Accepted Manuscript

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PII: S1356-689X(16)00032-1

DOI: 10.1016/j.math.2015.12.013

Reference: YMATH 1834

To appear in: Manual Therapy

- Received Date: 2 September 2015
- Revised Date: 15 December 2015
- Accepted Date: 16 December 2015

Please cite this article as: Ridehalgh C, Moore A, Hough A, The short term effects of straight leg raise neurodynamic treatment on pressure pain and vibration thresholds in individuals with spinally referred leg pain, *Manual Therapy* (2016), doi: 10.1016/j.math.2015.12.013.

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Title Page

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ACCEPTED MANUSCRIPT ABSTRACT

2 <u>Background</u>

3 Limited research exists for the effects of neurodynamic treatment techniques.

4 Understanding short term physiological outcomes could help to better understand

- 5 immediate benefits or harm of treatment.
- 6

7 <u>Objectives</u>

8 To assess the short-term effects of a straight leg raise (SLR) tensioner on pressure pain

9 thresholds (PPT) and vibration thresholds (VT), and establish if additional factors influence

10 outcome in individuals with spinally referred leg pain.

11 <u>Design</u>

- 12 Experimental, repeated measures.
- 13 <u>Methods</u>
- 14 Sixty seven participants (mean age (SD) 52.9 (13.3), 33 female) with spinally referred leg
- 15 pain were divided into 3 sub-groups: somatic referred pain, radicular pain and
- 16 radiculopathy. Individuals were assessed for central sensitisation (CS) and completed 5
- 17 disability and psychosocial questionnaires. PPT and VT were measured pre and post a 3 x 1
- 18 minute SLR tensioner intervention.

19 <u>Results</u>

- 20 No significant differences (p>0.05) were found between the 3 groups for either outcome
- 21 measure, or after treatment. Slight improvements in VT were seen in the radiculopathy
- 22 group after treatment, but were not significant. Only 2 participants were identified with CS.

23	ACCEPTED MANUSCRIPT Disability and psychological factors were not significantly different at baseline between the
24	3 sub-groups, and did not correlate with the outcome measures.
25	Conclusions
26	No beneficial effects of treatment were found, but the trend for a decrease in VT indicated
27	that even in individuals with radiculopathy, no detrimental changes to nerve function
28	occurred. Psychosocial factors and levels of disability did not influence short term outcome
29	of SLR treatment.
30 31	Key Words: Neurodynamics; Nerve function; Pressure pain thresholds; Spinally referred leg pain; Straight leg raise.
32	
33	TEXT
34	INTRODUCTION
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35	Spinally referred leg pain predominantly occurs from nociceptive referral of spinal
36	structures such as ligaments, muscles and disc (somatic) ¹ or neural tissue. Where loss of
37	nerve function is found, this is described as radiculopathy, whereas nerve root irritation
38	without loss of nerve function is termed radicular pain ¹ . The management of such
39	conditions varies, but for individuals where nerve root irritation is present, neurodynamic
40	treatment (NDT) has been proposed. ^{2,3}
41	Adding NDT treatments to other techniques for spinally referred leg pain has shown some
42	benefits ^{2,4,5} , however it is not known why such improvements in outcome occur.
43	Limitations of the studies do not clarify the reason for the improvements. Some authors
44	have suggested that applying NDT tensioner techniques to individuals with neuropathic
45	pain may have detrimental effects ^{6,7} . In contrast, recent animal studies have indicated that
46	tensioner techniques not only positively influence pain behaviours, but may also have

- positive effects on inflammatory cells within the dorsal horn.^{8,9} Such gaps on the effects of 47 NDT in the literature and potential for detrimental changes require further investigation. 48 Change in pain is an essential measurement when assessing the effects of treatment 49 interventions, and pressure pain thresholds (PPT) are widely used within the literature. ^{10,11} 50 PPT are reliable 12,13 and provide a semi-objective measure of pain. However, pain changes 51 alone only give an indication of one aspect of outcome. In individuals with neuropathic 52 pain, changes to nerve function after NDT are important because inducing strain to the 53 nerve of greater than 8% may reduce circulation ^{14,15}, and impair nerve conduction ^{16,17}. 54 Whilst small levels of strain have been found in the nerve roots during SLR in cadavers 55 $(<3.4\%^{18})$, neuropathy may detrimentally affect normal nerve mechanics ^{6,19}. 56
- Vibration thresholds (VT) have been utilised as an early indicator of deterioration in nerve
 function. They are more useful than nerve conduction testing because they are sensitive to
 minor nerve dysfunction and specifically test the large diameter afferents, which deteriorate
 after nerve root compression ^{20,21}.
- Treatment outcomes may be affected by a number of variables, including high levels of disability ^{23,24} and psychosocial factors ^{25,26}. The presence of central sensitisation (CS) is also considered to be a poor predictor of outcome for manual based interventions. ²⁷ It isn't known whether these factors influence the physiological responses to NDT.

