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Study of thin film poly-crystalline CdTe solar cells presenting high acceptor concentrations achieved by *in-situ* arsenic doping

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Doping of CdTe using Group-V elements (As, P, and Sb) has gained interest in pursuit of increasing the cell voltage of CdTe thin film solar devices. Studies on bulk CdTe crystals have shown that much higher acceptor concentration than the traditional copper treatment is possible with As, P or Sb, enabled by high process temperature and/or rapid thermal quenching under Cd overpressure. We report a comprehensive study on *in-situ* As doping of poly-crystalline CdTe solar cells by MOCVD, whereby high acceptor densities, approaching $3 \times 10^{16} \text{ cm}^{-3}$ were achieved at low growth temperature of 390°C. No As segregation could be detected at grain boundaries, even for $10^{19} \text{ As} \cdot \text{cm}^{-3}$. A shallow acceptor level (+0.1 eV) due to As_{Te} substitutional doping and deep-level defects were observed at elevated As concentrations. Devices with variable As doping were analysed. Narrowing of the depletion layer, enhancement of bulk recombination, and reduction in device current and red response, albeit a small near infrared gain due to optical gap reduction, were observed at high concentrations. Device modelling indicated that the properties of the n-type window layer and associated interfacial recombination velocity are highly critical when the absorber doping is relatively high, demonstrating a route for obtaining high cell voltage.

Key words: CdTe; Group-V; doping; thin film; photovoltaics; MOCVD

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1. Introduction

Thin film CdTe solar cells have recently reached 22.1% conversion efficiency [1]. The nearly ideal short-circuit current density (J_{sc}) achieved with the record device ($31.7 \text{ mA}\cdot\text{cm}^{-2}$) has shifted attention to the open-circuit voltage (V_{oc}), in order to further reduce the deficit with the theoretical efficiency limit of $\sim 30\%$. As a result, p-type doping of CdTe with Group-V elements (As, P, and Sb) has gained interest in recent years, which has been prompted by the shortcomings of the traditional copper (Cu) doping. In the latter process, a controlled amount of Cu is introduced into the absorber by annealing an ultra-thin (a few Å) copper film deposited on the back-surface of the CdTe absorber. Although high Cu incorporation and formation of substitutional Cu_{Cd} is possible under Te-rich conditions, the highest acceptor concentration (regardless of how much Cu used) is typically in the $10^{14} - 10^{15} \text{ cm}^{-3}$ range [2,3]. This low active dopant level is due to severe self-compensation and grain boundary (GB) segregation effects [3], also limiting the minority carrier lifetime to $\sim 1 \text{ ns}$ [4]. Additionally, the fast diffusion of Cu within semiconductors can cause long-term device instability [5].

Substitution of Te sites with P or As under Cd-rich conditions combined with high growth temperatures is expected to result in shallow acceptor states with higher acceptor concentrations and longer minority carrier lifetimes compared to traditional Cu doping [6]. It is predicted through calculations that the relatively high formation energy of As (or P) interstitials should favour high rate of incorporation through As_{Te} (or P_{Te}) substitutional doping under Cd-rich growth conditions. However, formation of compensating AX centres through lattice-relaxation of the dopant element, leading to its conversion to a donor state [7], is likely to limit the hole density.

Recent efforts with As and P doping focused primarily on bulk CdTe crystals. Acceptor concentration of $10^{16} - 10^{17} \text{ cm}^{-3}$ was achieved for As concentrations between $10^{16} - 10^{20} \text{ atom}\cdot\text{cm}^{-3}$ for single crystal CdTe [8]. The highest dopant activation efficiency was 40% for $1 \times 10^{17} \text{ As}\cdot\text{cm}^{-3}$, which rapidly reduced with increasing As concentration to below 1% for $> 1 \times 10^{18} \text{ As}\cdot\text{cm}^{-3}$. Photo-conductive behaviour of the samples was interpreted as evidence for the metastable AX centres and hence self-compensation. High temperature annealing followed by rapid quenching ($\sim 300^\circ\text{C}/\text{h}$) was seen to lead to high doping efficiencies (up to 80%); however, it was metastable and decayed rapidly to $\sim 5\%$. Epitaxial CdTe:As layer growth was studied by molecular beam epitaxy under 20% Cd overpressure condition with Cd_3As_2 as the dopant source [9]. Nanometer-scale As-rich precipitates were observed to form and accompanied by degradation in crystal quality for $> 7 \times 10^{18} \text{ As}\cdot\text{cm}^{-3}$.

Group-V (P) and Group I (Cu, Na) doping introduced by post-growth diffusion were compared in single-, multi-, and poly-crystalline CdTe [10]. The multi-crystalline CdTe had

0.3-3 mm grains while poly-crystalline (px) films, by comparison, had grains of tens of microns in diameter. Group I (e.g. Cu) doping resulted in acceptor concentrations greater than $1 \times 10^{16} \text{ cm}^{-3}$ in bulk CdTe crystals and 10^{15} cm^{-3} in px film with only ~ 1 ns lifetime and poor doping stability. On the other hand, P doping for all samples produced greater than $1 \times 10^{16} \text{ cm}^{-3}$ acceptor concentration with 20-40 ns lifetime and high stability. Diffusion and doping efficiency of Group-V dopant elements As, P, and Sb in bulk-like px CdTe were studied by Colegrove *et al.* [11]. The px film was 20 μm thick containing 10-15 μm diameter CdTe grains. Although dopant segregation was also observed at the grain-boundaries, acceptor concentrations greater than 10^{16} cm^{-3} were obtained for As and P. Doping efficiency improved from 1% towards 3% following a post-diffusion Cd overpressure anneal.

Although device-like (superstrate) structures were fabricated in the latter studies [10,11] (e.g. for capacitance-voltage measurements) no photovoltaic (PV) activity was reported. It is therefore intriguing how a device-grade px CdTe thin films with smaller crystal grains (around 1 μm) could be effectively doped with Group-V elements and perform in a solar cell architecture.

In this study, behaviour of As doping in thin film px CdTe superstrate solar cell structures is systematically investigated using metal-organic chemical vapor deposition (MOCVD) to understand the role of Group V doping on CdTe PV devices. Doping during growth (*in-situ*) was preferred over post-deposition (*ex-situ*) methods to avoid grain-boundary segregation [12]. MOCVD is particularly suitable in controlled alloying of II-VI films [13] and introducing dopant reagents during growth. Prior to this work, *in-situ* As incorporated MOCVD CdTe thin films and solar cells were reported [14-17], but, to date, no detailed study was published on achievable acceptor densities and their correlation to photovoltaic (PV) properties. In the following sections, firstly the results with controlling the acceptor concentration in CdTe:As films will be presented. Secondly, the obtained solar cell characteristics for a range of selected p-type doping densities will be discussed with the aid of various spectroscopic data. Next, device modelling, performed to understand dependence of V_{oc} on doping and junction properties, will be presented to identify scope for higher performance solar cells featuring high acceptor concentration and high V_{oc} . Finally, defect levels formed within the CdTe bandgap as a result of As doping will be presented with linkage to the device characteristics, followed by discussions and overview.

2. Experimental section

2.1 CdTe:As thin film and solar cell deposition

A horizontal metalorganic chemical vapor deposition (MOCVD) reactor was used at atmospheric pressure to deposit the CdTe:As layers at 390°C. The organometallic precursors

1 were dimethylcadmium (DMCd), diisopropyltelluride (DiPTe) and tris-dimethylaminoarsine
2 (tDMAAs) with hydrogen (H₂) as the carrier gas. All precursors used were adduct (high
3 purity) grade supplied by various vendors. The partial pressure ratio DMCd/DipTe was
4 varied between 2 to 4 to promote As incorporation into the growing CdTe layer under Cd-
5 rich conditions. Thickness of the studied layers was 3 μm, which were deposited at ~0.7
6 nm·s⁻¹ growth rate. The As concentration was controlled by tuning the tDMAAs flow
7 between 1-3 sccm at a bubbler temperature of 18°C. Reference films with no intentional
8 doping were also produced following heating and pumping down the As line and growth
9 chamber to minimize the As memory. For the capacitance-voltage (*C-V*) measurements and
10 to fabricate solar cells, n-type CdZnS counterparts were deposited onto transparent
11 conducting oxide (TCO) coated glass substrates, while for Hall measurements an uncoated
12 boro-aluminosilicate glass substrate was used. The CdZnS films were deposited at 360°C to a
13 thickness of 0.15 μm using DMCd, diethylzinc, and ditertiarybutylsulphide (DtBS)
14 organometallic precursors and H₂ carrier gas. Initial film composition was Cd_{0.3}Zn_{0.7}S, which
15 altered to Cd_{0.5}Zn_{0.5}S after full device processing, including the chlorine heat treatment
16 (CHT) [18]. Reference CdS layers (for Hall measurements) were processed using DtBS and
17 DMCd precursors at 315°C. TCO films (typically 4-8 Ohm sq. ITO) were surface-treated
18 with an O₂ plasma prior to MOCVD which improves lateral uniformity. All solar cells,
19 except for the reference (unintentionally doped) cell, also contained a 0.3 μm thick heavily
20 As doped film as the back contact layer (BCL) for low ohmic resistance. The CHT process
21 entailed deposition of a ~1 μm CdCl₂ layer at 200°C (using DMCd and tertiarybutylchloride
22 precursors) on the back of the CdTe:As film and annealing the device structure at 420°C for
23 10 min in H₂ ambient. Deposition of CdZnS and CdTe as well as the CHT step were carried
24 out sequentially and finally the sample was brought to room temperature at a cooling rate of
25 ~300°C/h. A secondary device-activation anneal was also performed at 170°C for 90 min in
26 air ambient, which reduces the barrier height at the back-contact and increases the acceptor
27 concentration in the CdTe film by providing surface passivation [17,19]. Solar cells were
28 completed by depositing eight gold (Au) squares of 0.5 × 0.5 cm by thermal evaporation on
29 the treated CdTe surface. The front contact was formed using silver paste on a portion of the
30 (exposed) TCO film surrounding the solar cells, i.e. Au contacts. A milder CHT entailing
31 deposition of 0.5 μm CdCl₂ at 200°C followed by 3 min anneal at 420°C was applied to the
32 reference CdZnS film to monitor the change in the donor density.
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49 **2.2 Characterization methods**

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51 As depth profiling was carried out via secondary ion-mass spectroscopy (SIMS) using a
52 Cameca IMS-4f instrument with Cs⁺ ion source operating with 10 keV energy and 20 nA
53 current at LSA Ltd. A ~1×1 cm specimen was cleaved and etched in 0.2% bromine solution
54 (in methanol) to reduce surface roughness prior to SIMS measurements. Measurements were
55 calibrated using a standard CdTe:As specimen prepared by ion-implantation. *C-V*
56 characteristics were measured using a Solartron Impedance Analyzer at a frequency of 300
57 kHz using 10 mV ac bias amplitude. The Mott-Schottky equation was used to calculate the
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1 acceptor density from the linear part of the C^{-2} - V curve around 0 V. In the rest of this paper,
2 the term acceptor concentration will refer to the “free hole concentration” determined by the
3 C-V analysis. This value for the bulk of CdTe absorber corresponds to the mean of the lower,
4 flat part of the N_A -depth curves while depletion width (W_D) is the width of the flat portion.
5 Film absorption (A) was calculated using transmittance (T) and reflectance (R) data, via the
6 relationship $A = 1 - T - R$, which were collected in the 300 – 1100 nm range using a Bentham
7 PVE300 spectral response system equipped with a halogen lamp (50-70 W) and calibrated
8 using a Si photodetector. Reflectance measurements made use of an integrated sphere, in
9 order to collect the diffused light. Hall conductivity measurements were carried out in dark
10 using a magnetic field strength of 0.55T on the reference CdZnS and CdS films to obtain
11 their donor density (N_D), carrier mobility (μ), and resistivity (ρ). Sample contacting for the
12 Hall measurements comprised, thermal vapor deposition of 100 nm thick gold pads (each 1
13 mm diameter) on four corners of $\sim 1 \times 1$ cm² thin film specimen on glass, contact-
14 reinforcement by application of silver paste on the gold pads followed by oven curing at
15 150°C for 3 min and soldering with indium. All Hall samples also received the same air-
16 anneal treatment at 170°C for 90 min as per the solar cell devices.
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25 Specimen preparation for atom probe tomography (APT) analysis was completed using a
26 lift-out method [20] in a FEI Helios 600i FIB/SEM instrument. TEM imaging of the
27 specimens before and after APT analysis was performed in a FEI Talos F200X microscope
28 using hardware capable of transfer between FIB, TEM, and APT instruments [21]. Laser
29 pulsed APT analysis made use of a Cameca LEAP 4000X Si instrument at a laser pulse rate
30 of 333 kHz, a laser pulse energy of 5.1 pJ, and a base temperature of 21.2K. The range of
31 applied bias was 1600 – 4700 V. These conditions have been shown previously to result in
32 $\sim 50:50$ measurement of Cd:Te [22]. The reconstruction was generated in Cameca’s IVAS
33 3.6.12 software using the TEM image to inform the reconstruction parameters. For 1-D
34 composition profiles, data were divided into 1 nm slices and the mass spectrum of each slice
35 was quantified individually using a full-width 1/100th maximum peak fitting criterion and
36 local background subtraction. The overall mass spectrum from this specimen is available in
37 the Atom Probe Tomography Mass Spectrum Database [23].
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47 Light J - V curves were collected using an Abet Technologies Ltd. solar simulator with the
48 light power density calibrated to AM1.5 using a GaAs reference cell. To study the back-
49 contact barrier height and device parasitic resistances, temperature dependence of current-
50 voltage (i.e. JVT) curves was measured in dark condition from ~ 50 K to 300 K. EQE
51 measurements were carried out using the Bentham PVE300 system under unbiased
52 conditions. The system response was corrected by scanning the output of a c-Si reference
53 detector.
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60 A Horiba Jobin Yvon spectrometer was used for all photoluminescence (PL/TRPL)
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measurements with a 1200 grooves/mm diffraction grating. Standard PL spectra were collected using a 532 nm continuous wave diode-pumped solid-state laser as an excitation source and collected using a CCD detector. The TRPL measurements used a pulsed laser diode excitation at 650 nm and the decay was collected with a picosecond photon detector. The decay signal for TRPL was selectively monitored at the peaks emerging near the CdTe bandgap energy.

