

STEREOTYPY, PERSONALITY AND ENVIRONMENTAL ENRICHMENT

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DECLARATION

I hereby declare that this thesis is my own unaided work and that recognition has been given to the references used. It is being submitted for the Degree of Doctor of Philosophy in the Faculty of Science at the University of the Witwatersrand

A handwritten signature in black ink, appearing to read 'Sneha Joshi', with a large, stylized initial 'S'.

Sneha Joshi

23rd October 2015

ABSTRACT

1
2 Animals in captivity are often raised in suboptimal environments, which lead to
3 abnormal behaviours, such as stereotypic behaviour. Environmental enrichment can reduce or
4 eliminate these behaviours to some extent. However, enrichments are not always successful
5 in their intended purpose, which may be attributed to differences among individuals (i.e.
6 personality). The overarching aim of my study was to investigate how environmental
7 enrichment affected the expression of stereotypic behaviour in my study model, the African
8 striped mouse, *Rhabdomys dilectus*, and to ascertain whether personality modulated the
9 responses to enrichment. I conducted four experiments to test these aims. Firstly, I tested
10 whether personality was associated with the development and expression of stereotypic
11 behaviours. Results indicated that stereotypic striped mice were bold and showed a proactive
12 coping style, while non-stereotypic striped mice were less bold and showed a reactive coping
13 style. Furthermore, having a proactive coping style did not predict the onset of stereotypic
14 behaviours. Nevertheless, individual differences in personality were observed even within
15 stereotypic and non-stereotypic striped mice so that each group was not homogeneous for
16 personality. Secondly, I tested whether personality was associated with the responses of
17 stereotypic striped mice to enrichment. While stereotypic behaviours were reduced in
18 enriched cages, individuals were not consistent in their behaviour, indicating flexible
19 behavioural responses to the different cage complexities. Interestingly, these responses
20 occurred irrespective of personality differences. There were no treatment-related differences
21 in the behavioural responses of non-stereotypic striped mice. Thirdly, I examined whether the
22 age at which striped mice were introduced to the environmental enrichment influenced their
23 behavioural responses. Age did not affect the behavioural responses of stereotypic or non-
24 stereotypic mice to the cages of different complexity. Surprisingly, while stereotypic
25 behaviours were reduced in the enriched treatments, not all stereotypic mice responded to
26 enrichment in the same manner, implying flexible behavioural responses. Moreover, these
27 behavioural responses also occurred regardless of the individual's personality type. There
28 were no age-related differences in the behavioural responses of non-stereotypic striped mice.
29 Finally, I investigated the purpose of wheel running, either as an enrichment or as a re-
30 directed stereotypic behaviour, in stereotypic striped mice, because there is much debate
31 about its use as an enrichment. Due to individual differences in responses to the running
32 wheel, wheel running appeared to be both an enrichment and a re-directed behaviour. In
33 conclusion, my study provides the first empirical data for the theory that stereotypic animals

34 have different personalities to non-stereotypic animals. Nonetheless, this dichotomy between
35 stereotypic and non-stereotypic striped mice at the group level masked individual responses
36 within groups, with individuals flexibly altering their behaviour, depending on the
37 environment to which they were exposed, which in turn affected the efficacy of
38 environmental enrichment. My study suggests that the welfare and well-being of animals
39 requires an assessment of individual trajectories in the development of stereotypic
40 behaviours.

DEDICATION

I dedicate this thesis to my late grandparents Mr N. K. Joshi and Mrs K. N. Joshi and to the little stars that made this project feasible- the striped mice.

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CHAPTER ONE

General Introduction

Rationale for the study

Animals in captivity are often raised in suboptimal environments, which lead to the development of abnormal behaviours, such as stereotypic behaviour. The addition of enrichments (i.e. behavioural or physical) to captive environments can, to some extent, reduce or eliminate these behaviours. However, the question, which then arises, is why not all animals respond to environmental enrichment in the same way? The aim of my study was therefore to investigate how environmental enrichment affects the expression of stereotypic behaviour in the striped mouse, and to ascertain whether the influence of enrichment is modulated by the individual differences in response (i.e. personality) in my study model, the African striped mouse *Rhabdomys dilectus*. Studies in the past have independently looked at the interaction between stereotypic behaviours and environmental enrichment as well as the interaction between personality and environmental enrichment. However, to date, no studies have explored how personality influences the stereotypy-enrichment relationship at both group (stereotypic vs. non-stereotypic animals) and individual level (between and within groups).

Stereotypic behaviour

Stereotypic behaviours, described as any abnormal, repetitive and invariant behaviours are caused by frustration, repeated attempts to cope and/or central nervous system (CNS) dysfunctions (Latham and Mason, 2008). They are assumed to be an indirect response to deprived (physical and behavioural) environmental conditions created by captivity (Mason, 1991a; Wiedenmayer, 1997) and are mediated by changes in forebrain function, particularly changes in the neural pathways between the cortex and basal ganglia, key in inhibiting inappropriate behaviours and maintenance of behavioural flexibility (Lewis et al., 2006; Graybiel, 2008). Stress induced by the aversive conditions also alters the functioning of dopamine, a neurotransmitter implicated in the development of stereotypic behaviours (McBride and Hemming, 2009). Thus, an altered functioning of neuronal structures utilizing dopamine lead to the development and maintenance of stereotypic behaviours (McBride and Hemmings, 2009). Stereotypic behaviours are apparently completely restricted to captive animals but also occur in human patients with psychiatric dysfunctions, with lesions in the brain, and with the administration of stimulant drugs (Graybiel, 2008).

33 Stereotypic behaviours are by far the most common form of abnormal repetitive
34 behaviour (ARB), the other being impulsive or compulsive behaviours. Impulsive behaviours
35 involve repetition of an inappropriate goal with varying goal-directed behaviour, for example
36 barbering in mice (Garner et al., 2004). Stereotypic behaviours include: (1) locomotor
37 stereotypic behaviour and (2) oral stereotypic behaviour. Locomotor stereotypies are thought
38 to arise from frustrated locomotor behaviour, whereby normal behaviours are thwarted due to
39 limited space (Carlstead, 1998), whereas oral stereotypies might develop from restriction to
40 feeding or foraging behaviours (Terlouw et al., 1991). Some examples of locomotor
41 stereotypic behaviour are route tracing in blue tits, *Parus caeruleus* and marsh tits, *Parus*
42 *palustris* (Garner et al., 2003), repetitive pacing in circus tigers, *Panthera tigris* (Krawczel et
43 al., 2005), rhythmic head-swinging in elephants, *Elephas maximus* (Rees, 2004), bar biting in
44 laboratory mice, *Mus musculus* (Nevison et al., 1999) and bank voles, *Clethrionomys*
45 *glareolus* (Garner et al., 2003). Examples of oral stereotypic behaviour are tongue playing in
46 dairy cattle (Redbo, 1998) and weaving and cribbing in stabled horses (McAfee et al., 2002;
47 Ninomiya, 2007). Whereas oral stereotypic behaviour is influenced more by dietary and
48 feeding related restrictions than environmental variables, locomotor stereotypic behaviours
49 are usually affected by environmental variables, such as access to conspecifics and the
50 number of hours kept indoors (Bashaw et al., 2001). For example, when free-ranging red
51 deer, *Cervus elaphus*, stags are restricted to smaller pens, a higher percentage of these males
52 displayed pacing and vertical/ horizontal head movements (Bashaw et al., 2001). It is evident
53 that stereotypic behaviours are a result of an abnormal animal-environment interaction
54 (Carlstead, 1998).

55 Development of stereotypic behaviour

56 Stereotypic behaviour is known to have an underlying genetic basis, as shown by studies
57 of bank voles, *Clethrionomys glareolus* (Schoeneker and Heller, 2000), striped mice
58 *Rhabdomys* spp, (Schwaibold and Pillay, 2001; Jones et al., 2008) and mink *Mustela vison*
59 (Jeppesen et al., 2004; Svendsen et al., 2007). For example, Schwaibold and Pillay (2001)
60 found that striped mouse young from stereotypic mothers were more likely to display
61 stereotypic behaviour than young of non-stereotypic mothers, both when raised by their own
62 mother or a non-stereotypic foster mother. However, the importance of non-genetic factors
63 (e.g. maternal deprivation) cannot be excluded. Rhesus macaques, *Macaca mulatta*, raised in
64 the absence of their mothers showed high levels of stereotypy, indicating that the particular
65 behaviour was not learnt (at least not from the mother; Latham and Mason, 2008). Social

66 influence and learning may also be important in the development of stereotypy. Cooper and
67 Nicol (1993) showed that visual contact with a stereotypic bank vole, *Clethrionomys*
68 *glareolus*, demonstrator accelerates the development of stereotypy in a neighbouring bank
69 vole, and this behaviour persists even when the demonstrator is absent. The same was
70 observed in horses, whereby individuals housed facing stereotypers tended to display higher
71 levels of weaving than those that had faced away from the stereotypers (Ninomiya et al.,
72 2007).

73 Stereotypic behaviours are often suggested to occur because of aversive, unsuitable and
74 stressful environments (Mason, 1991b) and are believed to result from restraint of
75 movements and frustration from not being able to perform species-specific behaviours
76 (Hogan, 2007; Würbel, 2006). Moreover, stereotypic behaviours often arise from species-
77 typical behaviours, which may be incorporated into normal behavioural patterns (Mason and
78 Mendl, 1993). For example, stereotypy in carnivores is highly prevalent in individuals housed
79 in smaller enclosures with limited opportunities for movement (Clubb and Mason, 2003).
80 However, stereotypic behaviours do not develop in all individuals that are housed in barren
81 conditions (Mason, 1991b), and are affected by the age and context (i.e. life history and
82 rearing environment) of the animal (Mason, 1993).

83 The development of stereotypic behaviours can also be related to the structure of the
84 physical environment (Würbel et al., 1998), which implies that the underlying cause of
85 stereotypic behaviours is the inability to cope with adverse environmental conditions. For
86 example, stereotypic digging in the corners of standard laboratory cages in the Mongolian
87 gerbil, *Meriones unguiculatus*, is primarily the result of being prevented from burrowing in
88 the cages, which would eventually enable them to retreat to a safe area (Wiedenmayer, 1997).
89 Similarly, the development of locomotor stereotypies observed in bank voles, *Clethrionomys*
90 *glareolus*, may occur because of unsuccessful attempts to climb out of the cage
91 (Wiedenmayer, 1997). The captive environment therefore may create circumstances that are
92 very different to the natural habitat and so normal behaviours (e.g. exploration, play and
93 social behaviours) are gradually replaced by abnormal behaviours, such as stereotypic or
94 apathetic behaviours (Mason, 1991a; Rushen, 1993; Wiedenmayer, 1997; Hogan and Tribe,
95 2007).

96 Stereotypic behaviour and perseveration

97 Positive relationships between stereotypy frequency and perseveration (i.e. recurrence
98 of a behaviour in the absence of the original eliciting stimulus) have been noted in captive

99 bank voles, blue tits, marsh tits (Garner et al., 2003) and orange-wing Amazon parrots,
100 *Amazona amazonica* (Vickery and Mason, 2005). This relationship has been proposed to
101 occur because the captive environments that cause stereotypy modify features of behavioural
102 organisation by affecting the functioning of the dorsal striatum in the forebrain (Vickery and
103 Mason, 2005). The dorsal striatum is part of the basal ganglia, which is involved in the
104 selection and ordering of behavioural patterns, inhibition of inappropriate behaviours as well
105 as the maintenance of behavioural flexibility (Garner et al., 2003; Vickery and Mason, 2005;
106 Garner, 2006, Graybiel, 2008). The altered functioning of these neural structures and thus the
107 inability to inhibit inappropriate behaviours, contribute to the development and long-term
108 maintenance of stereotypic behaviours (Garner et al., 2003; McBride and Hemmings, 2009;
109 McBride and Parker, 2015). For example, older voles, *Clethrionomys glareolus*, show
110 stronger perseveration of stereotypic behaviours than younger voles after environmental
111 enrichment (Cooper et al., 1996), and established stereotypic behaviours in voles are easily
112 increased following an arousing or stressful stimulus (Ödberg, 1987; Cooper and Nicol,
113 1991). Stereotypic behaviours become perseverative (or bad habits) if they become centrally
114 controlled (i.e. with repetition, behaviour shifts into a form of automatic processing; Mason
115 and Latham, 2004) and over time, the behavioural efforts to cope with the aversive situation
116 slowly become more rigid and increase in frequency and duration (Würbel and Stauffacher,
117 1997).

118 Stereotypic behaviour and coping

119 A variety of responses (termed “coping strategies”), combining physiological and
120 behavioural mechanisms, are shown by captive or farm animals in response to challenges or
121 changes posed by the environment they inhabit (Levine, 1985; Groothuis and Carere, 2005).
122 The coping hypothesis states that an organism develops stereotypy in order to cope with the
123 hostile conditions in which it is housed (Rushen, 1993). In addition, coping is an individual’s
124 response to a stressor (Schouten and Wiepkema, 1991), whereby detrimental physiological
125 outcomes of the stressor can be minimised. Whilst some studies show that stereotypic
126 behaviours are associated with a decrease in physiological measures of stress and can be
127 regarded as a successful coping behaviour, other experimental studies have been unsuccessful
128 in showing the stress-reducing effects of stereotypy. For example, Wechsler (1995) showed
129 that increases in stereotypic behaviour of individual rats sensitised to amphetamine were
130 associated with a decrease in plasma corticosterone levels, while Terlouw et al. (1991) found
131 no relationship between the level of post-feeding stereotypic behaviour and plasma cortisol

132 levels, and also no increase in cortisol concentrations in sows prevented from performing
133 stereotypic behaviours. Therefore, the coping hypothesis remains questionable and it is
134 essential that stereotypic behaviours should only be considered as a warning of suffering but
135 not necessarily a sole indicator thereof (Mason and Latham, 2004).

136 Adversaries of the coping hypothesis dispute the concept of coping and state that not
137 all forms of stereotypy are responses to stress (Mason, 1991a; Cooper and Nicol, 1993). For
138 instance, once established, stereotypic behaviours become less dependent on the initiating
139 stimulus (Würbel et al., 1996; Wiedenmayer, 1997). It has been suggested that some
140 stereotypic behaviours might reflect poor welfare of captive animals (Mason and Latham,
141 2004) and that they do not result in coping. For example, chain-manipulation by sows showed
142 no activation of the pituitary-adrenal system, which is usually initiated in response to an
143 aversive situation (Mason, 1991a). In bank voles, stereotypic rather than non-stereotypic
144 individuals were apprehensive and tended to flee on exposure to loud noise (Ödberg, 1987),
145 which might mean that the stereotypic voles are not coping.

146

147 **Personality**

148 To understand how individual animals cope with particular circumstances, it is
149 essential to comprehend the differences between individuals and how they respond to
150 stressful conditions. Behavioural and physiological differences are common between
151 individuals of the same species and vary within and between age classes and between sexes.
152 For example, female rats show a greater inclination to novelty than males and therefore
153 display higher levels of exploration and reduced levels of anxiety or fearfulness (Aguilar et
154 al., 2003; Øverli et al., 2006). Individuals may differ in the way they perceive stressors and
155 how these eventually affect them, which ultimately reflect the differences in personalities
156 (Dall, 2004).

157 Personality is defined as inter-individual variation but intra-individual consistency
158 across situations or contexts (Schuett and Dall, 2009; Gosling, 2001) of several behaviours,
159 such as boldness, neophobia, coping styles and behaviour (Dall, 2004; Sih et al., 2004). These
160 individual differences are attributed to temperament or behavioural styles and can lead to
161 differences in exploration, intraspecific aggression and other social behaviours, all of which
162 may influence fitness (Dall, 2004; Sih et al., 2004; Hadley et al., 2006; Svartberg et al.,
163 2005). Furthermore, many studies show that personality is a heritable trait (Benus et al.,
164 1991; Dingemans et al., 2002; Drent et al., 2003; Van Oers et al., 2004) or may result from

165 epigenetic changes mediated by variation in pre- or post-natal environmental conditions
166 (Carere et al., 2005; Macrì and Würbel, 2006; Macrì and Würbel, 2007).

167 Personality and coping

168 Since personality describes consistent behavioural differences across contexts or
169 situations, often such a description can be interchangeably used with terms such as
170 temperament (Gosling, 1998), behavioural syndrome (Sih et al., 2004) and coping styles
171 (Benus et al., 1991; Verbeek et al., 1996; Koolhaas et al., 1999). While, these terms are very
172 similar, the definition of behavioural syndromes and coping styles places emphasis on the
173 existence of “suites of correlated behaviours” (i.e. correlations between different personality
174 traits across contexts or situations; Sih et al., 2004). Personality characteristics are highly
175 correlated within individuals. For example, individual great tits, *Parus major*, vary in their
176 response to stressors and novelties along a behavioural continuum from shy (more fearful and
177 docile) to bold (less fearful, aggressive and risk-taking in exploring novel environments
178 and/or predators), which in turn also co-varies with other behavioural traits, such as
179 aggression, exploration, risk-taking, fearfulness and reactivity (Carere and Van Öers, 2004;
180 Dingemanse and Réale, 2005). Great tits that quickly explored novel environments also
181 immediately explored novel objects (Dingemanse et al., 2002), which shows a consistency of
182 a personality trait.

183 There are two types of coping styles (i.e. an alternative response in reaction to a
184 stressor), namely proactive (active) and reactive (passive) styles (Koolhaas et al., 1999;
185 Koolhaas et al., 2010). Behaviourally, proactive animals are characterized by developing
186 routines, being more aggressive and bold, and, on encountering defeat with a particular
187 challenge, tend to show active avoidance (Janczak et al., 2003) and show behavioural
188 responses, which are independent of environmental stimuli (Benus et al., 1988). In contrast,
189 reactive individuals are dependent on environmental cues, are less aggressive and freeze or
190 display apathetic behaviours when faced with a challenge (Wechsler, 1995; Janczak et al.,
191 2003). For example, in a T-maze task, proactive coping piglets were less successful in
192 reversal learning than reactive coping piglets. Furthermore, proactive copers had more
193 difficulties in preventing their previously reinforced response, implying that proactive
194 animals depend on previous experience and develop routines.

195 The underlying mechanism in the ability of individuals to adopt proactive or reactive
196 coping styles could be attributed to behavioural flexibility (Coppens et al., 2010). Both
197 neuroendocrine and neurobiological factors can explain the mechanisms underpinning

198 behaviour flexibility (Koolhaas et al., 2010; Coppens et al., 2010). While the proactive
199 coping style is controlled through the activation of the sympathetic adrenomedullary system,
200 the reactive coping style is controlled by the activation of the pituitary-adrenocortical system
201 (Wechsler, 1995; Koolhaas et al., 1999). Neurobiologically, individual variation arises from
202 changes in the prefrontal cortex, responsible for behavioural flexibility and behavioural
203 inhibition. In particular, individual variation in the serotonergic input to the medial prefrontal
204 cortex may explain the individual variation in coping styles, since serotonin is involved in
205 behavioural flexibility (Koolhaas et al., 2007; Koolhaas, et al., 2010; Coppens et al., 2010).

206 Recently, Ijichi et al. (2013) proposed that personality might play a part in the
207 development of stereotypic behaviours. They suggest that because stereotypic animals show
208 an active response to stress by attempting to exert control over the external stressor (e.g. sub-
209 optimal housing), they have a proactive (flight-fight response) coping style, while non-
210 stereotypic animals would show a reactive (conservation-withdrawal response) coping style,
211 and be unable to exert control over the stressor. Furthermore, Ijichi et al. (2013) suggest that
212 due to the many behavioural and physiological similarities between proactive and stereotypic
213 individuals, these phenomena may be linked. For example, both stereotypic and proactive
214 individuals have the propensity to develop routines (Benus et al., 1988; Koolhaas et al., 1999;
215 Bolhuis et al., 2004). Mechanistically, dopamine, which has been implicated in the
216 development of stereotypic behaviour, is also high in proactive individuals. Another
217 neurotransmitter, serotonin that promotes behavioural flexibility is low in both proactive and
218 stereotypic individuals (De Boer and Koolhaas, 2003).

219

220 **Environmental Enrichment**

221 The improvement of the lives of captive animals (termed environmental enrichment)
222 has received much attention. Environmental enrichment can be defined as using objects
223 (wheels, toys, tunnels) and cage designs to enhance the quality of life of captive animals, thus
224 providing an alternative to the monotonous environment created by captive conditions
225 (Pietro Paolo et al., 2004; Friske and Gammie, 2005; Simpson and Kelly, 2011). In practice,
226 there are many different ways of enriching the physical and social environments of captive
227 animals. These include introducing biologically relevant features such as tunnels, designing
228 more suitable exhibits in zoos, increasing the number and diversity of behavioural
229 opportunities, by allowing the animals to perform more natural behaviours such as foraging
230 or exploration, providing shelters so that animals can escape from perceived threats,

231 stimulating animals cognitively through training (novel-object recognition), and housing
232 social animals in groups rather than individually (Newberry, 1995; Shepherdson, 1998;
233 Mellen and MacPhee, 2001; Young, 2003).

234 Environmental enrichment is said to ameliorate some of the problems created by
235 captivity, such as stereotypic and other abnormal behaviours, by changing the animal's
236 environment in a way that promotes the performance of behaviours that are within the normal
237 range of the animal's species-specific repertoire, as well as improving health and
238 reproductive success (Newberry, 1995; Van de Weerd et al., 1997; Young, 2003). Enriched
239 environments are also associated with structural and biochemical changes in the brain of
240 captive animals, by increasing the number and density of neurons and synapses as well as by
241 increasing dendritic arborisation (Van Praag et al., 2000; Würbel, 2001). The functional
242 consequences of these changes include enhanced memory, learning, and, perhaps the most
243 crucial, the ability to cope with environmental challenges (Newberry, 1995; Young, 2003).
244 Despite the positive outcomes of environmental enrichments, there are also drawbacks
245 associated with such implementations and a question that arises is why environmental
246 enrichment not always effective in its intended goal (Swaigood and Shepherdson, 2006).
247 Some animals may find novelty frightening and more stressful since it might not allow for
248 normal behaviours to take place (Jordan, 2005) or these complex environments may still
249 present an unnatural degree of monotony. For example, stereotypy was still prevalent in ICR
250 mice (outbred albino strain of laboratory mice) *Mus musculus*, after being enriched
251 (Balcombe, 2006). Furthermore, Wistar rats housed under enriched conditions actually
252 showed higher levels of resting plasma corticosterone levels, larger adrenal glands and an
253 increase in corticosterone release in response to a buspirone challenge in comparison to the
254 controls, implying that some animals can actually find novelty frightening (Moncek et al.,
255 2004). Environmental enrichment may also make abnormal behaviours even more
256 complicated by bringing about aggression or territoriality. For example, shelters and complex
257 cages may benefit some mice, but these items may induce territoriality and aggression and
258 hence become deleterious to others (Marashi et al., 2003; Garner, 2005; Mason et al., 2007).
259 Furthermore, environmental enrichments might sometimes enhance stereotypic behaviour.
260 For example, increasing cage size in Arctic blue foxes, *Alopex lagopus*, and presenting
261 minks, *Mustela vison*, with 'playballs' increased stereotypic behaviour (Korhonen et al.,
262 2001).

263 Another factor that might potentially affect the efficacy of environmental enrichment
264 is habituation. For example, stump-tailed macaque, *Macaca arctoides* and Barbary macaque,

265 *Macaca sylvanus* provided with a device filled with food lost interest in it after a couple of
266 hours despite food still available in the device (Vick et al., 2000). Furthermore, conditions
267 experienced during early life can also have important effects on subsequent life stages
268 (Lindstrom, 1999; Lummaa and Clutton-Brock, 2002). For example, it has been shown in
269 humans, that children who have been raised under impoverished conditions or have been
270 raised by single rather than both parents from birth show better cognitive and behavioural
271 outcomes (Jaffee et al., 2003). Similarly, in other mammals, the quality of rearing
272 environment, for example changes in social environment during early life (Würbel and
273 Stauffacher, 1997; Jones et al., 2010) can result in behavioural changes in adults such as poor
274 social interaction (Pryce et al., 2005), stereotypic behaviours (Würbel, 2006) as well as
275 individual differences in coping responses to stress (Branchi et al., 2011; Chapman et al.,
276 2010; Caldji, 2000). Those reared in more enriched conditions exhibit reduced anxiety related
277 behaviours (Fares et al., 2013) and an increase in locomotory and exploratory activities,
278 object exploration and learning ability (Marashi et al., 2003).

279 Increasing species-specific behaviours can best be achieved by determining which
280 behaviours naturally occur in the wild (e.g. exploration, activity levels, foraging behaviours)
281 and thereafter providing an enrichment that particularly reinforces/provides opportunities for
282 expression of these behaviours (Tarou and Bashaw, 2007). Before introducing environmental
283 enrichment to reduce stereotypic behaviour, it is important to consider the natural behaviour
284 of the animal (Mason et al., 2007). For example, stereotypic cage digging in Mongolian
285 gerbils, *Meriones unguiculatus*, may not mean that these animals need a digging substrate,
286 since in nature, this behaviour may have occurred while trying to construct a tunnel-like
287 burrow to retreat into a safer area, and therefore it might be more suitable to provide these
288 animals with tunnel-like dens, rather than other environmental enrichment devices
289 (Wiedenmayer, 1997).

290 Environmental enrichments are usually tailored for a particular target species. Hansen
291 and Berthelson (2000) raised the back of the cage of rabbits, *Oryctolagus cuniculus*, since
292 these rabbits prefer to perch in high areas to survey their surroundings. When allowed access
293 to artificial burrows, the development of stereotypic digging in Mongolian gerbils, *Meriones*
294 *unguiculatus*, was completely eliminated (Wiedenmayer, 1997). Furthermore, provision of
295 hay and twigs significantly reduced stereotypy in bank voles, *Clethrionomys glareolus*, as the
296 presence of cover may have decreased the incentive to escape or have given them the
297 opportunity to hide (Ödberg, 1987; Cooper et al., 1996).

298 Several studies indicated that increasing cage complexity and the addition of
299 environmental enrichments reduced stereotypic behaviours and promoted more episodes of
300 natural behaviours (e.g. bank voles, *Clethrionomys glareolus*, Ödberg, 1987; deer mice,
301 *Peromyscus Maniculatus*, Powell et al., 1999, 2000; dairy cattle, Redbo, 1990; lion-tailed
302 macaques, *Macaca Silenus*, Mallapur et al., 2005). Environmental enrichments, in terms of
303 food provision, were helpful for stabled horses, since horses in their ‘natural’ environments
304 spend a large proportion of time foraging (Winskill et al., 1996). However, additional space
305 or environmental complexity is not always successful in reducing stereotypic behaviour. For
306 example, stereotyping chimpanzees, *Pan troglodytes*, and chickens continued to exhibit
307 stereotypic behaviours even after being transferred from barren housing to a complex
308 environment, while horses, which crib bite and wind suck in the stable, still showed this
309 behaviour at pasture (reviewed in Cooper et al., 1996). Some studies have shown that
310 environmental enrichment can also protect against (provide neuroprotection) stereotypic
311 behaviour even after the enrichment is removed (Ödberg, 1986; Powell et al., 1999, 2000;
312 Jones et al., 2011). For example, when bank voles, *Clethrionomys glareolus*, were transferred
313 from enriched to barren conditions after 60 days, they did not develop higher rates of
314 stereotypy. The same was found in deer mice, *Peromyscus maniculatus*, whereby both early
315 (at weaning) and late (after day 60 of age) exposure to environmental complexity resulted in
316 lower rates of stereotypic behaviour (Powell et al., 1999, 2000).

317 Enriched animals have been observed to explore new environments quickly and to
318 approach novel items faster than control animals in tests of anxiety and exploratory behaviour
319 (open field and elevated plus maze, Friske and Gammie 2005). In addition, enriched house
320 mice show an increase in locomotory and exploratory activities, object exploration and
321 learning ability (Marashi et al., 2003). When provided with novel objects for environmental
322 enrichment, orange-winged Amazon parrots, were less fearful (i.e. showed shorter latencies
323 to approach), and approached and interacted with the novel objects many times, and
324 performed many other behaviours (Meehan and Mench, 2002). This indicates that
325 environmental enrichment can be a useful strategy to reduce anxiety-related behaviours and
326 sensitivity to environmental stressors, such as novelty and human handling (Fox and Millam,
327 2006).

328

329 **Study species**

330 The African striped mouse *Rhabdomys dilectus*

331 The African striped mouse, genus *Rhabdomys*, is a diurnal murid rodent, with an adult
332 weight of 40-80 g in nature. It is widespread in many biomes in southern Africa, such as
333 grassland, desert, semi-deserts and forests (Skinner and Chimimba, 2005). Across its range,
334 the striped mouse displays a diurnal, bimodal activity pattern, with most activity concentrated
335 around the mornings and evenings (Schradin, 2005). Striped mice are opportunistic,
336 omnivorous rodents and their behavioural plasticity (Schradin et al., 2012; Schradin and
337 Pillay, 2006) is a likely explanation for their wide distribution in southern Africa.

338 In the grassland regions of southern Africa, the striped mouse is a seasonal breeder
339 and is reproductively active from the austral spring (September to November) to the austral
340 autumn (February to April). It has a gestation period of 22-23 days and litter sizes vary from
341 five pups in nature (Brooks, 1982) to approximately seven pups in captivity (Pillay, 2000).
342 Young start eating solid food at 10 days, start exploring outside the nest from 12 days and are
343 weaned at approximately 16 days of age. Striped mice reach sexual maturity at approximately
344 five to six weeks (range 34-90 days, Brooks, 1982). The striped mouse species, *Rhabdomys*
345 *dilectus*, used in this study originated from the Highveld grasslands of central South Africa,
346 where it is solitary living (Schradin and Pillay, 2005). Females rear their litters alone without
347 help from the father (which is common in a sister species *Rhabdomys pumilio*), and both
348 sexes overlap their territories with that of the opposite, but not the same, sex (Schradin and
349 Pillay, 2005).

350 Striped mice are suitable study subjects because they breed readily in captivity, have
351 short generation times and are easy to house and handle. They are also a suitable model for
352 investigating stereotypic behaviour because, whilst few wild caught adult striped mice
353 develop stereotypy in captivity, approximately 50% of captive born individuals become
354 stereotypic as a consequence of housing in standard laboratory cages (Schwaibold and Pillay,
355 2001), without a drug challenge, and without a specific eliciting stimulus (Schwaibold and
356 Pillay, 2001; Van Lierop, 2005). Stereotypic behaviours appear early in development,
357 sometimes as early as weaning, and persist throughout the lifespan (Würbel and Stauffacher,
358 1997).

359

360 **Objectives**

361 My study is concerned with assessing the relationship between stereotypic
362 behaviours, personality and environmental enrichment. Apart from a theoretical study (Ijichi
363 et al., 2013) suggesting the link between personality and the development of stereotypic
364 behaviours, I am not aware of any studies that have empirically tested this relationship.

- 365 • The first objective of my thesis was therefore to test the proposal made by Ijichi et al.
366 (2013). From this study, it became apparent that the stereotypic striped mice were
367 bolder than non-stereotypic mice and displayed a proactive coping style.
- 368 • The second objective was to establish whether personality modulated how stereotypic
369 striped mice interact with environmental enrichment to evaluate the proposition that
370 the success of environmental enrichment in reducing stereotypical behaviours is
371 related to individual differences (i.e. personality). The findings showed that both
372 stereotypic and non-stereotypic striped mice showed flexible behavioural responses to
373 environments of different cage complexity irrespective of the personality type.
- 374 • The third objective was to ascertain whether the age at which the striped mice were
375 exposed to varying levels of environmental complexity as well as the personality of
376 striped mice influences how they interact with the cage complexities, as the ages at
377 which striped mice were exposed to treatments of different cage complexities might
378 influence their behavioural responses. The findings suggested that age does not affect
379 behavioural responses of striped mice to the different treatments and individual
380 differences (i.e. personality) did not associate with the behavioural responses to cages
381 of different complexities in stereotypic mice.
- 382 • The fourth objective was to investigate the use of running wheels and whether it is a
383 form of environmental enrichment or a stereotypic/re-directed behaviour. This aim
384 followed from the second objective of the study, which showed that wheel running
385 increased the overall activity in stereotypic striped mice. Results revealed that
386 individuals differed in the use of the running wheel and it could not be explicitly
387 concluded whether wheel running was a re-directed behaviour or enrichment.

388

389 **Layout of thesis**

390 This thesis comprises an introductory chapter (Chapter 1), four experimental chapters
391 (Chapters 2-5), and a discussion and conclusion chapter (Chapter 6). Each of the

392 experimental chapters is written in a manuscript format for publication, with Chapter 2
393 (Association between personality and stereotypic behaviours in striped mice, *Rhabdomys*
394 *dilectus*) submitted to the journal *Applied Animal Behaviour Science*. Each chapter has its
395 own reference list, with consequent repetition of references, and some introduction and
396 discussion material. Tables and figures are numbered sequentially within each chapter and
397 not for the thesis as a whole. However, the pages for the entire thesis are numbered in
398 sequence and line numbers are provided continuously within chapters.

399

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CHAPTER TWO

Association between personality and stereotypic behaviours in striped mice, *Rhabdomys dilectus*

Abstract

Stereotypic behaviours, which are abnormal, repetitive and invariant behaviours caused by frustration and/or central nervous system dysfunction, develop as a result of sub-optimal captive conditions that provide inadequate motor and sensory stimulation. However, not all individuals housed under such conditions develop stereotypic behaviours. One hypothesis to explain such variation is personality differences (i.e. individual differences). This hypothesis was tested in the African striped mouse, *Rhabdomys dilectus* and it was predicted that stereotypic individuals would show a bolder personality and a proactive coping strategy than non-stereotypic animals. Two experiments were conducted. In the first experiment, adult stereotypic and non-stereotypic striped mice were tested for their personality using three tests (i.e., light-dark, startle-response and novel-object tests). Subsequently, the behaviours of individuals were recorded every second day for 30 days in standard laboratory housing. Stereotypic striped mice were proactive and showed a bolder personality type by spending longer time in the light compartment after a startle response, and showed greater manipulation of cage objects and were more active than non-stereotypic individuals in standard housing. In the second experiment, the personality of juvenile striped mice was tested, and their stereotypic status was ascertained later at adulthood. Again, the startle response test predicted the development of stereotypic behaviour, with stereotypic mice that spent more time in the light compartment (i.e. bolder) showing a greater likelihood of displaying stereotypic behaviours later. Although the data provides support for the association between personality and stereotypic behaviour, these group-level effects (stereotypic vs. non-stereotypic mice) were not evident at the individual level, particularly for stereotypic mice. Therefore, having a proactive coping style does not predict the onset of stereotypic behaviour for all individual striped mice, highlighting individual trajectories for the development of stereotypic behaviours.

Keywords: Coping styles, Ontogeny, Personality, Sub-optimal housing, Stereotypic behaviour, Striped mice

33 **Introduction**

34 Stereotypic behaviours are abnormal, repetitive and invariant behaviours that are
35 caused by frustration and/or central nervous system (CNS) dysfunctions (Mason, 2006). They
36 typically develop under impoverished captive housing, which provides inadequate motor and
37 sensory stimulation, thereby exposing animals to uncontrollable stress and frustration, by
38 preventing animals performing behaviours in their normal repertoire (Mason, 1991 a, b). For
39 example, bar biting in laboratory mice, *Mus musculus* and bank voles, *Clethrionomys*
40 *glareolus* (Nevison et al., 1999; Garner and Mason, 2002) arise from repeated attempts to
41 escape, and pacing in zoo-housed carnivores is apparently linked to the motivation to roam,
42 quantified by the species' home range size as well as the daily distance travelled (Clubb and
43 Vickery, 2006).

44 Intriguingly, not all individuals housed under such impoverished conditions develop
45 stereotypic behaviours, which may imply that there are other explanations for the
46 development of stereotypic behaviours. Apart from environmentally induced effects,
47 stereotypic behaviours have a genetic basis (Schoenecker and Heller, 2000; Schwaibold and
48 Pillay, 2001; Jones et al., 2008), making some individuals genetically predisposed to
49 stereotypic behaviour. Another explanation is the individual variation in behavioural
50 responses, which in itself might be genetically determined. Such variation is encapsulated in
51 the concept of personality, which describes variation in the behavioural and physiological
52 responses of individuals of the same sex to a particular challenge or environmental
53 perturbation (Dall, 2004, Sih et al., 2004; Réale et al., 2007). Personality considers the intra-
54 individual consistency and inter-individual variation (Schuett and Dall, 2009) of several
55 behaviours, notably aggression, activity levels, boldness and exploratory tendencies often
56 retained over time in different situations or contexts (Koolhaas et al., 1999; Gosling, 2001;
57 Sih et al., 2004; Groothuis and Carere, 2005; Réale et al., 2007). Like stereotypic behaviours
58 (Schwaibold and Pillay, 2001; Jones et al., 2008; Hemmann et al., 2014), certain aspects of
59 personality are also heritable (Dingemanse et al., 2002, Drent et al., 2003, Van Oers et al.,
60 2004), or may result from epigenetic changes mediated by variation in pre- or post-natal
61 environmental conditions (Carere et al., 2005; Macrì and Würbel, 2006; Macrì and Würbel,
62 2007). Since stereotypic behaviours are a consequence of the stressful and aversive
63 environmental conditions and are a way of coping with such environments, Ijichi et al. (2013)
64 hypothesized that personality might be associated with the development of stereotypic
65 behaviours. In particular, they suggest that because stereotypic animals show an active
66 response to stress by attempting to exert control over the external stressor (e.g. sub-optimal

67 housing), they have a proactive (flight-fight response) coping style, as defined by Benus et al.
68 (1991). In contrast, non-stereotypic animals would show a reactive (conservation-withdrawal
69 response) coping style, and are unable to exert control over the stressor (Koolhaas et al.,
70 1999). The proximate mechanisms underlying the ability of individuals to respond to
71 environmental challenges can be explained to arise from changes in the prefrontal cortex,
72 responsible for behavioural flexibility and behavioural inhibition. In particular, individual
73 variation in the serotonergic input to the medial prefrontal cortex may explain the individual
74 variation in coping styles, since serotonin is involved in behavioural flexibility (Koolhaas et
75 al., 2007; Koolhaas, et al., 2010; Coppens et al., 2010).

76 The aim of this study was to test the hypothesis proposed by Ijichi et al. (2013), which
77 has not been empirically tested to date. The personality type of the stereotypic individuals of
78 my study model, the African striped mouse, *Rhabdomys dilectus*, was investigated. Striped
79 mice readily display stereotypic behaviours, with approximately half of captive striped mice
80 born in our colony at University of the Witwatersrand exhibiting stereotypic behaviours
81 because of housing in standard laboratory cages (Schwaibold and Pillay, 2001). There is a
82 strong indication that stereotypic behaviour in striped mice has a genetic basis (Schwaibold
83 and Pillay, 2001) and stereotypic mothers are five times more likely to produce stereotypic
84 than non-stereotypic offspring (Jones et al., 2008).

85 Striped mice have both stereotypic and non-stereotypic forms, making it an ideal
86 model for investigating the role of personality in the development of stereotypic behaviours.
87 Two sets of experiments were conducted. In the first experiment, stereotypic and non-
88 stereotypic individuals were exposed to three personality tests, after which their behaviour
89 was recorded in standard laboratory housing for 30 days. It was predicted that stereotypic
90 striped mice would show a proactive coping style which is characterized by being bolder (i.e.
91 greater propensity of an individual to take risks, be quick to approach novel objects, explore
92 in novel environments and show more activity; Wilson et al., 1993), while non-stereotypic
93 striped mice would be less bold and show a reactive coping style. In the second experiment,
94 juveniles at 30 days of age were subjected to two personality tests and the emergence of
95 stereotypic behaviour was monitored 50 days later when they were adult. It was predicted
96 that a bolder, proactive personality type would lead to the development of stereotypic
97 behaviour (Ijichi et al., 2013).

