### 1 Rippling pattern of distortion product otoacoustic emissions evoked by high-frequency

# 2 primaries in guinea pigs

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#### 21 ABSTRACT

during  $f_1$  tone sweeps using fixed high frequency  $f_2$  (>20kHz) in guinea pigs is investigated. The peaks of the ripples, or local DPOAE amplitude maxima, are separated by approximately half octave intervals and are accompanied by phase oscillations. The local maxima appear at the same frequencies in DPOAEs of different order and velocity responses of the stapes and do not shift with increasing levels of the primaries. A suppressor tone had little effect on the frequencies of the maxima, but partially suppressed DPOAE amplitude when it was placed close to the  $f_2$  frequencies. These findings agree with earlier observations that the maxima occur at the same DPOAE frequencies, which are independent of the  $f_2$  and the primary ratio, and thus are likely to be associated with DPOAE propagation mechanisms. Furthermore, the separation of the local maxima by approximately half an octave may suggest that they are due to interference of the travelling waves along the basilar membrane at the frequency of the DPOAE. It is suggested that the rippling pattern appears because of interaction between DPOAE reverse travelling waves with standing waves formed in the cochlea. 

The origin of ripples in DPOAE amplitude which appear at specific DPOAE frequencies

#### 44 I. INTRODUCTION

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The behaviour of the amplitude and phase of distortion product otoacoustic emissions (DPOAEs) generated by the cochlea during two-tone stimulation (Kemp, 1979) is complex. The DPOAE amplitude and phase behaviour depends on the animal species and the frequencies, levels, and separation of the primary tones ( $f_1$  and  $f_2$ ,  $f_2 > f_1$ ). Many characteristics of DPOAEs and their generation mechanisms have been explored, including the existence of DPOAE fine structure, the non-monotonic behaviour of emissions as a function of frequency and level of the primaries, and band pass filtering produced as a function of primary frequency ratio (for review see Avan *et al.*, 2013). However, a complete understanding of

54 There is a general consensus that DPOAEs recorded in the ear canal are the vector sums of

DPOAE generation and propagation is still lacking.

55 emissions generated by at least two sources (Brown et al., 1996; Shera and Guinan, 1999; Talmadge et al., 1999, Knight and Kemp, 2000; Kalluri and Shera, 2001). One source is the 56 57 overlap between the two primary tones (Russell and Nilsen, 1997), where energy at the distortion frequencies is produced (Brown and Kemp, 1984) and elicits a travelling wave in 58 59 the forward and reverse directions. The forward travelling wave peaks closer to the apex of the cochlea at the distortion characteristic frequency (CF) place, and is partially reflected 60 back out of the cochlea, due to random mechanical irregularities along the organ of Corti 61 62 (Kemp and Brown, 1983; Hilger et al., 1995; Zweig and Shera, 1995; Shera and Guinan, 1999; Konrad-Martin et al., 2001). The mixing of these two emissions, so called distortion-63 source and reflection-source emissions, has been shown to cause fine structure of DPOAE 64 recorded in the ear canal (Heitmann et al., 1998; Talmadge et al., 1999). However, such 65 mechanical irregularities putatively responsible for generation of reflection-source emission 66 are less prominent in rodents (Kemp, 1986; Lonsbury-Martin et al., 1988; Shera and Guinan, 67 1999), and DPOAEs measured in rodent species do not display the rapidly changing fine 68

