

1 Modeling multi-decadal mangrove leaf area index in response to drought 2 along the semi-arid southern coasts of Iran

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19 **Abstract**

20 Leaf Area Index (LAI; as an indicator of the health) of the mangrove ecosystems on the northern
21 coasts of the Persian Gulf and the Gulf of Oman was measured in the field and modeled in
22 response to observed (1986-2017) and predicted (2018-2100) drought occurrences (quantified
23 using the Standardized Precipitation Index [SPI]). The relationship of LAI with the normalized
24 difference vegetation index (NDVI) obtained from satellite images was quantified, the LAI
25 between 1986 and 2017 retrospectively estimated, and a relationship between LAI and SPI
26 developed for the same period. Long-term climate data were used as input in the RCP8.5 climate
27 change scenario to reconstruct recent and forecast future drought intensities. Both the NDVI and
28 the SPI were strongly related with the LAI, indicating that realistic LAI values were derived
29 from historic satellite data to portray annual changes of LAI in response to changes in SPI. Our
30 findings show that projected future drought intensities modeled by the RCP8.5 scenario increase
31 more and future LAIs decreased more on the coasts of the Gulf of Oman than the coasts of the

32 Persian Gulf in the coming decades. The year 1998 was the most significant change-point for
33 mean annual rainfall amounts and drought occurrences as well as for LAIs and at no time
34 between 1998 and 2017 or between 2018 and 2100 are SPI and LAI values expected to return to
35 pre-1998 values. LAI and SPI are projected to decline sharply around 2030, reach their lowest
36 levels between 2040 and 2070, and increase and stabilize during the late decades of the 21st
37 century at values similar to the present time. Overall, this study provides a comprehensive
38 picture of the responses of mangroves to fluctuating future drought conditions, facilitating the
39 development of management plans for these vulnerable habitats in the face of future climate
40 change.

41 **Keywords:** Mangroves, Health, Drought, LAI, RCP8.5, Persian Gulf, Gulf of Oman

42

43 **1. Introduction**

44 Among the natural ecological sub-systems located on the coastal areas of the world, mangroves
45 offer a particularly diverse range of goods and services that include the provision of wood and
46 marine products, the prevention of damage caused by storms, flood control and the protection of
47 coastlines, control of coastal erosion, waste and pollution assimilation, recreation, and
48 transportation (Kathiresan and Rajendran, 2005; Tamin et al., 2011; Chai et al., 2019). Despite
49 the importance of the services mangroves provide for humans, globally more than 35-50% of
50 these unique coastal habitats have already been degraded or lost over the past three decades
51 (Valiela et al., 2001; Alongi, 2002). Whereas the severity to which mangrove ecosystems have
52 been degraded ultimately depends on physical site-specific conditions such as the
53 geomorphology and micro-topography, the hydro-dynamics of surface and ground water, and the
54 sediment type of the catchment (Lewis et al., 2011; Djebou et al. 2015; Brandt et al., 2017;

55 Xiong et al., 2018), larger scale regional factors may also contribute to declining mangrove
56 health. For example, mangroves in the coastal areas of the Persian Gulf and the Gulf of Oman
57 have received significant amounts of different types of pollutants during two wars in the Persian
58 Gulf in 1988 and 1991 (Readman et al., 1996; Ebrahimi and Riahi Bakhtiari, 2010) and continue
59 to receive industrial and municipal wastewater and more than 1.5 million tons of oil pollution
60 annually, which has significantly impacted and continues to threaten their health and
61 productivity. Finally, a substantial proportion of mangroves in the region, in particular along the
62 western Persian Gulf (i.e., the Khamir area), continues to supply fuel wood and provide grazing
63 opportunities for livestock (Mehrabian et al., 2009; Zahed, 2010).

64 In addition to these regional threats, climate change expressed as spatiotemporally altered
65 rainfall/drought and ocean circulation patterns, increased average temperatures, sea levels, and
66 storm activities has also significantly impacted, and will continue to adversely influence, the
67 growth and health of mangroves in many parts of the world (Alongi, 2015; Ward et al. 2016;
68 Galeano et al., 2017; Servino et al., 2018). Changes in rainfall patterns and drought occurrences
69 are among the most important harbingers of climate change (Mishra and Singh, 2010). Lower
70 rainfall amounts and enhanced meteorological droughts reduce the availability of freshwater and
71 subsurface and surface runoffs, induce hydrological droughts, and increase evapotranspiration
72 and soil salinity, which can lead to a weakening of the competitive ability of mangroves relative
73 to adjacent communities (i.e., saltmarshes) and a reduction of current and future spatial
74 extents/areas, productivity, and health of mangroves around the world (Gilman et al., 2008;
75 Kovacs et al., 2009; Hutchison et al., 2014; Mafi-Gholami et al., 2017; Osland et al., 2017;
76 Servino et al., 2018). Although mangroves are salt tolerant, decreases in freshwater inputs
77 entering the coastal environment from upstream catchments can induce hyper-salinity in arid

78 regions and may result in changes in the structure and function and decreased health of
79 mangroves (Lugo et al., 1988; Ellison, 2000; Eslami-Andargoli et al., 2009; Lovelock et al.,
80 2017; Hayes et al. 2017). It is estimated that the reduction of freshwater entering mangroves may
81 be responsible for 11% of the global reduction in their spatial extent (Farnsworth and Ellison,
82 1997).

83 In Iran, where approximately 192 km² of mangroves exist (FAO, 2007), the extent and structure
84 of these ecosystems exhibit a strong gradient with rainfall/drought on the northern coasts of the
85 Persian Gulf and the Gulf of Oman and will flourish or suffer with changes in rainfall (Mafi-
86 Gholami et al., 2017). In recent decades, mangrove ecosystems in semi-arid regions have been
87 particularly vulnerable to increased drought occurrences and have declined drastically in spatial
88 extent and diminished in productivity, which has largely been attributed to climate change
89 (Eslami-Andargoli et al., 2009; Mafi-Gholami et al., 2017; Osland et al., 2017). Whereas several
90 studies have investigated the effect of past droughts on the structure and productivity of
91 mangroves (Eslami-Andargoli et al., 2009; Mafi-Gholami et al., 2017; Osland et al., 2017), little
92 is known about the magnitude of future climate-induced droughts in the region and their effects
93 on the health of this ecosystem over the coming decades.

94 To quantify forest health, an appropriate indicator must be selected that should be easily
95 understandable, be spatially and/or temporally explicit, relate to management objectives, and be
96 quantifiable at the appropriate scale of monitoring (which can vary from trees to forest habitats)
97 (Wicks et al., 2010; Trumbore et al., 2015; Aguirre-Rubí et al., 2018). For our purposes, the
98 selected indicator must also be available over a long time frame and be quantifiable using remote
99 sensing techniques because of the large spatial extent of mangroves in the study area (cf.
100 Trumbore et al., 2015). Among the many indicators suitable for monitoring trends in forest

101 health over time, ecological indicators that reflect biological, chemical or physical attributes such
102 as canopy cover, total leaf area, biomass, respiration or photosynthesis have been widely used
103 (Trumbore et al., 2015). Among these, the leaf area index (LAI) is a key biophysical variable that
104 relates closely to the exchange of energy, water and carbon dioxide between forests and their
105 environment (Law and Waring, 1994; Waring and Running, 1998; Clough et al., 2000; Korhonen
106 et al. 2011) and is considered a suitable indicator of forest health (Alongi 2002). Further, changes
107 in LAI over time can be quantified from free or low-cost contemporary or historic satellite
108 images that provide repeated synoptic coverages of large areas. This makes satellite imagery an
109 effective tool for quantifying changes in the structure and health of forests (Flores-de-Santiago et
110 al., 2013a, b; Servino et al., 2018).

111 Due to their close dependency on rainfall and droughts in semi-arid regions (Mafi-Gholami et al.,
112 2017), the fate of mangrove ecosystems in middle and lower latitudes depends on whether
113 recent, long-term drought episodes continue in the future. If so, this would likely decrease the
114 health and increase the rate of loss of mangroves in these regions, potentially exacerbating the
115 anticipated adverse effects of future climate change (Solomon et al., 2007; Hutchison et al.,
116 2014, Ellison, 2015). The main objective of this paper was to forecast the future health
117 (quantified using the Leaf Area Index [LAI]) of the semi-arid mangroves along the northern
118 coasts of the Persian Gulf and the Gulf of Oman in the face of likely future climate change. To
119 achieve this objective, we developed an integrated modeling approach that combined field data
120 with historic climate data, Landsat satellite data, and the Representative Concentration Pathway
121 climate change scenario RCP8.5 of the fifth IPCC to reconstruct recent and forecast future
122 droughts and mangrove health between the present time and the end of the 21st century.

