

# The First Surface Realisation Shared Task: Overview and Evaluation Results

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## Abstract

The Surface Realisation (SR) Task was a new task at Generation Challenges 2011, and had two tracks: (1) Shallow: mapping from shallow input representations to realisations; and (2) Deep: mapping from deep input representations to realisations. Five teams submitted six systems in total, and we additionally evaluated human topline. Systems were evaluated automatically using a range of intrinsic metrics. In addition, systems were assessed by human judges in terms of Clarity, Readability and Meaning Similarity. This report presents the evaluation results, along with descriptions of the SR Task Tracks and evaluation methods. For descriptions of the participating systems, see the separate system reports in this volume, immediately following this results report.

convert and use these underspecified representations as inputs to the surface realiser whose task it is to reproduce the original treebank sentence.

While publications reporting this type of work referred to each other and (tentatively) compared BLEU scores, the results were not in fact directly comparable, because of the differences in the input representations automatically derived from Penn Treebank annotations. In particular, the extent to which they were underspecified varied from one system to the next. Our aim in developing the Surface Realisation (SR) Task was to make it possible, for the first time, to directly compare different, independently developed surface realisers by developing a ‘common-ground’ input representation that could be used by all participating systems to generate realisations from. In fact, we created two different input representations, one shallow, one deep, in order to enable more teams to participate.

Five teams submitted systems to the SR Task (see Table 1), submitting six systems in total. We also used the corpus texts themselves as ‘system’ outputs, to provide a human topline. We evaluated participating systems using a range of intrinsic evaluation methods, both automatically computed and human-assessed (for an overview, see Table 2).

This report describes the data (Section 2), task definition, evaluation methods and results (Sections 3 and 4) for the SR Task, and then presents a discussion of some problematic issues in developing a shared surface realisation task for the first time (Section 5). The participating systems are described in the participants’ reports in this volume, immediately following this report.

## 1 Introduction and Overview

Many different surface realisers have been developed over the past three decades or so. While symbolic realisers dominated for much of this period, the past decade has seen the development of many different types of statistical surface realisers. A significant subset of statistical realisation work (Langkilde, 2002; Callaway, 2003; Nakanishi et al., 2005; Zhong and Stent, 2005; Cahill and van Genabith, 2006; White and Rajkumar, 2009) has produced results for regenerating the Penn Treebank (PTB) (Marcus et al., 1995). The basic approach in all this work was to remove information from the Penn Treebank parses (the word strings themselves as well as some of the parse information), and then

| Team    | Organisation(s)   | Shallow systems          | Deep systems             |
|---------|---|--------------------------|--------------------------|
| ATT     | AT&T Labs Research  | ATT-0 <sup>y</sup>       | —                        |
| DCU     | Dublin City University<br>Toshiba (China) Research and Development Center | DCU                      | —                        |
| OSU     | Ohio State University   | —                        | OSU <sup>y</sup>         |
| STUMABA | Universität Stuttgart<br>Universitat Pompeu Fabra<br>Université du Maine  | STUMABA-S <sup>x,y</sup> | STUMABA-D <sup>x,y</sup> |
| UCM     | Universidad Complutense de Madrid   | UCM                      | —                        |

Table 1: SR-Task teams and systems. The STUMABA systems are the version called ‘System 2’ in the team’s report. <sup>x</sup> = resubmitted after fixing software bugs; <sup>y</sup> = late submission.

| Quality criterion: | Type of evaluation: | Evaluation Method(s):                  |
|--------------------|---------------------|--|
| Humanlikeness      | Intrinsic/automatic | BLEU, NIST, TER, METEOR                |
|                    | Intrinsic/human     | Human assessment of Meaning Similarity |
| Readability        | Intrinsic/human     | Human Readability judgements           |
| Clarity            | Intrinsic/human     | Human Clarity judgements               |

Table 2: Overview of evaluation procedures used in the SR Shared Task.

## 2 Data

The SR Task data has two input representations—one for each track, shallow and deep. In both, sentences are represented as sets of unordered labeled dependencies (with the exception of named entities, see Section 2.4 below, which are ordered). The shallow input representation is intended to be a more ‘surfacey’, syntactic representation of the sentence. The deep(er) input type is intended to be closer to a semantic, more abstract, representation of the meaning of the sentence.

