1	Vestibular Assessment in the Pediatric Population
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3	Running title
4	Pediatric vestibular examination
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12	Funding and conflicts of interest
13	None of the authors have conflicts of interest or financial relationships relevant to this article
14	to disclose. No funding was secured for this study.
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20	Acknowledgments
21	The authors thank all the parents and children who participated in this study, as well as Lotte

22 Rombaut for the practical insights during the development of the pediatric test protocol and

Heleen Goeminne, Amber Vanhoutte, Heleen Van Der Biest and Laura Leyssens for their
assistance in collecting the data.

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## 26 INTRODUCTION

27 Vestibular disorders in children are not as uncommon as generally assumed. Prevalence rates vary from 0.7 to 15%<sup>1</sup>, although certain groups (e.g. with congenital TORCH-infections, 28 prematurity and/or hearing loss) are known to be at higher risk for vestibular dysfunctions<sup>2-6</sup>. 29 Moreover, recent studies suggest that the impact of vestibular dysfunctions may be greater than 30 previously thought and may not be limited to delayed (gross) motor development<sup>7</sup>, but also be 31 accompanied with learning difficulties<sup>8</sup> (e.g. reading, writing) or cognitive deficits<sup>9</sup> (e.g. 32 visuospatial orientation, attention). In young children (<6yr), both history taking and vestibular 33 34 assessment are challenging: children report vague symptoms lacking the appropriate vocabulary to describe their complaints<sup>10</sup>, vestibular tests are not very child-friendly and the 35 available equipment is not adapted to the pediatric population<sup>11</sup>. The aim of this paper is to 36 propose simple adjustments to create a child-friendly version of the standard vestibular 37 38 assessment which provides objective information on the function of the different parts of the 39 vestibular system.

40

## 41 METHODS

## 42 Subjects

Fifty-eight healthy subjects (35 girls, 23 boys) between 5 months and 6 years of age were divided into six age categories, each containing eight children. As greater variation was expected amongst the youngest subjects (5mo-1yr), 18 subjects were recruited for this group.

46 The study was approved by the Ghent University Hospital's Ethics Committee. Informed47 consents were obtained from the children's parents.

### 48 Test protocol

49 In our hospital, patients at risk for vestibular dysfunctions (with hearing loss, congenital cytomegalovirus infection, cochlear implant and/or vestibular symptoms) are subjected to an 50 51 extensive vestibular test protocol as summarized in Table 1. This examination is preceded by 52 thorough history taking guided by questionnaires and accompanied with ocular motor testing to identify central vestibular disorders, and motor assessment to determine the impact on the 53 54 motor development<sup>7</sup>. This extensive assessment should provide good insight in the vestibular function and allow appropriate referral to other specialists (e.g. neurologist, physiotherapist), if 55 needed. 56

57 Children between 5 months and 3 years of age are examined with the video Head Impulse Test 58 (vHIT), rotatory test and cervical Vestibular Evoked Myogenic Potential (cVEMP) test. These 59 three tests allow a quick and child-friendly evaluation of both the canal and otolith system. 60 Moreover, the results are not affected by possible middle ear pathologies, which are frequently 61 present in young children. From the age of three, the test battery is extended with four caloric 62 irrigations and the ocular Vestibular Evoked Myogenic Potential (oVEMP) test, since 63 prolonged alertness and cooperation are more feasible in this older age category.

The sequence of examinations (Table 1) in younger children (<3yr) is mainly tied to the required level of alertness and cooperation, as these are particularly limited in this group and have a substantial effect on test-reliability. In older children (>3yr), tests are ranked by increasing invasiveness.