123

124 **2. Materials and methods**

125 *2.1. Study areas*

126 Natural mangrove forests of Iran consist of the species Harra (*Avicennia marina*) and Chandal
127 (*Rhizophora mucronata*). They are located on the northern coasts of the Persian Gulf and the
128 Gulf of Oman between 25° 34' 13" N to 27° 10' 54" and 58° 34' 07" E to 55° 22' 06" E. The
129 region enjoys a warm and humid climate (annual mean relative humidity > 65%) (Mafi-Gholami
130 et al., 2017) and receives a long-term mean annual rainfall of about 146 mm with the greatest
131 rainfall amounts in January and February. The mean annual temperature is ~27.2 °C and ranges
132 between 18.1 °C in the coldest month (January) and 34.5 °C in the warmest month (July). Even
133 though mangrove forests of Iran are distributed along the majority of the northern coasts of the
134 Persian Gulf and the Gulf of Oman, only three large areas not directly impacted by human
135 activities were suitable for this study: Khamir, Tiab, and Jask (Fig. 1). The climate of the three
136 studied *A. marina*-dominated mangrove forests areas is semi-arid with a mean annual
137 precipitation of 90 mm and a relative air humidity of 35% (Pour-Asgharian, 2017).

138

139 **Fig. 1. Geographic location of the three study areas (a: Khamir, b: Tiab, c: Jask) with the sample plots (black**
140 **circles)**

141

142 *2.2. Methodology framework*

143 To predict future effects of climate change on the health of mangroves, we developed an
144 integrated modeling approach (Supplemental Fig. 1) that combined extensive field sampling with
145 long-term satellite imagery and climate data. We 1) sampled current (2017) LAI values in the
146 field and derived the normalized difference vegetation index (NDVI) from concurrent Landsat
147 satellite data, 2) developed a model to predict LAI from NDVI, 3) estimated historic, long-term

148 (1986–2017) LAI values for which satellite, and thus NDVI, data were available, 4) established a
149 model linking historic, long-term (1986–2017) standardized precipitation index (SPI) values that
150 were derived from annual rainfall data as a measure of drought intensity to the estimated LAI
151 values, 5) used the climate change scenario RCP8.5 to reproduce past (1986–2017) and predict
152 future (2018–2100) drought intensities, and 6) used the model developed in step (4) to predict
153 future mangrove health.

154

155 *2.3. LAI from field sampling*

156 LAI measurements were carried out in sample plots with an AccuPAR LP-80 Ceptometer in
157 August and September 2017 on the same date when the most recent satellite images of the study
158 area were captured. Measurements followed the process outlined by Kovacs et al. (2009) that
159 was adapted for the specific conditions of mangroves (i.e., unstable sedimentary beds) and the
160 relatively long time required to measure the LAI with the AccuPAR LP-80. All measurements
161 were taken below and above the canopy in direct sunlight during peak solar radiation hours.
162 Considering that a 15-meter spatial resolution of the pan-sharpened multi-spectral data is
163 necessary for a clear separation of mangroves from its peripheral vegetation (i.e., the separation
164 of fringe mangroves from saltmarshes), square on-the-ground sample plots with dimensions of
165 45 m × 45 m (area of 2025 square meters) corresponding to a 3×3-pixel window on the satellite
166 image were placed throughout the study areas (Fig. 1). Distances between plot centers were 150
167 m (a multiple of 15 m). A total of 85 (Khamir), 65 (Tiab), and 43 (Jask) sample plots were
168 established and the locations of the plot centers were recorded using GPS. In each sample plot,
169 Ceptometer measurements were taken in a circular fashion from a central position at 45 degree
170 intervals for a total of eight readings per plot that were later averaged.

171

172 *2.4. NDVI data from Landsat satellite images*

173 NDVI data were derived from Landsat satellite images (paths/row # 158/042, 159/041 and
174 160/041) taken at low tide in the months of August and September to (1) prevent potential bias
175 due to phenological differences that arise from seasonal vegetation changes and to (2) avoid
176 cloud cover that reduces the quality of the images and may prevent the detection of the features
177 of interest. Following selection of the appropriate Landsat images, geometric and radiometric
178 corrections were performed and images were geo-referenced to UTMWGS-1984 Zone 40N
179 projection and datum, resulting in a root mean square error of 0.143 pixels. To minimize
180 unimportant temporal spectral variability, the images were ortho-corrected (30 m resolution) and
181 radiometrically normalized to a common reference image (21/09/2003) using the Multivariate
182 Alteration Detection (MAD) method (Schroeder et al., 2006; Powell et al., 2010). To make the
183 digital numbers (intensity values) of the pixels of each image comparable, they were converted
184 to reflectance values. Finally, the mean normalized difference vegetation index (NDVI) values of
185 the 9 pixels corresponding to each ground sample plot were extracted from the Landsat satellite
186 images to develop the relationship between NDVI and LAI.

187

188 *2.5. SPI values from recorded climate data*

189 Based on the methods used by Mafi-Gholami et al. (2017), annual SPI values were computed for
190 each study area using the monthly precipitation data for the 32-year measurement period
191 between 1986 and 2017. Data were recorded at 12 meteorological stations located in the
192 catchments and coastal areas of the three study areas and were obtained from the Iranian
193 Meteorological Organization (IRMO). The SPI is a measure of precipitation deficit and
194 distinguishes wet from dry periods, with more positive SPI values indicating greater wetness and

195 more negative SPI values indicating greater drought intensities. Previous research showed that
196 the year 1998 was the main point of overall change in drought occurrence (SPI) on the southern
197 coasts of Iran, when SPI values changed from positive to negative values (Mafi-Gholami et al.,
198 2017). For the period from 1998 to 2017 that exhibited consistently negative SPI values, we also
199 computed the drought magnitude, which is defined as the positive value of the sums of the
200 negative SPI values (Eq. 1).

$$201 \quad \text{Drought Magnitude} = -\left(\sum_{j=1}^x SPI_j\right) \quad (1)$$

202
203 where SPI_j is the negative SPI values j running continuously over a period of x months.

204 205 *2.6. Estimating recent and future SPI values with the RCP8.5 climate change scenario*

206 We used the geographic location and the rainfall data of three selected synoptic weather stations
207 within the three study areas as well as data from the National Center of Environmental Prediction
208 (NCEP) as input to the general circulation model of the Canadian Earth System Model
209 (CanESM2) to forecast future annual SPI values. The CanESM2 model is a fourth generation
210 coupled global climate model developed by the Canadian Centre for Climate Modelling and
211 Analysis (CCCma) of Environment and Climate Change Canada and was obtained from the
212 website of the Canadian Climate Data and Scenarios (CCDS) (<http://ccds-dscc.ec.gc.ca>). Within
213 the CanESM2 framework, we used the 40-year time series (1965-2005) of observed rainfall data
214 obtained from IRMO and the SDSM 5.2 model to downscale the large-scale NCEP rainfall data
215 under the Representative Concentration Pathway (RCP) 8.5 scenario to reproduce the recent 32-
216 year time series of annual SPI values for the period of 1986–2017 and forecast the 82-year time
217 series of annual SPI values for the period of 2018–2100. The reproduction of recent annual SPI

218 values from the RCP8.5 model in comparison to annual SPI values derived from actual rainfall
219 data was used to assess the plausibility of RCP8.5 model forecasts for this study. Among a set of
220 potential RCPs, we chose the RCP8.5 scenario, which is the most pessimistic. It assumes a future
221 with high population growth, relatively slow income growth, and modest rates of technological
222 change and energy intensity improvements, leading to high energy demand and GHG emissions
223 in the long term in the absence of more stringent climate change policies. The RCP8.5 thus
224 corresponds to the pathway with the highest greenhouse gas emissions (Riahi et al., 2011).

225 *2.7. Data analyses*

226 Least squares regression analysis was used to develop separate functional relationships for each
227 study area between the 2017 satellite-derived NDVI values and the field-sampled LAI values.
228 The resulting regression models were then applied to the 32-year time series of NDVI values
229 derived from 90 Landsat satellite images to predict corresponding LAI values for each study
230 area. A least squares regression analysis was also used to relate the predicted LAI values to SPI
231 values in each study area over the same observation period. The resulting regression equations
232 were then applied to predict LAI values for each study area from the RCP8.5-predicted rainfall
233 data/SPI values for the 114-year period between 1986 and 2100. In both cases, the regression
234 analyses were done in the WEKA software after randomly dividing each of the two data sets into
235 a training (2/3 of the data) and a validation (1/3 of the data) dataset (Powell et al., 2010).
236 Splitting the datasets into a training set and a validation set enables an evaluation of the
237 reliability of the model and a quantification of how well the models predict LAI values. To
238 evaluate the plausibility of the RCP8.5 model, we used least squares regression analysis to relate
239 SPI values derived from measured rainfall data to SPI values predicted from the RCP8.5 model
240 over the period from 1986 to 2017. Finally, we used a t-test in the SPSS software to assess

241 whether the year 1998 was the main change point year for the NDVI-predicted and the RCP8.5-
242 modeled LAI values of mangroves.