98 **Materials and Methods**

99 Striped mice, *Rhabdomys dilectus*, used in this study were captive born F1 and F2
100 individuals from a lab colony established in 2010, originating from a grassland population in
101 Pretoria (25° 40' S; 28° 30' E), South Africa. They were housed in the Milner Park Animal
102 Unit, University of the Witwatersrand under partially controlled environmental conditions:
103 14L: 10D light: dark cycle (lights on at 05h00); 22°C-24°C and 30-60% rH. Subjects were
104 housed singly in clear Lab-o-tec™ cages (L × H × W: 300 mm × 200 mm × 150 mm). Wood
105 shavings (± 3 cm) were provided as bedding with a handful of *Eragrostis* sp. grass (± 20 g)
106 and ± 5 g of shredded tissue paper for nesting material. PVC nest-boxes (L × H × W: 100 mm
107 × 100 mm × 150 mm, open at both ends) were also provided in each cage. Epol® mouse
108 cubes and water were available *ad libitum*. Approximately 5 g of fresh fruit (apples, pears) or
109 vegetables (lettuce, carrots, broccoli) and ± 5 g of mixed seed were supplied daily per
110 individual.

111

112 Experiment 1

113 Preliminary observations

114 Video-recordings were made of 38 adult (>100 days of age) males and females
115 housed singly, in order to identify stereotypic and non-stereotypic individuals and the form of
116 stereotypy displayed by all individuals. The behaviours of individuals were video-recorded
117 for 15 minutes a day per individual every other day for five days. Video-recordings were
118 made between 09h00-12h00, as striped mice are most active during these times (Pillay,
119 2000); no human observers were present in the room during this time. From these video-
120 recordings, 26 stereotypic mice (14 males, 12 females) and 12 non-stereotypic mice (6 males,
121 6 females) were identified for our study. All stereotypic mice displayed locomotor stereotypic
122 behaviours (i.e. circuit runners and somersaulters); only striped mice with locomotory
123 stereotypy were used, to account for variation in the underlying aetiology of different forms
124 of stereotypy (Mason, 1991a; Würbel, 2006). Stereotypic individuals were those that
125 exhibited 10 or more bouts of stereotypy per observation session, each with three or more
126 repetitions (after Jones et al., 2008). Individuals that did not exhibit any stereotypic behaviour
127 were classified as non-stereotypic and were used as a comparison with the stereotypic
128 individuals. Since stereotypic behaviour is an ‘all or nothing’ occurrence in striped mice (i.e.
129 an individual either displayed or did not display stereotypies). Only the absence or presence
130 of stereotypic behaviours was recorded (see Jones et al., 2008); non-stereotypic mice never
131 displayed stereotypic behaviours. Thereafter, the 26 stereotypic and 12 non-stereotypic

132 striped mice underwent three conventional personality tests (see Miller et al., 2006), as
133 described below. These tests have been routinely performed on striped mice in captivity
134 (Rymer et al., 2008; Jones et al., 2011) and in nature (Yuen et al., 2015).

135

136 Light-dark test

137 A glass tank divided into two equal-sized compartments (L × H × W: 300 mm × 225
138 mm × 300 mm), using a Perspex partition: one-half of the tank was painted black (dark
139 compartment); with black walls and a black lid whilst the other side was transparent with
140 clear walls and a clear lid (light compartment) was used. The partition had a small opening at
141 the base for the test individual to move from the light compartment to the dark compartment.
142 The tank was cleaned with disinfectant soap and air-dried between tests to reduce carry-over
143 odour effects of test subjects.

144 At the start of each test, the test subject was placed in the dark compartment, facing
145 away from the opening leading to the light compartment. Its behaviour was video-recorded
146 for 5 minutes immediately thereafter. Using Observer software (version 5.0; Noldus
147 Information Technology), the following variables were later scored from the video-
148 recordings: latency (in seconds) to move from the dark to the light compartment (latency to
149 emerge from dark compartment); latency (in seconds) to return to the dark compartment after
150 first entry into the light compartment (latency to emerge from light compartment); total time
151 spent in the light and dark compartments. Behaviours were only recorded in the light
152 compartment of the test tank because the subject was not visible in the dark compartment.

153

154 Startle response test

155 The startle response test followed immediately after the light-dark test. On the test
156 subject's subsequent entry into the light compartment (after the end of the 5 minute light-dark
157 test), the mouse was startled by clapping hands next to the tank, upon which it immediately
158 retreated into the dark area. The same parameters in the light-dark test were scored in this test
159 for a further 5 minutes.

160

161 Novel object test

162 This test was conducted 24 hours after the light-dark and startle tests. For this test, a
163 glass tank (L x H x W: 600 mm × 300mm × 250 mm) with opaque sides was used. A novel
164 round plastic object (± 60 mm diameter) was placed in the opposite corner of the tank furthest
165 away from the subject. The behaviour of the individual was video-recorded for 10 minutes,

166 and the following behaviours were scored: latency (in seconds) to approach the novel object,
 167 behaviours displayed on approaching the novel object, including object manipulation (biting
 168 and sniffing of novel object) and time spent within 5 cm of the novel object. The tank was
 169 cleaned with disinfectant soap between tests. Between personality tests, individuals were
 170 always returned to their standard laboratory housing.

171

172 Behaviour in the home cage

173 Striped mice were housed individually in their standard laboratory housing, furnished
 174 as described above for a further 30 days and their behaviours recorded every second day for
 175 15 days. Every two weeks, the cages and the PVC nest boxes were cleaned and replaced.
 176 Using Observer software, the duration of three behaviours (inactivity, activity and stereotypic
 177 behaviour if applicable; see Table 1) and the frequency of six behaviours (inactivity, activity,
 178 manipulation, feeding, grooming and stereotypic behaviour if applicable) were scored
 179 between 09h00 to 12h00 using continuous sampling. The data were summed for all days for
 180 data analyses.

181

182 **Table 1. Ethogram of striped mice behaviours scored in the standard laboratory housing**

Behaviour	Definition
Inactive	Individual motionless and resting or out of sight
Active	Non-stereotypic movement or running on the cage floor usually digging in the wood shavings
Object manipulation	Manipulating enrichments (e.g. biting or nudging of cardboard tubes and wheels)
Feeding/Drinking	Manipulating or chewing of mouse cubes, seeds or vegetables/fruits and drinking from a water bottle
Stereotypic behaviour	A repetitive and invariant behaviour > 3 times in succession
Grooming	Squatting on hind legs, grooming head, body, tail, and/or genitals

183

184 Experiment 2

185 In this experiment, I investigated whether personality predicted the onset of
 186 stereotypy at a later age. Since stereotypy is observed as early as 40 days of age in striped
 187 mice (Jones, 2012), juveniles that were weaned and housed alone at 30 days were screened
 188 for this study. A haphazard sample of 40 (20 of each sex), each from a different litter was

189 selected for study. They were observed for 6 hours over 2 days to ensure that none showed
190 any stereotypic behaviour. Their responses in the light-dark and startle response tests were
191 then tested twice on two sequential days, using the protocol described earlier. These two tests
192 were chosen based on the outcome of experiment 1 (see Results). At 80 days of age (48 days
193 after personality tests), the incidence of stereotypic behaviour was recorded daily for 10 days
194 from 09h00 to 12h00. It was also recorded whether or not an individual displayed stereotypic
195 behaviour and, if so, the type of stereotypic behaviour. These observations were conducted at
196 80 days of age since captive striped mice reach adulthood then and 90% of striped mice that
197 develop stereotypy do so at this age (Jones, unpublished data).

198 Of the 40 individuals monitored, 19 (10 male, 9 female) showed locomotor
199 stereotypic behaviour (circuit running, somersaulting) and 14 (9 male, 5 female) did not show
200 any stereotypy. The remaining 7 individuals displayed non-locomotor stereotypy and were
201 excluded from the analysis.

202

203 Data Analyses

204 Data were checked for normality and homogeneity of variance using the Shapiro-Wilk and
205 Levene's tests. Analyses were done using Statistica (version 7). All statistical tests were two-
206 tailed, with the model level significance set at $\alpha=0.05$. Fishers posthoc tests were used to
207 identify specific trends when predictors were significant. The data set was analysed at the
208 group level (i.e. stereotypic vs non-stereotypic striped mice) and the individual level (intra-
209 group) to assess variation in behavioural responses.

210

211 Experiment 1

212 A general linear model (GLM) for multiple dependents was used to analyse the
213 behavioural responses of stereotypic and non-stereotypic mice (stereotypy status) and sex for
214 each personality test separately. The latency to approach the novel object, time spent with
215 novel object (novel-object test), latency to emerge from the dark compartment, time spent in
216 the dark compartment (light-dark test), latency to emerge from the dark compartment and
217 time spent in the light compartment (startle test) were included as dependant variables.

218 To assess whether personality scores were consistent among personality tests, a
219 Pearson's product-moment correlation coefficient was used to evaluate correlations between
220 the different variables of the personality test scores (as above) separately for stereotypic and
221 non-stereotypic mice.

222 To investigate behavioural differences between the stereotypic and non-stereotypic
223 striped mice of both sexes in the standard laboratory housing, a GLM for multiple dependents
224 was run using six behaviours (Table 1).

225 Since personality describes individual variation in behaviour, the relationship between
226 personality and the behaviours of individual stereotypic and non-stereotypic striped mice in
227 the standard laboratory housing was assessed, using a polynomial multivariate regression (for
228 multiple dependents); data for the sexes were pooled based on the GLM analyses. The
229 frequency of the six behaviours and the duration of only activity, inactivity and stereotypic
230 behaviours were included as dependent variables and the personality scores (as above) were
231 used as the continuous predictors; other behaviours occurred infrequently and were too short
232 to be scored. Separate tests were conducted for non-stereotypic individuals since they do not
233 exhibit stereotypic behaviours. For all dependent variables, the homogeneity of slopes of the
234 continuous predictors and their interaction were examined first to determine whether a single
235 test with multiple dependent or separate regressions were required. For both tests, the
236 coefficient of determination, F- and P-values and parameter estimates for linear and
237 polynomial decomposition are reported. The beta coefficients, calculated by standardising all
238 variables to a mean of 0 and a standard deviation of 1 were also examined. The polynomial
239 variables are reported as linear and quadratic functions, indicated with a “²”.

240

241 Experiment 2

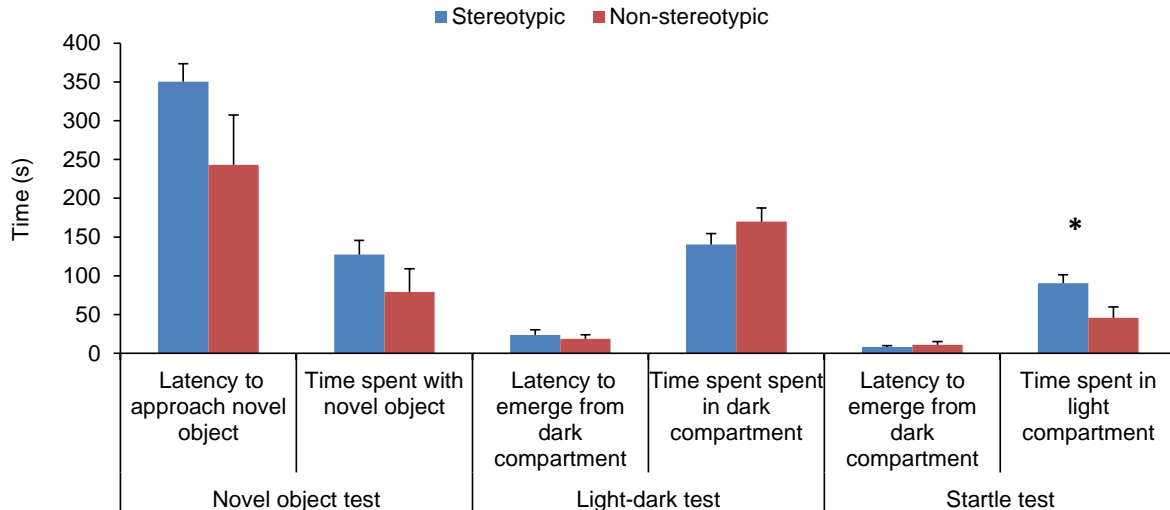
242 I averaged the personality scores per test over the two days of testing. I then used a
243 GLM for multiple dependents to analyse the personality test scores of individuals that later
244 developed stereotypy and those that did not. Stereotypy status and sex were categorical
245 predictors.

246 **Results**

247 Experiment 1

248 Personality

249 There was a significant stereotypy status effect on the behaviours in the startle
250 response test (Table 2), with stereotypic striped mice spending significantly more time in the
251 light compartment of the light-dark tank after a startle response (Figure 1). There were no
252 significant differences between stereotypic and non-stereotypic mice in the latency to
253 approach the novel object, time spent with the novel object, latency to emerge from the dark
254



255

256 **Figure 1. Time (s) of behaviours (mean + SE) in the novel object, light-dark and startle**
 257 **personality tests for stereotypic and non-stereotypic mice. Bars with * above them are**
 258 **significantly different (Fishers post hoc tests).**

259 compartment and time spent in the dark compartment (light-dark test) and latency to emerge
 260 from the dark compartment (startle test; Table 2).

261

262 **Table 2. Statistical output for the analysis of the stereotypic status (stereotypic vs non-**
 263 **stereotypic striped mice) and sex in three personality tests. Significant predictors are shown in**
 264 **bold.**

Personality test	Variable	GLM	P
Novel object test	Stereotypy status	F _{2, 33} = 2.47	0.100
	Sex	F _{2, 33} = 0.02	0.982
	Stereotypy status*sex	F _{2, 33} = 2.93	0.067
Light-dark test	Stereotypy status	F _{2, 33} = 0.70	0.505
	Sex	F _{2, 33} = 0.33	0.720
	Stereotypy status*sex	F _{2, 33} = 0.61	0.548
Startle test	Stereotypy status	F_{2, 33} = 3.59	0.039
	Sex	F _{2, 33} = 0.44	0.647
	Stereotypy status*sex	F _{2, 33} = 1.29	0.289

265

266 Pearson's product-moment correlation coefficient analyses showed negative
 267 correlations between time spent in the dark compartment (Light-dark test) and (i) latency to
 268 approach novel object (Novel-object test) and (ii) latency to emerge from the dark
 269 compartment (Light-dark test) in stereotypic striped mice (Table 3). This indicated that

270

271 **Table 3. Statistical output for the Pearson's product-moment correlation coefficient analyses for**
 272 **three personality tests in stereotypic (above the diagonal) and non-stereotypic (below the**
 273 **diagonal) striped mice. Values in bold are significant at p<0.05.**

		Stereotypic					
		Latency to approach novel object	Time spent with novel object	Latency to emerge from dark compartment	Time spent in dark compartment	Latency to emerge from dark compartment	Time spent in light compartment
Non-stereotypic	Latency to approach novel object		0.20	0.26	-0.48	0.19	0.18
	Time spent with novel object	0.54		-0.27	-0.23	0.36	0.64
	Latency to emerge from dark compartment	0.21	0.18		-0.52	-0.05	-0.27
	Time spent in dark compartment	-0.13	-0.34	0.19		-0.23	-0.38
	Latency to emerge from dark compartment	0.42	-0.10	-0.38	0.03		0.38
	Time spent in light compartment	0.28	0.49	-0.05	-0.49	0.05	

275

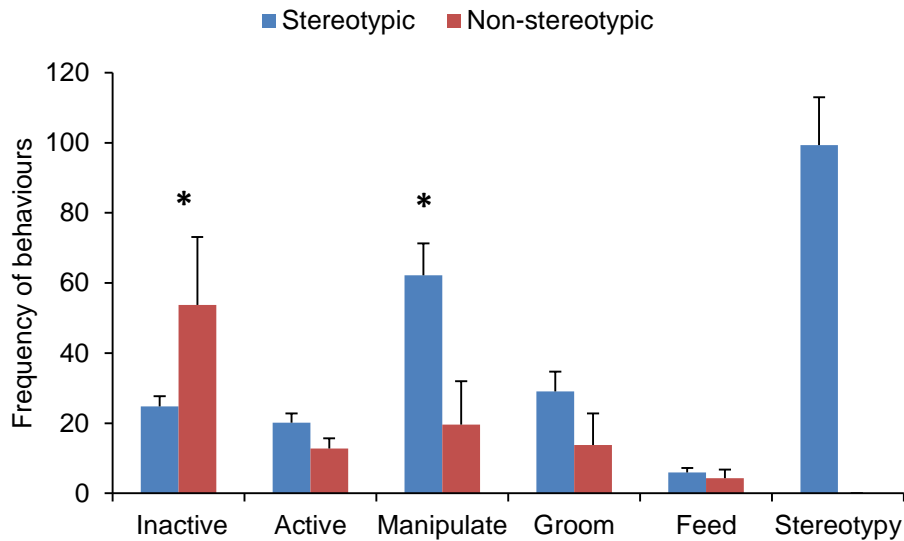
276 stereotypic mice that spent more time the dark compartment also showed a shorter latency to
 277 approach the novel object and a shorter latency to emerge from the dark compartment. There
 278 was one positive correlation between the time spent in the light compartment (Startle test)
 279 and time spent with the novel object (Novel-object test). This indicated that stereotypic mice
 280 that spent more time in the light compartment also spent more time with the novel object.
 281 There were no correlations between personality test scores in non-stereotypic mice.

282

283 Behaviour in the home cage

284 There was a significant stereotypy status effect on the frequency of five behaviours ($F_{2, 33} = 6.66, p < 0.001$). Non-stereotypic striped mice were frequently more inactive than
 285 stereotypic mice, while stereotypic striped mice showed higher counts of object
 286 manipulation. There were no differences in the frequencies of activity, grooming and feeding
 287 between stereotypic and non-stereotypic striped mice (Figure 2). Sex ($F_{2, 33} = 1.24, p = 0.313$)
 288 and stereotypy status * sex ($F_{2, 33} = 0.36, p = 0.869$) were not significant predictors of the
 289 behaviours.

291 Stereotypy status influenced the duration of behaviours ($F_{2, 33} = 25.66, p < 0.001$), with
 292 non-stereotypic striped mice displaying higher levels of inactivity than stereotypic striped
 293



294

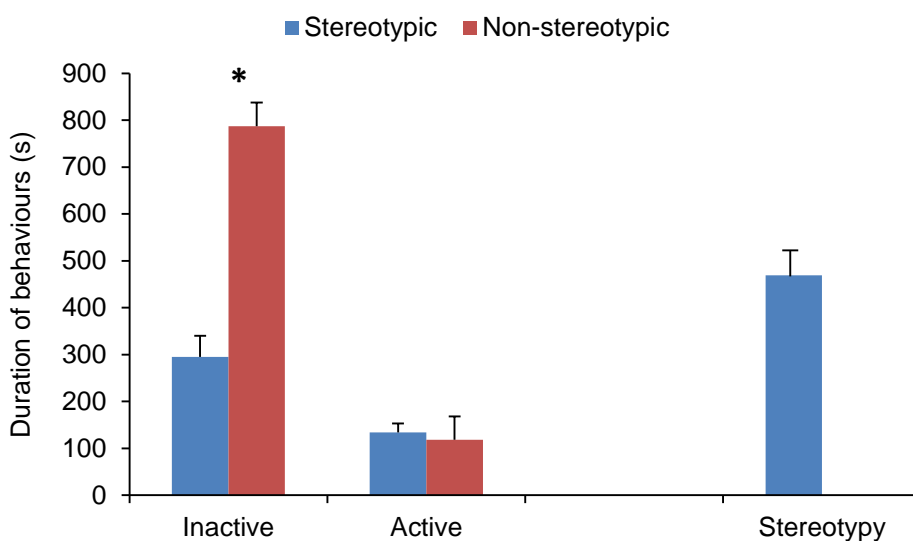
295 **Figure 2. Mean (\pm SE) frequency of behaviours displayed by stereotypic and non-stereotypic**
 296 **striped mice in standard housing. Bars with * are significantly different (Fishers post hoc tests).**
 297 **Stereotypy was observed in stereotypic striped mice only and shown here for comparison.**

298 mice. However, there were no significant differences in activity between stereotypic and non-
 299 stereotypic striped mice (Figure 3). Sex ($F_{2,33} = 1.27, p=0.294$) and stereotypy status*sex ($F_{2,33} = 1.102, p=0.344$)
 300 were not significant predictors of the behaviours.

301

302 Though stereotypic behaviours were not statistically analysed because non-stereotypic
 303 striped mice do not display stereotypic behaviours, stereotypic behaviour was a predominant
 304 constituent of the ‘general activity’ of stereotypic individuals (Figure 2 and Figure 3).

305



306

307 **Figure 3. Mean (\pm SE) duration (s) of behaviours displayed by stereotypic striped and non-**
 308 **stereotypic striped mice in standard housing. Bars with * are significantly different (Fishers**

309 **post hoc tests). Stereotypy was observed in stereotypic striped mice only and shown here for**
310 **comparison.**

311

312 Personality vs stereotypic behaviours

313 Polynomial multivariate regression analyses were conducted on the frequency of
314 stereotypic behaviours (stereotypic mice), activity, inactivity, object manipulation, feeding
315 and grooming and the duration of stereotypic behaviours (for stereotypic mice), activity and
316 inactivity versus the personality scores (as before) for each individual in the treatment. Since
317 there were many zeroes in the data set for the non-stereotypic mice and the model did not run,
318 behaviours (latency to approach the novel object and time spent with the novel object) from
319 the novel-object personality test were excluded. This was not an issue for the stereotypic
320 mice and thus I report results for all tests for these individuals.

321 An examination of the beta coefficients allows for an assessment of the relative
322 contribution of each independent variable on the dependent variable. For the stereotypic
323 mice, there was a significant association between the frequency of object manipulation and
324 the time spent in the light compartment; a greater time spent in the light compartment in the
325 personality test was correlated with a linear increase in the frequency of object manipulation
326 but a non-random decrease in the frequency of object manipulation (**Supplementary**
327 **material: S1**). There were 36 positive and 34 negative non-significant associations between
328 the personality scores and frequency of behaviours. There were no significant associations
329 between personality test scores and the duration of behaviours in the home cage. However,
330 there were 18 positive and 18 negative non-significant associations between the personality
331 tests scores and the duration of behaviours in the home cage.

332 For the non-stereotypic striped mice, an examination of the beta coefficients indicated
333 that there were 2 significant positive associations between the personality test scores and the
334 frequency of behaviours and 4 significant associations between personality tests scores and
335 the duration of behaviours. There were 2 positive associations between latency to emerge
336 from the dark compartment (light-dark test) and frequency of the polynomial of feeding and
337 time spent in the light compartment² (startle test) and frequency of object manipulation
338 (**Supplementary material: S2**). Furthermore, an examination of the beta coefficients
339 revealed that there were significant associations between latency to emerge from the dark
340 compartment (light-dark test) and duration of activity and duration of inactivity. With an
341 increase in the latency to emerge from the dark compartment, there was a linear increase in
342 activity and a linear decrease in inactivity but a non-random decrease in activity and a non-

343 random increase in inactivity. There were six negative and six positive non-significant
344 associations between the personality test scores and the behaviours.

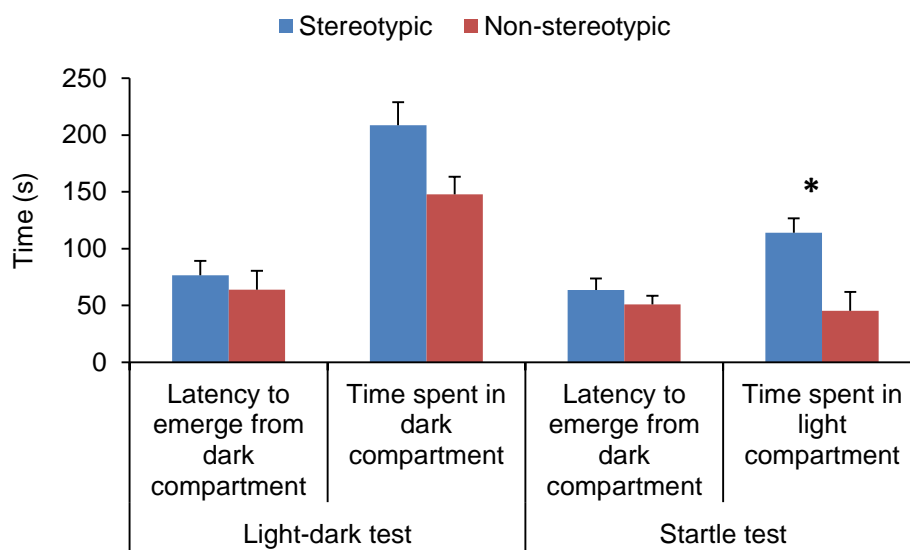
345

346 Experiment 2

347 Stereotypy status ($F_{2, 28} = 2.01, p=0.153$), sex ($F_{2, 28} = 0.61, p=0.555$), stereotypy
348 status*sex ($F_{2, 28} = 0.32, p=0.727$) were not significant predictors of the latency to emerge
349 from the dark and time spent in the dark compartment (Figure 4). In contrast, stereotypy
350 status ($F_{2, 28} = 13.67, p<0.001$) was a significant predictor of behaviours in the startle test,
351 with stereotypic striped mice spending more time in the light compartment, post-startle
352 (Figure 4). This significant difference between the groups was not a result of two distinct
353 groupings. The range of scores for stereotypic striped mice (29 to 233 s) overlapped with
354 those of the non-stereotypic striped mice (11 to 91 s). There was no significant difference in
355 the latency to emerge into the light compartment. Sex ($F_{2, 28} = 2.11, p=0.139$) and
356 stereotypy*sex ($F_{2, 28} = 0.24, p=0.786$) were not significant predictors.

357

358



359

360 **Figure 4. Time (s) of behaviours (mean \pm SE) in the light-dark and startle personality tests for**
361 **stereotypic and non-stereotypic mice respectively. Bars with * are significantly different**
362 **(Fishers post hoc tests).**

363

364 **Discussion**

365 The personality of stereotypic striped mice was studied to assess whether they show a
366 proactive coping response (i.e. an active response) to stress, as proposed by Ijichi et al.

367 (2013). Two experiments were conducted in which standard personality tests were used to
368 ascertain whether stereotypic striped mice display a bolder personality, typified by more
369 exploratory behaviour, activity and reduced anxiety when faced with a novel-object or
370 environment (Wilson et al., 1993). In contrast, non-stereotypic striped mice were expected to
371 show a less bold personality and either retreat or become vigilant when confronted with
372 novelty and also show a reactive coping style (i.e. conservation-withdrawal response),
373 resulting in greater anxiety, fear and inactivity (Meagher et al., 2013; Meagher and Mason,
374 2012).

375 In experiment 1, stereotypic striped mice had a quicker recovery time following a
376 startle and spent a longer time in the light compartment after a startle response compared to
377 the non-stereotypic striped mice. In the home cage, stereotypic mice displayed more object
378 manipulation while non-stereotypic striped mice showed a greater frequency and duration of
379 inactivity. Although stereotypic behaviours could not be compared between the groups,
380 stereotypic behaviours made up a large part of the general activity of stereotypic striped mice.
381 Taken together, the data suggest that stereotypic striped mice are bolder, at least in the startle
382 test, and have a proactive coping style compared to reactive coping style of non-stereotypic
383 striped mice.

384 In experiment 2, the personality was measured at an early age (about 30 days old) and
385 individuals were monitored to assess which became stereotypic later. The startle response test
386 showed that bolder individuals (i.e. those spending more time in the light compartment) were
387 likely to become stereotypic later. None of the other behavioural measures in the startle and
388 light-dark tests predicted later onset of stereotypy. Similarly, Jones (2012) showed that
389 behavioural responses in the light-dark box correspond to the development of stereotypic
390 behaviours in striped mice.

391 The findings from both experiments indicate that only the startle response test could
392 separate stereotypic and non-stereotypic striped mice, suggesting that this is a reliable test for
393 assessing personality of striped mice. The responses following a startle are probably one of
394 the most commonly measured traits in personality studies (Conrad et al., 2011), which
395 measures boldness/fearfulness/anxiety by assessing how quickly an individual recovers from
396 negative stimuli or a mild stressor (Miller et al., 2006). Individuals, which venture into open
397 spaces of the light-dark box, are considered less anxious than those that spend time in
398 “protected” spaces (Dellu et al., 1993). Our results are comparable to that of Van Oers et al.
399 (2004) who showed that in great tits, *Parus major*, individuals that were selected from the
400 ‘fast’ exploration line (i.e. proactive/bold) returned quickly to a feeding table with

401 mealworms after being startled compared to the individuals selected from the ‘slow’
402 exploration line (i.e. reactive/less bold).

403 The most likely explanation for why the Novel object or the Light-dark tests did not
404 influence the behaviour of stereotypic mice could be that stereotypic striped mice were
405 probably including the novel object and cage divisions in the light-dark tests as part of their
406 stereotypic behavioural routine and not actual interactions with the novel object per se. This
407 questions the reliability of personality tests in stereotypic individuals. However, the
408 difference in the Startle test could be attributed to the fact that by startling the stereotypic
409 striped mice, the stereotypic behavioural routine was disrupted which eventually snapped
410 them out of their stereotypic routine, enabling them to ‘explore’ the environment and
411 spending more time in the light compartment after the startle. Similar results were found by
412 Miller et al. (2006), who showed that the latency to peck the novel object correlated with
413 pacing behaviour in the Japanese quail *Coturnix coturnix japonica*. This may suggest that
414 interaction with the novel object was actually incorporated in the stereotypic behavioural
415 routine. Miller et al. (2006) found that only three out of six behaviours that measured
416 fearfulness were valid and thus fearfulness was not consistent through different contexts.
417 Other reasons could be that different personality tests may measure different behavioural
418 traits (Carter et al., 2013) or the same test could vary across species (Weiss and Adams,
419 2013).

420 There were two correlations between personality tests for the time spent in the dark
421 compartment (Light-dark test) and (i) latency to approach novel object (Novel-object test)
422 and (ii) latency to emerge from the dark compartment (Light-dark test) as well as between the
423 time spent in the light compartment (Startle test) and time spent with the novel object (Novel-
424 object test) for stereotypic striped mice and none for non-stereotypic striped mice. In
425 addition, the novel object and light-dark tests could not separate stereotypic and non-
426 stereotypic animals. It appears that behavioural responses were not consistent across contexts,
427 a defining attribute of personality (Gosling, 2001). Indeed, Carter et al. (2013) suggested that
428 the use of multiple personality tests might be measuring different behavioural traits
429 altogether, making interpretation difficult. Nonetheless, the absence of a consistent response
430 is surprising given that it has been shown in *R. pumilio* (a sister species of *R. dilectus*) from a
431 semi-arid environment (Yuen et al., 2015), highlighting possible phylogenetic and habitat
432 differences in responses (Rymer and Pillay, 2012), and suggesting that not all standard
433 personality tests predict the onset of stereotypic behaviour in the genus.

434 Behavioural differences in personality tests are due to extrinsic (environment) and
435 intrinsic factors (e.g. age), which may influence how animals vary their degree of boldness
436 (Chapman et al., 2010; Bell and Stamps, 2004). The responses of stereotypic and non-
437 stereotypic striped mice in personality tests were similar for adults (experiment 1) and
438 juveniles (experiment 2). Personality traits are not always consistent over time and, in some
439 species, personality traits may be plastic within or between life stages (Guenther et al., 2014).
440 For example, juvenile dumpling squid, *Euprymna tasmanica*, showed consistent levels of
441 boldness before and after sexual maturity i.e. adulthood. However, at sexual maturity,
442 boldness varied depending on the context the squid were exposed (Sinn et al., 2008).

443 Since personality describes individual variation in behaviour, which is consistent
444 across contexts (Réale and Dingemanse, 2012), the behaviour of individuals were compared
445 to complement the group level (stereotypic status) effects in order to assess whether
446 behaviour in the home (standard) cage was associated with personality test scores. I expected
447 stereotypic individuals to show a proactive coping style, as shown by increased activity,
448 quicker time to approach novel objects and increased exploration of novel environments,
449 while non-stereotypic mice would show greater inactivity. As expected, at a group level,
450 stereotypic mice showed greater activity and increased object manipulation while non-
451 stereotypic mice exhibited greater inactivity. Nevertheless, at an individual level, both
452 stereotypic and non-stereotypic mice showed flexible behavioural responses.

453 Multivariate regressions indicated two significant associations between personality
454 scores and behaviours for stereotypic striped mice, compared to 6 significant associations for
455 non-stereotypic mice. These results indicate that the behaviours in the personality tests are
456 uncoupled from behaviours in the home cage for both stereotypic and non-stereotypic striped
457 mice. In other words, despite being more or less bold in the personality tests (based on scores
458 of the startle test), both stereotypic and non-stereotypic striped mice did not maintain this
459 pattern in the Standard treatments. Thus, striped mice which were bold in the personality tests
460 were not necessarily bold in the Standard treatment but rather varied their behaviours in the
461 home cage. Furthermore, bolder stereotypic mice (i.e. those spending more time in the light
462 compartment) showed a linear increase in object manipulation, and a non-random decrease in
463 object manipulation, implying inconsistency in behaviours. Although flexible behavioural
464 responses have not been shown in bold rodents, bold rainbow trout, *Oncorhynchus mykiss*,
465 were generally plastic in their levels of neophobia and activity depending on the challenge
466 (Frost et al., 2007). Similarly, bolder non-stereotypic mice (i.e. those spending more time in
467 the light compartment) showed positive associations with object manipulation. There was an

468 unexpected negative relationship between the latency to emerge from the dark compartment
469 of startle test and activity and inactivity. In addition, less bold non-stereotypic striped mice
470 (emerging slowly from the dark compartment) showed a linear increase in activity but a non-
471 random decrease in activity, a linear decrease in inactivity and a non-random decrease in
472 inactivity. It is possible that less bold non-stereotypic animals assess the situation before
473 displaying behaviour and are less predictable in their behaviours. Similarly, when exposed to
474 a shock by an electrified probe, non-aggressive wild house mice, *Mus musculus domesticus*,
475 which showed a longer attack latency (LAL) and regarded as less bold reacted both
476 proactively and reactively. In a familiar and less aversive environment i.e. home cage
477 sawdust, these mice showed a reactive coping style by active defensive burying, while in a
478 stressful and unfamiliar (fresh sawdust) environment, they showed a proactive coping style
479 by showing immobility (Sluyter et al., 1996). In sum, it appears again that individual
480 stereotypic and non-stereotypic striped mice do not show consistent behaviours across
481 contexts.

482 Based on behavioural and physiological similarities between proactive and stereotypic
483 individuals, Ijichi et al. (2013) proposed that a proactive coping style might predispose the
484 onset of stereotypic behaviour, depending on environmental conditions. This study shows
485 that coping styles do not predict behavioural responses under varying conditions and tend to
486 vary in different contexts. This contradicts the view that stereotypic animals are not
487 dependent on environmental stimuli to exhibit behaviours and thus resilient to changes
488 (Fentress, 1976). While stereotypic striped mice showed a proactive and a bolder personality,
489 our data indicate that this group level effect is not consistent at the individual level because of
490 inconsistency across contexts, indicating flexibility across contexts. Non-stereotypic striped
491 mice showed the requisite behaviours for a less bold personality and reactive coping style,
492 and interestingly, their behaviour was consistent (less flexible) across contexts at the group
493 level, while there was some degree of flexible behavioural responses at the individual level.
494 In terms of an individual's propensity to take risks in novel environments, these findings
495 contradict those of other studies that bold or proactive individuals are comparatively
496 'inflexible' in their behavioural responses compared to shy/less bold or reactive individuals
497 which display greater flexibility (Benus et al., 1987; Benus et al., 1991; Koolhaas et al., 1999;
498 Sih et al., 2004, Bolhuis et al., 2005; Ruiz-Gomez et al., 2011), suggesting that the coping
499 hypothesis may not hold in all cases. While the discrepancies in findings could be due to
500 species differences, these findings are not surprising, as behavioural flexibility is well known
501 in *Rhabdomys* spp. which show flexible social organisation (i.e. social flexibility; Schradin et

502 al., 2010; Schradin et al., 2012), flexible mating strategies (Schradin, 2008) and flexible
503 development of exploration (Rymer and Pillay, 2012). Although stereotypic animals are
504 predicted to be proactive, not all proactive individuals are expected to show stereotypy when
505 the eliciting triggers are absent (Ijichi et al., 2013) or have not reached a particular threshold
506 (Koolhaas et al., 2010). The eliciting triggers could probably be the impoverished
507 environments and genetic preposition for displaying stereotypies and having different
508 personalities.

509 The findings in experiment 2 indicate that the onset of stereotypy could be
510 statistically predicted based on the latency to recover from a startle. However, stereotypic and
511 non-stereotypic striped mice did not form distinct groups in the startle response and there was
512 overlap between individuals in a group, such that some individuals, which spent
513 comparatively more time in the light compartment, did not develop stereotypic behaviours. In
514 support, Jones (2012) proposed that the behavioural trajectory for the development of
515 stereotypic behaviour and the frequency of later stereotypic behaviour performance in
516 stereotypic individuals is not predicted by the measures of anxiety/ fearfulness assessed in
517 juveniles before the onset of stereotypic behaviours.

518 Conclusions

519 This study provided the first experimental test for the model developed by Ijichi et al.
520 (2013) that personality might be associated in the development of stereotypic behaviours and
521 stereotypic behaviours indicate a proactive coping response to stress. This data provide
522 general support for the idea of proactivity, including a bold personality associated with
523 stereotypic behaviour in striped mice. These effects were demonstrated at a group level and
524 in one personality test. Inconsistencies at the individual level are surprising, suggesting that
525 personality and stereotypy should be evaluated at the individual level or that the personality
526 tests were not able to detect personality differences. These are avenues for future study,
527 which should also consider whether complexity of the housing environment modulates the
528 personality-stereotypy relationship.

