

1 A novel split cycle internal combustion engine with integral waste heat recovery

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6 Keywords

7 Split cycle

8 Isothermal compression

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10 Heat recuperation

11 Waste heat recovery

12 Abstract

13 To achieve a step improvement in engine efficiency, a novel split cycle engine concept is proposed.
14 The engine has separate compression and combustion cylinders and waste heat is recovered
15 between the two. Quasi-isothermal compression of the charge air is realized in the compression
16 cylinder while isobaric combustion of the air/fuel mixture is achieved in the combustion cylinder.
17 Exhaust heat recovery between the compression and combustion chamber enables highly efficient
18 recovery of waste heat within the cycle. Based on cycle analysis and a one-dimensional engine
19 model, the fundamentals and the performance of the split thermodynamic cycle is estimated.
20 Compared to conventional engines, the compression work can be significantly reduced through the
21 injection of a controlled quantity of water in the compression cylinder, lowering the gas temperature
22 during compression. Thermal energy can then be effectively recovered from the engine exhaust in a
23 recuperator between the cooled compressor cylinder discharge air and the exhaust gas. The resulting
24 hot high pressure air is then injected into a combustor cylinder and mixed with fuel, where near
25 isobaric combustion leads to a low combustion temperature and reduced heat transferred from the
26 cylinder wall. Detailed cycle simulation indicates a 32% efficiency improvement can be expected
27 compared to the conventional diesel engines.

28 1. Introduction

29 The internal combustion engine (ICE) has been the incumbent power source for road transport
30 applications for over 100 years. The high power density, good transient response and low cost make
31 the ICE an excellent fit with the needs of road vehicles in an environment with an abundant supply of
32 low cost liquid fossil fuels [1-3]. However, climate change and diminishing stocks of petroleum have
33 led to a drive for higher efficiency solutions [4, 5]. Alternative power converters such as fuel cells and
34 batteries and increased electrification of the transmission between the prime mover and the wheels
35 and downsizing of the ICE have also been proposed to improve the vehicle system performance [6].
36 Fuel cells and electrification are attractive for the passenger cars, but the high load factor of
37 commercial vehicles is particularly challenging to such alternative powertrains [7, 8]. Recent research
38 [9] has shown improvements to the thermal efficiency of the ICE offers the most effective means of
39 reducing energy consumption when compared to other solutions on the basis of both cost and overall
40 efficiency (including fuel processing and logistics chain efficiencies).

41 Incremental improvements in the ICE efficiency through friction reduction and improvements in the
42 combustion system will continue but savings will become increasingly difficult and costly [10]. Waste
43 heat recovery such as organic Rankine cycles [9] and open gas and steam cycles [11] have been
44 proposed and could deliver improvements of the order of 2-5%. Alternative combustion systems,
45 such as Low temperature combustion to reduce heat losses [12] could also improve efficiency.
46 However, these approaches, even when combined with waste heat recovery are likely to yield a
47 maximum system efficiency of 45-50% [13]. Further improvements to efficiencies beyond 50% require
48 a fundamental change to the ICE cycle.

49 The splitting of the compression and expansion strokes into separate cylinders has the potential to
50 greatly improve the overall cycle efficiencies through:

- 51 1) Reduction of the compression work by induction into a cool cylinder or direct cooling of the charge
52 air during compression
- 53 2) Decoupling of the compression and expansion strokes effectively enabling a Miller cycle
- 54 3) High pressure waste heat recovery between the compression and combustion cylinders

55 The concept of splitting the ICE cycle into separate cylinders is not new, and was first described by
56 Ricardo in 1908 [14]. Coney [15] evolved the cycle to add isothermal compression and high pressure

57 recuperation for stationary power applications. Water injection into the compression cylinder was
 58 proposed to achieve isothermal compression. A break efficiency of 60% was predicted using cycle
 59 simulation, but the contribution of the various features of the cycle was not described. Scuderi [16]
 60 proposed an alternative concept for road transport applications, that utilised a cool compressor
 61 cylinder and separate combustion cylinder with a close coupled transfer port. Both concepts were
 62 taken to lab demonstration, but were not commercialised. More recently, Atkins [17] has suggested a
 63 variant of the Coney engine that uses liquid nitrogen to cool the compressor, exploiting both the latent
 64 and sensible heat of the cryogenic fluid. It is claimed this overcomes the difficulties of carrying a
 65 water handling system on a road vehicle.

66 In this paper, we analyse the split cycle engine in detail to understand the contribution of various
 67 features of the cycle to the overall efficiency. Classical analysis is first used to investigate the global
 68 trade offs of compression ratio and waste heat recovery on a reciprocating split cycle engine. Full
 69 cycle analysis is then used to investigate in detail key features of the cycle and the impact on the
 70 engine architecture. The paper concludes with a discussion on the potential of a split cycle engine in
 71 road transport and stationary applications.