### 68 Adjustments for children

#### 69 *vHIT (semicircular canal)*

The Synapsys (Marseilles, France) vHIT Ulmer device is ideal for application in children as no 70 71 calibration is needed prior to registration and it does not require wearing goggles. One 72 examiner, placed behind the registering stand-alone camera, attracts the child's attention to an appealing visual stimulus (toy). The other examiner, placed behind the child, performs the head 73 74 maneuvers (Fig. 1a). Consequently, one can always rely on the subjective evaluation by the examiner behind the camera in case objective measurement fails. In our clinic, vHIT standardly 75 76 entails lateral canal testing. Adding vertical canal testing is dependent on clinical indications 77 (e.g. history taking, imaging results) and the child's cooperation as it is more challenging and time-consuming than lateral canal testing. Normative data for children have recently been 78 published by Wiener-Vacher and Wiener<sup>12</sup>. 79

#### 80 Rotatory test (lateral semicircular canal)

The child is seated in a car seat on the rotatory chair, the head fixed by a neck pillow and 81 82 headband (Fig. 1b). An examiner walks along with the chair, keeping the child comforted but aroused and aware of the presence of an adult (especially important with hearing-impaired 83 children). Alertness is stimulated by music playing through a speaker attached to the rotatory 84 chair. Electronystagmography (ENG) is preferred over videonystagmography to register eye 85 86 movements, since ENG-measurements are not interrupted by closing the eyes and it does not 87 require wearing goggles, which are generally not well-tolerated and not well-fitted for children. 88 The latter would result in incomplete darkening and the possibility of fixation during testing.

89 *cVEMP* (*saccule*)

To bypass possible middle ear disorders, the cVEMP-test is performed with bone conduction
(59 dB nHL/129 dB SPL). Subjects are tested in supine position, the upper body placed upon a

sloping pillow and the head turned and supported by only the examiner's hand (Fig. 1c). The
child is stimulated to turn the head by placing the parent at the side of the non-test ear, provided
with toys.

## 95 Caloric test (lateral semicircular canal)

In order to increase the feasibility of the caloric test, we reduced the deviation from body 96 temperature from 7°C to 5°C. In our experience, these temperatures (32°C and 42°C) are better 97 98 tolerated than the standard temperatures (30°C and 44°C), increasing the chances of tolerating 99 four irrigations and obtaining the complete caloric response diagram. Cold irrigations are 100 performed first, so that at least one irrigation in each ear can be completed in case the child shows increasing resistance during warm irrigations. Water is preferred as stimulus because it 101 102 induces better responses, although air insufflation can be a valuable alternative in very young 103 children (<3yr) as tympanostomy tubes are common in this group and air insufflation may be 104 perceived as less invasive than water.

#### 105 *oVEMP* (utricle)

An air conduction stimulus (95 dB nHL/119 dB SPL) is used since the maximal intensity of a standard bone conductor is insufficient and a mini-shaker is not well-tolerated by young children. A bone conductor combined with a special amplifier reaching higher intensity levels could be a valuable alternative. An upward gaze of 30° is elicited using a smartphone attached to the wall, playing a video clip.

111

#### 112 **Results**

Table 2 gives an overview of the success rates of the vestibular tests across the different age categories. Causes of failure or unreliability of the test results are summarized in Table 3. The duration of the vestibular test protocol was approximately 1 hour for the abridged protocol in younger (<3yr) children and 2 hours for the extensive protocol (>3yr).

117

## 118 **DISCUSSION**

In literature, as well as in clinical practice, insufficient attention has been given to vestibular assessment in the pediatric population. Centers that do perform vestibular examinations in young children often confine themselves to a limited test protocol (e.g. only cVEMP) or less accurate evaluation techniques (e.g. subjective measurements instead of quantitative interpretation of the response parameters).

