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1 Countershading in zebrafish results from an Asip1 controlled dorsoventral gradient

- 2 of pigment cell differentiation
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24 ABSTRACT

25 Dorso-ventral (DV) countershading is a highly-conserved pigmentary adaptation in 26 vertebrates. In mammals, spatially regulated expression of agouti-signaling protein 27 (ASIP) generates the difference in shading by driving a switch between the production of 28 chemically-distinct melanins in melanocytes in dorsal and ventral regions. In contrast, 29 fish countershading seemed to result from a patterned DV distribution of differently-30 coloured cell-types (chromatophores). Despite the cellular differences in the basis for 31 counter-shading, previous observations suggested that Agouti signaling likely played a 32 role in this patterning process in fish. To test the hypotheses that Agouti regulated 33 counter-shading in fish, and that this depended upon spatial regulation of the numbers of 34 each chromatophore type, we engineered *asip1* homozygous knockout mutant zebrafish. 35 We show that loss-of-function *asip1* mutants lose DV countershading, and that this 36 results from changed numbers of multiple pigment cell-types in the skin and on scales. 37 Our findings identify *asip1* as key in the establishment of DV countershading in fish, but 38 show that the cellular mechanism for translating a conserved signaling gradient into a 39 conserved pigmentary phenotype has been radically altered in the course of evolution.

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41

42 **KEYWORDS**

43 Agouti, pigment pattern formation, asip1, kita, xdh, ltk, melanophore, xanthophore,

44 iridophore, chromatophore, knockout, zebrafish.

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48 **INTRODUCTION**

49 Most vertebrates exhibit a dorso-ventral pigment pattern characterized by a light ventrum 50 and darkly colored dorsal regions. This countershading confers UV protection against 51 solar radiation, but also is proposed to provide anti-predator cryptic pigmentation. In 52 mammals, hair color results from biochemical differences in the melanin produced by 53 melanocytes, the only neural-crest derived pigment cell-type in this taxon. Best studied 54 in mice, the local expression of agouti-signaling protein (ASIP) in the ventral skin drives the dorso-ventral pigment polarization ^{1,2}. ASIP is mainly produced by dermal papillae 55 56 cells where it controls the switch between production of eumelanin (black/brown 57 pigment) to pheomelanin (yellow/red pigment) by antagonizing α -melanocyte-58 stimulating hormone (α -MSH) stimulation of the melanocortin 1 receptor (MC1R)¹. 59 Temporal control of Asip expression as a pulse midway during the hair growth cycle 60 generates a pale band of pheomelanin in an otherwise dark (eumelanin) hair ('agouti' 61 pattern). In contrast, in the ventral region, constitutive expression of Asip at high levels 62 represses eumelanin production, resulting in pale hair colour.

63 Most other groups of vertebrates share the dorso-ventral countershading pattern, but in 64 ray-finned fishes it results from a patterned distribution of light-reflecting (iridophores 65 and leucophores) and light-absorbing (melanophores, xanthophores, erythrophores, and cyanophores) chromatophores ^{3,4}. Zebrafish, a teleost fish model for pigment studies, 66 67 obtains its striped pigmentation by the patterned distribution of three types of 68 chromatophores: melanophores, iridophores and xanthophores ^{5,6}. Furthermore, it is widely accepted that fish melanophores only produce dark eumelanin, but not 69 70 pheomelanin⁷. Our recent experiments using overexpression systems have demonstrated 71 that zebrafish utilizes two distinct adult pigment-patterning mechanisms, the striped 72 patterning mechanism and the dorso-ventral patterning mechanism⁸. Both patterning 73 mechanisms function largely independently, with the resultant patterns superimposed to 74 give the full pattern⁸. The zebrafish striping mechanism has received much attention and is based on a cell-cell interaction mechanism ^{9,10}. In contrast, dorso-ventral patterning has 75 76 been largely neglected, but we have recently provided evidence that it depends on asip1 77 expression, and furthermore that this is expressed in a dorso-ventral gradient in the skin directly comparable to that in mammals ^{8,11,12}. This potential conservation of agouti 78 79 signaling protein function is fascinating, since it opens up the possibility of a very 80 different cellular mechanism of action in mammals and fish ^{8,13}. Specifically, we have

proposed that Asip1 activity in the ventral skin in zebrafish alters the balance of pigment
 cell differentiation, through repressing melanophore differentiation ⁸.

83 Studies of Asip1 function in fish to date have relied on gene overexpression approaches, 84 but loss-of-function experiments provide a complementary approach to test the 85 interpretation of those overexpression data. Here, we investigate the *in vivo* functional 86 role of *asip1* in zebrafish by generating *asip1* knockout mutants using clustered regularly 87 interspaced short palindromic repeats (CRISPR)-associated protein-9 nuclease (Cas9) genome engineering tools ¹⁴. We demonstrate that *asip1* knockout mutant zebrafish 88 89 display a disrupted dorso-ventral pigment pattern characterized, in the ventral region, by 90 an increased number of melanophores and xanthophores accompanied by a severe 91 decrease in the number of iridophores, i.e. a dorsalised pigment pattern. This dorsalisation 92 effect extends also somewhat into the stripes, with the more ventral stripes having 93 melanophore and xanthophore numbers closely resembling their more dorsal 94 counterparts. Our loss-of-function results provide support for our previous hypothesis that 95 asip1 controls the evolutionarily conserved countershading coloration in fish, but via a 96 distinctive cellular mechanism involving control of differentiation of multiple pigment 97 cell-types.