The aim of this study was to assess the short term effects of a SLR tensioner technique on PPT and VT in individuals with spinally referred leg pain, and to establish if certain factors had an impact on outcome. Whilst short term outcomes have limitations in terms of extrapolation into clinical practice, this study looked at what factors might impact on these physiological measures in different sub-groups of individuals with spinally referred leg

70 pain, rather than looking at the overall effectiveness of treatment, where long term and 71 functional outcomes are most desirable. 72 73 **METHODS** The study received ethics approval from the host university's Faculty of Health and Social 74 Science Ethics and Governance panel, and the UK's NHS ethics panel (REC reference 75 76 12/LO/0397). 77 Participants 78 Participants were recruited from Physiotherapy waiting lists of 3 NHS trusts in the South East region of the UK. Participants who were not currently undergoing treatment for their 79 pain were also recruited via University email and adverts in local newspapers. Participants 80 were included if they had spinally referred leg pain for greater than 3 months, without 81 other medical problems such as diabetes, rheumatoid arthritis or other systemic disorders. 82 83 All participants were given an information sheet and signed a consent form prior to commencement in the study. The participants attended 2 sessions; the first to sub- group 84 and ensure their eligibility and the second was the experimental stage of the study. 85 Sub-grouping 86

Participants were assessed by one of 6 experienced Physiotherapists with at least 4 years'
experience in musculoskeletal practice. Training was given to all Physiotherapists prior to
the commencement of the study.

Full subjective and physical examinations of each participant were performed, beforeallocating each individual into one of 3 sub-groups (Figure 1). If participants complained of

92	more than 2 signs of CS (pain > 6 months 26 , widespread areas of pain 26 , hypersensitivity
93	to warmth or cold ²⁹ , and hypersensitivity to touch ^{26,28}), an examination of painful points
94	was undertaken (Figure 2). The algometer (Wagner FPK, Greenwich, USA) was placed on
95	each of the points, and the pressure increased up to 4kg/cm ² . If more than 8 of the points
96	were painful, the participants were considered to have CS. ²⁶
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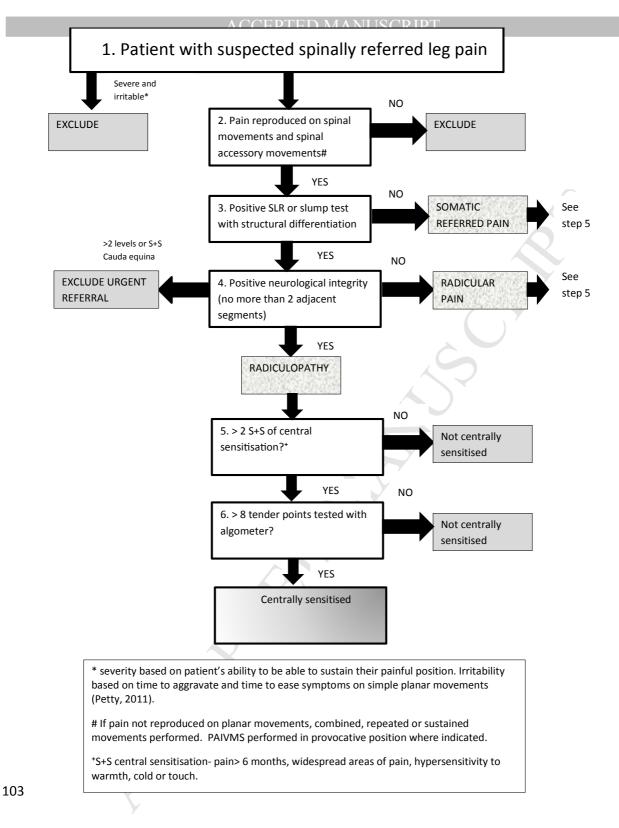


