



## 15 Abstract

16 A large fraction of the organic substrate in municipal wastewater is particulate. Prior to  
17 uptake, particles have to be degraded through potentially a range of intermediates.  
18 However, research on intermediate dynamics during particle hydrolysis is limited. In  
19 this paper batch experiments on flocculated and dispersed biomass microcosms using  
20 starch as particulate substrate are reported. Overall hydrolysis rate was not significantly  
21 different between the two systems. Particle colonization, increased particle porosity in  
22 combination with particle breakup led to increased substrate availability over time.  
23 Particle breakup was more important for flocculated biomass, while increased particle  
24 porosity and particle colonization played a larger role for dispersed biomass. During  
25 particle degradation intermediates were formed, however, all intermediate polymer sizes  
26 were not formed to the same extent. This can be explained by non-random enzymatic  
27 degradation, where some products are preferred over others. Intermediates dynamics  
28 also depend on the biomass structure, and in a floc based system, diffusion limitations  
29 allow glucose to accumulate in the system.

30 [Keywords](#)

31 Particle colonization

32 Particle breakup

33 Intermediate dynamics

34 Hydrolysis

35 Starch

36 [Abbreviations](#)

37 DRI: differential refractive index detector

38 F/M-ratio: Food to mass ratio

39 HMW: High molecular weight

40 LMW: Low molecular weight

41 MALS: Multi angle light scattering detector

42 MMW: Medium molecular weight

43 OUR: Oxygen utilization rate

44 PBM: Particle break-up model

45 PSD: Particle size distribution

46 SEC: Size exclusion chromatography

47 SPM: shrinking particle model

48 ThOD: Theoretical oxygen demand

## 49 Introduction

50 Municipal wastewater consist of a large fraction of particulate organic matter (41-73 %;  
51 Levine et al. 1991), hence, particle degradation dynamics is important for process  
52 analysis in wastewater treatment. In general, particles cannot be directly taken up by  
53 bacterial cells, but has to undergo extracellular depolymerisation until molecules small  
54 enough for transport across the bacterial cell membrane are available. Size limit for  
55 cellular uptake is generally assumed to be 0.6-1 kDa (White et al. 2012). Hydrolytic and  
56 lytic depolymerisation are the dominant mechanisms of depolymerisation, and  
57 theoretically allow for any sub-polymeric intermediate to be formed. Most work on  
58 depolymerisation dynamics focus on easily biodegradable substrate formation kinetics,  
59 while research on intermediate dynamics during particle hydrolysis is limited.

60 Starch is a common model substrate for slowly biodegradable substrate in wastewater  
61 (Karahan et al. 2006). Being a natural constituent in municipal wastewater, starch-  
62 hydrolysing organisms are abundant in activated sludge (Xia et al. 2008). Starch is also  
63 a common biodegradable particle in industrial wastewater from the textile industry  
64 (Feitkenhauer & Meyer 2002), in addition to food processing industrial wastewaters.  
65 Native starch from various plant sources are composed of the  $\alpha$ 1,4-linked glucosidic  
66 polymers amylose and amylopectin (Ball et al. 1996; Dona et al. 2010; Oates 1997).  
67 Amylopectin is the major component of starch, a highly  $\alpha$ 1,6-branched water-soluble  
68 polymer with a molecular weight of  $10^4$ - $10^6$  kDa (Ball et al. 1996; Dona et al. 2010).  
69 Amylose is a smaller linear insoluble polymer of molecular weight range 100-1000 kDa  
70 (Ball et al. 1996).

71 Different mechanisms and modelling approaches have been proposed for particle  
72 hydrolysis (Morgenroth et al. 2002; Vavilin et al. 2008). In a model for anaerobic  
73 digestion proposed by Vavilin et al. (1996) solid waste particles are assumed to be  
74 colonized by hydrolytic bacteria, who subsequently produce hydrolytic enzymes.  
75 Colonization (biofilm growth covering the particle surface) was in a previous study  
76 observed by microscopy and proposed as the main mechanism for particle degradation  
77 in a biologically activated membrane bioreactor (Ravndal et al. 2015). In activated  
78 sludge processes, bacteria grow in flocs and the initial step of starch degradation has  
79 been proposed as adsorption of starch to the flocs (Ciggin et al. 2013; Karahan et al.  
80 2006; Martins et al. 2011).

81 Regardless of whether degradation of particulates work through colonialization or flocs  
82 adsorption, degradation will depend on available particle surface area. In addition to the  
83 particle – biomass contact perspective, particle degradation also depends on the particle  
84 morphology. Two different models proposed are the shrinking particle model (SPM;  
85 Sanders et al. 2000) and the particle breakup model (PBM; Dimock & Morgenroth  
86 2006). The SPM assumes particles to shrink gradually as they are degraded, hence  
87 available surface area decrease. In the PBM, particles break up as they are degraded  
88 leading to an increase in available surface area. Hence, in the PBM, surface area to  
89 volume ratio are included as a state variable in the model. An open question of the PBM  
90 is whether the kinetics observed also could be caused by increased particle porosity  
91 leading to increased surface area to volume ratio or increased particle colonization  
92 (Dimock & Morgenroth 2006).

93 The hydrolysis process is an enzymatic degradation process as discussed in both the  
94 activated sludge models (Henze et al. 2000) and anaerobic digestion model (Batstone et

95 al. 2002). Hydrolytic enzyme kinetics are independent of electron acceptor conditions  
96 (Goel et al. 1998), hence hydrolysis studied under aerobic conditions is also relevant for  
97 anaerobic conditions and vice versa. The concentration of hydrolytic enzymes, however,  
98 may depend on electron acceptor conditions (lower concentrations under anaerobic  
99 conditions) probably due to correlation to cellular yield (Kommedal 2003). For starch  
100 degradation, a range of extracellular enzymes are active (Robyt 2009), and a substantial  
101 collection of published articles on characteristics of starch degrading enzymes is  
102 available (Sun et al. 2010). However, less attention has been granted the combined  
103 substrate and biomass effect, and their influence on the substrate degradation dynamics.  
104 In this study we address the need for more detailed knowledge of particulate organic  
105 matter degradation by (i) investigating starch particle degradation and intermediate  
106 dynamics including the entire size range from micrometer scale particles, polymers,  
107 oligomers and monomers (substrate size intermediate dynamics), and (ii) evaluate  
108 whether there is a difference in particle and intermediate dynamics for biomass  
109 aggregates or dispersed biomass (the substrate - biomass size effects). Our hypothesis  
110 is that 1) Starch is degraded via potentially all intermediate size ranges and that the  
111 kinetics is size dependant; and 2) Intermediate dynamics depends on the biomass  
112 structure, especially during the particulate substrate phase.

## 113 **Materials and Methods**

114 Batch experiments with starch as sole substrate, inoculated with activated sludge and  
115 dispersed activated sludge were analysed over a period of 117 days. Oxygen utilisation  
116 rate (OUR) was monitored, and sampling was performed regularly for particle size  
117 distribution (PSD) and polymeric, oligomeric and monomeric intermediates formation.

118 Particle morphology and particle – biomass interaction were observed by light  
119 microscopy. Polymeric intermediate dynamics was followed using SEC in combination  
120 with multi angle light scattering detector (MALS) and differential refractive index  
121 detector (DRI), a technique with absolute determination of molar mass and mass  
122 concentration, allowing for molecular mass determination without using molecular  
123 standards (Cheong et al. 2015; Wyatt 1993).