The input representations were created by post-processing the CoNLL 2008 Shared Task data (Surdeanu et al., 2008). For the preparation of the CoNLL-08 Shared task data, selected sections of the Penn WSJ Treebank were converted to syntactic dependencies via the LTH Constituent-to-Dependency Conversion Tool for Penn-style Treebanks (Pennconverter) (Johansson and Nugues, 2007). The resulting dependency bank was then merged with the Nombank (Meyers et al., 2004) and Propbank (Palmer et al., 2005) corpora. Named entity information from the BBN Entity Type corpus was also integrated into the CoNLL-08 data. Our shallow representation is based on the Pennconverter dependencies. The deep representation is derived from the merged Nombank, Propbank and syntactic dependencies in a process similar to the graph completion

algorithm outlined in (Bohnet et al., 2010) (see Section 2.2 for differences).

### 2.1 Shallow representation

The shallow data consists of unordered syntactic dependency trees. Each word and punctuation marker from the original sentence is represented as a node in a syntactic dependency tree.

**Nodes:** The node information consists of a word’s lemma, a coarse-grained POS-tag, and, where appropriate, number, tense and participle features and a sense tag id (as a suffix to the lemma). In addition, two punctuation features encode the quotation and bracketing information for the sentence.

The POS-tag set is slightly less fine-grained than the Penn POS-tag set. We removed the distinction between VBP and VBZ for example, so that determining agreement is a task left to the realiser.

**Edges:** Edges between nodes are labeled with the syntactic labels produced by the Pennconverter. See the SR Task Documentation<sup>1</sup> for a summary description of the label set. In addition to these *atomic* labels, edges can be labeled with *non-atomic* labels, which consist of multiple atomic labels (see Surdeanu et al. (2008) for details). See the SR Task

<sup>1</sup>Available here: <http://www.itri.brighton.ac.uk/home/Anja.Belz/pdf/SR-Task-2011-Doc.pdf>

Documentation for our current handling of long-distance dependencies and future plans for improvements.

## 2.2 Deep

The deep representation is in the form of dependency graphs and is not restricted to tree structures.

**Nodes:** Information at each node consists of a word’s lemma, and where appropriate, number, tense and participle features and a sense tag id (as a suffix to the lemma). Two punctuation features encode the quotation and bracketing information for the sentence. Unlike in the shallow representation, there is no POS-tag information.

In a step towards removing punctuation, we removed commas from the deep representation.<sup>2</sup> In addition, some function words (specifically, that-complementizers and TO infinitives) were removed. For the future, we intend to remove further function words, such as relative pronouns and case-marking prepositions.

**Edges:** Semantic edges are labeled with semantic labels taken from the Propbank and Nombank semantic roles.

Where the PropBank/NomBank relations result in an unconnected structure, we connected the graph with edges from the corresponding syntactic tree, with the syntactic labels produced by the Pennconverter.

Some of these Pennconverter labels have been modified slightly in order to make them more general. See Table 3 for details. In the case of NMOD and AMOD, the syntactic head is typically a semantic argument of its modifier; accordingly, these syntactic relations were replaced with an AINV (Argument INVerse) semantic relation. The direction of Pennconverter edges remains unchanged.

## 2.3 Tokenisation

Tokenisation follows that of the CoNLL data, which differs from that of the Penn Treebank. Hyphenated words are split and dependencies between the split tokens are given. For example, *prime-time* is represented as three tokens with the dependencies:  $[time]_{HMOD} \rightarrow [prime]_{HYPH} \rightarrow [-]$ .

<sup>2</sup>There remain 55 occurrences where the comma had dependent nodes which we intend to remove in the future.

## 2.4 Named Entities

Named entity annotations from the BBN Entity Type corpus were used to derive NAME dependencies in the CoNLL corpus. For the SR Task data we have numbered all NAME dependencies with the order they appear in the original sentence because, arguably, the ordering of words in named entities is not a task that should be left to a surface realizer.

## 2.5 Coordination

Following the CoNLL format, the first conjunct is the head of coordinate structures in both shallow and deep representations. All other conjuncts, and the coordinating conjunction, are descendants of the leftmost conjunct. The order of the conjuncts is encoded in the dependency structure. The treatment of coordination will be revisited in future years.

## 2.6 Data Format

The data format for the shallow and deep tracks has the following components:

1. A line with the graph number (e.g. `sentId=11055`).
2. The graph represented as lines where each line represents a single node and consists of at least 4 and a maximum of 10 fields:

```
RELATION ID PARENT_ID LEMMA[.sensetagID]
[CPOS=POSTag] [num=sg|pl] [tense=past|pres]
[partic=past|pres] [quoted=d*s*] [bracket=r*c*]
```

Each line contains at least the first 4 fields, except for nodes with multiple heads. In such cases, there is one line for each *head*  $\rightarrow$  *node* relation. The first time this occurs the full information for the node is given. For subsequent occurrences only the relation label, the node ID, and the parent node ID are given. Note that, as the syntactic representations are strictly trees, multiple heads will only occur in the deep representation.