98

99 **RESULTS**

100 Selection and analysis of induced *asip1* loss-of-function mutations in zebrafish

101 Loss-of-function mutations in the asip1 gene were generated using the CRISPR-Cas9 102 system. We selected the target site in the first coding exon (60 bp after ATG start codon) 103 (Figs. 1A,B) and found ten different mutated alleles (Fig. 1B). Alleles M1, M3, M5 and 104 M6 conserved the original open reading frame; therefore, they could potentially generate 105 a functional protein lacking only one or two amino acids and keeping almost the entire 106 amino acid sequence. Alleles M2, M4, M7, M8, M9 and M10 show alternative reading 107 frames downstream of the target site. We selected three potential frameshift mutations, 108 which yield predicted nonfunctional proteins. Fish carrying each mutation were raised to generate asip1^{K.O.} lines (F3 generation) and to characterize the phenotype: M2 (CRISPR1-109 110 asip1.iim02 zebrafish line), M7 (CRISPR1-asip1.iim07 zebrafish line) and M8 (CRISPR1-asip1.iim08 zebrafish line) (Fig. 1B). The asip1^{iim02} allele lacks 11 bp (76-86 111 bp), the asip1^{iim07} allele has lost 4 bp (77-81 bp), and asip1^{iim08} lacks 16 bp (Del 62-76 112 bp) and carries a 15 bp insertion at position 62 downstream of the predicted ATG start 113 114 codon (Fig. 1B). In those three alleles, the mutations result in premature stop codons. The 115 $asip1^{iim02}$, $asip1^{iim07}$ and $asip1^{iim08}$ encode 71, 38 and 31 amino acid mutant proteins, 116 respectively (Fig 1.C). All mutated proteins have lost most of their basic central domain 117 and, most significanctly, the C-terminal poly-cysteine domain, which is the crucial region 118 for protein activity ^{15–17}. All *asip1* knockout mutant zebrafish lines examined resulted in 119 a similar dorso-ventral pigment phenotype as described below.

120

121 *asip1* function in dorsal-ventral pigment patterning

122 All three *asip1*-CRISPR knockout lines exhibited a loss of dorso-ventral countershading. 123 Because we did not find any difference in the pigment pattern across the three-knockout 124 mutants' lines, we focused on the study of line CRISPR1-asip1.iim08, here referred to as $asip1^{K.O.}$. In $asip1^{K.O.}$ fish, melanophores and xanthophores were more numerous in all 125 ventral regions (Fig. 2A-2D), including the ventral head (Figs. 2 E.F). In WT fish, 126 127 melanophores and xanthophores were very limited in the ventral region, and mainly 128 located on the jaw and the posterior belly regions, near the pelvic fins (Fig 2G). The WT 129 phenotype shows a low number of melanophores in the ventral head region and high 130 number of iridophores around the branchiostegals and operculum (Fig. 2E). In contrast, 131 $asip 1^{K.O.}$ mutants show melanophores spread throughout the jaws, branchiostegal and 132 opercular regions (Fig. 2F). On the belly, the ventral skin of WT fish showed almost a 133 total absence of melanophores, so that the bright whitish-reflective iridophore sheet of 134 the internal abdominal wall is prominent (Fig. 2G). Conversely, asip1^{K.O.} fish displayed 135 a strong increase in melanophore and xanthophore number in the ventral skin, as well as many extra cells that transform the incipient 3V of the WT into a prominent 3V reaching 136 137 to the head in the asip1^{K.O.} (Fig. 2A-D). We note that the consistent increase in 138 melanophore numbers in the 2V and 3V stripes can also be considered a dorsalisation 139 phenomenon, since our counts show them to now resemble their more dorsal counterparts 140 (Figs 3 and 4). In addition, the abdominal wall exhibits an obvious decrease in the number 141 of iridophores, resulting in an apparent breakup of the iridophore sheet into smaller fragments, thus conferring a darker color to the ventral region of *asip1^{K.O.}* fish (Fig. 2H). 142 The Sanger-generated mutant, *asip1sa13993*, showed only a subtle and partial phenotype 143 compared to $asip1^{K.O.}$ fish, ((e.g. hyperpigmentation in the belly was not obvious; Supp. 144 145 Fig. 2)), however, the incipient 3V-stripe of the WT becomes more fully developed in the asip1^{sa13993} mutant line. 146

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148 **Development of the zebrafish** *asip1^{K.O.}* **phenotype**

To establish the time point when the phenotype of the asip1 mutants ($asip1^{K.O.}$) becomes 149 150 first apparent during development, melanophores were counted at larval (5dpf, SL 3 mm), 151 metamorphic (15 dpf, SL 6.3 mm and 30 dpf, SL 7 mm) and two adult stages (60 dpf, SL 152 13 mm and 210 dpf, SL 25 mm) (Figs. 3 and 4). It has been shown that pigment pattern 153 changes during development can be distinguished by an increase in the melanophore 154 number and changes in their distribution ^{18,19}. We have quantified the distribution of 155 melanophores in WT and *asip1^{K.O.}* fish along the dorsal-ventral axis, by sampling at defined positions in the dorsal and ventral head, lateral stripe, and belly (see Materials 156 157 and Methods and Fig. 3 and 4 for details). No differences in melanophore numbers were 158 found at larval stages (5dpf, SL 3 mm) (data not shown). In contrast, the dorsal-ventral 159 pigment abnormalities began to be visible from the earliest stages of metamorphosis 160 (15dpf, SL 6.3 mm). Although at 15 dpf there were no differences in melanophore number in the belly between $asip 1^{K.O.}$ and WT fish, melanophore number in the ventral head was 161 68.7% higher in asip1^{K.O.} fish than in WT fish (P<0.05) (Fig. 3A). At 30 dpf, pigment 162 163 abnormalities also appear in the belly: melanophore number in the ventral head was 63% 164 higher in the *asip1^{K.O.}* than in WT fish (P<0.05), while in the belly melanophore numbers 165 were 41% higher in *asip1^{K.O.}* than WT belly (P<0.05) (Fig. 3B).