#### 124 [Experimental setup](#)

125 Batch tests were prepared at an initial volume of 500 mL and concentration of 2.00 g L<sup>-1</sup>  
126 of potato starch (Fluka Analytical 03967) in tap water. An initial high food to mass ratio  
127 (F/M-ratio) was chosen in order to emphasize the substrate size effects (comparably low  
128 initial biomass concentration). Inorganic nutrients were added (at concentrations 32.4  
129 mg L<sup>-1</sup> K<sub>2</sub>HPO<sub>4</sub>, 1.6 mg L<sup>-1</sup> KH<sub>2</sub>PO<sub>4</sub>, 50 mg L<sup>-1</sup> NaNO<sub>3</sub>, 1.2 mg L<sup>-1</sup> NH<sub>4</sub>Cl, 0.1 mg L<sup>-1</sup>  
130 FeCl<sub>3</sub>, 5 mg L<sup>-1</sup> CaCl<sub>2</sub> and 3 mg L<sup>-1</sup> MgSO<sub>4</sub>) in addition to trace elements according to  
131 Balch et al. (1979). Amino acids (10 µL, R 7131 RPMI-1640 [50X], Sigma Aldrich)  
132 and vitamins (10 µL, B6891 BME [100X], Sigma Aldrich) were also added to each test  
133 bottle. Test bottles (five replicates) were inoculated with 500 µL activated sludge or 500  
134 µL dispersed activated sludge (four replicates). Activated sludge was collected at Vik  
135 wastewater treatment plant (Rogaland, Norway), from the aerated bioreactor containing  
136 approximately 4 g L<sup>-1</sup> mixed liquor suspended solids, giving an estimated initial  
137 suspended total solids concentration in test bottles of 2.5 mg TSS L<sup>-1</sup> (F/M-ratio >  
138 1000). Dispersed activated sludge was prepared by sonication (Branson 2510 Sonicator,  
139 100W) of a sub-volume of collected sludge for a total of 20 min in 5 min intervals to  
140 minimise temperature increase. Sonication efficiency was confirmed by microscopy,  
141 and positive controls inoculated with glucose was used to validate viability of sonicated

142 cells. Sampling for particle and intermediate analysis was performed by drawing 5 mL  
143 samples from the batch tests throughout the experiment every 3-7 days until day 62,  
144 every 12-14 day until day 88 and a last sampling at day 117.

#### 145 [Oxygen utilization rate](#)

146 OUR was monitored on-line by a Micro-oxymax dynamic respirometer (Columbus  
147 Instruments, Ohio, USA) measuring oxygen concentration in the gas phase of each test  
148 bottle (653 mL) 21.6 times per day. The respirometer was equipped with a paramagnetic  
149 oxygen sensor (Paramax-101, Columbus Instruments, Ohio, USA). To ensure aerobic  
150 conditions, the respirometer refreshed the gas phase when the O<sub>2</sub> concentration fell  
151 below 18.9 mole %.

#### 152 [Particle size measurements](#)

153 PSDs were analysed by a Multisizer 4 coulter counter (Beckman Coulter) using 0.9 M%  
154 NaCl as electrolyte. Samples were vortexed before diluting 1-2.5 mL of the sample to  
155 200 mL with 0.9 M% NaCl. Analysis was performed with a 200 µm aperture tube  
156 (measurement range 4-120 µm) in volumetric mode analysing 2 mL of the diluted  
157 sample. Electrolyte blanks were analysed for subtraction of background noise.

#### 158 [Light microscopy](#)

159 A qualitative observation of particles and biomass in the batch tests was performed  
160 using light microscopy (Olympus BX61 microscope, 100x oil Plan Fluorite objective  
161 with iris) equipped with a CCD camera (Olympus DP72). Image acquisition and  
162 analysis was performed using cellSens Dimension 1.3 software (Olympus).



163 Polymer analysis

164 SEC in connection with MALS and DRI detectors was used to separate and analyse  
165 intermediates in the polymeric range of 1-10<sup>6</sup> kDa. An Agilent 1260 Infinity HPLC  
166 system with a quaternary pump was equipped with a PL-aquagel-OH50 (Agilent) and a  
167 PL-aquagel-OH30 (Agilent) column in series. Sodium nitrate (50 mM) was filtered with  
168 0.1 µm cellulose nitrate membrane filters (Whatman) and used as mobile phase. Two  
169 detectors were connected to the system in series, a MALS detector (Dawn 8<sup>+</sup>, Wyatt  
170 Technology) and a DRI detector (Optilab T-rEX, Wyatt Technology). Flowrate during  
171 analysis was 0.75 mL min<sup>-1</sup> and the column was kept at 30 °C by a column oven  
172 (Agilent 1260 column compartment). All samples were filtered through 0.45 µm  
173 Marcherey-Nagel Nanocolor 50 chromafil GF/PET membrane filters prewashed with  
174 deionized water. 100 µL sample was injected. Two parallel samples were withdrawn  
175 from all bottles at each sampling time, one sample was filtered, while the other was  
176 filtered and heated to 85 °C for 5 min to denature extracellular enzymes. Resulting mass  
177 and molar mass of the two samples were compared, and found to be comparable  
178 between samples. The universal refractive index increment (dn/dc) value of 0.15 for  
179 polysaccharides in water with low salt concentration was used (Cheong et al. 2015).  
180 Based on the chromatograms polymers were separated in three size fractions, low  
181 molecular weight (LMW), medium molecular weight (MMW) and high molecular  
182 weight (HMW). Molecular weight of HMW fraction was measured by the MALS  
183 detector. LMW and MMW fractions had a low light scattering signal, and molecular  
184 weight was estimated based on dextran calibration standards. LMW polymers were in  
185 the size range from 1-12 kDa, and MMW polymers in the range from 12-350 kDa.

## 186 Monomer and oligomer analysis

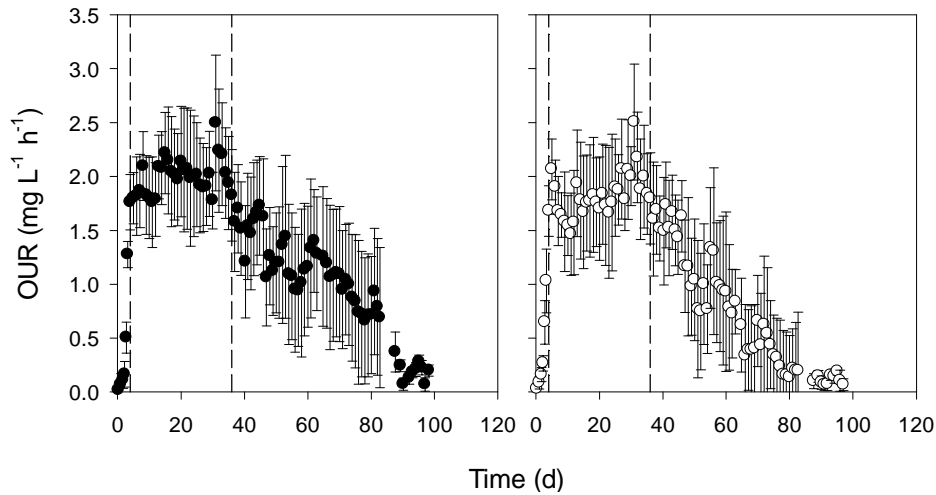
187 Concentrations of glucose, maltose, isomaltotriose, maltotetraose and maltopentaose in  
188 the bulk liquid was measured using an Agilent 1100 series HPLC system with a  
189 quaternary pump connected to an ELSD-detector (3300 ELSD, Alltech). The system  
190 was equipped with a Shodex combined SEC and ion-exchange column (Sugar KS-802,  
191 Showa Denko Europe GmbH). Mobile phase was Milli-Q quality water at a flow rate of  
192  $0.75 \text{ mL min}^{-1}$ . Column temperature was kept at  $80 \text{ }^\circ\text{C}$  using a column oven (Eppendorf  
193 CH-30). The ELSD detector had a  $\text{N}_2$ -gas flow of  $1.3 \text{ L min}^{-1}$  and held a temperature of  
194  $35 \text{ }^\circ\text{C}$ , gain was set at 16. Calibration standards used were D(+)-glucose (Merck), D-  
195 (+)-maltose monohydrate from potato (Sigma Aldrich M5885), isomaltotriose (Sigma  
196 M8378), maltotetraose (Supelco 47877), and maltopentaose (Supelco 47876).