124 The results of this paper show that vestibular assessment with an extensive test protocol using 125 objective measures is feasible in young children when some adjustments are made. As summarized in Table 2, the assessment of children between the ages of 2 and 3 years seems to 126 be the most challenging, as their cooperation can be limited and they may be alarmed by the 127 128 unfamiliarity of the test situation. It should be noted that the subjects in this study were healthy 129 voluntarily-participating children. In patients with vestibular complaints, parents could show 130 more dedication to persevere with the examinations, as they hope to find some answers in the test results. Concerning the test protocol in children younger than three, the rotatory test appears 131 to be the most difficult to conduct reliably. When the extensive protocol for older children is 132 133 considered, the caloric test remains the most challenging, reaching higher success rates as the 134 child grows older. Consistent with the consensus in literature, the highest success rates for the VEMPs demonstrate that these are the most feasible vestibular tests in the pediatric 135 population<sup>13</sup>. The relatively new vHIT is also promising as it is fast, child-friendly, easy to 136

137 conduct, and it provides ear-specific information about the semicircular canal system. Despite 138 its non-invasive character, success rates of vHIT are still lower compared to VEMPs. This is 139 because the vHIT requires more cooperation (fixating the target, enduring holding the head) 140 and registration is impossible in case of crying or persistent eye blinking. The latter is the main 141 cause of failure in older subjects (>3yr) as was the case in our study in one 4-year-old child. 142 Note that vHIT-testing is applicable for typically developing infants from the age of 5 to 6 143 months, as active head control is required to obtain a safe and reliable measurement.

144 Objective and extensive vestibular examination is indispensable to enable detailed and accurate 145 evaluation of vestibular function. Comparison of the patient's results with normative data of a healthy control group makes more clear-cut conclusions and early identification of (even 146 147 partial) vestibular dysfunctions possible. Apart from these inter-subject comparisons, objective 148 measurements also allow more meaningful interpretations of intra-subject comparisons (i.e. follow-up assessments). Therefore, vestibular examination should be more established in the 149 pediatric population for patients with an increased risk for vestibular deficits<sup>2-6</sup> (e.g. with 150 congenital TORCH-infections, prematurity and/or hearing loss) and/or vestibular complaints<sup>14</sup>. 151 This should ensure early identification and referral for vestibular rehabilitation in order to 152 facilitate the child's early development<sup>15,16</sup>. 153

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#### 155 ACKNOWLEDGMENTS

The authors thank all the parents and children who participated in this study, as well as Lotte Rombaut for the practical insights during the development of the pediatric test protocol and Heleen Goeminne, Amber Vanhoutte, Heleen Van Der Biest and Laura Leyssens for their assistance in collecting the data.

- 160 None of the authors have conflicts of interest or financial relationships relevant to this article
- 161 to disclose. No funding was secured for this study.

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#### 205 **FIGURES**

Fig. 1. Test setup of the minimal pediatric test protocol for children younger than three. a) 206 Video Head Impulse Test in a seven-month-old child. The examiner behind the registering 207 stand-alone camera is attracting the child's attention to an appealing visual stimulus. The 208 209 examiner placed behind the child is performing the head maneuvers. b) Rotatory test in a fivemonth-old child. The child is seated in a car seat, with the head fixated by a neck pillow, a 210 211 headband and additional manual fixation by the examiner walking along, if necessary. c) 212 Cervical Vestibular Evoked Myogenic Potential test in a one-year-old child, placed upon a sloping pillow and the head turned and supported by only the examiner's hand. 213



214

## **TABLES**

# **Table 1.** Pediatric vestibular test protocol

	5 months – 3 year		3 year – 6 year
1.	vHIT	1.	vHIT
2.	Rotatory Test	2.	cVEMP
3.	cVEMP	3.	oVEMP
		4.	Rotatory Test
		5.	Caloric Test

vHIT = video Head Impulse Test; cVEMP = cervical Vestibular Evoked Myogenic Potentials; oVEMP = ocular