FIGURE 1 flow chart of sub-grouping procedure

106 **FIGURE 2** Tender point assessment

107 <u>Experimental Stage</u>

108 Participants attended the laboratory a minimum of 48 hours after their initial assessment.

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- 109 Participants filled out 5 questionnaires: Fear avoidance belief questionnaire (FABQ),
- 110 Tampa scale of kinesiophobia, Oswestry disability index (ODI), Depression, anxiety and
- 111 stress scale (DASS), and Pain catastrophising scale (PCS).
- 112 Height and weight measurements were taken of all participants. The order of PPT or VT
- measurements was randomly allocated by asking participants to choose a piece of paper
- 114 from a bag written with either V or P. All measures were taken by one researcher blinded
- to the group allocation of participants.
- 116
- 117

120	Participants lay prone and a practice VT was obtained from the unaffected side on the
121	plantar surface of the base of the first metatarsal using a vibrameter (Somedic AB,
122	Sweden). The probe was placed perpendicular on the metatarsal so that the weight of the
123	probe rested fully on the area. Vibration was slowly increased until the participant felt the
124	onset. The stimulus was then increased before being reduced again until the participant
125	could no longer feel the sensation. Once a consistent measure (within 10%) had been
126	demonstrated, VT readings were taken from the same site on the affected side. Three
127	vibration appearance values and 3 vibration disappearance values were taken. The
128	participant was then asked to lie on their unaffected side and VT readings were taken from
129	the lateral malleolus of the affected side.
130	Pressure Pain Thresholds
131	Participants lay prone and a practice PPT was taken from the unaffected leg with a tracker
132	freedom wireless algometer (J Tech Medical, Salt Lake City, U.S.A.) over the
133	gastrocnemius belly and tibial nerve to familiarise the participant to PPT.
134	PPTs were taken from the middle portion of the deltoid muscle on the unaffected side, the
135	tibial nerve behind the knee, and gastrocnemius (a point marked one third of the distance
136	between the knee crease to the top of the calcaneal tuberosity) on the affected side.
137	Participants lay on their affected side and the probe placed perpendicular to middle portion
138	of deltoid with pressure applied at the rate indicated by the pacer (1kg/sec). Participants
139	were asked to push a hand plate when the sensation of pressure changed to one of
140	discomfort. The participant turned prone and the same procedure was repeated for the tibial

141 nerve behind the knee, before moving on to the gastrocnemius point. Two further readings

142 were taken from each site, giving a total of three for each site.

143 *Treatment procedure*

All participants regardless of grouping had the same treatment procedure. Participants lay 144 supine on the plinth with an ankle foot orthosis applied to both sides and the affected knee 145 146 fully extended. The affected hip was flexed to the point of a change to symptoms, or if there was no change in symptoms, to the point where resistance prevented further movement. If 147 symptoms were still not reproduced, medial rotation and adduction were added until 148 149 symptoms occurred or resistance limited movement. The knee was then flexed until symptoms subsided (if present) and the treatment consisted of the knee being extended to 150 the point of symptom onset or end range of resistance (if there were no symptoms) and then 151 flexed again repeatedly (a knee joint mobilisation in SLR position). A grade III- to III+ 152 mobilisation (large amplitude into tissue resistance ^{30, pg62}) was performed. A treatment 153 dose of 3 x 1 minute mobilisations was performed, with a 1 minute rest between 154 mobilisations. The choice of treatment time has not been established to date for NDT, so 155 was informed by clinical practice, and previously used by the researcher. ³¹ 156 PPT and VT were then retested as described above. 157

158 <u>Analyses</u>

159 Vibration threshold

The mean of three appearance and 3 disappearance values were calculated to give the final
VT reading. This follows the method of limits ^{32,33} and has excellent repeatability in
individuals with spinally referred leg pain.³⁴

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163 *Pressure pain threshold*

164 Three PPT readings were taken from each site. The first reading was discarded and the 165 mean of the second and third measures used for the final reading of each site. This method 166 was found to enhance the repeatability of PPT measures in individuals with spinally 167 referred leg pain.³⁴