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1 **Supplementary material**

2 **Table S1. Multivariate regression analysis of the frequency of stereotypic behaviour, activity,**
 3 **inactivity, object manipulation, feeding and grooming and the duration of stereotypic**
 4 **behaviour, activity and inactivity with latency to approach the novel object, time spent with the**
 5 **novel object (Novel-object test), latency to emerge from the dark for both light-dark and startle**
 6 **tests, time spent in the dark compartment (Light-dark test) and time spent in the light**
 7 **compartment (Startle test) as the predictor variables in stereotypic mice. Linear and polynomial**
 8 **decomposition are reported. Values in bold are significant.**

Personality test	Behaviour	FREQUENCY					F	df
		Parameter Estimates	P	β	R ²			
Latency to approach novel object	Stereotypy	0.053	0.902	0.09	0.64	0.22	6,8	
	Active	0.069	0.486	0.59	0.52			
	Inactive	0.093	0.421	0.72	0.47			
	Manipulate	0.122	0.592	0.31	0.77			
	Feed	0.060	0.301	1.06	0.31			
	Groom	0.194	0.394	0.80	0.43			
Time spent with novel object	Stereotypy	-0.516	0.541	-0.69	0.64	0.32	6,8	
	Active	-0.038	0.840	-0.26	0.52			
	Inactive	-0.073	0.743	-0.45	0.47			
	Manipulate	-0.473	0.294	-0.96	0.77			
	Feed	-0.009	0.936	-0.12	0.31			
	Groom	-0.336	0.448	-1.09	0.43			
Latency to emerge from dark compartment	Stereotypy	-0.512	0.686	-0.25	0.64	0.28	6,8	
	Active	-0.048	0.866	-0.12	0.52			
	Inactive	-0.069	0.837	-0.16	0.47			
	Manipulate	-0.403	0.546	-0.30	0.77			
	Feed	-0.124	0.463	-0.64	0.31			
	Groom	-0.702	0.297	-0.84	0.43			
Time spent in dark compartment	Stereotypy	-0.496	0.678	-0.51	0.64	0.39	6,8	
	Active	-0.254	0.356	-1.32	0.52			
	Inactive	-0.369	0.257	-1.73	0.47			
	Manipulate	-0.451	0.476	-0.70	0.77			
	Feed	-0.173	0.286	-1.84	0.31			
	Groom	-0.427	0.497	-1.06	0.43			
Latency to emerge from dark compartment	Stereotypy	3.510	0.601	0.46	0.64	0.14	6,8	
	Active	-0.804	0.597	-0.53	0.52			
	Inactive	-0.950	0.595	-0.57	0.47			
	Manipulate	1.427	0.685	0.28	0.77			
	Feed	-0.163	0.854	-0.22	0.31			
	Groom	-1.721	0.623	-0.54	0.43			
Time spent in light compartment	Stereotypy	1.293	0.335	1.06	0.64	1.03	6,8	
	Active	0.519	0.100	2.16	0.52			
	Inactive	0.667	0.075	2.51	0.47			
	Manipulate	1.484	0.048	1.84	0.77			
	Feed	0.251	0.168	2.15	0.31			

	Groom	0.813	0.250	1.61	0.43		
Latency to approach novel object ²	Stereotypy	0.000	0.918	0.07	0.64	0.21	6,8
	Active	0.000	0.635	-0.36	0.52		
	Inactive	0.000	0.468	-0.58	0.47		
	Manipulate	0.000	0.915	-0.05	0.77		
	Feed	0.000	0.453	-0.68	0.31		
	Groom	0.000	0.586	-0.45	0.43		
Time spent with novel object ²	Stereotypy	0.003	0.275	1.17	0.64	0.82	6,8
	Active	0.000	0.707	0.45	0.52		
	Inactive	0.000	0.718	0.46	0.47		
	Manipulate	0.003	0.086	1.51	0.77		
	Feed	0.000	0.950	0.09	0.31		
	Groom	0.001	0.352	1.25	0.43		
Latency to emerge from dark compartment ²	Stereotypy	0.005	0.488	0.42	0.64	0.41	6,8
	Active	0.001	0.676	0.29	0.52		
	Inactive	0.001	0.771	0.21	0.47		
	Manipulate	0.003	0.392	0.42	0.77		
	Feed	0.001	0.455	0.63	0.31		
	Groom	0.004	0.329	0.76	0.43		
Time spent in dark compartment ²	Stereotypy	0.001	0.725	0.45	0.64	0.40	6,8
	Active	0.001	0.336	1.43	0.52		
	Inactive	0.001	0.248	1.83	0.47		
	Manipulate	0.002	0.417	0.83	0.77		
	Feed	0.001	0.255	2.05	0.31		
	Groom	0.001	0.543	0.98	0.43		
Latency to emerge from dark compartment ²	Stereotypy	-0.076	0.727	-0.29	0.64	0.15	6,8
	Active	0.038	0.445	0.74	0.52		
	Inactive	0.042	0.473	0.73	0.47		
	Manipulate	-0.038	0.739	-0.22	0.77		
	Feed	0.006	0.836	0.24	0.31		
	Groom	0.043	0.704	0.40	0.43		
Time spent in light compartment ²	Stereotypy	-0.006	0.325	-0.87	0.64	1.19	6,8
	Active	-0.002	0.113	-1.67	0.52		
	Inactive	-0.003	0.098	-1.86	0.47		
	Manipulate	-0.008	0.031	-1.64	0.77		
	Feed	-0.001	0.152	-1.80	0.31		
	Groom	-0.003	0.307	-1.15	0.43		
DURATION							
Latency to approach novel object	Stereotypy	0.162	0.932	0.08	0.34	2.03	3,11
	Active	1.260	0.094	1.52	0.50		
	Inactive	-1.508	0.380	-0.77	0.50		
Time spent with novel object	Stereotypy	2.524	0.498	1.04	0.34	0.76	3,11
	Active	-0.771	0.580	-0.74	0.50		
	Inactive	-1.530	0.643	-0.61	0.50		
	Stereotypy	-1.117	0.841	-0.17	0.34	0.83	3,11

Latency to emerge from dark compartment	Active	-2.221	0.297	-0.78	0.50		
	Inactive	3.044	0.542	0.45	0.50		
Time spent in dark compartment	Stereotypy	3.555	0.503	1.12	0.34	0.35	3,11
	Active	-2.067	0.304	-1.51	0.50		
	Inactive	-1.495	0.750	-0.46	0.50		
Latency to emerge from dark compartment	Stereotypy	12.736	0.666	0.51	0.34	0.13	3,11
	Active	-6.932	0.533	-0.65	0.50		
	Inactive	-5.570	0.832	-0.22	0.50		
Time spent in light compartment	Stereotypy	1.636	0.779	0.41	0.34	0.54	3,11
	Active	1.585	0.471	0.93	0.50		
	Inactive	-3.350	0.521	-0.82	0.50		
Latency to approach novel object ²	Stereotypy	0.001	0.826	0.19	0.34	0.94	3,11
	Active	-0.001	0.310	-0.79	0.50		
	Inactive	0.001	0.809	0.19	0.50		
Time spent with novel object ²	Stereotypy	-0.006	0.610	-0.73	0.34	0.62	3,11
	Active	0.003	0.460	0.92	0.50		
	Inactive	0.002	0.836	0.26	0.50		
Latency to emerge from dark compartment ²	Stereotypy	0.034	0.303	0.86	0.34	0.92	3,11
	Active	0.006	0.620	0.35	0.50		
	Inactive	-0.038	0.201	-0.94	0.50		
Time spent in dark compartment ²	Stereotypy	-0.007	0.695	-0.68	0.34	0.53	3,11
	Active	0.009	0.198	1.99	0.50		
	Inactive	-0.002	0.911	-0.17	0.50		
Latency to emerge from dark compartment ²	Stereotypy	-0.483	0.616	-0.57	0.34	0.18	3,11
	Active	0.268	0.460	0.73	0.50		
	Inactive	0.212	0.804	0.24	0.50		
Time spent in light compartment ²	Stereotypy	-0.006	0.827	-0.26	0.34	0.57	3,11
	Active	-0.009	0.387	-0.90	0.50		
	Inactive	0.015	0.528	0.65	0.50		

9

1 **Table S2. Multivariate regression analysis of the frequency of activity, inactivity, object**
2 **manipulation, feeding and grooming and the duration of activity and inactivity with latency to**
3 **approach the novel object, time spent with the novel object (Novel-object test), latency to**
4 **emerge from the dark for both light-dark and startle tests, time spent in the dark compartment**
5 **(Light-dark test) and time spent in the light compartment (Startle test) as the predictor**
6 **variables in non-stereotypic mice. Linear and polynomial decomposition are reported. Values in**
7 **bold are significant.**
8

FREQUENCY							
Personality test	Behaviour	Parameter Estimates	P	β	R ²	F	df
Latency to emerge from dark compartment	Active	0.788	0.644	1.38	0.43	1.73	3,1
	Inactive	1.442	0.761	0.38	0.90		
	Manipulate	1.781	0.221	0.74	0.98		
	Feed	1.056	0.042	2.22	0.97		
	Groom	0.504	0.754	0.29	0.95		
Time spent in dark compartment	Active	0.650	0.758	3.89	0.43	0.60	3,1
	Inactive	3.315	0.584	3.01	0.90		
	Manipulate	2.231	0.221	3.17	0.98		
	Feed	0.825	0.123	5.91	0.97		
	Groom	2.445	0.276	4.73	0.95		
Latency to emerge from dark compartment	Active	1.855	0.807	2.50	0.43	1.01	3,1
	Inactive	10.440	0.631	2.13	0.90		
	Manipulate	9.183	0.177	2.93	0.98		
	Feed	3.712	0.077	5.99	0.97		
	Groom	9.281	0.257	4.04	0.95		
Time spent in light compartment	Active	-0.263	0.702	-1.25	0.43	0.73	3,1
	Inactive	-1.834	0.373	-1.32	0.90		
	Manipulate	-0.673	0.247	-0.76	0.98		
	Feed	-0.021	0.879	-0.12	0.97		
	Groom	-1.054	0.175	-1.62	0.95		
Latency to emerge from dark compartment ²	Active	-0.012	0.644	-1.10	0.43	0.88	3,1
	Inactive	-0.021	0.774	-0.29	0.90		
	Manipulate	-0.019	0.364	-0.41	0.98		
	Feed	-0.013	0.073	-1.40	0.97		
	Groom	0.001	0.978	0.02	0.95		
Time spent in dark compartment ²	Active	-0.002	0.736	-3.95	0.43	0.57	3,1
	Inactive	-0.010	0.558	-2.99	0.90		
	Manipulate	-0.006	0.218	-2.95	0.98		
	Feed	-0.002	0.130	-5.32	0.97		
	Groom	-0.007	0.263	-4.52	0.95		
Latency to emerge from light compartment ²	Active	-0.037	0.787	-2.62	0.43	1.03	3,1
	Inactive	-0.191	0.625	-2.05	0.90		
	Manipulate	-0.166	0.175	-2.79	0.98		
	Feed	-0.068	0.072	-5.80	0.97		
	Groom	-0.164	0.263	-3.76	0.95		
	Active	0.001	0.663	1.31	0.43	3.02	3,1

Time spent in light compartment ²	Inactive	0.015	0.180	2.02	0.90		
	Manipulate	0.007	0.049	1.56	0.98		
	Feed	0.001	0.362	0.70	0.97		
	Groom	0.008	0.070	2.31	0.95		
DURATION							
Latency to emerge from dark compartment	Active	41.529	0.024	4.25	0.92	6.13	2,2
	Inactive	-40.256	0.026	-4.08	0.92		
Time spent in dark compartment	Active	20.044	0.199	7.00	0.92	0.96	2,2
	Inactive	-19.098	0.215	-6.61	0.92		
Latency to emerge from dark compartment	Active	98.21	0.113	7.73	0.92	2.09	2,2
	Inactive	-89.993	0.134	-7.01	0.92		
Time spent in light compartment	Active	4.346	0.353	1.20	0.92	0.87	2,2
	Inactive	-4.95	0.299	-1.36	0.92		
Latency to emerge from dark compartment ²	Active	-0.552	0.035	-2.91	0.92	4.47	2,2
	Inactive	0.541	0.037	2.82	0.92		
Time spent in dark compartment ²	Active	-0.051	0.224	-6.04	0.92	0.80	2,2
	Inactive	0.049	0.236	5.77	0.92		
Latency to emerge from dark compartment ²	Active	-1.868	0.1	-7.73	0.92	2.33	2,2
	Inactive	1.714	0.119	7.03	0.92		
Time spent in light compartment ²	Active	-0.025	0.287	-1.30	0.92	0.95	2,2
	Inactive	0.028	0.248	1.42	0.92		

9

CHAPTER THREE

Does personality influence responses to environmental enrichment in stereotypic African striped mice, *Rhabdomys dilectus*?

Abstract

Environmental enrichment is used to enhance the well-being of captive animals and to prevent or reduce stereotypic and other abnormal behaviours. However, environmental enrichment does not always succeed in its intended purpose. The present study aims to investigate whether personality (i.e. consistent individual variation in behaviour) influences how stereotypic mice interact with environmental enrichments, since this could explain why enrichments vary in their efficacy. Stereotypic and non-stereotypic individuals of both sexes were tested for their personality using three standard tests (i.e. novel-object, light-dark and startle-response tests) as sub-adults at 43 days of age. Thereafter, mice were introduced individually to cages of different complexity i.e. Standard (standard laboratory housing provided with nest box with bedding), Standard-enriched (same as Standard treatment provided with enrichment - one/two cardboard tubes), and Enriched (large tank with nest box with bedding and enrichment - running wheel, one/two cardboard tubes, Habitrail™ PVC tunnels and balls) treatments in a random sequence, so animals would have experienced different treatments at different ages. At a group level, stereotypic striped mice were bolder than non-stereotypic mice, showing greater activity in all treatments and a significant reduction in stereotypic behaviours in the Enriched treatment. At an individual level, while stereotypic individuals showed a decrease in stereotypic behaviours in the Enriched treatment, not all stereotypic mice responded to the Enriched treatment in the same way. Stereotypic striped mice showed flexible behavioural responses to cages of varying complexity, with individuals that spent more time in the light compartment (i.e. bolder) showing a linear increase in stereotypic behaviours from the Standard to Standard-enriched treatments and decrease in stereotypic behaviours in the Enriched treatment. While non-stereotypic individuals were relatively fixed in their responses in all the treatments, there was some indication of flexible behavioural responses with individuals showing different patterns of activity, inactivity and object manipulation in the treatments. These findings provide novel evidence in rodents that behavioural responses of stereotypic striped mice to environmental enrichment were influenced by their personality.

Key words: Environmental enrichment, Stereotypic behaviour, Personality, Striped mice

34 **Introduction**

35 Captive animals are raised in impoverished environments, which provide inadequate
36 motor and sensory stimulation and restrict behaviours in the normal behavioural repertoire
37 (Mason, 1991a). These environments can initiate behavioural thwarting and motivational
38 conflicts, which may elicit redirected behaviours and displacement activities from which
39 maladaptive and stereotypic behaviours normally arise (Mason, 1991a; Würbel, 2006).
40 Stereotypic behaviours are traditionally described as any abnormal, repetitive and invariant
41 behaviours that are caused by frustration, repeated attempts to cope and/or central nervous
42 system (CNS) dysfunctions (Latham and Mason, 2008). Stereotypic behaviours are indicative
43 of impaired welfare because they are rife in individuals housed under deprived (physical and
44 social) conditions, which expose them to unavoidable stress or fear (Mason, 1991b;
45 Wiedenmayer, 1997; Mason et al., 2007).

46 Environmental enrichment is used to ameliorate some of the problems created by
47 captivity, such as stereotypic and other abnormal behaviours, by changing the animal's
48 environment in a way that promotes behavioural diversity and expression of 'normal' or
49 species-typical behaviours, such as foraging and exploration (Young, 2003; Swaisgood and
50 Shepherdson, 2006; Abou-Ismaïl, 2011), as well as improving health and reproductive
51 success (Newberry, 1995; Van de Weerd et al., 1997; Young, 2003). Moreover, enrichment
52 can also increase sensory and motor functioning by stimulating animals cognitively through
53 training (e.g. novel-object recognition) (Pietropaolo et al., 2004; Nithianantharajah and
54 Hannan, 2006; Simpson and Kelly, 2011).

55 Animals kept in enriched environments have been observed to explore novel
56 environments quickly and to approach novel items faster than control animals in tests of
57 anxiety and exploratory behaviour (Friske and Gammie, 2005). For example, when provided
58 with novel objects for environmental enrichment, orange-winged Amazon parrots, *Amazona*
59 *amazonica*, were less fearful (i.e. showed shorter latencies to approach the novel object), and
60 approached and interacted with the novel objects many times, and performed many other
61 behaviours, such as preening and feeding (Meehan and Mench, 2002). Therefore,
62 environmental enrichment can be useful to reduce anxiety-related behaviours to
63 environmental stressors, such as novelty and human handling (Fox and Millam, 2007),
64 decrease performance of stereotypic behaviours (Swaisgood and Shepherdson, 2006) and
65 reduce the release of corticosterone in response to stress (Belz et al., 2003). Moreover,
66 enriched environments are also associated with structural and biochemical changes in the
67 brain of captive animals, by increasing the number and density of neurons and synapses as

68 well as by increasing dendritic arborisation (van Praag et al., 2000; Würbel, 2001). The
69 functional consequences of these changes include enhanced memory, learning, and, perhaps
70 crucially, the ability to cope with environmental challenges (Newberry, 1995; Young, 2003).

71 Despite the plethora of studies that demonstrate the advantages of environmental
72 enrichment, it is intriguing that environmental enrichment does not always succeed for its
73 intended purpose. It is possible that in these cases, a particular environmental enrichment is
74 not tailored to the unique behavioural needs of a particular target species (Mellen and
75 MacPhee, 2001). However, this does not explain intra-specific variation in behavioural
76 responses, which could reflect the differences in personalities (Dall, 2004, Sih et al., 2004;
77 Réale et al., 2007). Recently, Ijichi and colleagues (2013) hypothesized that personality may
78 have a role in the development of stereotypic behaviours, since stereotypic behaviours are a
79 result of environmental stressors and are thought to be a coping strategy (i.e. both
80 physiological and behavioural mechanisms used by individuals to minimise the effects of
81 stressful events or environmental conditions).

82 The coping style theory predicts that bolder animals should display rigid and
83 invariant behaviours while less bold animals display flexible behaviours (Koolhaas et al.,
84 1999). In their review, Coppens et al. (2010) suggested that behavioural flexibility is an
85 integral component of coping styles and determines how an individual responds and adjusts
86 its behaviour to environmental stimuli. This explains the low flexibility and tendency to
87 develop routines in proactive individuals and ability of reactive individuals to readjust their
88 behaviours by responding to environmental cues and thus show greater behavioural
89 flexibility.

90 The aim of my study was to investigate whether stereotypic and non-stereotypic
91 African striped mice, *Rhabdomys dilectus*, respond differently to cages of varying
92 complexities and whether personality of individuals is associated with this response. Striped
93 mice readily display stereotypical behaviours in captivity with approximately half of captive
94 born individuals exhibiting stereotypic behaviours when housed in standard laboratory cages
95 (Schwaibold and Pillay, 2001). There is a strong indication that stereotypic behaviour in
96 striped mice has a genetic basis (Schwaibold and Pillay, 2001) and stereotypic mothers are
97 five times more likely to produce stereotypic than non-stereotypic offspring (Jones et al.,
98 2008).

99 In an earlier study (Chapter 2), I demonstrated that stereotypic striped mice displayed
100 a proactive coping style and a generally bolder personality than non-stereotypic behaviour.
101 These differences at the group level (stereotypic vs non-stereotypic) were not present at the

102 individual level, which I specifically investigated to test the assumptions of personality
103 theory (i.e. individual variation in behaviour; Gosling, 2001). At an individual level, both
104 stereotypic and non-stereotypic striped mice were flexible in their behavioural responses,
105 varying their behaviours irrespective of their personality type. In the current study,
106 individuals were subjected to three personality tests, after which they were exposed in a
107 random order to three treatments differing in the level of enrichment (i.e. Standard, Standard-
108 enriched and Enriched housing conditions). At a group level, I predicted that stereotypic mice
109 would show higher levels of stereotypic behaviours in the standard treatment and lower levels
110 in the enriched treatments. Stereotypic striped mice would also show an increase in activity
111 and object manipulation in the enriched treatments since they are bolder (see Chapter 2),
112 while non-stereotypic mice would show decreased activity. At an individual level, since both
113 stereotypic and non-stereotypic striped mice show flexible behaviour in different contexts
114 regardless of personality differences (Chapter 2), I predicted that individual stereotypic and
115 non-stereotypic striped mice would change their behaviour depending on the housing
116 conditions.

117

118 **Materials and Methods**

119 Striped mice used in this study were captive born F1 and F2 individuals, originating
120 from a population in Pretoria (25° 40" S; 28° 30" E), South Africa. They were housed in the
121 Milner Park Animal Unit, University of the Witwatersrand under partially controlled
122 environmental conditions: 14L: 10D light: dark cycle (lights on at 05h00); 22°C - 24°C and
123 30 - 60% rH. Subjects were housed singly in clear Lab-o-tec™ cages (L × H × W: 300 mm ×
124 200 mm × 150 mm; Standard housing). Wood shavings (± 3 cm) were provided as bedding
125 and a handful of *Eragrostis* grass (± 20 g) and ± 5 g of shredded tissue paper were provided
126 as nesting material. PVC nest-boxes (L × H × W: 100 mm × 100 mm × 150 mm) were also
127 provided in each cage. Epol® mouse cubes and water were available *ad libitum*.
128 Approximately 10 g of fresh fruit (apples, pears) or vegetables (lettuce, carrots, broccoli) and
129 ± 5 g of mixed seed were provided daily per individual.

130 Stereotypic behaviours have a genetic basis in striped mice (Schwaibold and Pillay,
131 2001; Jones et al., 2008), so to increase the chance of producing stereotypic and non-
132 stereotypic individuals, seven stereotypic and seven non-stereotypic pairs (i.e. both male and
133 female were either stereotypic or not; as described below) were established under standard
134 laboratory conditions. The male was separated from the female prior to parturition. The pups

135 were separated from the mother at 22 days of age and housed singly in Lab-o-tec™ cages.
136 These offspring were used in experiments (Figure 1).

137

138 Observations for stereotypy and personality tests

139 Starting from 22 days, observations were made of young, twice a day for a total of
140 half an hour, in order to establish the absence/presence of stereotypic behaviour. Stereotypic
141 individuals were those that exhibited at least 10 or more bouts of stereotypy per observation
142 session, each with three or more repetitions (after Jones et al., 2008). This method is routinely
143 used in our lab. Only individuals that exhibited locomotor stereotypic behaviours were used
144 in this study. Individuals that did not exhibit any stereotypic behaviour were classified as
145 non-stereotypic and were used as a comparison for the stereotypic individuals. Stereotypic
146 behaviour is an ‘all or nothing’ occurrence in striped mice (i.e. an individual either displayed
147 or did not display stereotypies). Only the absence or presence of stereotypic behaviours was
148 recorded (see Jones et al., 2008); non-stereotypic mice never displayed stereotypic
149 behaviours. At 43 days of age (Figure 1), both stereotypic (male, n=8: female, n=7) and non-
150 stereotypic (male, n= 3: female, n=4) striped mice siblings from six different litters
151 underwent three conventional personality tests (see Miller et al., 2006), namely Light-dark,
152 Startle and Novel-object tests to establish their personality types (described in Chapter 2).

153

154 Environmental enrichment and stereotypy

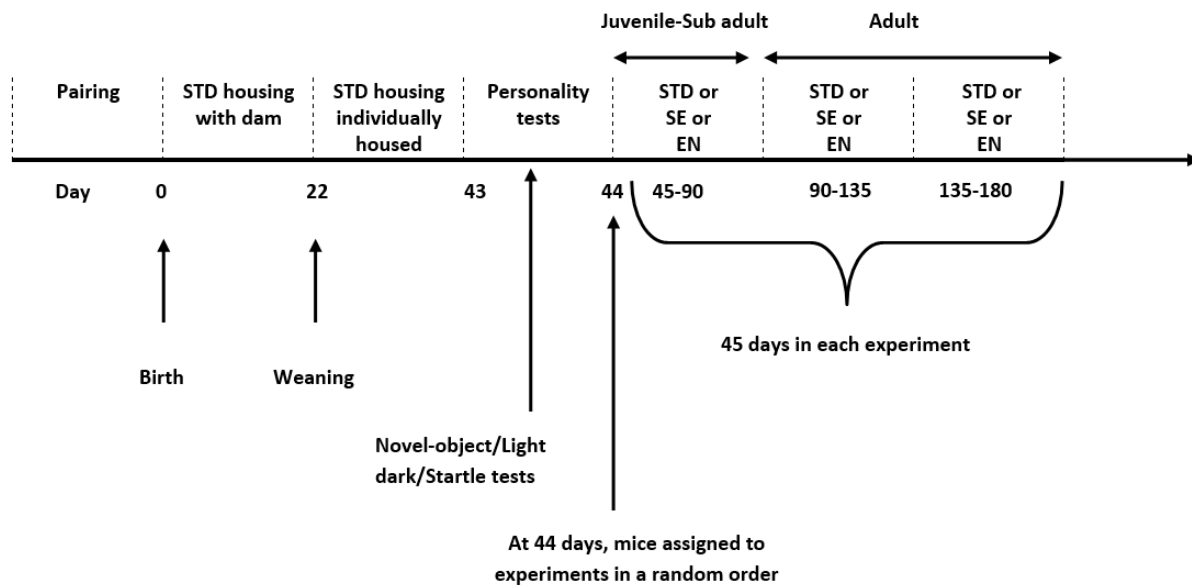
155 Following the personality tests, both stereotypic and non-stereotypic striped mice
156 were subjected to three treatments in a haphazard manner, which are described below. The
157 starting ages (45 days) and the duration (45 days) of the treatments were fixed (Figure 1).
158 This exposure began when individuals were sub-adult showing stereotypic behaviours and
159 continued into adulthood (> 60 days).

160 Treatment 1. (Standard housing/ baseline) - test subjects were housed individually in
161 their original Lab-o-tec cages™ (See above).

162 Treatment 2. (Standard-enriched housing) - test subjects, were housed individually in
163 their original Lab-o-tec™ cages as above but with the addition of one to two small cardboard
164 tubes (\pm 50 mm diameter) for enrichment.

165

166



167

168 **Figure 1. Timeline showing the ages (days) at which striped mice were weaned, exposed to**
 169 **personality tests and assigned in a random order to Standard (STD), Standard-Enriched (SE)**
 170 **and Enriched (EN) treatments.**

171 Treatment 3. (Enriched housing) - test subjects were housed individually in a larger
 172 tank (L × H × W: 600 mm × 410 mm × 300 mm), provided with a nest box with the same
 173 dimensions as in Standard housing), a deep layer of wood shavings as bedding (± 40 g) and
 174 *Eragrostis* grass (± 20 g). In addition to cardboard tubes, several enrichment devices, namely
 175 a running wheel (± 15 cm diameter), Habitrail™ PVC tunnels and balls were provided.

176

177 The behaviour of all animals was video-recorded in all treatments between 09h00 to
 178 12h00 every second day (i.e. 22 days of recording). Before starting video-recording of
 179 behaviours in each treatment, all individuals were allowed to acclimatize to the new treatment
 180 for 24 hours. Using Observer software (version 5.0; Noldus Information Technology), the
 181 frequency of six behaviours (Table 1) were scored using continuous sampling. In addition, I
 182 also recorded the frequency of the wheel running behaviour displayed by test subjects in the
 183 Enriched treatment (i.e. Treatment 3). The cages/tanks, PVC tunnels and all the contents were
 184 cleaned and the cardboard tubes replaced every two weeks.

185

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191 **Table 1. Ethogram of striped mice behaviours scored in three treatments.**

Behaviour	Definition
Inactive	Individual motionless and resting or out of sight
Active	Non-stereotypic movement or running on the cage floor usually digging in the wood shavings + wheel running in Treatment 3
Object manipulation	Manipulating enrichments (e.g. biting or nudging of cardboard tubes and wheels)
Feeding/Drinking	Manipulating or chewing of mouse cubes, seeds or vegetables/fruits and drinking from a water bottle
Stereotypic behaviour	A repetitive and invariant behaviour > 3 times in succession
Grooming	Squatting on hind legs, grooming head, body, tail, and/or genitals

192

193 Data Analyses

194 Data were checked for normality and homogeneity of variance using the Shapiro-Wilk and
 195 Levene’s tests. All the statistical tests were two-tailed with statistical significance accepted at
 196 $p \leq 0.05$ and were analysed using R (Ver. 2.13.0; R Development Core Team, 2011) or
 197 Statistica (version 7 Statsoft, USA) software. Utilising the `pwr.chisq.test` function in the *pwr*
 198 package (Blomberg, 2014), a power analyses was used to assess effect size. The data set was
 199 analysed at the group level by comparing stereotypic vs non-stereotypic striped mice, and at
 200 the individual level (intra-group) variation in behavioural responses.

201 Previously (Chapter 2), I showed that the startle response test was a reliable
 202 personality test in measuring the stress responses in striped mice, and that some personality
 203 tests were correlated. There were negative correlations between time spent in the dark
 204 compartment and (i) latency to approach novel object (Novel object test), and (ii) latency to
 205 emerge from the dark compartment (Light-dark test). In addition, there was a positive
 206 correlation between time spent in the light compartment (Startle test) and time spent with the
 207 novel object (Novel object test). Based on these findings, I used only the startle response
 208 (time spent in the light compartment after a startle) and novel object (latency to approach the
 209 novel object) tests in the subsequent analyses in the current study.

210 To compare the frequency data between stereotypic and non-stereotypic striped mice,
 211 feeding and drinking were pooled as ‘Feed’ to simplify the analyses and because they rarely
 212 occurred. Behaviours were combined into a single variable by using the `cbind` function in the
 213 *stats* package R, which was then used as a response variable. The `cbind` function takes into

214 account the number of values (in this case, the number of observations being active, inactive,
215 groom, feed/drink, object manipulation and stereotypy that make up each ratio for the
216 response variable, and is a suitable technique of dealing with a non-normal error structure and
217 a non-constant variance (Crawley, 2007). A generalised linear mixed-effects model (GLMZ),
218 using *lmer* function in the *lme4* package (Bates and Maechler, 2009), was used to analyse the
219 influence of stereotypy status (stereotypy or non-stereotypy), treatment and sex (fixed
220 factors) on behaviour. To account for repeated measures of the same individual and the use of
221 individuals from the same litter, mouse and litter identity, were used as random factors
222 (random intercepts only) in the model. The two personality scores were used as continuous
223 predictors in the model. For all generalized linear analyses, Wald χ^2 analysis of deviance type
224 III testing was used to determine significance of the categorical predictors. For each model, I
225 present estimates of the model coefficient (β), their standard errors and *p*-values. These
226 estimates were calculated using the *pvals* function from the language R library (Baayen,
227 2009). A GLMZ comparing both stereotypic and non-stereotypic mice together was used to
228 establish whether stereotypic status predicted behaviours. Since there was a stereotypy status
229 and treatment effect on behaviours (See results), two more GLMZ on stereotypic mice (males
230 and females) and non-stereotypic mice (males and females) were conducted separately to
231 assess where and in which treatments the differences occurred. A separate GLMZ was ran for
232 the stereotypic males and females to establish differences between the sexes.

233 Since the duration of the other behaviours occurred infrequently and too short to be
234 scored, only three behaviours (active, inactive and stereotypic behaviour (for stereotypic
235 mice)) were considered for the analysis of duration data. Using the *cbind* function,
236 behaviours were combined and used in the model as a response variable. The same models as
237 for the frequency data analyses were used for the duration data analyses. The frequency and
238 duration data are presented as total proportions, which I suggest, are a better representation as
239 to how striped mice apportioned their time among different behaviours. Since non-stereotypic
240 striped mice do not display stereotypic behaviours, I analysed stereotypic behaviours
241 separately for stereotypic mice using the same model described above.

242 To assess the relationship between the two personality scores (time spent in the light
243 compartment and latency to approach the novel object (continuous predictors) and the
244 behaviours (dependent factor), I ran two separate multiple regression tests for both frequency
245 and duration data for stereotypic striped mice and non-stereotypic mice. Since only
246 stereotypic mice display stereotypic behaviours, a linear regression was conducted to assess

247 the relationship between personality test scores and stereotypic behaviours for stereotypic
248 striped mice, using Statistica (version 7 Statsoft, USA).

249 At the individual level, the relationship between personality and the behaviours of
250 individual stereotypic and non-stereotypic striped mice in the Standard, Standard-enriched
251 and Enriched treatments were analysed using a polynomial multivariate regression for
252 multiple dependents. The frequency and the duration of behaviours were included as
253 dependent variables. The startle response and novel object personality test scores were used
254 as the continuous predictors while the treatments (Standard, Standard-enriched and Enriched)
255 were used as categorical predictors. Separate tests were conducted for both stereotypic and
256 non-stereotypic individuals. For all dependent variables, the homogeneity of slopes of the
257 continuous predictors and their interaction were examined first to determine whether a single
258 test (multivariate regression) or separate regressions were required. For both tests, I report the
259 coefficient of determination, F- and P- values and parameter estimates for linear and
260 polynomial decomposition. I also examined the beta coefficient, obtained when all variables
261 are standardized to a mean of 0 and a standard deviation of 1. The polynomial variables are
262 reported as linear and quadratic functions indicated with a “²”.

263

264 **Results**

265 Group-level comparisons

266 Stereotypic behaviour

267 I analysed stereotypical behaviours separately because of its occurrence in
268 stereotypical striped mice only. Treatment was a significant predictor of the frequency of
269 stereotypic behaviour. Stereotypic behaviours were highest in the Standard-enriched
270 treatment, followed by the Standard treatment and lowest in the Enriched treatment (Figure
271 2a). Sex was a significant predictor of the behaviours (Table 2). Male striped mice displayed
272 higher levels of stereotypic behaviour than female striped mice (Table 2). Sex*treatment was
273 a significant predictor of stereotypic behaviour. Females displayed higher levels of
274 stereotypic behaviours in the Standard treatment while males displayed higher levels of
275 stereotypic behaviours in the Standard-enriched treatment. There were no significant
276 differences in the levels of stereotypic behaviours in the Enriched treatment for stereotypic
277 males or females (Figure 2a).

278 The continuous predictor, time spent in the light compartment, was a significant
279 predictor of stereotypic behaviour (Table 2). Linear regression analyses showed that
280 personality test scores were not correlated with the frequency of stereotypic behaviours in the

281 **Table 2. Beta estimates, standard errors and *p*-values generated from a generalized linear mixed**
 282 **model (GLMZ) for contrasts for the frequency of stereotypic behaviours in the Standard,**
 283 **Standard-enriched and Enriched treatments for stereotypic striped mice. Values in bold are**
 284 **significant.**

Variables	β Estimates	Standard Error	Wald χ^2	df	P
Standard	-0.22	0.07	9.94	2.0	0.002
Standard-enriched	-0.11	0.06	9.94	2.0	0.101
Enriched	0.11	0.06	9.94	2.0	0.101
Sex	-0.23	0.07	11.22	1.0	0.001
Sex*Standard	0.05	0.09	4.49	2.0	0.560
Sex*Standard-enriched	0.19	0.09	4.49	2.0	0.036
Sex*Enriched	-0.19	0.09	4.49	2.0	0.036
Time spent in light compartment	0.00	0.00	11.36	1.0	0.001
Latency to approach novel object	0.00	0.00	3.68	1.0	0.056

285

286 three treatments: time spent in light compartment (Standard: $R^2 = 0.02$, $F_{1,15} = 0.33$, $p =$
 287 0.572 ; Standard-enriched: $R^2 = 0.02$, $F_{1,15} = 0.25$, $p = 0.625$; Enriched: $R^2 = 0.02$, $F_{1,15} = 0.25$,
 288 $p = 0.627$).

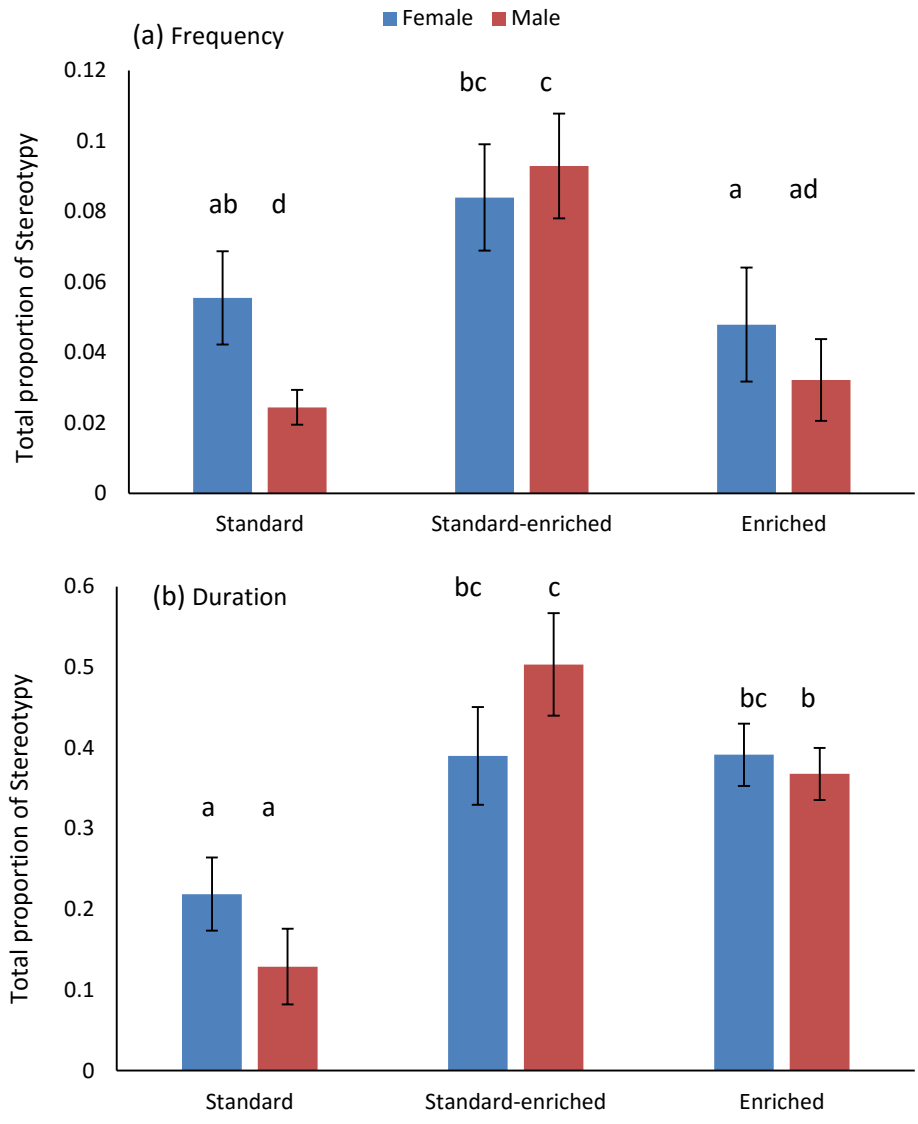
289 Unlike frequency, treatment, sex and sex*treatment were not significant predictors of
 290 the duration of stereotypic behaviour (Table 3 and Figure 2b). The time spent in the light
 291 compartment (continuous predictor) was a significant predictor of stereotypic behaviour
 292 (Table 3). Linear regression analysis showed that time spent in the light compartment was not
 293 correlated with stereotypic behaviours in the three treatments (Standard: $R^2 = 0.01$, $F_{1,15} =$
 294 0.0958 , $p = 0.761$; Standard-enriched: $R^2 = 0.03$, $F_{1,15} = 0.43$, $p = 0.523$; Enriched: $R^2 = 0.04$,
 295 $F_{1,15} = 0.67$, $p = 0.425$).

296

297 Group-level comparisons: all behaviours except stereotypic behaviours

298 Stereotypy status was a significant predictor of frequency of behaviours (Table 4). I
 299 found a moderate power of 0.56, indicating that the small number of test animals affected the
 300 analysis. Compared to the non-stereotypic striped mice, stereotypic striped mice showed
 301 greater levels of activity, grooming, feeding and object manipulation and lower levels of
 302 inactivity (Figure 3).

303 The GLMZ also revealed that treatment had a significant influence on behaviour
 304 (Table 4). Striped mice showed an increase in activity, grooming and feeding behaviours in
 305 the Standard treatment compared to the Standard-enriched and Enriched treatments,
 306 regardless of stereotypic status (Figure 3); there were no differences in these behaviours



307

308 **Figure 2. Total proportion of the (a) frequency and (b) duration of stereotypic behaviours for**
 309 **stereotypic males and females in three treatments (Standard, Standard-enriched, Enriched).**
 310 **Bars denote proportions generated through a generalized linear mixed effects model for each of**
 311 **the behaviours observed. Whiskers denote 95% confidence limits. Letters above bars marked**
 312 **denote significant differences in treatments.**

313

314 between the Standard-enriched and Enriched treatments. The levels of object manipulation
 315 differed significantly in the three treatments and were higher in the Standard treatment and
 316 lower in the Standard-enriched treatment. There were no differences in the levels of inactivity
 317 in the Standard and Standard-enriched treatment but the level of inactivity was significantly
 318 reduced in the Enriched than the other treatments (Figure 3).

