

Rothamsted Research Harpenden, Herts, AL5 2JQ

Telephone: +44 (0)1582 763133 Web: http://www.rothamsted.ac.uk/

Rothamsted Repository Download

A - Papers appearing in refereed journals

Jensen, J. L., Schjonning, P., Watts, C. W., Christensen, B. T. and Munkholm, L. J. 2018. Soil Water Retention: Uni-Modal Models of Pore-Size Distribution Neglect Impacts of Soil Management. *Soil Science Society of America Journal*. 83 (1), pp. 18-26.

The publisher's version can be accessed at:

- https://dx.doi.org/10.2136/sssaj2018.06.0238
- https://dl.sciencesocieties.org/publications/sssaj/articles/0/0/sssaj2018.06.0238

The output can be accessed at: https://repository.rothamsted.ac.uk/item/847y0.

© 17 January 2019, Please contact library@rothamsted.ac.uk for copyright queries.

07/03/2019 16:19

repository.rothamsted.ac.uk

library@rothamsted.ac.uk

- 1 Modelling Soil Water Retention: A Uni-modal Pore Size Distribution Does Not Reflect
- 2 Reality
- 3 Johannes L. Jensen*a, Per Schjønninga, Christopher W. Wattsb, Bent T. Christensena, Lars J.
- 4 Munkholm^a
- 5 a Department of Agroecology, Aarhus University, Tjele, Denmark
- 6 ² Department of Sustainable Agriculture Sciences, Rothamsted Research, Harpenden, United
- 7 Kingdom
- 8 * Corresponding author: tel: +45 26 36 08 47, jlj@agro.au.dk

10 ACKNOWLEDGEMENTS

- 11 The study was supported by the Green Development and Demonstration Programme (GUDP)
- of the Ministry of Environment and Food of Denmark (projects OptiPlant and OptiTill). The
- 13 Rothamsted Long-term Experiments National Capability (grant code BBS/E/C00J0300) is
- supported by the UK Biotechnology and Biological Sciences Research Council (BBSRC) and
- the Lawes Agricultural Trust.

16 SUPPLEMENTAL MATERIAL

- 17 Supplemental material is available with the online version of this article. The supplemental
- document presents parameter estimates of the van Genuchten and double-exponential model
- 19 for the 16 Danish top- and subsoils of the Jakobsen data set and the 16 plots at Highfield.

- Modelling Soil Water Retention: A Uni-modal Pore Size Distribution Does Not Reflect
- 21 Reality

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

- 22 Abstract (It is 1683 characters incl. spaces [] should be max 1500)
 - Reliable models for describing soil water retention are vital when simulating plant production and environmental effects in agroecosystems. Models for describing soil water retention often imply a uni-modal pore size distribution (PSD) such as the one suggested by van Genucthen (vanG). However, clear deviations from a uni-modal PSD has been documented. The doubleexponential (Dex) model imply a bi-modal PSD and may better reflect reality. We evaluated how the vanG and Dex models fit to water retention data for top- and subsoil differing in texture, soil with contrasting management systems (Highfield), and a soil with different tillage practices (Flakkebjerg). Soils were subjected to matric potentials from -10 hPa to -1.5 MPa using conventional methods. The bi-modal Dex model provided a better fit to water retention data for relatively sandy top- and subsoil, and the contrasting treatments from Highfield and Flakkebjerg, than the uni-modal vanG model. Neither of the models worked well for highly sorted soils. Topsoil was less well described than subsoil when using the vanG model due to a more pronounced bi-modality of the PSD caused by increased soil organic carbon (SOC) content and tillage. The root mean square error of the vanG fit increased with an increase in SOC when going from the bare fallow to the grass treatment at Highfield. At the same time, the tillage intensity decreased, but the effect of SOC seemed to outweigh the lack of tillage. These observations were reflected in a more distinct bi-modality of the PSD for better structured soils. Consequently, we suggest that uni-modal models are too simplistic for describing management effects on PSD.

- 42 **Abbreviations:** AIC, Akaike's information criterion; C, capacity; d_2 , dominating pore size of
- 43 the structural peak; Dex, double-exponential; I, intensity; PSD, pore size distribution; Q,
- 44 quantity; RMSE, root mean squared error; SOC, soil organic carbon; SOM, soil organic
- matter; V_1 , textural void ratio; V_2 , structural void ratio; vanG, van Genuchten; VIF, variance
- 46 inflation factor.

- 47 Core Ideas (3-5; 85 characters with spaces)
- A uni- and a bi-modal soil water retention model were evaluated
- The bi-modal double-exponential model provided a better fit
- The uni-modal model fit was affected by texture, soil organic carbon and tillage
- Uni-modal models cannot accurately describe the pore size distribution
- **Keywords** (at least 2): Pore size distribution; Soil water retention.

Soil water and air is crucial for plant growth, microbial activity and percolation (Rabot et al., 54 2018). Consequently, quantitative knowledge of the pore size distribution (PSD) is essential. 55 As a result, reliable models for describing soil water retention are vital when simulating water 56 and solute movement, plant growth, and microbial driven processes such as carbon turnover 57 58 and denitrification. 59 Soil water can be described in terms of quantity (Q, e.g. volumetric water content) and 60 intensity (I, e.g. matric potential). Consequently, Q at any I is the volumetric water content retained in all pore size classes smaller than that defined by I. The ratio of Q and I at any 61 given I is then the capacity (C), providing a measure of the volume of pores for a given tube-62 63 equivalent pore size. Numerous models for describing soil water retention has been suggested, 64 and the most widely used are uni-modal analytical expressions (Cornelis et al., 2005) such as 65 the one proposed by Brooks and Corey (1964). van Genuchten (1980) suggested the most widely used model for describing soil water 66 retention (>10000 citations; Web of Science, May 2018). The fitting parameters of the van 67 68 Genuchten (vanG) model are often used to indirectly determine the unsaturated hydraulic conductivity of a soil (Mualem, 1986), and many pedotransfer functions have been developed 69 70 for predicting the vanG parameters based on basic soil properties (Cornelis et al., 2001; 71 Minasny et al., 1999; Patil and Singh, 2016). The unsaturated hydraulic conductivity predicted by the vanG parameters are often used as input in simulation models such as Daisy 72 (Hansen et al., 2012) and HYDRUS (Šimunek et al., 2012). The simulations are used to 73 74 predict plant production and environmental effects and may be used as basis for political 75 decision-making.