168 <u>Data Analysis</u>

169 All comparable data was analysed to ensure normality using the Shapiro Wilk test. Baseline comparisons were made using Pearson's correlation coefficients. Baseline differences were 170 171 analysed by one way ANOVA or for non-normally distributed data Kruskall Wallis, and for nominal data Chi square test was used. Differences between the 2 outcome measures, and 172 between the 3 sub-groups were analysed using a 3 way mixed factorial ANOVA (time and 173 site the within subject variables, and group the between subject variable) with subsequent 174 covariate analysis to assess for any factors which may have influenced the outcomes. Post 175 hoc testing was performed using Sidak corrected post hoc tests, unless indicated otherwise, 176 and contrasts where appropriate. All p values were considered significant at p<0.05 level. 177

178

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RESULTS

Sixty seven participants were involved in the study; 13 were recruited from Physiotherapy waiting lists, and 54 from outside of the NHS. Table 1 gives the demographic details of all participants. There were no baseline differences in any of the variables between groups except for age and pain below the knee. Post hoc testing of age using Gabriel's pairwise test found no significant differences between the 3 sub-groups. For pain below the knee, the

ACCEPTED MANUSCRIPT. 185 somatic group, had a lower percentage of individuals with pain below the knee than

186 radicular or radiculopathy groups.

		Diagnostic sub-groups			
	Total	Somatic	Radicular	Radiculopathy	р
N	67	11	33	23	
Age (years)	52.9 (13.3)	57.5 (10.6)	48.5 (13.2)	57 (13.1)	0.027*ª
Gender (% female)	49.3	54.5	51.5	43.5	0.78 ^b
Pain below knee (%)	70.1	18.2	75.8	87	0.000 ^b
Pain duration (years)	2.7 (4.9)	3.1 (5.9)	3.1 (5.7)	2 (2.8)	0.422ª
NHS Patients (%)	19.4	25	21.2	13.04	0.58 ^b
BMI	27.1 (4.6)	25.4 (3.6)	27.2 (4.9)	27.8 (4.6)	0.36ª
Disability (ODI)	17.3 (10.1)	16.3 (7.9)	17.5 (8.1)	17.4 (13.5)	0.94ª
Fear avoidance physical	10.4 (4.9)	11.6 (4.2)	10.3 (4.8)	10.2 (5.5)	0.79ª
activity (FABQP)					
Fear avoidance work	9.2 (8.4)	5.7 (7.2)	9.2 (9)	10.8 (7.9)	0.26ª
(FABQW)					
Pain Catastrophising (PCS)	8.7 (8.9)	5.8 (3.8)	9.2 (8.9)	9.4 (10.5)	0.5ª
Total					
PCS Rumination	1 (5)	1 (4)	1 (5)	2 (6)	0.5 ^c
PCS Magnification	2 (3)	2 (2)	2 (3)	2 (2)	0.46 ^c
PCS Helplessness	2 (3)	2 (2)	2 (5)	2 (4)	0.71 ^c
Depression (DASS21)	1 (3)	1 (3)	1 (3)	1 (6)	0.72 ^c
Anxiety (DASS21)	1 (3)	1 (2)	2 (3)	1 (3)	0.69 ^c
Stress (DASS21)	4.8 (3.8)	3.9 (3.2)	5.3 (3.7)	4.5 (4.2)	0.54ª
Kinesiophobia (Tampa)	33 (10)	34 (10)	33 (10)	35 (11)	0.59 ^c

187

188 **TABLE 1** Baseline characteristics for the study participants

^aOne Way ANOVA, data given is means and standard deviations * post hoc testing revealed no sig diffs between groups (somatic v radicular p = 0.114, somatic v radiculopathy p = 0.999, radicular v

- 191 radiculopathy p = 0.051).
- 192 ^bChi Square Test

^cKruskall Wallis, data not normally distributed and data given is median and interquartile ranges

- 194 Key: BMI body mass index, ODI Oswestry disability scale, DASS disability anxiety and stress scale.
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205 Mean (SD) pre and post SLR treatment PPT readings and mean differences (SD) can be

found in Table 2. Very small differences in PPT can be seen for all sites and sub-groups.

207 Large standard deviations, suggesting marked variation in response to SLR treatment

208 between individuals were found. A cumulative proportion of responders analysis was

209 performed (Figure 3) to further analyse the data 30 .