## 197 Results

### 198 Oxygen utilization rate

199 OUR (figure 1) was monitored in five parallel batch tests inoculated with flocculated  
200 biomass, and four parallel batch tests inoculated with dispersed biomass. OUR trends  
201 were similar for flocculated and dispersed biomass tests. An initial fast increase in rate  
202 was observed between day 2 and 4. Between day 4 and 36 OUR was stable at  $2.0 \pm 0.4$   
203  $\text{mg L}^{-1} \text{ h}^{-1}$  and  $1.8 \pm 0.4 \text{ mg L}^{-1} \text{ h}^{-1}$  for flocculated and dispersed biomass batch tests,  
204 respectively. A steady decrease in OUR was observed after 36 days, before the rate  
205 stabilized at a low level after 87 and 78 days for respectively flocculated and dispersed  
206 biomass tests. After 97 days, accumulated oxygen consumption was  $2978 \pm 116 \text{ mg L}^{-1}$   
207 for flocculated biomass and  $2451 \pm 102 \text{ mg L}^{-1}$  for dispersed biomass. Based on initial  
208 starch concentration, theoretical oxygen demand (ThOD) was  $2380 \text{ mg L}^{-1}$ , within the

209 range for the dispersed biomass, but lower than measured accumulated oxygen  
210 consumption for the flocculated biomass. The overestimation was due to large batch test  
211 variability and single batch instrumental errors during the experiment.



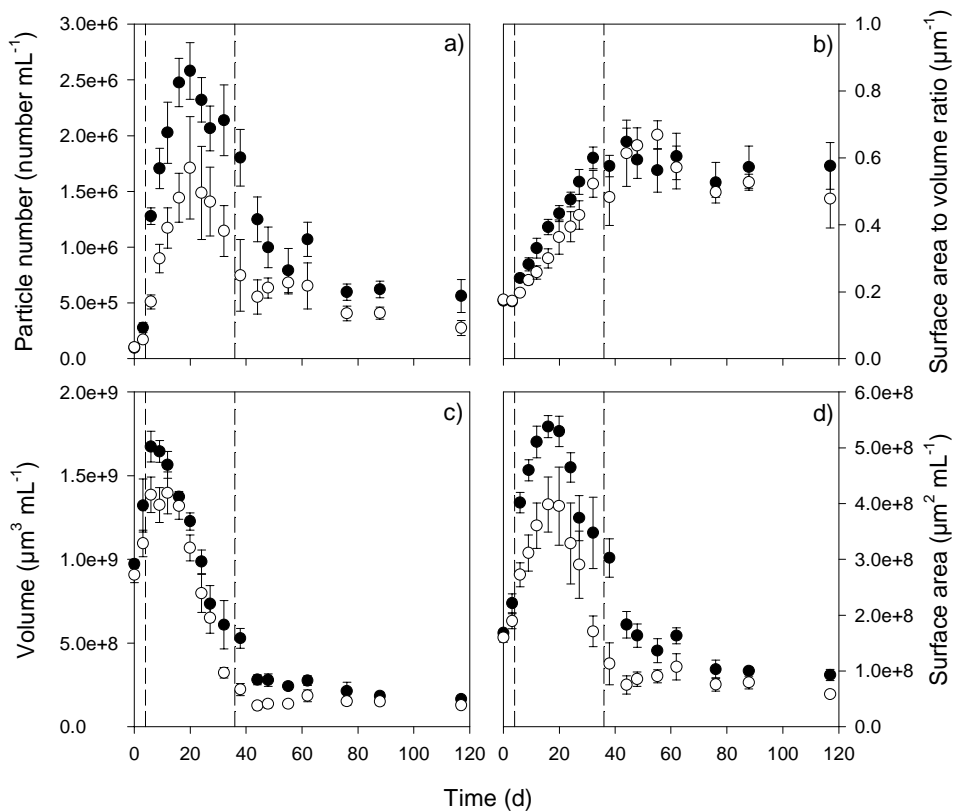
212  
213 **Figure 1:** OUR in ● activated sludge and ○ dispersed activated sludge batch tests. Dashed lines indicate  
214 a shift in OUR trend at 4 and 36 days. Error bars indicate standard error.

215

## 216 Particle dynamics

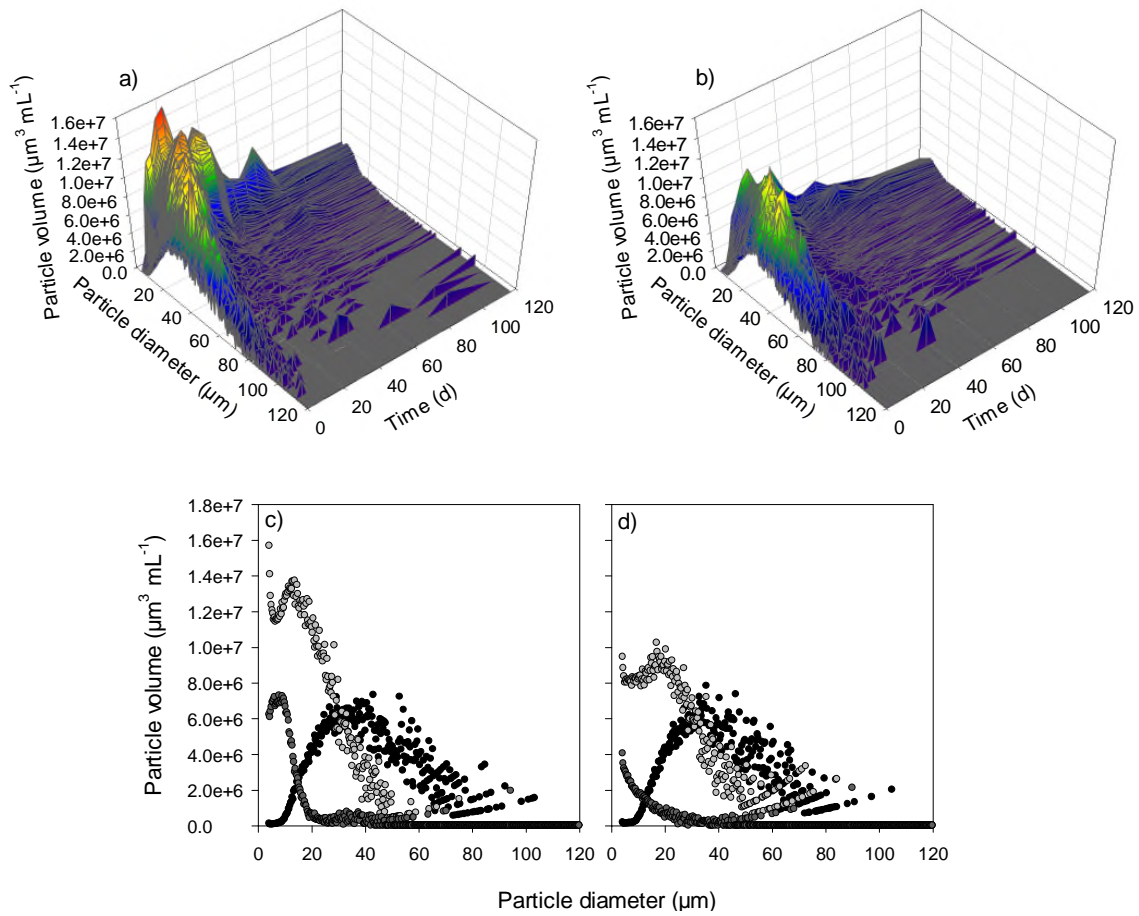
217 Total particle number, volume and surface area were measured in all batch tests, and  
218 surface area to volume ratio was calculated (figure 2). Surface area to volume ratio  
219 increased before stabilizing after 32 days at  $0.58 \pm 0.06 \mu\text{m}^{-1}$  and  $0.56 \pm 0.06 \mu\text{m}^{-1}$  for  
220 flocculated and dispersed biomass tests, respectively. This corresponds to a mean  
221 spherical particle diameter of 10  $\mu\text{m}$ . Total particle number, volume and surface area all  
222 had an early stage increase before a maximum was reached after 20 d, 6 d and 20 d,  
223 respectively, for both flocculated and dispersed biomass batch tests. After the early  
224 increase, all three variables decreased and reached a stable level after 44 days. Particle