72 Nomenclature

a	droplet surface area (m^2/kg)	ER	expansion ratio	Q_{LH}	Fuel heat release amount (kJ)	V	volume
atdc	after top dead centre	EVC	exhaust valve close timing	Q_{RE}	recuperated heat (kJ)	Greeks	
AFR	air fuel ratio	EVO	exhaust valve open timing	S	entropy	ε	specific heat ratio
C	Isothermal index	h	enthalpy (kJ/kg)	T	temperature (K)	σ	recuperator effectiveness
CA	crank angle	IVC	Intake valve close timing	T_{in}	Intake air temperature (K)	η	thermal efficiency
C_v	specific heat under constant volume	IVO	Intake valve open timing	T_{out}	Outlet air temperature (K)	Subscripts	
C_p	specific heat under constant pressure	k	isentropic exponent	Δ	difference	LH	fuel lower heating value
CR	compression ratio	p	pressure	u	heat transfer coefficient	RE	heat recuperation

73

74 2. Engine structure and theatrical thermodynamic cycle analysis

75 2.1 Description of the split engine cycle

76 A schematic of the 'isoengine' type of split cycle engine is shown in Fig. 1. Ambient air at stage point
77 1 is pre-compressed in the turbocharger, and then sent to the reciprocating compression cylinder (2).
78 A certain amount of water is injected into the cylinder to cool down the air during compression,
79 resulting in a quasi-isothermal compression process. After the compression stage (3), a high-pressure
80 two-phase water/air mixture leaves the isothermal compressor, and the water is recovered in a
81 separator. The liquid water is cooled and sent back to a water tank (5). A recuperator is installed
82 downstream the separator to heat the high-pressure air (4). Within the recuperator, the air is heated
83 by the exhaust flow (7), and then an intra-cylinder heat recovery process is achieved.

84 After the recuperation process (6), the fully preheated compressed air is fed to the combustion
85 cylinders. As the combustion chamber intake valve opening time (IVO) is near to top dead centre
86 (TDC), the diffusion of the combustion flame occurs in the expansion stroke of the cylinder. As a
87 result, the combustion peak pressure is not increased significantly and a quasi-isobaric combustion
88 process can be assumed. At the end of the expansion stage, the cylinder pressure is very close to the
89 pressure in the exhaust pipe (7), so the exhaust stroke can be assumed as nearly isobaric as well.
90 Based on the above processes, a complete split cycle is achieved.

91 2.2 Thermodynamic cycle analysis of the split cycle engine

92 The introduction of the split cycle engine indicates that both the combustion and exhaust stroke are
93 nearly isobaric, and the expansion stroke can be treated as nearly adiabatic. The thermodynamic
94 characteristics of the split cycle engine are therefore very similar to the Brayton cycle [18, 19]. A
95 comparison between a simple Brayton cycle, recuperated Brayton cycle, ideal iso-thermal split cycle
96 and quasi-isothermal split cycle is shown in Table 1.

97 For the Brayton cycle, the efficiency of the cycle is decided by the compression ratio (CR) only. The
98 ideal efficiency of the cycle is greater than 60% when the CR is greater than 15. However, this
99 efficiency can be improved, especially under a lower CR conditions by recovering waste heat through
100 a recuperator to pre-heat the charge air post compression. The efficiency of this cycle is decided not
101 only by the compression ratio, but also the regenerator effectiveness and the amount of heat released
102 from the combustion process. In this thermodynamic cycle, the compressed air will be pre-heated by
103 the exhaust gas. The recuperation process only works when the exhaust temperature T_4 is higher

104 than the compressed air temperature T_2 . According to Table 1, the following relationship should be
 105 satisfied:

$$106 \quad \underbrace{(T_1 \cdot CR^{k-1} + \frac{Q_{LH}}{C_p})}_{T_4} / CR^{k-1} > \underbrace{T_1 \cdot CR^{k-1}}_{T_2} \quad (1)$$

107 Clearly, with the increase of T_2 and the decreases of T_4 , the efficiency of this cycle cannot be
 108 improved when a higher CR is applied. To find the available compression ratio range, the variables x
 109 and l are defined as:

$$110 \quad x = CR^{k-1}, l = \frac{Q_{LH}}{C_p T_1} \quad (2)$$

111 So the relationship 1 can be rearranged as:

$$112 \quad (x-1/2)^2 - (l-1/4) < 0 \quad (3)$$

113 Assuming a 2,200 K maximum combustion temperature which represents the normal heat release
 114 case in diesel engines [20], and a recuperator effectiveness of 0.8, the available compression ratio
 115 range is shown in Fig. 2. Under such conditions, recuperation only can be achieved when the CR
 116 value is lower than 15.6, lower than the normal CR value is 17 or higher for a diesel engine.

117 The split thermodynamic cycle, enables a much wider recuperation range than can be achieved with a
 118 recuperated Brayton cycle. In an ideal Split cycle, an isothermal compression process is applied.
 119 Thus the compression air temperature T_2 is the same as the initial intake air temperature T_1 . Then the
 120 temperature T_4 can be expressed as:

$$121 \quad T_4 = (T_1 + (Q_{LH} + Q_{RE}) / C_p) / CR^{(k-1)} \quad (4)$$

122 The expansion temperature T_4 is therefore always higher than T_2 regardless of the compression ratio.

123 As a result, the recuperation process can be achieved throughout the compression ratio range.

124 For the real split cycle engine, the compression process is assumed to be quasi-isothermal. As a
 125 result, the T_4 is not always higher than the compression temperature T_2' and a limited recuperation
 126 range exists for this cycle.