319

320 Stereotypy status*treatment was a significant predictor of behaviour (Table 4). Levels
 of activity were significantly higher in stereotypic striped mice than non-stereotypic mice in

321 **Table 3. Beta estimates, standard errors and *p*-values generated from a generalized linear mixed**
 322 **model (GLMZ) for contrasts for the duration of stereotypic behaviours in the Standard,**
 323 **Standard-enriched and Enriched treatments for stereotypic striped mice. Values in bold are**
 324 **significant.**

Variables	β Estimates	Standard Error	Wald χ^2	<i>df</i>	P
Standard	-43.73	26.55	3.94	2.0	0.100
Standard-enriched	41.24	23.29	3.94	2.0	0.077
Enriched	-41.24	23.29	3.94	2.0	0.077
Sex	-11.43	26.81	0.18	1.0	0.670
Sex*Standard	-31.96	35.89	0.85	2.0	0.373
Sex*Standard-enriched	21.81	32.05	0.85	2.0	0.497
Sex*Enriched	-21.80	32.05	0.85	2.0	0.497
Time spent in the light compartment	-0.57	0.15	14.31	1.0	<0.001
Latency to approach novel object	-0.22	0.08	6.73	1.0	0.061

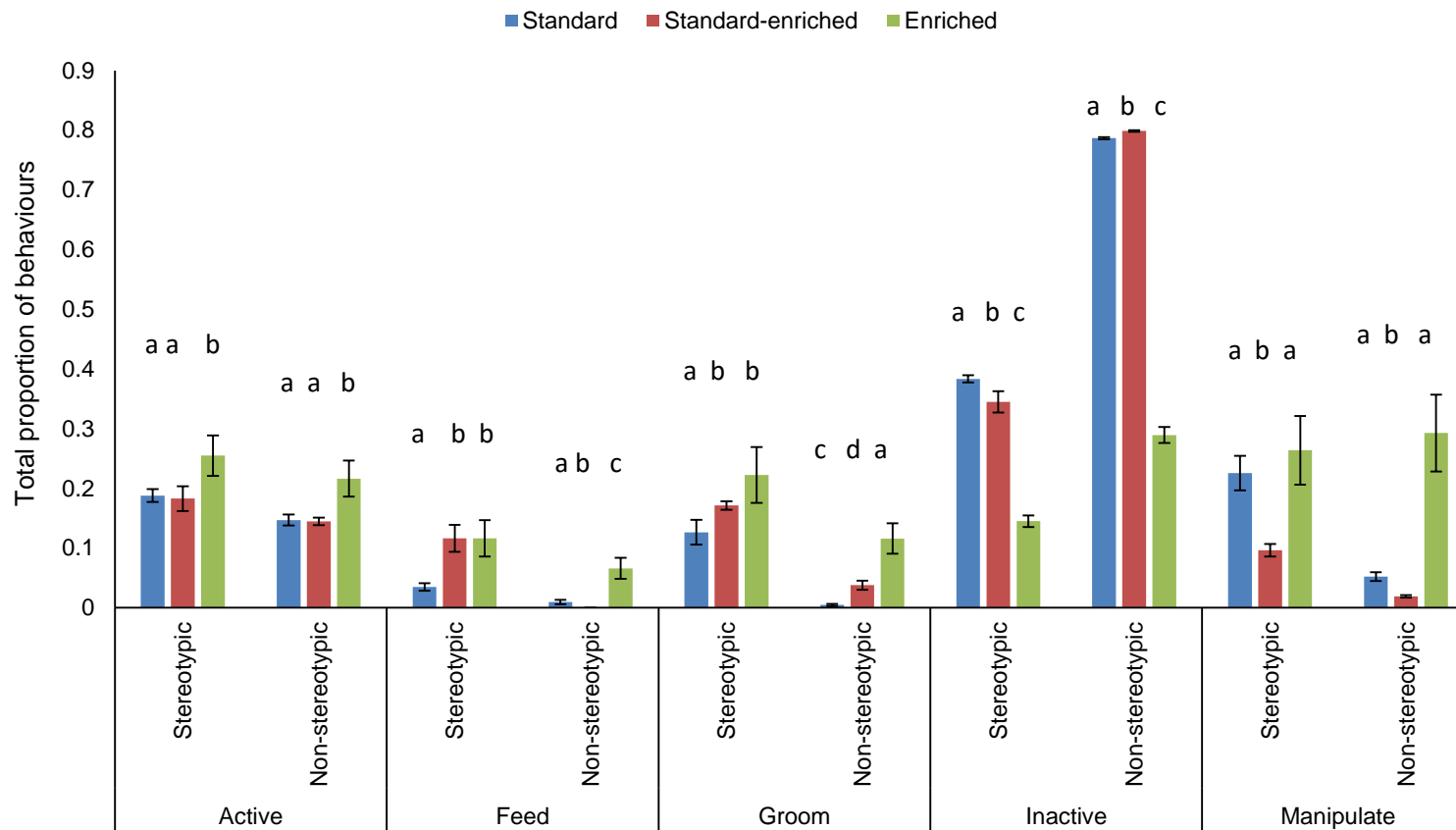
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326 **Table 4. Beta estimates, standard errors and *p*-values generated from a generalized linear mixed**
 327 **model (GLMZ) for contrasts for frequency of behaviours in the Standard, Standard-enriched**
 328 **and Enriched treatments for stereotypic and non-stereotypic striped mice. Values in bold are**
 329 **significant.**

Variables	β Estimates	Standard Error	Wald χ^2	<i>df</i>	P
Stereotypy status	0.74	0.16	20.41	1.0	<0.001
Standard	-0.59	0.16	17.94	2.0	<0.001
Standard-enriched	-0.57	0.16	17.94	2.0	0.001
Enriched	0.57	0.16	17.94	2.0	0.001
Sex	-0.40	0.20	3.89	1.0	0.049
Stereotypy status*sex	0.15	0.23	0.40	1.0	0.528
Sex*Standard	0.23	0.24	1.09	2.0	0.340
Sex*Standard-enriched	0.21	0.25	1.09	2.0	0.409
Sex*Enriched	-0.21	0.25	1.09	2.0	0.409
Stereotypy status*Standard	-0.37	0.21	6.31	2.0	0.081
Stereotypy status *Standard-enriched	-0.49	0.21	6.31	2.0	0.018
Stereotypy status *Enriched	0.49	0.21	6.31	2.0	0.018
Time spent in light compartment	0.00	0.00	2.57	1.0	0.109
Latency to approach novel object	0.00	0.00	0.01	1.0	0.915

330

331 in the Standard and Standard-enriched treatments. There was no difference in activity in the
 332 Enriched treatment in stereotypic or non-stereotypic mice. Feeding was greater in stereotypic
 333 mice in all three treatments (Figure 3). Grooming was greater in stereotypic mice in all three
 334 treatments than for non-stereotypic mice in the three treatments (Figure 3). Inactivity was
 335 significantly lower in stereotypic mice in all three treatments than for non-stereotypic mice.



336

337 **Figure 3. Total proportion of the frequency of behaviours in stereotypic and non-stereotypic striped mice in three treatments (Standard, Standard-**
 338 **enriched, Enriched). Bars denote proportions generated through a generalized linear mixed effects model for each of the behaviours observed.**
 339 **Whiskers denote 95% confidence limits. Letters above bars marked denote significant differences in treatments.**

340

341 Object manipulation was greater in stereotypic mice in the Standard and Standard-enriched
342 treatments than non-stereotypic mice, while there was no difference in the Enriched treatment in
343 stereotypic or non-stereotypic mice (Figure 3).

344 Sex was a significant predictor of the behaviours (Table 4; Figure 4). Females displayed
345 higher levels of inactivity in the Standard-enriched treatment, while males displayed greater
346 inactivity in the Standard and Enriched treatments, regardless of stereotypic status (Figure 4).
347 Females showed greater object manipulation in the Standard treatment than males but there was
348 no difference in object manipulation in the Standard-enriched and Enriched treatments. There
349 were no sex differences in levels of activity and feeding in all treatments. Grooming was high in
350 females in the Standard treatment. However, there were no differences in the Standard-enriched
351 and Enriched treatments. Sex*stereotypic status, sex*treatment, stereotypic status*treatment,
352 latency to approach novel object (novel-object test) and time spent in the light compartment
353 (startle test) did not significantly influence behaviour (Table 4).

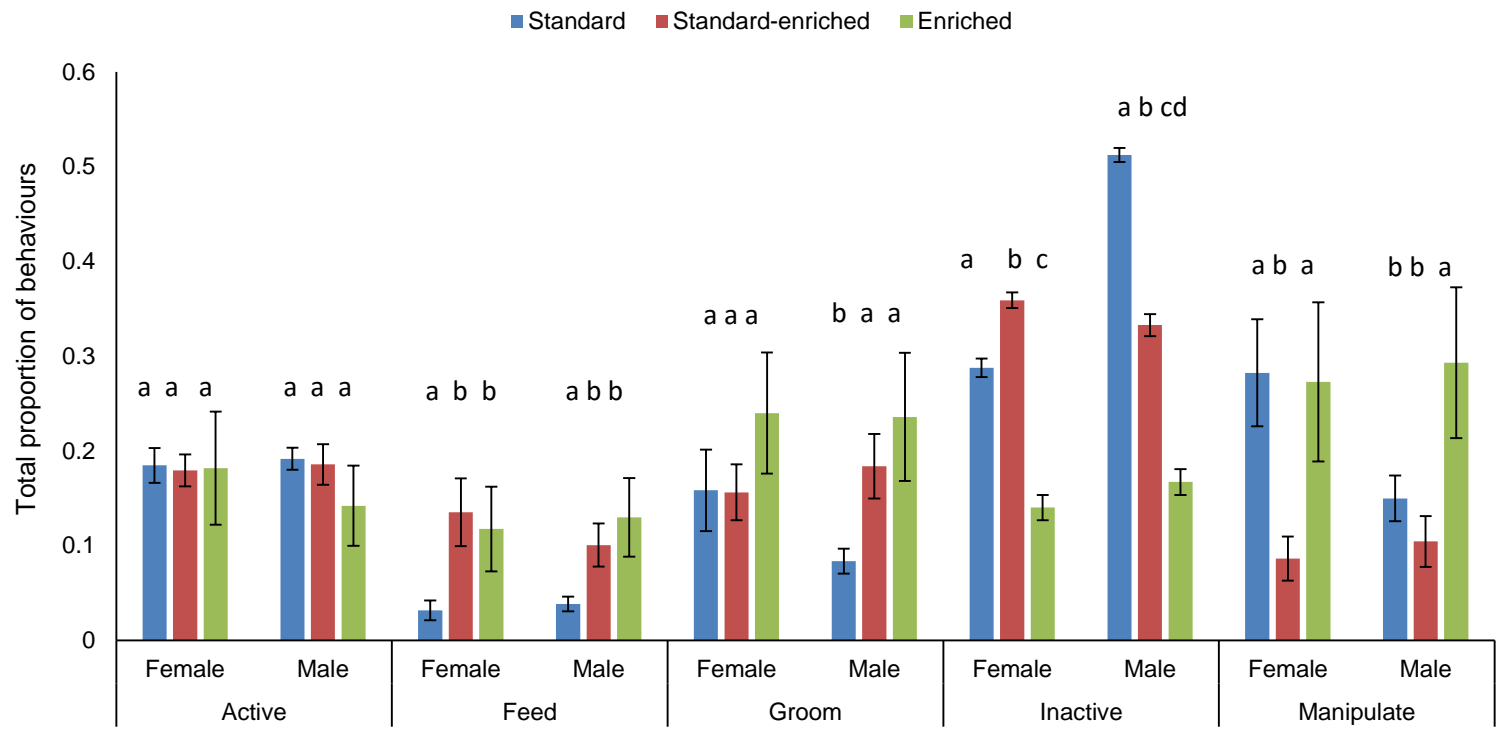
354 A multiple regression analysis revealed that the two personality test scores were not
355 correlated with the frequency of behaviours in all three treatments in stereotypic and non-
356 stereotypic mice (Table 5).

357 For the duration of behaviour, only two behaviours (activity and inactivity) were
358 considered. Treatment significantly influenced behaviour (Table 6). Activity was greater in the
359 Enriched than the Standard and Standard-enriched treatments (Figure 5). There were no
360 differences in the levels of inactivity in the Standard and Standard-enriched treatments. Sex,
361 stereotypy status, sex*stereotypy status, sex*treatment, stereotypy status*treatment, latency to
362 approach novel object and time spent in light compartment were not significant predictors of
363 behaviour (Table 6).

364 A multiple regression analysis revealed that the time spent in the light compartment was
365 significantly correlated with behaviours in the Standard treatment in stereotypic striped mice
366 (Table 7). None of the personality scores were associated with the duration of behaviours in non-
367 stereotypic mice in all three treatments.

368

369



370

371 **Figure 4. Total proportion of the frequency of behaviours combined for stereotypic and non-stereotypic females and males across three**
 372 **treatments (Standard, Standard-enriched, Enriched). Bars denote proportions generated through a generalized linear mixed effects**
 373 **model for each of the behaviours observed. Whiskers denote 95% confidence limits. Letters above bars marked denote significant**
 374 **differences in treatments.**

375 **Table 5. Multiple regression results for the frequency of behaviours and for the time spent in the**
 376 **light compartment (startle test) and latency to approach the novel object (novel object test) as the**
 377 **predictor variables for stereotypic and non-stereotypic striped mice in the Standard, Standard-**
 378 **enriched and Enriched treatments.**

Stereotypy status	Treatment	Personality test	P	R ²	F	df
Stereotypic	Standard	Time spent in light compartment	0.217	0.10	1.66	1,15
		Latency to approach novel object	0.964	0.00	0.00	1,15
	Standard-enriched	Time spent in light compartment	0.771	0.01	0.09	1,15
		Latency to approach novel object	0.610	0.02	0.27	1,15
	Enriched	Time spent in light compartment	0.990	0.00	0.00	1,15
		Latency to approach novel object	0.583	0.02	0.31	1,15
Non-stereotypic	Standard	Time spent in light compartment	0.244	0.19	1.62	1,5
		Latency to approach novel object	0.900	0.00	0.02	1,5
	Standard-enriched	Time spent in light compartment	0.788	0.02	0.08	1,5
		Latency to approach novel object	0.840	0.01	0.05	1,5
	Enriched	Time spent in light compartment	0.787	0.02	0.08	1,5
		Latency to approach novel object	0.416	0.14	0.78	1,5

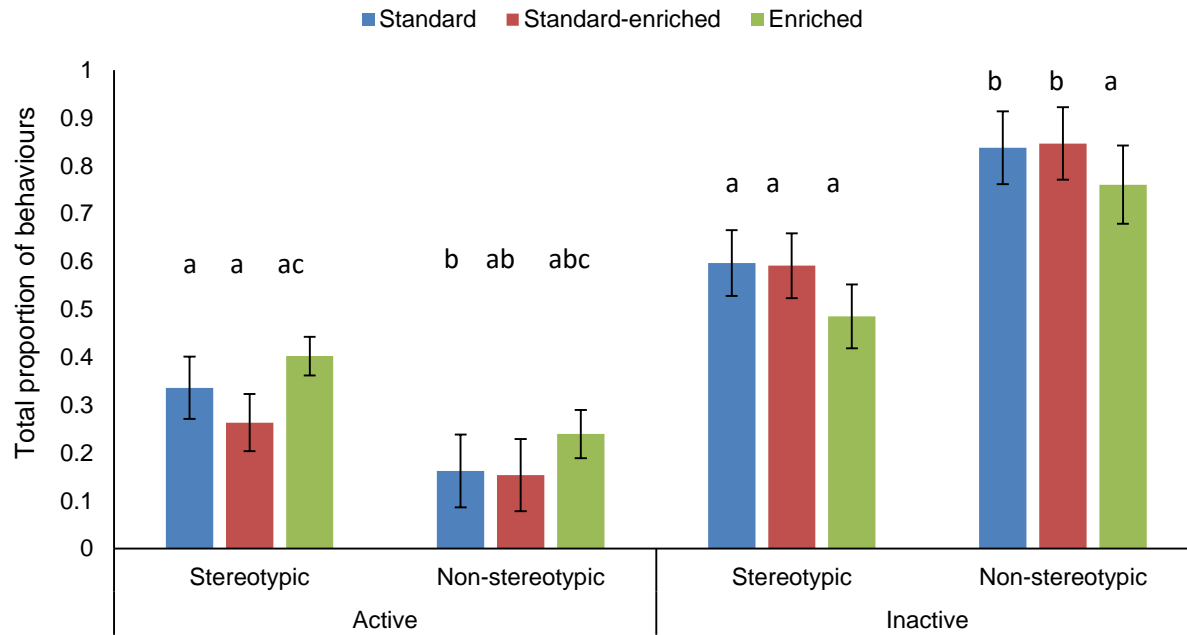
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380 **Table 6. Beta estimates, standard errors and *p*-values generated from a generalized linear mixed**
 381 **model (GLMZ) for contrasts for duration of behaviours in the Standard, Standard-enriched and**
 382 **Enriched treatments for stereotypic and non-stereotypic striped mice. Values in bold are**
 383 **significant.**

Variables	β Estimates	Standard Error	Wald χ ²	df	P
Stereotypy status	-60.80	39.82	2.33	1.0	0.127
Standard	6.59	43.25	20.65	2.0	0.879
Standard-enriched	145.46	40.84	20.65	2.0	<0.001
Enriched	-145.46	40.84	20.65	2.0	<0.001
Sex	20.16	52.08	0.15	1.0	0.699
Stereotypy status*sex	-31.54	61.44	0.26	1.0	0.608
Sex*Standard	-7.85	67.50	0.02	2.0	0.908
Sex*Standard-enriched	7.81	64.28	0.02	2.0	0.903
Sex*Enriched	-7.81	64.28	0.02	2.0	0.903
Stereotypy status*Standard	12.36	56.05	0.32	2.0	0.826
Stereotypy status *Standard-enriched	-27.95	50.16	0.32	2.0	0.577
Stereotypy status *Enriched	27.95	50.16	0.32	2.0	0.577
Time spent in the light compartment	0.13	0.10	1.72	1.0	0.190
Latency to approach novel object	-0.04	0.06	0.58	1.0	0.445

384

385



386

387 **Figure 5. Total proportion of the duration (s) of behaviours in stereotypic and non-stereotypic striped mice across three treatments**
388 **(Standard, Standard-enriched, Enriched). Bars denote proportions generated through a generalized linear mixed effects model for each of**
389 **the behaviours observed. Whiskers denote 95% confidence limits. Letters above bars marked denote significant differences in treatments.**

390 **Table 7. Multiple regression results for the duration of behaviours and the time spent in the light**
 391 **compartment (startle test) and latency to approach the novel object (novel object test) as the**
 392 **predictor variables for stereotypic and non-stereotypic striped mice in the Standard, Standard-**
 393 **enriched and Enriched treatments. Value in bold is significant.**
 394

Stereotypy status	Treatment	Personality test	P	R ²	F	df
Stereotypic	Standard	Time spent in light compartment	0.007	0.39	9.68	1,15
		Latency to approach novel object	0.132	0.15	2.54	1,15
	Standard-enriched	Time spent in light compartment	0.588	0.02	0.31	1,15
		Latency to approach novel object	0.393	0.05	0.77	1,15
	Enriched	Time spent in light compartment	0.886	0.00	0.02	1,15
		Latency to approach novel object	0.168	0.12	2.09	1,15
Non-stereotypic	Standard	Time spent in light compartment	0.610	0.05	0.29	1,5
		Latency to approach novel object	0.577	0.06	0.35	1,5
	Standard-enriched	Time spent in light compartment	0.980	0.00	0.00	1,5
		Latency to approach novel object	0.672	0.03	0.19	1,5
	Enriched	Time spent in light compartment	0.830	0.01	0.05	1,5
		Latency to approach novel object	0.384	0.13	0.88	1,5

395

396 Individual-level assessment

397 Stereotypic striped mice

398 Although there were no significant associations between the personality test scores and
 399 the frequency of behaviours in stereotypic mice (**Supplementary material: S1**), an examination
 400 of the beta coefficients allows for an assessment of the relative contribution of each independent
 401 variable on the dependent variable. There were 13 positive and 11 negative non-significant
 402 associations (Table 8). An examination of beta coefficients revealed that there was a significant
 403 association between duration of stereotypic behaviour and time spent in the light compartment: a
 404 greater time spent in the light compartment in the personality test was correlated with a linear
 405 increase in the duration of stereotypic behaviour but a non-random decrease in the duration of
 406 stereotypic behaviour. In support, Figure 6a shows that although there was a general increase in
 407 the duration of stereotypic behaviour in 8 of 10 stereotypic striped mice from Standard to
 408 Standard-enriched treatment, there was nevertheless a decrease in stereotypic behaviour from
 409 Standard-enriched to Enriched treatment in 10 out of 15 individuals. However, 5 individuals
 410 showed an increase in stereotypic behaviours from Standard-enriched to Enriched treatment.
 411 Furthermore, 6 individuals showed an increase in stereotypic behaviours from Standard to

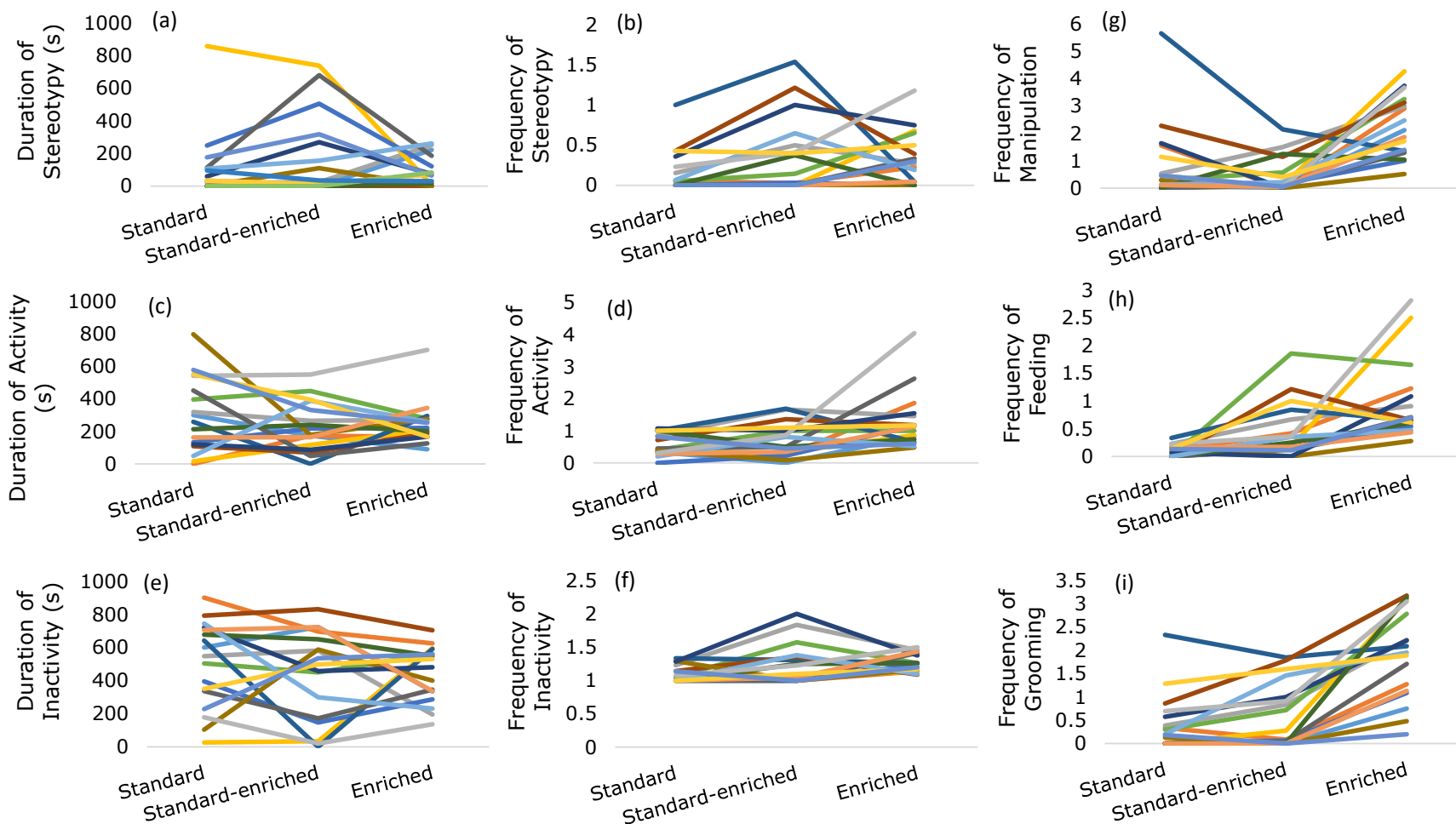
412 Standard-enriched treatment (Table 8). There were five positive and six negative non-significant
413 associations between the personality scores and duration of behaviours.

414 An examination of the individual responses in the treatments showed that although not
415 significant, the changes in the frequency of stereotypic behaviours mirrored that of the duration
416 pattern, increasing from Standard to Standard-enriched and decreasing in the Enriched treatment
417 (Figure 6b). There was a decrease in duration of activity in 9 of 15 individuals from Standard to
418 Standard-enriched treatment, which then increased from Standard-enriched to Enriched in 10
419 individuals (Figure 6c). Frequency of activity was low in the Standard and Standard-enriched
420 treatments in most individuals, and increased in the Enriched treatment (Figure 6d). Duration of
421 inactivity increased in most individuals from the Standard to the Standard-enriched treatments,
422 while it decreased in almost half of the individuals in the Enriched treatment (Figure 6e). The
423 frequency of inactivity for all individuals was similar across treatments (Figure 6f). Frequency of
424 object manipulation increased from the Standard-enriched treatment to the Enriched treatment in
425 most (13 of 15) individuals, while in 2 it decreased in the Enriched treatment (Figure 6g). The
426 frequency of feeding was low in the Standard treatment in most of the individuals and increased
427 in the Enriched treatment (Figure 6h). Figure 6i shows that not all individuals showed the same
428 levels of grooming patterns: it varied in most individuals in the Standard and Standard-enriched
429 treatments but increased from Standard-enriched to Enriched in most individuals.

430

431 Non-stereotypic striped mice

432 There was a significant negative correlation between frequency of inactivity and the
433 latency to approach the novel object (**Supplementary material: S2**). Though the linear
434 component was not significant, the polynomial component of inactivity was significant and thus
435 non-random, so that the longer the latency to approach the novel object in the personality test,
436 the lower was the frequency of inactivity, which is unexpected. There were 11 positive and 8
437 negative non-significant associations between the personality tests scores and the frequency of
438 behaviours. There were no significant associations between the personality test scores and
439 duration of behaviours, but there were four positive and four negative non-significant
440 associations. The duration of activity varied in the Standard and Standard-enriched treatments,
441 but increased in most individuals in the Enriched treatment (Figure 7a).



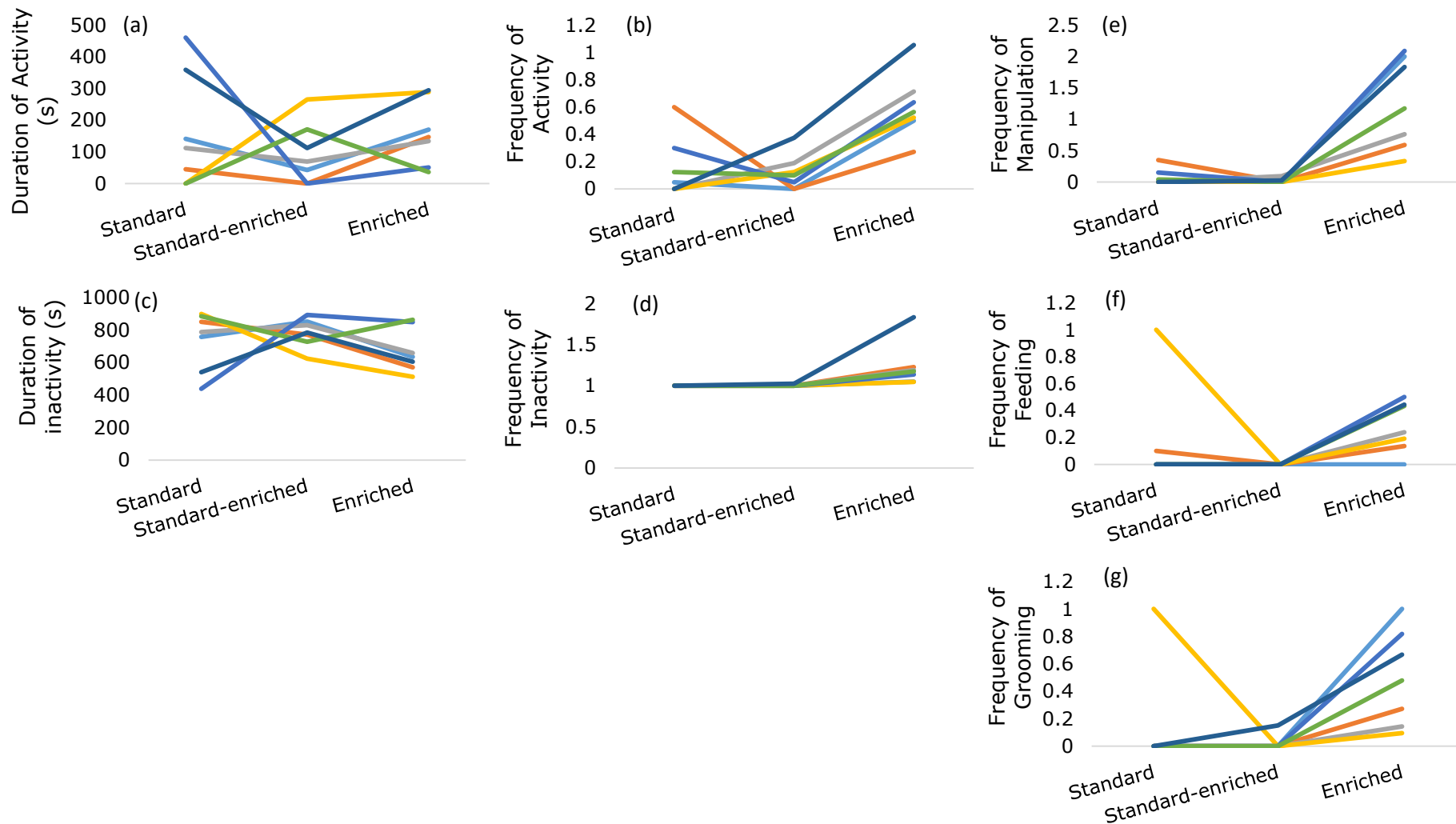
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446

Figure 6. Changes in behaviour of individual stereotypic striped mice in the Standard, Standard-enriched and Enriched treatments. The data for each individual for each treatment is connected by a different coloured line. (a)-(f) represent the duration and frequency data and (g)-(i) show the frequency data of behaviours.



447

448 **Figure 7. Changes in behaviour in individual non-stereotypic striped mice in the Standard, Standard-enriched and Enriched treatments. The data**
 449 **for each individual for each treatment is connected by a different coloured line. (a)- (d) show the duration and frequency data and (e) - (g) show the**
 450 **frequency data of behaviours.**

451 While most individuals showed a decrease in activity in the Standard-enriched treatment, 2
452 individuals showed an increase in the same treatment. The frequency of activity increased in
453 most individuals from the standard to Enriched treatments (Figure 7b). Duration of inactivity
454 decreased in most individuals from the Standard-enriched to the Enriched treatment, with an
455 exception of two individuals in which inactivity increased (Figure 7c). The frequency of
456 inactivity plateaued in all individuals from the Standard to Standard-enriched treatments and
457 then increased slightly in the Enriched treatment (Figure 7d). Frequency of object
458 manipulation was low in the Standard and Standard-enriched treatment and increased in the
459 Enriched treatment in all individuals (Figure 7e). The frequency of both feeding (Figure 7f)
460 and grooming (Figure 7g) were low in the Standard treatment in most individuals and
461 increased in the Enriched treatment.

462 In summary, stereotypic striped mice showed differing levels of stereotypic
463 behaviours in the three treatments. Eight out of 15 mice showed lower levels of stereotypic
464 behaviours in the Enriched treatment. Stereotypic behaviours were significantly high in the
465 Standard-enriched treatment, with 10 individuals showing increased levels of stereotypic
466 behaviours. Furthermore, 10 stereotypic mice showed lower levels of stereotypic behaviours
467 in the Standard treatment (Table 8).

468

469 **Discussion**

470 My aim was to ascertain whether stereotypic and non-stereotypic striped mice
471 responded differently to cages of varying complexities and whether this response was
472 influenced by the personality of the striped mice tested. I predicted that at the group level,
473 stereotypic striped mice would show reduced levels of stereotypic behaviours in enriched
474 conditions, while non-stereotypic mice would show reduced activity. At the individual level,
475 I expected both stereotypic and non-stereotypic striped mice to change their behaviours
476 depending on the housing conditions.

477

478 Group level effects

479 As expected, in stereotypic mice, the levels of stereotypic behaviours were
480 significantly reduced, while activity was significantly high in the Enriched treatment.
481 Similarly, several studies indicate that increasing cage complexity and the addition of
482 environmental enrichments reduce stereotypic behaviours (e.g. bank voles, *Clethrionomys*
483 *glareolus*, Ödberg, 1987; deer mice, *Peromyscus Maniculatus*, Powell et al., 1999, 2000;
484 lion-tailed macaques, *Macaca silenus*, Mallapur et al., 2005; dairy cattle, Redbo, 1990).

485 **Table 8. Personality scores (time spent in the light compartment and latency to approach novel**
 486 **object) and changes in stereotypic behaviours in stereotypic individuals in the Standard,**
 487 **Standard-enriched and Enriched treatments**

Individual number	Time spent in light compartment (s)	Latency to approach novel object (s)	Enriched	Standard-enriched	Standard
1	19.97	0.00	HIGHER	LOW	HIGH
2	147.1	36.35	LOW	HIGH	HIGHER
3	110.35	150.36	HIGHER	LOW	LOW
4	187.41	0.00	LOW	HIGHER	LOW
5	40.87	0.00	HIGHER	HIGH	LOW
6	2.33	381.7	LOW	HIGH	HIGHER
7	2.33	381.7	LOW	HIGHER	HIGH
8	216.65	0.00	LOW	LOW	LOW
9	179.69	169.78	HIGH	HIGHER	LOW
10	207.26	0.00	LOW	HIGHEST	LOW
11	0.00	155.29	HIGH	HIGHER	LOW
12	0.00	332.87	LOW	LOW	LOW
13	0.00	81.75	HIGHER	HIGH	LOW
14	105.21	29.58	LOW	HIGHER	HIGH
15	104.98	127.17	HIGHER	LOW	LOW

488
 489 Stereotypic striped mice, which display locomotor stereotypic behaviours, require
 490 space to perform these behaviours, yet with an increase in size and space in the Enriched
 491 treatment, they still reduced the performance of stereotypic behaviours. This finding therefore
 492 implies that cage complexity rather than the availability of space results in the reduction of
 493 stereotypic behaviours, similar to the findings in mink, *Neovison vison* (Hansen et al., 1994;
 494 Hansen et al., 2007). Overall, general activity, which comprised mainly of wheel running,
 495 also increased in the stereotypic mice, which might also have led to the mitigation of
 496 stereotypic behaviours in the Enriched treatment, concurring with results found on laboratory
 497 mice (Sherwin, 1996; Sherwin and Nicol, 1996; Howerton et al., 2008). Nevertheless, the
 498 lower levels of object manipulation in stereotypic than non-stereotypic striped mice in the
 499 Enriched treatment was unexpected. Therefore, it appears that once non-stereotypic striped
 500 mice became habituated to a novel environment, they started exploring the environments
 501 thoroughly.

502 The duration of stereotypic behaviours was greater in the Standard-enriched treatment
 503 than the Standard or Enriched treatments. Environmental enrichment usually reduces or
 504 prevents abnormal behaviours (Young, 2003; Swaisgood and Shepherdson, 2006; Abou-
 505 Ismail, 2011), and these findings could be due to the placement of environmental enrichment

506 in the already restricted standard laboratory housing. Standard laboratory housing is
507 associated with reduced welfare as well as frustration since it prevents the occurrence of
508 natural behaviours (Balcombe, 2006). Locomotor stereotypic behaviours require space for
509 their performance, so the addition of environmental enrichment in such small cages and a
510 further reduction in the available space aggravated stereotypic behaviours in striped mice.
511 Similarly, blue jays, *Cyanocitta cristata* and domesticated budgerigars, *Melopsittacus*
512 *undulates*, which were housed in cages with reduced space which increased their stress
513 levels, displayed higher levels of abnormal behaviours than those housed in larger cages
514 (Keiper, 1969; Gebhardt-Henrich and Steiger, 2006). Interestingly, despite an increase in
515 space in the Enriched treatment, stereotypic behaviours were reduced, which implies that
516 space acts in synergy with the environmental enrichment in reducing stereotypic behaviours.

517 Female stereotypic striped mice showed increased levels of object manipulation
518 compared to males, while males showed greater levels of inactivity. Males and females have
519 different life history strategies (Nevison et al., 1999), patterns of hormone secretion
520 (Quiñones-Jenab et al., 1999; Beatty, 1979) and differences in genetic predisposition (de
521 Visser et al., 2007), all of which could have contributed to the sex differences observed in
522 terms of object manipulation. Female Sprague–Dawley rats showed higher levels of activity
523 and enrichment use than males (Peña et al., 2006), as did female Japanese quail, *Coturnix*
524 *coturnix japonica* (Miller et al., 2006). These sex differences in response to enrichment use
525 suggest that males and females may benefit differently from enrichments.

526

527 Individual level effects

528 The multivariate regression analyses used to assess whether personality influenced
529 how individual striped mice interacted with different cage complexities showed individual
530 differences in response to the different cage complexities. While individual stereotypic
531 striped mice that spent a longer time in the light compartment (i.e. bolder), showed a linear
532 increase in stereotypic behaviour in the Standard and Standard-enriched treatments, they also
533 showed a non-random decrease in stereotypic behaviours in the Enriched treatment. In
534 particular, stereotypic individuals did not show consistent behavioural responses in the three
535 treatments, since there was little consistency between behaviours in the personality tests and
536 in response to the cages of varying cage complexities. Even though stereotypic behaviours
537 were generally attenuated in the Enriched treatment, individual stereotypic mice responded
538 differently to the enriched conditions. A few stereotypic mice actually showed an increase in
539 stereotypic behaviours in the same treatment. Furthermore, while most stereotypic individuals

540 showed an increase in activity and object manipulation in the Enriched treatment, a few also
541 decreased the levels of activity and object manipulation. In contrast, except for two
542 individuals, the majority of non-stereotypic striped mice showed consistency in behaviours
543 across the three treatments: most individuals increased activity and object manipulation in the
544 Enriched treatment.

545 Taken together, these results imply that stereotypic striped mice modify their
546 behavioural responses depending on the environmental context, and therefore demonstrate a
547 flexible response. It is also possible that the stereotypic behaviours were not fully established
548 and may not have passed the critical period after which environmental enrichment would not
549 have a protective effect on the development of stereotypic behaviours (Lewis et al., 2006).
550 However, this might not be the case in *Rhabdomys* because stereotypic behaviours are fixed
551 at 45 days of age in this taxon (Jones et al., 2011). In contrast, while non-stereotypic mice
552 showed more or less consistent behaviours in these contexts, there was an indication of
553 flexible behavioural responses in two individuals; a larger sample is required to thoroughly
554 investigate the individual variation. As in my study, both bold and shy trout, *Onchorhynchus*
555 *mykiss*, varied their responses when exposed to different environmental situations (Frost et
556 al., 2013). Non-stereotypic striped mice, showed a negative relationship between the latency
557 to approach the novel object in personality tests and inactivity in the standard housing. It is
558 possible that less bold non-stereotypic animals assess the situation before displaying
559 behaviour and are therefore less predictable in their behaviours. Similarly, less bold rainbow
560 trout, *Onchorhynchus mykiss*, change their behaviour when their relative competitive ability
561 may be similar or less than that of conspecifics (Frost et al., 2007).