225 number became constant at a higher level than the initial value, while particle volume  
 226 and surface area arrived at lower than initial values. Change in PSD was monitored as a  
 227 function of time (figure 3). Initially most of the particle volume detected was distributed  
 228 between 10  $\mu\text{m}$  and 70  $\mu\text{m}$ . with a peak at 35  $\mu\text{m}$ . Distribution shifted towards smaller  
 229 particle sizes over time, and after 20 days peak maxima was at a particle diameter of 12  
 230  $\mu\text{m}$  and 18  $\mu\text{m}$  for flocculated and dispersed biomass tests, respectively. Flocculated  
 231 biomass batch tests had an overall higher particle volume than dispersed biomass tests.



232

233 **Figure 2:** a) particle number (number  $\text{mL}^{-1}$ ), b) surface area to volume ratio ( $\mu\text{m}^{-1}$ ), c) particle volume  
 234 ( $\mu\text{m}^3 \text{mL}^{-1}$ ), d) particle surface area ( $\mu\text{m}^2 \text{mL}^{-1}$ ) measured over 117 days in  $\bullet$  activated sludge and  $\circ$   
 235 dispersed activated sludge batch tests. Dashed lines indicate a phase shift at day 4 and day 36. Error bars  
 236 indicate standard error.

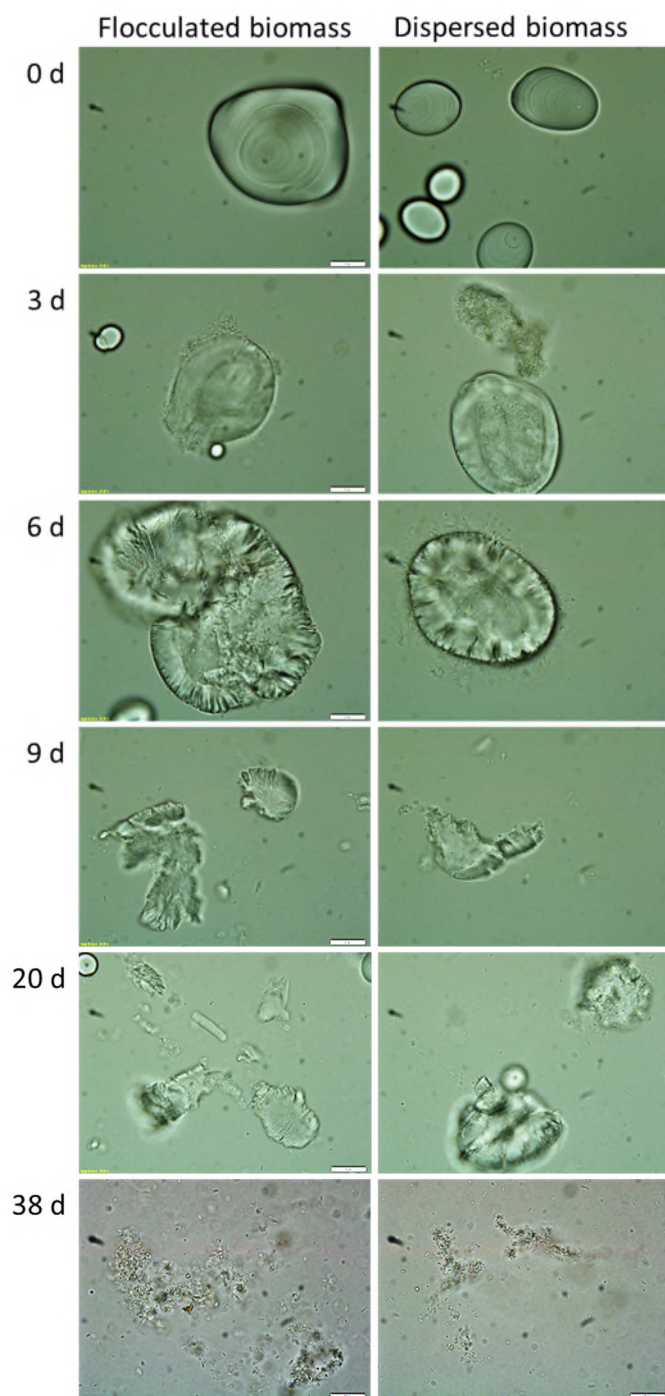


237

238 **Figure 3:** Change in PSD over time in a) activated sludge batch tests and b) dispersed activated sludge  
 239 batch tests, and PSD at ● day 0, ○ day 20, ■ day 44 in c) activated sludge batch tests and d) dispersed  
 240 activated sludge batch tests.

241

242 Brightfield microscopy images were collected for flocculated and dispersed biomass  
 243 batch tests (figure 4). Due to the high F/M-ratio chosen, at day 0 mainly starch particles  
 244 were observed. Starch particles colonized by microbial biomass was observed at day 3,  
 245 and over time the particle surface cracked and particles broke up. After 38 days only  
 246 microbial biomass was observed. Images from flocculated and dispersed biomass tests  
 247 were similar, particle colonization, particle cracking and particle breakup was observed  
 248 in both types of tests.