The dependency structure of the graphs is reflected both through tabular indentation and the ID and PARENT ID fields.

3. A line containing the original sentence, followed by a blank line (the test set data did not include the sentence).

## 2.7 Training, Development and Test Sets

We followed the main data set divisions of the CoNLL’08 data. However, we removed 300 randomly selected sentences in chunks of 5 consecutive sentences for use in human evaluations. Of these,

| Name               | Description/Comments  |
|--------------------|---|
| RELATION (shallow) | Syntactic dependency relations. NAME dependencies are numbered with order information. The root of the tree has relation SROOT.   |
| RELATION (deep)    | Semantic relations when available. Otherwise, they are the shallow relations, some of which have been simplified as follows: $NMOD AMOD \rightarrow AINV$ , $HMOD \rightarrow MOD$ , $PMOD \rightarrow A1$ . Sentences have a single root, marked with relation SROOT.  |
| ID                 | Token id of the node, starts at 1 for each new sentence   |
| PARENTID           | Token id of the parent of this node   |
| LEMMA[sensetagID]  | Lemma with, when available, a sense tag id suffix. The lemma and sense tag id are the lemma and roleset id extracted from propbank/nombank. When this information is unavailable the lemma is the predicted lemma extracted from the CoNLL-08 data set.   |
| CPOS (shallow)     | Hand-annotated coarse grained POS tag (from PTB); $VBD VBN VBP VBZ \rightarrow VB$ , $NNS \rightarrow NN$ , $NNPS \rightarrow NNP$ , all other POS tags $\rightarrow$ original hand-annotated PTB POS tag.  |
| NUM                | Feature for nouns only. Values are singular or plural - derived from hand-annotated PTB POS tags. $NN NNP \rightarrow singular$ , $NNS NNPS \rightarrow plural$ .   |
| TENSE              | Feature for verbs only. Values are past or pres(ent) - derived from hand-annotated PTB POS tags. $VBD \rightarrow past$ , $VBP VBZ \rightarrow present$   |
| PARTIC             | Feature for participle tense derived from hand-annotated PTB POS tags (note: partic=pres could indicate a present participle or gerund). $VBN \rightarrow past$ , $VBG \rightarrow pres$ .  |
| QUOTED             | Feature for indicating whether the node is quoted in the original sentence. $d = doublequoted$ , $s = singlequoted$ . This feature value can consist of any number of d's followed by any number of s's. Multiple d's or s's occur when the node is embedded inside more than one quotation mark. Take for example the sentence: <i>He added : " Every paper company management has to be saying to itself , ' Before someone comes after me , I 'm going to go after somebody . ' "</i> The node corresponding to <i>paper</i> will have feature <i>quoted = d</i> and the node for word <i>someone</i> will have <i>quoted = ds</i> . |
| BRACKET            | Feature for indicating whether the node is inside brackets in the original sentence. $r = round\ brackets$ , $c = curly\ brackets$ . In a similar fashion to the QUOTED feature, this feature value can consist of any number of r's followed by any number of c's.   |

Table 3: Field descriptions for Shallow and Deep Representations.

we used 100 as the test set for human evaluation this year and will use the remainder in future editions of the SR Shared Task.

1. Training set: PTB Sections 02–21.
2. Development set: 1,034 sentences from PTB Section 24 (less 300 sentences for use in human evaluations).
3. Test set for automatic evaluations: PTB Sec. 23.
4. Test set for human evaluations: 100 sentences in chunks of 5 consecutive sentences, randomly selected (and removed) from PTB Section 24.

Note that a small number of sentences from the selected WSJ sections were not included in the CoNLL-08 data (and are thus not included in the SR Task data) due to difficulties in merging the various data sets (e.g. Section 23 has 17 fewer sentences).

### 3 Automatic Evaluations

We computed scores using the following well-known automatic evaluation metrics:

1. BLEU (Papineni et al., 2002):<sup>3</sup> geometric mean of 1- to 4-gram precision with a brevity penalty; recent

<sup>3</sup><http://www.itl.nist.gov/iad/mig/tests/mt/2009/>

implementations use smoothing to allow sentence-level scores to be computed.