562 Several personality studies have showed behavioural flexibility (e.g. pumpkinseed
563 sunfish, *Lepomis gibbosus* (Coleman and Wilson, 1998), squid, *Euprymna tasmanica* (Sinn et
564 al., 2008), great tits, *Parus major* (Jacobs et al., 2013) and mice (Benus et al., 1987; Sluyter
565 et al., 1996). However, all these studies show the ability to alter behavioural responses in less
566 bold individuals and not bold individuals. While my findings contradict the literature, a non-
567 rodent study provides partial support for my findings: Thomson et al. (2012) showed that
568 bold trout, *Oncorhynchus mykiss*, were comparatively more flexible in terms of activity and
569 altering levels of neophobia relevant to a behavioural challenge, while less bold trout were
570 more fixed in their behavioural responses and remained shy.

571 Coppens et al. (2010) and Koolhaas et al. (2010) maintain that the mechanisms
572 underpinning behavioural flexibility, i.e. the ability of individuals to vary their behaviours in
573 response to different environmental stimuli, can be explained to arise proximally from

574 changes in the prefrontal cortex, responsible for behavioural flexibility and inhibition of
575 inappropriate behaviours. In particular, individual variation in the serotonergic and
576 dopaminergic input to the medial prefrontal cortex may explain individual variation in coping
577 styles, since serotonin is involved in behavioural flexibility. Interestingly, in both stereotypic
578 animals and proactive copers, the levels of serotonin, which is crucial for behavioural
579 flexibility, are also low. Nevertheless, it has been shown that with exposure to enrichment,
580 the levels of serotonin are elevated (Brenes et al., 2009), which may have caused the
581 behavioural flexibility evident in the stereotypic individuals. Moreover, behavioural
582 flexibility is an integral component of the coping style an individual uses when responding to
583 environmental cues, and proactive individuals should be more rigid in their behaviours and
584 reactive individuals more flexible (Koolhaas et al., 1999).

585

586 Conclusions

587 My study is one of the first to test the involvement of individual differences
588 (personality) to explain variation in responses to environmental stimuli in stereotypic mice,
589 and generated novel outcomes. My study shows that the personality of the stereotypic
590 individuals does not predict behavioural variation in different environmental conditions and
591 contradicts the literature that bold/proactive individuals show fixed, rigid behavioural
592 routines and reduced behavioural inhibition and less bold/reactive individuals show
593 behaviours guided by environmental cues (Benus et al., 1991; Koolhaas et al., 1999; Coppens
594 et al., 2010; Ijichi et al., 2013). Stereotypic striped mice were generally bold but both
595 stereotypic and non-stereotypic individuals altered their behavioural responses depending on
596 the context. While personality does not influence the behaviour of striped mice under
597 different cage complexity, the randomised exposure to treatments in my study in sub-adult
598 and later when they became adults, might have been confounded by the age of exposure to
599 treatments (see Tilly et al., 2010). In future studies, I will examine the relationship between
600 stereotypic status, age, personality and environmental enrichment.

601

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775 **Supplementary material**

776 **Table S1. Multivariate regression analysis of the frequency of stereotypic behaviour, activity,**
 777 **inactivity, object manipulation, feeding and grooming and the duration of stereotypic**
 778 **behaviour, activity and inactivity with latency to approach the novel object (novel object test)**
 779 **and time spent in the light compartment (startle test) as the predictor variables for stereotypic**
 780 **mice in the Standard, Standard-enriched and Enriched treatments. Linear and polynomial**
 781 **decomposition are reported. Values in bold are significant.**

FREQUENCY							
Personality test	Behaviour	Parameter Estimates	P	β	R ²	F	df
Time spent in light compartment	Stereotypy	0.002	0.359	0.51	0.12	0.68	6,39
	Active	0.000	0.933	-0.04	0.21		
	Inactive	0.001	0.584	0.30	0.16		
	Manipulate	0.012	0.120	0.72	0.41		
	Feed	0.004	0.294	0.47	0.43		
	Groom	0.004	0.428	0.35	0.46		
Latency to approach novel object	Stereotypy	0.001	0.376	0.39	0.12	1.28	6,39
	Active	0.000	0.920	-0.04	0.21		
	Inactive	0.002	0.082	0.76	0.16		
	Manipulate	-0.001	0.839	-0.07	0.41		
	Feed	-0.002	0.394	-0.31	0.43		
	Groom	0.000	0.873	-0.06	0.46		
Time spent in light compartment ²	Stereotypy	0.001	0.376	0.39	0.12	1.07	6,39
	Active	0.000	0.838	0.10	0.21		
	Inactive	0.000	0.962	-0.03	0.16		
	Manipulate	0.000	0.074	-0.81	0.41		
	Feed	0.000	0.126	-0.68	0.43		
	Groom	0.000	0.334	-0.41	0.46		
Latency to approach novel object ²	Stereotypy	0.000	0.658	-0.19	0.12	0.75	6,39
	Active	0.000	0.921	0.04	0.21		
	Inactive	0.000	0.199	-0.56	0.16		
	Manipulate	0.000	0.587	0.20	0.41		
	Feed	0.000	0.549	0.21	0.43		
	Groom	0.000	0.637	0.16	0.46		
DURATION							
Time spent in light compartment	Stereotypy	2.875	0.042	1.07	0.41	1.74	3,42
	Active	0.728	0.546	0.31	0.37		
	Inactive	-3.148	0.074	-0.98	0.29		
Latency to approach novel object	Stereotypy	0.657	0.375	0.39	0.41	0.79	3,42
	Active	-0.291	0.652	-0.20	0.37		
	Inactive	0.283	0.759	0.14	0.29		
Time spent in light compartment ²	Stereotypy	-0.015	0.036	-1.07	0.41	1.56	3,42
	Active	0.000	0.973	-0.02	0.37		
	Inactive	0.014	0.109	0.85	0.29		
Latency to approach novel object ²	Stereotypy	-0.001	0.714	-0.16	0.41	0.49	3,42
	Active	0.001	0.662	0.19	0.37		
	Inactive	-0.002	0.546	-0.27	0.29		

782

783 **Table S2. Multivariate regression analysis of the frequency of activity, inactivity, object**
784 **manipulation, feeding and grooming and the duration of activity and inactivity with latency to**
785 **approach the novel object and time spent in the light compartment (startle test) as the predictor**
786 **variables in non-stereotypic striped mice in the Standard, Standard-enriched and Enriched**
787 **treatments. Linear and polynomial decomposition are reported. Values in bold are significant.**
788

FREQUENCY							
Personality test	Behaviour	Parameter Estimates	P	β	R ²	F	df
Time spent in light compartment	Active	0.005	0.241	1.56	0.67	0.43	5,10
	Inactive	0.006	0.278	1.60	0.59		
	Manipulate	0.009	0.344	1.12	0.73		
	Feed	0.000	0.931	0.16	0.34		
	Groom	0.005	0.510	1.16	0.40		
Latency to approach novel object	Active	0.000	0.971	0.02	0.67	1.19	5,10
	Inactive	0.001	0.234	0.62	0.59		
	Manipulate	-0.002	0.241	-0.50	0.73		
	Feed	0.001	0.246	0.77	0.34		
	Groom	0.000	0.862	0.11	0.40		
Time spent in light compartment ²	Active	0.000	0.279	-1.43	0.67	0.37	5,10
	Inactive	0.000	0.295	-1.54	0.59		
	Manipulate	0.000	0.393	-1.01	0.73		
	Feed	0.000	0.803	-0.46	0.34		
	Groom	0.000	0.484	-1.23	0.40		
Latency to approach novel object ²	Active	0.000	0.568	-0.26	0.67	1.99	5,10
	Inactive	0.000	0.047	-1.07	0.59		
	Manipulate	0.000	0.250	0.48	0.73		
	Feed	0.000	0.260	-0.73	0.34		
	Groom	0.000	0.883	0.09	0.40		
DURATION							
Time spent in light compartment	Active	0.565	0.851	0.37	0.21	0.13	2,13
	Inactive	-2.397	0.621	-0.97	0.24		
Latency to approach novel object	Active	0.859	0.152	1.05	0.21	1.86	2,13
	Inactive	0.058	0.950	0.04	0.24		
Time spent in light compartment ²	Active	-0.003	0.844	-0.39	0.21	0.10	2,13
	Inactive	0.012	0.663	0.86	0.24		
Latency to approach novel object ²	Active	-0.002	0.126	-1.11	0.21	3.41	2,13
	Inactive	-0.001	0.520	-0.44	0.24		

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CHAPTER FOUR

Effects of age on the use of environmental enrichment in stereotypic African striped mice, *Rhabdomys dilectus*

Abstract

Although environmental enrichment has generally been shown to enhance captive animals' welfare and reduce stereotypic behaviours, it can be questioned why enrichment is not always successful in its intended purpose? I addressed this question by investigating whether the age at which an enrichment protocol was implemented influenced the behavioural responses to cages of varying complexity in African striped mice, *Rhabdomys dilectus*. I also considered whether the personality (i.e. consistent individual variation) modulated this response. The personality of the striped mice was first tested, using three conventional personality tests (i.e. novel-object, light-dark and startle-response tests) as sub-adults at 43 days of age. Thereafter, they were individually exposed to decreasing (Enriched to Standard-enriched to Standard) and increasing (Standard to Standard-enriched to Enriched) levels of complexity, so that they would experience differing levels of complexities at different ages. The age at which environmental enrichment was introduced did not influence behavioural responses to cages of different complexity. The behavioural responses were also not influenced by the personality of the stereotypic individuals. Stereotypic behaviours were low in the Enriched treatment as expected, but not all stereotypic striped mice responded to the treatments in a consistent manner. Stereotypic individuals that spent a longer time in the light compartment (bolder) in personality tests showed varying levels of stereotypic behaviours and inactivity in the three treatments. Similarly, the levels of stereotypic behaviours, inactivity and feeding also varied in stereotypic mice that took a longer time to approach the novel object (less bold) in personality tests. There were no age-related differences in the behavioural responses of non-stereotypic striped mice. My results reveal that age may not be an important factor in influencing behavioural responses to varying environments and that stereotypic striped mice show flexible behavioural responses to cages of varying complexity irrespective of their personality.

Keywords: Age, Personality, Environmental enrichment, Striped mice, Stereotypic behaviour

1 **Introduction**

2 Environmental enrichment exposes animals to physical, cognitive and social
3 stimulation, greater than what they would receive under standard housing (Van Praag et al.,
4 2000; Simpson and Kelly, 2011). Provision of environmental enrichment enhances learning
5 abilities, spatial memory (Leggio et al., 2005; Petrosini et al., 2009), decreases the occurrence
6 of abnormal behaviours, such as stereotypic behaviours (Würbel et al., 1998; Powell et al.,
7 2000; Turner et al., 2003), and importantly increases the ability of animals to cope with
8 stressors (Newberry, 1995; Young, 2003). Many factors can influence the efficacy of
9 environmental enrichments, for example, the variability of enrichment protocol (Simpson and
10 Kelly, 2011) as well as personality (i.e. individual differences). However, in a previous study
11 (Chapter 3), I showed that personality did not influence how striped mice interacted with
12 environmental enrichment and that both stereotypic and non-stereotypic striped mice
13 displayed flexible behavioural responses, depending on context or cage complexity.

14 Factors such as the age at which the environmental enrichment is introduced can also
15 explain individual variation in the responses to enrichments, which could potentially affect
16 the efficacy of environmental enrichment (Walker and Mason, 2012). For example, studies
17 conducted on bank voles, *Clethrionomys glareolus* and deer mice, *Peromyscus maniculatus*,
18 show that age influences the impact of enrichment on stereotypic behaviour. In these studies,
19 although older animals displayed a reduction of stereotypic behaviours when subjected to
20 environmental enrichment, younger animals exposed to the same conditions had a greater
21 chance of reducing or eliminating stereotypic behaviours (Cooper et al., 1996; Hadley et al.,
22 2006).

23 The aim of my study was to investigate whether the age of stereotypic and non-
24 stereotypic African striped mice, *Rhabdomys dilectus*, influenced their behavioural responses
25 to cages of varying complexities and whether personality modulated this response. Striped
26 mice readily display stereotypical behaviours in captivity, with approximately half of captive
27 born individuals exhibiting stereotypic behaviours as a result of being housed in standard
28 laboratory cages (Schwaibold and Pillay, 2001). Individuals underwent three personality tests
29 after which they were exposed to three treatments in a decreasing (Enriched to Standard-
30 enriched to Standard) and increasing (Standard to Standard-enriched to Enriched) order of
31 complexity. Such a design accounted for the longitudinal effects of aging by exposing striped
32 mice of different ages to differing levels of enrichment. I predicted that 1) individual
33 stereotypic striped mice exposed to treatments in an increasing complexity would show
34 higher levels of stereotypic behaviours in the Standard treatment and lower levels of

35 stereotypic behaviours in the enriched (Standard-enriched and Enriched) treatments, and 2)
36 individual stereotypic striped mice exposed to treatments in a decreasing complexity would
37 show lower levels of stereotypic behaviours in the enriched treatments (Standard-enriched
38 and Enriched), which would then increase in the Standard treatment.

39

40 **Materials and methods**

41 Striped mice used in this study were captive born F1 and F2 individuals, obtained
42 from a population in Pretoria (25° 40" S; 28° 30" E), South Africa. They were housed in the
43 Milner Park Animal Unit, University of the Witwatersrand under partially controlled
44 environmental conditions: 14L: 10D light: dark cycle (lights on at 05h00); 22°C-24°C and 30-
45 60% rH. Subjects were housed singly in clear Lab-o-tec™ cages (L × H × W: 300 mm × 200
46 mm × 150 mm). Wood shavings (± 3 cm) were provided as bedding and a handful of
47 *Eragrostis* grass (± 20 g) and ± 5 g of shredded tissue paper were provided as nesting
48 material. PVC nest-boxes (L × H × W: 100 mm × 100 mm × 150 mm, open at both ends)
49 were also provided in each cage. Epol® mouse cubes and water were available *ad libitum*.
50 About 10 g of fresh fruit (apples, pears) or vegetables (lettuce, carrots, broccoli) and ± 5 g of
51 mixed seed were provided daily per individual.

52 Given that the transmission of stereotypic behaviours has a genetic basis in striped
53 mice (Jones et al., 2008) and therefore to increase the chance of producing stereotypic and
54 non-stereotypic individuals, seven stereotypic and seven non-stereotypic pairs (i.e. both male
55 and female were either stereotypic or not) were established under standard laboratory
56 conditions (as described above) of which there were only five stereotypic and three non-
57 stereotypic successful breeding. The young were separated from the mother at 22 days and
58 housed singly in Lab-o-tec™ cages (described above). These offspring were used in
59 experiments (Figure 1).

60

61 Observations for stereotypy and personality tests

62 From day 22, observations were made daily on the young, twice a day for a total of
63 half an hour, in order to establish the absence/presence of stereotypic behaviour. Stereotypic
64 individuals were those that displayed 10 or more bouts of stereotypy per observation session,
65 each with three or more repetitions (after Jones et al., 2008). Only individuals that exhibited
66 locomotor stereotypic behaviours were used in this study. Individuals that did not exhibit any
67 stereotypic behaviour were classified as non-stereotypic and were used as a comparison with
68 the stereotypic individuals. The absence/ presence rather than the duration of stereotypy was

69 recorded because stereotypy is an ‘all or nothing’ occurrence in striped mice (Jones et al.,
70 2008). At 43 days of age stereotypic and non-stereotypic striped mice underwent three
71 conventional personality tests (see Miller et al., 2006), namely Light-dark, Startle and Novel-
72 object tests to establish their personality types, using the procedure described in Chapter 2.

73

74 Environmental enrichment and stereotypy

75 Following the personality tests, stereotypic and non-stereotypic striped mice were
76 then subjected to three treatments in an increasing (stereotypic: male, n= 4: female, n= 7;
77 non-stereotypic: male, n= 4: female, n= 5) and decreasing (stereotypic: male, n= 4: female,
78 n= 6; non-stereotypic: male, n= 3: female, n= 3) order of complexity (Figure 1). The starting
79 ages (45 days) and the duration (45 days) of the treatments were fixed.

80 Treatment 1. (Standard housing/ baseline) - test subjects were housed individually in
81 their original Lab-o-tec™ cages (See above).

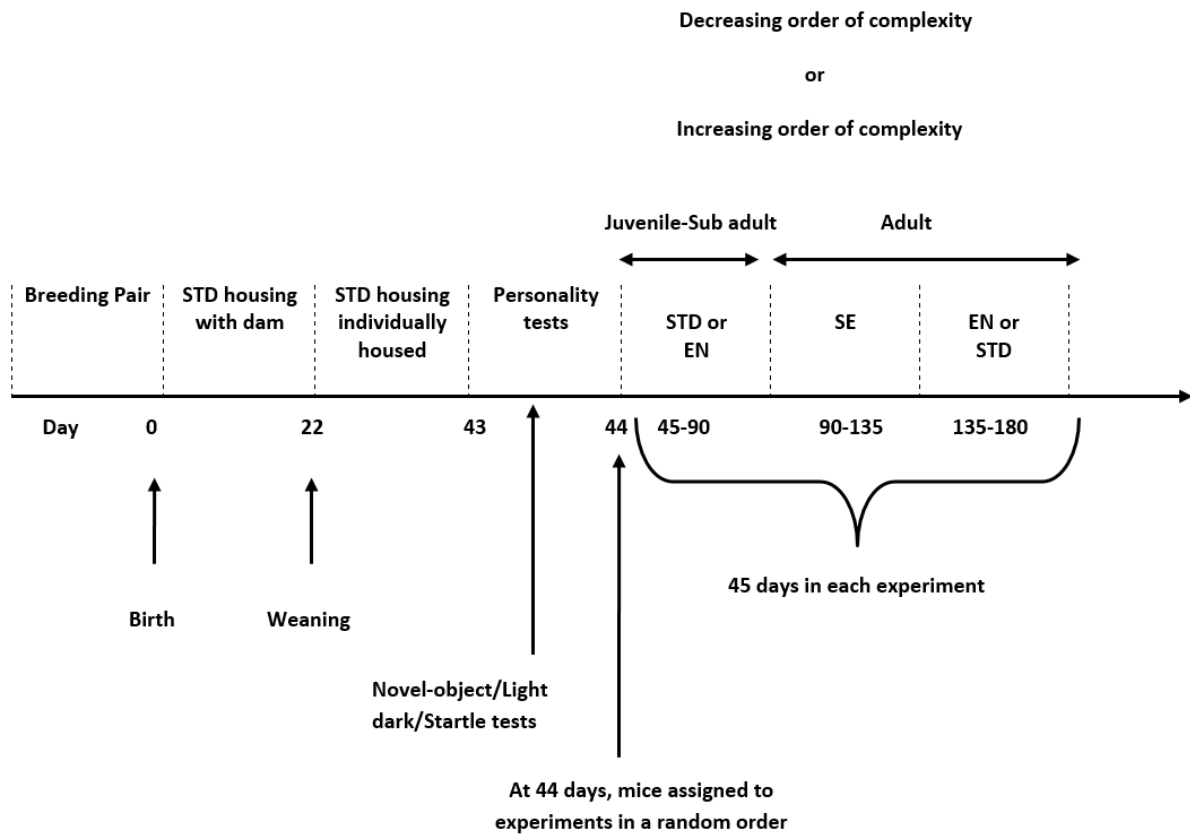
82 Treatment 2. (Standard-enriched housing) - test subjects, were housed individually in
83 their original Lab-o-tec™ cages as above but with the addition of one to two small cardboard
84 tubes (\pm 50 mm diameter) for enrichment.

85 Treatment 3. (Enriched housing) - test subjects were housed individually in a larger
86 tank (L \times H \times W: 600 mm \times 410 mm \times 300 mm), provided with a nest box with the same
87 dimensions as in Standard housing), a deep layer of wood shavings as bedding (\pm 40 g) and
88 *Eragrostis* grass (\pm 20 g). In addition to cardboard tubes, several enrichment devices, i.e. a
89 running wheel (\pm 15 cm diameter), Habitrail™ PVC tunnels and balls were provided.

90 The behaviour of all animals was video-recorded in all treatments every second day
91 (i.e. 22 days of recording) from 09h00 to 12h00 as striped mice are most active between these
92 time (Pillay, 2000). Before commencing video-recording of behaviours in each treatment, all
93 individuals were allowed to acclimatize to the new treatment for 24 hours. Using Observer
94 software (version 5.0; Noldus Information Technology), the frequency of six behaviours (see
95 Table 1) were scored using continuous sampling: inactive; active; feeding/ drinking;
96 manipulating objects in a cage; grooming; and stereotypic behaviour (if applicable).

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Figure 1. Timeline showing the ages (days) at which striped mice were weaned, exposed to personality tests and assigned in a decreasing and increasing order of complexity to Standard (STD), Standard-enriched (SE) and Enriched (EN) treatments.

Table 1. Ethogram of striped mice behaviours scored in three treatments.

Behaviour	Definition
Inactive	Individual motionless and resting or out of sight
Active	Non-stereotypic movement or running on the cage floor usually digging in the wood shavings + wheel running in Treatment 3
Object manipulation	Manipulating enrichments (e.g. biting or nudging of cardboard tubes and wheels)
Feeding/Drinking	Manipulating or chewing of mouse cubes, seeds or vegetables/fruits and drinking from a water bottle
Stereotypic behaviour	A repetitive and invariant behaviour > 3 times in succession
Grooming	Squatting on hind legs, grooming head, body, tail, and/or genitals

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In addition, I also recorded the frequency of the wheel running behaviour displayed by test subjects in the Enriched treatment (i.e. Treatment 3). Every two weeks, the cages/tanks, PVC tunnels and all the contents were cleaned and the cardboard tubes replaced.

Data analyses

Data were checked for normality and homogeneity of variance using the Shapiro-Wilk and Levene's tests. All the statistical analyses were two-tailed with statistical significance accepted at $p \leq 0.05$ and were analysed using R (Ver. 2.13.0; R Development

113 Core Team, 2011) and Statistica (version 7 Statsoft, USA) software. Tukey post hoc tests
114 were used to identify specific trends. Using, the `pwr.chisq.test` function in the *pwr* package
115 (Blomberg, 2014), a power analyses was used to calculate effect size.

116 Previously (Chapter 2 and Chapter 3), I showed that at group level, stereotypic striped
117 mice were bolder than non-stereotypic mice, while at an individual level, stereotypic mice
118 were flexible in their behaviours. Based on my previous findings, I considered just the
119 individual level differences because these were instructive of differences between individuals
120 than the group level differences. The relationship between personality and the behaviours of
121 individual stereotypic and non-stereotypic striped mice in the Standard, Standard-enriched
122 and Enriched treatments for both increasing and decreasing order of complexity were
123 analysed using a polynomial multivariate regression for multiple dependents in the same
124 model. The frequency and the duration of behaviours were included as dependent variables.
125 The startle and novel object personality test scores were used as the continuous predictors,
126 and the treatments (Standard, Standard-enriched and Enriched) and age were used as
127 categorical predictors. Since non-stereotypic individuals do not exhibit stereotypic
128 behaviours, separate tests were conducted for both stereotypic and non-stereotypic mice. For
129 all dependent variables, I first examined the homogeneity of slopes of the continuous
130 predictors and their interaction to determine whether a single test (multivariate regression) or
131 separate regressions were required. For both tests, I report the coefficient of determination, F-
132 and P- values and parameter estimates for linear and polynomial decomposition. I also
133 examined the beta coefficient, obtained when all variables are standardized to a mean of 0
134 and a standard deviation of 1. The polynomial variables are reported as linear and quadratic
135 functions indicated with a “²”.

136

137 **Results**

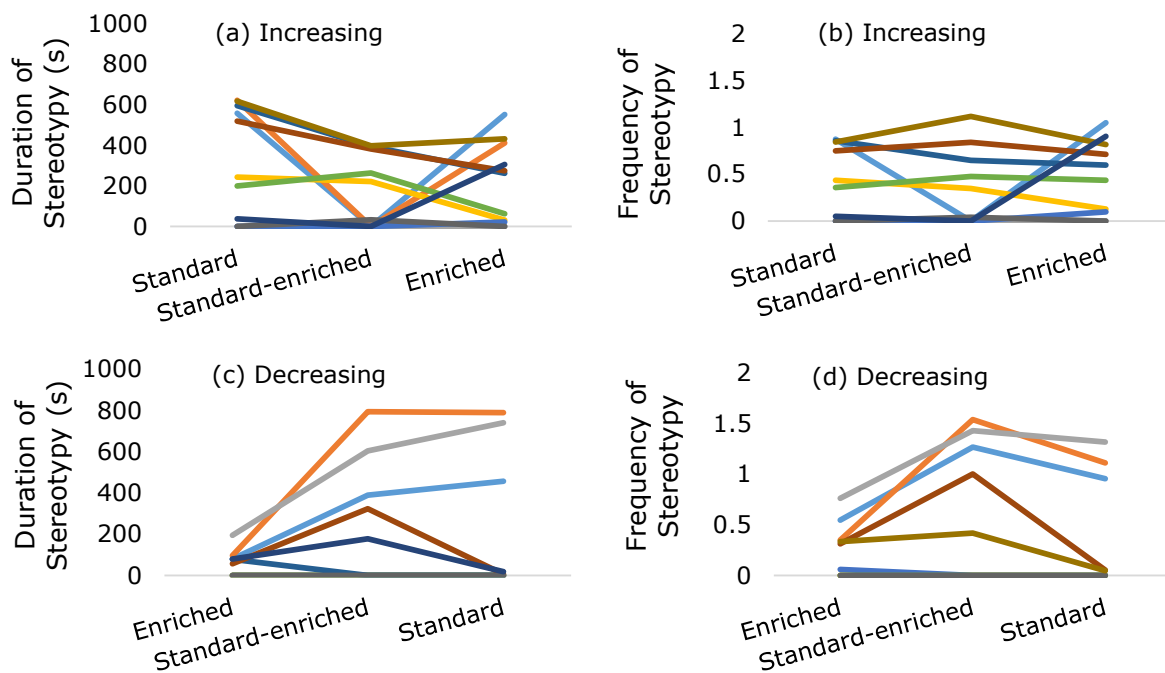
138 I found a low power of 0.24, indicating that the small number of test animals affected
139 the analysis. Age was not a significant predictor of the behaviours ($F_{3, 51} = 0.528, p=0.665$).
140 Furthermore, Tukey post hoc tests revealed that age was not a significant predictor of
141 behaviours in all treatments.

142

143 Stereotypic mice

144 An examination of the beta coefficients allows an assessment of the relative
145 contribution of each independent variable on the dependent variable. There was a significant

146 association between the time spent in the light compartment and the frequency of stereotypic
 147 behaviours. With an increase in the time spent in the light compartment, there was a linear
 148 increase in stereotypic behaviours but a non-random polynomial decrease in this behaviour
 149 (**Supplementary material: S1**). Furthermore, the time spent in the light compartment² was
 150 positively associated with the duration of stereotypic behaviours: with an increase in the time
 151 spent in the light compartment, there was a non-random polynomial increase in stereotypic
 152 behaviours. Figure 2a shows that 6 out of 11 individuals showed a decrease in the duration of
 153 stereotypic behaviours from the Standard to Standard-enriched treatment. Stereotypic
 154 behaviours were low in 7 individuals and high in 4 individuals in the Enriched treatment. The
 155 frequency of stereotypic behaviours followed a similar pattern to that of the duration, with
 156 some individuals showing an increase in stereotypic behaviour and others showing a decrease
 157 in the Enriched treatment (Figure 2b). Duration and frequency of stereotypic behaviours were
 158 low in the Enriched treatment and increased in the Standard-enriched treatment (Figures 2c
 159 and 2d).
 160



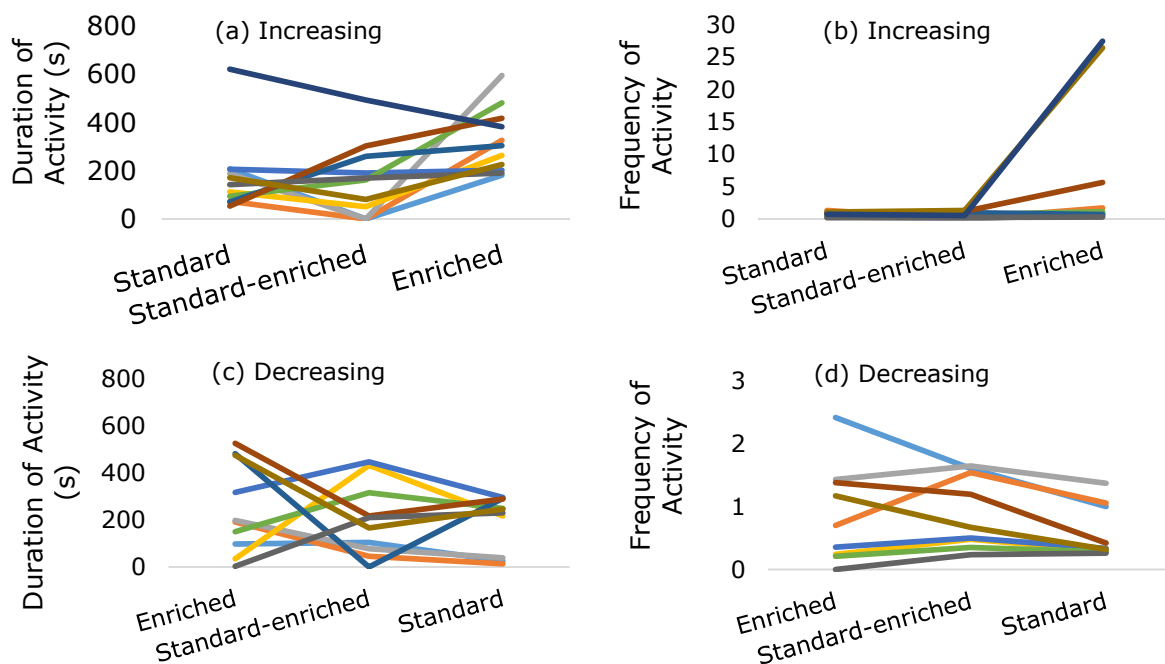
161
 162 **Figure 2. Changes in duration and frequency of stereotypic behaviour of individual stereotypic**
 163 **striped mice exposed to treatments (Standard, Standard-enriched and Enriched) in an**
 164 **increasing (a, b) and decreasing (c, d) order. The data for each individual for each treatment are**
 165 **connected by a different coloured line.**
 166

167 Figure (3a) showed that activity was high in the Standard treatment, decreased in the
 168 Standard-enriched treatment and increased in the Enriched treatment in 10 of 11 individuals.

169 The frequency of activity plateaued from the Standard to Standard-enriched treatment in all
 170 individuals and increased in the Enriched treatment (Figure 3b).

171 While duration of activity was high in 5 of the individuals in the Enriched treatment, it was
 172 low in 6 individuals in the same treatment (Figure 3c). Figure 3d shows that frequency of
 173 activity was high in the Enriched treatment and decreased from the Standard-enriched to
 174 Standard treatment.

175 There was a significant association between the time spent in the light compartment
 176 and the frequency of inactivity. With an increase in the time spent in the light compartment,
 177 there was a linear increase in inactivity but a non-random polynomial decrease in inactivity.
 178 There was also an association between the duration and frequency of inactivity and the
 179 latency to approach the novel object: with an increase in latency to approach the novel object,
 180 inactivity increased in some treatments while it decreased in other treatments. Furthermore,
 181 the time spent in the light compartment² was negatively associated with duration of inactivity,
 182 with a non-random polynomial decrease in inactivity.



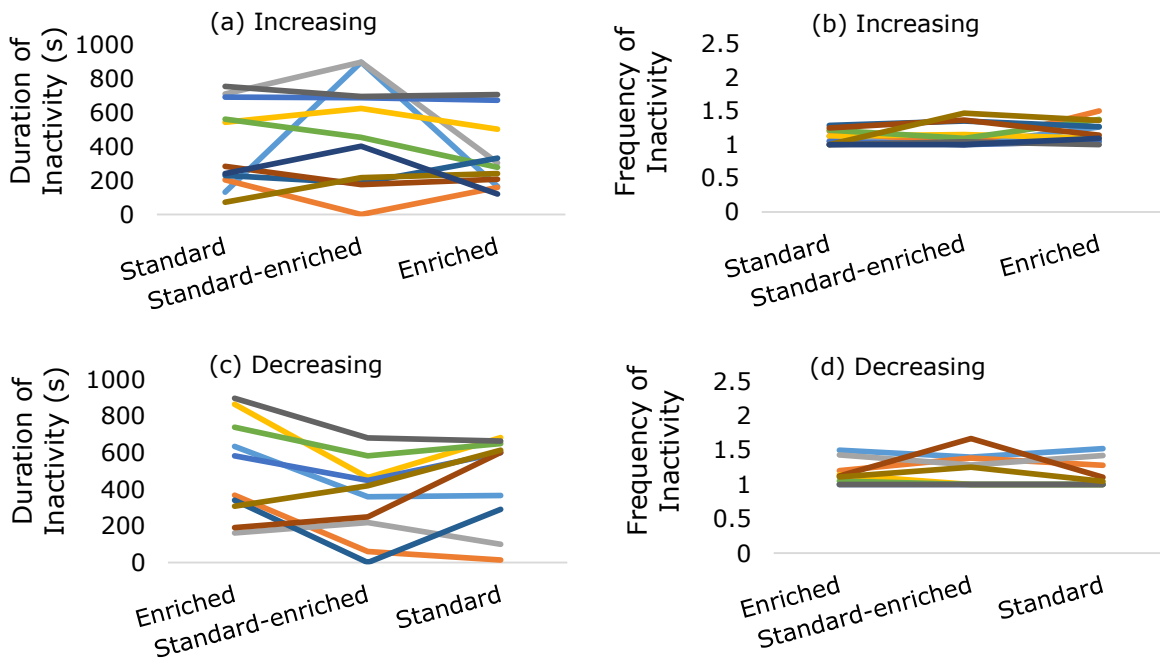
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184 **Figure 3. Changes in duration and frequency of activity of individual stereotypic striped mice**
 185 **exposed to treatments (Standard, Standard-enriched and Enriched) in an increasing (a, b) and**
 186 **decreasing (c, d) order. The data for each individual for each treatment are connected by a**
 187 **different coloured line.**

188

189 In 6 of 11 individuals, duration of inactivity decreased from the Standard-enriched to
 190 Enriched treatment, while for 5 individuals, it increased in the Enriched treatment (Figure
 191 4a). The frequency of inactivity was more or less constant in the three treatments (Figure 4b).
 192 Duration of inactivity was high in the Enriched treatment in 7 individuals and low in 3

193 (Figure 4c). It decreased in 7 individuals from the Standard-enriched to Standard treatments.
 194 Frequency of inactivity was constant across treatments with an exception of a few individuals
 195 (Figure 4d).
 196



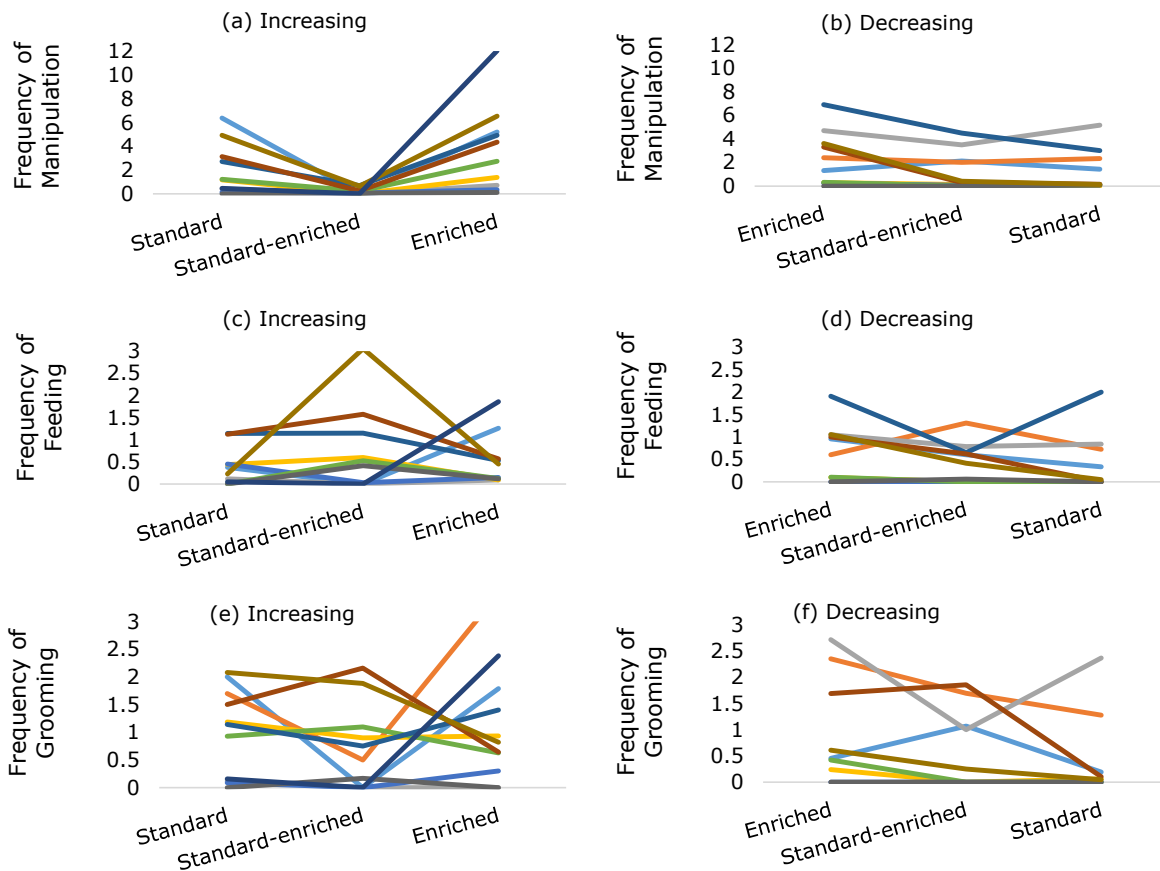
197
 198 **Figure 4. Changes in duration and frequency of inactivity of individual stereotypic striped mice**
 199 **exposed to treatments (Standard, Standard-enriched and Enriched) in an increasing (a, b) and**
 200 **decreasing (c, d) order. The data for each individual for each treatment are connected by a**
 201 **different coloured line.**
 202

203 There was a significant association between the time spent in the light compartment²
 204 and object manipulation and grooming. Although the linear component was not significant,
 205 there was a polynomial decrease in these behaviours with an increase in the time spent in the
 206 light compartment. Frequency of object manipulation decreased in 5 individuals from the
 207 Standard to Standard-enriched treatment and increased in 5 individuals in the Enriched
 208 treatment (Figure 5a). Similarly, frequency of object manipulation was high in the Enriched
 209 treatment and decreased in the Standard treatment (Figure 5b).

210 Furthermore, there was a significant association between the latency to approach a
 211 novel object and feeding, with feeding decreasing with an increase in the latency to approach
 212 the novel object. Frequency of feeding decreased from the Standard-enriched to the Enriched
 213 treatment in most individuals (Figure 5c), while it decreased from the Standard-enriched to
 214 Standard treatment (Figure 5d). Patterns of grooming differed in the individuals in all

215 treatments: while it increased in some in the Enriched treatment, in others it decreased
 216 (Figure 5e and 5f).