250

251 **Figure 4:** Brightfield microscopy images at 0, 3, 6, 9, 20 and 38 days for flocculated and dispersed  
 252 biomass batch tests. Bar length is 20  $\mu\text{m}$ . Picture at day 0 show smooth starch particles, day 3 show  
 253 colonized starch particles (microbial biomass covering the surface of the starch particle), day 6 cracked

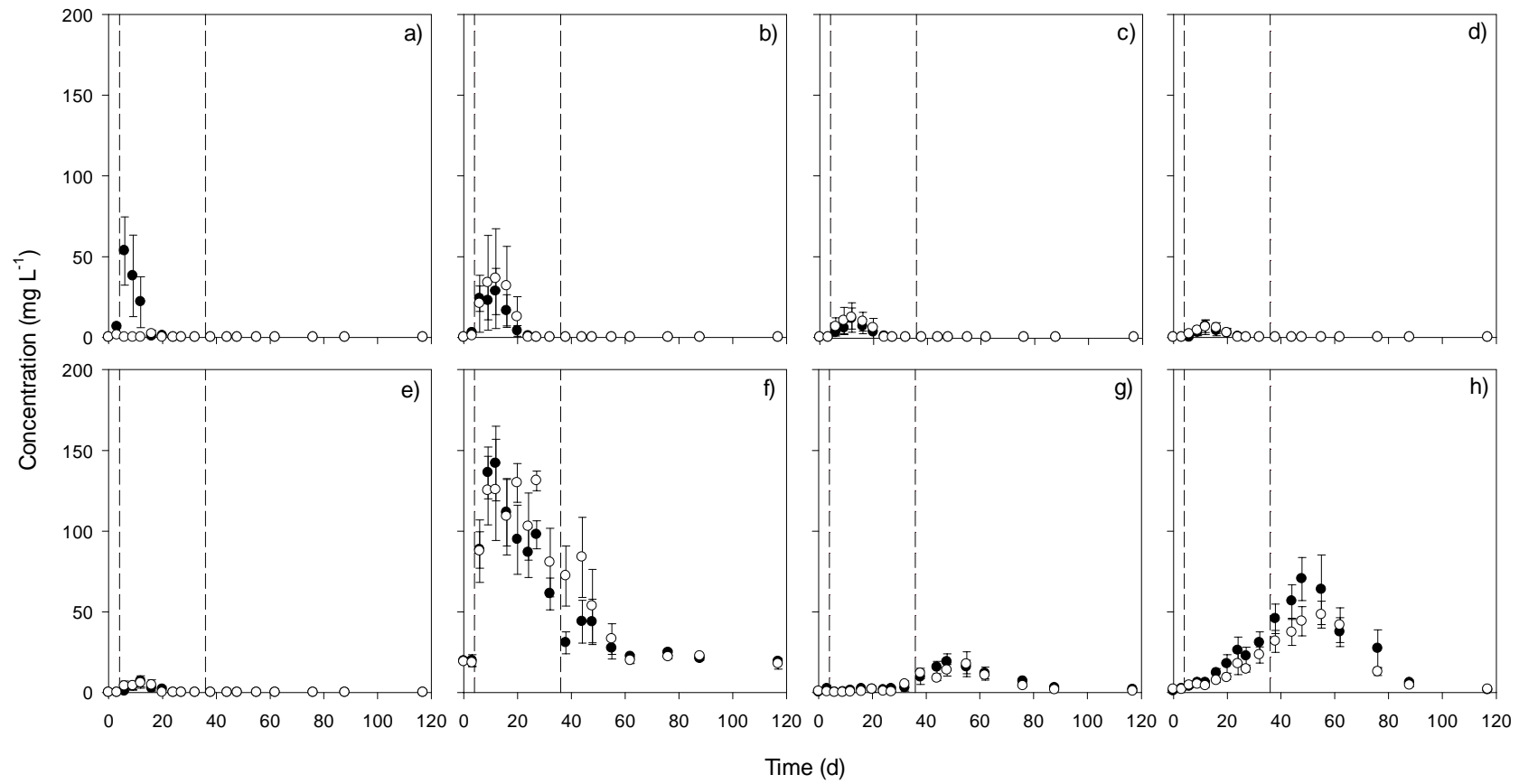
254 and colonized starch particles, day 9 and 20 show starch particles that have been broken up, and day 38  
255 show microbial biomass.

## 256 Intermediate dynamics

257 Monomer, oligomer and polymer intermediates were measured in the bulk liquid of  
258 batch tests inoculated with flocculated and dispersed biomass (figure 5). When  
259 flocculated biomass was used as inoculum, glucose was detected in the bulk liquid the  
260 first two weeks of the experiment with a maximum measured concentration of  $54 \pm 21$   
261  $\text{mg L}^{-1}$ . Glucose was not detected above  $2 \text{ mg L}^{-1}$  in dispersed biomass tests. Maltose  
262 was measured between day 3 and 20 at a maximum concentration of  $28 \pm 14 \text{ mg L}^{-1}$  and  
263  $36 \pm 31 \text{ mg L}^{-1}$ , respectively, in flocculated and dispersed biomass tests. Isomaltose,  
264 maltotetraose and maltopentaose were detected at low levels in both flocculated and  
265 dispersed biomass tests between day 3 and 20. LMW polymers were present at a  
266 background level of about  $20 \text{ mg L}^{-1}$  at the start of the experiment. Concentration of  
267 LMW polymers increased after day 3, reached a maximum of  $142 \pm 23 \text{ mg L}^{-1}$  after 12  
268 days for flocculated biomass and  $125\text{-}130 \text{ mg L}^{-1}$  between day 9 and 20 for dispersed  
269 biomass. After peak concentrations, a gradual decrease back to the background level  
270 after 60 days was observed. Concentration of MMW polymers in the bulk liquid  
271 increased between day 32 and 76, with maximum peak concentration of less than  $20 \text{ mg}$   
272  $\text{L}^{-1}$  at day 48 for flocculated biomass and day 55 for dispersed biomass batch systems.  
273 HMW polymers were detected in the bulk liquid from day 9 to 88 with a maximum  
274 concentration of  $70 \pm 13 \text{ mg L}^{-1}$  after 48 days for flocculated and  $48 \pm 8 \text{ mg L}^{-1}$  at 55  
275 days for dispersed biomass. In the period between day 6 to 88, average molecular  
276 weight of the HMW polymer fraction was  $8222 \pm 1210 \text{ kDa}$  and  $9496 \pm 1408 \text{ kDa}$  for  
277 flocculated and dispersed biomass tests, respectively (figure 6). Molecular weight in

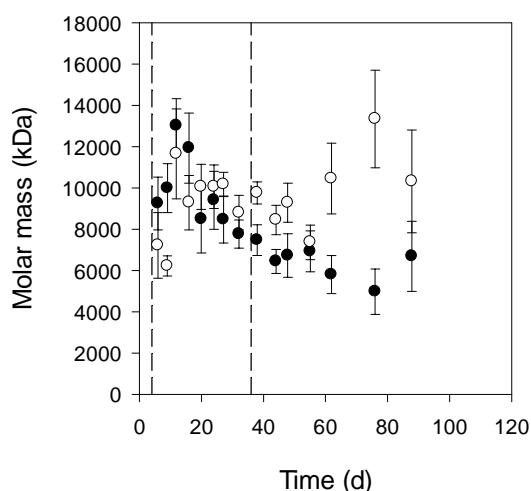
278 flocculated biomass batch tests had an early increase with a peak at 12 days, before  
279 steadily decreasing until the end of the experiment. Dispersed biomass tests had the  
280 same early increase, but did not show the same decrease towards the end of the  
281 experiment.





282

283 **Figure 5:** Concentration ( $\text{mg L}^{-1}$ ) of a) glucose, b) maltose, c) isomaltotriose, d) maltotetraose, e) maltopentaose, f) LMW polymers, g) MMW polymers and h) HMW  
 284 polymers in ● activated sludge and ○ dispersed activated sludge batch tests. Dashed lines indicate a phase shift at day 4 and day 36. Error bars indicate standard error.



