foundry 1

415 and iron foundry 2 have minimal effects on the PM₁₀-bound Mn concentration in the
416 study area, especially in comparison with the manganese alloy plant, which is the main
417 contributor to the high Mn concentrations in the study area.

418 **3.4. Potential preventive and corrective measures**

419 The contribution of the studied plants to the total Mn emission was assessed. Under
420 the conditions given by S3 scenario, the ferromanganese alloy plant accounted for 91%
421 of the total Mn emissions within the modelling domain; the contribution of the iron
422 foundry #2, the steel plant and the iron foundry #1 were 4%, 3%, and 2%, respectively.
423 Because the ferroalloy plant was the main Mn emitter, the different Mn sources within
424 this plant were evaluated to identify the highest emission sources and thus, potential
425 preventive and corrective measures useful to decrease Mn concentration in the area.
426 Fugitive sources accounted for 72% of the total Mn emissions (66 % from furnace
427 buildings and 6 % from ore/slag piles). The importance of fugitive emissions from Mn
428 alloys manufacturing has been previously highlighted in the literature. According to
429 Carter *et al.* (2015), up to 65 % of air Mn emissions from a FeMn plant located in
430 Marietta (Ohio) were attributed to fugitive sources. Davourie *et al.* (2017) also
431 reported that material handling originates the 35 % of PM emissions. Moreover,
432 secondary emissions dispersed during tapping and casting are not fully controlled
433 using fume hood and baghouse systems, leading to additional fugitive emissions in
434 furnace operation. The analysis of Mn emissions from S3 scenario also shows that 30 %
435 of the point source emissions were produced by non-systematic sources, even though
436 they only operate for short periods. This is in agreement with Davourie *et al.* (2017),
437 that also reported that despite short durations operating with by-pass flaring or
438 venting, uncontrolled emissions comprise over 36% of PM emitted from manganese
439 furnaces.

440 These results point out that some strategies to reduce Mn concentration in residential
441 areas near the ferromanganese alloy plant are as follows: (i) to improve the hooding
442 efficiency in furnace buildings; (ii) to reduce the fugitive emissions from ore/slag
443 storage and handling; (iii) to better regulate the furnace operation to reduce abnormal
444 operating conditions, and to install the same control devices for particulate matter in
445 the by-pass pipeline. Although the existing secondary fume capture system has good

446 capacity, fugitive emissions from the furnace building do occur and the optimization
447 and upgrading of the capture system are recommended (Els *et al.*, 2013). In addition,
448 three open storage areas for Mn ores and slags still remain in the plant.

449 **4. Conclusions**

450 The CALPUFF dispersion model was used to simulate PM₁₀-bound Mn concentrations
451 in the Santander bay, Northern Spain, where different Mn industrial sources are
452 located. An exhaustive operation analysis of a ferromanganese alloy plant was done,
453 which allowed us to develop a detailed day-by-day Mn emission inventory, including
454 point and fugitive sources.

455 The model reproduced observed PM₁₀-bound Mn concentrations in the study area for
456 different emission scenarios with reasonable accuracy. A strong concentration gradient
457 is predicted, agreeing well with the observation profile. However, possible options for
458 future improvements may include the initialization of CALPUFF from high-resolution
459 mesoscale meteorological simulations and the refinement of the emission inventory by
460 incorporating detailed particle size distribution for each emission source as well as
461 precise plant operation patterns.

462 Modelled and observed Mn average concentrations in Maliaño exceed the reference
463 concentration proposed by the USEPA (i.e. 50 ng/m³). This can be exceeded even in
464 Santander City when SW wind events occur according to the model. This modelling
465 assessment confirms the need for reducing Mn airborne concentrations in the
466 Santander bay. The high spatial variability of the Mn concentration patterns predicted
467 in the vicinities of the Maliaño manganese alloy plant suggests that the use of these
468 kinds of models may be key for the design and assessment of future epidemiological
469 studies both in the area of study and elsewhere. Preventive and corrective measures
470 based on the removal of fugitive and non-systematic point source emissions may be
471 implemented to effectively reduce the Mn environmental exposure to the population
472 affected by such plants.

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684 TABLES

685 Table 1. Basic statistics of observed PM₁₀-bound Mn concentrations (24-h averages) from
 686 January 2015 to January 2016 (> USEPA RfC and overall campaign)

| Receptor site | UTM (m) | Distance from alloy plant (m) | All samples (ng/m ³) | | | | | Samples > USEPA RfC ^a (ng/m ³) | | | | |
|---------------|-------------------------|-------------------------------|----------------------------------|------|--------|-----|-------|---|------|--------|-----|-------|
| | | | n | Mean | Median | SD | Max | n | Mean | Median | SD | Max |
| CCV | X: 431899 Y: 4807290 | 440 | 27 | 695 | 467 | 651 | 2,062 | 23 | 813 | 813 | 635 | 2,062 |
| CROS | X: 431916 Y: 4807982 | 1,130 | 75 | 260 | 87 | 369 | 1,670 | 44 | 390 | 198 | 411 | 1,670 |
| CMFC | X: 432128 Y: 4808086 | 1,240 | 28 | 589 | 308 | 575 | 1,859 | 23 | 682 | 577 | 571 | 1,859 |
| GUAR | X: 432146 Y: 4806368 | 690 | 27 | 156 | 52 | 227 | 917 | 11 | 314 | 203 | 249 | 917 |

687 ^a Values above the USEPA RfC, i.e. 50 ng/m³.