243

244 **3. Results**

245 *3.1. Mangrove LAI and its relation to drought*

246 Mean (\pm SE) LAI values sampled in 2017 were 2.65 ± 0.31 (range: 2.11 to 4.58) in Khamir, 2.36
247 ± 0.21 (range: 2.05 to 4.43) in Tiab, and 2.81 ± 0.42 (range: 2.21 to 4.78) in Jask. For all three
248 study areas, least-squares regressions that used 2/3 of the observations showed that models
249 relating LAI to NDVI were statistically significant (all $P < 0.001$), with adjusted R^2 values of the
250 models of 0.87–0.93 (Table 1). Model validation with the remaining 1/3 of the data confirmed
251 the statistical significance (all $P < 0.001$) and the high reliability of the models based on adjusted
252 R^2 values of 0.85–0.91 (Fig. 2).

253

254 **Table 1. Least squares regression (LSR) modeling using 2/3 of the observations to predict the 2017 leaf area**
255 **index (LAI) of mangroves from the normalized difference vegetation index (NDVI) derived from 2017**
256 **Landsat satellite images**

257

258 **Fig. 2. Comparison between observed and predicted (from the normalized difference vegetation index**
259 **[NDVI]) values of the leaf area index (LAI) of the validation dataset for the different study areas (a: Khamir,**
260 **b: Tiab and c: Jask)**

261

262 In all three study areas, 32-year (1986–2017) NDVI-predicted LAI values closely tracked the SPI
263 values (Fig. 3a, and b) and resulted in statistically significant (all $P < 0.001$) relationships in all
264 three study areas, with adjusted R^2 values of 0.82–0.93 (Table 2). The high reliability of the

265 models was confirmed with the remaining 1/3 of the data: all models were statistically significant
266 (all $P < 0.001$) and had adjusted R^2 values of 0.79–0.92 (Fig. 4).

267

268 **Fig. 3. Leaf area index (LAI) and Standardized Precipitation Index (SPI) values for each study area over a**
269 **32-year period (1986-2017) (5a: LAI, 5b: SPI)**

270

271 **Table 2. Least squares regression (LSR) modeling using 2/3 of the observations to model the 1986-2017**
272 **NDVI-predicted leaf area index (LAI) of mangroves from the standardized precipitation index (SPI) derived**
273 **from recorded rainfall data**

274

275 **Fig. 4. Comparison between the normalized difference vegetation index (NDVI)-predicted and standardized**
276 **precipitation index (SPI)-predicted leaf area index (LAI) values using the validation dataset of the different**
277 **study areas (a: Khamir, b: Tiab and c: Jask)**

278

279 Between 1986 and 1998, both the SPI and the LAI were positive and increased over the 13-year
280 period (indicating a moderate to severe wet period associated with greater LAI values in 1998
281 than in 1986). In response to decreasing rainfall after 1998, SPI and LAI values declined
282 precipitously and stayed negative over the following 19-year period (indicating a moderate to
283 severe dry period associated with smaller LAI values in 2017 than in 1998), with a slight reversal
284 of this decline observed only in the last few years (Fig. 5a, b). The shift from a wet to a dry
285 period in 1998 was reflected in the coordinated drop in LAI values and was confirmed with t-
286 tests that revealed statistically significant differences (all $P < 0.001$) of mean annual LAI values
287 before (1986–1997) and after (1998–2017) the change-point year 1998 in all three study areas.

288 In addition to changed mean annual LAI values before and after 1998, maximum LAI values
289 changed substantially between the years 1986 (beginning of period), 1998 (middle of period) and

290 2017 (end of period) in all three study areas. For example, maximum observed LAI values in
291 Khamir increased from 3.1 in 1986 to 3.4 in 1998 and declined to 2.6 in 2017. Similarly,
292 maximum observed LAI values in Tiab first increased from 2.2 (1986) to 3.5 (1998) and then
293 declined to 2.3 (2017), while in Jask these values first increased from 2.8 (1986) to 5.2 (1998)
294 and then declined to 2.5 (2017). Figure (5) depicts the spatial distribution of LAI values for
295 Khamir for the years 1986, 1998, and 2017 and shows the spatial and temporal variability of
296 increases and declines in LAI. The corresponding spatial distributions of LAI values for Tiab and
297 Jask that showed similar temporal patterns to Khamir are shown in Supplemental Figs. (1) and
298 (2).

299

300 **Fig. 5. Spatial distribution of leaf area index (LAI) values in the Khamir are through time (a: 1986; b: 1998;**
301 **c: 2017)**

302

303 In addition to the spatio-temporal within-study area variation in LAI, we also observed a regional
304 west-east gradient in drought magnitudes (~20% difference along the gradient; Supplement Fig.
305 4) and severity of recent LAI reductions through time. Whereas westernmost Khamir (Persian
306 Gulf), experienced a mean LAI reduction of 45% (from 4.5 [pre-1998] to 2.9 [post-1998]),
307 centrally situated Tiab (located at the transition from the Persian Gulf to the Gulf of Oman)
308 experienced a 40% LAI reduction (from 3.5 to 2.1) and easternmost Jask (Gulf of Oman) a 48%
309 LAI reduction (from 4.3 to 2.2).

310

311 *3.2. Predicted recent and future drought intensity and mangrove LAIs from the RCP8.5 scenario*

312 Under the RCP8.5 scenario, monthly rainfall amounts were predicted and annual SPI values
313 were derived for the past 32-year (1986–2017) and the future 82-year (2018–2100) period. SPI

314 values for the period of 1986–2017 projected by the RCP8.5 for each study area closely matched
315 SPI values derived from measured rainfall amounts. Statistically significant (all $P < 0.001$)
316 regressions indicated that the RCP8.5 model was capable of reproducing plausible SPI values (R^2
317 $0.91–0.94$; Supplement Fig. 5). Further, and similar to the development of the SPI derived from
318 measured values, the RCP8.5-projected time series of SPI values correctly included the increase
319 in wetness between 1986 and 1998, accurately portrayed the steep decline from wet to drought
320 conditions in 1998 and shortly thereafter, and appropriately stayed at moderate drought levels
321 between 1998 and 2017, indicating only a modest lessening of drought intensities toward the end
322 of the period. For the future, the RCP8.5 projects increased rainfall and thus decreased drought
323 intensities between 2018 to the mid-2030 in all study areas (Fig. 6). Beginning in the mid-2030s
324 however, decreasing rainfall amounts and negative SPI values are projected for all three study
325 areas. This signals the beginning of a long-term moderate drought that continues for some
326 decades and lasts until the mid-2070s in Khamir and Tiab and the mid-2080s in Jask, with
327 greater drought intensity projected for Jask followed by Tiab and Khamir. Finally, between the
328 mid-2070s and 2080s, the RCP8.5 scenario projects increasing annual SPI values until the end of
329 the 21st century. Increasing rainfall amounts are projected to end the long-term drought and
330 result in small, positive SPI values in Khamir and Tiab, and slightly negative SPI values in Jask,
331 indicating a continued moderate drought there.

332

333 **Fig. 6. Projected standardized precipitation index (SPI) and leaf area index (LAI) in the different study areas**
334 **(a: Khamir, b: Tiab and, c: Jask) for the period between 1986 and 2100. Projected SPI values are based on**
335 **the RCP8.5 climate change scenario. LAI values are predicted from regression equations developed between**
336 **observed rainfall-derived SPI values and NDVI-derived LAI values for the period of 1986–2017.**

337

338 Not surprisingly, projected values and changes over time in LAI closely tracked those of the SPI
339 from which they were predicted. The sharp drop in SPI values after 1998 (from an overall mean
340 of 1.2 [pre-1998] to -1.1 [post-1998]) is mirrored in the sharp decreases in the LAI values (from
341 an overall mean of 4 [pre-1998] to 2.6 [post-1998]) in all three study areas, as is the intermittent
342 recovery between 2010 and the 2030s, the decrease and lowest levels of both indices between the
343 2030s and 2060s or 2070s (to an overall mean of 2.2 for LAI and -1 for SPI), and the recovery
344 during the latter part of the 21st century to values similar to currently observed (Fig. 7). Based on
345 projected drought intensities and corresponding predicted LAI values, it is likely that until the
346 end of the 21st century neither SPI nor LAI will recover to values that were observed before
347 1998 (Fig. 7).