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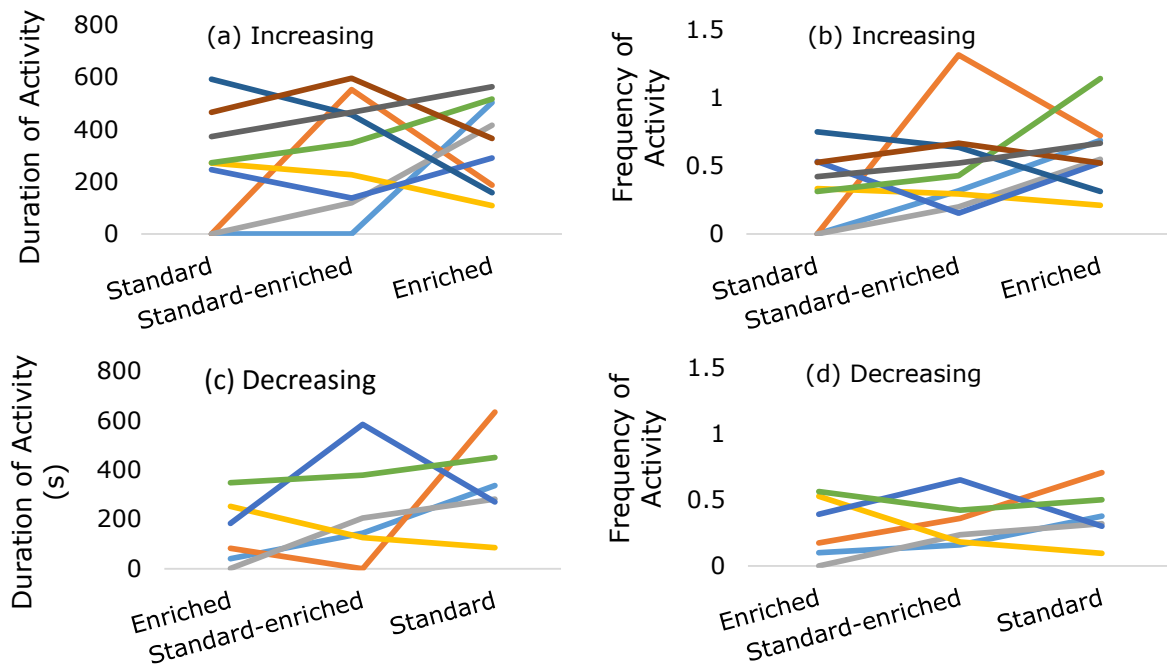
219 **Figure 5. Changes in frequency of behaviours of individual stereotypic striped mice exposed to**
 220 **treatments (Standard, Standard-enriched and Enriched) in an increasing and decreasing order.**
 221 **The data for each individual for each treatment are connected by a different coloured line.**

222

223 Non-stereotypic mice

224

225 Although there were no significant associations between the personality test scores
 226 and the frequency and duration of behaviours in non-stereotypic mice, there were 7 positive
 227 and 13 negative and 4 positive and 4 negative non-significant associations between the
 228 frequency and duration of behaviours and the personality scores respectively
 229 (**Supplementary material: S2**). Nevertheless, Figure 6a shows that duration of activity
 230 increased from Standard to Standard-enriched treatment in 5 of 9 individuals and decreased
 231 in 4 individuals from Standard-enriched to the Enriched treatment. The frequency of activity
 232 followed a similar pattern as the duration, increasing in most individuals in the Enriched
 233 treatment (Figure 6b).



233

234 **Figure 6. Changes in duration and frequency of activity of individual non-stereotypic striped**
 235 **mice exposed to treatments (Standard, Standard-enriched and Enriched) in an increasing (a, b)**
 236 **and decreasing order (c, d). The data for each individual for each treatment are connected by a**
 237 **different coloured line.**

238

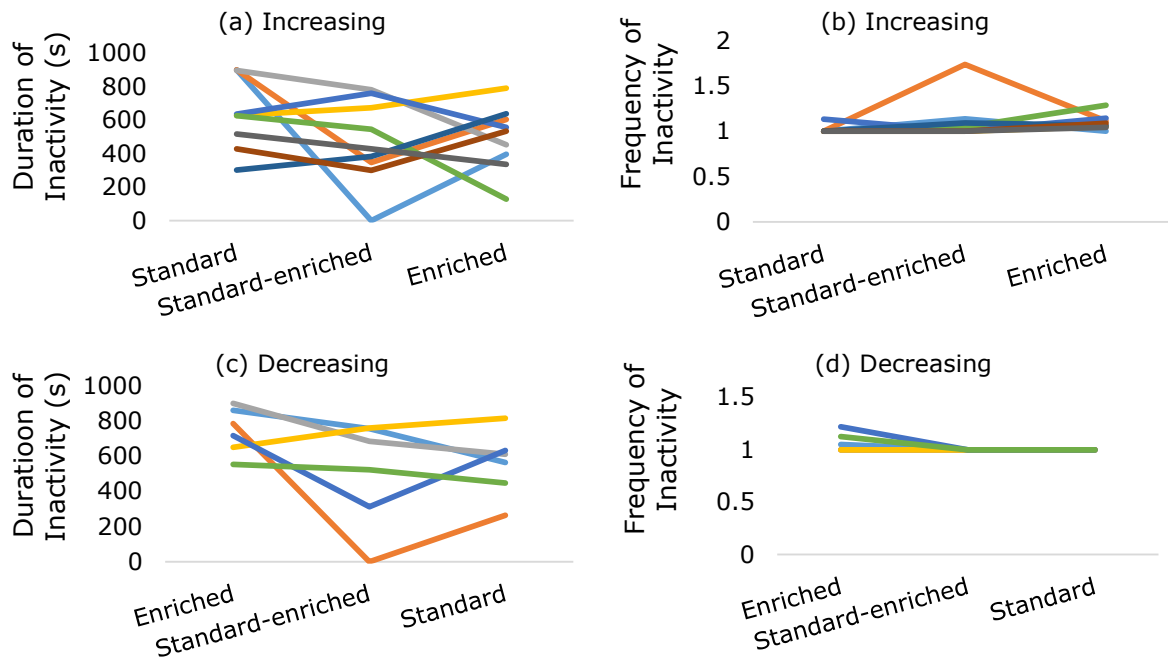
239 The duration of activity varied in individuals in the Enriched treatment, but increased in the
 240 Standard treatment in 4 individuals (Figure 6c), while the frequency of activity was low in
 241 most individuals in the Enriched treatment and increased from the Standard-enriched to
 242 Standard treatment (Figure 6d).

243 While some individuals showed an increase in the duration of inactivity from
 244 Standard to Standard-enriched treatment and a decrease in the Enriched treatment; others
 245 showed a decrease in inactivity from Standard to Standard-enriched treatment and an increase
 246 in the Enriched treatment (Figure 7a). The frequency of inactivity was constant in all three
 247 treatments with an exception of 1 individual that showed an increase in the Standard-enriched
 248 treatment (Figure 7b).

249 Duration of inactivity was high in most individuals in the Enriched treatment (Figure
 250 7c), while the frequency of inactivity was slightly high in three individuals in the Enriched
 251 treatment and plateaued from the Standard-enriched to the Enriched treatments (Figure 7d).

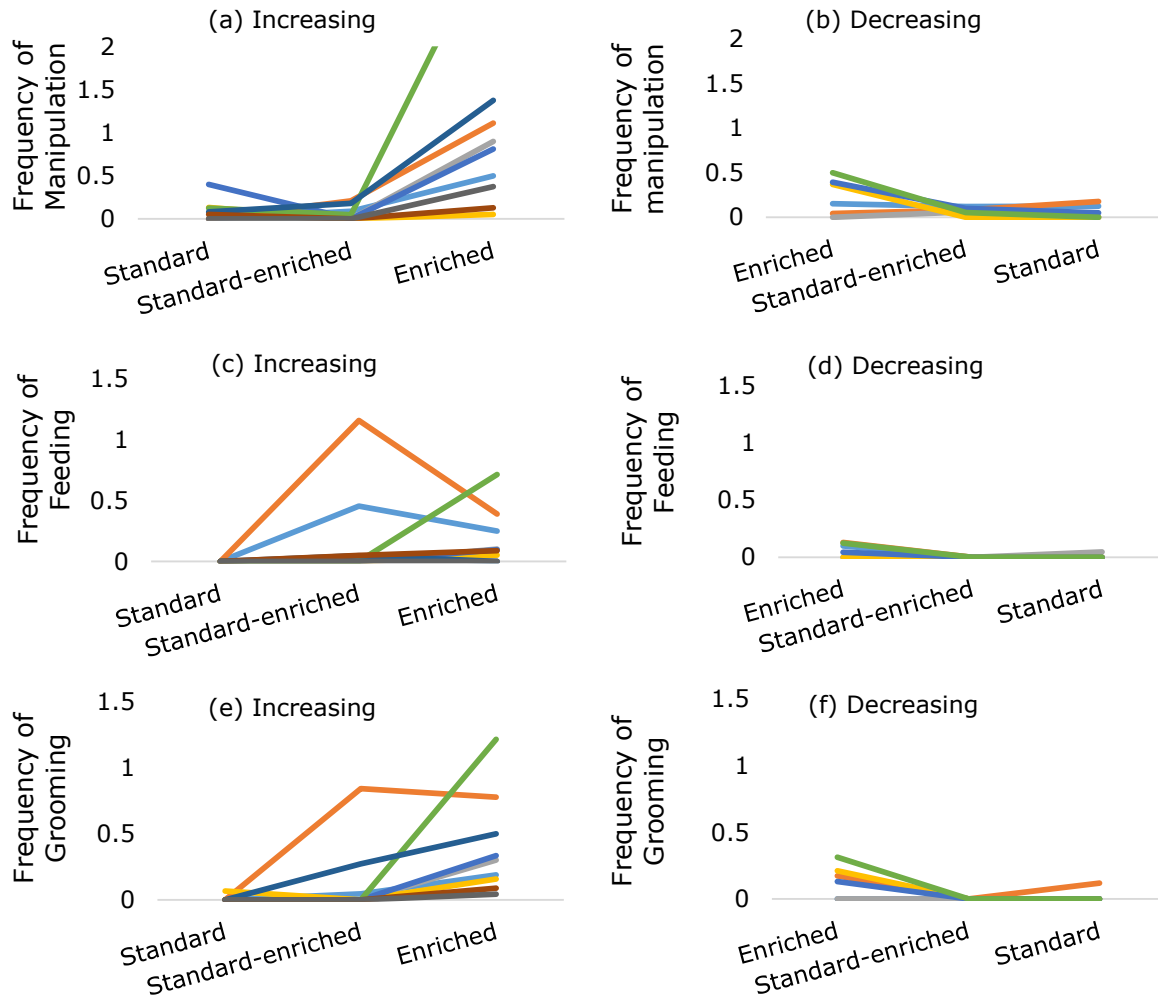
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254
 255 **Figure 7. Changes in duration and frequency of inactivity of individual non-stereotypic striped**
 256 **mice exposed to treatments (Standard, Standard-enriched and Enriched) in an increasing (a, b)**
 257 **and decreasing (c, d) order. The data for each individual for each treatment are connected by a**
 258 **different coloured line.**
 259

260 Frequency of object manipulation increased in most individuals in the Enriched
 261 treatment (Figure 8a). Similarly, Figure 8b shows that frequency of object manipulation was
 262 high in the Enriched treatment and plateaued from the Standard-enriched to Standard
 263 treatments. Frequency of feeding was variable across treatments (Figure 8c) while there was
 264 less feeding in the three treatments (Figure 8d). Frequency of grooming increased in most
 265 individuals in the Enriched treatment (Figure 8e), while it was slightly high in the Enriched
 266 treatments for most individuals and decreased from the Standard-enriched to Standard
 267 treatments (Figure 8f).
 268



269
 270 **Figure 8. Changes in frequency of behaviours of individual non-stereotypic striped mice**
 271 **exposed to treatments (Standard, Standard-enriched and Enriched) in an increasing and**
 272 **decreasing order. The data for each individual for each treatment are connected by a different**
 273 **coloured line.**
 274

275 In summary, stereotypic behaviours were low in 7 individuals and high in 4
 276 individuals in the Enriched treatment (Table 2) in individuals exposed in an increasing order
 277 of complexity. Similarly, stereotypic behaviours were low in 7 individuals and high in 3 in
 278 individuals exposed to a decreasing order of complexity (Table 3).

279
 280
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 285

286 **Table 2. Personality scores (duration in light compartment and latency to approach the novel**
 287 **object) and the relative performance of stereotypic behaviours of individual stereotypic striped**
 288 **mice exposed to treatments (Standard, Standard-enriched and Enriched) in an increasing order**
 289 **of complexity.**

Individual number	Time spent in light compartment (s)	Latency to approach novel object (s)	Standard	Standard-enriched	Enriched
1	60.53	63.55	HIGHER	LOW	HIGH
2	216.65	0.00	HIGHER	LOW	HIGH
3	0.00	0.00	LOW	LOW	LOW
4	0.00	49.57	HIGHER	HIGH	LOW
5	0.00	0.00	LOW	LOW	LOW
6	71.74	28.17	HIGH	HIGHER	LOW
7	128.63	20.83	HIGHER	HIGH	LOW
8	10.42	12.86	HIGHER	HIGH	LOW
9	5.82	23.61	LOW	LOW	LOW
10	6.65	21.74	HIGHER	LOW	HIGH
11	64.93	19.43	HIGH	LOW	HIGHER

291

292 **Table 3. Personality scores (duration in light compartment and latency to approach the novel**
 293 **object) and changes in stereotypic behaviours in stereotypic individuals exposed to treatments**
 294 **(Enriched, Standard-enriched and Enriched) in a decreasing order of complexity.**

Individual number	Time spent in light compartment (s)	Latency to approach novel object (s)	Enriched	Standard-enriched	Standard
1	107.09	0.00	LOW	HIGH	HIGHER
2	216.65	0.00	LOW	HIGHER	HIGHER
3	0.00	0.00	LOW	HIGH	HIGHER
4	52.91	180.11	LOW	LOW	LOW
5	237.29	349.33	LOW	LOW	LOW
6	12.92	432.19	LOW	LOW	LOW
7	78.33	0.00	HIGH	LOW	LOW
8	128.37	0.00	HIGH	HIGHER	LOW
9	23.88	109.42	LOW	LOW	LOW
10	0.00	50.57	HIGH	HIGHER	LOW

296

297 Discussion

298 I aimed to ascertain whether the age at which striped mice were exposed to treatments
 299 of varying environmental complexity influenced their behavioural responses and whether
 300 personality modulated this response. I expected stereotypic behaviours to be reduced in
 301 response to enriched conditions, irrespective of age of exposure, yet there was no influence of
 302 age on the behavioural responses. As expected, however, only the Enriched treatment and not

303 the Standard-enriched treatment reduced the levels of stereotypic behaviours. Overall, both
304 stereotypic and non-stereotypic individuals varied their behaviours in response to the cage
305 complexities.

306 The lack of an age effect in my study differed from a previous study conducted on the
307 same species, *Rhabdomys dilectus*, in which enrichment provided at an earlier age was more
308 beneficial in reducing stereotypic behaviours than when provided at a later age (Jones et al.,
309 2011). However, the discrepancies in the results could be attributed to the ages at which the
310 striped mice were exposed to environmental enrichment, individual differences as well as the
311 duration they were housed under enriched conditions. The striped mice in my study were
312 housed in enriched conditions from 45 days of age (sub-adults) and tested for 45 days, by
313 which time they had probably already developed stereotypic behaviours (Jones et al., 2011).
314 Striped mice were 30 days of age (juveniles) when they started the experiments and were
315 kept under enriched conditions for a prolonged period of 140 days in Jones et al. (2011).
316 Longer duration of exposure to environmental enrichment helps to reduce stereotypic
317 behaviour (Nithianantharajah and Hannan, 2006; Lewis et al., 2006).

318 However, an interesting finding is that the striped mice that were exposed to
319 environmental enrichment at a later age (increasing complexity) also showed a decrease in
320 stereotypic behaviours similar to the striped mice introduced to environmental enrichment at
321 a younger age (decreasing complexity). The striped mice in the former treatment were housed
322 under standard conditions for far longer than the latter treatment. It has been shown that the
323 provision of enrichment is more beneficial when presented earlier in development, when the
324 central nervous system is relatively plastic, and are more advantageous with a longer duration
325 of exposure (Nithianantharajah and Hannan, 2006; Lewis et al., 2006). Nonetheless, my
326 results show that exposure to environmental enrichment at an earlier age (45 days) and later
327 on in development (>135 days) were equally beneficial in mitigating the levels of stereotypic
328 behaviours. Similarly, Powell et al. (2000) showed that both early (at weaning) and late (60
329 days after weaning) environmental enrichment reduced the incidences of stereotypic
330 behaviours in deer mice, *Peromyscus maniculatus bairdii*.

331 The mechanisms that could explain the lack of age effect could be attributed to neural
332 plasticity (i.e. the ability of the brain to alter its structure and function due to stimulation from
333 enriched conditions; Mora et al., 2007). While it has been shown that aging causes a decrease
334 in neurogenesis in the hippocampus and the neurotransmitter systems, provision of
335 enrichment at any age promotes neural plasticity (Mora et al., 2007). Many studies have
336 shown that the brain structure and neurotransmitter systems are often associated with the

337 individual differences in behaviour in humans (Macdonald et al., 2006; Most et al., 2006;
338 Gardini et al., 2009) and other mammals (e.g., Aston-Jones et al., 1999; Hariri, 2006;
339 Lebreton et al., 2009). For example, environmental enrichment alters the serotonergic system
340 (Rasmuson et al., 1998; Brenes et al., 2009) and glucocorticoid receptors, which mediate the
341 negative feedback loop on the Hypothalamic-Pituitary-Adrenal-axis (HPA axis), thereby
342 facilitating efficient coping responses to novel environments (Leggio et al., 2005; Brenes et
343 al., 2008; Sampedro-Piquero et al., 2014).

344 As in my previous study (Chapter 3), personality was not associated with the
345 behavioural responses to cages of varying complexity in both stereotypic and non-stereotypic
346 striped mice. Irrespective of the order in which stereotypic striped mice were exposed to the
347 treatments, multivariate regression showed significant associations between the personality
348 scores and the behaviours, but these responses were not consistent across the different
349 treatments, similar to my previous findings (Chapter 3). For example, stereotypic striped
350 mice that spent a longer time in the light compartment (i.e. bold mice) showed varying levels
351 of stereotypic behaviours and inactivity in the three treatments. Similarly, stereotypic mice,
352 which took longer to approach the novel object (i.e. less bold mice), also showed varying
353 behavioural responses. Individuals varied the levels of stereotypic behaviours, inactivity and
354 feeding. While previous studies on rodents have only shown flexible behavioural responses in
355 less bold mice (Benus et al., 1987; Sluyter et al., 1996), both bold and less bold stereotypic
356 striped mice show flexible behavioural responses depending on the environment (i.e. the
357 ability to alter behavioural responses to different environmental stimuli; Coppens et al.,
358 2010). Nonetheless, these findings have been shown in other non-rodent species, for example,
359 both bold and less bold rainbow trout, *Onchorhynchus mykiss*, altered their behavioural
360 responses based on their relative competitive ability (Frost et al., 2007).

361 The causal mechanism underpinning behavioural flexibility is related to alterations in
362 the prefrontal cortex, responsible for behavioural flexibility and inhibition of inappropriate
363 behaviours. Specifically, individual variation in the serotonergic and dopaminergic input into
364 the medial prefrontal cortex may explain individual variation in coping styles, since serotonin
365 is implicated in behavioural flexibility (Coppens et al., 2010; Koolhaas et al., 2010).

366 Behavioural flexibility is well known in the *Rhabdomys* spp. which shows flexible social
367 organisation (i.e. social flexibility; Schradin et al., 2010; Schradin et al., 2012), flexible
368 mating strategies (Schradin, 2008) and flexible development of exploration (Rymer and
369 Pillay, 2012).

370 Conclusions

371 The age at which environmental enrichment was introduced did not influence the
372 behavioural responses of striped mice. While this could be attributed to a low sample size,
373 my findings indicate that the behavioural responses of stereotypic striped mice were not
374 influenced by the personality of the individuals. Such flexibility in responses was also seen in
375 the reduction of stereotypy in striped mice exposed to enrichment in both younger and older
376 striped mice. Interestingly, flexibility in individual and age responses is governed by similar
377 neurobiological mechanisms (e.g. serotonergic systems). Future studies must experimentally
378 isolate age and individual effects to demonstrate whether they are related mechanistically.

379

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492 **Supplementary material**

493 **Table S1. Multivariate regression analysis of the frequency of stereotypic behaviour, activity,**
 494 **inactivity, object manipulation, feeding and grooming and the duration of stereotypic**
 495 **behaviour, activity and inactivity with latency to approach the novel object (novel object test)**
 496 **and time spent in the light compartment (startle test) as the predictor variables for stereotypic**
 497 **mice exposed to treatments (Standard, Standard-enriched and Enriched) in an increasing and**
 498 **decreasing order of complexity. Linear and polynomial decomposition are reported. Values**
 499 **bold are significant.**
 500

FREQUENCY							
Personality test	Behaviour	Parameter Estimates	P	β	R ²	F	df
Time spent in light compartment	Stereotypy	0.004	0.043	0.69	0.21	1.51	6,50
	Active	0.004	0.855	0.06	0.10		
	Inactive	0.002	0.030	0.73	0.23		
	Manipulate	0.018	0.061	0.58	0.34		
	Feed	0.003	0.284	0.36	0.23		
	Groom	0.007	0.066	0.60	0.26		
Latency to approach novel object	Stereotypy	-0.002	0.306	-0.47	0.21	0.90	6,50
	Active	-0.010	0.608	-0.25	0.10		
	Inactive	-0.001	0.080	-0.80	0.23		
	Manipulate	-0.013	0.126	-0.64	0.34		
	Feed	-0.005	0.050	-0.90	0.23		
	Groom	-0.005	0.203	-0.57	0.26		
Time spent in light compartment ²	Stereotypy	0.000	0.017	-0.82	0.21	1.78	6,50
	Active	0.000	0.776	-0.10	0.10		
	Inactive	0.000	0.014	-0.84	0.23		
	Manipulate	0.000	0.038	-0.65	0.34		
	Feed	0.000	0.117	-0.52	0.23		
	Groom	0.000	0.021	-0.77	0.26		
Latency to approach novel object ²	Stereotypy	0.000	0.677	0.19	0.21	0.46	6,50
	Active	0.000	0.769	0.14	0.10		
	Inactive	0.000	0.241	0.53	0.23		
	Manipulate	0.000	0.326	0.41	0.34		
	Feed	0.000	0.184	0.61	0.23		
	Groom	0.000	0.495	0.30	0.26		
DURATION							
Time spent in the light compartment	Stereotypy	0.013	0.992	0.00	0.28	0.61	3,52
	Active	1.043	0.239	0.51	0.13		
	Inactive	-1.219	0.331	-0.38	0.29		
Latency to approach novel object	Stereotypy	-1.929	0.044	-0.93	0.28	2.95	3,52
	Active	-0.028	0.966	-0.02	0.13		
	Inactive	2.504	0.009	1.20	0.29		
Time spent in the light compartment ²	Stereotypy	0.004	0.475	0.28	0.28	0.46	3,52
	Active	-0.005	0.262	-0.49	0.13		
	Inactive	0.001	0.880	0.06	0.29		
Latency to approach novel object ²	Stereotypy	0.003	0.252	0.53	0.28	1.38	3,52
	Active	0.001	0.724	0.18	0.13		
	Inactive	-0.004	0.069	-0.84	0.29		

501

502 **Table S2. Multivariate regression analysis of the frequency of activity, inactivity, object**
503 **manipulation, feeding and grooming and the duration of activity and inactivity with latency to**
504 **approach the novel object and time spent in the light compartment (startle test) as the predictor**
505 **variables in non-stereotypic striped mice exposed to treatments (Standard, Standard-enriched**
506 **and Enriched) in an increasing and decreasing order of complexity. Linear and polynomial**
507 **decomposition are reported. Values in bold are significant.**
508

FREQUENCY							
Personality test	Behaviour	Parameter Estimates	P	β	R ²	F	df
Time in light compartment	Active	-0.001	0.602	-0.33	0.12	0.30	5,34
	Manipulate	0.001	0.522	0.39	0.18		
	Inactive	0.000	0.912	-0.07	0.11		
	Feed	-0.001	0.644	-0.29	0.12		
	Groom	0.000	0.871	-0.11	0.09		
Latency to approach novel object	Active	0.001	0.408	0.48	0.12	0.64	5,34
	Manipulate	0.000	0.819	-0.13	0.18		
	Inactive	0.000	0.706	-0.22	0.11		
	Feed	0.000	0.775	-0.16	0.12		
	Groom	0.000	0.746	0.19	0.09		
Time in light compartment ²	Active	0.000	0.555	0.38	0.12	0.50	5,34
	Manipulate	0.000	0.360	-0.57	0.18		
	Inactive	0.000	0.925	-0.06	0.11		
	Feed	0.000	0.784	0.17	0.12		
	Groom	0.000	0.923	-0.06	0.09		
Latency to approach novel object ²	Active	0.000	0.262	-0.66	0.12	0.99	5,34
	Manipulate	0.000	0.818	-0.13	0.18		
	Inactive	0.000	0.529	0.37	0.11		
	Feed	0.000	0.595	0.31	0.12		
	Groom	0.000	0.881	-0.09	0.09		
DURATION							
Time spent in the light compartment	Active	2.949	0.117	1.08	0.11	1.26	2,35
	Inactive	-3.141	0.118	-1.09	0.09		
Latency to approach novel object	Active	0.433	0.614	0.26	0.11	1.69	2,35
	Inactive	-0.780	0.396	-0.44	0.09		
Time spent in the light compartment ²	Active	-0.019	0.110	-1.11	0.11	1.32	2,35
	Inactive	0.021	0.109	1.13	0.09		
Latency to approach novel object ²	Active	-0.002	0.489	-0.35	0.11	0.88	2,35
	Inactive	0.003	0.366	0.46	0.09		

509

1 **CHAPTER FIVE**

2 **Wheel running: an enrichment or a re-directed stereotypic behaviour in striped mice,**
3 ***Rhabdomys dilectus***

4 **Abstract**

5 When given the opportunity, many captive animal species make use of a running
6 wheel. Wheel running is often used as an environmental enrichment to increase general
7 locomotor activities. However, it is still debated whether wheel running is an enrichment or a
8 stereotypic behaviour. To test for the role of wheel running, I exposed a group of stereotypic
9 and non-stereotypic striped mice individually to three different, two compartment housing
10 conditions, based on the amount of spatial restriction to perform stereotypic behaviour: 1) No
11 Restriction; 2) Restricted Home Tank with reduced space in home tank but access to a
12 running wheel tank; and 3) Restricted Wheel Tank with reduced space in wheel tank but
13 access to space in home tank. Each individual spent 15 days in each treatment, during which I
14 recorded their behaviours every second day. I also accounted for personality differences in
15 the use of running wheels. Results showed that 52 % of the stereotypic individuals
16 incorporated the running wheel in their stereotypic routine, implying that it was a re-directed
17 stereotypic behaviour, and the remaining 48 % used the running wheel as enrichment.
18 Furthermore, while wheel running may have reduced stereotypic behaviours in the striped
19 mice that used it as enrichment, it was not solely responsible for the reduction. The combined
20 effect of cage complexity and the running wheel acted in synergy in reducing stereotypic
21 behaviours, as shown by an increase in activity and object manipulation. Stereotypic
22 individuals showed flexible behavioural responses, which does not concur with personality
23 level differences. Thus, the underlying motivation for the use of the running wheel differs
24 between individual stereotypic mice, implying that enrichment must be tailored for
25 individuals to address their welfare concerns.

26
27 *Keywords:* Striped mice, wheel running, stereotypic behaviour, re-directed behaviour,
28 environmental enrichment.

1 **Introduction**

2 To enhance the welfare of captive animals often involves physical environmental
3 enrichment, using larger and complex housing with increased opportunities for exploration,
4 hiding and nesting (Pawlowicz et al., 2010). Running wheels are frequently used to increase
5 the environmental complexity as well as to encourage physical activity (Pham et al., 2005;
6 Richter et al., 2014), which in turn has been shown to enhance learning abilities and memory
7 (Van Praag et al., 2000), increase stress resistance, have antidepressant and anxiolytic effects
8 (Greenwood et al., 2005) and reduce the levels of stereotypic behaviours (Richter et al., 2008;
9 Hansen and Damgaard, 2009). The use of the running wheel by a wide range of laboratory
10 animals has captured the interests of many scientists because when given the opportunity,
11 many animal species are highly motivated to make use of a running wheel (Sherwin, 1998a;
12 Hansen and Jensen, 2006). For example, even when costs are imposed to gain access to a
13 running wheel, laboratory mice readily press a lever continually in order to gain access to the
14 wheel (Sherwin, 1998b), which is therefore perceived as a valuable resource (Howerton and
15 Mench, 2014).

16 The spontaneous and incessant use of the running wheel has given rise to the
17 assumption that animals derive pleasure from this activity (Hansen and Damgaard, 2009).
18 However, there are several competing hypotheses of wheel running, such as the desire of an
19 animal to perform exploratory behaviours, replacement of general locomotor activities,
20 stereotypic behaviour or merely a form of general activity (Hansen and Damgaard, 2009;
21 Pietropaolo et al., 2004; Sherwin, 1998b). Other studies suggest that rodents perceive running
22 as a reward or a self-reinforcing behaviour (Latham and Würbel, 2006; Sherwin, 1998b) or is
23 an incentive-induced behaviour which seems to activate many of the similar neural pathways
24 stimulated by the intake of addictive drugs (Werme et al., 2000, 2002 a, b; de Visser et al.,
25 2005, Brene et al., 2007). Despite its frequent use in laboratory rodents, it is still unclear
26 whether wheel running is hedonistic and thus a form of enrichment or is merely a re-directed
27 stereotypic behaviour (i.e. a behaviour incorporated in the normal behavioural routine of
28 stereotypy performance; Richter et al., 2014), since it has the central defining characteristics
29 of a stereotypic behaviour being a repetitive, monotonous pattern of movement, without any
30 apparent goal or function (Mason, 1991 a, b).

31 In addition to enhancing cage complexities with running wheels and additional cage
32 objects, cage size or available space is also an important factor in determining welfare of
33 animals (Fischer et al., 2007) as well modulating the use of running wheels (Kunhen, 2002).
34 Studies show that when given a choice, Hooded Norway rats, *Rattus norvegicus*, preferred

35 larger cages (Patterson-Kane et al., 2001) wherein stereotypic behaviours were reduced
36 (Gebhardt-Henrich and Steiger, 2006; Kunhen, 2002). Locomotor stereotypies are thought to
37 arise from frustrated locomotor behaviour, which are thwarted due to limited space
38 (Carlstead, 1998; Clubb and Mason, 2007). The inability to perform goal-directed
39 behaviours, such as exploration, in such environments can elicit displacement activities or re-
40 directed behaviours, from which stereotypic behaviours normally arise (Würbel et al., 1996;
41 Würbel, 2006). For example, bar biting and jumping in mice may be considered as re-
42 directed behaviours since the underlying motivation arises from the need to explore or escape
43 from the cage (Würbel et al., 1996; Nevison et al., 1999).

44 My aim was to investigate whether wheel running acts as an environmental
45 enrichment or is simply a re-directed behaviour in the African striped mouse, *Rhabdomys*
46 *dilectus*. I exposed individuals displaying locomotor stereotypic behaviours to three enriched
47 treatments, namely No Restriction, Restricted Home Tank, and Restricted Wheel Tank. These
48 treatments were designed to test the importance of wheel running, by allowing access to
49 different kinds of enrichment including a running wheel. My design allowed striped mice
50 access to space for performing stereotypic behaviours and several enrichments including a
51 running wheel. Non-stereotypic mice were used as a comparison. I made 3 predictions.

- 1) If in the No Restriction treatment, a) stereotypic behaviours are high in the home tank, low in the wheel tank and wheel running is also low, wheel running is an enrichment, since striped mice are still showing stereotypic behaviours and use the wheel as part of the cage furnishing (i.e. enrichment). However, b) if striped mice use the running wheel and decrease stereotypy, wheel running is re-directed stereotypy, as there is space to perform stereotypic behaviours.
- 2) If in the Restricted Home Tank treatment, a) stereotypic behaviours are low in the home tank, high in wheel tank and wheel running is low, wheel running is an enrichment, since stereotypic behaviours would be displaced to the wheel tank and wheel running becomes an enrichment activity. However, b) if striped mice use the running wheel, it is re-directed as stereotypic behaviours are re-directed to wheel running, despite available space around the wheel.
- 3) If in the Restricted Wheel Tank treatment, a) stereotypic behaviours are high in the home tank, low in the wheel tank and wheel running is low, wheel running is an enrichment, as stereotypic behaviours would be displaced to the home tank and wheel running would be an enrichment activity. However, b) if striped mice use the wheel, it would be a re-

directed stereotypic behaviour, since due to restriction in space in the wheel tank, stereotypic behaviours would have to be displaced to wheel running.

- 4) Previous studies (Chapters 2-4) showed that personality did not influence behavioural responses to cages of different complexities, and I, therefore, expect that personality would not influence the behavioural responses to wheel running.

52 **Materials and Methods**

53 Striped mice used in this study were captive born F1 and F2 individuals, originating
54 from a population in Pretoria (25° 40" S; 28° 30" E), South Africa. They were housed in the
55 Milner Park Animal Unit, University of the Witwatersrand, under partially controlled
56 environmental conditions: 14L: 10D light: dark cycle (lights on at 05h00); 22°C-24°C and 30-
57 60% rH. Subjects were housed in clear Lab-o-tec™ cages (L × H × W: 300 mm × 200 mm ×
58 150 mm). Wood shavings (± 2 cm) were provided as bedding and a handful of *Eragrostis*
59 grass (± 20 g) and ± 5g of shredded paper towel were provided as nesting material.
60 Individuals were also provided with a PVC nest-box (L × H × W: 100 mm × 100 mm × 150
61 mm). Epol® mouse cubes and water were available *ad libitum*. Approximately ±10 g of fresh
62 fruit (apples, pears) or vegetables (lettuce, carrots, broccoli) and ± 5 g of mixed seed were
63 provided daily per individual.

64 Stereotypic behaviours are genetically transmitted in striped mice (Jones et al., 2008),
65 so to increase the chance of producing stereotypic and non-stereotypic individuals for study,
66 eight stereotypic and nine non-stereotypic pairs (i.e. both male and female were either
67 stereotypic or not; as described below) were established under standard laboratory conditions.
68 The male was separated from the female prior to parturition. The young were separated from
69 the mother at 22 days, housed singly in Lab-o-tec™ cages (described above). The offspring
70 were used in experiments (Figure 1).

71

72 Observations of stereotypic behaviours

73 Observations were made twice daily on the young starting from day 22 after birth, for
74 a total of half an hour, in order to establish the absence/presence of locomotor (e.g. circuit
75 running) stereotypic behaviour. Stereotypic individuals were those that exhibited 10 or more
76 bouts of stereotypy per observation session, each with three or more repetitions (for a detailed
77 scoring method and justification thereof see Jones et al., 2008). Individuals that did not
78 exhibit any stereotypic behaviour were categorised as non-stereotypic. Stereotypic behaviour
79 is an 'all or nothing' occurrence in striped mice (i.e. an individual either displayed or did not

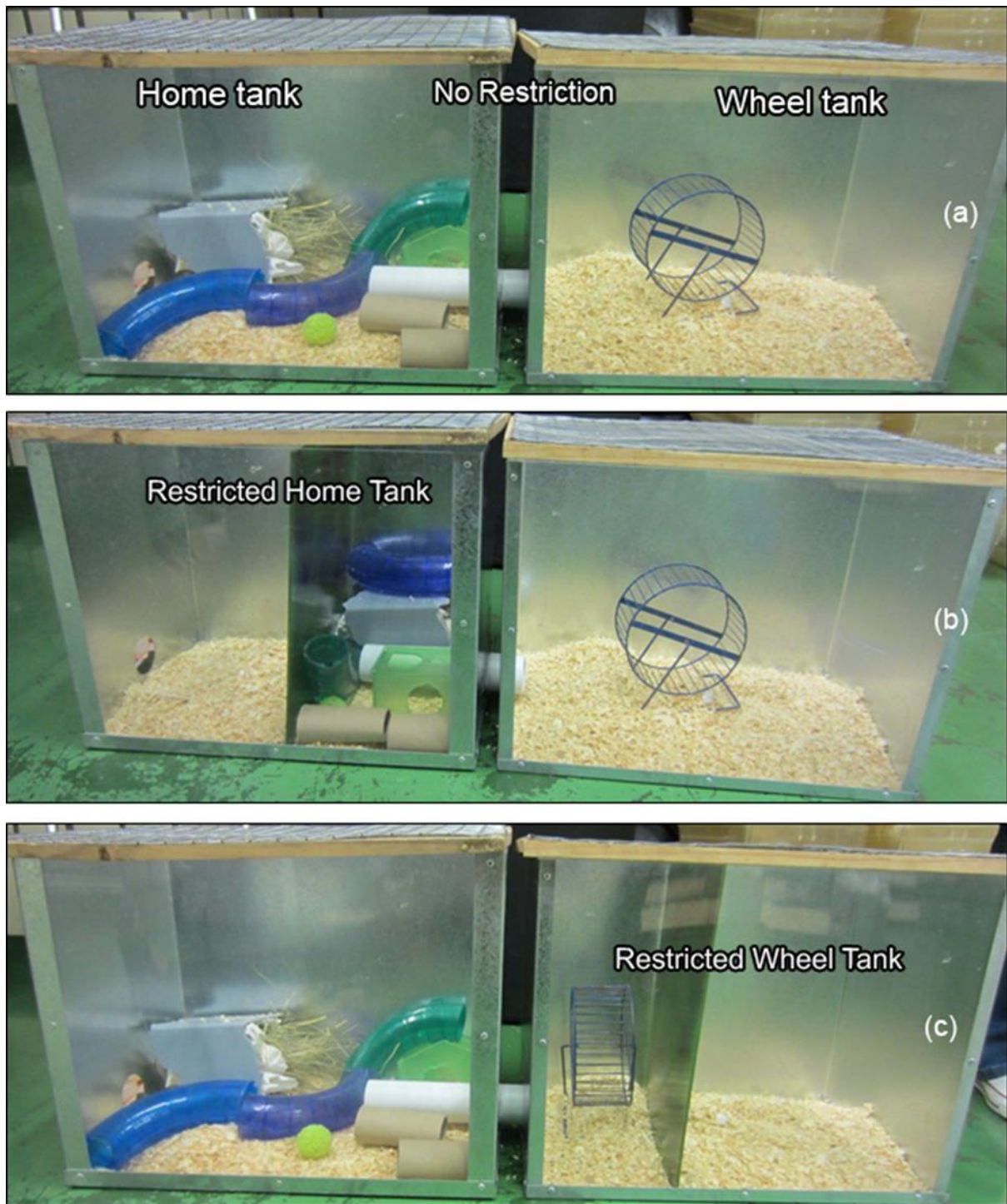
80 display stereotypies). Only the absence or presence of stereotypic behaviours was recorded
81 (see Jones et al., 2008); non-stereotypic mice never displayed stereotypic behaviours.

82 Once the three personality tests i.e. Light-dark/Startle and Novel-object tests were
83 conducted (Refer to Chapter 2 for protocol), stereotypic (male, n = 12: female, n = 15) and
84 non-stereotypic (male, n = 8: female, n = 9) striped mice were exposed individually to three
85 treatments in a sequential order, i.e. No Restriction, Restricted Home Tank and Restricted
86 Wheel Tank, for 15 days in each treatment. The experiments were designed to create various
87 spatial restrictions for displaying locomotor stereotypical behaviours. In each treatment,
88 animals were housed in a home tank (L × H × W: 460 mm × 300 mm × 320 mm high, Figure
89 1), attached with a PVC pipe (20 cm long and 5 cm in diameter) to a second tank of the same
90 size, which contained a running wheel (15 cm in diameter). Food and water were provided
91 only in the home tank.

92 Treatment 1. **No Restriction.** The home tank contained a nest box with nesting
93 material as in the Lab-o-tec™ cage above, a deep layer of ± 2 cm wood shavings (± 40 g),
94 Habitrail™ PVC tunnels and small cardboard tubes (± 50 mm diameter). The wheel tank
95 contained a running wheel and wood shavings. These tanks provide space for a striped mouse
96 to display stereotypic behaviours (Figure 1a).

97 Treatment 2. **Restricted Home Tank.** In this treatment, the home tank was
98 partitioned vertically using a Perspex sheet (L × H × W: 350 mm × 300 mm × 5 mm), to
99 reduce the space available to perform locomotor stereotypic behaviour in the home tank.
100 Striped mice had access to space in the running wheel tank (Figure 1b).