structure of human DPOAEs (Withnell et al., 2003). This observation has led to a debate as 69 to the mechanism behind the non-monotonic changes in DPOAE amplitudes during changes 70 71 of the primary frequencies in non-human mammal species (Lukashkin and Russell, 2001; Withnell et al., 2003; Goodman et al., 2003; Lukashkin et al., 2007; de Boer et al., 2007). 72 The rippling of the DPOAE amplitude with distinct local maxima observed for specific 73 74 DPOAE frequencies when the primary frequency ratio  $f_2/f_1$  is varied and  $f_2$  is kept constant have been described (Lukashkin et al., 2007). These local amplitude maxima appeared at 75 similar frequencies for moderate sound pressure levels across animals of the same species 76 and across different  $f_2$  frequencies. Maxima at similar frequencies were also reported when 77 measuring higher order emissions  $(3f_1-2f_2 \text{ and } 4f_1-3f_2)$ . The finding that the local amplitude 78 maxima were observed at the same DPOAE frequencies in emissions of different orders and, 79 hence, for different primary ratios indicates that these maxima may be related to the 80 propagation rather than the generation of DPOAEs. It was suggested (Lukashkin et al., 2007) 81 82 that this rippling pattern may be due to formation of standing waves in the cochlea (Kemp, 1979; Russell and Kössl, 1999; Goodman et al., 2003; Shera, 2003). Cochlear standing waves 83 may form during the DPOAE back propagation as a slow wave (Vetešník and Gummer, 84 2012), with the possibility that, in a variety of experimental paradigms, the formation of 85 standing waves can contribute to the non-monotonic behaviour of DPOAE amplitude. 86 87 In this paper we further investigate the rippling, non-monotonic behaviour of the distortion product amplitude in acoustic responses of the cochlea and mechanical responses of the 88 stapes during variation of the primary frequency ratio and levels of the primary tones, and in 89 the presence of a suppressor tone. It is concluded that local DPOAE amplitude maxima are 90 due to formation of cochlear standing waves. 91

## 92 **II. METHODS**

### A. Animal preparation

Data collected from 9 pigmented guinea pigs (male and female 172-393g) was used in this 94 study. DPOAE data were collected from 4 animals and a further 5 animals were used for 95 combined recording of DPOAE and mechanical responses of the stapes. All procedures 96 involving animals were performed in accordance with UK Home Office regulations with 97 98 approval from the University of Brighton Animal Welfare and Ethical Review Body. Guinea pigs were anaesthetised with the neurolept anaesthetic technique, (0.06 mg/kg body weight 99 atropine sulphate s.c., 30mg/kg pentobarbital i.p., and 500 µl/kg Hypnorm i.m.). Additional 100 injections of Hypnorm were given every 40 minutes at half of the initial dose. Additional 101 doses of pentobarbital were administered as needed to maintain a non-reflexive state. The 102 heart rate was monitored with a pair of skin electrodes placed on both sides of the thorax. The 103 animals were tracheotomised and artificially ventilated, and their core temperature was 104 maintained at 38°C with a heating blanket and heated head holder. The middle ear cavity was 105 106 opened to reveal the round window and the middle ear ossicles.

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### B. Sound stimulation and DPOAE recording

108 Sound was delivered to the tympanic membrane by a closed acoustic system comprising of two Bruel & Kjaer 4131 <sup>1</sup>/<sub>2</sub>-inch speakers for delivering tones and a single Bruel & Kjaer 109  $3133 \frac{1}{2}$  inch microphone for monitoring sound pressure. The sound system was coupled to 110 the ear canal via 1 cm long, 4 cm diameter tubes to a conical speculum, the 1 mm diameter 111 opening of which was placed about 1 mm from the tympanic membrane. The closed sound 112 system was calibrated in situ for frequencies between 1 and 50 kHz. Known sound-pressure 113 levels were expressed in dB SPL re:  $2 \times 10^{-5}$  Pa. All sound stimuli in this work were shaped 114 with raised cosines of 0.5 ms in duration at the beginning and end of stimulation. White noise 115 for acoustical calibration and tone sequences for auditory stimulation were synthesised by a 116

117 Data Translation 3010 board at a sampling rate of 250 kHz and delivered to the microphones 118 through low-pass filters (100 kHz cut-off frequency). Signals from the measuring amplifier 119 were digitized at 250 kHz using the same board and averaged in the time domain. Amplitudes 120 of the spectral maxima were obtained by performing an FFT on a time domain averaged 121 signal, 4096 points in length. The maximum level of system distortion measured with an 122 artificial ear cavity for the highest levels of primaries used in this study ( $L_1$ =70 dB SPL) was 123 70 dB below the primary level.

124 DPOAE ratio functions were recorded using  $f_1$  sweeps with constant  $f_2$ . Levels of the 125 primaries,  $L_1$  and  $L_2$ , were constant during each sweep with  $L_1=L_2+10$  dB. For suppression 126 experiments, a calibrated 67 dB SPL suppressor tone was added using a Philips PM1593 127 programmable function generator, and ratio functions were recorded in the presence of 128 different suppressor frequencies. The simultaneous suppression paradigm was implemented. 129 The suppressor was presented over the duration of the primaries.