348

349 **4. Discussion**

350 Confidence in the plausibility of the predicted LAI values in response to a changing climate as a
351 realistic gauge for future mangrove health is strongly predicated on three important assumptions:
352 1) that mangrove health can be inferred from LAI; 2) that the LAI in mangroves is closely
353 coupled to the SPI such that changes in the amounts of rainfall translate into changes in LAI; and
354 3) that the RPC8.5 climate change scenario produces reasonable estimates of annual amounts of
355 rainfall or values of SPI. As evidenced by the successful linkage of rainfall amounts to the
356 LAI/health of mangroves and the subsequent accurate reproduction of recent mangrove health
357 trends, these assumptions were sufficiently met to forecast the likely future health of mangroves
358 through the end of the 21st century.

359 LAI is a biophysical parameter that is increasingly used in ecological studies (Asner et al., 2003)
360 and has been successfully linked to health of *A. marina* in several studies. For example, whereas

361 healthy *A. marina* forests in New Zealand had mean LAI values of 1.4, degraded forests had
362 values of 1 (Lovelock et al., 2003). Similarly, healthy *A. marina* forests on semi-arid coasts of
363 Western Australia had mean LAI values of 4 whereas degraded and lower production forests had
364 2.7 (Alongi et al., 2000; 2003). Finally, healthy *A. marina* forests in Thailand had mean LAI
365 values of 3.1 whereas values in degraded forests were 1.8 (Laongmanee et al., 2013). Although it
366 is problematic to compare the absolute values of mangrove LAIs among different study regions
367 because they are strongly influenced by a suite of factors such as rainfall, air temperature, wind,
368 evapotranspiration, freshwater inflow into mangroves, nutrients, geomorphological
369 characteristics and sedimentation dynamics, rates of sea level rise, tidal regime, storms, pollution
370 and the structure and composition of mangroves (Kovacs et al., 2009; Djebou et al., 2015; Brandt
371 et al., 2017), it seems clear that declining LAI values within a study area are generally associated
372 with declining health. Thus, LAI values in this study that declined from 3.5–4.5 before 1998
373 (during a comparatively wet period) to 2.1–2.9 between 1998 and 2017 provide strong evidence
374 for a decline in mangrove health and a degradation of habitat conditions after 1998.

375 The temporal pattern exhibited by LAI values derived from satellite-data (i.e., NDVI) closely
376 followed that of the SPI values derived from actual rainfall data over the most recent 32-year
377 period, imparting confidence in our ability to forecast mangrove health from rainfall data. The
378 SPI traced a clear climatic signal that included a steady increase in rainfall amounts, a sharp drop
379 in rainfall amounts that induced moderate to severe droughts, a continued further gradual decline
380 in rainfall amounts that kept the study areas in moderate or severe drought conditions for several
381 years, and a slight recovery of rainfall that eased drought conditions in recent year. The
382 emulation of this pattern by the LAI from satellite data obtained in the months of September and
383 October in response to increases and reductions in seasonal rainfall amounts of the same year

384 indicates a close temporal coupling of these two variables. This close coupling might permit four
385 general conclusions. First, documented adverse effects of climate change on extant mangrove
386 ecosystems resulting in declining LAI/health may be largely driven by declining rainfall amounts
387 (Gilman et al., 2008; Alongi et al., 2015; Mafi-Gholami et al., 2017). Second, mangrove
388 ecosystems respond rapidly to changes in rainfall patterns and freshwater availability, with more
389 adverse responses following more intense drought occurrences (Mafi-Gholami et al., 2017; 2018;
390 Osland et al., 2017). Third, the deterioration of mangrove LAI/health with declining rainfall may
391 be quickly reversible, allowing a rapid recovery of mangroves once rainfall amounts increase
392 (Eslami-Andargoli et al., 2009). The mechanism for this rapid recovery of mangrove health is
393 related to the water table (groundwater) recharge times and possibly nutrient delivery by surface
394 water runoff, which tends to occur at a time scale of months and depends on soil type, vegetation
395 community structure, rainfall and groundwater levels (Alongi 2009). Fourth, the rapid adaptation
396 of mangroves the changing rainfall conditions within the same year may be a trait that enables
397 mangroves to immediately take advantage of improved rainfall amounts or reduce stress under
398 drought conditions. In contrast, the recovery of mangroves from other environmental stresses
399 that induce chemical changes or physical damage seems to require more time. For example,
400 mangroves needed about 20 years to recover from adverse effects of oil spills in coastal waters
401 (Readman et al., 1996) and needed more than five years to recover from damages induced by a
402 tropical cyclone (Asbridge et al., 2018).

403 The temporally coordinated fluctuations of the LAI/health with changes in SPI observed in this
404 study reinforce previous findings that rainfall and drought patterns are prominent drivers of the
405 structure (spatial extent and canopy cover) of mangroves in this semi-arid region (Mafi-Gholami
406 et al., 2017), in the Gulf of Mexico of the United States (Bianchi et al., 2013), in Moreton Bay in

407 Australia (Eslami-Andargoli et al., 2009), and throughout in the world (Alongi et al., 2015;
408 Ellison, 2015; Osland et al., 2017; Gabler et al., 2017). These rainfall effects on mangroves were
409 furthermore expressed by the strong spatial, west-east gradient of LAI that corresponded to the
410 increasing drought intensity gradient from the Persian Gulf to the Gulf of Oman. This west-east
411 gradient also coincides with a gradient in the tidal regime (average tidal ranges: Khamir 3 m;
412 Tiab 2.3 m; Jask 1.2 m; ICZM, 2017), which directly influences the duration of inundation and
413 soil salinity levels and thus the health, productivity, resiliency, and spatial extent of mangroves
414 (Ellison, 2009, 2015; Hogarth, 2015). Because greater tidal ranges are associated with more
415 variable levels of soil salinity, nutrients, and soil saturation (e.g., Sengupta and Chaudhuri, 2002;
416 Özyurt and Ergin, 2010), the vulnerability of mangroves to rising sea levels, salinity, and
417 inundation is lowest in westernmost Khamir (Persian Gulf) and greatest in easternmost Jask
418 (Gulf of Oman). Although the tidal regime and rising sea levels may have amplified the observed
419 west-east gradient in LAI responses in this study, our models indicate that rainfall/drought alone
420 is capable of explaining much of the observed spatio-temporal variability in the LAI.

421 Nonetheless, despite the documented strong linkage of semi-arid mangroves and rainfall in this
422 and other studies, mangrove ecosystems ultimately respond to changes in available freshwater
423 amounts (Feher et al. 2017; Osland et al., 2017). While changes in upstream rainfall amounts
424 directly affect surface and subsurface runoffs, it is actually the timing and quality of freshwater
425 entering the coastal environment from upstream catchments that affect the structure and function
426 of the mangroves more directly (Lugo et al., 1988; Ellison, 2000; Eslami-Andargoli et al., 2009)
427 and ultimately determine the LAI/health of the mangroves in the region. In this study, each study
428 area has two upstream catchments that annually deliver a significant amount of subsurface
429 runoffs into the Persian Gulf and the Gulf of Oman during the autumn and winter seasons

430 (Akbarian and Shayan, 2017) that maintain these mangroves in this region (Mafi-Gholami et al.,
431 2017). With decreasing rainfall, severe and very severe droughts (quantified using the
432 Standardized Stream Flow Index [SSFI]) occurred in the southern parts of Iran after 1998 that
433 resulted in a significant decline in the volume of freshwater from upstream catchments that
434 entered the southern coastal areas (Mafi-Gholami et al., 2018a). As a consequence, the ability of
435 rivers in the upstream catchments to maintain the spatial extent of mangroves through continued
436 sedimentation and to maintain the health of mangroves through their moderating effects on the
437 water salinity (Mafi-Gholami et al., 2017) may have been compromised.

438 Further, lower sedimentation rates following reduced rainfall and runoff may partly explain why
439 the spatial extent of mangrove ecosystems in this region has declined in the recent past, with
440 more severe reductions in the eastern upstream catchments on the coasts of the Gulf of Oman
441 than the western catchments on the coasts of the Persian Gulf (Mafi-Gholami et al., 2018a). In
442 addition to lower sedimentation rates, current relative rises in sea levels of $1.8 \pm 0.3 \text{ mm yr}^{-1}$
443 indicate that mangroves are increasingly vulnerable to water inundation, which will further
444 reduce the resiliency (i.e., to recover rapidly; Yates et al., 2014) and increase the vulnerability
445 (i.e., to be exposed and sensitive to stresses; Ellison, 2015) of mangroves to other environmental
446 stresses and disturbances (Gilman et al., 2007; McKee et al., 2007). Rising sea levels in the
447 future could lead to the loss of 10 to 20 percent of the total area of mangroves globally (Gilman
448 et al., 2006). Considering current rates of sedimentation and the possibility of land-migration,
449 mangroves in our study regions are particularly vulnerable to rising sea levels, and more so along
450 the Gulf of Oman than the Persian Gulf (Etemadi, et al. 2018; Mafi-Gholami et al., 2018b),
451 which may become an important factor for declining future mangrove health.