127 The temperature T_2' is strongly affected by the heat transfer process between the injected water and
 128 the intake air. The effect of heat transfer from the air to water droplets can be represented by a
 129 modified form of the well-known relationship for an ideal gas undergoing isentropic compression or
 130 expansion [21]. The modified equation is derived from the First Law of Thermodynamics in a similar
 131 way to the original equation, yielding:

$$132 \quad \frac{T_2'}{T_1} = CR^{(K-1) \cdot \exp\left[-\frac{ua}{c_p}\left(1-\frac{T_w}{T_1}\right)\Delta t\right]} \quad (5)$$

133 Equation (5) relates the change of air temperature and pressure during a time interval Δt , with the
 134 exponential function representing the effect of heat transfer to the droplets. T_w is the mean spray
 135 water temperature, C_p is the specific heat of the air in the cylinder, K is the isentropic index, u is the
 136 heat transfer coefficient between the air and the water droplets and a is the droplet surface area per
 137 kg of air. If $u_a \cdot \Delta t$ is near zero then the exponential function tends to unity and the temperature ratio
 138 tends to the unmodified isentropic relationship. On the other hand if $u_a \cdot \Delta t$ is large then T_2' rapidly
 139 converges on T_w . Since the parameters of the exponential function depend on time and may undergo
 140 rapid changes during compression, the above expression should only be applied to small time
 141 intervals and small pressure ratios. Here, we define the isothermal index C as:

$$142 \quad C = \exp\left[-\frac{ua}{c_p}\left(1-\frac{T_w}{T_1}\right)\Delta t\right] \quad (6)$$

143 Then the value of C is directly affected by the water injection amount. When the water injection rate is
 144 high, the value of C is close to 0 and the compression process can be treated as nearly isothermal.
 145 On the contrary, the value is close to 1 and an isentropic compression process can be assumed.
 146 Accordingly, the temperature of T_2 and T_4 can be expressed as:

$$147 \quad T_2' = T_1 \cdot CR^{(K-1) \cdot C} \quad (7)$$

$$148 \quad T_4 = \frac{T_1 \cdot CR^{(K-1)C} + (Q_{RE} + Q_{LH}) / C_p}{CR^{(K-1)}} \quad (8)$$

149 According to the above two equations, we can conclude that T_4 is not necessarily higher than T_2' .
 150 However, comparing to the case of the recuperated Brayton cycle, the compression temperature T_2' is

151 much lower. Given the same compression ratio, the recuperation available range in the split cycle
152 engine is wider than the recuperated Brayton cycle.

153 Fig. 3 shows the efficiency comparison between the above 4 cycles. Here a recuperator effectiveness
154 is defined as $\sigma = (T_{2'} - T_2) / (T_4 - T_2)$ to calculate the recuperated heat Q_{RE} . The σ is assumed to be 0.8
155 which represent the performance typical of a commercial recuperator. The variable C is assumed to
156 be 0.45 which represents moderate cooling of the air by the injected water. From the Fig. 3 it can be
157 seen that, compared to the simple Brayton cycle, the efficiency of the recuperated Brayton cycle can
158 be improved when the compression ratio is less than 15.6. However a further improvement can be
159 achieved on the split cycle engine until the compression ratio is 23.7. Within this range, the ideal split
160 cycle efficiency can exceed 60%. This analysis demonstrates the split cycle engine is expected to
161 significantly improve the efficiency when it is applied to conventional reciprocating engines. To
162 analyse the engine performance in detail, a one dimensional model is constructed and discussed in
163 the following sections.

164 3. Engine modelling and experimental validation

165 A numerical model of the system was realised using the LMS Imagine.Lab AMESim [22], a software
166 for the simulation and analysis of one-dimensional systems. The IFP engine system module is in
167 particular devoted to the development of internal combustion engine models, allowing the simulation
168 of the considered engine system in both stationary and transient conditions [23]. Fig. 4 shows the
169 layout of the proposed split cycle engine model. To simulate the effect of the water injection, the spray
170 heat transfer model in the IFP engine package was applied, and validated by the experimental data
171 achieved on a prototype engine [24].

172 Concerning the separator, there is no available model template available in the AMEsim environment.
173 However, considering that the efficiencies of commercial water separators are very close to 100%, we
174 assumed that the water was completely removed from the air-water mixtures without changing the
175 status of the flow transfer from the compression chamber to the recuperator. Accordingly, the
176 compression chamber is connected to a dummy combustion chamber in the model, and then the
177 mixture flow status such as temperature and pressure can be collected in real time and used to define
178 the status of the pure air flow sent to the real combustion chamber. Also, the flow rate of the pure air
179 can be calculated by a water percentage sensor which is coded with C in the AMEset environment

180 [25]. To predict the recuperator behaviour, a heat exchanger model is applied. Then the heat transfer
181 between the intake air and exhaust gas can be evaluated. Finally, the combustion behaviour is
182 predicted by the combustion chamber which is based on the IFP engine package in AMESim. The
183 heat release process and the heat transfer behaviours in the combustion chamber are validated and
184 calibrated by the experimental data collected on a conventional diesel single cylinder engine. The
185 specifications of the split cycle engine modelled are shown in Table 2.