Deep level transient spectroscopy (DLTS) analysis [24] was performed using a PhysTech FT1230 HERA DLTS system with a Linkam cryostat. Emission capacitance transients were recorded using reverse and pulse biases of 2V and 0V respectively with pulse durations in the range of 0.1-100ms depending on the sample. Three separate transient period widths of 19.2ms, 192ms and 480ms were measured with time constants related to emission being determined via correlator functions using a Fourier transform analysis method (DLFTS) [25]. Values for trap energy and capture cross section were derived from temperature dependent analysis of the trap emission time constants, τ_e , via the equation;

$$\tau_e = [(\sigma_p v_{th} N_V) e^{-\frac{E_T}{kT}}]^{-1} \quad (1)$$

where σ_p is the capture cross section for holes, E_T is the energy of the trap level (with respect to the valence band for holes), T is the temperature, N_V is the effective density of states in the valence band and v_{th} is the thermal velocity.

2.3 Device modelling

We utilized the optical and electrical device simulation tool, Silvaco ATLAS [26], for the numerical calculations. The effective density of states, carrier mobility, electron affinity and bandgap values of the ITO, CdZnS and CdTe layers are in accord with Refs. 27, 28 where the properties of the CdZnS layer was assumed to be similar to those of CdS. Surface recombination parameters for electrons (S_{n0}) and holes (S_{p0}) at the CdZnS/CdTe interface are assumed to be equal (i.e. $S_{n0}/S_{p0} = 1$). The Shockley-Read-Hall recombination lifetimes of electrons and holes in the CdTe absorber layer are both equal to 1 ns. The thicknesses of the ITO, CdZnS, and CdTe layers are 150 nm, 95 nm, and 3.3 μm , respectively. The Cd_{1-x}Zn_xS and ITO layers are assumed to have a donor concentration of $1 \times 10^{17} \text{ cm}^{-3}$ and $1 \times 10^{20} \text{ cm}^{-3}$, respectively. Electron and hole mobilities of 320 and 40 $\text{cm}^2 \cdot \text{V}^{-1} \cdot \text{s}^{-1}$, respectively, for the CdTe layer. These parameters are unchanged unless otherwise stated.

3. Results and Discussion

3.1. Doping efficiency

Dopant accumulation at the GBs is a serious problem in thin film solar cells [3,9]. Thus, it is important to find out whether the dopant atoms preferentially accumulate at grain boundaries of the px CdTe layer. We studied As distribution by atom probe tomography (APT) for high As concentrations between $10^{18} - 10^{19}$ As·cm⁻³. Fig. 1 presents an exemplar APT result for 1×10^{19} As·cm⁻³ concentration. Transmission electron microscopy (TEM) imaging of the focussed ion beam-prepared specimen was used to confirm that a grain boundary was present in the field of view (Fig. 1a). Additionally, since this film was CdCl₂ treated prior to the measurements, Cl was used in the APT reconstruction to trace the grain boundary (GB), considering it tends to accumulate at GBs of CdTe [29,30]. It is clear that Cl indeed segregates but no As accumulation can be seen at the present GB even at high, $10^{18} - 10^{19}$ As·cm⁻³, concentrations. However, As concentration showed some fluctuation from one grain to the next (see another example in Supplementary, Fig. S1).

The acceptor concentration (N_A) derived from C - V measurements for As concentrations ranging from $\sim 1 \times 10^{16}$ As·cm⁻³ to 1×10^{19} As·cm⁻³ is shown in Fig. 2. It can be seen that the N_A can be controlled within three decades from $\sim 3 \times 10^{13}$ to $\sim 3 \times 10^{16}$ cm⁻³. The layers were grown under Cd-rich conditions, with a Cd/Te precursor ratio of 2 to facilitate As incorporation. Increasing this ratio to 4, the highest achievable N_A increased only marginally (from 2×10^{16} to 3×10^{16} cm⁻³) with no noticeable change in the activation ratio. The depletion width (W_D) narrows with increasing N_A (or the As concentration), as can be expected from the relationship (valid for an abrupt junction whereby the dielectric constants of the p- and n-sides are equal)

$$W_D = \sqrt{\frac{2\varepsilon V_{bi}}{qN_D} \left(\frac{1}{N_A} + \frac{1}{N_D} \right)} \quad (2)$$

where N_D is the donor density of n-type region (window layer), k the Boltzmann constant, ε the dielectric constant, q the electron charge, and V_{bi} the built-in potential [31]. The dielectric constant of the window and absorber materials (9.3 and 9.4, respectively) are assumed to be equal. A plot of N_A vs. W_D estimated from these profiles (Fig. 2 inset) confirms an inverse power dependence between the two parameters. Overall, these results show that px CdTe films with small grains can be effectively doped, similar to large CdTe crystals [11,12], using the *in-situ* MOCVD route.

3.2. Device characteristics

Four superstrate CdTe solar cells were prepared with the glass/TCO/CdZnS/CdTe:As/Au structure at selected As concentrations, covering the range of acceptor concentrations demonstrated in Sect. 3.1. The device structure is shown and described in detail by Fig. S2. The concentration of As in the bulk of the absorber, measured by secondary ion mass spectrometry (SIMS), is shown in Fig. 3a. The sample with 1×10^{16} As·cm⁻³ throughout was produced by unintentional doping (relying on the memory effect of As within the MOCVD

system) as a reference. Intentionally-doped samples exhibited bulk concentrations of 3×10^{17} , 4×10^{18} and 1×10^{19} $\text{As}\cdot\text{cm}^{-3}$, which also shared a heavily-doped ($\sim 1 \times 10^{19}$ $\text{As}\cdot\text{cm}^{-3}$) thin CdTe back-contact layer (BCL) to avoid Schottky back contacts [14] dominating the PV forward bias characteristic. The acceptor concentration increased with As concentration whilst the doping efficiency, represented by activation ratio = $N_A/[\text{As}]$, is below 1%, tending to decrease further with increasing As concentration (Fig. 3b). Light J - V curves (Fig. 3c) show that intentional As doping is essential for good device performance, with the lower concentration of 1×10^{16} $\text{As}\cdot\text{cm}^{-3}$ sample displaying poor cell parameters (see also Tab. 1). Among the intentionally-doped layers, the J_{sc} exhibited a gradual decrease with increasing As concentration while for 4×10^{18} $\text{As}\cdot\text{cm}^{-3}$, the sample showed the best conversion efficiency (η) due to higher V_{oc} and FF . Temperature dependence of dark J - V curves was analysed (see Figs. S4-S5) to extract the back-contact barrier height (ϕ) and to observe the behaviour of series resistance (R_s) and shunt resistance (R_{sh}). It is found that $\phi \approx 0.15$ eV for all intentionally As doped cells, which is considered not a limiting factor on the V_{oc} or the fill factor (FF) [32]. The R_{sh} showed degradation with increasing As concentration (especially at lower temperatures, see Fig. S5), suggesting increased recombination as the dopant concentration rises. Sample with 4×10^{18} $\text{As}\cdot\text{cm}^{-3}$ displayed the most favourable R_s and R_{sh} , commensurate with the high FF .

The low As activation ratio (<1%) combined with the contraction of W_D with N_A (Fig. 2 inset and Tab. 1) suggest that defect density and recombination within the non-depleted absorber bulk could rise with As concentration. Having a wide junction width and low density of excess (*non-activated*) dopant atoms (i.e. potential deep level traps) should be helpful for carrier collection. This effect can be clearly seen in the device external quantum efficiency (EQE) analysis (Fig. 4a), where the collection efficiency decreases at most visible wavelengths and the slope at long wavelengths (< 820 nm) increases with increasing As concentration. Thus, the best J_{sc} obtained for the 3×10^{17} $\text{As}\cdot\text{cm}^{-3}$ device appears to be linked to lower bulk recombination enabled by its relatively wide depletion width and lower non-active As concentration. On the other hand, despite having the largest W_D , the 1×10^{16} $\text{As}\cdot\text{cm}^{-3}$ device displays a buried junction behaviour [33] indicating insufficient acceptors for most of the film depth. Overall, it can be assumed that sufficient acceptor concentration ($N_A > \sim 10^{14}$ cm^{-3}) is essential for good device operation, while the optimum doping is just over 1×10^{18} $\text{As}\cdot\text{cm}^{-3}$ with $N_A \approx 1 \times 10^{16}$ cm^{-3} .

Another notable feature in the long wavelength EQE response is the development of a shoulder in the near infrared (850 - 950 nm) region with increasing acceptor concentration [34] (see inset to Fig. 4a). To elucidate this effect, we carried out optical (absorption and photoluminescence, PL) measurements. The absorption spectra (Fig. 4b) confirm a gradual increase in absorption towards infrared, in good agreement with the stepwise enhancement in device infrared spectral response observed with increasing As concentration. The PL spectrum of the low-doped (1×10^{16} $\text{As}\cdot\text{cm}^{-3}$) specimen features two emission bands, at 830

and 860 nm (Fig. 4c). The former can be linked to direct-bandgap transition in CdTe and the latter to S diffusion, i.e. CdS_xTe_{1-x} phase close to the interface to the window layer [4,35]. When the As concentration is increased to 3×10¹⁷ As·cm⁻³, in addition to the 830 and 860 nm emission bands a tail develops towards the infrared. With further increase of the As concentration, the 830 and 860 nm emission bands weaken while the intensity, position, and width of the infrared emission increase, resulting in the emergence of a new peak at 880 (900) nm for the 4×10¹⁸ (1×10¹⁹) As·cm⁻³ concentration. The gradual redshift, broadening, and relative enhancement of the infrared emission suggest that the optical gap of CdTe reduces with As concentration, in accord with the absorption data. This effect could be due to band-tailing, where a shallow acceptor state is predicted to form at 60-100 meV above the valence band edge (E_v) due to As_{Te} substitution. This value is commensurate with the literature, which reports the activation energy of an arsenic atom occupying a Te site as an acceptor to be 55–90 meV [16,36,37].