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## C. Recording of stapes vibrations

Stapes vibrations were recorded using a CLV-2534 Laser Vibrometer (Polytec, Germany). 131 132 The laser beam was focussed onto the incudostapedial joint, or the head of the stapes, depending upon target angle and accessibility in individual preparations. No noticeable 133 difference between the two recording sites was observed. Care was taken throughout data 134 135 acquisition to maintain the highest reflected signal possible, by adjusting the focus of the laser point to account for gross physiological movement within the preparation. The output 136 voltage from the vibrometer was band-pass filtered between 100 Hz - 100 kHz, with a 137 138 sensitivity of 2mm/s/V and a gain of x100.

Experimental control and data acquisition were performed using a PC with custom programs
written in MATLAB (MathWorks, MA). Data analysis was performed using Origin

(OriginLab, MA). Acoustic and laser measurements were conducted in healthy cochleae, and
post mortem following overdose using Euthatal, in the presence of sodium salicylate crystals
placed onto the round window membrane.

# 144 III. RESULTS

- 145 The DPOAE amplitude as a function of primary frequency ratio has a well-known bell-like
- shape (e.g. Brown *et al.*, 1992) when constant  $f_2$  is in the low-/mid-frequency range for
- 147 guinea pigs (Fig. 1). The maximum of this bell-like shape is observed at approximately the
- same frequency for DPOAEs of different order (Fig. 1), where the order of DPOAE  $mf_1+nf_2$
- 149 is determined by the sum m+n. The position of this maximum is level dependent and it shifts
- towards lower frequencies with increasing level of the primaries (Lukashkin and Russell,
- 151 2001; Lukashkin et al., 2007).



FIG. 1. Dependence of DPOAE amplitude on the  $f_2/f_1$  ratio for low-frequency primaries in a single preparation. Symbol coding for different DPOAEs is shown at the top panel.  $f_2$ was fixed and its value is indicated within the panels;  $f_1$  was varied to obtain the required DPOAE frequency. Primary levels were fixed at  $L_1 = L_2 + 10$  dB=40 dB SPL.

- 153 This dependence, however, becomes more complex for high-frequency primaries, namely
- local amplitude maxima are superimposed onto the general bell-like shape when constant  $f_2$  is
- 155 kept above 12 kHz (Lukashkin *et al.*, 2007). The local maxima generated for  $f_2$  at and above
- 156 24 kHz are marked as X, Y and Z in Figure 2.



FIG. 2. Dependence of  $2f_1$ - $f_2$  amplitude (mean  $\pm$  SD, n=4) on the  $f_2/f_1$  ratio for different high-frequency  $f_2$  and different levels of stimulation. Corresponding fixed values of  $f_2$  are indicated within each panel;  $f_1$  (top abscissa) was varied to obtain the required DPOAE frequency. Primary levels were fixed for each curve at  $L_1 = L_2 + 10$  dB. Symbol coding for different  $L_1$  is shown at the bottom right panel. Vertical dashed line indicate the approximate positions of local amplitude maxima denoted as X, Y and Z. The  $f_2/f_1$  ratio for the positions of the dashed lines in A-D is indicated in brackets in A (top left).

Regardless of *f*<sup>2</sup> value, the maxima remain at approximately the same frequency but, because of presence of the general bell-like shape, their position may shift slightly for different parameters of stimulation. These local amplitude maxima are separated by approximately half-octave intervals. The maxima may not be pronounced at intermediate levels of the





FIG. 3. (color online) Phase behaviour of high-frequency DPOAE. A. Dependence of  $2f_1$  $f_2$  phase (mean ± SD, n=4) on the  $f_2/f_1$  ratio for different levels of stimulation. B. Phase difference between phase plots in A and corresponding constant slopes indicated by dashed lines in A.  $f_2$  was fixed at 28 kHz. Primary levels were fixed for each curve at  $L_1$ = $L_2$ +10 dB. Symbol coding for different  $L_1$  is shown within each panel. Vertical dashed lines are situated at the same frequencies as in Fig. 2C and indicate approximate positions of amplitude maxima denoted as X, Y and Z. Phase was corrected for the primary phases and phase transitions (up to half-cycle) associated with the amplitude notch due to DPOAE generating non-linearity (Lukashkin and Russell, 1999).