186 Fig. 5 shows a comparison between the split cycle engine and the conventional diesel engine. As
187 shown in fig.5 (a), the pressure in the combustion chamber of the split cycle engine increased
188 dramatically when the intake valve opened, but the rate of pressure rise at the start of combustion is
189 much lower compared to a conventional diesel engine, leading to a quasi-isobaric combustion
190 process. The fuel injection timing on the split cycle is retarded to avoid the start of combustion
191 coinciding with an open intake valve [15], leading to backflow into the intake manifold. Subsequently
192 the combustion phase is delayed to the expansion stroke as shown in Fig. 5 (b). Due to the retarded
193 combustion, the peak heat transfer rate is much lower than in a conventional diesel engine, whereas
194 the rate falls more slowly during the expansion stage due to late combustion, as can be seen in fig. 5
195 (c). The T-S diagrams of both engines can be seen in Fig. 5 (d). Due to the quasi-isothermal
196 compression and recuperation process, the work, represented by the area bound by the TS diagram
197 is higher for the same heat addition from combustion resulting in a 13% total efficiency improvement.
198 Details of cycle will be discussed in the following sections.

199 4. Results and discussions

200 4.1. Evaluation of the isothermal compression process

201 Isothermal compression can yield significant savings in compression work compared to the adiabatic
202 (isentropic) compression, where more work is needed to compress the hotter gas. The effect of the
203 injection of water into the compressor chamber, for a 350K intake air temperature and 2 bar initial
204 pressure is shown in Fig. 6. The adiabatic case results in a 92 bar final pressure at the position of
205 EVO for a CR of 18. When a 20g/s water injection applied, the compression pressure is significantly
206 decreased for the same compression ratio. When the CR value increased to 31.5, the same final
207 pressure is achieved as the adiabatic case, to provide equivalent combustor inlet pressure. Since the
208 compression stroke length is fixed, the compression work is decided by the in-cylinder pressure

209 during the compression. Compared to the pressure curve of adiabatic compression, the pressure is
210 decreased when the water injection is applied. The water injection therefore reduces both the
211 compression temperature and the consumed work on the split cycle engine.

212 Under different compression ratios (CR), the effect of the water injection rate on the work
213 consumption is shown in Fig. 7 (a). When the water injection rate increases, the work saving also
214 increases. Similarly, the consumed work is greatest under high compression ratio conditions. For an
215 80g/s water injection rate, 15.7% of the compression work can be saved with a CR value of 22.
216 However, the percentage does not increase significantly when the injection rate is higher than 40g/s.
217 The effect of the water injection rate on the in-cylinder temperature can be seen in Fig.7 (b), a
218 significant decrease is observed even when the water injection is as low as 5g/s. With a CR value of
219 18, the compressed air temperature is as low as 380K for a 37g/s of water injection, only slightly
220 higher than the 350K intake temperature. The water injection rate should therefore be calibrated
221 carefully to achieve quasi-isothermal compression to avoid excessive water injection and associated
222 parasitic losses.

223 4.2. Heat recovery system evaluation

224 In section 2.2, we assumed a constant recuperation effectiveness σ in the theoretical model.
225 However, the effectiveness will vary depending on the operating conditions. The specifications of the
226 recuperator can be seen in table 3. A one-dimensional recuperation system model was developed via
227 AMESim, and validated by the recuperator data modelled by Oliverira [26].

228 According to the definition of the recuperator effectiveness σ in section 2.2, the σ is mainly affected by
229 the fresh air inlet temperature T_2 (which is the inlet air temperature of the recuperator, slave side),
230 and exhaust outlet temperature T_4 . As discussed in section 2, T_2 is the temperature at the end of
231 quasi-isothermal compression process, and the T_4 is the temperature at the end of the expansion
232 process (which is the inlet exhaust temperature of the recuperator master side). Given a fixed
233 temperature T_2 , the compression ratio is the key factors which affect the effectiveness. Figs. 8 (a) and
234 (b) show the one dimensional temperature distribution within the recuperator for compression ratios
235 (CR) 20 and 25 respectively. From the inlet to the outlet of the recuperator, 10 nodes are applied with
236 a fixed interval of 60mm (1/10 of the total length), and then the one dimension temperature
237 distribution is calculated. The air-fuel ratio was fixed at 18 and a 10g/s water injection is applied. As

238 the water injection rate is the same, the air inlet temperatures T_2 is nearly the same under these two
239 cases. In Fig.8 (a), it can be seen that the temperature difference between T_4 and T_2 , is 69K when the
240 CR is 20. But this difference increases to 198K when the CR increases to 25 as seen in Fig. 8 (b).
241 Due to the effect of the heat transferred from the combustion cylinder, the recuperator temperature is
242 pinched at 0.6-0.7 normalised distance from the cold end. The above results indicate that the
243 recuperator effectiveness decreases when the CR is increased.