The asip1^{K.O.} fish at 60 and 210 dpf showed significant pigment pattern alterations, 166 167 particularly in the ventral region compared to WT fish (Fig. 4B). At 60 dpf, the number 168 of skin melanophores of asip1^{K.O.} fish was 47% higher (P<0.001) in dark stripe 2V, 86% 169 higher (P<0.001) in the ventral head, and 98% higher (P<0.001) in the belly than in 170 equivalent positions of WT fish. No differences were found in dorsal regions or in other 171 dark stripes (Fig. 4C). Furthermore, we found that the number of xanthophores was also 172 affected in ventral regions. At 60 dpf, the distribution of xanthophores in anterior area of 173 the belly was 98% higher (P<0.05) than in WT. No differences were found in dorsal 174 regions (Fig. 4D). At 210 dpf, the same pattern of an increased number of melanophores in the ventral region was found. The number of melanophores in $asip1^{K.O.}$ fish was 38% 175 176 higher (P<0.001) in dark stripe 2V, 78.6% higher (P<0.001) in dark stripe 3V, 84% higher 177 (P<0.001) in the ventral head, and 99% higher (P<0.001) in the belly compared to the 178 equivalent region of WT siblings. Just as in 60 dpf fish, the pigment defects were 179 restricted to ventral regions (Fig. 4E). At 210 dpf, the number of xanthophores in the belly 180 region was 96% higher (P<0.001) compared to WT siblings, while no differences were 181 found in dorsal regions (Fig. 4F).

182 If Asip1 functioned in fish by a homologous cellular mechanism to that in mammals, we 183 would predict the presence of unpigmented melanophores in the ventral skin. To test this, 184 and to supplement the analysis of pigment cells using their autonomous pigmentation, we 185 also compared the distribution of transgenic markers of melanophores and iridophores in 186 $asip 1^{K.O.}$ mutants and their WT siblings. Firstly, we imaged fish carrying the 187 Tg(Kita:GalTA4,UAS:mCherry) transgene, which labels melanophores with membrane-188 bound mCherry ²⁰. In WT, melanophores were almost never detected in ventral skin region (Figs. 5A), but importantly neither were unpigmented mCherry-expressing cells 189 190 (Fig. 5B). In contrast, asip1 mutants displayed many transgenically-labelled 191 melanophores in the ventral skin region (Figs. 5C, D). This is in agreement with the observed increase in the number of melanophores in $asip 1^{KO}$ at later stages of 192 development (Fig. 4), but extends those observations to argue against the presence of 193 194 specified but amelanic melanophores in the WT belly.

By analyzing fish carrying Tg(TDL358:GFP) transgene, which label iridophores and glia with cytosolic GFP ²¹, we confirmed the dense and uniform sheet of iridophores in the abdominal wall of WT fish (Figs. 5E,F) and showed that, this sheet is broken up into small fragments in $asip1^{K.O.}$ mutants (Fig. 5G,H). Thus, $asip1^{K.O.}$ mutants showed a strong reduction of the iridophore number and many interspersed melanophores (Fig. 5G, black arrow), as well as some xanthophores (Fig. 5G, orange arrow) in the abdominal wall.

202 Additionally, we characterized the contribution to the disrupted countershading 203 phenotype in $asip1^{K.O.}$ mutants of pigment cells in the scales. In contrast to ventral scales 204 of WT siblings which lack all pigmented cell-types (Fig. 6B), ventral scales of asip1 205 mutants displayed numerous melanophores (Fig. 6A, black arrowheads), xanthophores 206 (Fig. 6A, yellow arrowheads) and extensive silvery patches of iridophores (Fig. 6A, white 207 arrows). Thus, scales isolated from the belly of *asip1* mutants displayed a "dorsalized" 208 color pattern (*i.e.*, ventral scales become nearly as dark colored as dorsal scales due to an 209 increased number of pigment cells) (Fig. 6C, D).

210

211 **Rescue of CRISPR mediated mutations**

Finally, as a key test of our model, we assess the effect of combining the knockout (KO) mutant with our previously-described *asip1*-Tg zebrafish line overexpressing *asip1* in the entire body. In our model, a graded distribution of Asip1 controls the ratio of melanophore, xanthophore and iridophore differentiation in the skin, with high levels 216 ventrally characteristically repressing melanocyte and stimulating iridophore 217 differentiation; in the dorsum, where Asip1 levels are lowest, melanophores differentiate 218 and iridophores are suppressed. We have shown that our asip1-Tg line shows a strongly 219 ventralised pigment pattern in the dorsum (Fig. 7D-F; reference), suggesting that the 220 ubiquitous Asip1 levels generated are equivalent to those in the belly region of a WT fish. 221 We predict therefore that in the background of our new $asip1^{KO}$ which lacks the 222 endogenous gradient of Asip1, the pigment pattern should also be ventralised, but might, 223 if anything, show a slightly weaker phenotype due to the absence of endogenous Asip1 224 'supplementing' the transgenic Asip1 expression. This is indeed what we observed (Fig. 225 7). WT fish show the typical striped pattern (Fig. 7A), combined with a darker dorsum 226 (Fig. 7B), and a light ventrum (Fig. 7C). The asip1-Tg zebrafish phenotype presents a 227 striped pattern that shows a mild reduction in melanophore number in the 1D and 2D 228 stripes (Fig 7D), a light belly similar to WT fish (Fig. 7F), but a drastic reduction of dorsal melanophores (Fig. 7E) due to the ectopic overexpression of *asip1*⁸. In *asip1*^{K.O.} mutants 229 230 (Fig. 7G) the striped pattern is enhanced, with a prominent 3V stripe reaching to the head 231 (Fig. 7F), the belly is considerably darker (dorsalised) than in WT (Fig. 7I), while the 232 dorsum remains similar to that of WT (Fig. 7 H). In the asip1^{K.O.}; asip1-Tg, the asip1^{K.O.} phenotype is suppressed and the *asip1*-Tg phenotype prevails (Fig. 7J). The *asip1^{K.O.}*; 233 234 asip1-Tg zebrafish do not show enhancement of the 3V stripe, but instead show a stripe 235 pattern similar to the asip1-Tg, except that the ?2D stripe is somewhat more prominent, 236 due to a more WT melanophore count (Fig. 7 J), a light dorsum with a drastic reduction 237 of dorsal melanophore as the *asip1*-Tg[·] fish (Fig. 7K), but a light belly similar to both 238 asip1-Tg and WT fish (Fig. 7L). These observations are fully consistent with our 239 hypothesis that the graded expression of *asip1* along the dorso-ventral axis is crucial to 240 establish the dorso-ventral pigment pattern and that this results from changed numbers of 241 multiple pigment cell-types.