Also, the vanG model implies a uni-modal PSD. Uni-modal models implicitly assumes a maximum C at one specific I (corresponding to a specific pore size). However, presenting the size distribution of pores by frequency, by for example numerical differentiation of the Q/I curve, has documented clear and important deviations from a uni-modal PSD (Eden et al., 2011; Pulido-Moncada et al., 2018; Schjønning, 1992). This calls for a more flexible description of the pore system than that obtained by uni-modal expressions. Several non-unimodal models have been proposed (e.g., Poulsen et al., 2006; Ross and Smettem, 1993). Dexter et al. (2008) proposed a double-exponential model (Dex), which describes bi-modal PSD, i.e. a size distribution of pores with two peaks. The two peaks are denoted textural and structural pore spaces, and the division is based on a mechanistic understanding of soil structure (Dexter, 1988; Dexter et al., 2008). Thus, the Dex model may be one way of trying to allow for a better description of reality. Further, the Dex model has the additional advantage in providing parameters with physical meaning when compared to the vanG model. The objective of this study was to evaluate how the uni-modal vanG and bi-modal Dex model fit to water retention data for 1) top- and subsoil with different texture, 2) soil with contrasting long-term crop rotations, and 3) a soil subject to different tillage practices.

MATERIALS AND METHODS

The Jakobsen data set

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

96

97

98

A PhD-study focused on the hydraulic properties of 16 contrasting soils distributed throughout Denmark, each with sampling done in the top- (\sim 0.10 m) and subsoil (\sim 0.50 m) layers (Jakobsen, 1989). The topsoil ranged from loamy sand to silt loam with the main part being sandy loam or loam soils. The Jyndevad and Tylstrup soils were extremely sorted with 51.2 g 100 g⁻¹ minerals in the 200-500 μ m fraction and 51.8 g 100 g⁻¹ minerals in the 63-125

µm fraction, respectively. The soil textural composition of top- and subsoil can be seen in Table 1 and 2, respectively.

Table 1. Soil textural composition and organic carbon (SOC) content in the \sim 0.10 m layer of the 16 Danish soils of the Jakobsen (1989) data set listed in order of increasing clay content. The Rosin-Rammler parameters (α and β) were calculated by Eq. 6 and are based on the seven particle size fractions listed in Jakobsen (1989).

Site	SOC	Clay,	Silt,	Silt,	Sand,	α	β
		<2 μm	2-20 μm	20-63 μm	63-2000 μm		
			(g 100 g ⁻¹ m	inerals)		(µm)	-
Hals	2.36	2.6	3.4	7.9	86.0	150	1.76
Tylstrup	1.30	3.7	4.9	17.2	74.2	88	3.58
Jyndevad	1.36	4.2	3.9	3.2	88.8	367	1.41
Borris	1.31	5.7	7.8	22.8	63.7	131	0.96
Hornum	1.86	5.8	8.4	13.3	72.5	180	0.93
Travsted	3.38	7.7	6.8	16.2	69.3	189	0.86
Foulum	1.49	7.9	10.1	15.6	66.4	176	0.75
Ødum	1.49	10.1	15.5	20.2	54.3	104	0.71
Årslev	1.36	10.6	14.9	21.1	53.4	95	0.79
Roskilde	1.43	10.8	17.3	19.3	52.7	93	0.74
Askov	1.55	11.0	12.6	16.5	59.9	124	0.77
Rønhave	1.24	14.5	15.6	27.5	42.4	65	0.78
Tystofte	1.18	14.7	16.4	19.5	49.4	75	0.73
Ø. Ulslev	1.38	15.8	15.5	16.5	52.2	102	0.58
Kalø	0.82	17.7	14.4	15.9	52.0	102	0.55
Højer	1.73	18.6	15.4	39.9	26.0	42	1.00

Table 2. Soil textural composition and organic carbon (SOC) content in the \sim 0.50 m layer of the 16 Danish soils of the Jakobsen (1989) data set listed as in Table 1. The Rosin-Rammler parameters (α and β) were calculated by Eq. 6 and are based on the seven particle size fractions listed in Jakobsen (1989).

Site	SOC	Clay,	Silt,	Silt,	Sand,	α	β
		<2 μm	2-20 μm	20-63 μm	63-2000 μm		
			(g 100 g ⁻¹ m	inerals)		(µm)	-
Hals	0.17	2.0	0.5	1.0	96.5	190	3.31
Tylstrup	0.29	3.1	2.4	12.8	81.7	82	5.96
Jyndevad	0.35	3.5	1.9	1.0	93.6	359	2.25

Borris	0.29	11.2	7.3	14.9	66.6	136	0.90
Hornum	0.17	7.2	6.3	13.7	72.8	200	0.88
Travsted	0.35	10.8	6.7	10.8	71.7	194	0.84
Foulum	0.17	13.4	9.6	13.4	63.5	166	0.64
Ødum	0.17	16.5	12.6	16.4	54.4	106	0.60
Årslev	0.17	20.4	12.6	15.9	51.0	78	0.63
Roskilde	0.29	23.8	16.3	11.9	48.0	72	0.49
Askov	0.35	24.5	11.6	14.3	49.6	72	0.55
Rønhave	0.29	19.6	16.5	25.1	38.8	53	0.67
Tystofte	0.29	22.8	15.3	17.9	44.0	58	0.58
Ø. Ulslev	0.23	15.6	13.5	14.1	56.8	120	0.59
Kalø	0.29	26.8	12.4	14.3	46.6	77	0.43
Højer	0.24	7.9	6.4	35.6	50.1	69	3.08

The soils were all long-term arable and derived from the Weichsel glacial stage (glacial deposits: ten soils; glaciofluvial deposits: Jyndevad), the Saale glacial stage (glacial deposits: Borris and Travsted), the raised Holocene sea floor (Tylstrup and Hals), and one soil present-day marine marsh area (Højer).

At each site, topsoil was sampled in six plots of about one m², whereas subsoil was sampled in one of these plots. In the topsoil, three 100 cm³ soil cores (61 mm diameter, 34 mm height) were sampled in each plot providing 18 cores pr. site. In the subsoil, nine cores were sampled at each site.

Rothamsted Highfield ley-arable experiment

Data on soil texture, soil organic carbon (SOC) and pore characteristics for the Highfield long-term ley-arable experiment at Rothamsted Research, UK (51°80'N, 00°36'W) was recently published by Jensen et al. (2018) and Obour et al. (2018). In this study, we use these data for four treatments: Bare fallow maintained free of plants by regular tillage since 1959, Continuous arable rotation with winter cereals since 1948, Ley-arable rotation; a three-year grass-clover ley followed three years arable since 1948, and grass, grassland ploughed and reseeded to grass in 1948. The bare fallow treatment was cultivated three to five times per

year, arable once a year, ley-arable once in two years (in six-year cycle) and grass had not been cultivated since 1947. The arable, ley-arable and grass treatments were embedded in a randomized block design, whereas the bare fallow plots were not part of the original design and located at one end of the experiment. The soil type is silt loam and is classified as Aquic Paleudalf (USDA Soil Taxonomy System). The parent material includes a silty (loess-containing) deposit overlying and mixed with clay-with-flints (Avery and Catt, 1995).