Pressure Pain Thresholds

210

Site	Deltoid		Tibial Nerve			Gastrocnemius			
Group	Pre Rx	Post Rx	Mean	Pre Rx	Post Rx	Mean	Pre Rx	Post Rx	Mean
			Diffs			Diffs			Diffs
Somatic	5.69	6.27	0.58	6.25	6.84	0.59	5.55	6.19	0.64
	(2.19)	(2.73)	(2.45)	(2.88)	(3.02)	(0.92)	(2.10)	(2.44)	(1.80)
Radicular	4.59	4.4	-0.19	4.62	4.84	0.22	4.61	4.63	0.02
	(2.33)	(2.08)	(0.97)	(2.21)	(2.25)	(1.27)	(2.07)	(2.09)	(0.83)
Radiculopathy	4.58	4.96	0.38	5.14	4.93	-0.21	5.02	4.78	-0.24
	(1.54)	(1.98)	(0.95)	(2.02)	(1.62)	(1.26)	(1.78)	(1.94)	(0.73)

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TABLE 2 Mean (SD) PPT for each site and for each sub-group of individuals with spinally
 referred leg pain. Key: Rx = treatment

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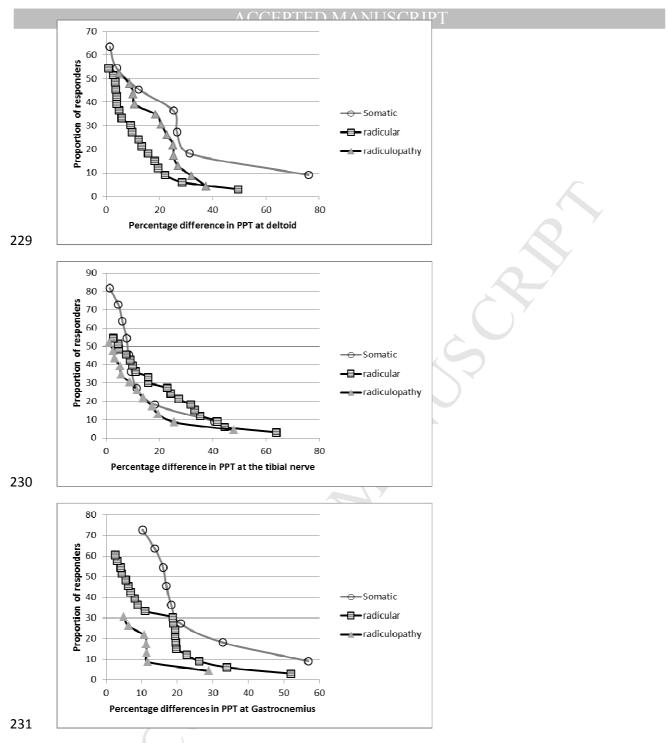


FIGURE 3 Cumulative proportion of responders PPT (Kg) at deltoid (top), tibial nerve (middle) and
 gastrocnemius (bottom) site for each group

239

- All data were normally distributed (Shapiro Wilk p >0.05), apart from the tibial nerve pre-
- readings in the radicular group (p=0.009). Since only 1/18 readings reached statistical
- significance, and ANOVA is robust to alterations in normal distribution $^{35, pg 444}$, no
- transformations were carried out.

Statistical Analysis

- 245
- 246 Mauchly's test of sphericity was not significant therefore sphericity was assumed. There
- 247 was no main significant effect of group (F (2, 64) = 2.77, p=0.07), or time (F (1, 64) = 2.46,
- p= 0.12) or site (F (2, 128) = 1.82, p= 0.16), and no significant interaction effects for time v
- site (F (2, 128) = 0.22, p= 0.8) or time v group (F (2, 64) = 1.92, p= 0.16).
- 250 No significant correlations were found between the PPT readings and the psychosocial or
- disability factors, and no significant differences between groups at baseline, therefore no
- 252 covariate analysis was performed.

253 <u>Vibration Thresholds</u>

- 254 Missing data occurred in some participants due to equipment failure and erroneous
- readings over $20\mu m^{36}$ (see Table 3 and figure 4). In the case of the missing data due to
- elevated VT readings, all participants were male and between the ages of 64-69 years.
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A	COPDTED MAN	TICODIDT	
Group	Site	N	Reason
Somatic	Both	1	Equipment failure
	1 st Metatarsal	1	VT>20µm
Radicular	Both	1	VT>20µm
	1st Metatarsal	1	VT>20µm
Radiculopathy	Both	1	Equipment failure
	1st Metatarsal	1	VT>20µm