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243

244 **DISCUSSION**

Asip is a key gene regulating mammalian countershading. Ubiquitous expression of Asip in viable agouti yellow mice (A^y) results in a phenotype characterized by yellow fur, as well as hyperphagia, obesity and increased linear growth ^{22,23}. Mammalian countershading results from an asymmetry in the dorsoventral axis of Asip expression in the skin, with high levels in ventral regions being driven by a constitutively active 250 promoter ¹. Similarly, transgenic *asip1* overexpression in zebrafish also results in a 251 disruption of the dorso-ventral pigment pattern⁸, again associated with hyperphagia and increased linear growth ²⁴. However, the cellular mechanisms leading to the pigment 252 253 pattern phenotype have been proposed to be different in mammals and fish ⁸. In mice, 254 Agouti expression blocks MC1R activity in the ventral skin resulting in a switch in the 255 melanin sub-type being expressed. Thus, constitutive production of ASIP (e.g. in A^y 256 genotypes) drives pheomelanin synthesis at the expense of eumelanin and so results in all 257 yellow fur ^{22,23}. Conversely, absence of ASIP at all stages of the hair cycle mimics the 258 constitutively active MC1R phenotype, resulting in full eumelanisation of the hair (in 259 place of any agouti-style banding pattern). In zebrafish, ubiquitous overexpression of 260 asip1 inhibits dorsal melanogenesis and melanophore differentiation but has no major effects on stripe melanophores ^{8,11,12}. These effects are probably mediated through Mc1r, 261 262 since this receptor binds Asip1 and agouti-related protein (Agrp) as both competitive 263 antagonists and inverse agonists ^{11,25}. Alterations in the Mc1r coding sequence cause 264 reduced pigmentation or brown phenotypes (reduced number of melanophores and 265 melanin content) in cavefish (Astianax mexicanus) whereas Mc1r-morpholino 266 knockdown in zebrafish recapitulates the *brown* pigmentation phenotype ²⁶. In our 267 previous gain-of-function study, we provided data showing that melanophore 268 differentiation was reduced in the ventralized dorsal regions of asip1 overexpressing 269 transgenic fish, suggesting that Asip1 represses melanophore differentiation, and *mitfa* 270 expression data consistent with a reduction in melanophore specification too⁸. Our *asip1* 271 loss-of-function data here provides compelling support for this hypothesis that pigment 272 cell fate choice is, in part, regulated by Asip1. Asip1 knockout lines exhibit a profound 273 increase in number of ventral melanophores, particularly in the ventral region of the head 274 but also along the ventral trunk. This dorsalisation phenomenon extends also to the 275 ventral-most stripes, with the incipient 3V-stripe of the WT becoming fully developed and the 2V-stripe thickened in *asip1^{K.O}* mutant lines. Furthermore, our use of transgenic 276 277 reporters for melanoblasts and iridoblasts strongly supports the interpretation that these 278 changes result from switching in the types of pigment cells produced in the belly; thus, 279 the phenomenon involves regulation of fate specification from multipotent progenitors, 280 rather than from enhanced or repressed differentiation of specified progenitors.

Using quantitation of expression of the xanthophore and iridophore markers, xanthine dehydrogenase (*xdh*) and leucocyte tyrosinase kinase (*ltk*) respectively 27,28 , we were unable to demonstrate clearly an effect on xanthophore and iridophore differentiation in 284 transgenic asip1 overexpressing fish ⁸. However, these Asip1 transgenic zebrafish did 285 show an extra iridophore interstripe over D1 that we initially interpreted as simply due to 286 the enhanced visibility of underlying iridophores resulting from the lack of melanized 287 cells in the dorsal region⁸. Our new loss-of-function mutants and the rescue of CRISPR 288 induced Asip1 mutations data clearly demonstrates that Asip1 also plays a key role in 289 regulating both iridophore and xanthophore differentiation in the adult skin, suggesting 290 that the extra dorsal iridophore interstripe in Asip1 transgenic fish may, in fact, result 291 from ectopic production of iridophores as well as the absence of melanophores.

292 Our new loss-of-function data provide independent support for our suggestion⁸ that Asip1 293 has no role in embryonic pigment cell development nor in larval (pre-metamorphic) 294 pigment pattern formation. However, Asip1-dependent effects on pigment pattern 295 become visible from the very earliest stages of metamorphosis (15 dpf), and then 296 progressively spread to all ventral pattern elements as they are formed during 297 metamorphic growth. We note that the timing of initiation of these effects corresponds to 298 the period when asip1 expression reaches maximum levels (at 15 dpf) and when 299 significant dorso-ventral differences in *asip1* expression appear (30 dpf; ⁸). Thus, *asip1* 300 has a role exclusively in metamorphic and post-metamorphic pigment pattern formation. 301 Early experimental data in amphibian and fish species identified a diffusible melanization 302 inhibition factor (MIF), mainly produced by cells in the ventral skin, that inhibits 303 melanoblast differentiation, but also stimulates or supports iridophore proliferation in the 304 ventrum^{29–31}. Our demonstration that absence of Asip1 results in a severe impairment of 305 ventral iridophore development strongly supports the identification of Asip1 as the 306 elusive MIF.