101 Treatment 3. **Restricted Wheel Tank.** The wheel tank was partitioned using a
102 Perspex sheet to reduce the space available to perform stereotypic behaviour in the wheel
103 tank by allowing access only to the running wheel. There was no restriction in the home tank
104 (Figure 1c).



105

106 **Figure 1. The experimental tanks used in the three treatments. The home tank was connected to**
 107 **a wheel tank by a PVC tube. The home tank contained ± 20 g wood shavings as bedding, a PVC**
 108 **tubing nest box, provisioned with ± 20 g hay and ± 5 g paper towelling mixture as nesting**
 109 **material, 1-2 Habitrail™ tunnels, cardboard rolls and plastic balls. The wheel tank contained \pm**
 110 **20 g of wood shavings and a running wheel. (a) No Restriction treatment, (b) Restricted Home**
 111 **Tank and (c) Restricted Wheel Tank.**

112

113 Following a 24 hour acclimatisation period to each treatment, the behaviour of
 114 individuals was recorded every second day (i.e. 8 days of recording) between 08h00-12h00,

115 as striped mice are most active during these times (Pillay, 2000) and no human observers
 116 were present in the room during filming. Using Observer software (version 5.0; Noldus
 117 Information Technology), the frequency of five behaviours (Table 1) was scored using
 118 continuous sampling in both the home and wheel tanks. The duration was scored for four
 119

120 **Table 1. Ethogram of Striped mice behaviours scored in the three treatments**

Behaviour	Definition
Inactive	Individual motionless and resting in nest box or out of sight
Active	Non-stereotypic movement or running on the cage floor usually digging in the wood shavings
Stereotypic behaviour	A repetitive and invariant behaviour > 3 times in succession
Object manipulation	Manipulating enrichments (e.g. biting or nudging of cardboard tubes and wheels; only frequency)
Wheel running	Individual running inside or outside of the wheel

121

122 behaviours (Inactive, Active, Wheel running and stereotypic behaviour; Table 1), except
 123 object manipulation that occurred infrequently and of a short duration, and so was recorded
 124 as frequency only. Behaviours such as resting in nest box or out of sight were grouped under
 125 ‘Inactive’ as it was not possible to establish what the mice were doing in the nest box.
 126 Similarly, behaviours such as non-stereotypic movement or running on cage floor were
 127 grouped under ‘Active’ as they did not occur often. Every 15 days, once the experiments
 128 were completed, the tanks, PVC tunnels and all the contents were cleaned and the cardboard
 129 tubes replaced.

130

131 Data Analyses

132 The data were averaged for each behaviour for the 8 days in each treatment per
 133 individual and analysed using R (Ver. 2.13.0; R Development Core Team, 2011) and
 134 Statistica (version 7 Statsoft, USA). Data were checked for normality and homogeneity of
 135 variance using the Shapiro-Wilk and Levene’s tests. All the statistical tests were two-tailed
 136 with statistical significance accepted at $p \leq 0.05$. Using the *pwr.chisq.test* function in the *pwr*
 137 package (Blomberg, 2014), a power analyses was used to assess effect size. To analyse
 138 whether there was a correlation between wheel running and stereotypic behaviours, a linear
 139 regression was run on the combined data of the stereotypic behaviours in the home and wheel
 140 tank.

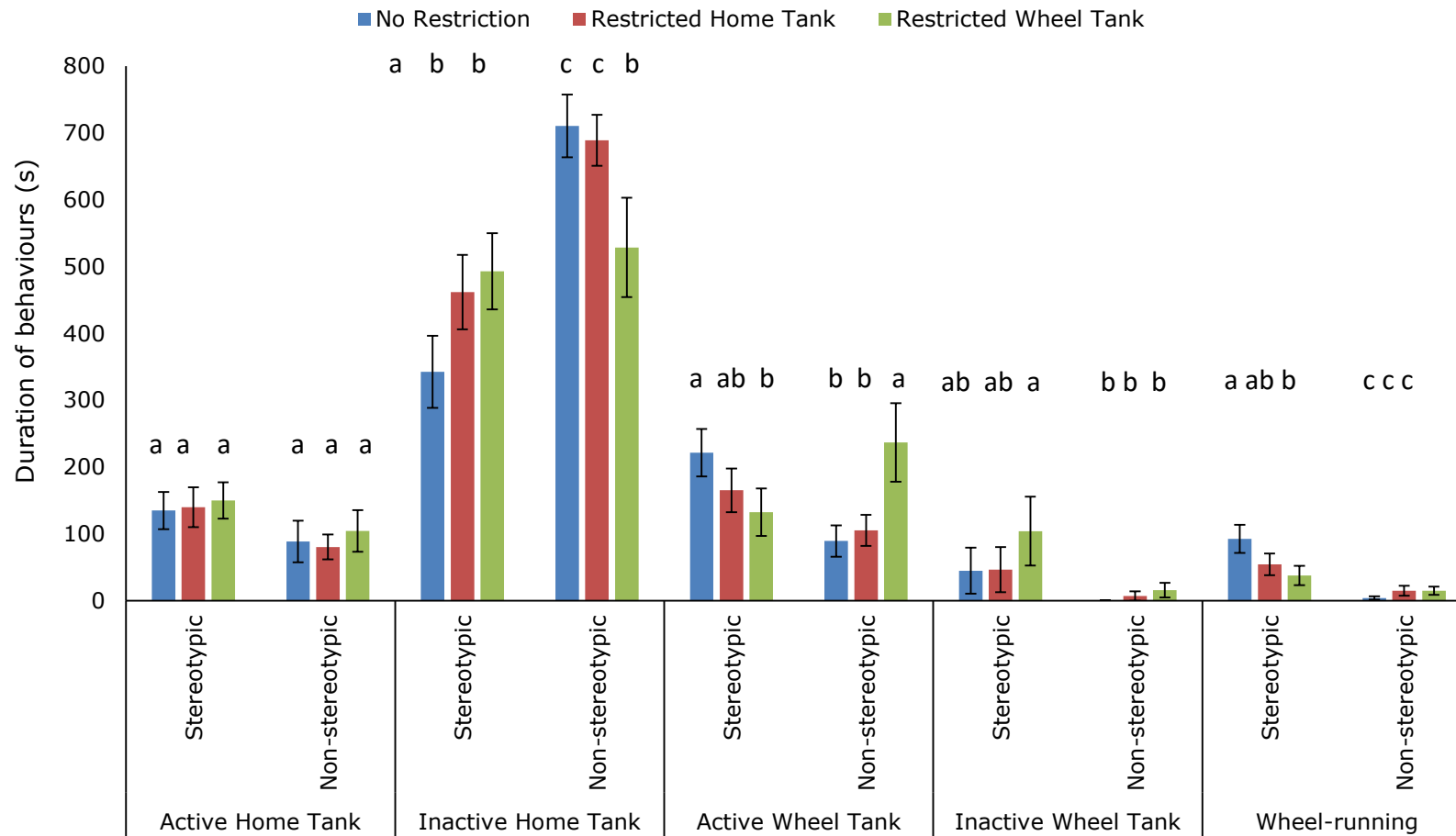
141 Since non-stereotypic individuals obviously do not exhibit stereotypic behaviours and
142 therefore cannot re-direct stereotypic behaviours, comparisons between stereotypic and non-
143 stereotypic were made for their use of wheel running and only at the group level. At a group
144 level, the data set was log transformed to approximate normality and the behaviours (activity,
145 inactivity and wheel running) in the home and wheel tanks were compared using a general
146 linear model (GLM) for multiple dependents. In the GLM, stereotypic status
147 (stereotypic/non-stereotypic) was the independent factor, treatments (No Restriction,
148 Restricted Home Tank, Restricted Wheel Tank) were the repeated measures and the
149 behaviours were the multivariate dependents.

150 At an individual level, I focused on stereotypic striped mice only since the aim was to
151 consider personality influences on the purpose of wheel running for stereotypic striped mice.
152 The relationship between personality and the behaviours of individual stereotypic striped
153 mice in the No Restriction, Restricted Home tank and Restricted Wheel tank treatments were
154 analysed using a polynomial multivariate regression for multiple dependents. The frequency
155 and the duration of behaviours were included as dependent variables. The startle response and
156 novel object personality test scores were used as the continuous predictors, while the
157 treatments (No space restriction, Restriction in Home tank and Restriction in Wheel tank)
158 were categorical predictors. For all dependent variables, the homogeneity of slopes of the
159 continuous predictors and their interaction were examined first to determine whether a single
160 test (multivariate regression) or separate regressions were required. For both tests, the
161 coefficient of determination, F- and P- values and parameter estimates for linear and
162 polynomial decomposition are reported. The beta coefficient, obtained when all variables are
163 standardized to a mean of 0 and a standard deviation of 1, were also examined. Polynomial
164 variables are reported as linear and quadratic functions indicated with a “2”.

165

166 **Results**

167 Results revealed a strong power of 0.73 indicating that the sample size of the
168 stereotypic and non-stereotypic striped mice was adequate to test the aims of the study. The
169 results of the GLM analysis indicated that stereotypy status was a significant predictor of
170 behaviour in the three treatments ($F_{5, 118} = 5.722, P < 0.001$). Post hoc tests revealed that non-
171 stereotypic mice showed greater inactivity in the home tank, lower levels of activity in wheel
172 tank and reduced wheel running than stereotypic mice (Figure 2). GLM results also showed
173 that there was a significant influence of treatment on behaviour ($F_{10, 236} = 2.034, P = 0.031$).



174

175 **Figure 2. Mean (\pm SE) duration of activity, inactivity and wheel running behaviours in the Home and Wheel tanks displayed by stereotypic and non-**
 176 **stereotypic striped mice for three treatments (No Restriction, Restricted Home Tank, Restricted Wheel Tank). Letters above bars denote differences**
 177 **in particular behaviours between stereotypic and non-stereotypic mice ($p < 0.05$; post hoc tests).**

178 Post hoc tests revealed that there were no differences in the levels of activity in the home tank
179 of all three treatments between stereotypic and non-stereotypic mice (Figure 2). Post hoc tests
180 further revealed that duration of inactivity was higher in non-stereotypic than stereotypic
181 striped mice in the home tank of No Restriction and Restricted Home Tank treatments. There
182 was no difference in inactivity in the Restricted Wheel Tank treatment. Activity was high in
183 the wheel tank of the No Restriction and Restricted Home Tank in the stereotypic than non-
184 stereotypic mice. However, activity was greater in non-stereotypic than stereotypic mice in
185 the Restricted Wheel Tank treatment. Furthermore, post hoc tests indicated that inactivity was
186 greater in the Restricted Wheel Tank treatment in stereotypic than non-stereotypic mice.
187 There was no difference in inactivity in the No Restriction and Restricted Home Tank
188 treatments (Figure 2). Wheel running was greater in stereotypic rather than non-stereotypic
189 striped mice in all three treatments (Figure 2). Stereotypy status* Treatment ($F_{10, 236} = 1.565$,
190 $P=0.118$) were not significant predictors of behaviour.

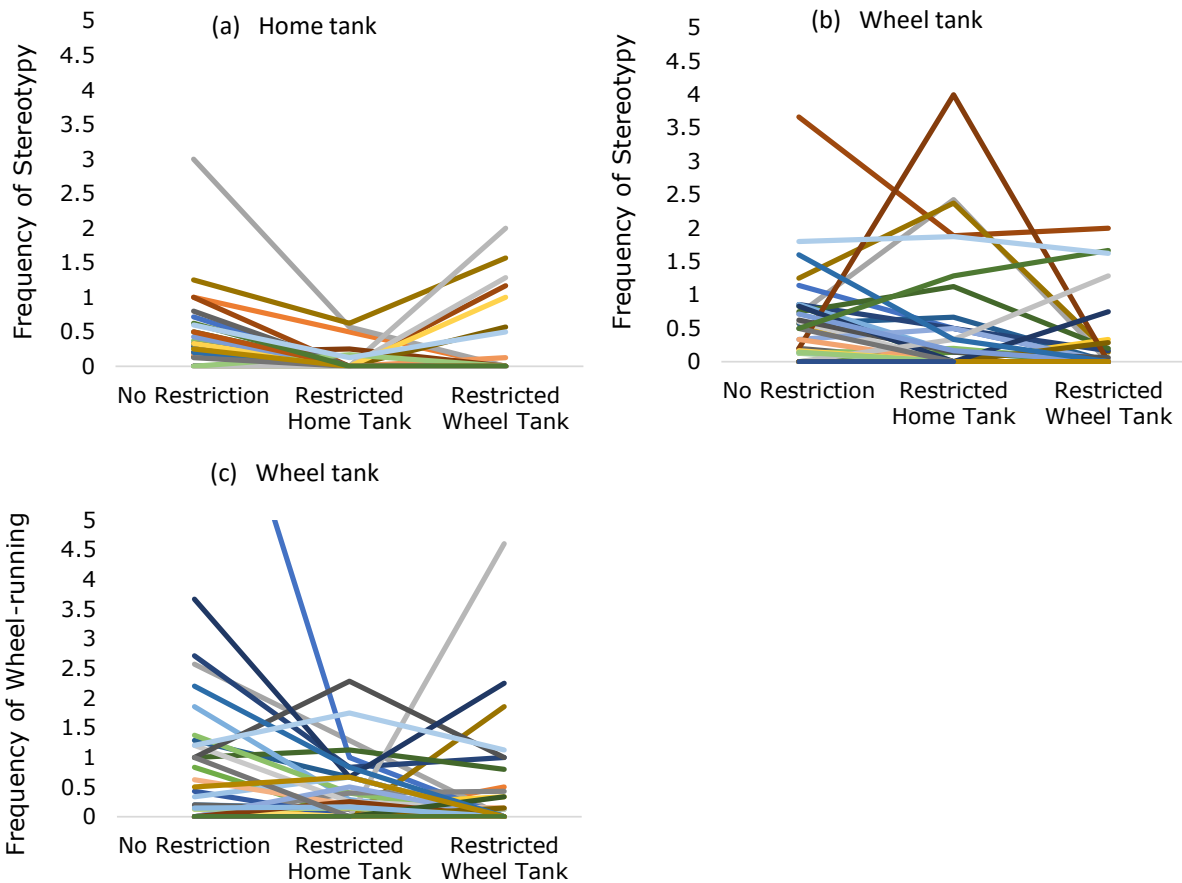
191 Linear regression analyses showed that stereotypic behaviours were weakly positively
192 correlated with wheel running: with an increase in stereotypic behaviours, there was a slight
193 increase in wheel running only in Restricted Home tank ($R^2 = 0.176$, $F_{1, 26} = 5.56$, $p=0.026$).
194 There was no correlation between stereotypic behaviours and wheel running in the No
195 Restriction ($R^2 = 0.053$, $F_{1, 25} = 1.42$, $p=0.245$) and Restricted Wheel tank ($R^2 = 0.002$, $F_{1, 22}$
196 $=0.042$, $p=0.84$) treatments.

197

198 Stereotypic mice

199 Behaviours in the Home and Wheel tanks

200 An examination of the beta coefficients allows an assessment of the relative
201 contribution of each independent variable on the dependent variable (**Supplementary**
202 **material: S1**). Although there were no significant associations between personality tests and
203 the frequency of stereotypic behaviours in the home tank, there were 7 positive and 7
204 negative non-significant associations between the personality scores and frequency of
205 behaviours. Frequency of stereotypic behaviour was high in 7 and low in 20 individuals in the
206 home tank of No Restriction treatment. It reduced in 26 individuals in the Restricted Home
207 Tank, increased in 7, and remained low in 20 individuals in Restricted Wheel Tank treatment
208 (Figure 3a).



209

210 **Figure 3. Changes in the frequency of stereotypic behaviour (a, b) and wheel running (c) in**
 211 **individual stereotypic striped mice in the home and wheel tanks in three treatments (No**
 212 **Restriction, Restricted Home Tank and Restricted Wheel Tank). The data for each individual**
 213 **for each treatment is connected by a different coloured line.**

214

215 There was a positive association between time spent in the light compartment (i.e. in
 216 personality tests) and frequency of stereotypic behaviour. Figure 3b shows that in the wheel
 217 tank, the frequency of stereotypic behaviours increased with an increase in the time spent in
 218 the light compartment. Frequency of stereotypic behaviours were high in 9 individuals, low
 219 in 7 and remained at constant levels in 10 individuals from No Restriction to Restricted
 220 Home Tank treatments.

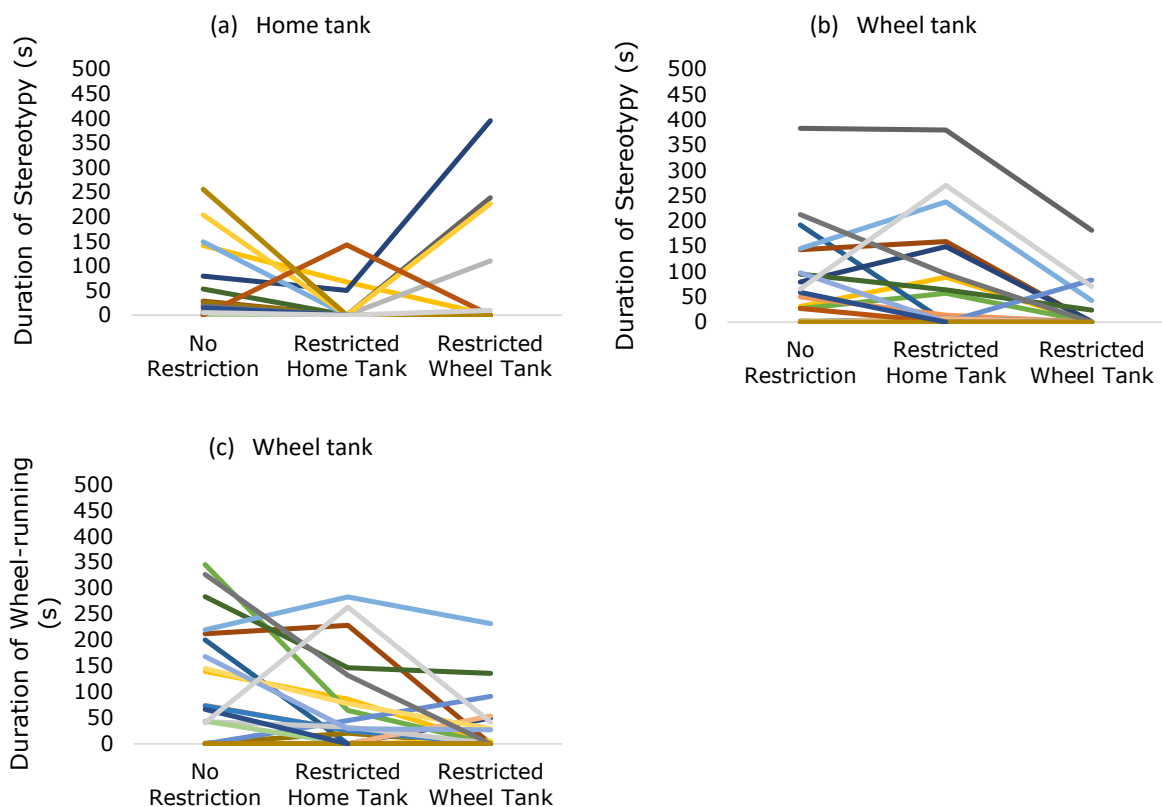
221 Wheel running frequency decreased in 16 individuals and increased in 11 from No
 222 Restriction to Restricted Home Tank treatment. It increased in 6 individuals and remained
 223 low in 21 individuals in the Restricted Wheel Tank treatment (Figure 3c). There were 9
 224 negative and 10 positive non-significant associations between the personality scores and
 225 frequency of behaviours in the wheel tank.

226 There were no significant associations between the personality scores and duration of
 227 behaviours in the home tank. However, there were 6 positive and 6 negative non-significant

228 associations between the personality scores and behaviours (**Supplementary material: S2**).
 229 Duration of stereotypic behaviours followed the same pattern as the frequency in the home
 230 tank, with stereotypic behaviours high in 11 individuals in the No Restriction treatment and
 231 low in 16. It increased in 5, decreased in 2 and was little in 20 from Restricted Home Tank to
 232 Restricted Wheel tank (Figure 4a).

233

234



235

236 **Figure 4. Changes in the duration of stereotypic behaviour (a, b) and wheel running (c) in**
 237 **individual stereotypic striped mice in home and wheel tanks in three treatments (No Restriction,**
 238 **Restricted Home Tank and Restricted Wheel Tank). The data for each individual for each**
 239 **treatment is connected by a different coloured line.**

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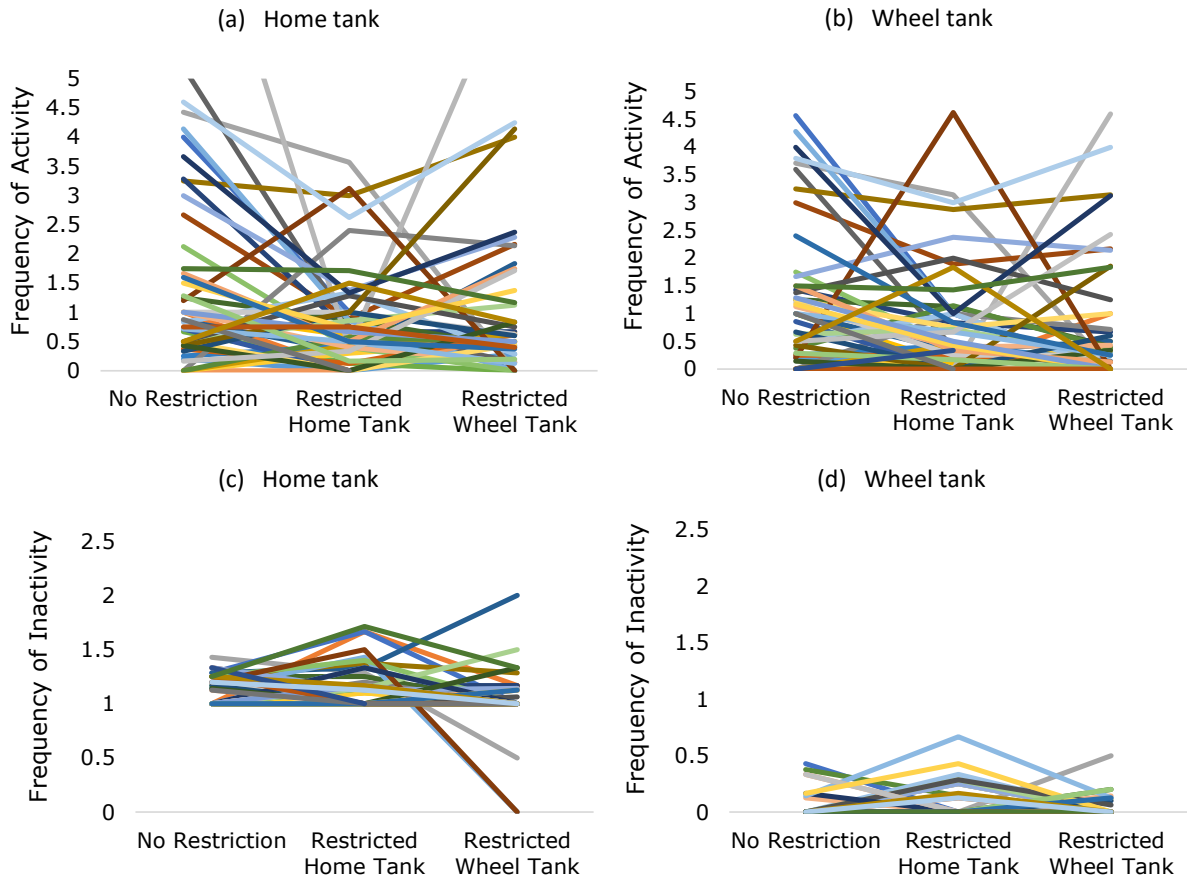
241 There was an association between time spent in the light compartment and duration of
 242 stereotypy: with an increase in the time spent in the light compartment, there was a linear
 243 increase in the duration of stereotypy but a non-random decrease in the wheel tank.
 244 Furthermore, there were associations between the latency to approach the novel object and
 245 stereotypic behaviours and wheel running. There was a linear decrease in stereotypy and
 246 wheel running but a non-random increase in these behaviours, with an increase in the latency

247 to approach the novel object in the wheel tank. In support, duration of stereotypic behaviours
248 varied in treatments: they were low in 17 individuals high in 10 in No Restriction treatment.
249 It increased in 8 individuals and remained low in 19 in the Restricted Home Tank treatment.
250 Furthermore, it decreased in 12 from Restricted Home tank to Restricted Wheel Tank (Figure
251 4b). The duration of wheel running varied among individuals in the three treatments, it
252 decreased from No Restriction to Restricted Home Tank in 17 individuals and was constant
253 in 10. It increased in 12 individuals and was low in 15 in the Restricted Home Tank treatment
254 and decreased in Restricted Wheel Tank in 19, increased in 5 and was constant in 3 (Figure
255 4c).

256 There was a positive association between the latency to approach the novel object and
257 the frequency of activity in the home tank. Activity increased with an increase in the latency
258 to approach the novel object in the home tank. In support, Figure 5a shows that activity
259 decreased from No Restriction to Restricted Home Tank in 22 out of 27 individuals and
260 increased in 5 individuals. Furthermore, 12 showed a decrease in frequency of activity in
261 Restricted Wheel Tank treatment, while 15 showed an increase. In the wheel tank, frequency
262 of activity was high in 15 individuals and low in 12 in No Restriction. It increased from
263 Restricted Home Tank treatment to Restricted Wheel Tank treatment in 10 and decreased 12,
264 while it was constant in 5 (Figure 5b).

265 The majority of individuals showed more or less similar trends of frequency of
266 inactivity (Figure 5c) in the three treatments in the home tank, with an exception in 2
267 individuals showing a decrease from Restricted Home Tank to Restricted Wheel Tank and 1
268 showing an increase in the same treatment. In the wheel tank, frequency of inactivity
269 decreased in Restricted Home tank in 3 individuals, increased in 4 and was more or less
270 constant in the remainder of the individuals (Figure 5d).

271

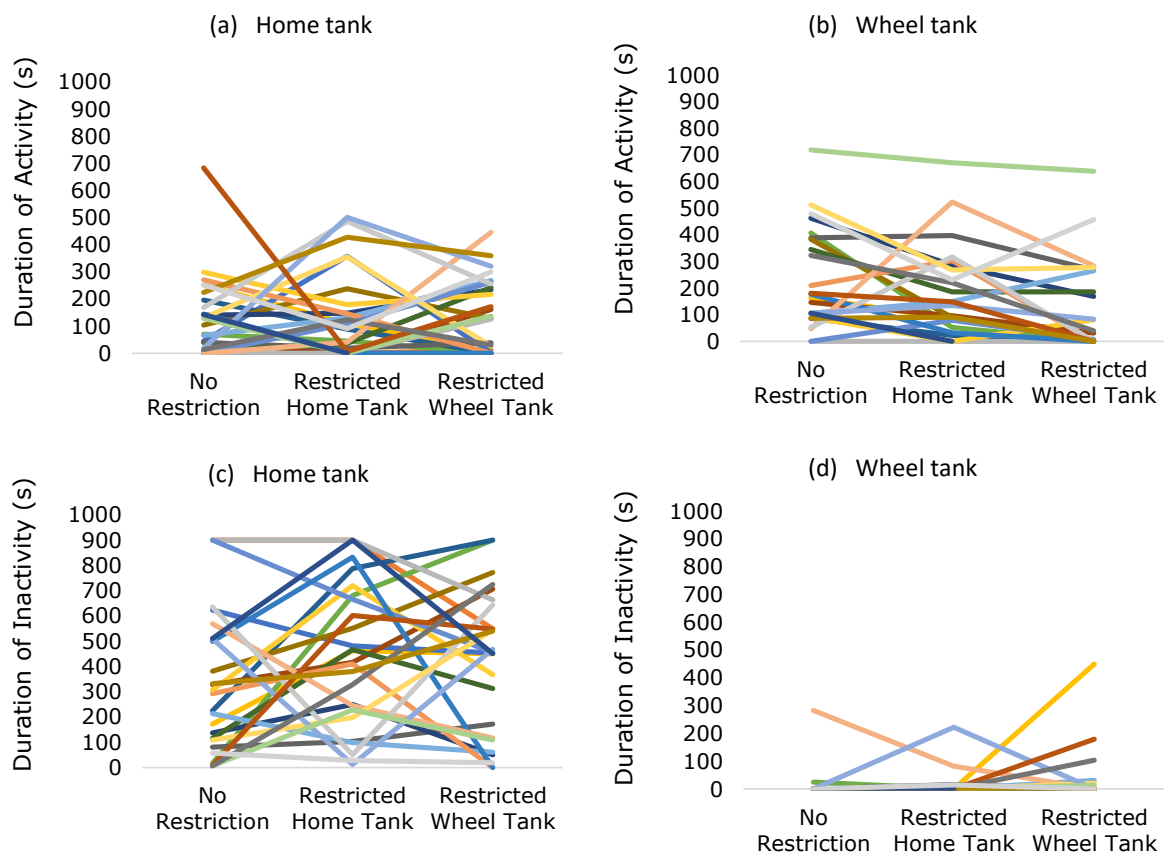


272

273 **Figure 5. Changes in the frequency of activity (a, b) and inactivity (c, d) in individual stereotypic**
 274 **striped mice in home and wheel tanks in three treatments (No Restriction, Restricted Home**
 275 **Tank and Restricted Wheel Tank). The data for each individual for each treatment is connected**
 276 **by a different coloured line.**

277

278 There were no significant associations between the personality tests and the duration
 279 of behaviours in the home tank. However, there were 6 positive and 6 negative non-
 280 significant associations. Figure 6a shows that duration of activity decreased in 13 individuals
 281 and increased in 11 individuals from No Restriction to Restricted Home Tank, and was low in
 282 the remaining 3 individuals. It increased from Restricted Home Tank to Restricted Wheel
 283 Tank in 12 individuals and was low in 15. In the wheel tank, the duration of activity
 284 decreased from No Restriction to Restricted Home Tank in 17 individuals, increased in 8
 285 individuals, and remained low in 2. It decreased from Restricted Home Tank to Restricted
 286 Wheel Tank in 21 individuals and increased in 6 individuals (Figure 6b).



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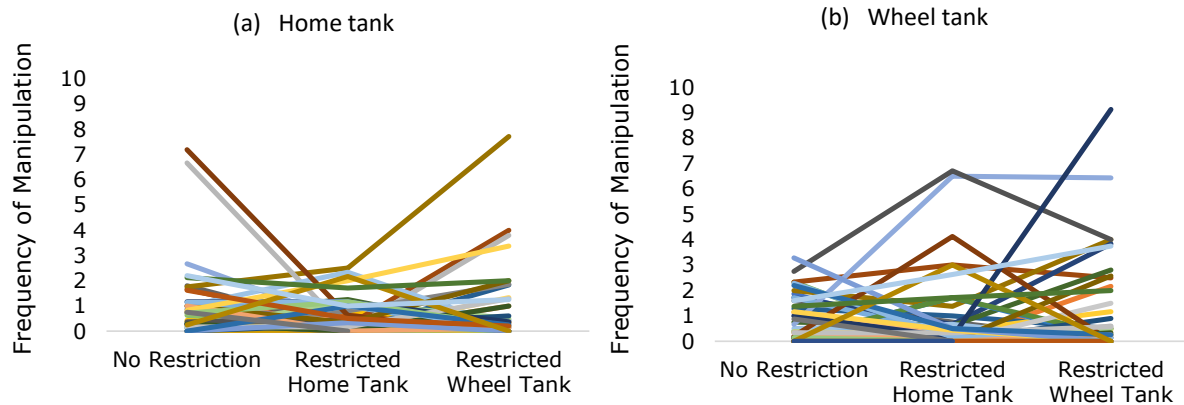
289 **Figure 6. Changes in the duration of activity (a, b) and inactivity (c, d) in individual stereotypic**
 290 **striped mice in the home and wheel tanks in three treatments (No Restriction, Restricted Home**
 291 **Tank and Restricted Wheel Tank). The data for each individual for each treatment is connected**
 292 **by a different coloured line.**

293 The duration of inactivity increased from No Restriction to Restricted Home Tank in
 294 17 individuals, decreased in 8 and remained low in 2. It increased from Restricted Home
 295 Tank to Restricted Wheel Tank in 11 individuals and decreased in 16 individuals in the home
 296 tank (Figure 6c). In the wheel tank, individuals showed similar trends in inactivity in that
 297 there was little inactivity with an exception of a few individuals (Figure 6d).

298 An examination of the individual responses in the treatments showed that there was a
 299 positive association between the latency to approach the novel object and the frequency of
 300 object manipulation in the home tank. Object manipulation increased with an increase in the
 301 latency to approach the novel object in the home tank. Figure 7a illustrates that 15 individuals
 302 showed a decrease in the frequency of object manipulation from No Restriction to Restricted

303

304



305

306 **Figure 7. Changes in the frequency of object manipulation (a, b) in individual stereotypic**
 307 **striped mice in the home and wheel tanks in three treatments (No Restriction, Restricted Home**
 308 **Tank and Restricted Wheel Tank). The data for each individual for each treatment is connected**
 309 **by a different coloured line.**

310

311 Home Tank, while 10 showed an increase in the same treatments. Seventeen of these
 312 individuals showed a decrease in object manipulation in the Restricted Wheel Tank, while 8
 313 showed an increase in the home tank. Figure 7b shows that, in the wheel tank, frequency of
 314 object manipulation decreased from No Restriction to Restricted Home Tank in 18
 315 individuals, increased in 6 and remained low in 3 individuals. It increased from Restricted
 316 Home Tank to Restricted Wheel Tank in 9 individuals and remained low in 18.

317

318 Interpretation of stereotypic behaviours and wheel running in three treatments in stereotypic
 319 mice

320 As shown in Table 2, 5 individuals consistently showed greater wheel running than
 321 stereotypic behaviours in all treatments implying re-directed behaviour. Two individuals
 322 showed higher levels of stereotypic behaviours than wheel running, meaning that wheel
 323 running was an enrichment. Six mice showed greater wheel running than stereotypic
 324 behaviours in the No Restriction and Restricted Home Tank treatments and inactivity in the
 325 Restricted Wheel Tank treatment. One individual showed increased wheel running in the
 326 Restricted Home Tank and Restricted Wheel Tank treatments. In addition, 9 individuals
 327 showed random patterns of behaviours: 4 of these showed increased stereotypic behaviours
 328 rather than wheel running in the No Restriction treatment, 2 showed increased stereotypic
 329 behaviours in Restriction in Wheel Tank treatment, 2 showed increased wheel running in the
 330 No restriction and one showed higher wheel running in the Restriction in Wheel Tank
 331 treatment.

332 **Table 2. Interpretation of wheel running activity by individual stereotypic striped mice in the No Restriction, Restricted Home Tank and Restricted**
333 **Wheel Tank treatments. A summary of the behavioural responses is provided to show whether stereotypic behaviour (SB) was greater (SB>WR) or**
334 **less than (WR>SB) wheel running (WR) or when individuals were inactive or active. Individuals in bold black showed wheel running as a re-**
335 **directed behaviour in two or all three treatments, individuals in bold dark blue indicate wheel running was enrichment in two or all three**
336 **treatments. Individuals in light grey indicate random behavioural patterns. Dashes indicate that no interpretation could be made due to no**
337 **stereotypic behaviours or wheel running in a particular treatment. Personality scores for each individual are provided.**

Individual	No Restriction	Interpretation of WR and prediction conformed to	Restricted Home Tank	Interpretation of WR and prediction conformed to	Restricted Wheel Tank	Interpretation of WR and prediction conformed to	Duration in light compartment (s)	Latency to approach novel object (s)
1	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	WR>SB	RE-DIRECTED (3b)	2.33	381.7
2	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	WR>SB	RE-DIRECTED (3b)	17.01	31.9
3	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	WR>SB	RE-DIRECTED (3b)	0.00	49.57
4	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	WR>SB	RE-DIRECTED (3b)	0.00	81.75
5	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	WR>SB	RE-DIRECTED (3b)	141.11	19.43
6	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	INACTIVITY	-	187.41	0.00
7	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	INACTIVITY	-	40.87	0.00
8	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	INACTIVITY	-	216.65	0.00
9	INACTIVITY	-	WR>SB	RE-DIRECTED (2b)	WR>SB	RE-DIRECTED (3b)	139.25	0.00
10	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	INACTIVITY	-	0.00	332.87
11	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	INACTIVITY	-	52.91	180.11
12	INACTIVITY	-	WR>SB	RE-DIRECTED (2b)	WR>SB	RE-DIRECTED (3b)	0.00	155.29
13	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	INACTIVITY	-	54.78	12.86
14	WR>SB	RE-DIRECTED (1b)	WR>SB	RE-DIRECTED (2b)	INACTIVITY	-	108.13	23.61

15	SB>WR	ENRICHMENT (1a)	SB>WR	ENRICHMENT (2a)	SB>WR	ENRICHMENT (3a)	60.61	0.00
16	SB>WR	ENRICHMENT (1a)	SB>WR	ENRICHMENT (2a)	SB>WR	ENRICHMENT (3a)	106.11	118.47
17	SB>WR	ENRICHMENT (1a)	SB>WR	ENRICHMENT (2a)	INACTIVITY	-	147.1	36.35
18	SB>WR	ENRICHMENT (1a)	INACTIVITY	-	SB>WR	ENRICHMENT (3a)	179.69	169.78
19	INACTIVITY	-	INACTIVITY	-	WR>SB	RE-DIRECTED (3b)	19.97	0.00
20	SB>WR	ENRICHMENT (1a)	INACTIVITY	-	INACTIVITY	-	110.35	150.36
21	WR>SB	RE-DIRECTED (1b)	INACTIVITY	-	INACTIVITY	-	107.09	0.00
22	SB>WR	ENRICHMENT (1a)	WR>SB	RE-DIRECTED (2b)	INACTIVITY	-	60.53	63.55
23	INACTIVITY	-	INACTIVITY	-	SB>WR	ENRICHMENT (3a)	0.00	131.69
24	WR>SB	RE-DIRECTED (1b)	INACTIVITY	-	INACTIVITY	-	207.26	0.00
25	WR>SB	RE-DIRECTED (1b)	ACTIVITY	-	ACTIVITY	-	0.00	0.00
26	SB>WR	ENRICHMENT (1a)	ACTIVITY	-	INACTIVITY	-	105.21	29.58
27	WR>SB	RE-DIRECTED (1b)	ACTIVITY	-	INACTIVITY	-	104.98	127.17

338

339 **Discussion**

340 My aim was to establish whether wheel running was an enrichment or a re-directed
341 stereotypic behaviour in striped mice. I provided striped mice displaying locomotor
342 stereotypic behaviours with a choice of space to perform stereotypic behaviours and access to
343 a running wheel. My predictions were partially supported since 52% of the stereotypic striped
344 mice showed increased wheel running and the remaining 48% showed a decrease.
345 Specifically, I found that 5 individuals conformed to predictions 1a, 1b and 1c (i.e. re-
346 directed behaviour), 7 individuals conformed to predictions 1b and 2b and 1 conformed to
347 predictions 2b and 3b (i.e. re-directed behaviour in 2 treatments). Furthermore, 2 individuals
348 matched with predictions 1a, 2a and 3a (implying enrichment), 1 corresponded with
349 prediction 1a and 2a, 1 corresponded with 1a and 3a and 1 corresponded to 2a and 3a,
350 suggesting that wheel running was enrichment in 2 treatments. Nine individuals showed
351 random behavioural patterns in the different treatments; some individuals showed an increase
352 in inactivity and the others showed an increase in activity and object manipulation, which
353 may imply that wheel running is enrichment as they were not diverting their entire time to
354 wheel running but rather apportioned their time between various behaviours. As expected,
355 individuals showed variation in the use of the running wheel: while some increased wheel
356 running in all three treatments, others decreased wheel use, irrespective of their personality
357 type. However, due to differences in individual responses in the use of the running wheel, it
358 is not possible to conclude whether wheel running was an enrichment or a re-directed
359 behaviour in stereotypic striped mice as will be discussed below.