452 Simultaneously with the reduction of rainfall and the shortage of available freshwater, an
453 increase in air temperature in the study region after 1998 also led to increased volumes of
454 evapotranspiration that were ~6 times greater than the volume of freshwater entering the
455 mangroves (INIOAS, 2017a). This has led to levels of salinity that exceed the tolerance of the
456 mangrove species (Bahrami Samani et al., 2010; INIOAS, 2017b) and may be another
457 mechanism for explaining the reduction of LAI/health after 1998. Hyper-salinization of soil pore
458 water following increased air temperatures, drought, and shortage of freshwater has been found
459 to result in initial decreases in seedling production, decreases in the water uptake capacity and
460 salt exclusion, and the progressive leaf loss, branch death and finally death of *A. marina* as a
461 result of tissue desiccation (Lovelock et al. 2017). Meanwhile, an expected increase in earth
462 surface temperatures of ~1.4 degrees (Szulejko et al., 2017) and an increase in average annual
463 temperature of ~3.2 °C on the southern coast of Iran (Etemadi et al., 2018) by the end of the 21st
464 century would further increase the volume of evapotranspiration on these coasts and exacerbate
465 the destructive effects of long-term droughts on the structure, productivity, and health of these
466 mangroves in the coming decades.

467 Although our future climate projections that rely on the RCP8.5 climate change scenario
468 preclude identifying the exact processes (i.e., changes in runoff amounts, sedimentation, hyper-
469 salinization) that adversely or positively affect mangroves following changes in rainfall patterns
470 in this study, we are nonetheless confident that the RCP8.5 scenario forecasts outcomes are not
471 unrealistic. This confidence is based on the ability of the RCP8.5 scenario to accurately
472 reproduce the distinct rainfall signals (and SPI values) that were observed between 1986 and
473 2017 when parameterized with past climatic data. Assuming then, that the RCP8.5 scenario is
474 capable to accurately forecast at least the general trend of future SPI values, we find the recently

475 observed trend of decline and recovery of SPI and LAI to continue in the future. Thus, despite
476 predicted, continuously increasing temperatures for the region that may be ~3.2 °C (Etemadi et
477 al., 2018) and as high as 4°C until the end of this century under the RCP8.5 scenario (Brown and
478 Caldeira, 2017), rainfall/drought patterns are spatially variable and do not necessarily translate
479 into ever-increasing droughts everywhere. Although several studies have shown that the drought
480 severity in different regions of the world will likely increase with increasing greenhouse gas
481 emissions and global warming over the coming decades and toward the end of the 21st century
482 (Burke et al., 2006; McGrath et al. 2012; Dai, 2013; Trenberth et al., 2014), the regions in the
483 mid-latitudes may be an exception that show a reduction of drought severity by the end of 21st
484 century (Orlowsky and Seneviratne, 2013; Cook et al., 2014). Our general forecast of increasing
485 droughts around mid-century and recovery in the last decades of the 21st century to levels
486 similar to the present time is thus consistent with previous results and was also predicted by
487 Zarei et al. (2016) and Gohari et al. (2017) for the Persian Gulf region. Similarly to this study,
488 Zarei et al. (2016) and Gohari et al. (2017) expect that long-term droughts will occur on southern
489 coast of Iran from the mid-2040s until the mid-2080s, followed by rising rainfall and reduced
490 drought intensity by the end of the 21st century. We thus forecast that mangrove ecosystems
491 along the Persian Gulf and the Gulf of Oman are not necessarily lost to future climate change,
492 provided that rainfall patterns/SPI values do not drastically diverge from those forecast in this
493 study. Nonetheless, predicted increases in sea levels with increasing temperatures poses a
494 continued threat to mangroves in this region and might lead to future reductions in the spatial
495 extent of these ecosystems in the Persian Gulf and the Gulf of Oman.

496

497 **5. Conclusion**

498 Climate change has been identified as a major cause for the decline of mangrove ecosystems
499 around the world. Constituting the interface of terrestrial and aquatic ecosystems, mangrove
500 forests are particularly vulnerable to the effect of climate change due to their close dependence
501 on the supply of freshwater. Along the semi-arid southern coasts of Iran, increased frequencies
502 and intensities of droughts might devastate currently existing mangrove ecosystems in the future.
503 This is the first study of its kind that has used an integrated, regional-scale approach to quantify
504 historical effects of rainfall amounts/drought intensities (SPI) on the LAI of mangroves along a
505 spatial gradient of rainfall/drought on the northern coasts of the Persian Gulf and Gulf of Oman
506 to forecast the future health of mangroves in response to predicted droughts under the climate
507 change scenario RCP8.5. The RCP8.5 scenario forecasts three decades of moderate to severe
508 droughts centered around mid-century and lesser drought intensities toward the end of the 21st
509 century that are comparable to present time droughts for our study region. Based on the close
510 relationship of actual SPI and LAI values observed over the most recent 32-year period in the
511 region, we predict that the temporal trajectory of LAIs will closely track that of SPIs in the future
512 as well. As in the past, the west-east gradient of greater drought intensity and lower LAI values
513 from the Persian Gulf to the Gulf of Oman is expected to continue into the future. While we are
514 confident that mangrove health is likely to worsen under drought conditions and recover
515 whenever drought intensities lessen, our estimates of future LAI values and mangrove health
516 status are nonetheless far from certain. In addition to the uncertainty inherent in the forecasts of
517 long-term trends in rainfall and drought occurrences, uncertainty also arises from potential
518 additional effects of site-specific characteristics and local environmental factors on mangrove
519 health. These effects have generally not yet been accounted for and were assumed for this study

520 to not change significantly in the coming decades. Because rising air temperatures and sea levels,
521 increased human utilization for fuel and contaminants that enter mangrove ecosystems will likely
522 weaken the health of mangroves and amplify the effects of drought in the coming decades, future
523 studies need to more comprehensively incorporate the impacts of these other factors along with
524 rainfall patterns and droughts to predict the long-term fate of mangrove ecosystems in the region.
525 This methodology should also be applied in different mangrove ecosystems to better understand
526 whether mangrove ecosystems composed of different species compositions and structures may
527 respond to changes in rainfall and drought.

528

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534

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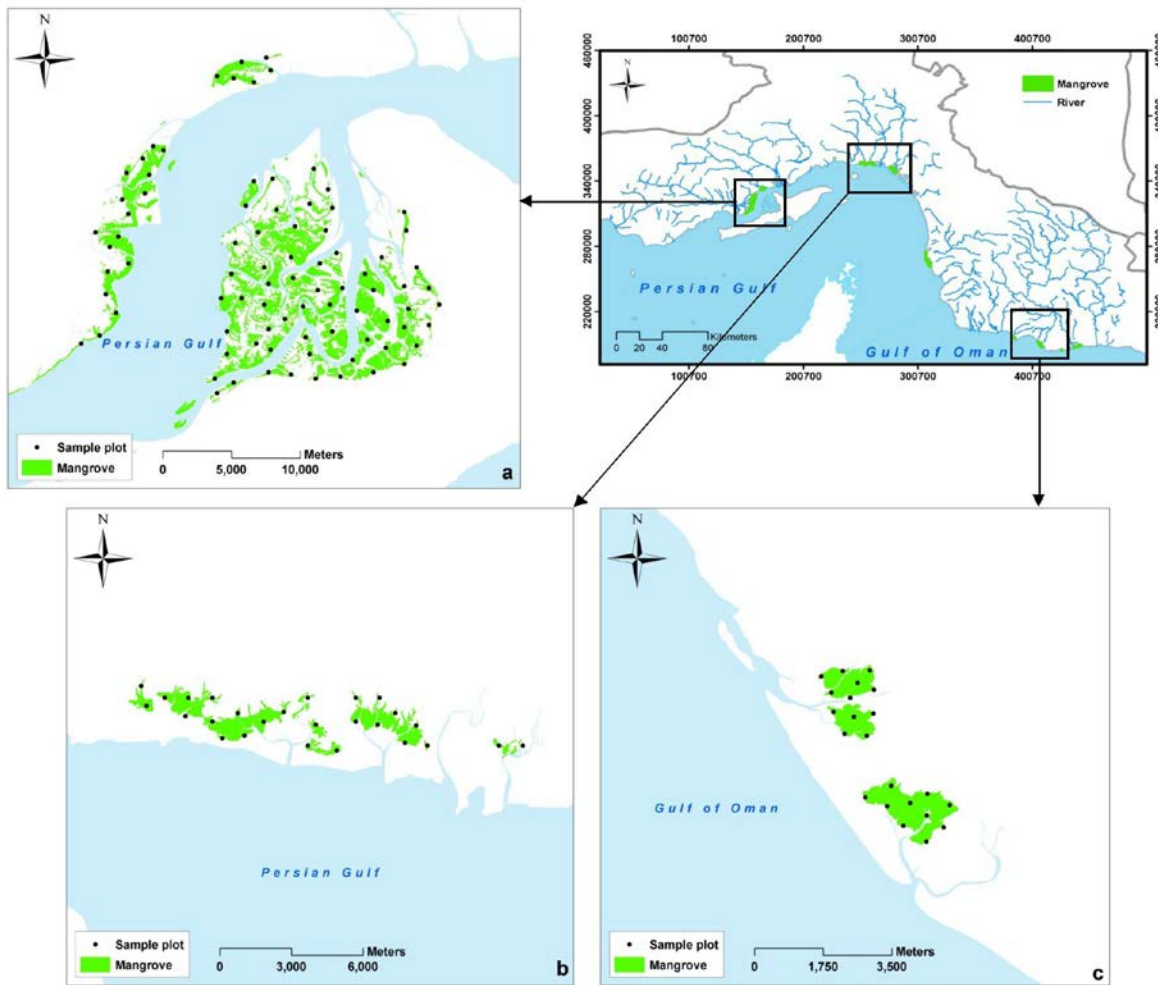
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Fig. 1. Geographic location of the three study areas (a: Khamir, b: Tiab, c: Jask) with the sample plots (black circles)