307 Zebrafish iridophores contribute to silver- or white-colored regions. They are classified 308 into two different types according to the size and number of guanine platelets. Type S 309 iridophores contain smaller uniform-sized platelets, but in larger numbers, than type L 310 iridophores. The abdominal wall is covered by a dense internal sheet of type S iridophore ^{5,6}. By analyzing $Tg(TDL358:GFP)/asip1^{KO}$ mutant zebrafish lines, we show that Asip1 311 312 loss-of-function strongly disrupts this abdominal wall iridophore sheet in the ventral 313 trunk. Our previous studies showed *asip1* expression in the iridophores of the zebrafish abdominal wall by *in situ* hybridization⁸ and promoter-directed reporter expression¹³; 314 315 our new data suggests that asip1 is necessary for the normal development of this 316 abdominal iridophore sheet.

317 It will be important to determine where, and on what cell-type, Asip1 acts to regulate 318 numbers of each pigment cell-type. Melanocyte stem cells identified in the dorsal root 319 ganglia (DRG) have been shown to generate all three pigment cell-types in the post-320 metamorphic skin of zebrafish, supporting the idea of a common pigment progenitor 3^2 . 321 These multipotent progenitors have been proposed under a progressive fate restriction 322 model to subsequently segregate bipotent progenitors (melanophore-iridophore, 323 melanophore-xanthophores and xanthophore-iridophore) from which individual pigment 324 cell fates become specified ³². We propose that Asip1 levels in the skin may control the 325 fate specification of these progenitors when they arrive at the skin. Thus, high ventral 326 levels of Asip1 repress melanophore and xanthophore specification and promote 327 iridophore specification from these progenitors. In contrast, those progenitors choosing 328 the dorsal migratory route from DRG enter a low Asip1 environment and more frequently become melanophores and xanthophores (Fig. 8). 329

We have shown a dramatic increase in the number of ventral xanthophores in *asip1^{K.O}* mutants. Our original studies identifying Asip1 in fish suggested an effect on xanthophore physiology ¹¹. Thus, xanthic goldfish, lacking melanophores, also exhibit a dorso-ventral pigment pattern with no xanthophores in the ventral region where *asip1* expression levels are maximal ¹¹. Our knockout mutant and the rescue of the CRISPR mediated Asip1 mutations studies reinforces the hypothesis that high Asip1 in ventral skin represses xanthophore development.

337 Dorsalisation of pigment pattern is most striking in the ventral scales in $asip1^{KO}$ 338 compared with WT siblings. Scales on the belly of WT fish lack all chromatophores but 339 surprisingly belly scales in *asip1^{K.O}* exhibit all three types of chromatophores. Although, 340 it has been shown that the effect of Asip1 over iridophores seems to be different in scales 341 and in the skin ^{29,30,31}, our data together demonstrate that Asip1 is strongly inhibitory to 342 chromatophore differentiation in the scales. Accordingly, it has been demonstrated that goldfish Asip1 conditioned medium represses medaka scale pigmentation ¹¹. Scale 343 344 pigmentation has been less-well studied in zebrafish, but it is thought that multipotent pigment cell progenitors that populate the skin also populate the scales ³². Further work 345 346 will be necessary to understand the different responses to Asip1 of these progenitors in 347 scales versus the skin, but we suggest that these reflect an evolutionarily ancestral dorsal 348 countershading mechanism that functions in association with scales, and an evolutionarily 349 derived secondary striping mechanism in deeper layers of the skin.

350 In conclusion, our loss-of-function experiments support and extend the results from our 351 overexpression analysis showing that the graded expression of asip1 along the dorso-352 ventral axis is crucial to establish the dorso-ventral pigment pattern in ray-finned fish. 353 Asip1 has a dramatic effect on the ancestral dorso-ventral pigment patterning process, but 354 also a more subtle control of the striping mechanism. We propose that the Asip1 gradient 355 is an environmental cue that uses the melanocortin-signaling system to bias the adoption 356 of pigment cell fates from progenitors that migrate into the skin (Fig. 8). Interestingly, 357 these biases are subtly different in the scales (where Asip1 represses all pigment cell 358 specification) and the striped skin (where melanophores and xanthophores are repressed, 359 while iridophores are promoted). Our work thus provides an important contribution to 360 understanding how Asip-induced differential effects of cell environment controls pigment 361 cell fate choice from progenitors.

362

363 METHODS

364 Fish

365 Zebrafish were reared as previously described ³³ and staged according to Kimmel et al. 366 ³⁴. Fish of the following genotypes were used: TU strain (Tübingen, Nüsslein-Volhard Lab), Tg(TDL358:GFP)²¹ and Tg(kita:GalTA4:UAS:mCherry)²⁰. Fish care and 367 368 procedures in the Kelsh lab were approved by the University of Bath Ethical Review 369 Committee, and were performed in compliance with the Animals Scientific Procedures 370 Act 1986 of the UK. In the Rotllant lab, ethical approval (Ref.: CSIC/OH-150/2014) for 371 all studies was obtained from the Institutional Animal Care and Use Committee of the 372 IIM-CSIC Institute in accordance with the National Advisory Committee for Laboratory 373 Animal Research Guidelines licensed by the Spanish Authority (RD53/2013). All studies 374 conformed to European animal directive (2010/63/UE) for the protection of experimental 375 animals.