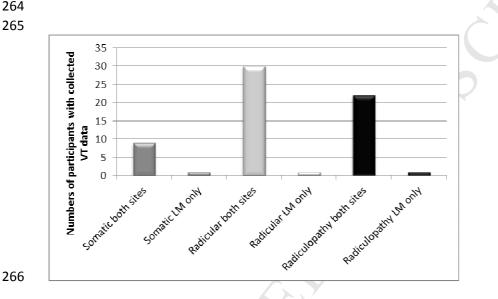


FIGURE 4 Final numbers of participants with collected vibration threshold (VT) data

Key: LM vibration threshold from lateral malleolus

TABLE 3 Missing vibration threshold data

- Figure 5 shows the mean differences (before and after) measures for each site. It can be
- seen that there was a tendency for a reduction in VT in both the somatic and radiculopathy
- groups after treatment, but a slight increase in the radicular group.

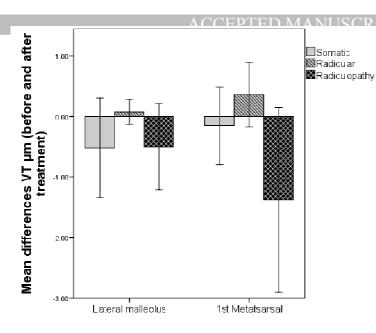


FIGURE 5 Mean VT measures (μm) before and after treatment at the lateral malleolus and first
 metatarsal sites. The 95% confidence intervals demonstrate large variability in readings especially
 for the somatic and radiculopathy groups.

- 277 Statistical Analysis
- All data were not normally distributed, (Shapiro Wilk test<0.05). A box-cox transformation

279 (VT^a)-1/a (where a=0.1) successfully normalised all but one of the readings. Since ANOVA

is robust to minor violations of normality, this transformation was considered successful.

There was a main effect for group (F (2, 57) = 4.79, p= 0.012). Sidak corrected post hoc

tests indicated significantly higher VT for the radiculopathy compared to radicular group

- (p=0.01). There was a main significant effect for site (F (1, 57) = 38.17, p<0.01), but no
- other significant within subject effects (p>0.05).
- 285 Correlation analysis using Pearson's correlation (Table 4) showed significant strong
- correlations for VT with age. As age was strongly correlated with vibration thresholds, this
- interaction was entered into the analysis. No significant differences were seen for any

288 within or between subject analyses, indicating that the significantly higher VT in the

Variables	Correlation	P value	Confidence	r ²
	coefficient		interval	
VTLM pre : age	0.554	0.000	0.37-0.71	0.307
VTLM post: age	0.501	0.000	0.31-0.67	0.25
VT1MT pre: age	0.467	0.000	0.27-0.63	0.22
VT1MT post: age	0.446	0.001	0.22-0.63	0.199

radiculopathy group found in the first analysis was related to age.

290

291 TABLE 4 Pearson's correlation between VT and age
292 Key: VTI M vibration threshold from lateral malleolus, VT1MT vibration

Key: VTLM vibration threshold from lateral malleolus, VT1MT vibration thresholds 1stmetatarsal.

294

295 There were no other significant correlations (p>0.05) between the psychosocial or disability

296 factors and VT and no other baseline differences between groups therefore no further

297 covariate analyses were performed.

298

299 <u>Central Sensitisation</u>

300 Only 2 participants were classified with CS, one within the radicular group and the other

the radiculopathy group, therefore no meaningful analysis of this data could be attempted.

302

303

DISCUSSION

- 304 Pressure Pain Thresholds
- No significant main or interaction effects were found, indicating that the 3 x 1 minute SLR
- treatment was not effective at reducing PPT in any of the 3 groups. The cumulative
- 307 responders analysis was performed (Figure 3) because it allows for a more comprehensive