360 While at first glance it appears that the reduction in stereotypic behaviours is due to
361 wheel running acting as an environmental enrichment, it is not the case. Despite available
362 space to perform stereotypic behaviours in the wheel tank of the Restricted Home Tank
363 treatment, more than half of these stereotypic striped mice showed higher levels of wheel
364 running, implying that stereotypic striped mice were incorporating the wheel in their
365 stereotypic behavioural routine. Similarly, Hansen and Damgaard (2009) showed that mink,
366 *Mustela vison*, which displayed pacing (i.e. a locomotor stereotypic behaviour) also, re-
367 directed these stereotypical behaviours to wheel running, which subsequently increased the
368 intensity of wheel running. It has been suggested that wheel running itself is a stereotypic
369 behaviour (Kunhen, 2002). This means that reduction in stereotypic behaviours observed in
370 my study could be interpreted as stereotypic behaviours being re-directed to wheel running.
371 Latham and Würbel (2006) maintained that even though wheel running occurs in both

372 stereotypic and non-stereotypic forms, there is sufficient evidence to claim that wheel
373 running be considered a stereotypic behaviour.

374 However, Sherwin (1998a) argued that although wheel running is similar to stereotypic
375 behaviour in that they are both invariant and repetitive without any obvious purpose (Mason,
376 1991a), these behaviours vary in their ontogeny. Whereas stereotypic behaviours develop
377 gradually over time as a consequence of impoverished conditions lacking in motor and
378 sensory stimulation, wheel running usually occurs spontaneously within a few minutes of the
379 wheel being introduced and in diverse environments (Sherwin, 1998a). Nevertheless, one
380 cannot simply use an umbrella approach and quantify wheel running as a re-directed
381 stereotypic behaviour. Just as 52% of the individual striped mice re-directed their
382 stereotypical behaviours to the running wheel, the other 48% of individuals seemed to show
383 that wheel running was an enrichment and reduced stereotypic behaviours. The latter findings
384 are consistent with several other studies (e.g. transgenic mouse model; Richter et al., 2008),
385 CD-1 (ICR) mice; Howerton et al., 2008, mink, *Mustela vison*; Hansen and Damgaard, 2009)
386 that showed that provision of wheel running significantly attenuated the levels of stereotypic
387 behaviour. There are exceptions, however, such as deer mice, *Peromyscus maniculatus*
388 (Pawlowicz et al., 2010). Nonetheless, it seems unlikely in my study that the running wheel is
389 solely responsible for the attenuating effects. In fact, wheel running together with cage size
390 and cage complexity could have contributed to the reduction of stereotypic behaviours. For
391 example, despite restriction in the wheel tank or restriction in the home tank, striped mice
392 never entirely diverted their time towards wheel running. Instead, activity and object
393 manipulation increased, suggesting that wheel running may have rather increased general
394 activity. Therefore, this combined effect of cage size and cage complexity in terms of extra
395 enrichment in the home tank could have contributed to the decrease in the performance of
396 stereotypic behaviours, as also reported in outbred ICR strain laboratory mice (Würbel et al.,
397 1998) and bank voles, *Clethrionomys glareolus* (Ödberg, 1987). Furthermore, wheel running
398 may have some reinforcing qualities, which the striped mice lack under captive conditions.
399 For example, wheel running might have been associated with a reduction in stereotypic
400 behaviours because it lowered the motivation of the striped mice to escape from the cage by
401 providing them with an opportunity to cover relatively large distances in a small space. The
402 striped mice used in my study cover large home ranges in natural grassland habitats (mean:
403 1,109 m²: Schradin and Pillay, 2005), indicating a need to explore large areas. Clubb and
404 Mason (2003) found that the distance travelled by carnivores in the wild was correlated to the

405 distance travelled on the wheel in captivity, which shows that there is a common motivation
406 behind the performance of both behaviours.

407 Stereotypic behaviours were positively correlated with wheel running when home
408 tank space was restricted, implying that wheel running may not be a stereotypic behaviour
409 but rather an enrichment or an activity in its own right. For example, Richter et al. (2008)
410 found a negative relationship between stereotypic behaviours and wheel running in transgenic
411 mice and suggested that wheel running may be a substitute for stereotypic behaviours.
412 However, it is important to note that our studies differed in two important ways. First, the
413 transgenic mice were housed in standard laboratory conditions with a running wheel that
414 restricted the space available, whereas the striped mice were housed under enriched
415 conditions with a separate tank for wheel running. Second, the transgenic mice exhibited a
416 variety of stereotypical behaviours, both locomotor (circuit running/route tracing) and oral
417 (bar-biting), while I used striped mice that exhibited exclusively locomotor stereotypic
418 behaviours; variations in the type of stereotypic behaviour could explain how the study
419 subjects used the running wheel. Locomotor stereotypic behaviours are usually affected by
420 environmental variables such as the housing conditions (Bashaw et al., 2001) and by placing
421 a running wheel in an already constrained space in the case of the transgenic mice (Richter et
422 al., 2008), the available space required for stereotypic behaviours is reduced, which could
423 have led to excessive use of the running wheel, either because it was a re-directed stereotypy
424 or stress due to restricted space. In my study, a wheel was available in a separate tank when
425 space in the home tank was reduced (Treatment 2).

426 The positive relationship between stereotypic behaviours and wheel running could
427 also be interpreted as a re-directed behaviour. From personal observations, stereotypic
428 behaviours in the striped mice were always performed in association with wheel running. For
429 instance, the majority of individuals ran in the wheel, which was followed by circuit running,
430 and then re-entered the wheel while it was moving and continued with the same motion over
431 and again. Similarly, Sherwin (1998b) referenced the findings of De Kock and Rohn (1971)
432 who showed that bank voles, *Clethrionomys glareolus*, performed some behaviours in
433 association with wheel running, such as leaving the wheel, running a 'figure-of-eight' and re-
434 entering the wheel while it was still revolving.

435 Nevertheless, stereotypic striped mice did not show correlations between stereotypic
436 behaviours and wheel running in the No Restriction and Restricted Wheel Tank treatments.
437 Instead, while wheel running decreased, object manipulation and activity increased. Such
438 differences could be attributed to individual differences underlying the motivation of wheel

439 running. For example, the underlying mechanism of wheel running activity appears to have a
440 genetic constitution (Koteja et al., 1999; Lightfoot et al., 2004; de Visser et al., 2007).
441 Neurobiologically, the mechanisms underpinning the motivation for wheel running can be
442 explained in terms of upregulation of Fos gene expressed in mice bred for high voluntary
443 running, particularly the lateral hypothalamus, medial frontal cortex as well as the striatum
444 (Rhodes et al., 2003). Similar to intake of addictive drugs, wheel running seems to activate
445 the dopamine-opioid system (Werme et al., 2000; Werme et al., 2003; Clark et al., 2014). It
446 has been proposed that dopamine may explain the motivation to wheel run for its hedonic
447 rewards (Knab and Lightfoot, 2010). Dopamine is high stereotypic animals (Garner, 2006;
448 McBride and Hemmings, 2009) and wheel running may be escalating the levels of dopamine
449 (Rhodes et al., 2003) in a positive feed-back loop. This might also be a reason for stereotypic
450 striped mice showing more wheel running than non-stereotypic mice.

451 As I showed in my previous studies (Chapters 2, 3 and 4), behavioural responses to
452 the different treatments were independent of the individual's personality type. Individual
453 stereotypic striped mice that spent a long time in the light compartment (i.e. more bold)
454 varied the levels of stereotypic behaviours in the treatments: while it increased in some
455 treatments, it decreased in the others. Furthermore, individuals that showed an increased
456 latency to approach the novel object (i.e. less bold) also showed variable levels of stereotypic
457 behaviours as well as wheel running, depending on the treatment. This shows that bold and
458 less bold stereotypic mice assess the situation differently before displaying a behaviour,
459 resulting in individuals responding differently from one situation to the other (Chapman et
460 al., 2010; Bell and Stamps, 2004). My findings contrast those of Walker and Mason (2012),
461 who showed that boldness, predicted enrichment use in female C57BC/6 mice. In particular,
462 they showed that mice that were bold used more of the enrichment than those that were more
463 fearful of novelty. However, the discrepancies in results could be attributed to the
464 experimental protocol as all enrichments in that study were provisioned in a larger cage
465 connected to a smaller laboratory cage, which the striped mice may have found aversive.

466

467 Conclusions

468 While wheel running may not reduce stereotypic behaviours on its own, it appears
469 that individual striped mice use the wheel for different purposes. Half the individuals used it
470 to incorporate their stereotypic behavioural routine, making it a re-directed behaviour, while
471 the others used it as enrichment. These results have implications for animal welfare as it

472 shows that enrichment cannot be implemented for a particular stereotypic behaviour at a
473 group level but rather must be tailored for particular individuals.

474

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612 **Supplementary material**

613 **Table S1. Regression analysis of the frequency of stereotypic behaviour, activity, inactivity,**
 614 **object manipulation in Home and Wheel tanks and running wheel in only the Wheel tank with**
 615 **latency to approach the novel object (novel object test) and time spent in the light compartment**
 616 **(startle test) as the predictor variables for stereotypic mice in the No space restriction,**
 617 **Restriction in Home tank and Restriction in Wheel treatments. Linear and polynomial**
 618 **decomposition are reported. Values bold are significant.**

HOME							
Personality test	Behaviour	Parameter Estimates	P	β	R ²	F	df
Time spent in light compartment	Stereotypy	0.005	0.052	0.69	0.19	2.32	6,65
	Active	0.008	0.332	0.32	0.29		
	Inactive	0.000	0.835	-0.08	0.06		
	Manipulate	0.008	0.276	0.40	0.12		
Latency to approach novel object	Stereotypy	0.003	0.086	0.54	0.19	2.10	6,65
	Active	0.011	0.036	0.62	0.29		
	Inactive	-0.001	0.236	-0.40	0.06		
Time spent in light compartment ²	Stereotypy	0.000	0.210	-0.43	0.19	2.56	6,65
	Active	0.000	0.696	0.13	0.29		
	Inactive	0.000	0.768	0.11	0.06		
	Manipulate	0.000	0.665	-0.15	0.12		
Latency to approach novel object ²	Stereotypy	0.000	0.147	-0.45	0.19	1.71	6,65
	Active	0.000	0.173	-0.40	0.29		
	Inactive	0.000	0.270	0.37	0.06		
	Manipulate	0.000	0.094	-0.55	0.12		
WHEEL							
Time spent in light compartment	Stereotypy	0.008	0.036	0.77	0.15	1.63	6,65
	Wheel running	-0.004	0.528	-0.22	0.20		
	Active	0.004	0.574	0.20	0.16		
	Inactive	-0.001	0.161	-0.54	0.04		
	Manipulate	0.006	0.260	0.42	0.10		
Latency to approach novel object	Stereotypy	-0.004	0.109	-0.51	0.15	2.13	6,65
	Wheel running	-0.002	0.587	-0.17	0.20		
	Active	0.001	0.886	0.05	0.16		
	Inactive	0.000	0.495	0.23	0.04		
	Manipulate	-0.005	0.119	-0.51	0.10		
Time spent in light compartment ²	Stereotypy	0.000	0.052	-0.69	0.15	1.94	6,65
	Wheel running	0.000	0.106	0.56	0.20		
	Active	0.000	0.774	0.10	0.16		
	Inactive	0.000	0.181	0.50	0.04		
	Manipulate	0.000	0.265	-0.40	0.10		
Latency to approach novel object ²	Stereotypy	0.000	0.146	0.46	0.15	2.26	6,65
	Wheel running	0.000	0.342	0.29	0.20		
	Active	0.000	0.935	-0.03	0.16		
	Inactive	0.000	0.432	-0.27	0.04		
	Manipulate	0.000	0.064	0.61	0.10		

619

620 **Table S2. Regression analysis of the duration of stereotypic behaviour, activity and inactivity in**
621 **Home and Wheel tanks and running wheel in only the Wheel tank with latency to approach the**
622 **novel object (novel object test) and time spent in the light compartment (startle test) as the**
623 **predictor variables for stereotypic mice in the No space restriction, Restriction in Home tank**
624 **and Restriction in Wheel treatments. Linear and polynomial decomposition are reported.**
625 **Values bold are significant.**

HOME							
Personality test	Behaviour	Parameter Estimates	P	β	R ²	F	df
Time spent in light compartment	Stereotypy	0.673	0.104	0.61	0.13	1.36	3,66
	Active	-0.262	0.758	-0.12	0.02		
	Inactive	1.043	0.503	0.25	0.11		
Latency to approach novel object	Stereotypy	0.425	0.076	0.60	0.13	4.47	3,66
	Active	0.436	0.376	0.32	0.02		
	Inactive	1.545	0.088	0.59	0.11		
Time spent in light compartment ²	Stereotypy	-0.003	0.239	-0.43	0.13	0.62	3,66
	Active	0.002	0.635	0.18	0.02		
	Inactive	-0.002	0.761	-0.11	0.11		
Latency to approach novel object ²	Stereotypy	-0.001	0.144	-0.50	0.13	2.66	3,66
	Active	-0.001	0.528	-0.23	0.02		
	Inactive	-0.003	0.198	-0.44	0.11		
WHEEL							
Time spent in light compartment	Stereotypy	0.934	0.036	0.74	0.25	3.14	4,66
	Wheel running	-0.348	0.486	-0.25	0.20		
	Active	-1.766	0.078	-0.66	0.13		
	Inactive	-0.307	0.452	-0.29	0.04		
Latency to approach novel object	Stereotypy	-0.808	0.002	-1.01	0.25	4.17	4,66
	Wheel running	-0.942	0.002	-1.07	0.20		
	Active	-0.872	0.129	-0.52	0.13		
	Inactive	0.240	0.308	0.36	0.04		
Time spent in light compartment ²	Stereotypy	-0.006	0.014	-0.84	0.25	2.87	4,66
	Wheel running	0.001	0.643	0.16	0.20		
	Active	0.006	0.231	0.43	0.13		
	Inactive	0.001	0.526	0.24	0.04		
Latency to approach novel object ²	Stereotypy	0.002	0.009	0.85	0.25	3.26	4,66
	Wheel-running	0.003	0.003	1.01	0.20		
	Active	0.002	0.366	0.31	0.13		
	Inactive	-0.001	0.235	-0.42	0.04		

626

CHAPTER SIX

General Discussion

Captive animals are often exposed to aversive and impoverished conditions, which lead to the development of stereotypic behaviours (Mason, 1991 a, b; Latham and Mason, 2004). Environmental enrichment is often implemented under such conditions with the aim to reduce or abolish stereotypic behaviours. However, they are not always successful in their intended purpose, raising concerns for animal welfare. In other instances, enrichment does not benefit all individuals of a species similarly, suggesting that individual differences (personality) may underlie such discrepancies (Dallaire et al., 2012; Walker and Mason, 2012). My aim was therefore to investigate how environmental enrichment influences the expression of stereotypic behaviour in the striped mouse, and to ascertain whether enrichment is influenced by personality in my study model, the African striped mouse, *Rhabdomys dilectus*. In this discussion, I review the main findings, compare and contrast results from my studies with other available literature on striped mice and other species, highlighting inconsistencies and gaps in the literature. Finally, I suggest areas for future research.

Key findings

Following the suggestion by Ijichi et al. (2013) that personality may have a role in the development of stereotypic behaviours, in Chapter 2, I investigated whether personality predisposed the development of stereotypic behaviours. I found that stereotypic mice were bolder than non-stereotypic mice and displayed a proactive coping style. However, having a proactive coping style did not predict the onset of stereotypic behaviours for all individuals (i.e. some less bold individuals also developed stereotypic behaviour).

Having shown an association between personality and stereotypic behaviours, I next explored whether personality modulated the way stereotypic mice interacted with enriched housing (Chapter 3). Stereotypic mice were bold and proactive as a group but showed flexible behavioural responses to the cages of different environmental complexity at an individual level. The rodent literature indicates that proactive individuals are rigid and show invariant behavioural responses compared to reactive individuals, which show flexible behavioural responses (Benus et al., 1987; Benus et al., 1991; Koolhaas et al., 1999; Sluyter et al., 1996). However, both stereotypic and non-stereotypic striped mice displayed flexible behavioural responses across different housing conditions, contradicting the coping style hypothesis proposed by Koolhaas et al. (1999).

35 Since behavioural responses were independent of the personality type of the striped
36 mice (Chapter 3), I then investigated whether the age at which the striped mice were exposed
37 to the environmental enrichment influenced their responses (Chapter 4). Age was considered
38 because of its potential confounding influence when striped mice were randomly allocated to
39 enrichments in Chapter 3. Age of striped mice was not associated with how stereotypic
40 striped mice interacted with the enrichment, which was not surprising because it has been
41 shown that enrichment provided has a positive effect in reducing the levels of stereotypic
42 behaviours, regardless of the age at which it is implemented (Powell et al., 2000). It was also
43 evident that, as in Chapters 2 and 3, behavioural responses to different cage complexities at
44 an individual level were independent of the personality type. There was no effect of
45 personality or age in non-stereotypic mice in response to different cage complexities.

46 In Chapter 3, enrichment treatments included a running wheel and I noticed that
47 wheel running increased the overall activity of stereotypic mice in the Enriched treatment.
48 There are still mixed views/perceptions as to what wheel running means to rodents. Some
49 scientists have proposed that wheel running may show the desire of an animal to perform
50 exploratory behaviours, stereotypic behaviours, or merely a form of general activity (Hansen
51 and Damgaard, 2009). In Chapter 5, I focussed on this particular type of cage furnishing to
52 ascertain whether wheel running was an enrichment or stereotypic/re-directed behaviour in
53 stereotypic striped mice. The study design was quite unique in that I gave stereotypic mice an
54 option of space to perform stereotypic behaviours and a wheel. The results showed that wheel
55 running seemed to be both re-directed behaviour and enrichment since individual stereotypic
56 mice used it for different purposes. As I showed in my previous experiments, at an individual
57 level, behavioural responses to the different treatments were independent of the personality
58 type of the stereotypic striped mice.

59 Implications of my findings

60 Previous work on *Rhabdomys* showed that stereotypic females have increased
61 reproductive success (Jones et al., 2010a), and the early social environment influences the
62 development of stereotypic behaviours later in adulthood (Jones et al., 2010b). Importantly
63 for my study, stereotypy is genetically but not socially and environmentally determined
64 (Schwaibold and Pillay, 2001; Jones et al., 2008). Like stereotypic behaviours (Schwaibold
65 and Pillay, 2001; Jones et al., 2008; Hemmann et al., 2014), certain aspects of personality are
66 also heritable (Dingemanse et al., 2002, Drent et al., 2003, Van Oers et al., 2004), but may
67 also be shaped due to environmental conditions during the early phases of ontogeny (Benus

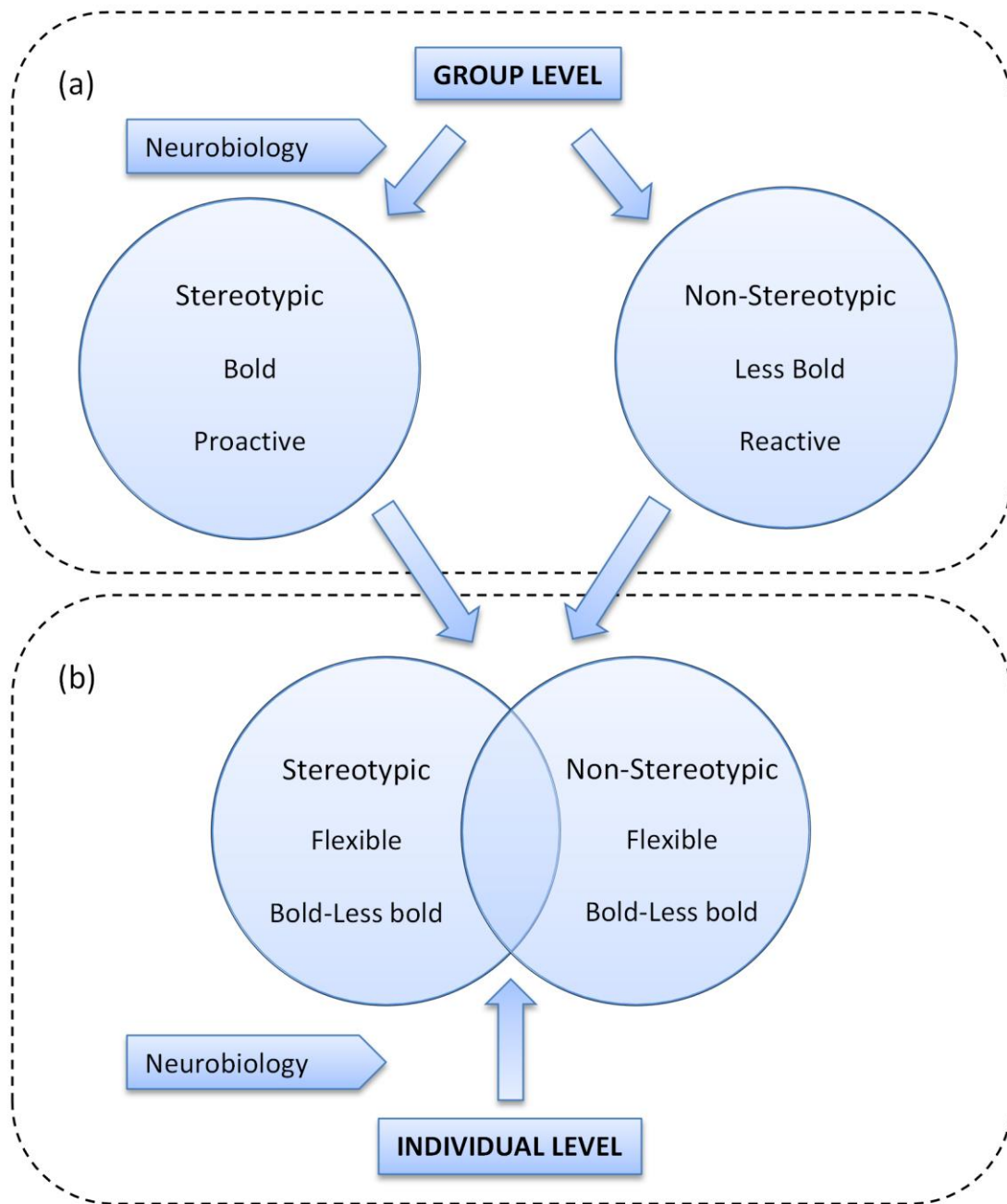
68 and Henkelmann, 1998; Rödel and Meyer, 2011; Guenther et al., 2015). My study presents
69 new information to the existing literature of *Rhabdomys* species on the following aspects: 1)
70 personality and 2) the interaction between personality, environmental enrichment and
71 stereotypy. I address these findings in detail, below, paying particular attention to group and
72 individual level differences.

73

74 Group level

75 Stereotypic striped mice were bolder, showed a proactive coping style while non-
76 stereotypic mice were less bold, and showed a reactive coping style (Figure 1a). These
77 findings provide a group level support for the coping style theory that suggests that proactive
78 copers are more bold while reactive copers are less bold (Koolhaas et al., 1999). Furthermore,
79 along with boldness, stereotypic striped mice also showed greater activity and object
80 manipulation in the enriched treatments. These findings are in line with a number of studies
81 that show that bold animals exhibit increased interactions with novel environments or novel
82 objects (Wechsler, 1995; Dingemanse et al., 2002; Janczak, et al., 2003; Dingemanse et al.,
83 2007).

84 My findings show that stereotypic behaviours were reduced in the enriched
85 treatments. This is similar to a large body of previous work in the environmental enrichment
86 literature that increasing and enhancing cage complexities ameliorates performance of
87 stereotypic behaviours and promotes more natural behaviours (Ödberg, 1987; Powell et al.,
88 1999, 2000; Meehan et al., 2004; Mallapur et al., 2005). However, in order to understand the
89 utility of environmental enrichments and its impact on stereotypic behaviours, it is important
90 to understand the development of stereotypic behaviours and the underlying neurobiological
91 mechanisms (Figure 1a). Two putative mechanisms exist. Firstly, the dorsal striatum is a part
92 of the basal ganglia in the forebrain that facilitates the control of behaviours, transitioning
93 and movements between behaviours as well as the maintenance of behavioural flexibility
94 (Garner et al., 2003; Garner, 2006). Exposure to stressful environmental conditions
95 influences the functioning of the basal ganglia, leading to the development of stereotypic
96 behaviours. In particular, the neural pathways utilising the neurotransmitter dopamine within
97 the dorsal striatum are key in the initiation and control of goal-directed behaviours and
98 alteration of these structures lead to fixed routine-like behaviours as well as stereotypic
99 behaviours (Garner et al., 2003; Garner, 2006; Lewis et al., 2006; McBride and Hemmings,
100 2009; McBride and Parker, 2015).



101 **Figure 1. Venn diagram showing differences at (a) group level and similarity at (b) an**
 102 **individual level for stereotypic and non-stereotypic striped mice *Rhabdomys dilectus*. I**
 103 **hypothesise that neurobiological mechanisms underpin both group and individual level**
 104 **differences.**
 105

106

107 Exposure to environmental enrichment promotes behavioural, biochemical as well as
 108 structural changes, for example by increasing the number and density of neurons, synapses as
 109 well as dendritic arborisation (Van Praag et al., 2000); and increasing the Brain-Derived
 110 Neutrophic Factor in the dorsal striatum (Turner et al., 2003; Turner and Lewis, 2003).

111 Environmental enrichment also alters the serotonergic system (Rasmuson et al., 1998; Brenes
112 et al., 2008) and glucocorticoid receptors which mediate the negative feedback loop on the
113 Hypothalamic-Pituitary-Adrenal-axis (HPA axis), thereby enabling efficient coping responses
114 to novel environments (Leggio et al., 2005; Brenes et al., 2008; Sampedro-Piquero et al.,
115 2014).

116

117 Individual level

118 Despite the group level effects, an important question, which arose in my study, was
119 why were stereotypic behaviours not always reduced in all stereotypic animals? This required
120 an examination of individual level differences. This was achieved through multivariate
121 regression examination of individual responses, which showed that irrespective of the
122 personality type, behaviours were not consistent in the different treatments in stereotypic
123 (Chapters 2, 3, 4 and 5) and non-stereotypic (Chapters 2 and 3) striped mice.

124 There was an overlap in personality between individual stereotypic and non-
125 stereotypic striped mice (Figure 1b). Both individual stereotypic and non-stereotypic striped
126 mice consistently showed flexible behavioural responses in different contexts or
127 environments, yet individual differences (personality) were not correlated with these
128 behavioural responses. This contradicts the personality literature, which emphasises the
129 consistency of behaviours across contexts (Gosling, 2001). My study is partially comparable
130 to the studies by Frost et al. (2007) and Thomson et al. (2012) on rainbow trout,
131 *Oncorhynchus mykiss*, in which bold trout were more labile, while shy trout were relatively
132 fixed in their responses. In fact, it appears that factors other than personality are responsible
133 for individual flexibility. Several studies show that animals vary constantly in their
134 behavioural response to environmental perturbations (Wilson, 1998; Koolhaas et al., 1999;
135 Sih et al., 2004; Réale et al., 2007).

136 Personality traits are also often correlated, for example, individuals that are more
137 active (i.e. faster explorers) are also more aggressive, less docile and bolder (Koolhaas et al.,
138 2010; Réale et al., 2010). Faster explorers also use more unprotected, open areas of their
139 environment compared to slower explorers (Koolhaas et al., 1999). However, my study
140 showed that behavioural differences in personality are not fixed and may be dynamic
141 depending on various extrinsic (e.g. cages of different complexities) and intrinsic (e.g. sex)
142 factors, indicating that the coping style theory may not be true in all cases and coping is more
143 flexible than described to date. Moreover, behaviours in the personality tests were uncoupled
144 from behaviours in the different treatments for both stereotypic (Chapters 2, 3, 4 and 5) and

145 non-stereotypic (Chapters 2 and 3) striped mice. Nonetheless, stereotypic animals by nature
146 are characterised by having rigid and invariant behaviours (Latham and Mason, 2008) and
147 therefore should have a low intra individual variability in behaviour (Japyassú and Malange,
148 2014). So the question that then arises is how were individual stereotypic mice able to alter
149 their behavioural responses to cages of different complexity? Could there be some other
150 neurobiological mechanisms that individual striped mice were using in response to different
151 environmental complexities?

152 The neurobiological modulation of flexibility is not always apparent in the literature,
153 as will become evident in the following paragraphs. One possible mechanism of flexible
154 behavioural responses in striped mice could be related to the neurotransmitter serotonin. The
155 Prefrontal Cortex (PFC) receives major serotonergic projections from the dorsal raphe
156 nucleus, which regulate aggression (Blair, 2004; Siever, 2008) as well as impulsive
157 behaviours in proactive copers (Dalley et al., 2008). Reactive copers have high levels of
158 serotonin, which promotes behavioural flexibility. However, while serotonin has been
159 implicated in behavioural flexibility (Koolhaas et al., 2010; Coppens et al., 2010), it would be
160 conflicting for stereotypic mice to have higher levels of this neurotransmitter simultaneously
161 with higher levels of dopamine, which mediate the development of stereotypic behaviours
162 (Garner et al., 2006; Garner, 2006; McBride and Hemmings, 2009), since there is a reciprocal
163 relationship between the serotonergic and dopaminergic systems (Daw et al., 2002). Yet, it
164 has been suggested that the interaction between the serotonergic and dopaminergic systems
165 may underpin impulsive aggression (reviewed in Seo et al., 2008), which is also an attribute
166 of proactivity. For example, Van Erp and Miczek (2000) showed that during and after a
167 confrontation, the levels of serotonin declined in the PFC of the Long-Evans rats to 80% of
168 the baseline level, while dopamine levels peaked to 120% after the fights.

169 The ability of altering behavioural responses to different environments could also be
170 attributed to the biphasic changes of dopamine in the nucleus accumbens in response to novel
171 uncontrollable stressful conditions, thereby facilitating the adoption of either active
172 (proactive) or passive (reactive) coping styles (Puglisi et al., 1991). Depending on the
173 stressor, the levels of dopamine can switch from high to low. On exposure to a stressor, the
174 levels of dopamine are high but if an animal is unable to overcome or cope with the stressor,
175 dopamine levels drop below baseline, resulting in passive coping (Puglisi et al., 1991;
176 Imperato et al., 1993; Pascucci et al., 2007; Cabib and Puglisi-Allegra, 2012; Sequeira-
177 Cordero et al., 2013). The individual differences in response to enrichment also suggest that
178 individuals have different thresholds of susceptibility that may determine when the dopamine

179 responses take effect. Therefore, one should consider what the critical point is when striped
180 mice switch from one coping style to the other. Understanding the underlying factors could
181 possibly contribute to our understanding as to how striped mice in particular, or animals in
182 general, cope with stressors in captivity.

183 While there is a vast array of studies on coping styles, ranging from fish (Frost et al.,
184 2007; Silva et al., 2010; Basic et al., 2012), squid (Coleman and Wilson, 1998; Sinn and
185 Moltschaniwskjy, 2005), pigs (Bolhuis et al., 2004) to birds (Jacobs et al., 2013), there are
186 not many on rodents. Furthermore, there are no studies in the rodent literature showing
187 behavioural flexibility in bolder rodents. One study, using the Short attack latency (SAL) and
188 long attack latency (LAL), synonymous to proactive and reactive copers, showed that when
189 shocked with an electric probe, LAL mice responded with immobility or active defence
190 burying (Sluyter et al., 1996). While these two behavioural responses were apparent when
191 exposed to a stressor in an unfamiliar environment, they were not present when exposed to
192 the same stressor in a familiar environment (Sluyter et al., 1996). While my findings are not
193 surprising because behavioural flexibility is well known in *Rhabdomys* spp. which show
194 flexible social organisation (i.e. social flexibility; Schradin et al., 2010; Schradin et al., 2012),
195 mating strategies (Schradin, 2008) and exploration (Rymer and Pillay, 2012), my hypotheses
196 linking personality and stereotypy must be tested in a wider range of species to demonstrate
197 the generalizability of my findings.

198 Broadly, my study highlights the importance of considering individual differences in
199 environmental enrichment studies involving stereotypic animals. In particular, my study
200 questions the validity of tailoring environmental enrichment at the species level. Various
201 studies implement a kitchen-sink approach whereby everything and anything is provisioned
202 (Rosenzweig and Bennett, 1969; reviewed in Swaisgood and Shepherdson, 2006). However,
203 my study emphasises that due to individual differences in response to varying cage
204 complexity, enrichment cannot be tailored for a particular target species per se. Before
205 implementing enriching environments, and in addition to the aetiology of stereotypical
206 behaviours, there is a need to account for the individual differences in responses, although I
207 am mindful that such an approach can be onerous.

208 While there is no general consensus on the definition of animal welfare (Latham and
209 Mason, 2004), scientists contend that the concept of welfare assessment entails a balance of
210 both positive and negative indicators (Yeates and Main, 2008). Negative welfare include
211 performances of abnormal or stereotypic behaviours and excessive aggression, whereas
212 performance of species-specific behaviours is supposed to imply a positive welfare (Dawkins,

213 1998). My study shows that the same environmental enrichment cannot be provided for both
214 stereotypic and non-stereotypic mice due to individual level differences. My study rather
215 emphasises the importance of a differential implementation of environmental enrichment in
216 stereotypic individuals, with individually tailored intervention. A case in point is the
217 divergent responses to use of the running wheel in stereotypic striped mice, with more than
218 half of the individuals tested showing a re-directed behaviour while the remainder showing
219 wheel running as an enrichment (Chapter 5).

220 In my opinion, taking these results into consideration, performance of stereotypic
221 behaviours does not necessarily mean that the animal's welfare is compromised currently. I
222 believe that stereotypic mice may actually be coping better than their non-stereotypic
223 counterparts. More importantly, stereotypic behaviours should not be considered in isolation
224 to determine an animal's welfare, as factors such individual level differences (e.g.
225 personality) are also crucial. Even in the absence of the eliciting environments triggering
226 stereotypy, individual differences may determine the different thresholds of susceptibility to
227 stress, which eventually affects how an individual responds to an environment.

228

229 Future studies and unexpected findings

230 The findings that not all stereotypic individuals respond to environments in the same
231 manner suggests that future studies need to consider neurobiological mechanisms at an
232 individual level in both stereotypic and non-stereotypic individuals. In addition to the
233 serotonergic and dopaminergic systems levels that I mentioned earlier, a wider
234 neurobiological investigation is required to include, for example, Corticotropic Releasing
235 Factor (CRF), which also facilitate the use of active or passive coping styles by modulating
236 the levels of serotonin in the dorsal nucleus raphe (Valentino et al., 2009; Sequiera-Cordero
237 et al., 2013) in both striped mice and other species. Moreover, the interplay between different
238 neurotransmitters and hormones underlying behavioural flexibility needs consideration. For
239 example, Delville et al. (1996) showed how treatment with fluoxetine, a serotonin agonist,
240 modulates the levels of aggression mediated by the hormone vasopressin. Like aggression,
241 behavioural flexibility is also controlled by serotonin and it may be possible that other
242 hormones interact with this neurotransmitter that ultimately underpins behavioural flexibility.

243 Veenema et al. (2004) showed that there are distinct differences in the high-
244 aggression (proactive) and low-aggression (reactive) mice in terms of the HPA axis, which is
245 typically associated with stress. When exposed to a stressor, low-aggression mice showed an
246 increase in HPA response and high corticosterone levels compared to the high-aggression

247 mice. In light of these findings, the authors concluded that low aggression seems to be
248 indicative of a maladaptive coping style to stress. The relationship between stereotypic
249 behaviours and corticosterone levels is untested in the *Rhabdomys* spp., although this
250 relationship is not predictable in some other stereotypic animals (Latham and Mason, 2004).
251 It would thus be worthwhile investigating whether the same neuroendocrinal mechanisms
252 underlie the differences in stereotypic or non-stereotypic striped mice because of its welfare
253 implications. For example, the greater inactivity levels in non-stereotypic mice may actually
254 be hinting towards anxiety or depressive-like behaviours (Meagher et al., 2013; Meagher and
255 Mason, 2012), which if true may imply that non-stereotypic mice are more affected by the
256 suboptimal housing.

257 While the personality tests used in my study have been routinely performed on
258 captive (Rymer et al., 2008; Jones et al., 2011) and free-living (Yuen et al., 2015) striped
259 mice, there is an issue with the reliability and validity of personality tests. For example, only
260 one personality test (i.e. the startle response test) was able to detect differences in personality
261 in the striped mice but not the light-dark and novel-object tests. There has been some disquiet
262 about the methodological approach of using personality tests, with Carter et al. (2013)
263 emphasising the need for validating personality tests because personality studies usually
264 involve measurements of different behavioural traits using multiple tests or one test
265 measuring multiple traits. Furthermore, Weiss and Adams (2013) mention that the same kind
266 of test may not be feasible across different species. Our lab is the process of addressing these
267 concerns and already Yuen et al. (2015) showed strong correlation between personality traits
268 in striped mice and that a single test can be a useful measure of personality in this taxon.

269 While the many aspects of an animal's ontogeny shapes its personality e.g. litter
270 composition (Benus and Henkelmann, 1998; Guenther and Trillmich, 2015), it would be
271 worthwhile investigating how it also moulds behavioural flexibility across different ontogenic
272 stages.

273 That age did not influence how striped mice interacted with environmental
274 enrichment was surprising given its importance in an earlier study of striped mice (Jones et
275 al., 2011). This finding could have been attributed to the differences in the experimental
276 protocol across studies of striped mice or possibly due to small sample size.

277 In Chapter 3, my results revealed that stereotypic behaviours were unusually high in
278 the Standard-enriched treatment rather than the Standard treatment. Provision of
279 environmental enrichment usually reduced the occurrence of stereotypic behaviours if not
280 alleviating these behaviours (Swaigood and Shepherdson, 2006). One possible explanation I

281 gave for this was that provision of environmental enrichment to the already restricted and
282 congested standard laboratory housing (Mason, 1991 a, b; Abou-Ismaïl et al., 2010) may
283 have frustrated and thus aggravated the performance of stereotypic behaviours. The question
284 that then arises from this is that, is space also not an important factor in contributing to the
285 mitigation of stereotypic behaviours? Furthermore, my findings contrasted those of Walker
286 and Mason (2012) who showed that bolder mice exhibited greater enrichment use than those
287 fearful of novelty (i.e. less bold). I suggested that this could have been due to the
288 experimental protocol, as all the enrichments in their study were provided in a larger cage
289 setting connected to a smaller cage. This finding further suggests that space combined with
290 enrichment is critical and needs to be considered.

291

292 Conclusion

293 I studied the interaction between stereotypic behaviours, personality and
294 environmental enrichment and assessed whether personality modulated the manner in which
295 stereotypic and non-stereotypic animals used enrichment. This was a complex undertaking in
296 which I designed experiments based on the theoretical models developed by Ijichi et al.
297 (2013). My thesis contributes to science by providing the first empirical data to assess the
298 relationship between personality and stereotypy and their combined association with
299 environmental enrichment. My initial approach was to consider group level effects only but
300 later it became apparent that individual level approaches are important because personality is
301 an individual based trait, and it was surprising that other studies have not adopted this
302 approach even though they are discussed (e.g. Dallaire et al., 2012; Walker and Mason,
303 2012). My study showed that group level differences might mask individual level differences.
304 Indeed, at an individual level, stereotypic striped mice showed behavioural flexibility
305 depending on the environment to which they were exposed, which in turn affected the
306 efficacy of environmental enrichment. Finally, my study suggests that welfare and well-being
307 of animals require an assessment of individual trajectories in the development of stereotypic
308 behaviours.

309

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