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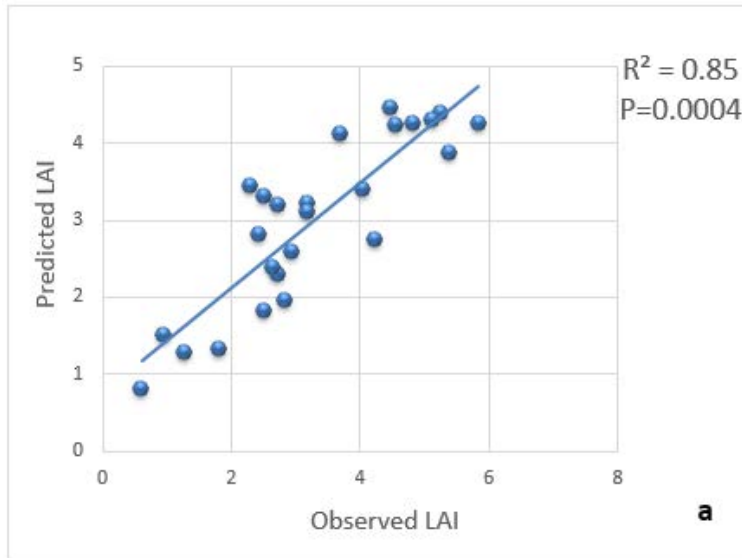
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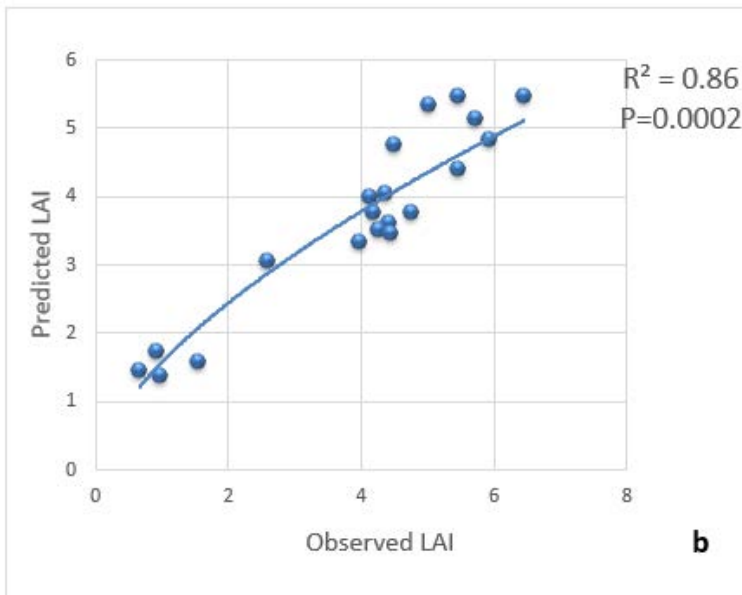
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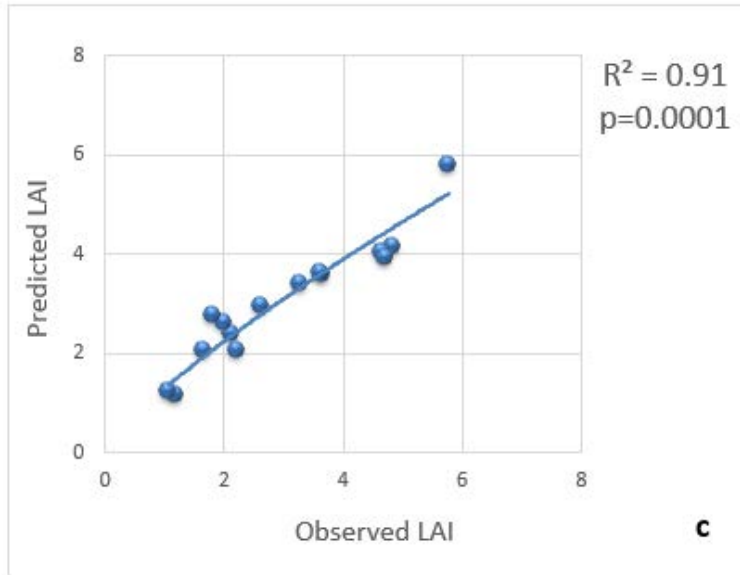
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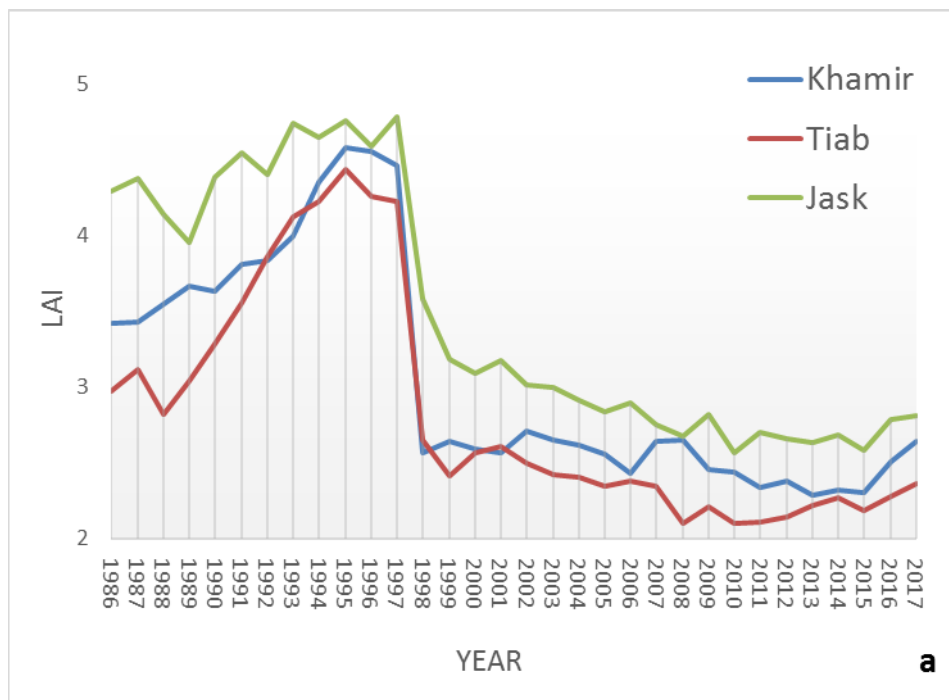