164 of the high-frequency DPOAEs shows associated oscillations (illustrated for  $f_2=28$  kHz in



166 patterns are usually observed during wave interaction and may indicate the amplitude

167 maxima originate from the summation of waves at the DPOAE frequencies.

- 168 It is worth noting that the amplitude maxima are observed for the same DPOAE frequencies,
- and, hence, for different primary ratios and different corresponding  $f_1$ , in both distortion
- 170 components  $(2f_1-f_2, 3f_1-2f_2)$  analysed in this paper (Fig. 4). It has been observed previously

that the 4*f*1-3*f*2 emission contains these frequency specific maxima (Lukashkin *et al.* 2007).



FIG. 4. Dependence of DPOAE amplitude (mean  $\pm$  SD, n=4) on the  $f_2/f_1$  ratio for different high-frequency  $f_2$ . Corresponding fixed values of  $f_2$  are indicated within each panel;  $f_1$  was varied to obtain the required DPOAE frequency. Primary levels were fixed at  $L_1 = L_2 + 10$ dB=50 dB SPL. Symbol coding for different orders of DPOAEs is shown in A.

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173 For low levels of the primaries, the amplitude notches between the maxima are less

174 pronounced but the maxima are still visible. The maximum amplitude of the bell-like shape

175 of DPOAEs shifts to lower frequencies with increasing level of the primaries (e.g. see

- 176 Lukashkin and Russell, 2001; Lukashkin *et al.*, 2007). There is, however, no statistically
- significant (p = 0.05) shift in the frequency of the local amplitude maxima with increasing



178 level of the primaries (Fig. 5).

FIG. 5. Dependence of position of local amplitude maxima on level of the primaries for different high-frequency  $f_2$ . Data points show average values for 4 preparations (mean  $\pm$  SD). Corresponding fixed values of  $f_2$  are indicated within each panel. Symbol coding for maxima Y and Z (the same notation as in Fig. 2) is shown at the top left panel. Straight lines indicate linear regressions fit to the data. Slopes (b) of the linear regression fit and calculated p-values for the regression slope analysis (two-tailed t-test; null hypothesis: the slope of the regression line is equal to zero; 95% confidence level) are indicated near each line.

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180 To confirm that the rippling pattern of the DPOAE amplitude is of cochlear origin, stapes 181 velocity at the  $2f_1$ - $f_2$  frequency in healthy and passive cochleae was recorded. Figure 6 shows 182 both the DPOAE amplitude and the velocity of the stapes vibrations at the  $2f_1$ - $f_2$  frequency in

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184 somatic motility (Dieler et al., 1991).
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FIG. 6. Dependence of the DPOAE amplitude (squares) and the velocity of the stapes vibrations (circles) at the  $2f_1$ - $f_2$  frequency (mean  $\pm$  SD, n=5) on the  $f_2/f_1$  ratio.  $f_2$  was fixed at 28 kHz. Primary levels were fixed at  $L_1 = L_2 + 10$  dB=50 dB SPL. Solid symbols indicate post mortem responses in the presence of salicylate. Black lines indicate corresponding noise floors.

The local maxima in the DPOAE amplitude and in the stapes distortion products were 186 conserved between the two measurement techniques. The low frequency maximum in the 187 188 stapes responses was relatively lower in amplitude than that recorded acoustically. This could be due to multimodal vibrations of the stapes, whereby at low frequencies it moves in a 189 single, piston-like mode, but at higher frequencies displays complex rocking motion (e.g. 190 191 Eiber et al., 2012). Because the stapes response was recorded in a single plane in our experiments, summation of energy of these different modes at the level of the acoustic 192 response (DPOAE) in the ear canal could produce a relatively larger overall response. In post 193 194 mortem preparations where OHC somatic motility was additionally suppressed by salicylate,

both the DPOAE amplitude and the amplitude of distortion products of the stapes velocity
responses dropped into the noise floor. This indicates that the local maxima in the primary
ratio functions are of cochlea origin and physiologically vulnerable.