244 To investigate this issue, the recuperator wall temperature was analysed. As seen in both cases (Fig.
245 8), the wall temperature is very close to the expansion temperature T_4 . So it can be deduced that a
246 higher expansion temperature leads to a higher wall transfer heat losses. Accordingly, the rate of
247 temperature rise at the outlet side of the recuperator is lower when the expansion temperature is
248 higher. As a result, it can be deduced that the recuperator effectiveness decreases under the higher
249 compression ratio condition.

250 4.3 Combustion process

251 For the split thermodynamic cycle, the cycle efficiency is decided by 3 independent parameters; the
252 isothermal index C , the recuperator effectiveness σ , and the combustion heat release Q_{LH} . For the
253 real engine, the value C is mainly determined by the water injection rate, the recuperator
254 effectiveness σ is determined by the recuperator specifications and its operation conditions. Q_{LH}
255 directly affects the peak combustion temperature T_3 . Setting the compression ratio at 16 and keeping
256 the water injection rate at 25g/s, the effect of air-fuel ratio λ is discussed in this section.

257 Fig. 9 (a) shows the pressure curves with different air-fuel ratios. In contrast to the conventional
258 engine, a moderate rate of pressure rise is observed on the split cycle engine. This phenomenon is
259 mainly due to the intake valve open timing being close to the TDC, and the combustion occurring
260 during the expansion process. The combustion process for the split cycle engine can be treated as
261 near isobaric. Given a certain thermal load constraint, such a combustion process enables a higher
262 compression ratio, and high cycle thermal efficiency. Fig. 9 (b) shows the peak temperatures for
263 different λ values. When the air-fuel ratio is 16, the peak combustion temperature is still less than
264 1,600K, which is lower than conventional diesel engines. Referring to the diesel engine and split
265 cycle engine model mentioned in section 3, a comparison between the two cycles is discussed below.

266 Given a fix air-fuel ratio of 17, and maintaining the compression ratios of 16, the in-cylinder energy
 267 balance is compared. Fig. 10 (a) and (b) are the energy balances within the combustion cylinders of
 268 the conventional and the split engine. In a split cycle engine, the cumulative energy in the combustor
 269 is higher than the total energy abstracted from the fuel. This phenomenon is due to the fact that part
 270 of the heat in the exhaust flow is recovered and delivered into the combustion chamber. Within the
 271 chamber, the total wall heat transfer of the split cycle engine is lower due to the lower average
 272 combustion temperature. This results in a 4% total thermal efficiency improvement in the split cycle
 273 engine case. Consequently, the network output in the split cycle engine is significantly increased.

274 4.4 System efficiency analysis

275 The theoretical analysis indicates the split cycle engine efficiency is improved by three parameters;
 276 the isothermal index C , the regenerator effectiveness σ , and the combustion release heat Q_{LH} . On a
 277 real split cycle engine, the cycle efficiency is also affected by losses in the quasi-isothermal
 278 compression, the recuperation and the combustion process. These processes were discussed in the
 279 previous section based on a one-dimensional engine model. Since the compression process is
 280 independent of the recuperation and combustion process, it can be discussed separately. After the
 281 separator, the pure air is pre-heated by the recuperator and delivered into the combustion chamber.
 282 The property and mass flow rate of the working fluid can then be considered as constant, and the
 283 recuperation and combustion process can be analysed together. The overall cycle efficiency
 284 improvement on the split cycle engine is the combination of three factors and can be expressed as:

$$285 \quad E_{impro} = E_{comp} + \underbrace{E_{recup} + E_{comb}}_{\text{Recuperated-combustion}} \quad (9)$$

286 Where E_{impro} is the efficiency improvement of the split cycle engine compared to the conventional
 287 diesel engine, expressed as the increase in work for a given fuel input normalised by the fuel input.
 288 E_{comp} is the incremental efficiency improvement through the reduction of compression work through
 289 the quasi-isothermal process, E_{recup} is the efficiency improvement through the recovery of waste heat
 290 through the recuperation process, and the E_{comb} represents the efficiency improvement due to the
 291 nearly isobaric combustion. Keeping the compression ratio and air-fuel ratio the same, and
 292 maintaining the engine speed at 1200 rpm, the engine energy balance of a split cycle engine and
 293 conventional diesel engine are shown in Fig. 11 (a) and (b) respectively. In the split cycle engine, a

294 5% indicated thermal efficiency improvement can be directly achieved by the quasi-isothermal
295 compression; comparing to diesel engine, a 1% thermal loss can be avoided because of the lower
296 compression temperature. Also, 8% energy can be re-utilized through the recuperation process. Due
297 to the energy re-utilization and heat losses reduction, 53% of the energy from the fuel can be
298 converted to work in the combustion cylinder. Compared to the conventional engine with a break
299 thermal efficiency of 40%, a 32% efficiency improvement (increase in work) can be expected by the
300 split cycle engine. At this stage, a comprehensive optimisation and calibration of the recuperation and
301 combustion parameters has not been done. Comparing the 60% efficiency predicted by pure
302 theoretical analysis reported by Coney [15], the result from this one dimensional model are lower.
303 Further performance improvements can be expected through further system optimization.