376

377 Generation and analysis of *asip1* knockout mutants

Initial study of *asip1 (sa13992)*, a randomly induced point mutation predicted to affect
splicing, failed to reveal a clear pigment pattern defect (Supp. Fig. 1 and 2). The *asip1sa13992* allele was generated by random mutagenesis during a large-scale mutagenesis
project at the Sanger Institute ³⁵, and obtained from the European Zebrafish Resource
Center.

383 Due to uncertainties about the likely effect of compensatory mechanisms limiting the impact of the predicted change in splicing in asip1sa13992, we to used CRISPR/Cas9 384 385 genome editing to engineer a likely null allele. To this end, an *asip1* loss-of-function 386 mutation was generated using a CRISPR-Cas9 protocol originally adapted from Bassett 387 et al.¹⁴ and kindly provided by Dr. Sam Peterson (University of Oregon). The potential 388 target sequence was identified with the ChopChop web tool ³⁶. Two long oligonucleotides 389 oligo: 5`-(Scaffold

- 390 GATCCGCACCGACTCGGTGCCACTTTTTCAAGTTGATAACGGACTAGCCTTA
- 391 TTTTAACTTGCTATTTCTAGCTCTAAAAC-3`, and gene-specific 5'oligo

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AATTAATACGACTCACTATAGCACACACACACATGCCAATGGGTTTTAGAGCT 393 AGAAATAGC-3') were used to perform a DNA-free PCR to obtain a 125 bp DNA 394 fragment that includes the previously identified target site sequence (5'-395 GCACACACACATGCCAATGG-3'). The PCR reaction was performed in 20 µL 396 containing 10 µL of 2x Phusion High-Fidelity PCR Master Mix Buffer (New England 397 Biolabs, UK), 1 μ L of gene specific oligo (10 μ M), 1 μ L of gRNA scaffold oligo (10 μ M) 398 and H₂O nuclease free to 20 µL. PCR conditions were 98°C for 30 sec, 40 cycles of 98°C 399 for 10 sec, 60°C for 10 sec, 72°C for 15 sec, and a final step of 72°C for 10 min. The PCR 400 product was purified using DNA Clean&Concentration-5 Kit (Zymo Research, USA) 401 according to the manufacturer's instructions. Purified PCR product was used as template 402 for in vitro transcription with MEGAscript T7 High yield transcription Kit (Ambion, 403 USA) according to the manufacturer's instructions. The gRNA was purified with RNA 404 Clean&Concentrator 5 (Zymo Research, USA) before to use it. Subsequently, the gRNA 405 was injected in a concentration of 25 ng/µL together with Cas9 mRNA (transcribed from 406 the linearized pT3TS-nCas9n plasmid) in a concentration of 50 ng/µL and Phenol red 407 solution (0,1%). Around 2 nL of this mix was microinjected into the cytoplasm of 408 zebrafish eggs at the one- or two-cell stage. Dissection microscope (MZ8, Leica) equipped with a MPPI-2 pressure injector (ASI systems) was used for microinjection. 409 410 Different mutations were found and three different potential nonfunctional mutations 411 were raised as different *asip1* knockout lines. The phenotype in each knockout stable line 412 was similar. For microscope imaging, zebrafish of 5dpf, 15dpf, 30dpf and 180dpf were 413 anesthetized with tricaine methasulfonate (MS-222, Sigma-Aldrich) and scales were 414 isolated from the belly and immersed in PBS on a glass slide. Scales and fish were 415 photographed with a Leica M165FC stereomicroscope equipped with a Leica DFC310FX 416 camera.

417 Double reporter transgenic/asip1 mutant lines were obtained by setting up crosses 418 between the *asip1* mutant line and a reporter transgenic line Tg(TDL358:GFP), which 419 labels iridophores ²¹, or a reporter transgenic line Tg(kita:GalTA4:UAS:mCherry), which labels melanophores ²⁰. The offspring of these crosses were incrossed to obtain 420 421 homozygous asip1 knockout mutants. Imaging was carried out on a Leica TCS SP5 422 confocal microscope. 5dpf, 15dpf and 30 dpf transgenic zebrafish were anesthetized and 423 photographed. Adult zebrafish (180dpf) were anesthetized with MS-222 and decapitated 424 to sample a ventral skin section including the abdominal wall and ventral and dorsal 425 scales. Skin section and scales were placed in PBS and photographed.

426

427 Melanophore and xanthophore counts

The melanophore pattern of *asip1* knockout mutant fish $(asip1^{K.O.})$ was compared with 428 that of the control fish by quantification of melanized melanophores in both groups (Fig. 429 430 2). The selected regions for melanophore counts were different at each stage of 431 development. At the early larval stage (5dpf), we counted melanophores in a dorsal view 432 in a 1mm² dorsal area (from the edge of the head to edge of the dorsal fin), in the 433 horizontal myoseptum (lateral stripe) and in a ventral view of the entire head. At the early 434 metamorphic (15dpf) and also the mid metamorphic stages (30 dpf), we counted 435 melanophores in a dorsal view on the head in a 1 mm² dorsal area, in the horizontal 436 myoseptum and in a ventral view of the head and the belly. In adult fish (60 and 210 dpf) 437 melanophores within a 1 mm² area were counted in several positions: in a dorsal view on 438 the head (head area) and on the dorsal area (from the edge of the head to edge of the dorsal 439 fin); in a lateral view, on the stripes 2D, 1D, 1V and 2V anterior areas (pectoral to pelvic 440 fin); and finally, in a ventral view of the head and the belly (pectoral to pelvic fin). The 441 dorsal-ventral xanthophore pattern of *asip1* knockout mutant fish was compared with 442 control fish by quantification of pigmented xanthophores in post-metamorphic fish (60 443 and 210 dpf) (Fig.4). Selected regions for xanthophore counting were in the dorsal 444 anterior trunk (from the rear edge of the head to front edge of the dorsal fin), and in a 445 ventral view of the belly (from base of pectoral to base of pelvic fin). To analyze the 446 number of melanophores and xanthophores, seven fish per group were anesthetized as 447 before and immersed in 10 mg/ml epinephrine (Sigma) solution for 30 min to contract 448 melanosomes. Fish were photographed on a Leica M165FC stereomicroscope equipped with a Leica DFC310FX camera. Melanophores were counted using ADOBE 449 450 PHOTOSHOP CS2 software (Adobe Systems Software Adobe Systems Ibérica SL,