To deduce the minority carrier lifetime in the bulk of CdTe:As layer, time-resolved PL (TRPL) measurements were carried out by exciting the solar cell structures through the glass side. The absorption depth of the laser (650 nm wavelength) in CdTe is around ~200 nm considering the absorption constant of CdTe at this wavelength, while in this region the glass/ITO/CdZnS front stack is virtually transparent. Therefore, the PL signal originates within the CdTe layer close to the CdZnS/CdTe interface. A two-exponential fit was applied to the PL decay curves in Fig. 5 which gave the lifetimes τ_1 and τ_2 (inset to Fig. 5). τ_1 , obtained near $t \approx 0$ s, is shorter and usually related to charge separation (drift/diffusion); τ_2 is due to carrier recombination and can be used to track the minority carrier lifetime [4,38]. Both lifetimes increase with As concentration increasing from 1×10¹⁶ to 3×10¹⁷ As·cm⁻³, and then reduce slightly to a saturated level for higher doping (4×10¹⁸ and 1×10¹⁹ As·cm⁻³). This is similar to the observations with Cu doping of CdTe. Cu_{Cd} was observed to increase lifetime at low concentration, attributed to replacement of defects such as Te_{Cd} or cadmium vacancies (V_{Cd}) as observed in PL spectra [39]. At large Cu concentrations, however, the lifetime was observed to decrease, which appeared to be linked in with increased recombination centre density introduced by Cu in the CdTe layer [40]. Among our CdTe:As samples, the longest minority lifetime (~3 ns) is observed for 3×10¹⁷ As·cm⁻³. Combined with the relatively large W_D of this sample (Tab. 1), this suggests that the charge collection should be better than the devices with higher As concentrations. This is indeed the case as evidenced by the observation of the highest J_{sc} and EQE values for this device. On a further note, for samples with large surface recombination velocity (S), the instantaneous lifetime (τ_1) is

$$\tau_1 \approx (\alpha S)^{-1} \quad (3)$$

where α is the absorption coefficient of CdTe at the excitation wavelength [41]. Accordingly, using $\tau_1 = 0.6 - 1.0$ ns (Fig. 5 inset) we obtain $S = 2 \times 10^4$ to 3×10^4 cm·s⁻¹ for the current set of CdTe:As devices.

3.3. Device modelling

As can be seen in Fig. 3b and Tab. 1, the acceptor density in the absorber of the CdZnS/CdTe solar cells can be controlled up to $2 \times 10^{16} \text{ cm}^{-3}$. The V_{oc} rises by $\sim 35 \text{ mV}$ as the N_A is increased from 3×10^{14} to $1 \times 10^{16} \text{ cm}^{-3}$, and then it decreases by $\sim 20 \text{ mV}$ with a further increase to $2 \times 10^{16} \text{ cm}^{-3}$. This trend is counterintuitive since significantly greater voltage gains would be predicted if the V_{oc} was controlled purely by the N_A . Following the relationship [42]

$$\Delta V_{oc} \approx (kT/q) \ln (N_{A1}/N_{A2}) \quad (4)$$

where N_{A1} and N_{A2} correspond to acceptor densities in two different cells, it is expected that the respective V_{oc} increments should have been 88 and 17 mV, respectively. Therefore, it is clear that for these solar cells, the V_{oc} is also influenced by increased recombination for high acceptor concentration.

It is curious whether the high acceptor concentration achieved in CdTe:As devices would have negative implications on recombination characteristics. To this end, device simulations were carried out, which revealed the increasing importance of surface recombination and band alignment at the absorber/window interface as a result of having an absorber with high acceptor concentration. Ways to suppress this recombination were then investigated for obtaining higher V_{oc} devices.

The sensitivity of V_{oc} to the changing surface recombination velocity (S) is depicted in Fig. 6 for three different N_A values and conduction band offsets (CBO) between CdZnS and CdTe, determined by their electron affinity (χ), i.e. $\Delta E_c = \chi_{\text{CdTe}} - \chi_{\text{CdZnS}}$. Since all the electrical parameters are kept the same, these cases also represent varying work function differences between the two layers, and hence varying strengths of band bending and inversion on the absorber side. For a negative CBO (Fig. 6a) or a negligible work function difference (Fig. 6b) V_{oc} becomes highly sensitive to surface recombination for higher N_A values of 1×10^{16} and $2 \times 10^{16} \text{ cm}^{-3}$. However, in the presence of positive CBO, as in Fig. 6c, for each doping concentration, V_{oc} is approximately insensitive to the surface recombination. In other words, the benefit of having a high N_A ($> 10^{16} \text{ cm}^{-3}$) for improved V_{oc} would be lost when S is high ($\geq 10^3 - 10^4 \text{ cm} \cdot \text{s}^{-1}$) and CBO is negative or close to zero. In these cases, the V_{oc} for $N_A = 2 \times 10^{16} \text{ cm}^{-3}$ can in fact reduce below that of $N_A = 1 \times 10^{16} \text{ cm}^{-3}$, as also observed experimentally (Tab. 1). The critical S value at which this occurs reduces from $1-2 \times 10^4$ to $3-4 \times 10^3 \text{ cm} \cdot \text{s}^{-1}$ as the CBO reduces from 0 to -0.15 eV .

By comparing the experimental data (*viz.* $S = 2-3 \times 10^4 \text{ cm} \cdot \text{s}^{-1}$, and the V_{oc} loss of $\sim 20 \text{ mV}$ observed when N_A is increased from 1×10^{16} to $2 \times 10^{16} \text{ cm}^{-3}$) with the simulation data (Fig. 6), we estimate the experimental CBO between CdZnS and CdTe to be close to 0 eV. This is relatively favourable compared to a CBO of -0.1 eV measured for the CdS/CdTe junction

[43], and explains why the V_{oc} improved by 60-70 mV when the CdS layer is substituted with CdZnS for CdTe:As cells in a previous study [13]. We also investigated the influence of window layer thickness and its doping (donor) density N_D on the V_{oc} for $10^{14} - 10^{17} \text{ cm}^{-3}$ acceptor concentrations in the absorber layer. To enhance the impact of window layer parameters, a low CBO of -0.15 eV and high S of $10^4 \text{ cm} \cdot \text{s}^{-1}$ were selected. Although the window layer thickness appeared to have a minimal effect for thicknesses greater than 20 nm (not shown), N_D is found to have a substantial influence (Fig. 7). Accordingly, when N_A is greater than $1 \times 10^{16} \text{ cm}^{-3}$, window layer doping must exceed $1 \times 10^{18} \text{ cm}^{-3}$ to access high V_{oc} values. This observation stems from the fact that interfacial recombination would increase substantially when the electron and hole concentrations (n_s and p_s , respectively) at the window/absorber interface are at a balance, namely $n_s \approx p_s$, provided the surface recombination parameters for holes and electrons are nearly equal, i.e. $S_{n0} \approx S_{p0}$. For CBO $\approx 0 \text{ eV}$ and a sufficiently thick n-type window layer, this condition is met easily when $N_A \approx N_D$; in other words, to suppress surface recombination a n^+p or p^+n type one-sided junction would be favourable. Comparing experimental data shown in Fig. 2 inset with Eq. 2 (see Fig. S3) we estimate $N_D \approx 1 \times 10^{16} \text{ cm}^{-3}$ for the CdZnS film (supported by Hall data on reference CdZnS single layer, Tab. S1), which suggests that the surface recombination will dominate with a comparable N_A of $\sim 1 \times 10^{16} \text{ cm}^{-3}$.

3.4. Defect states

Deep level transient spectroscopy (DLTS) was used to characterise the defects in CdTe:As layers of the reported solar cells. DLTS measures the capacitance transient of a reverse biased p^+n or n^+p junction due to carrier injection. A DLTS spectrum is obtained by plotting the change in capacitance, ΔC , through a temporal rate window (Δt) versus temperature, T . Fig. 8 shows recorded DLTS spectra for CdTe:As samples with varied doping levels in the 125-375K range. The positive peaks in the DLTS signal represent majority (hole) traps in a p-type material. Trap energy levels and capture cross sections, extracted from Arrhenius plots of trap emission time constant, τ_e , as a function of temperature are shown in Fig. 9 with values summarized in Tab. 2. All trap energies are given as energy above the valence band edge.

In order to identify the role of As doping in CdTe, it is useful to first consider the defect spectra observed for the unintentionally-doped sample, having the lowest As concentration of $1 \times 10^{16} \text{ As} \cdot \text{cm}^{-3}$, in Fig. 8a. The low cell efficiency of this sample, 0.2%, meant the signal extracted by the DLTS system was particularly low and peaks were only resolvable by using a particularly long pulse width of 100 ms. Despite the difficulty of the measurement, two hole-trap states, H1 and H2 were clearly resolved. H1 has a trap energy in the range 234-284 meV with a capture cross section in the $10^{-17} - 10^{-18} \text{ cm}^2$ range, whilst H2 is a mid-gap state at 667-692 meV with $\sim 10^{-15} \text{ cm}^2$ capture cross section. As H1 and H2 are observable in all samples, these levels are likely related to: (a) native defects, (b) some aspect of device production which is common to all samples, such as chlorine treatment or hydrogen

1 incorporation, or (c) inter-diffusion at the interface with the window layer [35,44]. The
2 specific source of these defects is difficult to discern as large numbers of defects have
3 previously been identified at similar energetic positions with various techniques and with
4 varied designations. However, this study differs from most of the literature which studied
5 CdTe doping with Cu primarily under Te-rich conditions [45,46]. Here, Cd interstitials (Cd_i),
6 tellurium vacancies (V_{Te}) and Cd antisite (Cd_{Te}) native defects instead can be anticipated
7 under Cd-rich conditions [47]. It is therefore likely that these native defects and other
8 complexes involving Cl or H are responsible for the appearance of peaks H1 and H2.
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14 For samples with higher As concentration an additional level, labelled H3, not present in
15 the unintentionally-doped sample, is visible in the DLTS spectra. This level is somewhat
16 masked in the lower-doped sample $3 \times 10^{17} \text{ As}\cdot\text{cm}^{-3}$, Fig. 8b, but becomes visible for 4×10^{18}
17 $\text{As}\cdot\text{cm}^{-3}$ (Fig. 8c) then gains intensity in the heavily ($1 \times 10^{19} \text{ As}\cdot\text{cm}^{-3}$) doped sample, Fig. 8d.
18 Arrhenius analysis gives a comparatively wide energy range for this level of 438-595 meV,
19 with the trap being shallowest in the highest efficiency sample $4 \times 10^{18} \text{ As}\cdot\text{cm}^{-3}$ and deepest
20 for the highest $1 \times 10^{19} \text{ As}\cdot\text{cm}^{-3}$ concentration. For high As doping, we were also able to
21 identify an additional shallow level at 116-121 meV (H4), Fig. 8c inset, by using a lower
22 temperature range 80-200K and a rapid 1 μs filling pulse. This level is shallow enough to be
23 an effective acceptor state, e.g. As_{Te} (in accord with the PL data and related literature
24 [16,36,37], see Sect. 3.2), and is the source of increased acceptor concentration observed for
25 As-doped samples. In contrast, the increasing depth of the H3 defect level for higher doping
26 may be linked to the decrease in efficiency when $1 \times 10^{19} \text{ As}\cdot\text{cm}^{-3}$ concentration is used. DFT
27 calculations predict a deep trap state resulting from the AX centre or a $V_{Cd}-As_{Te}$ complex
28 with a value of 396 meV [37]. Here the trap state observed is deeper and with a broad range
29 up to 595 meV, however assessment could be slightly skewed towards larger energy by the
30 close proximity of the H2 level. V_{Cd} is unlikely to have formed, considering the Cd-rich
31 condition and since doping efficiency is below 1% for all samples, As dopants are highly
32 compensated; thus, it is meaningful to attribute the H3 level to AX centre formation, which is
33 a deep donor state. Alternatively, As_{Te} complexing with some H- or Cl-related centre could
34 also be an appropriate explanation for this H3 level. Additionally, the H2 level appears to
35 weaken for the heavy doping (Fig. 8d), which suggest that the defect responsible for this level
36 is partially eliminated (or converted to another defect type) with high As incorporation. The
37 deeper defect levels (H2 and H3) can be linked with increased bulk recombination observed
38 with heavy As incorporation through reduction in the minority carrier lifetime and poor
39 carrier collection for long wavelength absorption. Self-compensation through AX centres (H3
40 level) is also likely to limit the acceptor concentration (N_A) and the doping efficiency.
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55 3.5. Discussion

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57 Our data are commensurate with recent theoretical reports. Song *et al.* showed that a
58 positive CBO = +0.1-0.3 eV at the absorber/window layer interface would help to suppress
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surface recombination [48]. For $N_A = 2 \times 10^{15} \text{ cm}^{-3}$ and CBO = +0.2 eV, they predict a V_{oc} of 840 mV (915 mV) when the bulk lifetime is 0.5 ns (10 ns). Kanevce *et al.* investigated key parameters to improve the solar cell efficiency to over 25% by achieving V_{oc} greater than 1000 mV and N_A as high as $2 \times 10^{16} \text{ cm}^{-3}$ [49]. Their simulations also showed a small positive CBO around +0.1 eV is helpful to suppress the interfacial recombination when S is as large as $10^5 \text{ cm} \cdot \text{s}^{-1}$. However, to really push the V_{oc} above the 1000 mV limit, desirable parameters established are: $S \approx 100 \text{ cm} \cdot \text{s}^{-1}$, bulk minority carrier lifetime $\sim 100 \text{ ns}$ and CdTe grains $> 5 \text{ } \mu\text{m}$. If CdTe grains were as large as $20 \text{ } \mu\text{m}$ then a bulk lifetime of $\sim 1 \text{ ns}$ would be tolerable for high V_{oc} with such a surface recombination value. In other words, *both* interface and bulk properties must be improved to suppress recombination losses in order to materialize the benefit of having a high N_A absorber. Reduction of surface recombination velocity from $\sim 10^5$ to $100 \text{ cm} \cdot \text{s}^{-1}$ has recently been measured for px Cd(Se)Te films when using Al_2O_3 passivation layers [50]. Long bulk minority carrier lifetimes (up to tens of ns) with $V_{oc} > 900 \text{ mV}$ were measured in single crystal CdTe and CdZnTe devices [51]. Increasing the grain size of px CdTe films from 1 to $7 \text{ } \mu\text{m}$ is shown to be equivalent to decreasing grain- boundary recombination velocity by three orders of magnitude, with large high- quality grains enabling CdTe lifetimes over 50 ns [52]. These promising observations provide motivation and directions to future efforts in obtaining CdTe solar cells featuring both high N_A and V_{oc} .