285

286 **Figure 6:** Molar mass (kDa) of polymeric fraction in ● activated sludge and ○ dispersed activated sludge batch  
 287 tests. Dashed lines indicate a phase shift at day 4 and day 36. Error bars indicate standard error.

288

## 289 Discussion

### 290 Starch degradation in batch experiments

291 Starch particles and microbial biomass are both particulate and will not be distinguished by  
 292 coulter counter analysis. In the first days, total particle volume increased (figure 2), likely due  
 293 to microbial biomass growth and starch granule swelling. Swelling was also observed by  
 294 microscopy showing larger and more heterogeneous starch particles after 3-6 days. Swelling  
 295 of starch granules are normally studied during gelatinisation of starch occurring when starch  
 296 is heated in the excess of water (Hoover 2001; Jenkins & Donald 1998; Singh & Kaur 2004).  
 297 However, when starch granules were added to water low rate swelling is expected due to  
 298 water binding even at lower temperatures. The early volume increase coincided with an  
 299 increase in OUR indicating significant microbial growth (figure 1). This first microbial  
 300 colonization and growth phase, is indicated by a dashed line at 4 days in figure 1. Earlier  
 301 research has shown an initial fast adsorption of starch to activated sludge flocs at low F/M-

302 ratios (Ciggin et al. 2013; Karahan et al. 2006; Martins et al. 2011). However, microscopy  
303 (figure 4) did not show any flocculation of starch particles to activated sludge flocs in the  
304 early phases of this experiment with a high F/M-ratio. Hence, our results indicate a combined  
305 starch granule swelling and biomass growth effect on observed size distribution, and not a  
306 flocculation effect. Due to the low initial biomass content, absolute increase in biomass over  
307 the first days will be small even at maximum growth rate. After initial volume increase,  
308 particle number continued to increase until day 20 (figure 2), this number increase was likely  
309 the combined effect of biomass growth and particle breakup.

310 After approximately 40 days, particle distribution shifted away from initial starch granule  
311 distribution to smaller particle sizes (figure 3), surface area to volume ratio was constant  
312 (figure 2) and only flocculated biomass was observed (figure 4). This coincided with a shift in  
313 OUR from a stable high OUR to a linearly decreasing OUR over time indicated by dashed  
314 lines (figure 1). HMW polymeric substrate was measured in the bulk liquid at high levels at  
315 the time of this shift in OUR (figure 5). The system had at this point shifted from a starch  
316 particle, to a biomass particle dominated system, and the substrate shifted from microscale  
317 particle to dissolved polymers with high molar mass (figure 6). Hence, the stable OUR phase  
318 (between day 4 and 36) of the experiment was a period dominated by particle degradation,  
319 while the steadily decreasing OUR phase (after day 36) was a phase dominated by HMW  
320 polymeric intermediate degradation and biomass decay (figure 2).

321 An OUR peak of about  $2 \text{ mg L}^{-1} \text{ h}^{-1}$  was measured in this experiment during the particle  
322 degradation phase (figure 1). This is 25-100 times lower than literature data of starch  
323 degradation in sequencing batch reactors (Ciggin et al. 2013; Karahan et al. 2006), and 3-4  
324 times lower than OUR rates measured on egg white particles in batch reactors (Dimock &  
325 Morgenroth 2006) and settleable wastewater fractions (Ginestet et al. 2002). This difference

326 can be explained by an initially higher F/M-ratio used in this experiment compared to the  
327 other studies.

### 328 [What is the mechanism and dynamics of starch particle degradation?](#)

329 Starch particles were colonized by microbial biomass (figure 4), supporting colonization of  
330 particles as mechanism for particle degradation (Ravndal et al. 2015). All starch particles  
331 were not immediately colonized, or biomass intermittently detached as starch particles free of  
332 biomass were observed also at later stages in the experiment. In addition to particle  
333 colonization, particle cracking was observed by microscopy (figure 4), and starch granules  
334 became more heterogeneous over time. Cracking of particles could be a combined effect of  
335 free extracellular enzymatic activity, hydration and physical-chemical fragmentation.

336 Extracellular enzymes are able to attack brittle zones of the starch granules and lead to pit and  
337 pore formation on the particle surface (Gallant et al. 1992; Robyt 2009; Tang et al. 2006).

338 This results in an increased surface area. Finally, particle breakup leading to formation of  
339 smaller and more heterogeneous particles was microscopically observed (figure 4). This was  
340 supported by total particle volume and surface area measurements (figure 2), and by changes  
341 in PSD (figure 3). After the early volume increase, surface area continued to increase while  
342 volume started to decrease. This lead to an increasing surface area to volume ratio over time.

343 If degradation followed the SPM, surface area should gradually decrease throughout the  
344 particle degradation phase. On the other hand, in the PBM particle breakup lead to an early  
345 increase in surface area when the rate of particle breakup is larger than removal rate of  
346 particulate substrate due to further biodegradation (Dimock & Morgenroth 2006). Our results

347 show an increase in surface area simultaneous as the overall volume of particles decrease  
348 (figure 2), hence, degradation follow the PBM and not the SPM. Dimock and Morgenroth

349 (2006) proposed particle breakup as the main mechanism, but also hypothesized that

350 increased particle porosity and increase in particle colonization could explain the kinetics of

351 the PBM model. Based on our results, all three factors seem to contribute to particle  
352 degradation.

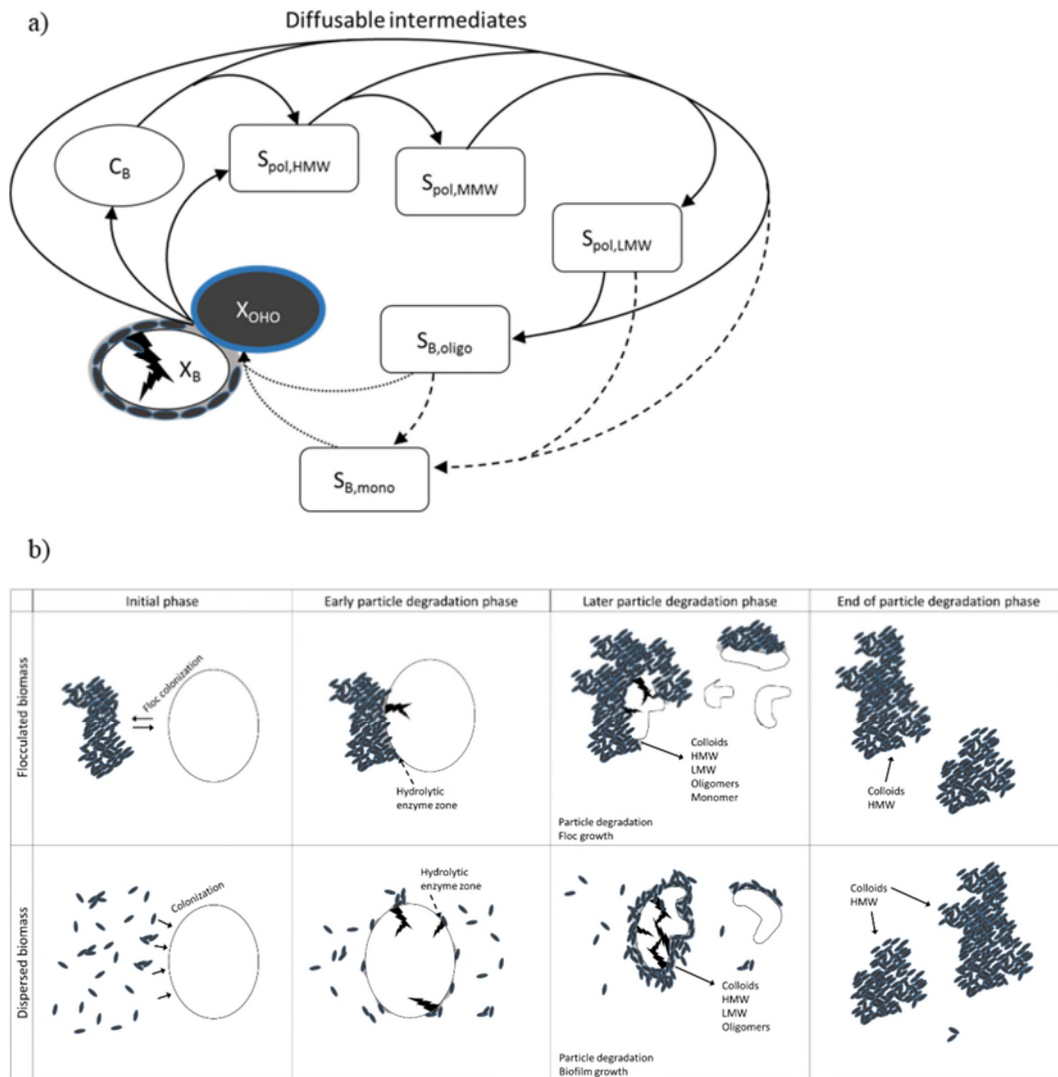
353 Glucose, maltose, larger oligomers and polymers were detected in the bulk liquid, and it is  
354 hypothesised that these are intermediates formed during particle hydrolysis (figure 5).