198 To examine whether the rippling pattern of the DPOAE amplitude was due to interaction

between emissions from the distortion source and the reflection source, a third, suppressor

200 tone which spanned a wide range of frequencies was added to the acoustic stimulation. When

201 the suppressor tone was added, it changed the dependence of the DPOAE amplitude on the

202 ratio of the primaries (Fig. 7).



FIG. 7. (color online) Dependence of  $2f_1$ - $f_2$  amplitude on the  $f_2/f_1$  ratio for different frequencies of the suppressor tone recorded in a single preparation.  $f_2$  was fixed at 30 kHz. Primary levels were fixed at  $L_1 = L_2 + 10$  dB =60 dB SPL. Black squares indicate response in the absence of suppressor. The suppressor level was fixed for all curves at 67 dB SPL. Suppressor frequency was fixed for each  $f_2/f_1$  ratio curve and is indicated within the figure legend. The local amplitude maxima are labelled X, Y and Z (the same notation as in Fig. 2). The top abscissa shows corresponding  $f_1$  frequencies. Dotted line shows the noise floor.

Suppressor tones close to *f*<sub>2</sub> (27 and 31 kHz in Fig. 7) were the most efficient in decreasing
the overall DPOAE amplitude over a wide frequency range. Presumably, because these
suppressors effectively suppress responses of both primaries in the region of their overlap.
For all frequencies of the suppressor, the absolute decrease of the DPOAE amplitude was,
however, larger for the low-frequency shoulder of the ratio dependence and, hence, lowfrequency maxima X and Y were suppressed more efficiently than maximum Z. Peak Z was
reduced in the presence of the suppressor only when the suppressor frequency was close to *f*<sub>2</sub>.

Maxima X and Y were also affected by suppressors close to the  $f_2$ , but there appeared to be 211 little evidence of a functional relationship between suppressor frequency and suppression 212 efficiency for lower frequencies. Whilst the observation that suppression is more efficient for 213 suppressor tones closer in frequency to  $f_2$  is well supported (Brown and Kemp, 1984; Harris 214 et al., 1992; Kummer et al., 1995; Abdala et al., 1996; Martin et al., 1998), the finding that 215 the absolute decrease of the DPOAE amplitude was larger for the low-frequency shoulder of 216 the ratio dependence may be somewhat counterintuitive. This effect can be explained by 217 considering the responses of the primaries in the region of their overlap, which is close to the 218 219  $f_2$  place and is thought to be the region where energy at the distortion is originally produced (Brown and Kemp, 1984). The low-frequency suppressor tone's effect (15 and 19 kHz 220 suppressor, Fig. 7) on the DPOAE amplitude would be relatively small for small  $f_2/f_1$  ratios 221 222 when the primary frequencies are close to each other and produce large responses in the overlap region. When primary frequency separation increases, the suppressor becomes 223 effective against  $f_1$  when  $f_1$  is further away from  $f_2$  and when responses of the suppressor and 224  $f_1$  in the primary overlap region become comparable. Significantly for this study, there was no 225 local suppression effect observed for maxima X, Y and Z whilst the suppressor frequency 226 was close to the  $2f_1$ - $f_2$ , hence, contribution of the secondary emission source into the 227 generation of the local amplitude maxima was minimal. 228

## 229 IV. DISCUSSION

This study investigates the origin of ripples in the amplitude of high-frequency DPOAEs which form local amplitude maxima at specific DPOAE frequencies independent of the primary frequencies and levels. The maxima are separated by approximately half octave intervals and they are observed at the same frequencies in the DPOAEs of different order and, in fact, in responses of the stapes at the distortion frequencies. A third high-level tone, while

suppressing overall amplitude of the DPOAE, does not suppress this rippling pattern whether the suppressor frequency is close to  $f_1$ ,  $f_2$  or to the frequency of emission.