2. NIST:<sup>4,5</sup> n-gram similarity weighted in favour of less frequent n-grams which are taken to be more informative.
3. METEOR (Banerjee and Lavie, 2005; Denkowski and Lavie, 2011):<sup>6</sup> lexical similarity based on exact, stem, synonym, and paraphrase matches between words and phrases.
4. TER (Snover et al., 2006):<sup>7</sup> a length-normalized edit distance metric where phrasal shifts are counted as one edit.

For each metric, we calculated system-level scores, the mean of the sentence-level scores and weighted n-best scores (described below).

**Text normalisation:** Output texts were normalised by lower-casing all tokens, removing any extraneous white space characters and ensuring consistent treatment of ampersands.

<sup>4</sup><http://www.itl.nist.gov/iad/mig/tests/mt/doc/ngram-study.pdf>

<sup>5</sup><http://www.itl.nist.gov/iad/mig/tests/mt/2009/>

<sup>6</sup><http://www.cs.cmu.edu/~alavie/METEOR/>

<sup>7</sup><http://www.umi.acs.umd.edu/~snover/terp/>

**N-best, ranked system outputs:** Ranked 5-best outputs were scored using a weighted average of the sentence-level scores for each metric, with these sentence-level weighted sums averaged across all outputs. The weight  $w_i$  assigned to the  $i$ th system output was in inverse proportion to its rank  $r_i$  ( $K = 5$ ):  $w_i = \frac{K-r_i+1}{\sum_{j=1}^K K-r_j+1}$

**Missing outputs:** Missing outputs were scored as zero (one for TER); in the n-best evaluation, missing or duplicate outputs were scored as 0 (1 for TER). Since coverage was high for all systems (97% for OSU; 100% for all others), we only report results for all sentences (with the missing output penalty), rather than separately reporting scores for just the covered items.

### 3.1 Metric Scores

The automatic metric scores for all systems appear in Tables 4 and 5 for the Automatic Test Set and Human Test Set, respectively. Tables 6 and 7 give the means of sentence-level scores; the columns containing single capital letters show the homogeneous subsets of systems as determined by a post-hoc Tukey HSD analysis; systems whose scores are not significantly different (at the 0.05 level overall) share a letter.

In the tables, system scores are shown for all systems, both in the shallow and deep track; thus, it should be noted that the scores for STUMABA-D and OSU, which are deep-task systems, are not directly comparable to the scores for the remaining, shallow-task systems. Across the metrics and data sets, STUMABA-S is consistently the top-scoring system, with DCU between STUMABA-S and STUMABA-D. Since the automatic test set was much larger than the human test set, there were more significant differences between pairs of systems, as expected. TER and METEOR were less sensitive, with STUMABA-S and DCU falling into a top group for TER on the test section (i.e., there was no significant difference between STUMABA-S and DCU on the mean TER score at the 0.05 level overall), and STUMABA-S, DCU and STUMABA-D forming a top group for METEOR. On the human test set, the pattern was similar but with larger homogeneous subsets.

With the n-best results, it is difficult to make any firm conclusions with only two systems supplying

n-best outputs. Nevertheless, it is evident that across the metrics, both the ATT and OSU systems have consistently higher 1-best scores than weighted n-best scores, indicating that they are generally successful in choosing a single-best output that is more similar to the reference sentence than the others in the top 5. In the absence of multiple reference sentences or human evaluation results for the n-best list though, it is unclear to what extent the outputs in the n-best list might represent valid paraphrases versus clearly less acceptable outputs.

## 4 Human Evaluations

### 4.1 Experimental Set-up

We assessed three criteria in the human evaluations: Clarity, Readability and Meaning Similarity. We used continuous sliders as rating tools (see Figures 1 and 2), because raters tend to prefer them (Belz and Kow, 2011). Slider positions were mapped to values from 0 to 100 (best).

The instructions relating to Clarity and Readability read as follows:<sup>8</sup>

The first criterion you need to assess is **Clarity**. How clear (easy to understand) is the highlighted sentence within the context of the text extract?

The second criterion to assess is **Readability**. This is sometimes called 'fluency', and your task is to decide how well the highlighted sentence reads; is it good fluent English, or does it have grammatical errors, awkward constructions, etc.

Note that you should assess Clarity separately from Readability: it is possible for a text to be completely clear, yet not read well; conversely, it is possible for a text to read very well, and its meaning to be unclear.

Please rate the highlighted sentence by moving each slider to the position that corresponds to your rating.