304 5. Conclusions

305 Based on the theoretical analysis and a one-dimensional engine model, the fundamentals and the
306 performance of the split thermodynamic cycle is evaluated. The major findings of the study are as
307 follows:

308 1) Isothermal compression in a split cycle engine design, significantly improves the recuperation
309 efficiency of a reciprocating engine, and expands the compression ratio range available for
310 recuperation.

311 2) A quasi-isothermal compression process can be achieved by injecting a certain amount of water in
312 the compression cylinder and increasing the geometric compression ratio.

313 3) Recuperation efficiency is improved at higher compression ratios.

314 4) Isobaric combustion enables a low peak combustion temperature. Given a fixed thermal load
315 constraint, such a low temperature leads to a cycle efficiency improvement and a reduction of wall
316 heat transfer losses.

317 5) Concerning the whole cycle efficiency, a 53% break thermal efficiency can be expected for split
318 cycle engines, compared to a baseline efficiency of 40% efficiency for a conventional diesel engine.

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322

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Figure Captions

Figure.1 Schematic of the 'isoengine' type Split cycle engine

Figure 2. The recuperation available range regarding to the value of CR (Compression ratio)

Figure 3. Efficiency comparison of the 4 thermodynamic cycles

Figure 4. Layout of the Split cycle engine model

Figure 5. A comparison between the Split cycle engine and the conventional diesel engine

Figure 6. Effect of water injection on the compression process

Figure 7. Effect of the water injection rate on (a) the work consumption and (b) in-cylinder temperature

Figure 8. Temperature distributions within the recuperator under the condition of (a) CR=20 and (b) CR=25

Figure 9. Varying curves of (a) pressure and (b) temperature-entropy with different air-fuel ratios

Figure 10. Inner-cylinder energy balances of (a) conventional diesel engine and (b) split cycle engine

Figure 11. Energy consumption comparison of (a) split cycle engine and (b) conventional diesel engine

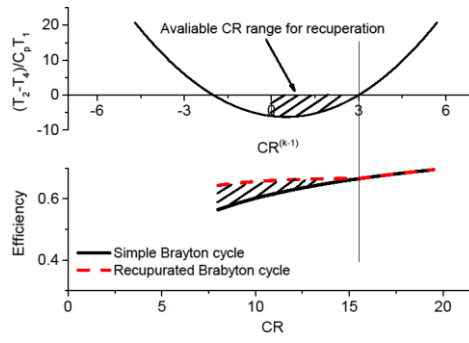


Figure 2. The recuperation available range regarding to the value of CR (Compression ratio)

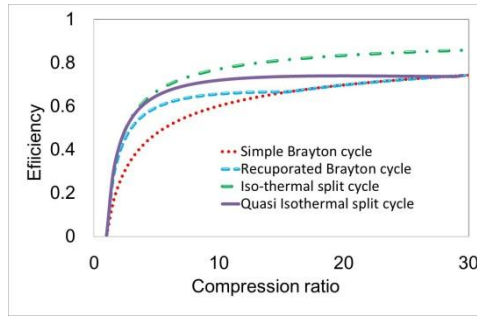


Figure 3. Efficiency comparison of the 4 thermodynamic cycles

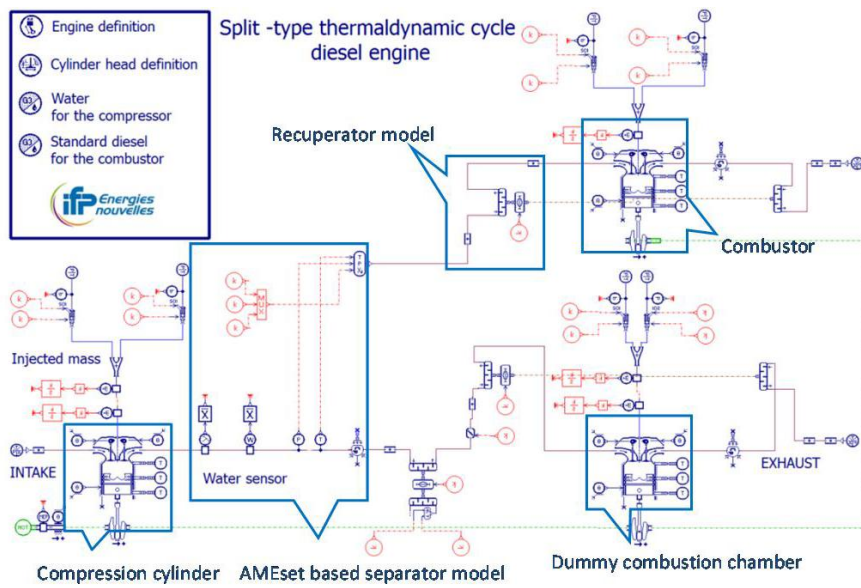


Figure 4. Layout of the Split cycle engine model

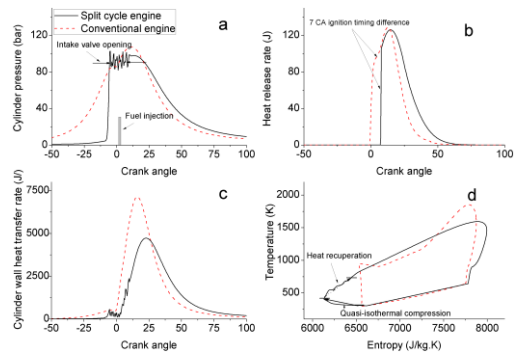


Figure 5. A comparison between the Split cycle engine and the conventional diesel engine