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704 Table 2. Mn sources and estimated emission rates.

| Factory type | Source description | Production area | Source type | Number of sources ^a | Mn emission rate (gMn/day) ^b |
|------------------------------|---------------------------------|--------------------------------------|----------------------|--------------------------------|---|
| Manganese alloy plant | Smelting flares | Smelting | Systematic point | 4 | 1,772 |
| | Refined furnace stack | Smelting | Systematic point | 1 | 177 |
| | Furnace buildings stacks | Tapping, ladle, casting | Systematic point | 2 | 7,363-8,219 |
| | Product processing stacks | Product handling, grinding, sieving | Systematic point | 5 | 289 |
| | Smelting by-pass stacks | Smelting | Non-systematic point | 4 | 4,122 |
| | Furnace buildings wall openings | Tapping, ladle, casting | Fugitive, volume | 2 | 34,247-119,864 |
| | Ore and slag piles | Mn ore and slag handling and storage | Fugitive, area | 3 | 3,264-6,529 |
| Steel plant | Furnace stack | Electric arc furnace | Systematic point | 1 | 1,545 |
| Iron foundry 1 | Cupola stack | Cupola | Systematic point | 1 | 1,112 |
| Iron foundry 2 | Cupola stack | Cupola | Systematic point | 1 | 2,304 |

705 ^a For Steel plant, Iron foundry 1 and Iron foundry 2 a single point source was considered for each of the plants.

706 ^b Range of Mn emission rates for different scenarios

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709 Table 3. Emission scenarios

| Scenario | Hooding Efficiency (%) | Pile area (m²) | Pile Height (m) |
|-----------------|-------------------------------|----------------------------------|------------------------|
| S1 | 96 | 4,269 | 8 |
| S2 | 96 | 4,269 | 16 |
| S3 | 96 | 2,134 | 8 |
| S4 | 96 | 2,134 | 16 |
| S5 | 86 | 4,269 | 8 |
| S6 | 86 | 4,269 | 16 |
| S7 | 86 | 2,134 | 8 |
| S8 | 86 | 2,134 | 16 |

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713 Table 4. Statistics obtained from the comparison between observed and simulated PM₁₀-
714 bound Mn concentration values for each emission scenario (dimensionless): r (correlation
715 coefficient); NMSE (normalized mean square error); FB (fractional bias); Mod/Obs (modelled-
716 observed ratio)

| Scenario | r ^a | NMSE | FB | Mod/Obs |
|----------|----------------|------|------|---------|
| S1 | 0.42 | 3.11 | 0.76 | 2.24 |
| S2 | 0.25 | 1.99 | 0.37 | 1.45 |
| S3 | 0.37 | 2.08 | 0.44 | 1.57 |
| S4 | 0.19 | 2.08 | 0.15 | 1.16 |
| S5 | 0.29 | 8.26 | 1.24 | 4.27 |
| S6 | 0.17 | 7.69 | 1.11 | 3.47 |
| S7 | 0.23 | 7.73 | 1.13 | 3.61 |
| S8 | 0.15 | 7.72 | 1.05 | 3.21 |

717 ^aStatistically significant r-values for 95% confidence level are highlighted in bold.

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739 Table 5. Statistics obtained from the comparison between observed and simulated PM₁₀-
740 bound Mn concentration values by site for best scenarios (dimensionless): r (correlation
741 coefficient); NMSE (normalized mean square error); FB (fractional bias); Mod/Obs (modelled-
742 observed ratio)

| Site | S2 | | | | S3 | | | | S4 | | | |
|---------|------|-------|------|------|------|-------|------|------|------|-------|-------|------|
| | CCV | CROS | CMFC | GUAR | CCV | CROS | CMFC | GUAR | CCV | CROS | CMFC | GUAR |
| r | 0.10 | 0.17 | 0.34 | 0.42 | 0.38 | 0.20 | 0.42 | 0.40 | 0.06 | 0.16 | 0.35 | 0.41 |
| NMSE | 1.19 | 1.86 | 0.93 | 9.92 | 1.35 | 1.70 | 0.84 | 9.69 | 1.14 | 2.09 | 0.89 | 9.67 |
| FB | 0.43 | -0.01 | 0.24 | 1.29 | 0.73 | -0.08 | 0.01 | 1.28 | 0.19 | -0.24 | -0.07 | 1.27 |
| Mod/Obs | 1.54 | 0.99 | 1.28 | 4.64 | 2.16 | 0.93 | 1.01 | 4.59 | 1.21 | 0.78 | 0.93 | 4.50 |