Soil was sampled in spring 2015. Six 100 cm³ soil cores (61 mm diameter, 34 mm height) were extracted from the ~0.10 m soil layer of each of a total of four plots providing 24 cores per treatment. More details are given in Jensen et al. (2018) and Obour et al. (2018).

Flakkebjerg tillage experiment

Previously published data on SOC and pore characteristics for the long-term experiment on conservation tillage at the Flakkebjerg experimental site in Denmark (55°19'N, 11°23'E) was

Previously published data on SOC and pore characteristics for the long-term experiment on conservation tillage at the Flakkebjerg experimental site in Denmark (55°19'N, 11°23'E) was used. Treatments kept under moldboard plowing to 0.20-m depth and direct drilling were compared after eleven years of different tillage practices. The treatments were embedded in a split-plot experiment with four replicates. The soil type is a sandy loam soil with 14.7 % clay (<2 μm), 13.7 % silt (2-20 μm), 42.6 % fine sand (20-200 μm) and 27% coarse sand (200-2000 μm). The soil is classified as Oxyaquic Agriudoll (USDA Soil Taxonomy System). The rotation included winter and spring crops (mainly cereals) with residues removed.

Soil was sampled in autumn 2013. Six 100 cm³ soil cores (61 mm diameter, 34 mm height) were extracted from the 0.12-0.16 m soil layer of each plot providing 24 cores pr. treatment. Further details can be found in Abdollahi and Munkholm (2017).

Laboratory measurements

Soil texture was determined on air dry bulk soil (<2-mm) with a combined hydrometer/sieve method (Gee and Or, 2002). Samples from Highfield were treated with hydrogen peroxide to remove soil organic matter (SOM), while this was not done for Flakkebjerg and the Jakobsen soils. The content of SOC was measured by dry combustion using a Thermo Flash 2000 NC Soil Analyser (Thermo Fisher Scientific, Waltham MA, USA) for Highfield and Flakkebjerg, and a LECO CNS-1000 analyzer (LECO Corporation, St. Joseph, MI) for the Jakobsen soils. Before measuring soil water retention, the soil cores were placed on the top of a tension table to be saturated from beneath. For the Jakobsen data set, soil water retention was measured at -4 (Højer only), -10, -16, -50, -100, -160 and -500 hPa matric potential, and at -10, -30, -100, -300 and -1000 hPa matric potential for Highfield and Flakkebjerg using tension tables and pressure plates (Dane and Hopmans, 2002). The soil cores were oven-dried (105 °C for 24 h), and bulk density calculated. For Highfield, bulk density was corrected for stone weight and volume because the soil contained a significant amount of stones. Soil porosity was estimated from bulk density and particle density. Particle density was measured by the pycnometer method (Flint and Flint, 2002). For Highfield, particle density was measured on one plot from each treatment, and the particle density for the remaining plots were predicted from SOC by a linear regression model. For Flakkebjerg, a particle density of 2.65 g cm⁻³ was used based on previous studies (Abdollahi and Munkholm, 2017). Water retention at -1.5 MPa was determined on <2-mm air-dry soil for each site and depth for the Jakobsen soils and at plot level for Highfield. For the Jakobsen and Highfield soils a pressure plate system and a WP4-T Dewpoint Potentiometer, respectively, was used (Scanlon et al., 2002). For Flakkebjerg, water retention at -1.5 MPa was predicted based on clay and SOC content using Eq. 1 in Hansen (1976).

149

150

151

152

153

154

155

156

157

158

159

160

161

162

163

164

165

166

167

168

169

170

- Pore water suction was assumed to relate to an average pore size by the approximate relation:
- 173 d = -3000/h [1]
- where d is the tube-equivalent pore diameter (μ m) and h is the soil matric potential (hPa).
- 175 Soil water retention models
- 176 The water retention data was fitted to the van Genuchten (1980) model (termed vanG):

177
$$\theta = (\theta_{\text{sat}} - \theta_{\text{res}}) \left[1 + (\alpha h)^n \right]^{-m} + \theta_{\text{res}} [2]$$

- where \square_{sat} and \square_{res} are the water contents at saturation and the residual water content,
- respectively, h is the soil matric potential, α is a scaling factor for h and n and m are
- parameters that control the shape of the curve. The widely used Mualem (1976) restriction (m
- 181 = 1-1/n) was used to prevent over-parametrization (Dexter et al., 2008) and unstable results
- 182 (van Genuchten et al., 1991). The Mualem restriction is also recommended, when only
- measured values in the wet range are used (van Genuchten et al., 1991). The PSD predicted
- by the vanG model was obtained by differentiating Eq. 2 with respect to matric potential:

185
$$\frac{d\theta}{d(\log_{10} h)} = (\theta_{\text{sat}} - \theta_{\text{res}})(\alpha n(\alpha h)^{n-1} (-m)(1 + (\alpha h)^n)^{-m-1})h \ln 10 [3]$$

- The double-exponential model proposed by Dexter et al. (2008) was fitted to water retention
- data (termed Dex):

188
$$\theta = C + A_1 e^{(-h/h_1)} + A_2 e^{(-h/h_2)}$$
 [4]

- where C is the residual water content, A_1 and A_2 are the amount of textural and structural pore
- space, respectively, and h_1 and h_2 are the characteristic pore water suctions at which the

textural and structural pore spaces empty, respectively. The PSD predicted by the Dex model was obtained by differentiating Eq. 4 with respect to matric potential:

193
$$\frac{d\theta}{d(\log_{10}h)} = -\frac{A_1}{h_1}e^{(-h/h_1)}h\ln 10 - \frac{A_2}{h_2}e^{(-h/h_2)}h\ln 10$$
 [5]

- 194 The parameters of the vanG model were obtained using the curve-fitting program RETC (van
- 195 Genuchten et al., 1991), which is based on a nonlinear least-squares optimization approach.
- 196 Similarly, the parameters of the Dex model were obtained by nonlinear regression analysis to
- achieve the smallest residual sum of squares.