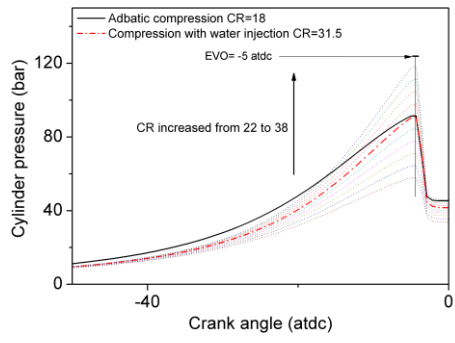


Figure 6. Effect of water injection on the compression process

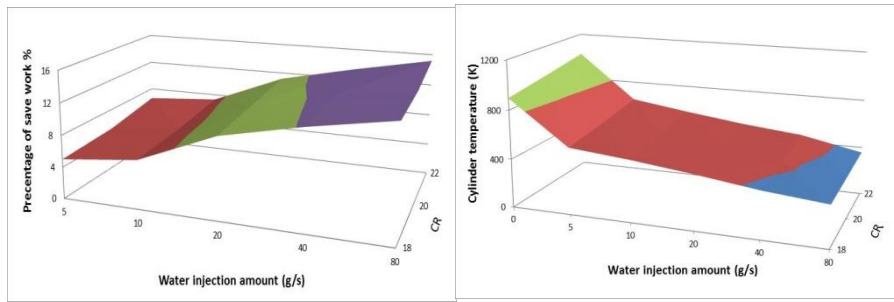


Figure 7. Effect of the water injection rate on (a) the work consumption and (b) in-cylinder temperature

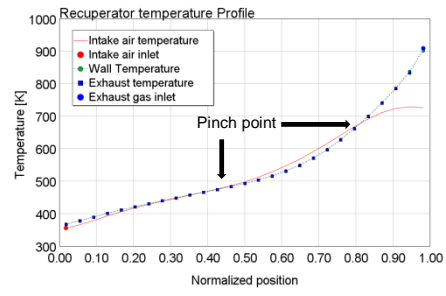
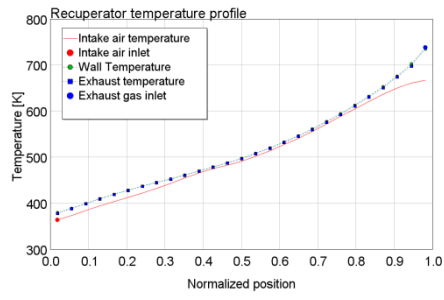


Figure 8. Temperature distributions within the recuperator under the condition of (a) CR=20 and (b) CR=25

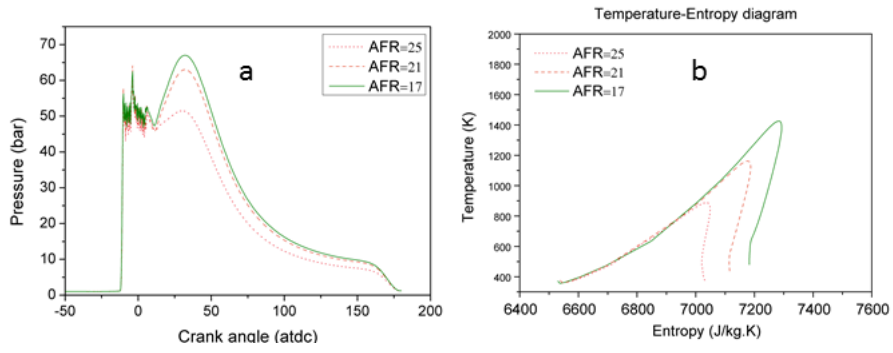


Figure 9. Varying curves of (a) pressure and (b) temperature-entropy with different air-fuel ratios

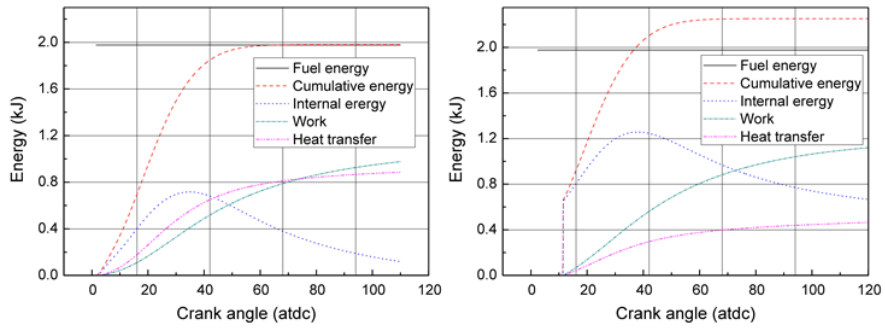


Figure 10. Inner-cylinder energy balances of (a) conventional diesel engine and (b) split cycle engine