4. Conclusions

High acceptor concentration exceeding 10^{16} cm^{-3} is achieved in px CdTe thin films with small grains (submicron diameter) using a Group V (As) impurity via *in-situ* doping by MOCVD. No As segregation at grain boundaries but small grain-to-grain fluctuations in dopant concentration were observed. The depletion width was inversely proportional to the acceptor concentration, as $N_A \propto W_D^{-0.33}$. The doping efficiency was limited to $\sim 1\%$. Solar cells made from selected As concentrations, leading to acceptor concentration between $\sim 10^{13}$ and $2 \times 10^{16} \text{ cm}^{-3}$, exhibited several remarkable signatures in EQE and V_{oc} behaviour. Acceptor concentration greater than 10^{14} cm^{-3} was found essential for good device operation with low series resistance. The best spectral response was observed for $\sim 3 \times 10^{17} \text{ cm}^{-3}$ As concentration ($N_A \approx 3 \times 10^{14} \text{ cm}^{-3}$), displaying the highest EQE and J_{sc} values. For higher dopant concentrations, the red response degraded gradually, reducing the J_{sc} , in relation to enhanced bulk recombination. The contraction of W_D towards the window layer interface, the reduction in minority carrier lifetime, and the increase in number and density of defects were considered responsible for the enhanced bulk recombination. Interestingly, increasing As concentration also led to enhanced optical absorption with a small EQE/ J_{sc} gain in the infrared due to band tailing. The best cell performance was obtained near $4 \times 10^{18} \text{ As} \cdot \text{cm}^{-3}$ (with $N_A \approx 1 \times 10^{16} \text{ cm}^{-3}$) due to V_{oc} and FF being at their optimum. When the As doping was raised to $1 \times 10^{19} \text{ cm}^{-3}$ ($N_A \approx 2 \times 10^{16} \text{ cm}^{-3}$) all device parameters showed degradation.

Device simulations revealed the importance of surface recombination at the interface to the window layer on V_{oc} losses for solar cells with high acceptor concentration and high

1 surface recombination velocities ($\geq 10^4$ cm·s⁻¹). It is shown that a small positive conduction
2 band offset (around +0.15 eV) and high donor density ($N_D > 10^{18}$ cm⁻³) in the window layer,
3 resulting in a n⁺p type junction, would mitigate the surface recombination related V_{oc} losses
4 when the N_A of the absorber is as high as obtained in this work. Further efforts to decrease the
5 surface recombination, e.g. by using a passivation layer at the hetero-interface, and increasing
6 the bulk lifetime towards 10 ns, e.g. by increasing the CdTe grain size, would be needed to
7 increase the V_{oc} of px CdTe solar cells towards 1000 mV. This study forms a solid
8 understanding to Group V doping and related device characteristics of px CdTe solar cells
9 with high acceptor concentration and illustrates the obstacles and possible routes to achieving
10 high V_{oc} .
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Tables.

Table 1 Typical C - V and J - V parameters of CdZnS/CdTe solar cells for various As concentrations.

$[As]_{\text{bulk}} \text{ (cm}^{-3}\text{)}$	$N_A \text{ (cm}^{-3}\text{)}$	$W_D \text{ (\mu m)}$	$J_{sc} \text{ (mA}\cdot\text{cm}^{-2}\text{)}$	$V_{oc} \text{ (mV)}$	$FF \text{ (\%)}$	$\eta \text{ (\%)}$
1×10^{16}	7×10^{13}	1.20	1.0	464	46	0.2
3×10^{17}	3×10^{14}	0.75	24.0	730	65	11.4
4×10^{18}	1×10^{16}	0.23	23.2	763	75	13.3
1×10^{19}	2×10^{16}	0.18	22.1	742	74	12.1

Table 2 Trap energy levels (E_T) and capture cross sections (σ) of main defects observed in DLTS spectra of CdTe:As samples

Peak	$E_T \text{ (meV)}$	$\sigma \text{ (cm}^2\text{)}$	Assignment
H1	234-284	$(0.37-4.14) \times 10^{-18}$	Native defect and/or Cl or H related complex (Cd-rich growth)
H2	667-692	$(1.47-9.09) \times 10^{-15}$	Native defect and/or Cl or H related complex (Cd-rich growth)
H3	438-595	$(0.19-1.61) \times 10^{-14}$	AX center and/or As_{Te} related complex (donor)
H4	116-121	$(4.31-6.84) \times 10^{-14}$	As_{Te} (shallow acceptor)

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11 **Figure Captions.**
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15 **Fig. 1** A needle-shaped APT px CdTe specimen, exhibiting two grains and a GB, as imaged
16 by TEM **(a)**. The APT reconstruction **(b)** shows a 0.9 at% Cl surface (yellow) and a 97.6 at%
17 Cd+Te surface (black), which highlights the GB location, and the region that was used for the
18 1-D atomic concentration profiles of elements Cd, Te, Cl, and As **(c)** in the direction of the
19 blue arrow in **(b)**, derived from APT. No As accumulation, but Cl segregation can be seen
20 around the GB. The dashed line is the mean value of As present in the region of interest.
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24 **Fig. 2** Acceptor concentration (N_A) profile for px CdTe samples produced by *in-situ* doping
25 with As concentration increasing from 1×10^{16} As·cm⁻³ (bottom profile) to 1×10^{19} As·cm⁻³
26 (top profile). Inset: Variation of W_D with N_A , exhibiting an inverse relationship; $W_D \propto N_A^{-0.33}$.
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29 **Fig. 3 (a)** SIMS As concentration [As] depth profile and **(b)** acceptor density and activation
30 ratio of the absorber in the CdTe:As solar cells studied. The $\sim 1 \times 10^{16}$ As·cm⁻³ sample is
31 unintentionally-doped and does not contain the heavily-doped ($\sim 1 \times 10^{19}$ As·cm⁻³) back-
32 contact layer (BCL). **(c)** Typical light J - V curves of the CdTe:As solar cells.
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36 **Fig. 4 (a)** EQE, **(b)** absorption, and **(c)** PL (glass-side) spectra of CdTe:As solar cells as
37 function of the As concentration in the bulk. Note the step feature at 700 nm in **(a)** is an
38 artefact of lamp changeover of the EQE system.
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41 **Fig. 5** PL decay curves of CdZnS/CdTe solar cells measured from the glass-side. The inset
42 shows the extracted lifetimes, τ_1 and τ_2 , obtained by fitting the decay curves with two
43 exponentials. τ_2 is believed to represent the minority carrier lifetime in the CdTe absorber
44 layer.
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47 **Fig. 6** V_{oc} vs. interface recombination velocity (S) between CdZnS and CdTe layers for
48 varying acceptor concentration (N_A) and for three different conduction band offset ($\chi_{CdTe} -$
49 χ_{CdZnS}) values of **(a)** CBO = -0.15 eV, **(b)** CBO = 0 eV, and **(c)** CBO = +0.15 eV. A small
50 positive CBO, i.e. spike, is beneficial for maintaining the V_{oc} when $S \geq 10^3 - 10^4$ cm·s⁻¹.
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54 **Fig. 7** V_{oc} vs. acceptor concentration (N_A) of the CdTe layer for varying donor concentrations
55 (N_D) in the CdZnS layer, when CBO = -0.15 eV and $S = 10^4$ cm·s⁻¹.
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58 **Fig. 8** DLTS spectra recorded for samples with various bulk As concentrations: **(a)** 1×10^{16} ,
59 **(b)** 3×10^{17} , **(c)** 4×10^{18} , and **(d)** 1×10^{19} As·cm⁻³. Spectra were recorded over a 125 - 375K
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range with a 2K increment using a pulse width of 0.1-100ms depending on the sample. Inset to (c) shows the low temperature (80 - 200K) DLTS spectrum recorded for $4 \times 10^{18} \text{ As} \cdot \text{cm}^{-3}$ using a pulse width of 1 μs . Positions of observed hole (H) trap levels are labelled.

Fig. 9 Arrhenius plots of defect levels observed in DLTS spectra. Trap energy is derived from the slope with capture cross section determined from the intercept with the $\ln(\tau_e v_{th} N_v)$ axis.

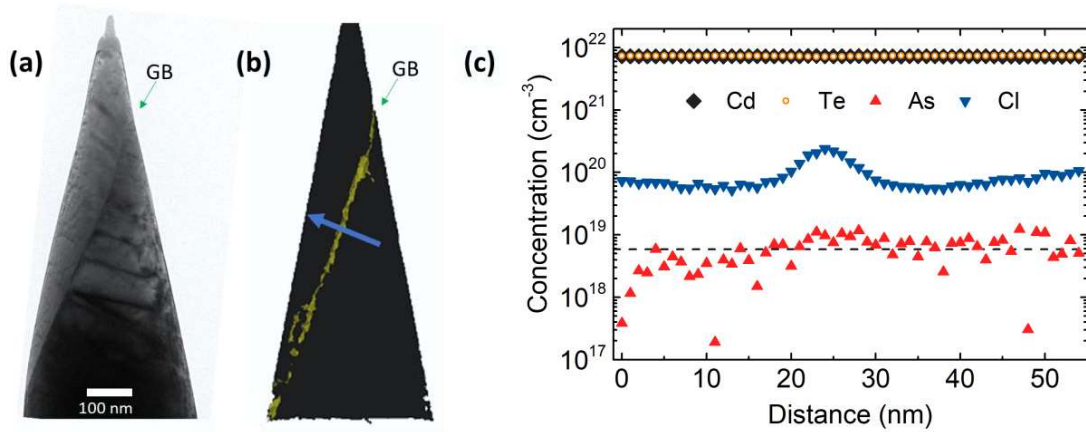


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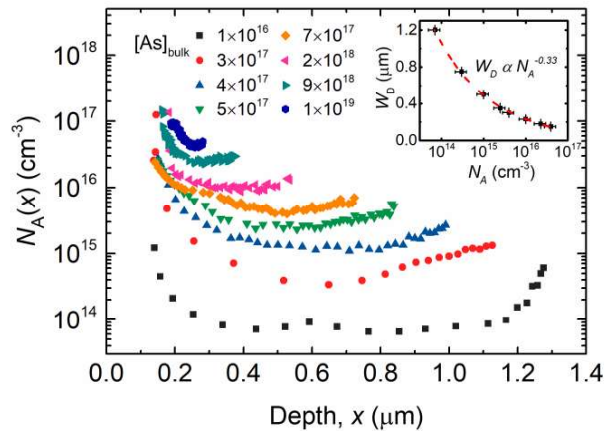