The rippling pattern is generated within the cochlea and is already seen in the stapes 237 vibrations at the corresponding frequencies. Logarithmic scaling of these frequencies 238 resembles the cochlear logarithmic scaling (von Békésy, 1960; Greenwood, 1990), which 239 240 makes it unlikely that the local maxima are artefacts of the acoustic systems used for DPOAE stimulation and recording. The frequencies of the local maxima appear to be due to the 241 intrinsic characteristics of the guinea pig cochlea because these frequencies do not depend on 242 the primary frequencies. They are essentially the same for different  $f_2$  frequencies and the 243 amplitude maxima appear at the same frequencies in higher order emissions, although this 244 means that they appear at very different primary ratios  $f_2/f_1$ . 245

246 It is unlikely that the rippling pattern is generated by the primary mechanisms responsible for the DPOAE generation at the place of primary overlap near the  $f_2$  CF place (Brown and 247 Kemp, 1984). Increase in the overlap of the primaries and shift of their excitation envelopes 248 to the base of the cochlea with increasing the level of stimulation causes a frequency shift, for 249 example, the DPOAE filter functions (Lukashkin and Russell, 2001; Lukashkin et al., 2007) 250 and the DPOAE fine structure (He and Schmiedt, 1993). The local amplitude maxima do not, 251 however, exhibit a level dependent frequency shift. The absence of this frequency shift, along 252 with the frequency invariance of the maxima relative to the  $f_2$ , implies that the maxima relate 253 to an amplitude modulation of DPOAE by phenomena related to cochlear structure. This is 254 because any characteristics of the DPOAE governed by mechanisms associated with 255 distortion generation should be strongly influenced by changes in the primary frequency or 256 by changing primary level. 257

On the other hand, phase of the high-frequency DPOAEs shows oscillations which occur with 258 the same periodicity as the amplitude maxima. Similar phase pattern is usually observed 259 during wave interaction and may indicate generation of the amplitude maxima due to 260 summation of waves at the DPOAE frequencies. In that case, what is the possible origin of 261 these waves? The independence of the local maxima on the frequency of the primary tones 262 makes it unlikely that these maxima are generated by multiple wave interference between 263 264 distortion-source and reflection-source emissions, which has been used to explain the frequency periodicity of the evoked emissions recorded from the same species in other 265 266 experiments (Goodman et al., 2003; Withnell et al., 2003). An additional argument against the local maxima being due to phase interference of emissions from different sources comes 267 from our suppression experiments. DPOAE fine structure has been shown to exist in rodents 268 269 (Withnell et al., 2003), although it presents a cyclic periodicity that is slower than in humans 270 (Talmadge et al., 1999, Kalluri and Shera, 2001). If the local maxima and minima were due to a kind of fine structure specific to this species, then placement of the suppressor tone 271 adjacent to the  $2f_1$ - $f_2$  would abolish the peak and trough pattern seen in the DPOAE ratio 272 functions presented here. Such observations have been made in humans, where the 273 mechanism responsible for the generation of DPOAE fine structure is widely agreed upon 274 (Heitmann et al., 1998; Dhar and Shaffer, 2004). However, the 2f1-f2 signals as a function of 275 the primary ratio described here still show the same peak and trough distribution, in the 276 277 presence of a suppressor. It therefore follows that the reflection source emissions from the  $2f_1-f_2$  CF place are not responsible for the observed local maxima. 278

Half an octave separation between the local maxima indicate that they may originate from
periodic interaction between the basilar membrane and tectorial membrane travelling waves.
It is thought that the tectorial membrane is tuned to a frequency about half an octave below
the frequency of its cochlea location (Allen, 1980; Gummer *et al.*, 1996; Lukashkin *et al.*,

2010) and is capable of maintaining a local travelling wave (Ghaffari et al., 2007; Jones et 283 al., 2013). Evidence for the half-octave shift in the tectorial membrane tuning comes from 284 mechanical (Gummer et al., 1996; Legan et al., 2000; Lukashkin et al., 2012), acoustic 285 (Allen and Fahey, 1993; Lukashkin and Russell, 2003; Lukashkin et al., 2004, 2007) and 286 neural (Liberman, 1978; Allen and Fahey, 1993; Taberner and Liberman, 2005; Russell et al., 287 2007) cochlear responses. The local DPOAE amplitude maxima observed here may relate to 288 289 this difference in tuning of the basilar and tectorial membranes. Interactions between travelling waves on the tectorial and basilar membranes is, however, a local phenomenon 290 291 and, hence, should be affected by changes in the  $f_2$  frequency. The local amplitude maxima described here are independent of the primary frequencies and are a global phenomenon 292 associated with propagation rather than generation of energy at the DPOAE frequencies. 293 294 Therefore, it is unlikely that the tectorial membrane – basilar membrane wave interaction 295 contributes to the generation of the rippling pattern.