355 Maltose has earlier been detected as primary end-product for hydrolysis of starch by activated  
356 sludge (Karahan et al. 2006; Ubukata 1999), while we detected both glucose and maltose  
357 when batch tests was inoculated with activated sludge. Release of intermediates to the bulk  
358 liquid in this experiment confirms earlier studies showing release of dissolved organic carbon  
359 to the bulk liquid during activated sludge starch degradation (Karahan et al. 2006; Ubukata  
360 1999). Contrary to our results, Martins et al. (2011) did not observe bulk phase intermediates  
361 during starch degradation in activated sludge sequencing batch reactors. If intermediates are  
362 not detected, they can be assumed to be consumed close to their production site (Martins et al.  
363 2011). In systems with a low F/M-ratio, such as the study by Martins et al. (2011) it is also  
364 possible that diffusion into the bulk liquid is limited due to particulate substrate being fully  
365 surrounded by biomass. However, our results at an initially high F/M-ratio and several other  
366 studies with a low F/M-ratio (Confer & Logan 1997; Karahan et al. 2006; Ubukata 1999)  
367 report intermediate release to the bulk liquid during starch degradation. Thus, it is important  
368 to consider also degradation mechanism and dynamics of polymeric intermediates when  
369 modelling degradation of starch, and potentially any substrate particles. Most existing models,  
370 however, do not consider a soluble polymeric intermediate fraction (Morgenroth et al. 2002).

371 Another explanation of polymers being detected in the bulk liquid during the experiment is  
372 release of soluble microbial products (SMP). SMPs are defined as DOM released to the bulk  
373 liquid due to substrate metabolism/biomass growth and biomass decay (Barker & Stuckey  
374 1999). Size distribution of SMP identified in earlier research and summarised in Barker and  
375 Stuckey (1999) show that SMP have a wide, but lower molecular weight distribution than

376 reported here. The analysis method used for molecular weight measurements were not  
377 specific for starch intermediates, hence SMP were included in the total polymer data.  
378 However, due to the large size of HMW polymers, we conclude that the majority of polymers  
379 measured in the bulk liquid were in fact intermediates produced outside the bacterial cell due  
380 to starch degradation.

381 Based on observed intermediate and particle dynamics, we propose a conceptual model  
382 including intermediate dynamics for the extracellular enzymatic degradation of starch (figure  
383 7a). Upon microbial colonization of starch particles, hydrolytic extracellular enzymes are  
384 released in the contact zone between bacteria and starch particles. Polymeric, oligomeric and  
385 monomeric intermediates formed during particle degradation may diffuse into the bulk liquid.  
386 Polymeric and oligomeric intermediates are subsequently depolymerised into easily  
387 biodegradable oligomers and monomers that are readily taken up by growing microbial cells.  
388 All size intermediates may be expected, however based on our results and for  
389 conceptualization, polymeric intermediates are grouped into HMW, MMW and LMW  
390 fractions.



391

392 **Figure 7:** a) Conceptual COD flow model of starch ( $X_B$ ) depolymerisation. The model assumes a colonized  
 393 starch surface to be the hotspot of extracellular hydrolytic activity, whereby exo- (dashed lines) and  
 394 endoenzymatic (solid lines) degradation of particulate (oval boxes) and dissolved polymers (Square boxes) leads  
 395 to diffusible intermediates that undergo further depolymerisation to oligo ( $S_{B,oligo}$ ) and monomeric ( $S_{B,mono}$ ) easily  
 396 biodegradable substrates that are readily taken up by growing cells ( $X_{OHO}$ ) (dotted lines). The largest  
 397 degradation product of starch are colloids ( $C_B$ ). Polymeric intermediates are separated in HMW ( $S_{pol,HMW}$ ),  
 398 MMW ( $S_{pol,MMW}$ ) and LMW ( $S_{pol,LMW}$ ). New and existing model variables are implemented with standardised  
 399 notation proposed by Corominas et al. (2010). b) Conceptual model of biomass substrate interactions during  
 400 particle degradation with flocculated and dispersed biomass. Four different phases of degradation are  
 401 differentiated.

402

403 Monomers and oligomers are expected to be released during enzymatic degradation of starch  
404 (Robyt 2009). In our study, monomers and oligomers formed during depolymerisation were  
405 detected in the bulk liquid only in the beginning of the experiment (figure 5), indicating  
406 monomer and oligomer formation and diffusion into the bulk liquid to be higher than uptake  
407 rate at that stage. Later, these were no longer measured in the bulk liquid, indicating limited  
408 diffusion into the bulk liquid due to starch particles being fully colonized by bacteria.  
409 Alternatively, this can also be explained by uptake of released easily biodegradable substrate  
410 in the bulk liquid under high suspended biomass concentrations following suspended growth  
411 or detachment of biomass from the particles.

412 By qualitative comparison to our data, intermediate polymers in the form of amylopectin,  
413 amylose and polymeric degradation products of the two were released to the bulk liquid  
414 (figure 5 and 6). LMW polymers were released at high concentrations early in the experiment,  
415 suggesting these to be formed directly from particle degradation. The LMW polymeric  
416 fraction include several of the known products of enzymatic degradation of starch (Robyt  
417 2009). When starch particles no longer were detected in the bulk liquid, HMW polymer  
418 concentration was still increasing, indicating the presence of a colloidal fraction in between  
419 measured HMW polymer and particle fraction.