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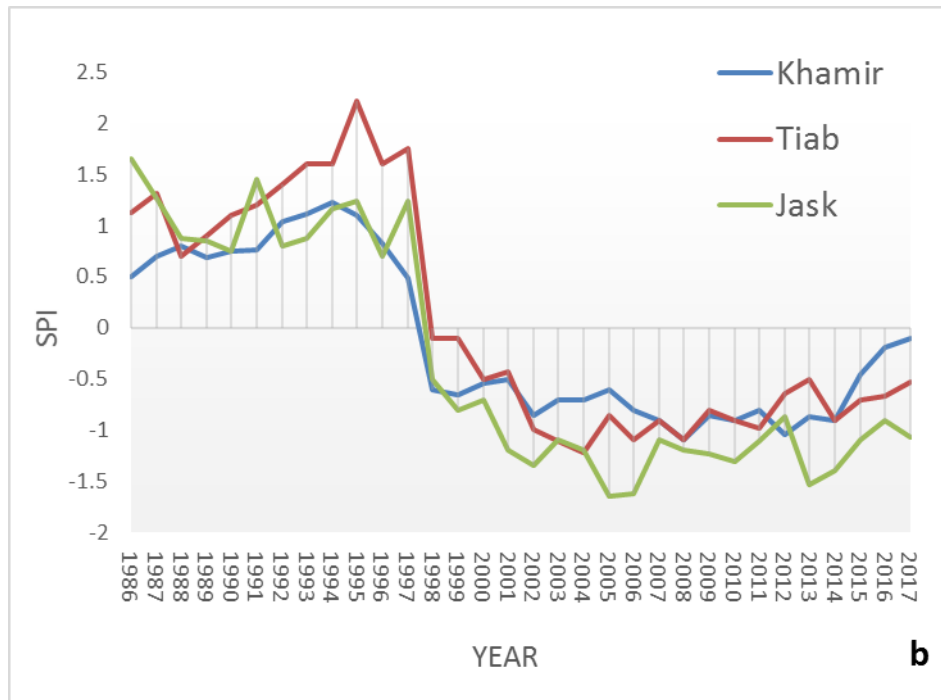


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Fig. 2. Comparison between observed and predicted (from the normalized difference vegetation index [NDVI]) values of the leaf area index (LAI) of the validation dataset for the different study areas (a: Khamir, b: Tiab and c: Jask)



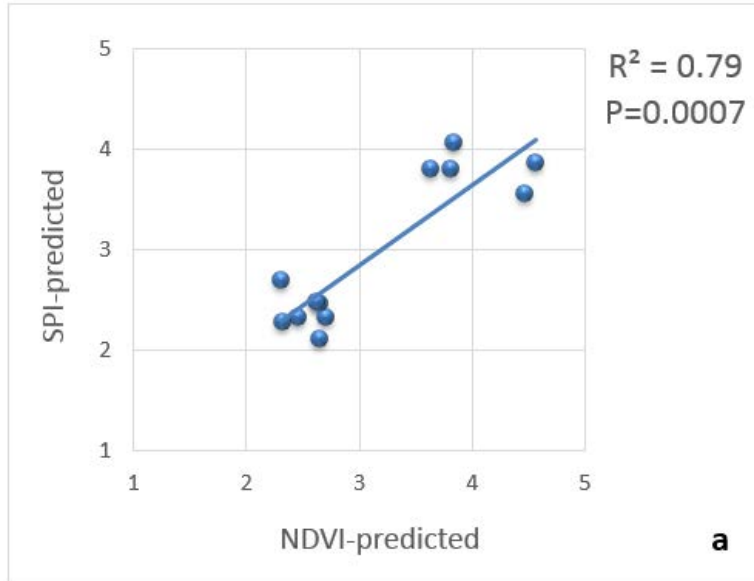
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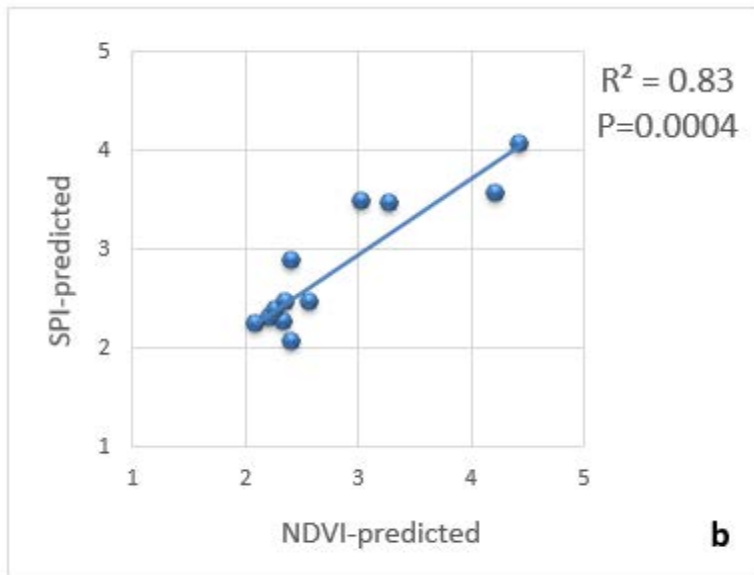
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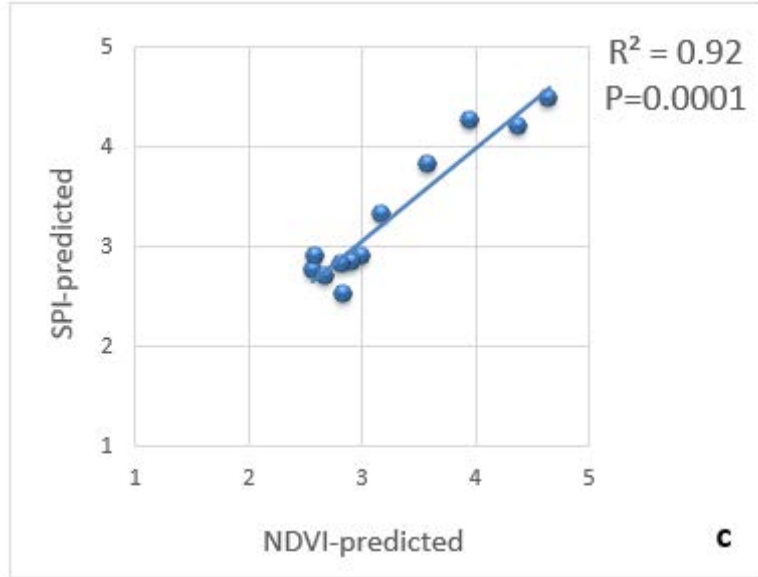
Fig. 3. Leaf area index (LAI) and Standardized Precipitation Index (SPI) values for each study area over a 32-year period (1986-2017) (5a: LAI, 5b: SPI)

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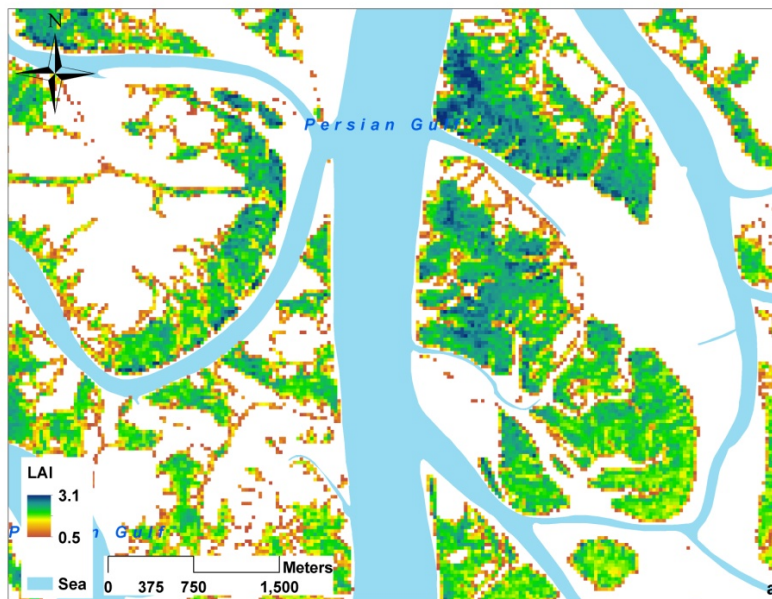
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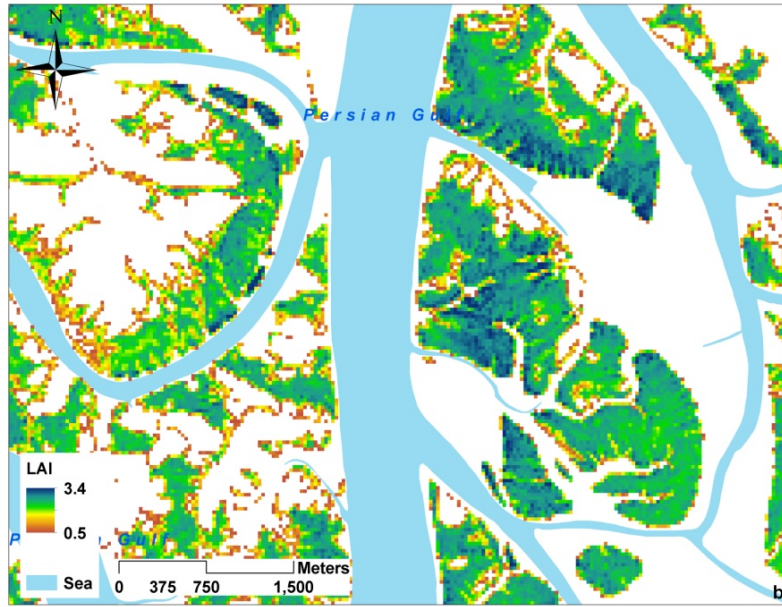
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Fig. 4. Comparison between the normalized difference vegetation index (NDVI)-predicted and standardized precipitation index (SPI)-predicted leaf area index (LAI) values using the validation dataset of the different study areas (a: Khamir, b: Tiab and c: Jask)