Calculations and statistics

- 199 The Rosin-Rammler equation (Eq. 2 in Rosin and Rammler (1933)) was fitted to the seven
- 200 chemically dispersed particle size fraction listed in Jakobsen (1989), i.e. <2, 2-20, 20-63, 63-
- 201 125, 125-200, 200-500 and 500-2000 μm, for each soil. It can be written as:

202
$$P(X < x) = 1 - \exp\left(-\left(\frac{x}{\alpha}\right)^{\beta}\right)$$
 [6]

- where P(X < x) is the fraction by weight of particles less than size x, α indicates the coarseness
- of particles and β indicates the spread of particle sizes. Eq. 6 described the particle size
- distribution of the soils well, with coefficients of determination (R^2) from 0.95 to 1.00.
- For the statistical analysis, the R-project software package Version 3.4.0 (R Foundation for
- 207 Statistical Computing) was used. Treatment effects for Highfield was analyzed as described in
- Jensen et al. (2018). The key indices of goodness of fit were Akaike's information criterion
- 209 (AIC), which was used to compare models with different number of parameters (Akaike,
- 210 1973), and the root mean squared error (RMSE):

211 RMSE = $\sqrt{\frac{1}{N} \sum (\theta_{\text{meas}} - \theta_{\text{fitted}})^2}$ [7]

where N is the number of matric potentials. Multiple linear regression was used to identify how structural void ratio (V_2) related to SOC, soil texture and void ratio. Structural void ratio was calculated as follows: $V_2 = A_2 / (1-P)$, where A_2 is the Dex model estimate of structural pore space, and P is porosity. Likewise, textural void ratio (V_1) was calculated. The variance inflation factor (VIF) was calculated when more than one predictor was used in the regression. The VIF expresses the degree of multicollinearity among the predictors. Upper value limits of VIF for non-erroneous conclusions from multiple regressions has been set to five (Rogerson, 2001) or ten (Kutner et al., 2004).

RESULTS

Jakobsen data set

The soils differed in their textural composition and SOC content (Tables 1 and 2). In the topsoil, clay ranged from 2.6 to 18.6 g 100 g⁻¹ minerals and SOC from 0.82 to 3.38 g 100 g⁻¹ minerals, whereas the range in the subsoil was from 2.0 to 26.8 g clay 100 g⁻¹ minerals and from 0.17 to 0.35 g SOC 100 g⁻¹ minerals. The α -parameter for topsoil ranged from 42 to 367 μ m and for subsoil from 53 to 359 μ m. The Jyndevad soil, however, stand out being very coarse textured ($\alpha_{topsoil}$ =367 μ m, $\alpha_{subsoil}$ =359 μ m), and the range changed to 42 to 200 μ m if omitting Jyndevad. The β -parameter describes the spread of particle sizes, with large values indicating that the soil is well sorted (a narrow range of particle sizes), and small values indicating that the soil is graded with an evenly distributed mass of particles in all size classes. The β -parameter for topsoil ranged from 0.55 to 3.58 and for subsoil from 0.43 to

5.96. The twelve glacial till soils, however, had a narrow range from 0.43 to 0.96, whereas Hals, Tylstrup, Jyndevad and Højer were highly sorted with $\beta>1$ (Tables 1 and 2). Mean AIC and RMSE values, when using the vanG and Dex models were calculated for the top- and subsoil. For topsoil, values of AIC were -58.6 and -70.6 and RMSE were 0.011 and 0.005 m³ m⁻³ using vanG and Dex, respectively. For subsoil, values of AIC were -63.2 and -75.1 and RMSE were 0.008 and 0.005 m³ m⁻³. However, the four highly sorted soils ($\beta>1$) had relatively poor goodness of fit measures both when using the vanG and Dex models (Fig. 1, Tables S1 and S2).

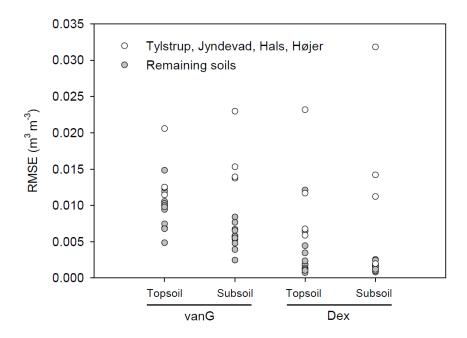


Fig. 1. The root mean squared error (RMSE) value for the Danish top- and subsoil of the Jakobsen data set using the van Genucthen (vanG) or double-exponential (Dex) model. If the highly sorted soils are omitted in the calculation of the mean AIC and RMSE values, the vanG model gives AIC values of -59.6 and -66.8 and RMSE values of 0.009 and 0.006 m³ m⁻³ in top- and subsoil, respectively. The Dex model gives AIC values of -75.1 and -80.9 and RMSE values of 0.003 and 0.002 m³ m⁻³ in top- and subsoil, respectively. The lower AIC and

- 247 RMSE values obtained for the Dex compared to the vanG model indicate a better ability to
- 248 describe data.
- We tested the correlation between the structural void ratio (V_2) and the variables α , β , void
- 250 ratio, SOC and clay content. This was done for both top- and subsoils and with and without
- exclusion of the highly sorted soils (β >1). For topsoil samples V_2 could be well predicted by
- 252 $\log(\beta)$ and clay content:
- 253 $V_2 = 0.558^{***}(\pm 0.118) \times \log(\beta) 0.011^*(\pm 0.005) \times \text{clay} + 0.424^{***}(\pm 0.048),$
- 254 *s*=0.068, *R*²=0.84 [8]
- 255 Excluding the highly sorted samples from topsoil gave:
- 256 $V_2 = 0.878^{***}(\pm 0.143) \times \beta 0.441^{**}(\pm 0.110), s=0.057, R^2=0.79$ [9]
- 257 For subsoil samples V_2 could be well predicted by $\log(\beta)$ and α :
- 258 $V_2 = 0.592^{***}(\pm 0.078) \times \log(\beta) 0.001^{**}(\pm 0.0003) \times \alpha + 0.184^{**}(\pm 0.050),$
- 259 $s=0.100, R^2=0.85$ [10]
- 260 Excluding the highly sorted samples from subsoil gave:
- 261 $V_2 = 0.289^{***}(\pm 0.025) \times \beta$, s=0.057, $R^2=0.55$ [11]
- In Eq. 8-11, the numbers in parentheses are standard errors of estimate, and s is the standard
- deviation of the predicted value. When developing the four models, we tested for
- 264 multicollinearity and interaction among the predictors, but only low VIF values and no
- significant interactions were found.

Rothamsted Highfield ley-arable experiment

The soils at Highfield ranged from 0.84 to 4.04 g SOC 100 g⁻¹ minerals and soil texture was in general not significantly different between treatments (Table 3).

Table 3. Soil textural composition and organic carbon (SOC) content of the four treatments from Highfield. Within rows, letters denote statistical significance at P<0.05 for the comparison of Arable, Ley-arable and Grass. An asterisk (*) indicates if Bare fallow is significantly different from the other treatments based on a pairwise t-test. Data from Jensen et al. (2018).

Treatment	SOC	Clay,	Silt,	Silt,	Sand,
		<2 μm	2-20 μm	20-63 μm	63-2000 μm
			(g 100 g ⁻¹ r	ninerals)	
Bare fallow	0.90	27.0	24.9	33.5	14.6
Arable	1.73a*	26.4	26.3	31.8	15.5
Ley-arable	2.16a*	25.5	26.1	32.4	16.0
Grass	3.29b*	26.1	27.2*	31.9	14.8

Thus, the effect of contrasting long-term management could be investigated without confounding effects related to variations in soil type. The Dex model generally fitted the water retention data for the contrasting treatments well (Fig. 2a).