296 Instead, the DPOAE amplitude ripples described here may reflect the formation of standing waves in the cochlea due to multiple internal reflections due to the impedance mismatch at 297 the middle ear boundary (Shera and Zweig, 1991; Russell and Kössl, 1999; Shera, 2003). 298 299 This multiple wave reflection and interference have been suggested to contribute to generation of DPOAEs (Stover et al., 1996; Withnell et al., 2003; Dhar and Shaffer, 2004), 300 301 transient evoked otoacoustic emissions (e.g. Kemp, 1978), stimulus frequency otoacoustic emission (Goodman et al., 2003; Berezina-Greene and Guinan, 2015), spontaneous 302 otoacoustic emissions (SOAE) (Kemp, 1979; Russell and Kössl, 1999; Shera, 2003), rippling 303 pattern in basilar membrane responses (Shera and Cooper, 2013) and microstructure in 304 305 hearing threshold measurements (Shera, 2015). A recent study exploring the suppression pattern of SOAEs in humans (Manley and van Dijk, 2016) found a secondary lobe of 306 suppression located half an octave above the SOAE CF in addition to a V-shaped suppression 307

region around the CF. It was suggested that the changes in suppression efficacy seen at these 308 points could be due to the suppressor tone nearing nodes and anti-nodes of the SOAE 309 standing wave. The finding reported here that the distance between maxima X, Y and Z is 310 close to half an octave would appear to support the hypothesis that the ripple in the  $2f_1-f_2$ 311 amplitude is due to modulation by the SOAE standing wave. The formation of the rippling 312 pattern reported here is due to multiple internal reflections with two provisos. The first is that 313 314 reflected waves propagate in a linear passive regime. The second is that the boundary conditions, which enable these reflections, do not depend on the non-linear active process. 315 316 The rippling pattern should then, as we have observed, be independent of stimulus parameters. Within this framework, the rippling is present only in the amplitude and phase of 317 high-frequency DPOAEs because this is favourable for the formation of standing waves. Net 318 319 energy losses during the round-trip for reflected travelling waves produced by low-frequency primaries, which peak at the cochlear apex, may be too high to support the formation of the 320 standing waves. 321

SOAEs, which are thought to be produced due to the formation of the standing waves (Kemp, 322 1979; Russell and Kössl, 1999; Shera, 2003), are far more prominent in humans than in 323 guinea pigs due to the less regular geometry of the primate cochlea (Kemp, 1986; Lonsbury-324 Martin et al., 1988; Shera and Guinan, 1999). Very few examples of independently measured 325 326 SOAEs in anaesthetised guinea pig exist (Brown et al., 1990; Ohyama et al., 1991; Nuttall et al., 2004). The observation that the frequencies of the local amplitude maxima of DPOAEs 327 are conserved across animals in our experiments may also be due to high levels of anatomical 328 consistency between experimental subjects. The boundary conditions governing formation of 329 the standing waves in guinea pig cochleae could be far less variable, as rodent cochleae are 330 331 structurally more ordered.

That the presence of standing waves in the rodent cochlea is only suggested by their modulation of the small DPOAE signal is unsurprising. Morphologically regular rodent cochleae do not normally allow generation and emission of high-frequency SOAEs. As a result, these standing waves remain visible only by their interaction with, and influence on DPOAE amplitudes at specific frequencies.

It is worth noting that the DPOAE generation sites are discussed as point sources here and
actual generation of the distortion products may occur over an extended region along the
cochlea. Hence, it is possible that this extended region can somehow contribute to formation
of the rippling pattern of the DPOAE amplitude observed for the high frequency primaries.
Regions of interaction between extended source areas should not, however, confound our
conclusions.

### 343 CONCLUSION

The rippling pattern of high-frequency DPOAE amplitude and corresponding phase oscillations is of cochlear origin and is a global phenomenon associated with propagation rather than generation of energy at the DPOAE frequencies. Frequencies of the local amplitude maxima of the rippling pattern do not depend on parameters of stimulation (i.e. frequency and level of the primaries). The rippling pattern is not suppressed by a third, highlevel tone and is likely to originate from multiple internal reflections and formation of standing waves at the DPOAE frequencies within the cochlea.

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