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Table 6. Comparison between PM₁₀-bound observed and modelled Mn concentration values (ng/m³) for selected scenarios.

| | n | Arithmetic Mean | | | | Standard Deviation | | | | Median | | | | Maximum | | | |
|--------------|-----|-----------------|-------|-------|-------|--------------------|-------|-------|-------|--------|-------|-------|-----|---------|-------|-------|-------|
| | | Obs | Mod | | | Obs | Mod | | | Obs | Mod | | | Obs | Mod | | |
| | | | S2 | S3 | S4 | | S2 | S3 | S4 | | S2 | S3 | S4 | | S2 | S3 | S4 |
| TOTAL | 101 | 527 | 762 | 826 | 611 | 518 | 835 | 963 | 742 | 302 | 538 | 486 | 407 | 2,062 | 4,230 | 4,096 | 4,058 |
| CCV | 23 | 813 | 1,253 | 1,757 | 982 | 635 | 874 | 1,097 | 761 | 813 | 1,075 | 1,608 | 826 | 2,062 | 3,851 | 3,595 | 3,152 |
| CROS | 44 | 390 | 387 | 362 | 306 | 411 | 421 | 371 | 355 | 198 | 252 | 245 | 169 | 1,670 | 1,514 | 1,373 | 1,395 |
| CMFC | 23 | 682 | 818 | 648 | 596 | 571 | 648 | 573 | 491 | 577 | 623 | 461 | 417 | 1,859 | 2,748 | 2,466 | 1,801 |
| GUAR | 11 | 314 | 1,122 | 1,109 | 1,088 | 249 | 1,515 | 1,485 | 1,479 | 203 | 782 | 777 | 750 | 917 | 4,230 | 4,096 | 4,058 |

FIGURE CAPTIONS

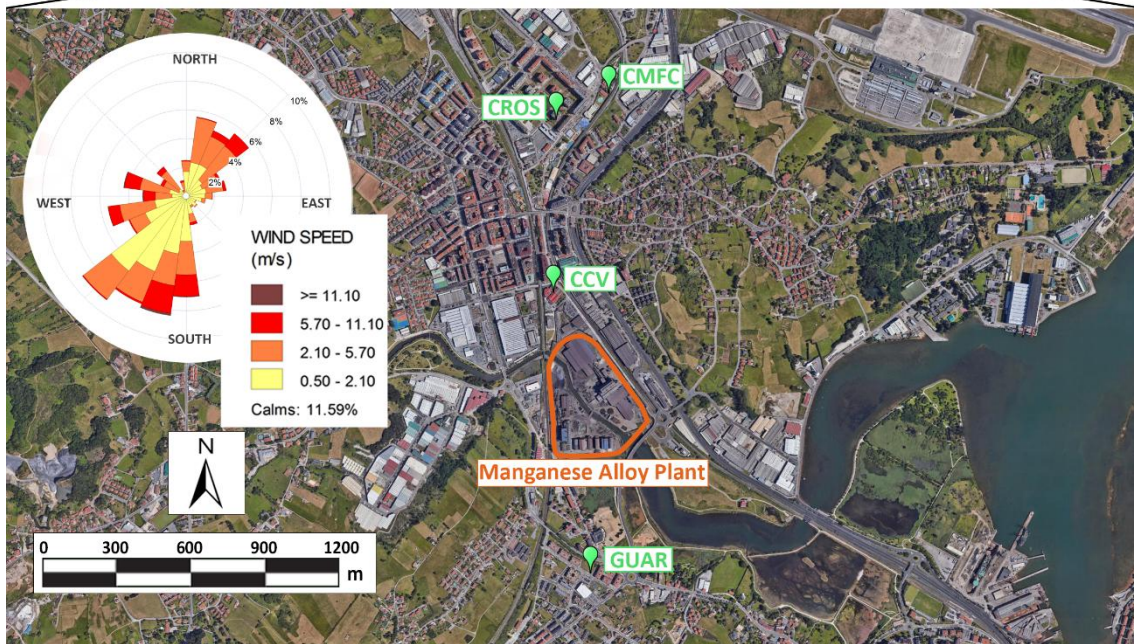
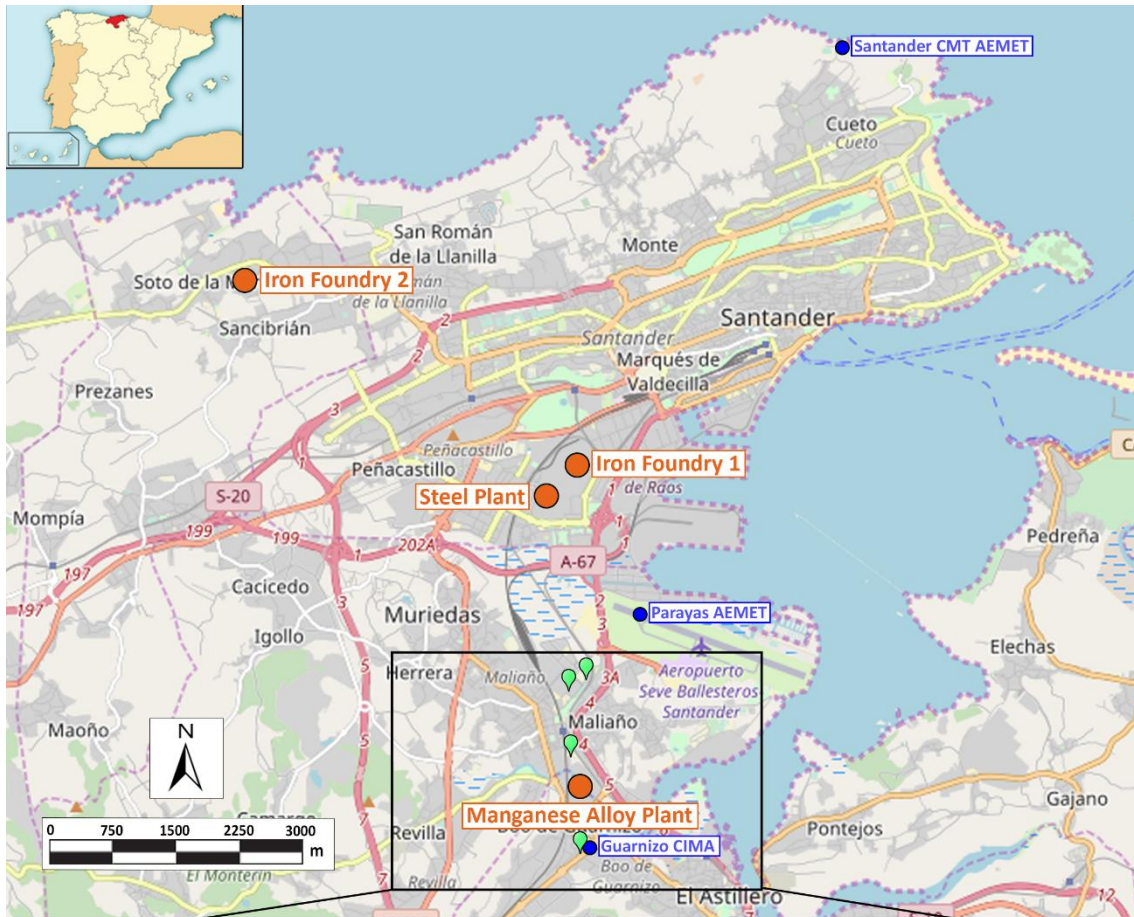
Figure 1. Study area and wind rose for the studied period based on measurements from Guarnizo CIMA meteorological station.