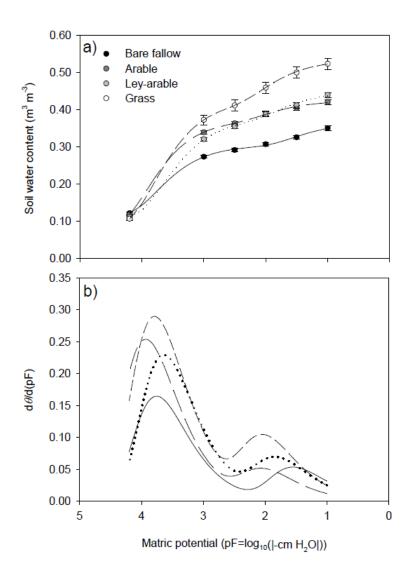


Fig. 2. (a) Measured volumetric water content for the four treatments at Highfield and fits of the double-exponential (Dex) model as a function of matric potential. The standard error of the mean are indicated (n=4). (b) Pore size distribution (d□/d(pF)) as a function of matric potential for the four treatments. Eq. 5 was used to obtain the pore size distributions.

Mean values of AIC, when using the vanG and Dex models were -43.8 and -69.1, respectively. Similarly, mean values of RMSE were larger when using the vanG compared to the Dex model with values of 0.016 and 0.002 m^3 m^{-3} , respectively. The RMSE when using the vanG model increased from 0.010 to 0.028 m^3 m^{-3} with an increase in SOC from 0.84 to 4.04 g 100 g⁻¹ minerals (Fig. 3), whereas no systematic error was observed when using the

Dex model (P=0.532). Parameter estimates and goodness of fit measures for the 16 individual plots can be seen in Table S3.

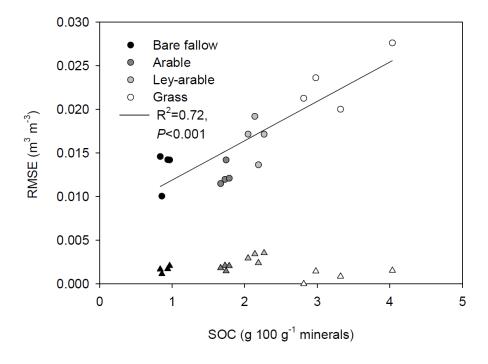


Fig. 3. The root mean squared error (RMSE) value as a function of soil organic carbon (SOC) for the four treatments at Highfield using the van Genucthen (vanG) model (circle symbols) and the double-exponential (Dex) model (triangle symbols).

Textural (V_1) and structural void ratio (V_2) increased with increasing SOC content and decreasing tillage intensity $(V_1: R^2=0.91, P<0.001, V_2: R^2=0.74, P<0.001)$. The dominating pore size of the structural peak (d_2) was estimated to 86 μ m for the bare fallow treatment, whereas it was significantly lower for the arable and grass treatments, and in between for leyarable treatment (Table 4).

Table 4. Estimated parameters of the double-exponential model (Dex) of the four treatments from Highfield. Within rows, letters denote statistical significance at P<0.05 for the comparison of Arable, Ley-arable and Grass. An asterisk (*) indicates if Bare fallow is

significantly different from the other treatments based on a pairwise t-test. d_1 and d_2 indicate the dominating pore size of the textural and structural peak, respectively, and were estimated by Eq. 1.

	Parameters	Parameters of the Dex model					
Treatment	C	A_1	h_1	d_1	A_2	h_2	d_2
	$(m^3 m^{-3})$	$(m^3 m^{-3})$	(hPa)	(µm)	$(m^3 m^{-3})$	(hPa)	(µm)
Bare fallow	0.110	0.195	5729	0.5	0.061	35	86
Arable	0.068a*	0.305ab*	8707b*	0.3	0.051a	97b*	31
Ley-arable	0.104b	0.271a*	4379a	0.7	0.073a	63a	48
Grass	0.080ab*	0.345b*	6216a	0.5	0.110b*	102b*	29

Flakkebjerg tillage experiment

Moldboard plowing to 0.20-m depth and direct drilling had contents of 1.25 and 1.08 g SOC $100~g^{-1}$ minerals, respectively, in the 0.12-0.16 m layer. The Dex model fitted the two treatments well (Fig. 4), and better compared to the vanG model as revealed by lower AIC and RMSE values (Plowing: AIC_{vanG}=-53.8 and AIC_{Dex}=-58.5, RMSE_{vanG}=0.006 m³ m⁻³ and RMSE_{Dex}=0.003 m³ m⁻³; Direct drilling: AIC_{vanG}=-62.5 and AIC_{Dex}=-69.3, RMSE_{vanG}=0.003 m³ m⁻³ and RMSE_{Dex}=0.001 m³ m⁻³).

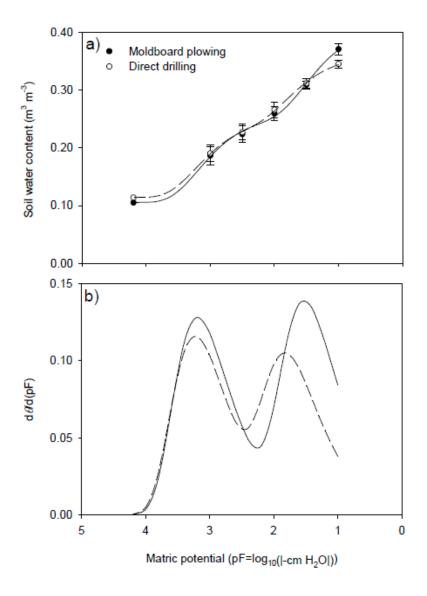


Fig. 4. (a) Measured volumetric water content for moldboard plowing to 0.20-m depth and direct drilling and fits of the double-exponential (Dex) model as a function of matric potential. The standard error of the mean are indicated (n=4), except for pF 4.2 which is predicted based on Eq. 1 in Hansen (1976). (b) Pore size distribution ($d \Box / d(pF)$) as a function of matric potential for the two treatments. Eq. 5 was used to obtain the pore size distributions. Structural void ratio (V_2) for moldboard plowing and direct drilling was 0.30 and 0.19, respectively. The dominating pore size of the structural peak (d_2) was 50 μm for direct drilling and 94 μm for moldboard plowing.