The part of the instructions relating to Meaning Similarity was as follows:

This time you are being shown two extracts which are identical except for the highlighted sentences. You need to read both sentences within their context, and then decide how close in meaning the second sentence is to the first. [...] Once again use the slider to express your rating. The closer in meaning

<sup>8</sup>See <http://www.nltg.brighton.ac.uk/research/sr-task-evals/SR-1C/> for full instructions.

| System    | BLEU   |        |        | NIST  |       |       | METEOR |        |        | TER    |        |        |
|-----------|--------|--------|--------|-------|-------|-------|--------|--------|--------|--------|--------|--------|
|           | sys    | avg    | nb     | sys   | avg   | nb    | sys    | avg    | nb     | sys    | avg    | nb     |
| STUMABA-S | 0.8911 | 0.8827 | —      | 14.87 | 14.74 | —     | 0.9956 | 0.9851 | —      | 0.0427 | 0.0476 | —      |
| DCU       | 0.8575 | 0.8532 | —      | 14.63 | 14.52 | —     | 0.9836 | 0.9747 | —      | 0.0550 | 0.0535 | —      |
| STUMABA-D | 0.7943 | 0.7853 | —      | 14.40 | 14.21 | —     | 0.9866 | 0.9744 | —      | 0.0921 | 0.0946 | —      |
| ATT       | 0.6701 | 0.6711 | 0.4638 | 13.50 | 13.45 | 9.792 | 0.9780 | 0.9669 | 0.7106 | 0.1414 | 0.1322 | 0.3739 |
| OSU       | 0.3566 | 0.3743 | 0.2882 | 10.92 | 10.66 | 7.918 | 0.8519 | 0.8483 | 0.6394 | 0.4674 | 0.4246 | 0.5547 |
| UCM       | 0.2351 | 0.2527 | —      | 2.782 | 4.611 | —     | 0.6240 | 0.6079 | —      | 0.5728 | 0.5570 | —      |

Table 4: Automatic metric scores for automatic test data (PTB Section 23), including system-level scores (sys), mean of sentence-level scores (avg) and mean of weighted n-best scores (nb).

| System    | BLEU   |        |        | NIST  |       |       | METEOR |        |        | TER    |        |        |
|-----------|--------|--------|--------|-------|-------|-------|--------|--------|--------|--------|--------|--------|
|           | sys    | avg    | nb     | sys   | avg   | nb    | sys    | avg    | nb     | sys    | avg    | nb     |
| STUMABA-S | 0.8763 | 0.8621 | —      | 10.81 | 10.70 | —     | 0.9944 | 0.9842 | —      | 0.0494 | 0.0537 | —      |
| DCU       | 0.8470 | 0.8319 | —      | 10.73 | 10.65 | —     | 0.9871 | 0.9791 | —      | 0.0654 | 0.0650 | —      |
| STUMABA-D | 0.7734 | 0.7510 | —      | 10.59 | 10.43 | —     | 0.9878 | 0.9754 | —      | 0.1042 | 0.1096 | —      |
| ATT       | 0.6616 | 0.6262 | 0.4573 | 10.22 | 10.03 | 7.499 | 0.9788 | 0.9554 | 0.7135 | 0.1610 | 0.1664 | 0.3851 |
| OSU       | 0.3975 | 0.4032 | 0.3164 | 9.056 | 8.850 | 6.736 | 0.8626 | 0.8546 | 0.6586 | 0.4226 | 0.3863 | 0.5189 |
| UCM       | 0.2526 | 0.2652 | —      | 2.466 | 3.620 | —     | 0.6457 | 0.6268 | —      | 0.5484 | 0.5416 | —      |

Table 5: Automatic metric scores for human test data (PTB Section 24 100-sentence subset), including system-level scores (sys), mean of sentence-level scores (avg) and mean of weighted n-best scores (nb).

the second sentence is to the first, the further to the right you need to place the slider.

For each test data item, raters were first shown the screen for the Readability and Clarity assessment (as shown in Figure 1), followed by the screen for Meaning Similarity assessment (see Figure 2). We displayed system outputs as they were. Raters were instructed to disregard spaces before punctuation and similar whitespace problems. Some systems produced lower-cased outputs, others (like the STUMABA-D one output of which is shown in Figures 1 and 2) produced outputs with capitalisations.

All experiments use a Repeated Latin Squares design which ensures that each subject sees the same number of outputs from each system and for each test set item. Following detailed instructions, raters first did three practice examples, followed by the texts to be rated, in an order randomised for each rater. Evaluations were carried out via a web interface. Raters were encouraged to take breaks, and in the case of the 2-hour long SR-Shallow evaluation they were required to take breaks.