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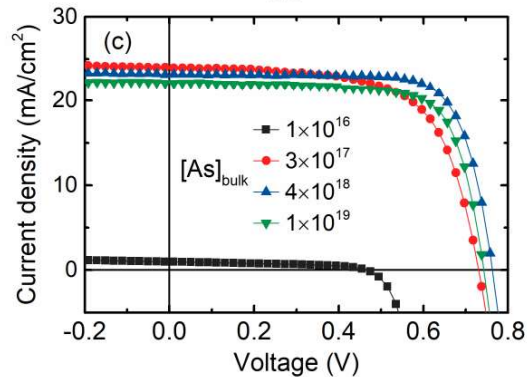
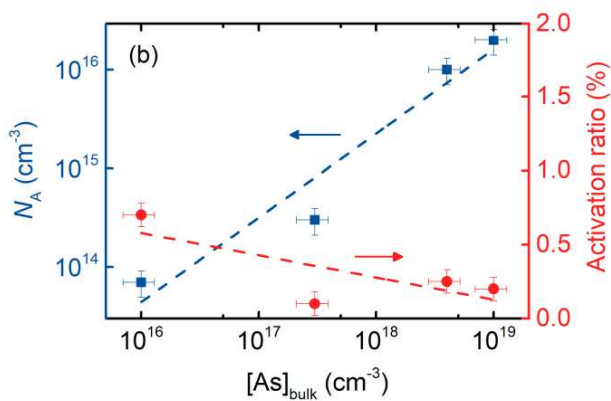
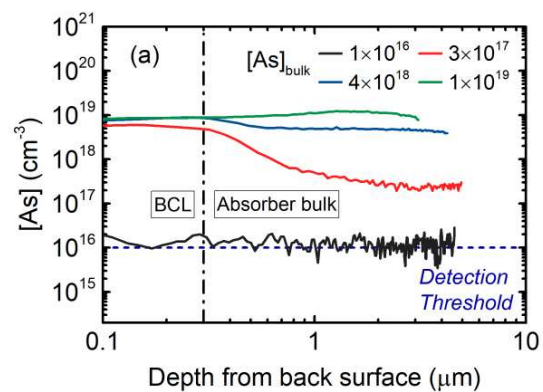


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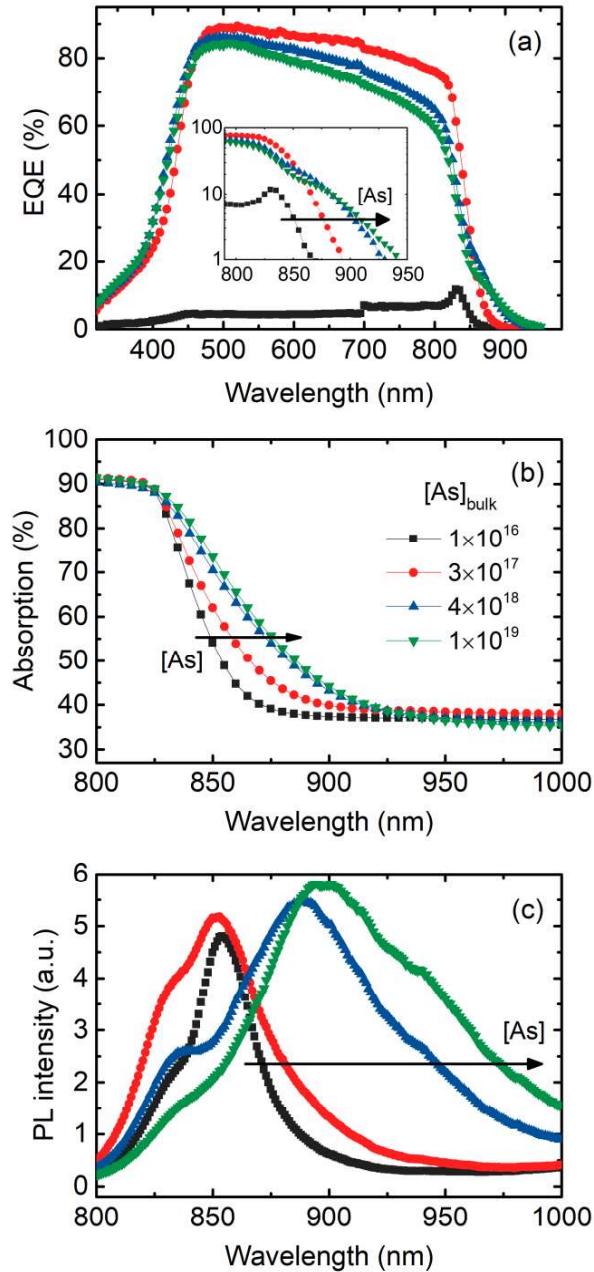


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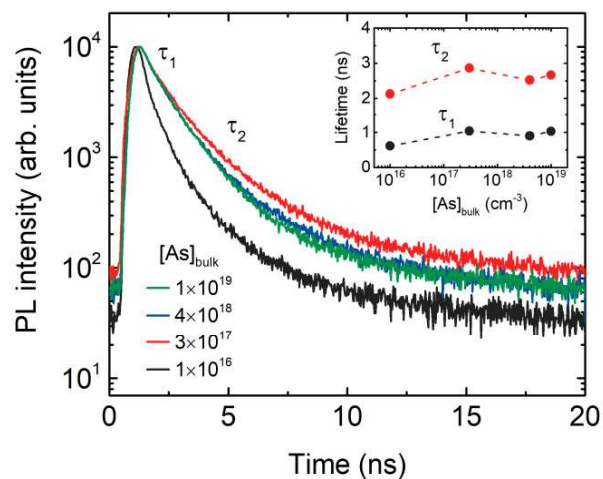


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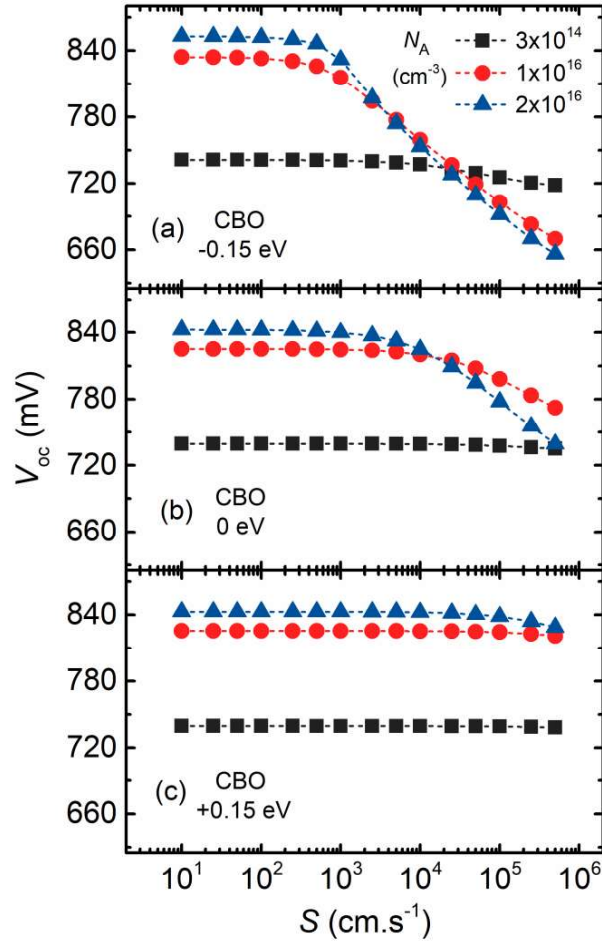


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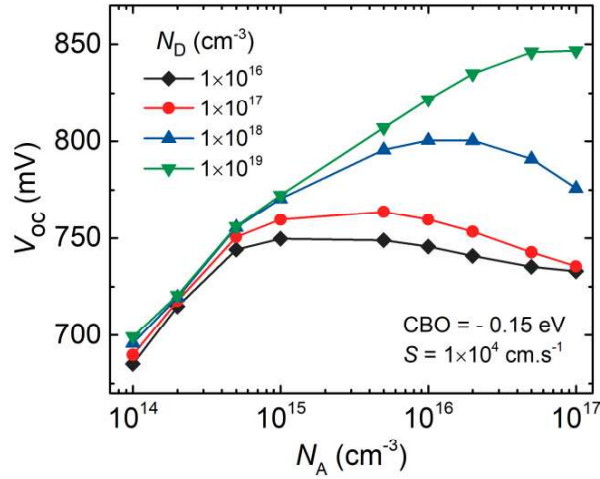


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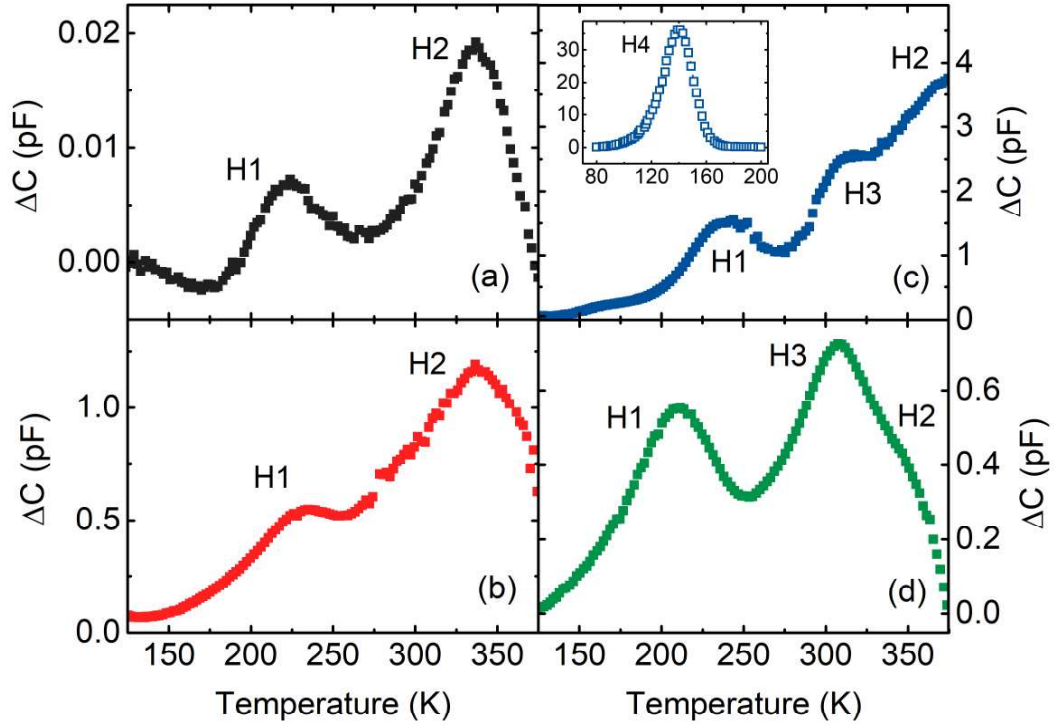


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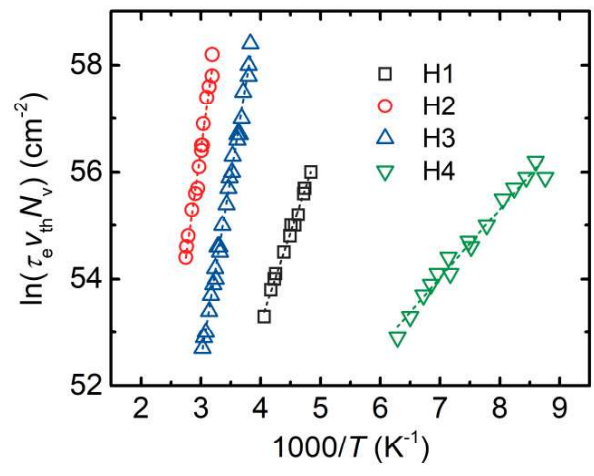