Vestibular Evoked Myogenic Potentials

220 Table 2. Representation of the proportion of children across the different age categories in

221 which each test could be successfully and reliably conducted	
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<b>A 4</b>	Subjects	Median	ate (%)				
Age category		age (mo)	vHIT†	cVEMP	oVEMP	Rotatory test	Caloric test
5mo-1yr	n=18	7,0	72,2	100,0		88,9	
1yr-2yr	n=8	17,0	100,0‡	100,0		62,5	
2yr-3yr	n=8	29,0	85,7‡	75,0		50,0	
Total group <3yr	n=34	10,5	81,3	94,1		73,5	
3yr-4yr	n=8	44,0	100,0	100,0	100,0	100,0	62,5
4yr-5yr	n=8	54,5	87,5	100,0	100,0	100,0	85,7§
5yr-6yr	n=8	67,5	100,0	100,0	100,0	100,0	100,0
Total group >3yr	n=24	54,5	95,8	100,0	100,0	100,0	82,6

<sup>†</sup>In this table, only success rates of the lateral vHIT are shown. <sup>‡</sup> Data of 1 vHIT registration in 2 age categories are missing due to a technical issue. § In 1 patient, the data of the caloric test is missing as the test was not performed due to the presence of tympanostomy tubes.

vHIT = video Head Impulse Test; cVEMP = cervical Vestibular Evoked Myogenic Potentials; oVEMP =

ocular Vestibular Evoked Myogenic Potentials

223	Table 3.	Causes	of	unreliability	of	the	obtained	test	results	or	failure	of	conducting	the
224	vestibular	r tests												

	vHIT	cVEMP	oVEMP	Rotatory test	Caloric test
Impossible	- Crying	- Severe protest	Not	- Severe protest	- Fear
	- Constant blinking	(pulling of	applicable	(pulling of	
	- Not tolerating	electrodes, not		electrodes,	
	holding the head	staying in		excessive head	
		position)		movement,	
				failure of	
				calibration)	
Unreliable	- Insufficient number	- Impossibility	Not	- Head movement	- Tolerating
	of accepted vHIT-	of repro-	applicable	- Falling asleep	only 2 (cold)
	sequences	duction of the		- Insufficient	irrigations
	- Large variation in	cVEMP-		reaction due to	
	gain-values	response		severe protest	
	- Unacceptable	(severe		(squeezing the	
	vHIT-traces	protest)		eyes)	

vHIT = video Head Impulse Test; cVEMP = cervical Vestibular Evoked Myogenic Potentials; oVEMP =

ocular Vestibular Evoked Myogenic Potentials

#### 229 SUPPORTING INFORMATION LEGEND

- 230 Video 1. Video Head Impulse test in a subject younger than three
- 231 Filename: Video\_1\_SuppInfo.mp4
- 232 Video 2. Video Head Impulse test in a subject older than three
- 233 Filename: Video\_2\_SuppInfo.mp4
- Video 3. Rotatory test in a subject younger than three. Note that this video was recorded in an
- 235 illuminated room for demonstration purposes. Evidently, the actual examination is performed
- in complete darkness.
- 237 Filename: Video\_3\_SuppInfo.mp4
- 238 Video 4. Rotatory test in a subject older than three. Note that this video was recorded in an
- 239 illuminated room for demonstration purposes. Evidently, the actual examination is performed
- in complete darkness.
- 241 Filename: Video\_4\_SuppInfo.mp4
- 242 Video 5. Cervical Vestibular Evoked Myogenic Potential test in a subject younger than three
- 243 Filename: Video\_5\_SuppInfo.mp4
- 244 Video 6. Cervical Vestibular Evoked Myogenic Potential test in a subject older than three
- 245 Filename: Video\_6\_SuppInfo.mp4
- 246 Video 7. Ocular Vestibular Evoked Myogenic Potential test in a subject older than three
- 247 Filename: Video\_7\_SuppInfo.mp4
- 248 Video 8. Caloric test in a subject younger than three. Note that this video was recorded in an
- 249 illuminated room for demonstration purposes. Evidently, the actual examination is performed
- in the dark.
- 251 Filename: Video\_8\_SuppInfo.mp4

- 252 Video 9. Caloric test in a subject older than three. Note that this video was recorded in an
- 253 illuminated room for demonstration purposes. Evidently, the actual examination is performed
- in the dark.
- 255 Filename: Video\_9\_SuppInfo.mp4