In both experiments we used native-speaker raters from cohorts of 3rd-year undergraduate and post-graduate students (from Oxford, UCL, KCL and Sussex universities) currently doing, or having recently completed, a degree in linguistics. In the SR-Deep evaluation we used 6 raters evaluating half

the test set each (roughly 1 hour). In the SR-Shallow evaluation we used 5 raters each evaluating the whole test set (2 hours). Their progress was logged at 10min intervals, and they received gift vouchers for their time.

In the following section, for each experiment we report the F-ratio as determined by a one-way ANOVA with the evaluation criterion in question as the dependent variable and System as the grouping factor. F is the ratio of between-groups variability over within-group (or residual) variability, i.e. the larger the value of F, the more of the variability observed in the data is accounted for by the grouping factor, here System, relative to what variability remains within the groups. We also report homogeneous subsets (sets of systems among which there are no significant differences) of systems as determined by a post-hoc Tukey’s HSD analysis (with a significance threshold of 0.05).

## 4.2 Results

Table 8 shows three sets of means, for Clarity, Readability and Meaning Similarity,<sup>9</sup> for the systems in the Shallow Track. As mentioned above, we included the original PTB sentences as a topline (‘Cor-

<sup>9</sup>Note that the Meaning Similarity results for the Corpus sentences should be 100 if the evaluators take care to place the slider pointer right at the end of the scale, but it’s not easy to see whether the slider pointer is at 100 or 98.

|           | BLEU   |   | NIST  |   | METEOR |     | TER    |   |
|-----------|--------|---|-------|---|--------|-----|--------|---|
| STUMABA-S | 0.8827 | A | 14.74 | A | 0.9851 | A   | 0.0476 | A |
| DCU       | 0.8532 | B | 14.52 | B | 0.9747 | A B | 0.0535 | A |
| STUMABA-D | 0.7853 | C | 14.21 | C | 0.9744 | A B | 0.0946 | B |
| ATT       | 0.6711 | D | 13.45 | D | 0.9669 | B   | 0.1322 | C |
| OSU       | 0.3743 | E | 10.66 | E | 0.8483 | C   | 0.4246 | D |
| UCM       | 0.2527 | F | 4.611 | F | 0.6079 | D   | 0.5570 | E |

Table 6: Tukey’s HSD ( $\alpha = 0.05$ ) homogeneous subsets for mean of sentence-level scores on automatic test data.

|           | BLEU   |   | NIST  |     | METEOR |   | TER    |   |
|-----------|--------|---|-------|-----|--------|---|--------|---|
| STUMABA-S | 0.8621 | A | 10.71 | A   | 0.9842 | A | 0.0537 | A |
| DCU       | 0.8319 | A | 10.65 | A B | 0.9791 | A | 0.0650 | A |
| STUMABA-D | 0.7510 | B | 10.43 | A B | 0.9754 | A | 0.1096 | A |
| ATT       | 0.6262 | C | 10.03 | B   | 0.9554 | A | 0.1664 | B |
| OSU       | 0.4032 | D | 8.850 | C   | 0.8546 | B | 0.3863 | C |
| UCM       | 0.2652 | E | 3.620 | D   | 0.6268 | C | 0.5416 | D |

Table 7: Tukey’s HSD ( $\alpha = 0.05$ ) homogeneous subsets for mean of sentence-level scores on human test data.

pus’ in the table). The results look similar across the three evaluation criteria: STUMABA-S has the highest mean, followed by DCU, but with no statistically significant difference between them; ATT is third and UCM fourth for Readability and Meaning Similarity, and the two systems are joint third for Clarity. Rankings are identical across the three criteria for the systems in the Deep Track, with STUMABA-D first in all three cases, and OSU second.

F-ratios were as follows. For the shallow systems and Clarity:  $F_{(4,495)} = 49.402, p < .001$ ; Readability:  $F_{(4,495)} = 52.839, p < .001$ ; and Meaning Similarity:  $F_{(4,495)} = 82.565, p < .001$ . For the deep systems and Clarity:  $F_{(2,294)} = 120.020, p < .001$ ; Readability:  $F_{(2,294)} = 162.22, p < .001$ ; and Meaning Similarity:  $F_{(2,294)} = 197.27, p < .001$ .

F-ratios are overall greater for the deep systems than for the shallow ones; and greater for Meaning Similarity than for Readability for which in turn is greater than for Clarity. The latter would indicate, perhaps surprisingly, that there was less variation (more agreement) among the evaluators about Meaning Similarity than about the other two evaluation criteria.