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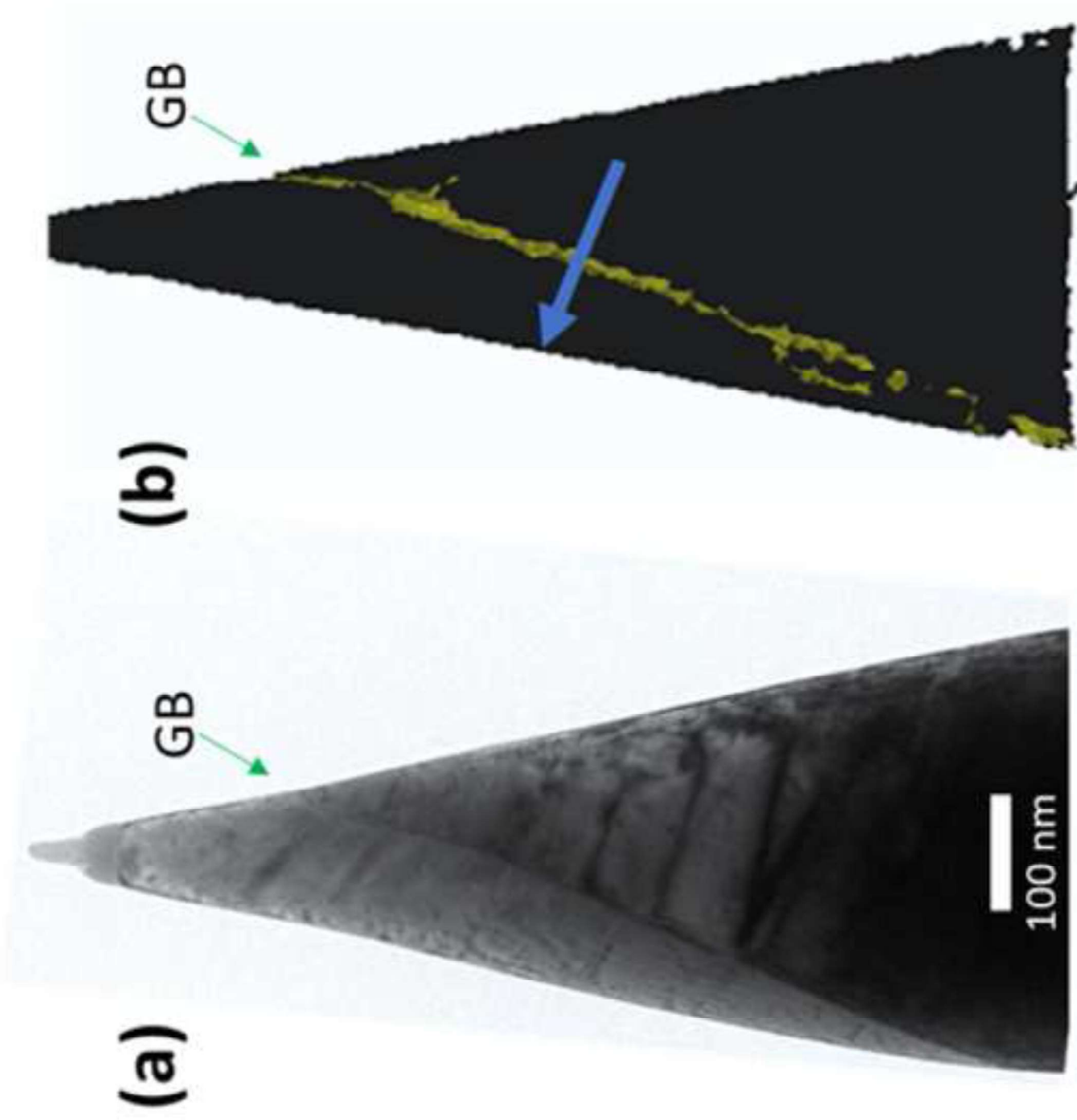


Fig1c
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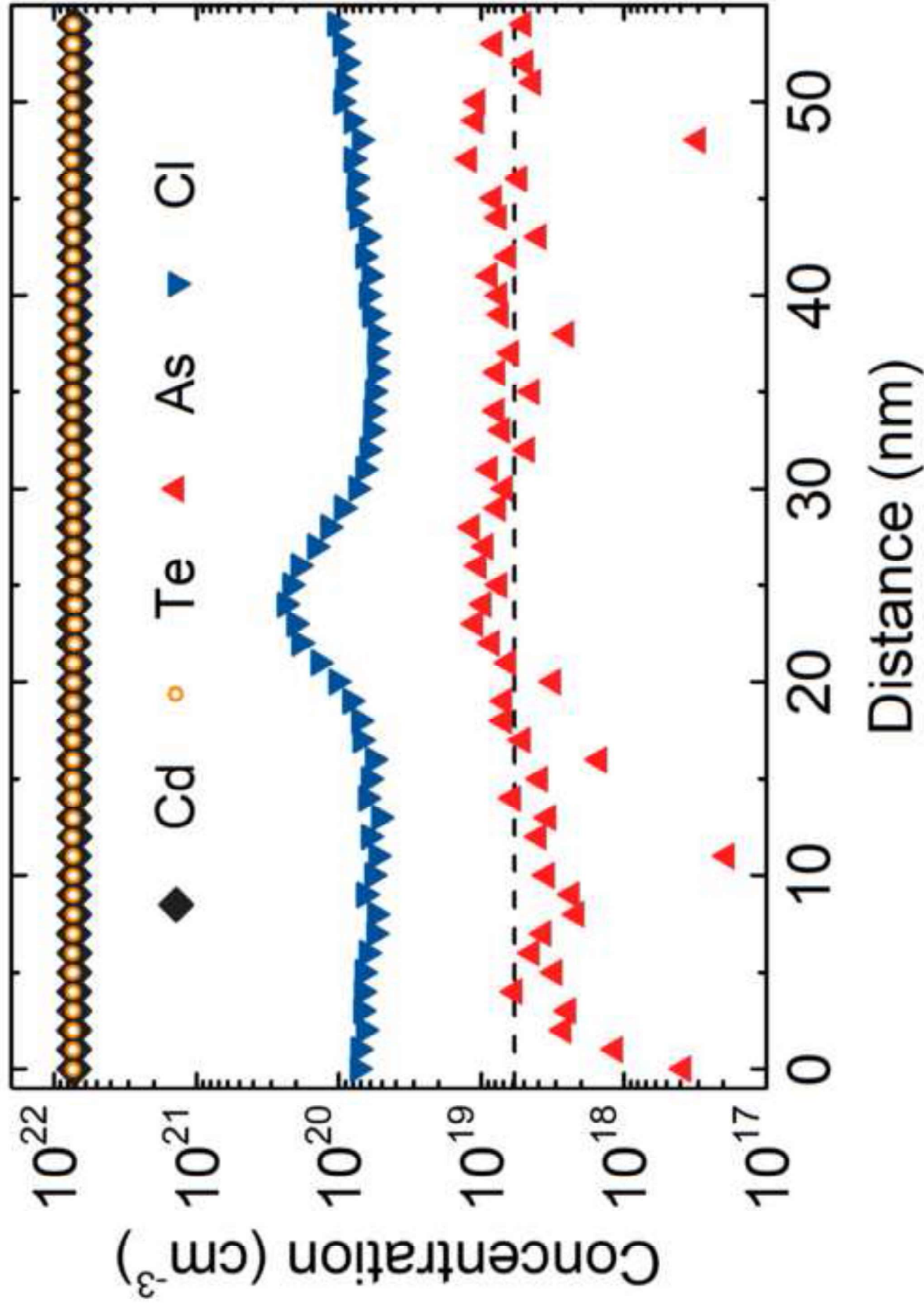


Fig2
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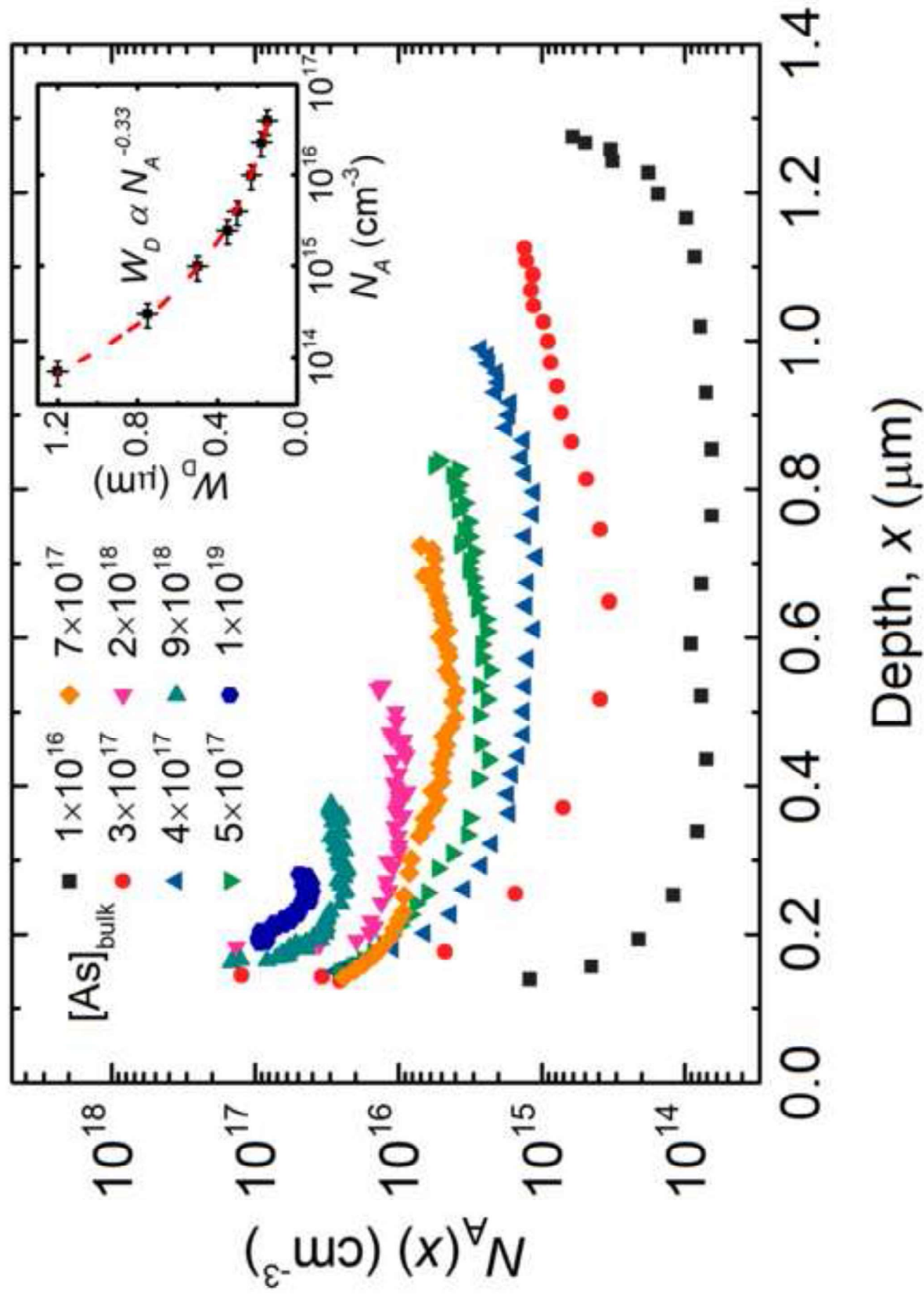