DISCUSSION

Model fit

322

323

324

325

326

327

328

329

330

331

332

333

334

335

336

337

338

339

340

341

342

The Dex model provided a better fit to soil water retention data than the vanG model for the Jakobsen glacial till top- and subsoils. The same was the case for the contrasting treatments from Highfield and Flakkebjerg. Thus, the PSD for these soils was better described with a birather than a uni-modal model. Also, Schjønning (1992) observed that the vanG model was not able to describe a general pattern of a double-peak PSD for glacial till soils. Similarly, Dexter et al. (2008) and Berisso et al. (2012) found that the Dex model fitted their data better than the vanG model. Dexter et al. (2008) based their analysis on 42 Polish soils (26 topsoils, six samples from 0.30-0.35 m depth and ten subsoils) ranging from 2 to 25 g clay 100 g⁻¹, whereas the study by Berisso et al. (2012) focused on a sandy clay loam ranging from 19 to 27 g clay 100 g⁻¹. Our study included soils ranging in clay content from 2.0 to 30.0 g 100 g⁻¹ minerals substantiating that the Dex model is superior for soils <30 g clay 100 g⁻¹ minerals. In summary, uni-modal models seem too simplistic for describing the size distribution of pores in most soils with less than 30 g clay 100 g⁻¹ minerals. Neither of the models worked well for highly sorted soils (β >1). This finding calls for alternative water retention models for soils with a narrow distribution of pore sizes. Dexter et al. (2008) mentioned the problems associated with the use of the Dex model for uniform sands. However, we emphasize that the Dex as well as the vanG model cannot describe highly sorted soils well regardless of the dominating particle size.

Pitfalls using uni-modal models – effects of soil organic carbon and tillage

The topsoil of the Jakobsen data set were less well described with the vanG model than the subsoil (Fig. 1). This could be ascribed to a more distinct bi-modal PSD for topsoil, which can be interpreted as a combination of larger SOC content and the presence of tillage (Fig. 5).

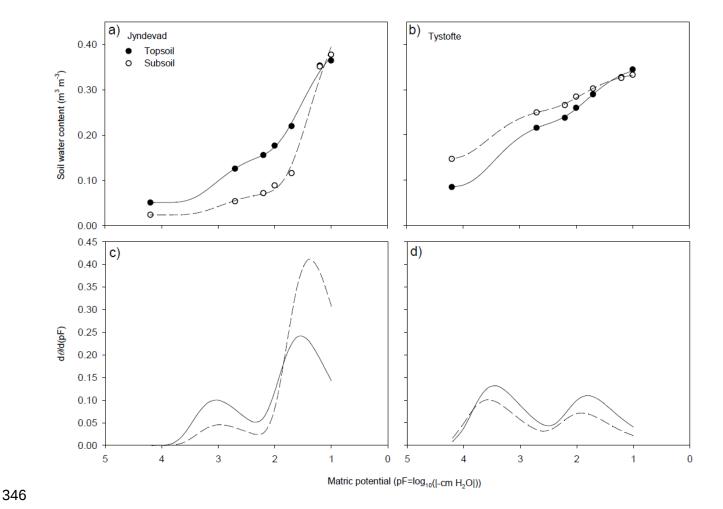


Fig. 5. (**a, b**) Measured volumetric water content for Jyndevad and Tystofte top- and subsoils of the Jakobsen data set and fits of the double-exponential (Dex) model as a function of matric potential. (**c, d**) Pore size distribution (d□/d(pF)) as a function of matric potential for Jyndevad and Tystofte top- and subsoils. Eq. 5 was used to obtain the pore size distributions. Tillage increases the amount of large structural pores, and the effect of structure forming agents in subsoil are much reduced, which limits structural pore space at depth. Similarly, the systematic increase in RMSE with increasing SOC content for Highfield (Fig. 3) could be

ascribed to a more pronounced bi-modal behaviour (Fig. 2b), most clearly seen for the grass treatment (Fig. 6).

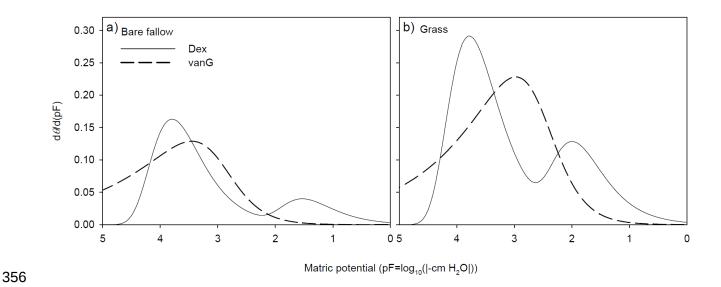


Fig. 6. Pore size distribution $(d\Box/d(pF))$ as a function of matric potential for **(a)** the bare fallow and **(b)** the grass treatment at Highfield either obtained by Eq. 5 for the double-exponential (Dex) model (solid line) or Eq. 3 for the van Genuchten (vanG) model (dashed line).

Soil organic carbon content may increase the textural pore space especially in soils with less than 19 g clay 100 g⁻¹ (Rawls et al., 2003) due to its absorptive capacity for water. The structural pore space is mainly affected by SOC through improved aggregation (Bronick and Lal, 2005). Both V_1 and V_2 were positively affected by SOC at Highfield. However, the estimate of the mean size of structural voids (d_2) decreased with SOC. For Flakkebjerg, where plowing was compared to direct drilling, both V_2 and d_2 increased with tillage intensity. The limited effect of tillage on V_2 when going from the grass to the bare fallow treatment at Highfield suggests that the improving effect of SOC on soil structure outweighed any effect of tillage for these long-term treatments. Interestingly, d_2 was larger for the bare fallow than

the grass treatment indicating that large pores were introduced by tillage as seen in Flakkebjerg.

Structural void ratio

The structural void ratio (V_2) is an important parameter for soil functioning such as air exchange and water uptake by plants. We used V_2 rather than volumetric water content in order to allow a comparison across soils with varying bulk density. From the previous section, we found that SOC content and tillage intensity were important drivers for V_2 . In addition, soil texture affects V_2 through a positive relation to β (Eq. 8 to 11) indicating that the more sorted soils have larger V_2 than graded soils. This is in agreement with Ehlers and Claupein (1994), who reported that graded coarse textured soils readily compact to high densities. Similarly, Schjønning and Thomsen (2013) found that graded soils low in SOC showed a hard-setting behavior. A low V_2 may reduce soil aeration and potentially affect root growth and air exchange processes in a negative direction. Therefore, specific management strategies should be targeted on graded soil low in SOC, e.g. SOM promoting management.

Implications

Predicting water retention using the vanG model induced a larger error in top- than subsoil. Consequently, modelling whole profile water flow may be systematically biased down through the soil profile. For Highfield, the vanG model overestimated the pore volume in the size range $10\text{--}30~\mu\text{m}$ (pF 2.5--2) and underestimated the pore volume at pF 3 and 1. The error was more pronounced for the more structured grass than bare fallow soil (Fig. 7).

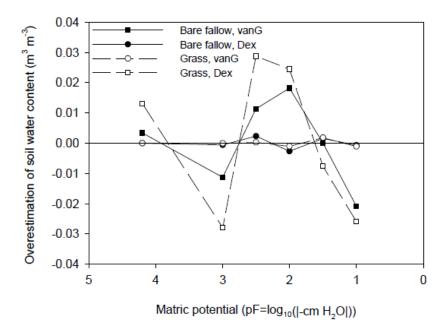


Fig. 7. Overestimation of soil water content (fitted-measured values) for the bare fallow (black symbols) and grass (white symbols) treatment at Highfield when fitted to the van Genuchten (vanG) model (square symbols) and the double-exponential (Dex) model (circle symbols) as a function of matric potential.