- 451 Barcelona, Spain) and the ImageJ software (National Institutes of Health, NIH, Maryland,
- 452 USA). Data were statistically evaluated by Student's *t*-test and data are expressed as mean
- 453 \pm standard error of the mean (SEM). n=7 samples for each count presented. A p-value
- 454 <0.05 (asterisks) was considered statistically significant.
- 455

456 **Rescue of CRISPR mediated mutations**

457 Knockout/Transgenic line were obtained by setting up crosses between the CRISPR1-458 asip1.iim08 mutant line and the transgenic reporter line Tg(Xla.Eef1a1:Cau.Asip1)iim05 459 ⁸, which ectopically overexpresses *asip1* and produces a dorsal-ventral disruption of 460 pigment pattern phenotype with dorsal skin as pale colored as ventral skin. The offspring 461 were then incrossed to obtain the F2 generation and the asip1 locus was sequenced to confirm the homozygous knockout mutation (asip1^{K.O.}) that carries the dominant asip1 462 463 transgene. Adult double transgenic/mutant zebrafish (160dpf) were anesthetized with 464 MS-222 and photographed. Microscope imaging was carried out on a Leica S6D 465 stereomicroscope equipped with a Leica DFC310FX camera.

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586 COMPETING INTERESTS

- 587 None of the contributing authors has any competing interests.
- 588

589 AUTHORS' CONTRIBUTIONS

LC performed experiments, analyzed data and wrote the paper. PSB, PC and JO performed experiments and analyzed data. IB provided guidance to LC, analyzed data and wrote the paper. RK provided guidance to JO, analyzed data and wrote the paper. JMCR participated in the discussion of results. JR designed the study, provided guidance to LC, PSB, and PC, performed experiments, analyzed data and wrote the paper. All authors read, contributed feedback to, and approved the final manuscript.

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599 FIGURE LEGENDS

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601 Figure 1. CRISPR/Cas9-induced mutations at the zebrafish asip1 locus. (A) Scheme 602 of the *asip1* gene showing the target site mutation (black arrowhead). Coding exons are 603 represented as white boxes and 5' UTR and 3'UTR are shown as black boxes. (B) 604 Sequence of induced deletions in *asip1* locus. The first line shows the wild-type sequence. 605 Black arrowhead labels the protospacer-adjacent motif (PAM). Next lines show different 606 induced mutations. Italic lower case letters represent inserted new sequence. The number 607 of deleted (-) and inserted (+) bases are marked on the right side of each sequence. 608 Selected mutations are labeled by white arrowheads. (C) Predicted amino acid sequence 609 encoded for *asip1* loci. The first line shows the wild type protein, and following lines 610 show the potential protein sequence of each selected mutation. Grey boxes show the wild 611 type sequence. Asterisk represents the stop codon.

Figure 2. Adult dorso-ventral countershading pattern is disrupted in *asip1^{K.O.}*. Lateral (A, B), anterior-lateral (C, D), ventral head (E, F) and ventral belly (G, H) views

of 180 dpf WT and *asip1^{K.O.}* zebrafish. (A, B) The pigment pattern of WT zebrafish is a 614 615 striped pigment pattern with dark stripes and light interstripes. Each dark stripe is named 616 with a code: two primary stripes are called 1D and 1V, and the two secondary stripes are 617 named 2D and 2V. The *asip1^{K.O.}* display an extra 3V dark stripe. The *asip1^{K.O.}* phenotype is characterized by a darker belly than WT. (C, D) The striped pigment pattern was almost 618 619 unaltered in *asip1^{K.O.}* fish, except that the 2V stripe is wider than in WT, and the ventral 620 dark stripe 3V is better developed anteriorly. The darker belly of *asip1^{K.O.}* compared to WT sibling fish is clearly evident. (E, F) In WT, melanophores are infrequent around the 621 622 jaws and branchiostegals; however, branchiostegal, jaw and operculum regions are 623 clearly hyperpigmented in asip1^{K.O.}. (G, H) Melanophores are virtually absent in WT 624 belly; thus, WT ventral region shows bright white color as a result of high number of iridophores in the abdominal wall. However, asip1K.O. shows a consistent 625 626 hyperpigmentation, with many melanophores and xanthophores in the ventral skin; the 627 abdominal wall also seems to be affected, with reduced extent of iridophores and looking 628 much vellower than WT. Scale bar: (A,B) 5 mm, (C,D, E, F, G, H) 2 mm. Abbreviation: 629 br, branchiostegal.

630 Figure 3. Dorsal-ventral distribution of melanophores during metamorphosis. (A) Distribution and number of melanophores in 15dpf WT and asip1^{K.O.} fish. At this stage, 631 632 $asip 1^{K.O.}$ already shows significantly higher number of melanophores in the ventral view of the head. (B) Distribution and number of melanophores in WT and asip1^{K.O.} 30 dpf 633 634 fish. At this stage, $asip1^{K.O.}$ shows significantly higher number of melanophores in the ventral view of the head, but also in the belly. Data are the mean \pm SEM, n=7. Asterisks 635 indicate significant differences between WT and asip1^{K.O.} fish. Scale bar: (A) 200 µm, 636 637 (B) 500 µm.