analysis of the response to treatment between groups ³⁰. It has been suggested that a change 308 in PPT over 15% may be clinically significant ³⁷. At the deltoid site, over 40% of 309 individuals in the somatic and radiculopathy groups showed an increase in PPT over 15%, 310 311 but only around 25% in the radicular group. This trend reversed at the tibial nerve site with around 35% of individuals in the radicular group having increases of over 15%, whereas in 312 the somatic and radiculopathy groups this fell to around 20% of participants. At 313 314 gastrocnemius, less than 10% of participants in the radiculopathy group improved over 15%, whereas 30% of participants in the radicular group and over 50% in the somatic group 315 improved by over 15%. Overall this suggests that a more positive effect on pain may have 316 occurred in the somatic group, which is not the group in which this treatment would 317 normally be chosen. Silva et al.¹⁰ also found no within subject differences in PPT after 318 different durations of SLR treatment in individuals with sciatica, but significantly worse 319 320 PPT in individuals with sciatica compared to a control group after 7 minutes of treatment. It is not known if longer treatment duration would have had such effects in the present study. 321 Some limitations in the study design could account for the results of the present study. 322 Firstly, it may have been useful to have measured the PPT over the most painful site where 323 most change may have been expected. Secondly, it is possible that changes to pain may not 324 occur immediately post treatment, but may be more apparent some hours or even days later. 325 ^{38,39} Thirdly, treatment consisted of 1 session of 3 minutes of treatment; it is not known if 326 327 this time is sufficient to cause changes to pain, particularly in individuals with longstanding symptoms. 328

329 <u>Vibration Thresholds</u>

330 No significant differences were found in VT between the groups or before and after

treatment. Whilst there was a trend for a decrease in VT post treatment in radiculopathy and

differences, and individual variation meant that there were no significant differencesoverall.

No beneficial effects of the NDT can be claimed, but importantly, no detrimental effects 335 336 were found, even in individuals with altered neurological integrity. It has been suggested that applying tensioner techniques in individuals with neuropathy may be detrimental to 337 nerve function ^{6,7}. The results of this study do not support such conclusions. Whilst it could 338 be argued that the risk of accepting the results of the study may be due to the sample size, it 339 is important to consider the large variation in the effect of SLR treatment on VT between 340 individuals, some showing decreases and others increases in VT post treatment, which may 341 have washed out any treatment effects. 342

To the author's knowledge, only one study has looked at the effects of a neural mobilisation 343 on VT^{31.} The findings of this study revealed no significant differences in asymptomatic 344 participants, including a sub-group of runners. Since runners may be predisposed to 345 neuropathy ^{40, 41, 42}, the current study supports these findings. Nee et al., ⁴³ analysed 346 347 adverse events in individuals after upper quadrant NDT. No differences in improvement 348 occurred between those who reported an adverse event and those who did not. Whilst this study did not analyse changes to nerve conduction, it does suggest that adverse effects from 349 NDT are short lived and not harmful. 350

351 <u>Central Sensitisation and other factors</u>

332

352 Only 2 participants were identified with CS, an unexpected finding considering the

longevity of symptoms (mean 2.7 years) and the postulated relationship between chronic

LBP and CS ^{26, 28, 44}. The method used to identify CS may not be sufficiently robust,

355	ACCEPTED MANUSCRIPT although this method is commonly used to identify CS in a number of conditions including
356	fibromyalgia ^{45,46,47} . Another explanation could be that individuals with this condition may
357	be reluctant to volunteer for a study which may induce pain.
358	There were no correlations between PPT and VT and any of the psychological measures or
359	disability scores. In addition, there were no significant differences in baseline measures
360	between the groups. This suggests that these variables were not responsible for the outcome
361 362	to the SLR treatment.
363	CONCLUSION
364	A 3 x 1 minute SLR treatment does not improve PPT in individuals with spinally referred
365	leg pain, however it does not detrimentally affect VT. This suggests that nerve conduction
366	is not altered after NDT even in individuals with signs of nerve function loss. Future work
367	is essential to analyse optimal treatment doses and follow up times for outcome measures.
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523	CAPTIONS TO ILLUSTRATIONS
524	Figure 1 Flow chart of sub-grouping procedure
525	Figure 2 Tender point assessment
526 527	Figure 3 Cumulative proportion of responders PPT (Kg) at deltoid (top), tibial nerve (middle) and gastrocnemius (bottom) site for each group
528 529 530	Figure 4 Mean VT measures (μ m) before and after treatment at the lateral malleolus and first metatarsal sites. The 95% confidence intervals demonstrate large variability in readings especially for the somatic and radiculopathy groups.
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Acknowledgements

Thanks to Kayleigh Morley for her work as research assistant on this project, and Chris Mercer for his feedback on drafts of the paper.

Highlights

- A straight leg raise tensioner was given to people with spinally referred leg pain
- Treatment duration was 3 x 1 minute
- Pressure pain thresholds and vibration thresholds were the outcome measures
- No statistical differences were found before and after treatment or between groups
- Psychosocial factors, disability and central sensitisation didn't alter outcomes