Fig3

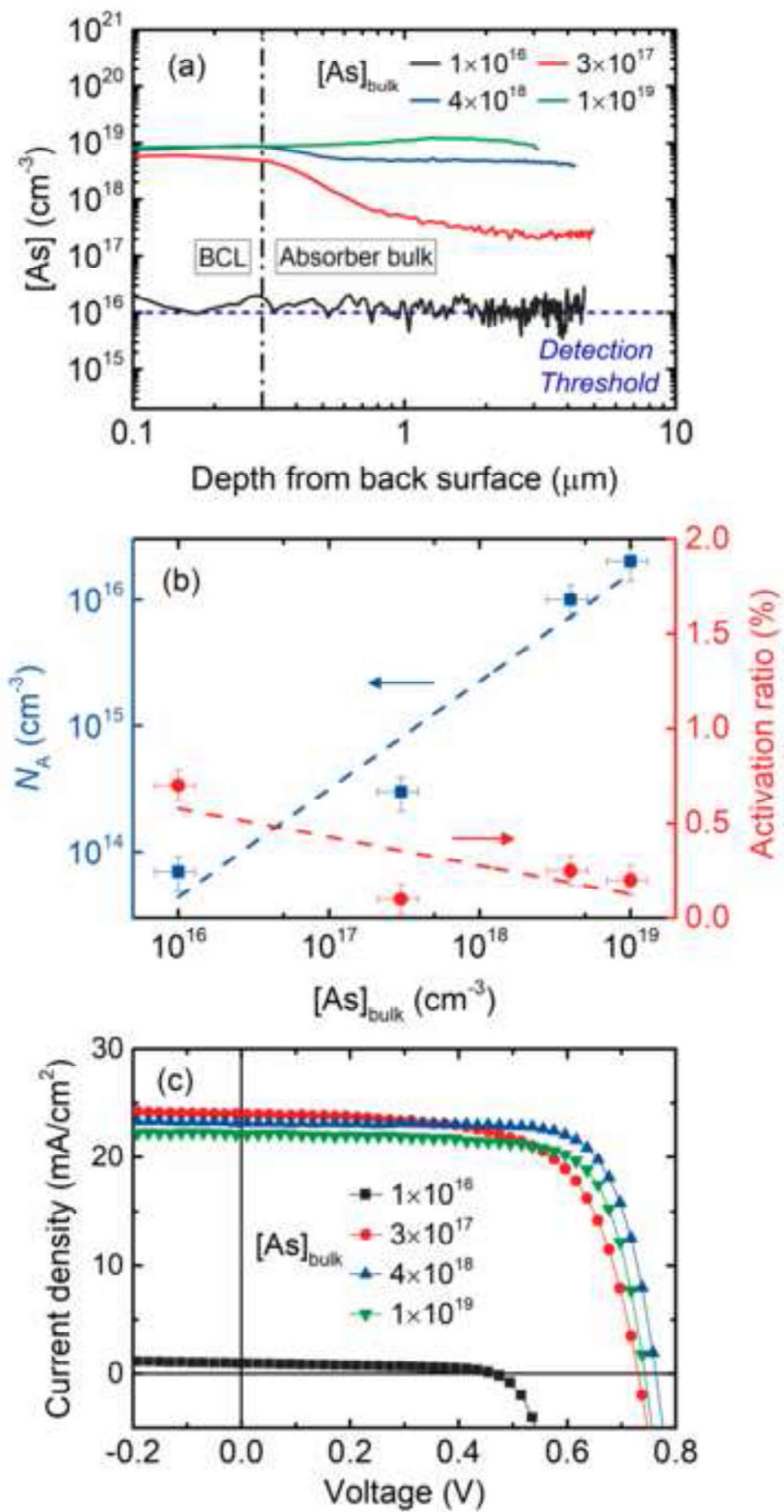
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Fig4

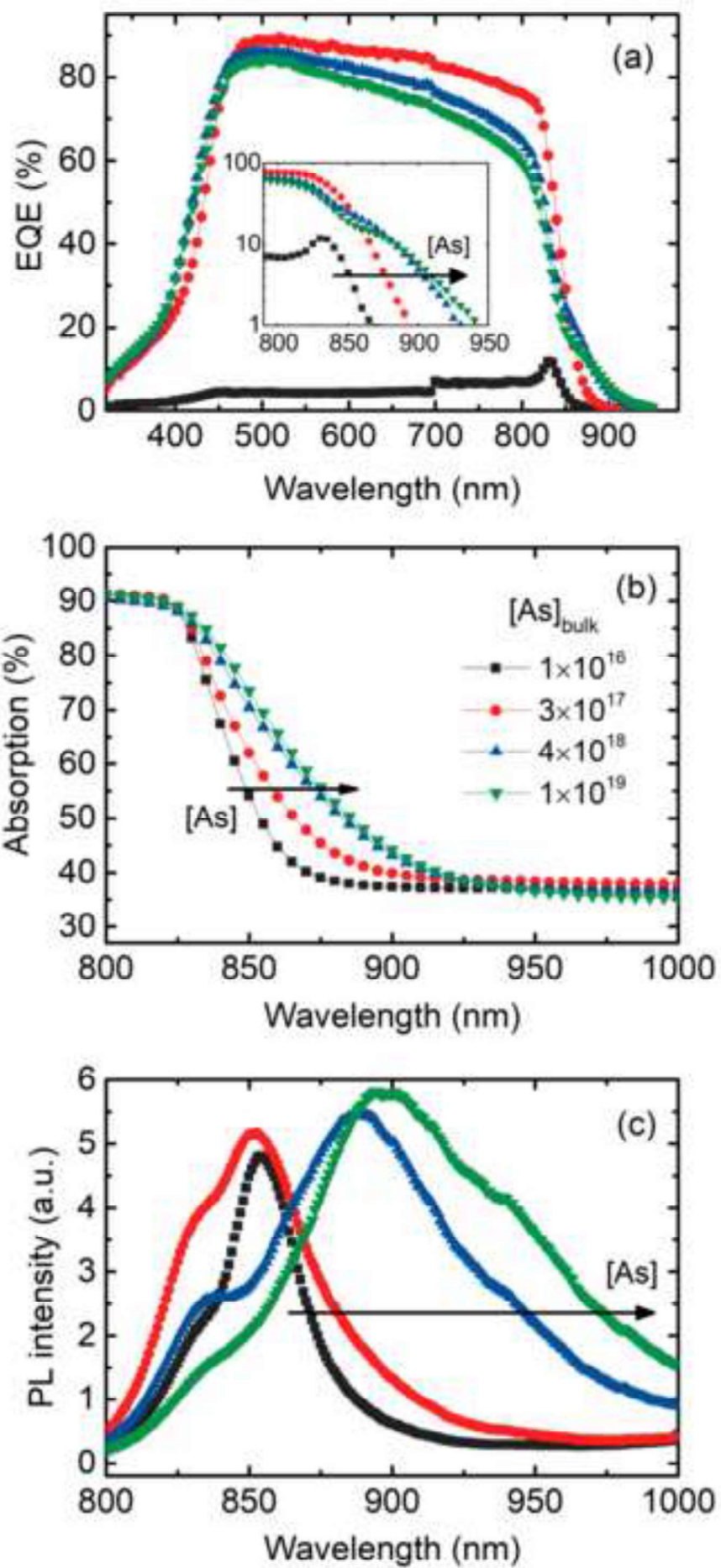
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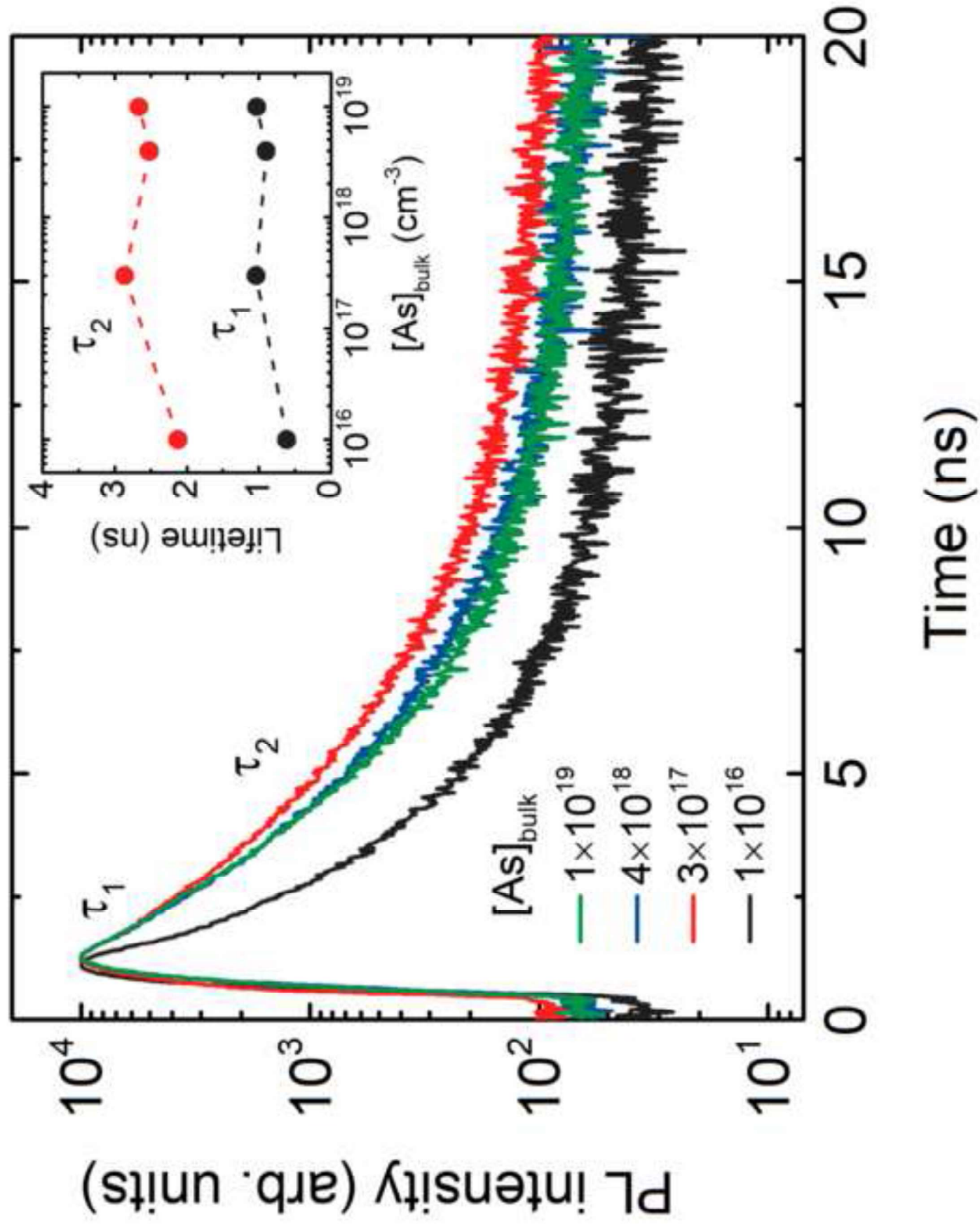