Figure 2. Flow diagram of the ferromanganese alloy production and main air Mn emissions associated to each process.

Figure 3. Scatter plots of PM₁₀-bound Mn concentrations (ng/m³): observed vs modelled values for all the monitoring sites.

Figure 4. Temporal variation of observed and modelled Mn concentrations by site.

Figure 5. Modelled daily average PM₁₀ – bound Mn (ng/m³) for S3 emission scenario: (a) 6/26/2015; (b) 9/13/2015; (c) 11/13/2015



● Mn Source
 ● Meteorological Station
 ● Receptor

Figure 1. Study area and wind rose for the studied period based on measurements from Guarizo CIMA meteorological station.

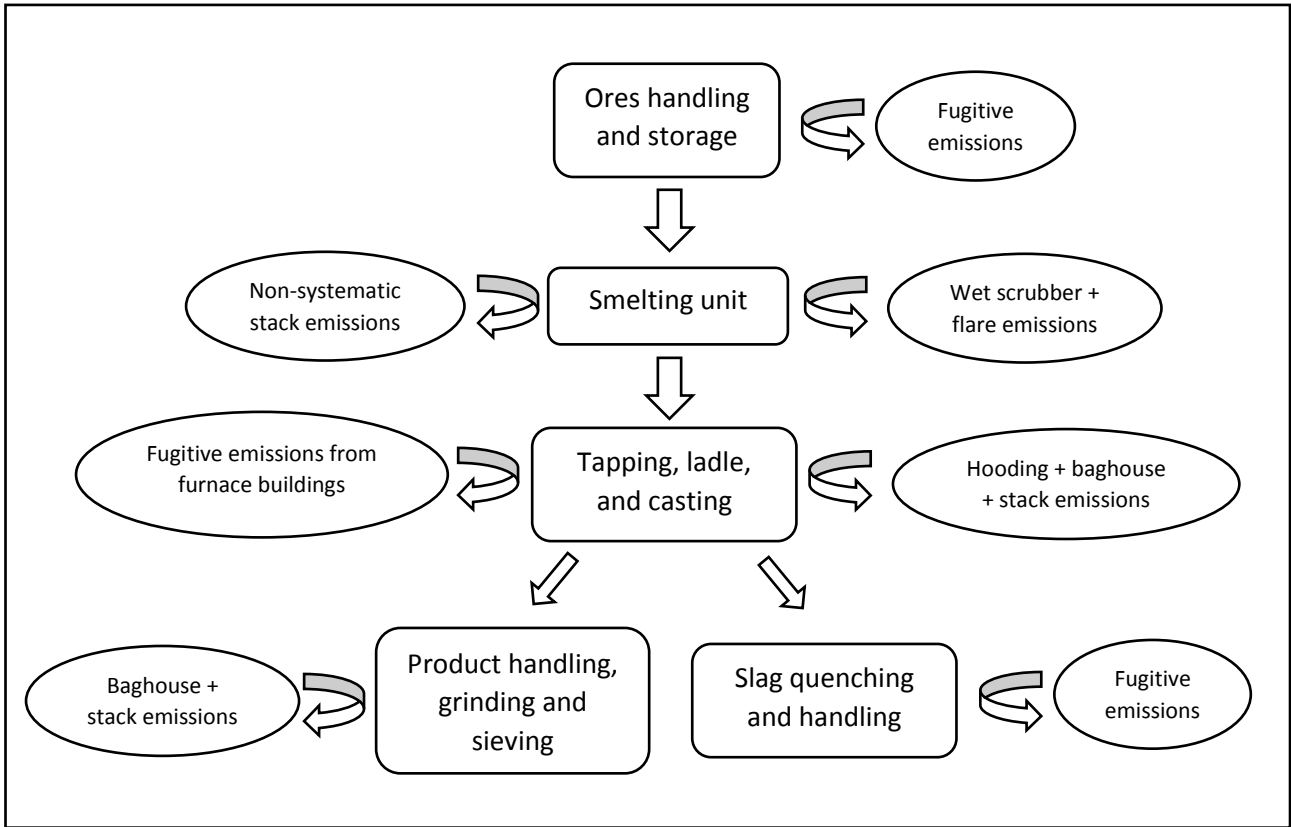
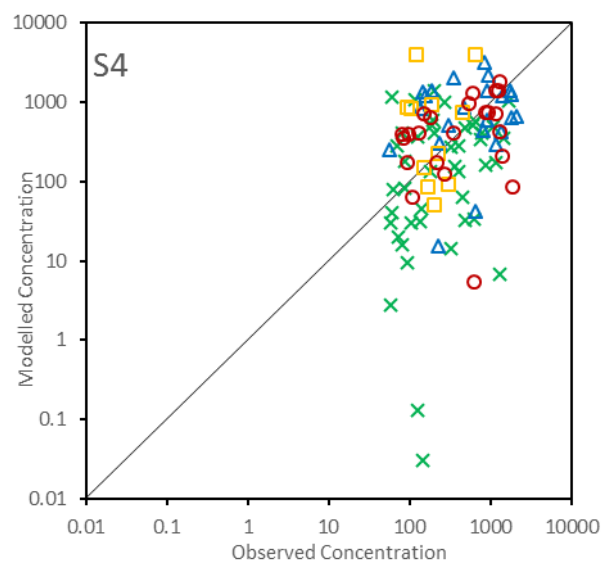
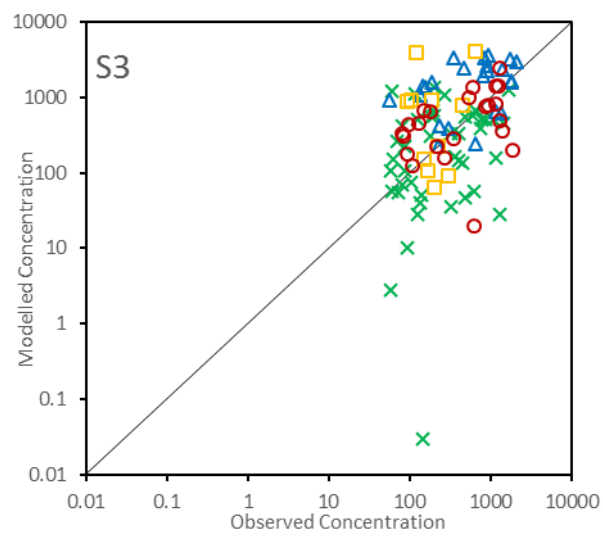
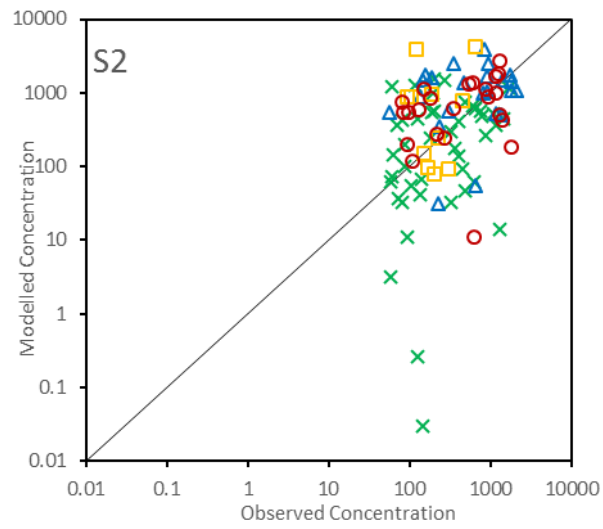


Figure 2. Flow diagram of the ferromanganese alloy production and main air Mn emissions associated to each process.