Introducing systematic errors depending on management (i.e. SOC and tillage) may have severe impacts when modelling key soil processes. We recommend using more flexible models such as the Dex model to describe the Q/I relation since it was better able to take into account the real variation in the distribution of pore sizes across the entire range of I. At the same time, we discourage uncritical use of uni-modal models such as the vanG model.

CONCLUSIONS

The bi-modal double-exponential (Dex) model provided a better fit to soil water retention data for relatively sandy Danish glacial till top- and subsoils, a silt loam and a sandy loam soil than the uni-modal van Genuchten (vanG) model. However, neither of the models worked well for highly sorted soils. Topsoil was less well described than subsoil when using the vanG

model due to a more pronounced bi-modality of the size distribution of pores caused by increased SOC content and tillage. Similarly, RMSE of the vanG fit increased with an increase in SOC when going from the bare fallow to the grass treatment at Highfield. At the same time, the tillage intensity decreased, but for these long-term treatments, the effect of SOC seemed to outweigh the lack of tillage. These observations were reflected in a more pronounced bi-modality of the size distribution of pores for better structured soils.

Consequently, we suggest that uni-modal models are too simplistic for describing management effects on PSD. Structural void ratio (V_2) estimated by the Dex model increased with SOC content for Highfield and with tillage intensity for Flakkebjerg, whereas the degree at which the soil is sorted (spread of particle sizes) was the primary driver affecting V_2 for Danish top- and subsoil samples.

REFERENCES

417	Abdollahi L., Munkholm L.J. (2017) Eleven Years' Effect of Conservation Practices for
418	Temperate Sandy Loams: II. Soil Pore Characteristics. Soil Science Society of
419	America Journal 81:392-403. DOI: 10.2136/sssaj2016.07.0221.
420	Akaike H. (1973) Information theory and an extension of the maximum likelihood principle,
421	in: B. N. Petrov and F. Cáski (Eds.), Second International Symposium in Information
422	Theory, Akadémiai Kiadó, Budapest. pp. 267-281.
423	Avery B.W., Catt J.A. (1995) The soils at Rothamsted. Lawes Agricultural Trust:1-44.
424	Berisso F.E., Schjønning P., Keller T., Lamandé M., Etana A., de Jonge L.W., Iversen B.V.,
425	Arvidsson J., Forkman J. (2012) Persistent effects of subsoil compaction on pore size
426	distribution and gas transport in a loamy soil. Soil and Tillage Research 122:42-51.
427	DOI: https://doi.org/10.1016/j.still.2012.02.005 .
428	Bronick C.J., Lal R. (2005) Soil structure and management: a review. Geoderma 124:3-22.
429	DOI: http://dx.doi.org/10.1016/j.geoderma.2004.03.005 .
430	Brooks R.H., Corey A.T. (1964) Hydraulic properties of porous media. Hydrological paper 3
431	Civil Enigineering Department, Colorado State University, Fort Collins.
432	Cornelis W.M., Khlosi M., Hartmann R., Van Meirvenne M., De Vos B. (2005) Comparison
433	of Unimodal Analytical Expressions for the Soil-Water Retention Curve. Soil Science
434	Society of America Journal 69:1902-1911. DOI: 10.2136/sssaj2004.0238.
435	Cornelis W.M., Ronsyn J., Van Meirvenne M., Hartmann R. (2001) Evaluation of
436	Pedotransfer Functions for Predicting the Soil Moisture Retention Curve. Soil Science
437	Society of America Journal 65:638-648. DOI: 10.2136/sssaj2001.653638x.

438	Dane J.H., Hopmans J.W. (2002) Water Retention and Storage, in: J. H. Dane and G. C. Topp
439	(Eds.), Methods of Soil Analysis. Part 4 - Physical methods, Soil Science Society of
440	America, Inc. Madison, Wisconsin, USA. pp. 671-720.
441	Dexter A.R. (1988) Advances in characterization of soil structure. Soil and Tillage Research
442	11:199-238. DOI: http://dx.doi.org/10.1016/0167-1987(88)90002-5.
443	Dexter A.R., Czyż E.A., Richard G., Reszkowska A. (2008) A user-friendly water retention
444	function that takes account of the textural and structural pore spaces in soil. Geoderma
445	143:243-253. DOI: http://dx.doi.org/10.1016/j.geoderma.2007.11.010 .
446	Eden M., Schjønning P., Moldrup P., De Jonge L.W. (2011) Compaction and rotovation
447	effects on soil pore characteristics of a loamy sand soil with contrasting organic matter
448	content. Soil Use and Management 27:340-349. DOI: 10.1111/j.1475-
449	2743.2011.00344.x.
450	Ehlers W., Claupein W. (1994) Approaches toward conservation tillage in Germany, in: M. R
451	Carter (Ed.), Conservation Tillage in Temperate Agroecosystems, Lewis Publishers,
452	Boca Raton, Ann Arbor, London, Tokyo. pp. 141-155.
453	Flint A.L., Flint L.E. (2002) Particle density, in: J. H. Dane and G. C. Topp (Eds.), Methods
454	of Soil Analysis. Part 4 - Physical methods, Soil Science Society of America, Inc.
455	Madison, Wisconsin, USA. pp. 229-240.
456	Gee G.W., Or D. (2002) Particle-size analysis, in: J. H. Dane and G. C. Topp (Eds.), Methods
457	of Soil Analysis. Part 4 - Physical methods, Soil Science Society of America, Inc.
458	Madison, Wisconsin, USA. pp. 255-294.
459	Hansen L. (1976) Soil types at the Danish State Experimental Stations (in Danish with
460	English summary). Tidsskrift for Planteavl 80:742-758.