## 5 Discussion

**Input Conversion Issues:** The principal goal of the surface realisation shared task challenge is to make it possible to directly compare different approaches to surface realisation by encouraging the development of systems that start from a common ground input representation. In this year’s SR

shared task, the top-performing systems (StuMaBa-D, StuMaBa-S, DCU and ATT) were all statistical dependency realisers that do not make use of an explicit, pre-existing grammar. By design, statistical dependency realisers are robust and relatively easy to adapt to new kinds of dependency inputs; as such, they are well suited to the SR task in its current form. In contrast, there were only two systems that employed a traditional, hand-crafted generation grammar (UCM) or a reversible, Treebank-derived grammar (OSU), neither of which produced competitive results. In each case, difficulties in converting the common ground inputs into the “native” or expected inputs were cited as an unexpectedly large obstacle. Indeed, the UCM system report concluded that

“[t]he reported results constitute a measure of the coverage achieved by the input conversion process more than a measure of the capabilities of the realizer employed.”

Mapping inputs to other intermediate representations (such as logical forms or full LFG f-structures, for example) introduces additional complexity and noise into the pipeline, putting systems that require substantive input conversion at a disadvantage. Nevertheless, it could be that with more time, and greater use of machine learning in input conversion or grammars induced from the shared task data, it will be possible for participants to develop grammar-based systems that will produce more competitive realisers in future challenges.<sup>10</sup>

<sup>10</sup>Note that there are other conceivable shared tasks where the input conversion issue would not arise. For example, a text-to-text shared task on sentential paraphrasing could be agnostic as

## Text Evaluation Exercise (SR-1Cb) - Evaluator Anya Belz; 80 items remaining

### Text Extract:

Government officials tried throughout the weekend to render a business - as - usual appearance in order to avoid any sense of panic . Treasury Undersecretary David Mulford , for instance , was at a meeting of the Business Council in Hot Springs , Va. , when the stock market fell , and remained there through the following day . And as of last night , Fed Chairman Greenspan had n't canceled his plans to address the American Bankers Association convention in Washington at 10 a.m. this morning . Ironically , Mr. Greenspan was scheduled to address the same convention in Dallas on Oct. 20 , 1987 . Then he flew to Dallas on Oct. 19 , when the market plummeted 508 points , but the next morning turned around and returned to Washington without delivering his speech .


### Your evaluation:

Please score the highlighted sentence in the above text extract in terms of the following two criteria.

**Clarity**

couldn't  
be  
more  
unclear

☹️



couldn't  
be  
clearer


☺️

☒ move slider or tick here to confirm your rating

**Readability**

couldn't  
read  
worse

☹️



couldn't  
read  
better

☺️

☒ move slider or tick here to confirm your rating

Figure 1: Screen shot of evaluation of a realisation in context, using sliders, for the criteria of *Clarity* and *Readability*.

To encourage the development of a greater variety of shared task systems, for next year we are actively considering ways of making it easier to participate, and welcome discussion of this topic.

**Resources for the Community:** A byproduct of running this shared task has been the development or refinement of various tools and data sets which can serve as resources for the generation community. These include:

- The training and test data sets, available from the Linguistic Data Consortium by request.
- The automated testing script, available from: <http://www.ling.ohio-state.edu/~espinosa/genchal11/>
- The test data from the six systems, with the human evaluation scores, available from: <http://www.itri.brighton.ac.uk/research/sr-task/>

As a result of the pilot SR Task, we have taken a first step forward in making results truly comparable in that researchers will be able to compare auto-

to the kinds of internal representations systems employ. However, in such text-to-text tasks, it would be difficult to isolate text generation issues from text interpretation ones.

matic results on this year's common ground inputs to the numbers reported in the tables, when submitting papers to conferences on the value of a given technique for surface realization. Furthermore, the human evaluation data can be used for system development, and in meta-evaluation of metrics.

## 6 Conclusion

The first Surface Realisation Shared Task was the result of a prolonged period of discussion and development which originally started as a heated debate about the comparability of the BLEU scores of different systems during the ACL-IJCNLP'09 reviewers' discussion period. We subsequently got together a working group of researchers interested in developing an SR input representation and presented an initial proposal at INLG'10 (Belz et al., 2010). Over the course of the past year we developed this into the fully specified SR Task we are reporting in this paper. The task in its present form should be regarded as a pilot, to be developed further over the coming years, with input from all interested parties.