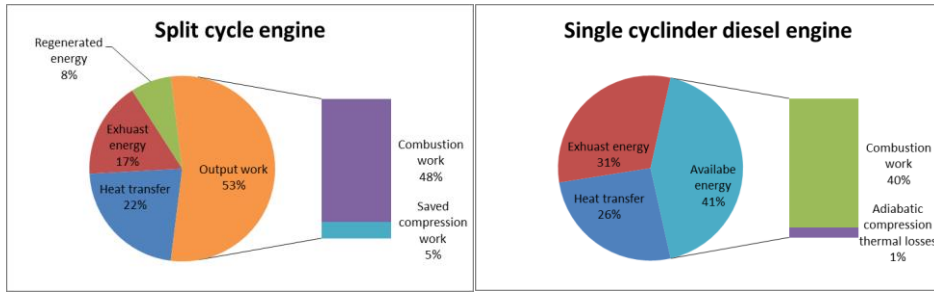


Figure 11. Energy consumption comparison of (a) split cycle engine and (b) conventional diesel engine

Table 1 Comparison of the 4 typical thermo dynamical cycles

	Brayton cycle	Recuperated Brayton cycle	Split cycle with ideal iso-thermal compression	Split cycle with quasi iso-thermal compression
Temperature	$T_1 = T_{amb}$ $T_2 = T_1 \cdot CR^{k-1}$ $T_3 = T_2 + Q_{LH} / C_p$ $T_4 = T_3 / CR^{k-1}$	$T_1 = T_{amb}$ $T_2 = T_1 \cdot CR^{k-1}$ $T_2' = T_4$ $T_3 = T_2 + Q_{LH} / C_p$ $T_4 = T_3 / CR^{k-1}$ $T_4' = T_2$	$T_1 = T_{amb}$ $T_2' = T_1$ $T_2 = T_2' + Q_{RE} / C_p$ $T_3 = T_2 + Q_{LH} / C_p$ $T_4 = T_3 / CR^{(k-1)}$	$T_1 = T_{amb}$ $T_2' = T_1 \cdot CR^{(k-1) \cdot C}$ $T_2 = T_2' + Q_{RE} / C_p$ $T_3 = T_2 + Q_{LH} / C_p$ $T_4 = T_3 / CR^{(k-1)}$ $C = \exp \left[-\frac{ua}{C_p} \left(1 - \frac{T_w}{T_1} \right) \Delta t \right] (0 < C < 1)$
Efficiency	$\eta_t = 1 - \frac{1}{CR^{k-1}}$	$\eta_t = 1 - \frac{CR^{k-1}}{CR^{k-1} + Q_{LH} / C_p T_1}$	$\eta_t = B \left(1 - \frac{1}{CR^{k-1}} \right) - D \cdot \ln(CR^{k-1})$ $B = \frac{(1 + (Q_{RE} + Q_{LH}) / (C_p \cdot T_1))}{(Q_{LH}) / (C_p \cdot T_1)}$ $D = \frac{R_g}{C_p (k-1)}$	$\eta_t = 1 - \left[\frac{CR^{(k-1) \cdot C} - F \left(1 - \frac{1}{CR^{(k-1)}} \right) +}{\frac{C_p}{C \cdot C_p} (CR^{(k-1) \cdot C} - 1)} \right] / E$ $E = (Q_{LH}) / (C_p \cdot T_1)$ $F = (Q_{RE} + Q_{LH}) / (C_p \cdot T_1)$
Recuperation available range	-	$T_4 > T_2$	$T_4 > T_1$	$T_4 > T_2'$

Table 2 Split cycle engine specifications

Stroke Type	2 stroke	IVO	17° BTDC (compression cylinder) 5° BTDC (combustion cylinder)
Number of cylinders	2 (1 compressor + 1 combustor)	IVC	174° BTDC (compression cylinder) 10° ATDC (combustion cylinder)
CR	23	EVO	5° BTDC (compression cylinder) 152° ATDC (combustion cylinder)
Bore [mm]	128	EVC	10° ATDC (compression cylinder) 2° ATDC (combustion cylinder)
Stroke [mm]	148	Speed	1200 rpm

Table 3 Recuperator specifications

Parameter	Units	Value
Total length	mm	600
Inlet connection diameter (master fluid)	mm	40
Outlet connection diameter (master fluid)	mm	40
Number of tubes		35
Inlet tank volume	liter	0.3
Outlet tank volume	liter	0.3
Dry mass of heat exchanger material	kg	0.23
Tube inner diameter	mm	4
Channel inner cross-sectional flow area	mm ²	12.5
Number of channels (in one tube)		1
Shell diameter	mm	35
Inlet connection diameter (slave fluid)	mm	30
Outlet connection diameter (slave fluid)	mm	30
Slave inlet location relative to master		Opposite Side