420 Low concentrations of MMW polymers were detected in the bulk liquid (figure 5), in addition  
421 the measured molar mass of HMW polymers were very high (figure 6). This was either due to  
422 difference in hydrolysis rate between different fractions, or it means that not all intermediate  
423 polymer sizes were formed. Earlier research has shown that hydrolysis rate increase as  
424 molecular weight decreases (Kommedal et al. 2006), potentially leading to faster removal  
425 than production of smaller polymer sizes. However, this can also be explained by a non  
426 random degradation pattern of starch and larger HMW polymers by extracellular enzymes.  
427 Others have shown that the enzymes degrading starch do not have a random degradation



428 pattern, but enzymes from different organisms will produce different products in variable  
429 amounts (Robyt 2009). Most enzymes will produce oligomers as end-products, while larger  
430 polymers would be a minor degradation product. This can be illustrated by the action of  
431 bacterial  $\beta$ -amylases, which act towards amylopectin and form about 50 % maltose and 50 %  
432 HMW polymers (Robyt 2009).  $\beta$ -amylases cannot pass  $\alpha$ -1,6-branching points, hence HMW  
433 polymers are formed when the enzyme reaches a branching point. Another starch acting  
434 enzyme,  $\alpha$ -amylases, normally lead to production of oligomers (Robyt 2009), and larger  
435 polymers would be minor degradation product formed when the overall polymer size are  
436 reduced. MMW polymers were only detected in the bulk liquid after HMW polymer  
437 concentration increased. This indicates that MMW polymers were a degradation product from  
438 HMW polymer hydrolysis, and not from starch hydrolysis. This support the hypothesis that  
439 this is a minor degradation product formed as overall polymer size decreases, and not a major  
440 product of enzymatic degradation of starch. Hence, even though hydrolysis rate increase with  
441 decreasing polymer size, size distribution of polymeric intermediates, and timing of the  
442 different size classes indicates that all potential intermediate sizes were not formed to the  
443 same amount.

444 Protozoa have been shown to be able to directly feed on starch (de Kreuk et al. 2010). In this  
445 experiment protozoa was seen by microscopy, but mainly late in the experiment (after 30  
446 days). They therefore did not contribute to significant starch degradation, but probably  
447 affected biomass decay rates.

448 [Can initial biomass composition have an effect on mechanism and observed dynamics](#)  
449 [of particle degradation?](#)

450 Overall degradation was the same with little difference in accumulated oxygen consumption  
451 over 97 days for flocculated and dispersed biomass. The most distinctive difference observed

452 was detection of glucose in the bulk liquid only in batch tests fed with flocculated biomass  
453 (figure 5). Maltose was detected in the bulk liquid of both systems(figure 5). This could be  
454 due to a higher exo-enzymatic activity in flocculated biomass tests leading to a higher  
455 formation of glucose, or a difference in transport of glucose between flocculated and  
456 dispersed biomass tests. Sonication was performed on a sub-volume of collected activated  
457 sludge, hence the same microbes should be present in both tests and there should not be a  
458 genotypic exo-enzymatic difference. Therefore, a more likely explanation is that transport of  
459 glucose was different in dispersed and flocculated activated sludge batch test.

460 The differences in transport regimes and particle biomass interactions between the two  
461 systems are presented in a proposed conceptual model (figure 7b). In flocculated biomass  
462 tests, colonization can be assumed to be floc-based, and the initial high F/M-ratio means that  
463 the substrate was partially colonized. On the other hand, the dispersed biomass allow for the  
464 entire substrate particles to adsorb bacteria, and form an initial thin biofilm covering the entire  
465 surface of the substrate. After initial colonization, the colonized surface would be e a hot-spot  
466 for extracellular enzyme activity. However, truly extracellular enzymes would also be free to  
467 diffuse to uncovered areas of the particle surface. Similarly, glucose produced on the starch  
468 particle surface in the early particle degradation phase (figure 7b), would diffuse into the bulk  
469 liquid for the partially covered substrate in both systems. However, the diffusion distance for  
470 glucose back into the flocculated biomass is longer compared to the short diffusion distance  
471 required by homogenously distributed single cells (Stewart 2003). Hence, glucose accumulate  
472 in the bulk liquid due to diffusion limitations for the flocculated system. On the other hand,  
473 for the dispersed system, glucose are consumed fast by free cells and do not accumulate. In  
474 the later stages, a thin biofilm can fully cover the entire starch particle surface in the dispersed  
475 system and glucose produced on the surface would be directly consumed, and not diffuse into  
476 the bulk liquid. Hence, for the dispersed system the combination of biofilm formation and

477 non-limited transport explained why glucose did not accumulate. For larger intermediates,  
478 there is no difference between the two systems, an aspect explained by diffusion coefficients  
479 decreasing with increasing molecular weight leading to accumulation in both systems.

480 PSD shifted towards smaller diameters at a slower rate in the dispersed biomass tests,  
481 compared to the flocculated biomass system (figure 3 and figure 2b). This can be explained  
482 by either flocculation of the dispersed biomass and a difference in particle break-up between  
483 the two systems. Due to a very low F/M-ratio, the effect of biomass flocculation would be  
484 minimal. Hence, the difference in PSD, indicate that for flocculated biomass, particles were  
485 breaking up into smaller particles at a faster rate than for dispersed biomass tests. Hence,  
486 increased porosity and colonization played a larger role for dispersed biomass, while particle  
487 breakup was more important with flocculated biomass. This can be explained by the proposed  
488 substrate and biomass interaction model (figure 7b). Formation of a colonization biofilm over  
489 a larger surface area by dispersed biomass, lead to extracellular enzymes attacking a larger  
490 area of the particle. Enzyme attack lead to pit and pore formation on the particle surface  
491 (Gallant et al. 1992; Robyt 2009; Tang et al. 2006), and could explain particle cracking seen  
492 by microscopy. Pit and pore formation on the surface of the particles would again lead to  
493 increased particle porosity.

494 Towards the end of the particle degradation phase, the biomass will converge in the two  
495 systems as illustrated in the conceptual biomass model (figure 7b). This is supported by a  
496 comparable surface area to volume ratio (figure 2), by PSDs (figure 3a and 3b) and by  
497 microscopy pictures (figure 4). Biomass particles measured in the system after the particle  
498 degradation phase has a mean spherical particle diameter of 10  $\mu\text{m}$ . This show that particulate  
499 substrate lead to floc-formation of the biomass due to colonization. Hence, during the  
500 degradation phase dominated by HMW polymers as substrate, the difference of a flocculated  
501 and dispersed biomass system cannot be evaluated.

502 The results and conclusions gained in this work have implications for the way we understand  
503 particle degradation in bioprocesses. For the general case, intermediates form during particle  
504 and polymer degradation, and the biomass transport regime could allow for considerable  
505 intermediate accumulation in the bulk phase. When adequate, models used for  
506 particulate/polymeric slow biodegradable analysis should reflect this aspect of the system, as  
507 indicated by the conceptual models proposed herein. For systems with short hydraulic  
508 retention times, like biofilm and granulated biomass processes, significant fractions of COD  
509 would be lost to effluents reducing treatment performance and bioproduct yields. This is  
510 relevant for water and wastewater treatment systems, as well as bioenergy and biofuels  
511 processes based on particulate substrates.

## 512 Conclusions

- 513 • All intermediate polymer sizes are not formed to the same extent during starch particle  
514 degradation indicating non-random enzymatic degradation, either low or ultra high  
515 molecular weight polymers are preferred.
- 516 • During starch particle degradation, intermediate dynamics depend on the biomass  
517 structure. In a floc-based system, diffusion limitations allow glucose to accumulate in  
518 the system. This is a generic effect of bioaggregates.
- 519 • The combination of particle colonization, increased particle porosity and particle  
520 breakup led to increased substrate availability during particle degradation. Particle  
521 breakup was more important for flocculated biomass, while particle colonization and  
522 increased particle porosity was more important for dispersed biomass.

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