### Text Evaluation Exercise (SR-1Cb) - Evaluator Anya Belz; 80 items remaining

Government officials tried throughout the weekend to render a business - as - usual appearance in order to avoid any sense of panic . Treasury Undersecretary David Mulford , for instance , was at a meeting of the Business Council in Hot Springs , Va. , when the stock market fell , and remained there through the following day . And as of last night , Fed Chairman Greenspan had n't canceled his plans to address the American Bankers Association convention in Washington at 10 a.m. this morning . Ironically , Mr. Greenspan was scheduled to address the same convention in Dallas on Oct. 20 , 1987 . He flew to Dallas on Oct. 19 , when the market plummeted 508 points , but then turned around the next morning and returned to Washington without delivering his speech .

Government officials tried throughout the weekend to render a business - as - usual appearance in order to avoid any sense of panic . Treasury Undersecretary David Mulford , for instance , was at a meeting of the Business Council in Hot Springs , Va. , when the stock market fell , and remained there through the following day . And as of last night , Fed Chairman Greenspan had n't canceled his plans to address the American Bankers Association convention in Washington at 10 a.m. this morning . Ironically , Mr. Greenspan was scheduled to address the same convention in Dallas on Oct. 20 , 1987 . Then he flew to Dallas on Oct. 19 , when the market plummeted 508 points , but the next morning turned around and returned to Washington without delivering his speech .

#### Your evaluation:

Looking at the two highlighted sentences in their context above, assess the extent to which the meaning of the second sentence matches the meaning of the first.

Compared to the first sentence, how similar is the meaning of the second sentence?

**Meaning similarity**

completely  
different

completely  
identical

☐ move slider or tick here to confirm your rating

Figure 2: Screen shot of evaluation of the *Meaning Similarity* of a realisation compared to the original corpus sentence.

| Clarity   |       |                     |   |   | Readability |       |                     |   |   | Meaning Similarity |       |                     |   |   |
|-----------|-------|---------------------|---|---|-------------|-------|---------------------|---|---|--------------------|-------|---------------------|---|---|
| System    | Mean  | Homogeneous subsets |   |   | System      | Mean  | Homogeneous subsets |   |   | System             | Mean  | Homogeneous subsets |   |   |
| Corpus    | 88.55 | A                   |   |   | Corpus      | 88.97 | A                   |   |   | Corpus             | 96.68 | A                   |   |   |
| STUMABA-S | 74.80 |                     | B |   | STUMABA-S   | 78.93 | A                   | B |   | STUMABA-S          | 83.82 |                     | B |   |
| DCU       | 64.26 |                     | B |   | DCU         | 77.32 |                     | B |   | DCU                | 81.14 |                     | B |   |
| UCM       | 38.38 |                     |   | C | ATT         | 50.72 |                     |   | C | ATT                | 58.04 |                     |   | C |
| ATT       | 38.06 |                     |   | C | UCM         | 38.43 |                     |   | D | UCM                | 30.27 |                     |   | D |

Table 8: SR-Task, Shallow Track: Results for Clarity, Readability and Meaning Similarity evaluations, in terms of means and homogeneous subsets determined by post-hoc Tukey's HSD (sig. < 0.05).

We hope that ultimately, this initiative will evolve some degree of standardisation of realiser inputs, at two, or possibly more, levels, facilitating the development and re-use of off-the-shelf realiser tools.

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| Clarity   |       |                     |   |   | Readability |       |                     |   |   | Meaning Similarity |       |                     |   |   |
|-----------|-------|---------------------|---|---|-------------|-------|---------------------|---|---|--------------------|-------|---------------------|---|---|
| System    | Mean  | Homogeneous subsets |   |   | System      | Mean  | Homogeneous subsets |   |   | System             | Mean  | Homogeneous subsets |   |   |
| Corpus    | 83.28 | A                   | B | C | Corpus      | 88.06 | A                   | B | C | Corpus             | 99.86 | A                   | B | C |
| STUMABA-D | 60.30 |                     |   |   | STUMABA-D   | 64.91 |                     |   |   | STUMABA-D          | 72.54 |                     |   |   |
| OSU       | 22.71 |                     |   |   | OSU         | 22.83 |                     |   |   | OSU                | 32.44 |                     |   |   |

Table 9: SR-Task, Deep Track: Results for Clarity, Readability and Meaning Similarity evaluations, in terms of means and homogeneous subsets determined by post-hoc Tukey’s HSD (sig. < 0.05).

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