× CROS
 △ CCV
 □ GUAR
 ○ CMFC

Figure 3. Scatter plots of PM₁₀-bound Mn concentrations (ng/m³): observed vs modelled values for all the monitoring sites.

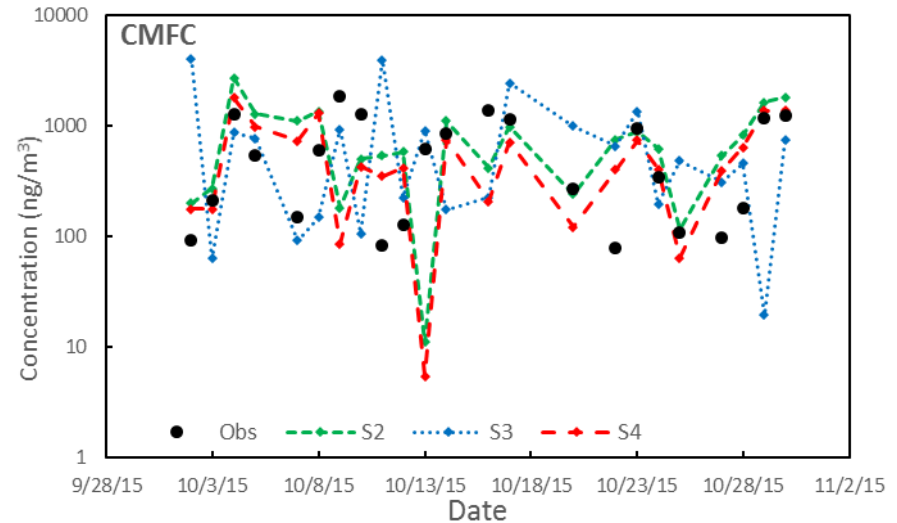
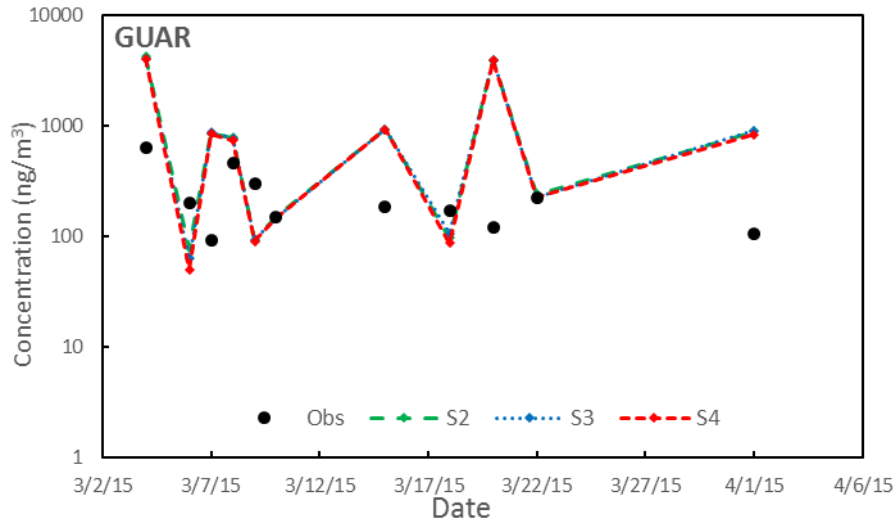
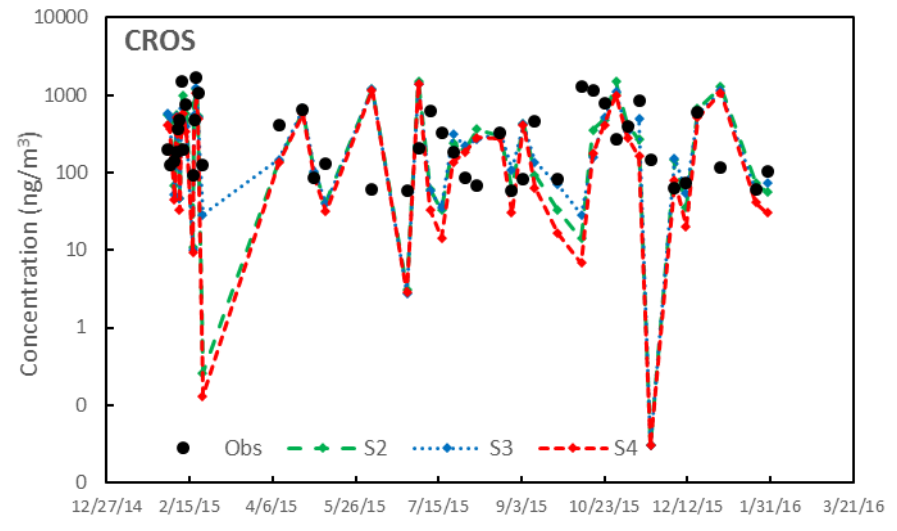
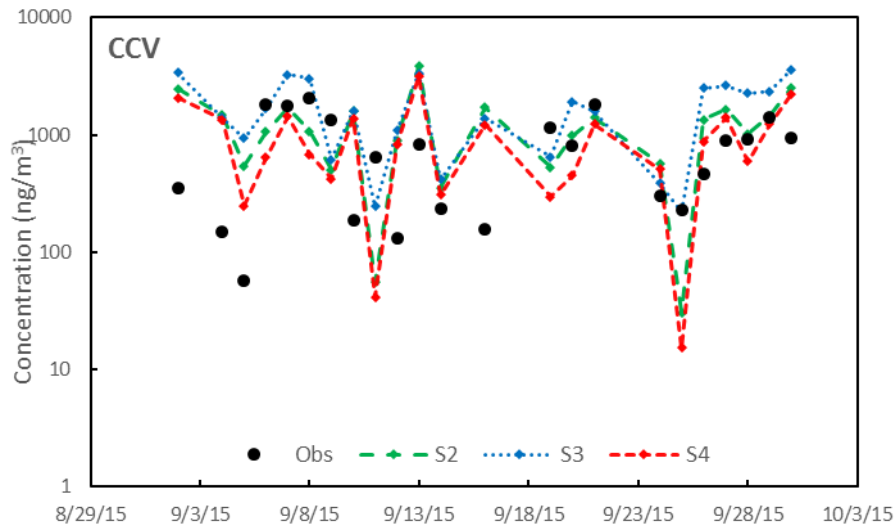