461	Hansen S., Abrahamsen P., T. Petersen C., Styczen M. (2012) Daisy: Model Use, Calibration,
462	and Validation. Transactions of the ASABE 55:1317. DOI:
463	https://doi.org/10.13031/2013.42244.
464	Jakobsen O.H. (1989) Unsaturated Hydraulic Conductivity for Some Danish soils (in Danish
465	with English summary). Report No. S2030 From the Danish Institute of Plant and Soil
466	Science, Copenhagen. http://web.agrsci.dk/pub/S_beretning_2030_1989.pdf .
467	Tidsskrift for Planteavls Specialserie:1-60.
468	Jensen J.L., Schjønning P., Watts C.W., Christensen B.T., Peltre C., Munkholm L. (2018)
469	Relating soil C and organic matter fractions to soil structural stability. Geoderma
470	(revised version submitted).
471	Kutner M.H., Nachtsheim C., Neter J. (2004) Applied Linear Regression Models McGraw-
472	Hill, New York.
473	Minasny B., McBratney A.B., Bristow K.L. (1999) Comparison of different approaches to the
474	development of pedotransfer functions for water-retention curves. Geoderma 93:225-
475	253. DOI: https://doi.org/10.1016/S0016-7061(99)00061-0.
476	Mualem Y. (1976) A new model for predicting the hydraulic conductivity of unsaturated
477	porous media. Water Resources Research 12:513-522. DOI:
478	10.1029/WR012i003p00513.
479	Mualem Y. (1986) Hydraulic Conductivity of Unsaturated Soils: Prediction and Formulas, in:
480	A. Klute (Ed.), Methods of Soil Analysis: Part 1—Physical and Mineralogical
481	Methods, Soil Science Society of America, American Society of Agronomy, Madison,
482	WI. pp. 799-823.
483	Obour P.B., Jensen J.L., Lamandé M., Watts C.W., Munkholm L. (2018) Soil organic matter
484	widens the range of water contents for tillage. Soil and Tillage Research 182:57-65.

485	Patil N.G., Singh S.K. (2016) Pedotransfer Functions for Estimating Soil Hydraulic
486	Properties: A Review. Pedosphere 26:417-430. DOI: https://doi.org/10.1016/S1002-
487	<u>0160(15)60054-6</u> .
488	Poulsen T.G., Moldrup P., Yoshikawa S., Komatsu T. (2006) Bimodal Probability Law Mode
489	for Unified Description of Water Retention, Air and Water Permeability, and Gas
490	Diffusivity in Variably Saturated Soil. Vadose Zone Journal 5:1119-1128. DOI:
491	10.2136/vzj2005.0146.
492	Pulido-Moncada M., Munkholm L.J., Schjønning P. (2018) Wheel load, repeated wheeling,
493	and traction effects on subsoil compaction. Soil and Tillage Research (submitted).
494	Rabot E., Wiesmeier M., Schlüter S., Vogel H.J. (2018) Soil structure as an indicator of soil
495	functions: A review. Geoderma 314:122-137. DOI:
496	https://doi.org/10.1016/j.geoderma.2017.11.009.
497	Rawls W.J., Pachepsky Y.A., Ritchie J.C., Sobecki T.M., Bloodworth H. (2003) Effect of soil
498	organic carbon on soil water retention. Geoderma 116:61-76. DOI:
499	https://doi.org/10.1016/S0016-7061(03)00094-6.
500	Rogerson P.A. (2001) Statistical Methods for Geography SAGE Publications.
501	Rosin P., Rammler E. (1933) Laws governing the fineness of powdered coal. Journal of the
502	Institute of Fuel 7:29-36.
503	Ross P.J., Smettem K.R.J. (1993) Describing Soil Hydraulic Properties with Sums of Simple
504	Functions. Soil Science Society of America Journal 57:26-29. DOI:
505	10.2136/sssaj1993.03615995005700010006x.
506	Scanlon B.R., Andraski B.J., Bilskie J. (2002) Miscellaneous Methods for Measuring Matric
507	or Water Potential, in: J. H. Dane and G. C. Topp (Eds.), Methods of Soil Analysis.

508	Part 4 - Physical methods, Soil Science Society of America, Inc. Madison, Wisconsin
509	USA. pp. 643-670.
510	Schjønning P. (1992) Size Distribution of Dispersed and Aggregated Particles and of Soil
511	Pores in 12 Danish Soils. Acta Agriculturae Scandinavica, Section B — Soil & Plant
512	Science 42:26-33. DOI: 10.1080/09064719209410196.
513	Schjønning P., Thomsen I.K. (2013) Shallow tillage effects on soil properties for temperate-
514	region hard-setting soils. Soil and Tillage Research 132:12-20. DOI:
515	http://dx.doi.org/10.1016/j.still.2013.04.006.
516	Šimunek J., Th. van Genuchten M., Šejna M. (2012) HYDRUS: Model Use, Calibration, and
517	Validation. Transactions of the ASABE 55:1263. DOI:
518	https://doi.org/10.13031/2013.42239.
519	van Genuchten M.T. (1980) A Closed-form Equation for Predicting the Hydraulic
520	Conductivity of Unsaturated Soils. Soil Science Society of America Journal 44:892-
521	898. DOI: 10.2136/sssaj1980.03615995004400050002x.
522	van Genuchten M.T., Leij F.J., Yates S.R. (1991) The RETC code for quantifying the
523	hydraulic functions of unsaturated soils.
524	

Figure captions

526

527 Fig. 1. The root mean squared error (RMSE) value for the Danish top- and subsoil of the Jakobsen data set using the van Genucthen (vanG) or double-exponential (Dex) model. 528 Fig. 2. (a) Measured volumetric water content for the four treatments at Highfield and fits of 529 530 the double-exponential (Dex) model as a function of matric potential. The standard error of the mean are indicated (n=4). (b) Pore size distribution ($d\square/d(pF)$) as a function of matric 531 532 potential for the four treatments. Eq. 5 was used to obtain the pore size distributions. 533 **Fig. 3.** The root mean squared error (RMSE) value as a function of soil organic carbon (SOC) for the four treatments at Highfield using the van Genucthen (vanG) model (circle symbols) 534 535 and the double-exponential (Dex) model (triangle symbols). 536 Fig. 4. (a) Measured volumetric water content for moldboard plowing to 0.20-m depth and 537 direct drilling and fits of the double-exponential (Dex) model as a function of matric 538 potential. The standard error of the mean are indicated (n=4), except for pF 4.2 which is 539 predicted based on Eq. 1 in Hansen (1976). (b) Pore size distribution ($d\Box/d(pF)$) as a function 540 of matric potential for the two treatments. Eq. 5 was used to obtain the pore size distributions. Fig. 5. (a, b) Measured volumetric water content for Jyndevad and Tystofte top- and subsoils 541 542 of the Jakobsen data set and fits of the double-exponential (Dex) model as a function of 543 matric potential. (c, d) Pore size distribution ($d\square/d(pF)$) as a function of matric potential for 544 Jyndevad and Tystofte top- and subsoils. Eq. 5 was used to obtain the pore size distributions. **Fig. 6.** Pore size distribution $(d\Box/d(pF))$ as a function of matric potential for (a) the bare 545 546 fallow and (b) the grass treatment at Highfield either obtained by Eq. 5 for the double-547 exponential (Dex) model (solid line) or Eq. 3 for the van Genuchten (vanG) model (dashed 548 line).

Fig. 7. Overestimation of soil water content (fitted-measured values) for the bare fallow (black symbols) and grass (white symbols) treatment at Highfield when fitted to the van Genuchten (vanG) model (square symbols) and the double-exponential (Dex) model (circle symbols) as a function of matric potential.