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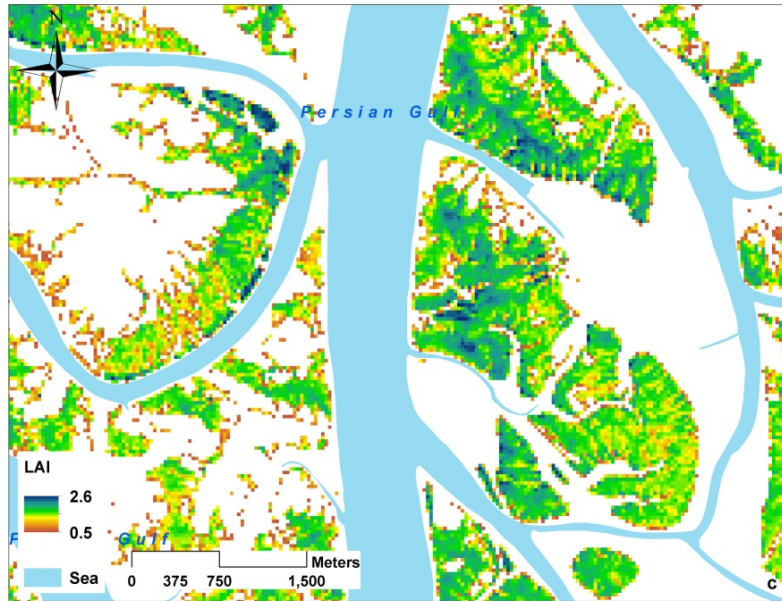
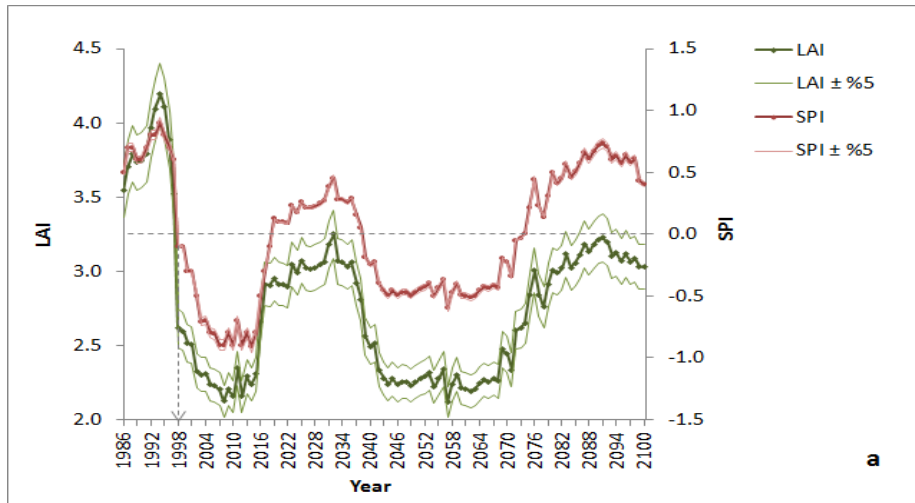
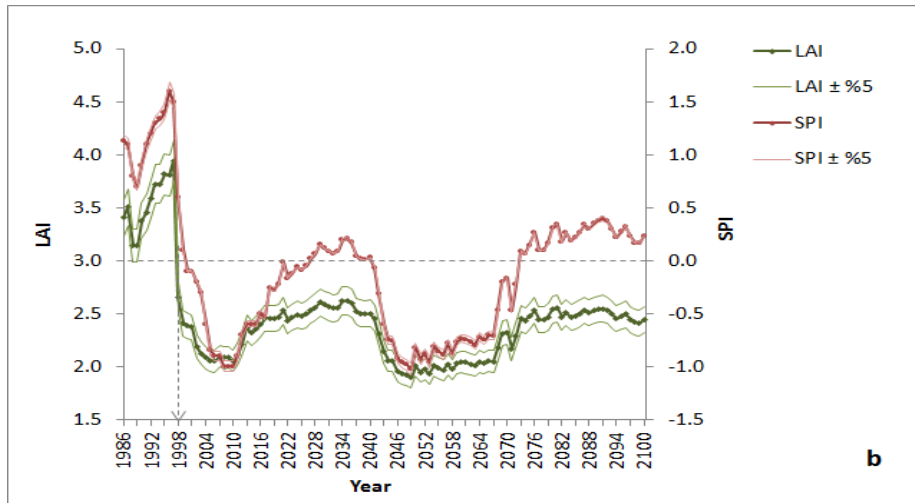


Fig. 5. Changes of the leaf area index (LAI) in the Khamir area through time (a: 1986; b: 1998; c: 2017)

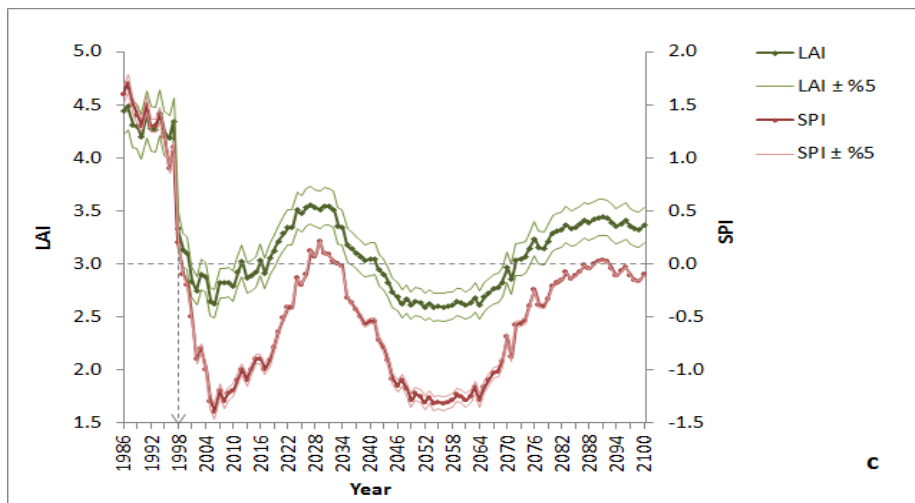
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Fig. 6. Projected standardized precipitation index (SPI) and leaf are index (LAI) in the different study

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areas (a: Khamir, b: Tiab and, c: Jask) for the period between 1986 and 2100. Projected SPI values are

829 based on the RCP8.5 climate change scenario. LAI values are predicted from regression equations
830 developed between observed rainfall-derived SPI values and NDVI-derived LAI values for the period of
831 1986–2017
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833 **Table 1.** Least squares regression (LSR) modeling using 2/3 of the observations to predict the 2017 leaf
 834 area index (LAI) of mangroves from the normalized difference vegetation index (NDVI) derived from
 835 2017 Landsat satellite images

Study area	a	b	SE	Adj-r ²	P value
Khamir	0.0828	0.215	9.887	0.87	<0.001
Tiab	7.139	0.493	1.139	0.89	<0.001
Jask	8.42	0.273	0.627	0.93	<0.001

836 a and b: slope and intercept of the regression equation

837 SE: standard error of the equation

838 Adj-r²: adjusted R-squared

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862 **Table 2.** Least squares regression (LSR) modeling using 2/3 of the observations to model the 1986-2017

863 NDVI-predicted leaf area index (LAI) of mangroves from the standardized precipitation index (SPI)

864 derived from recorded rainfall data

Study area	a	b	SE	Adj-r ²	P value
Khamir	0.911	3.114	0.235	0.82	<0.001
Tiab	0.586	2.774	0.346	0.84	<0.001
Jask	0.696	3.681	0.292	0.93	<0.001

865 a and b: slope and intercept of the regression equation

866 SE: standard error of the equation

867 Adj-r²: adjusted R-squared

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