Figure 4. Temporal variation of observed and modelled Mn concentrations by site

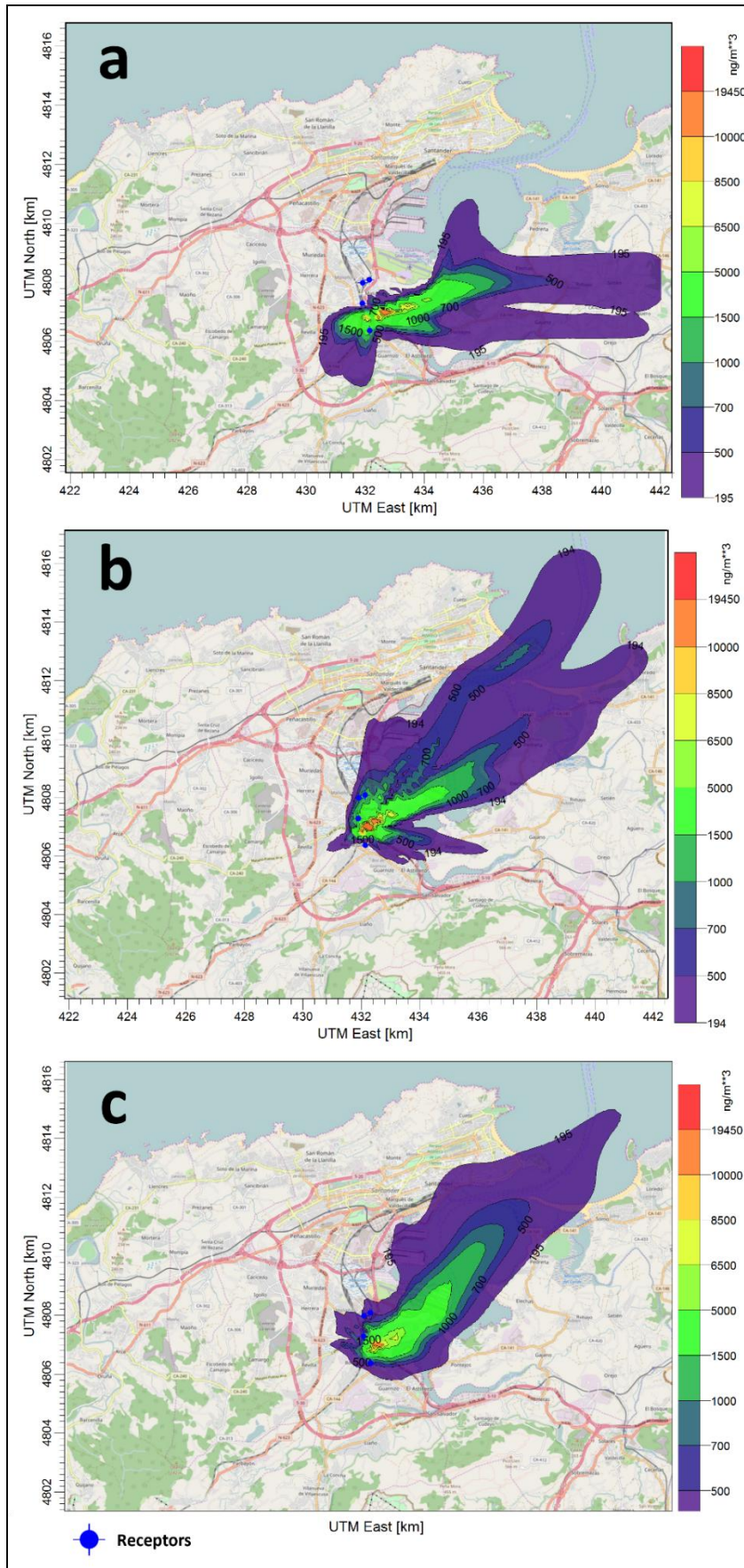


Figure 5. Modelled daily average PM₁₀ – bound Mn (ng/m³) for S3 emission scenario: (a) 6/26/2015; (b) 9/13/2015; (c) 11/13/2015

SUPPLEMENTARY MATERIAL

Table S1. Emission factors used from US EPA (1984).

| Operation | FeMn | SiMn | Units |
|---------------------------------|-------------|-------------|--------------|