Fig6

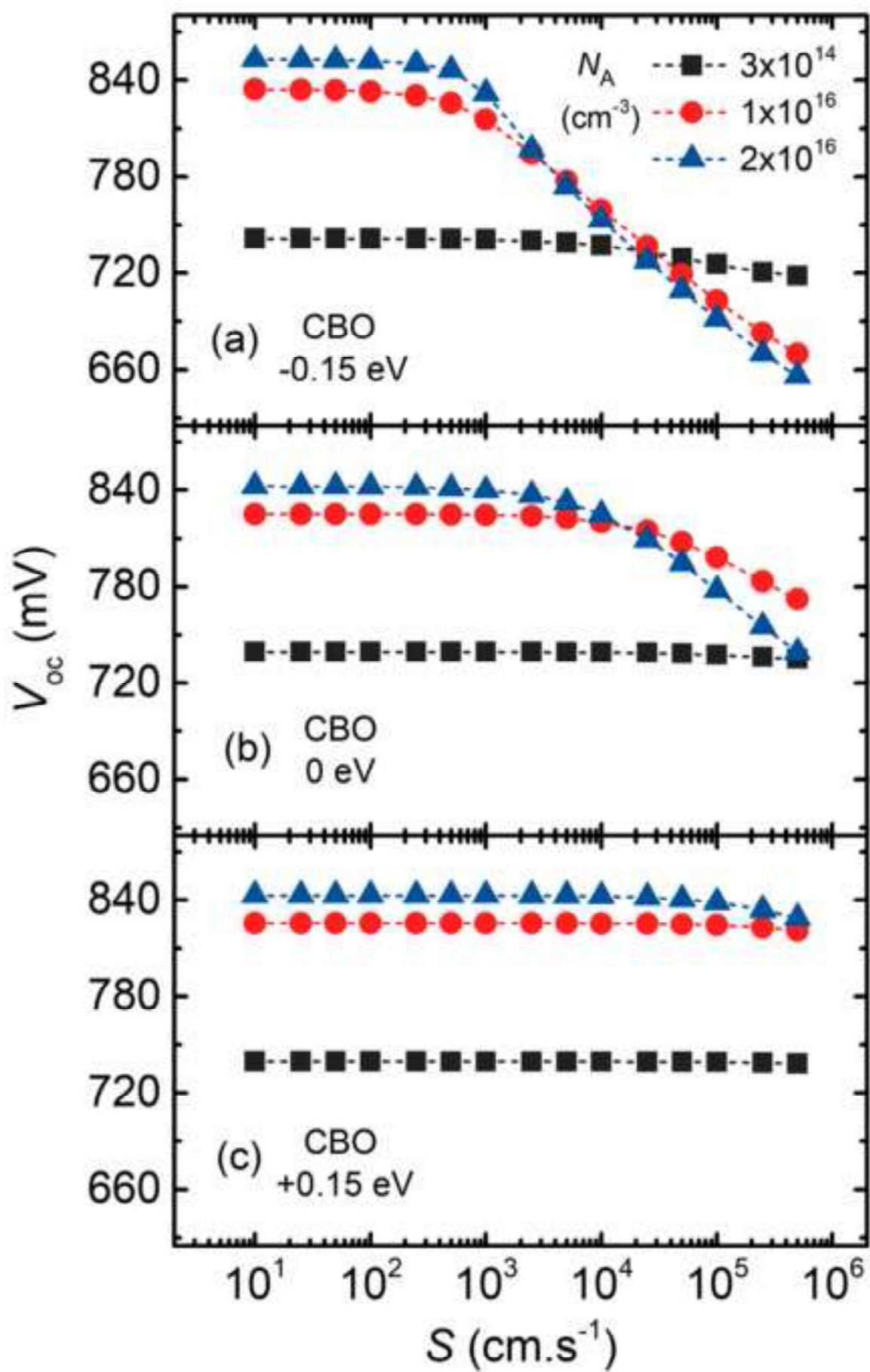
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Fig7
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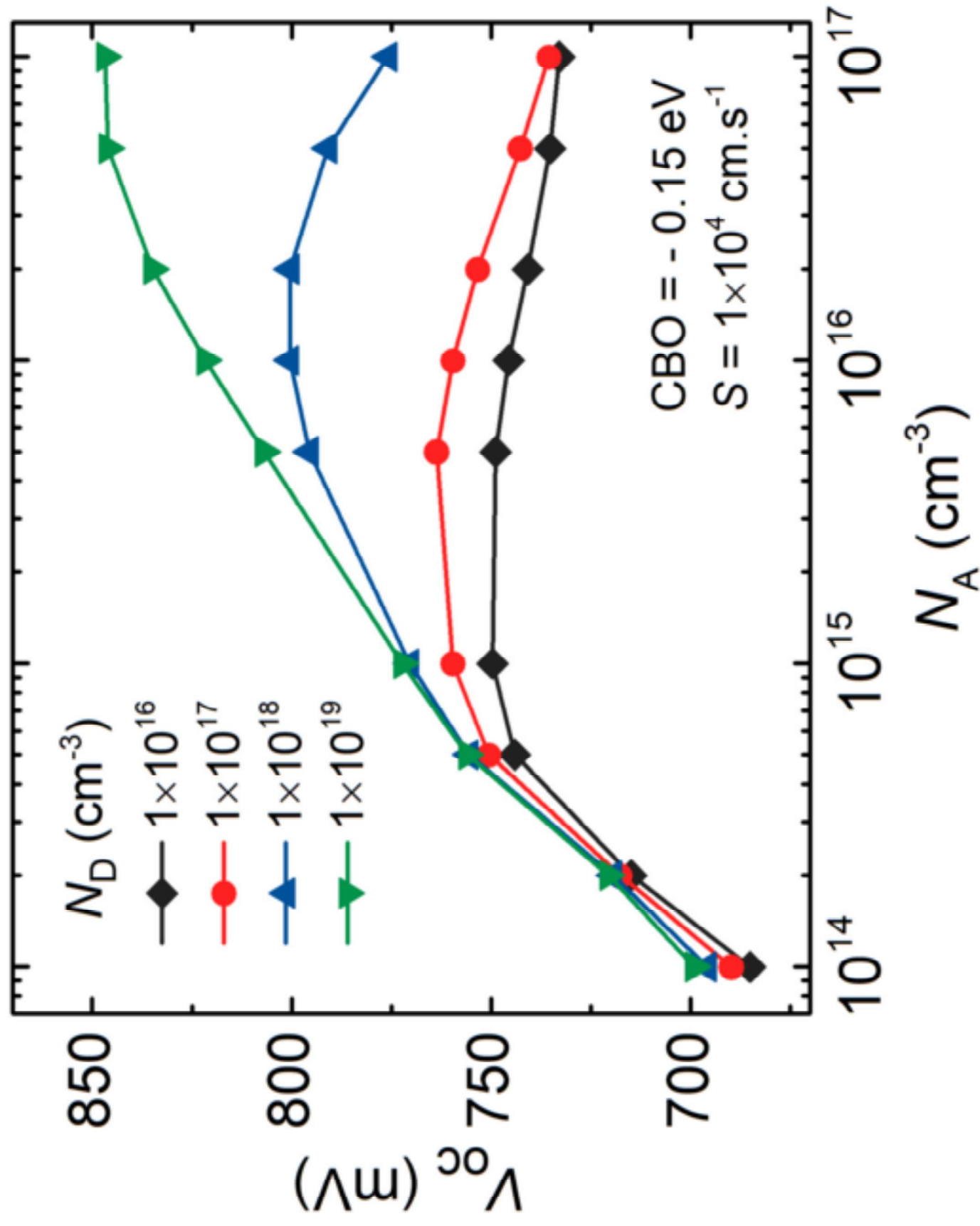


Fig8

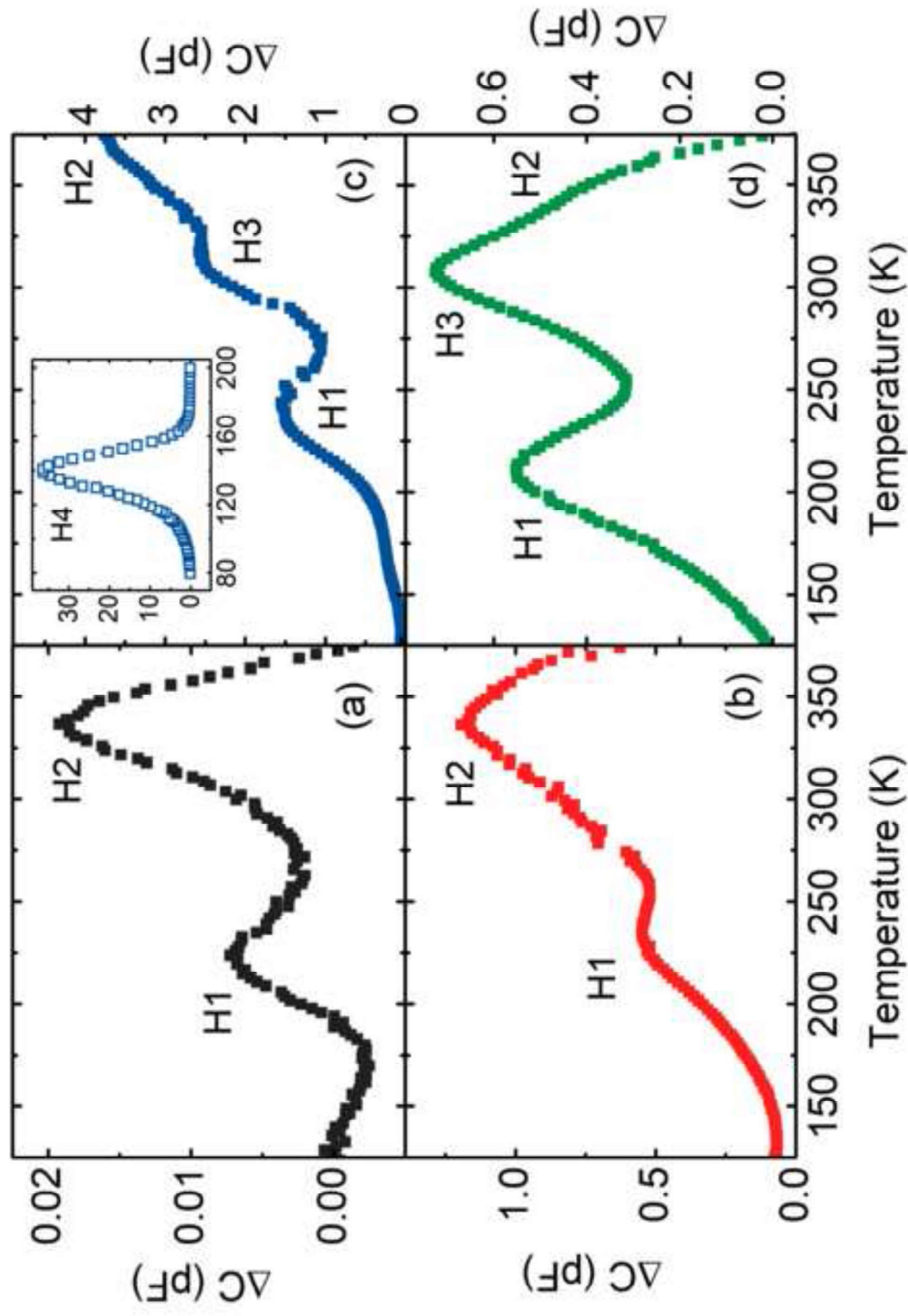
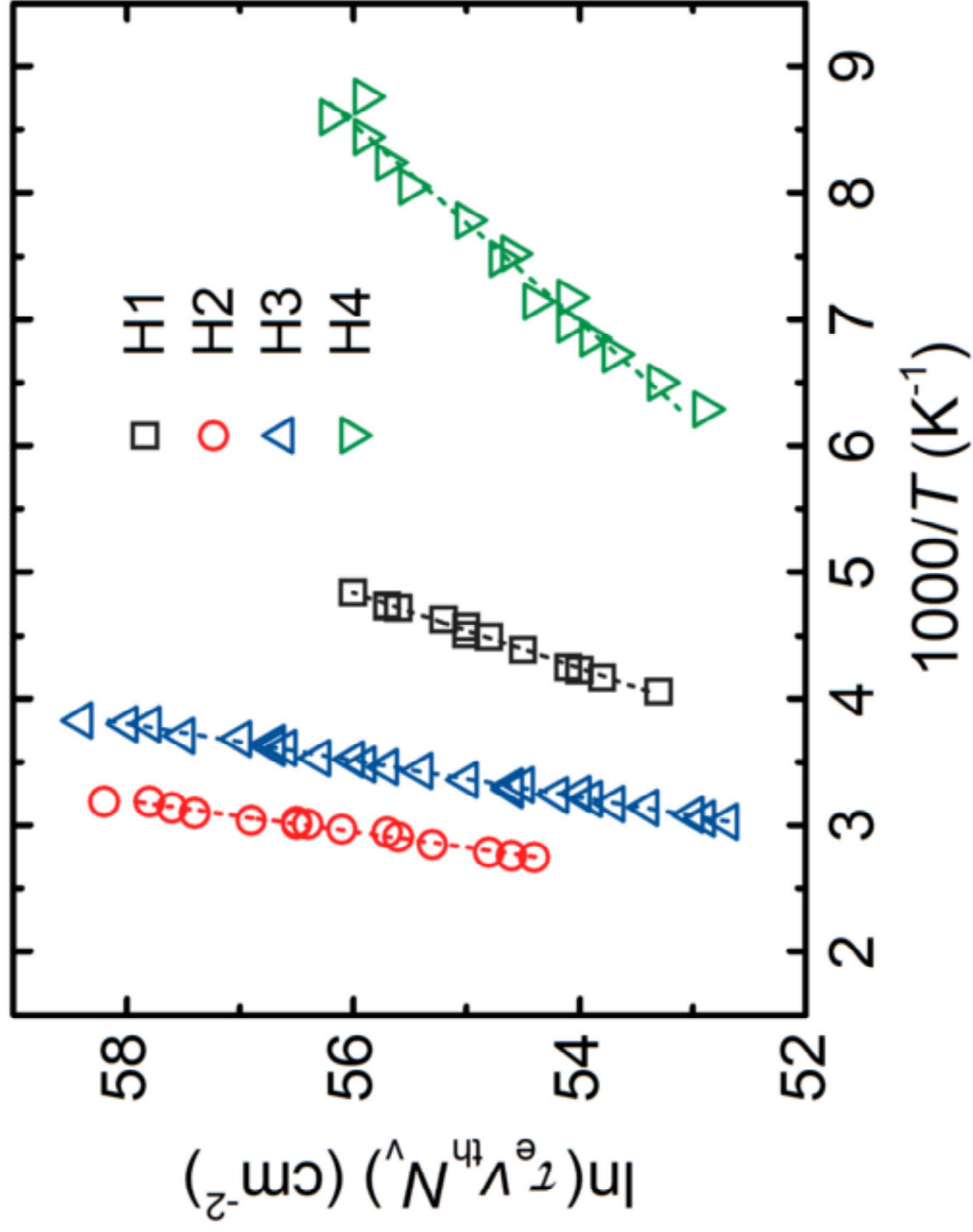
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Fig9
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Supplementary

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