| Submerged-arc electric furnaces | 0.038 | 0.001 | kg Mn/MW·h |
| Ladle treatment | 3.75 | 3 | kg Mn/t |
| Casting | 0.24 | 0.12 | kg Mn/t |
| Crushing/grinding/sizing | 0.08 | 0.065 | kg Mn/t |

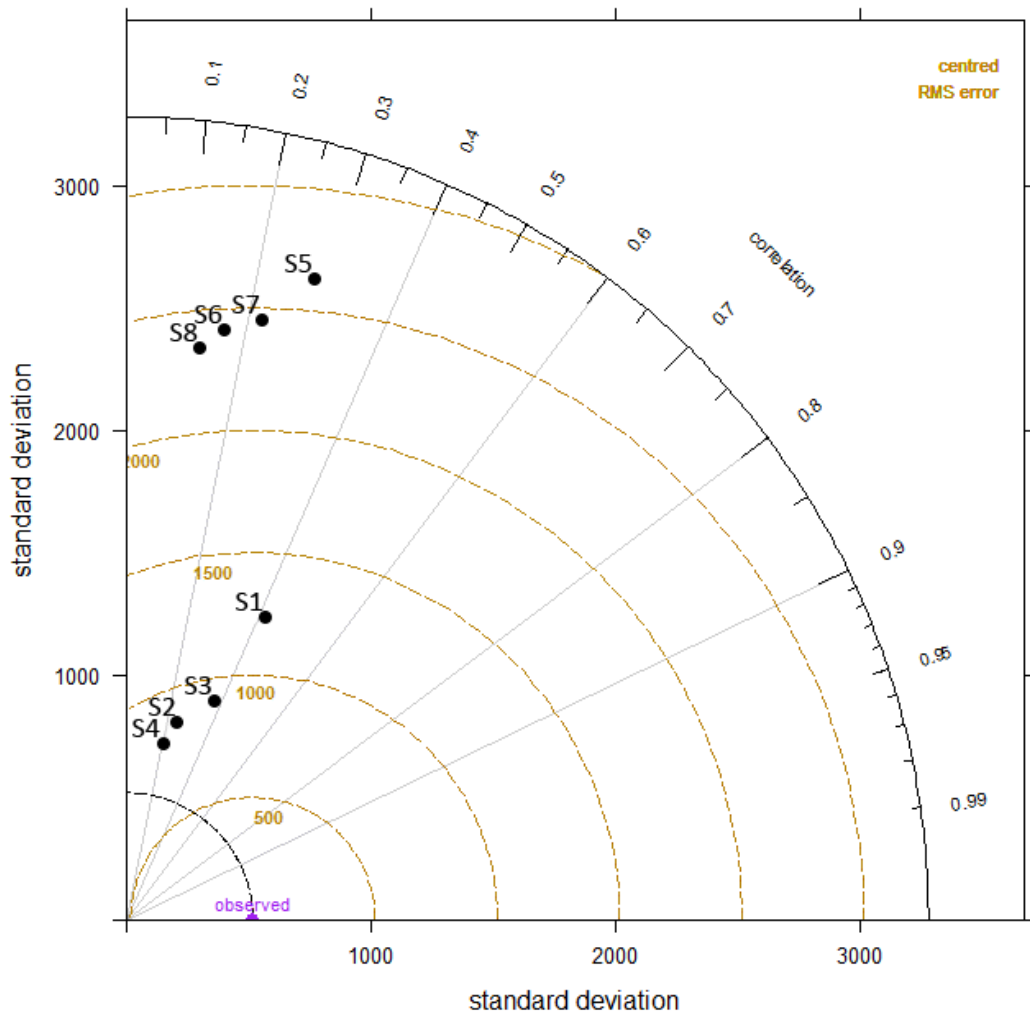


Figure S1: Model performance for all the scenarios considered.

Supplementary material for on-line publication only

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