638 Figure 4. Quantitation of dorsal-ventral distribution of melanophores and xanthophores in adult WT and *asip1^{K.O.}* fish. (A) Lateral view of zebrafish showing the 639 640 body regions selected for melanophore and xanthophore count. (B) Ventral view of the WT and asip1^{K.O.} 210 dpf zebrafish fish belly. (C) Distribution and number of 641 melanophores in WT and asip1^{K.O.} 60dpf fish. At this stage, asip1^{K.O.} shows a 642 643 significantly higher number of melanophores in the black stripe 2V, ventral head and 644 belly. (D) Number of xanthophores in the dorsal and ventral skin of WT and $asip 1^{K.O.}$ 60dpf fish. At this stage, *asip1^{K.O.}* shows a significantly higher number of xanthophores 645

646 in the belly region. (E) Distribution and number of melanophores in WT and $asip 1^{K.O.}$

- 647 210 dpf fish. At this stage, $asip1^{K.O.}$ shows significantly higher number of melanophores
- 648 also in black stripe 2V, 3V, ventral head and belly. (F) Number of xanthophores in dorsal
- and ventral skin of WT and $asip1^{K.O.}$ 210 dpf fish. These fish showed highly significant
- 650 higher number of xanthophores in belly region than WT. Data are the mean \pm SEM, n=7.
- 651 Asterisks indicate significant differences between WT and $asip1^{K.O.}$ fish. Scale bar
- 652 (A,C,E) 1mm, (B) 100 μm.

653 Figure 5. Detailed visualization of ventral pigment cells in WT and *asip1* mutants.

- 654 (A) Ventral view of 210 dpf WT belly. (B) Belly of 210 dpf WT fish carrying 655 *Tg(Kita:GalTA4;UAS:mCherry)* (labels melanophores) transgene shows no 656 melanophores in ventral skin. (C) Ventral view of 210 dpf asip1^{K.O.} belly. (D) Belly of 657 210 dpf $asip1^{K.O.}$ fish carrying Tg(Kita:GalTA4;UAS:mCherry) transgene shows high 658 number of melanophores in ventral skin. (E) Internal view of 210 dpf WT abdominal wall 659 shows a white sheet of iridophores with few internal melanophores (black arrow). (F) 660 Abdominal wall of 210 dpf WT fish carrying Tg(TDL358:GFP) (labels iridophores and 661 glia) transgene displays a uniform and continuous sheet of iridophores. (G) Internal view 662 of 210 dpf asip1^{K.O.} abdominal wall shows a disrupted and discontinuous sheet of iridophores with high number of melanophores (black arrow) and some xanthophores 663 664 (orange arrow). (H) Abdominal wall of 210 dpf *asip1^{K.O.}* fish carrying *Tg*(*TDL358:GFP*) 665 transgene exhibits a broken sheet of iridophores. Scale bars: 100 µm.
- Figure 6. Adult *asip1^{K.O.}* ventral scales displayed a dorsalized color pattern. (A) 210
 dpf *asip1^{K.O.}* ventral scales exhibit a pattern of melanophores (black arrowheads),
 xanthophores (yellow arrowheads) and also iridophores (white arrowheads). (B) 210 dpf
 WT ventral scale does not exhibit any chromatophores. (C,D) 210 dpf WT and *asip1^{K.O.}*dorsal scales exhibit a similar pattern of melanophores, xanthophores and iridophores.
 Scale bars: 100 µm.
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673 **Figure 7. Functional rescue of CRISPR-mediated** *asip1* **mutation.** Lateral (A, D,G,J),

- dorsal (B,E,H,K) and ventral-belly (C,F,I,L) views of 160 dpf WT, asip1-Tg, asip1^{K.O.},
- and *asip1^{K.O}*; *asip1*-Tg zebrafish. The pigment pattern of WT zebrafish shows (A) normal
- 676 striped pattern, (B) dark dorsum and (C) light belly. The pigment pattern of *asip1*-Tg fish
- shows (D) almost normal striped pattern, although dark stripe 2D??? is rather thinner???,

- 678 (E) hypopigmented dorsum and (F) light belly. The pigment pattern of $asip1^{KO}$ fish shows
- (F) almost normal striped pattern, but with dark stripes 2V and 3V more developed than
- 680 WT fish, (H) pigmented dorsum similar to WT and (I) hyperpigmented belly compared
- to WT. The *asip1 ^{K.O}*+*asip1*-Tg phenotype shows a phenotype similar to the *asip1*-Tg
- cebrafish, except that dark stripe 2D is more prominent. Scale bar: 5mm.
- 683
- Figure 8. Schematic section of metamorphic zebrafish showing the effect of graded
 ASIP1 levels on chromatophore specification from multipotent progenitors. Progenitors
 are delivered to the skin from multipotent stem cells in the DRG via segmental nerves
 (Singh et al., 2016).
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Dorsal



Ventral



Dorsal root ganglia

Nerve tracts

Chromatophore stem cells

Melanophore

Xanthophore

Iridophore

ASIP1 expression

Countershading in zebrafish results from an Asip1 controlled dorsoventral gradient of pigment cell differentiation

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SUPPLEMENTARY MATERIAL



Supplementary Figure 1. *asip1(sa13992)* **mutation** (A) Scheme of the *asip1* gene showing the position of the point mutation (black arrowhead) as in Figure 1A. Wellcome Sanger institute suggests this point mutation to be located at an 'essential splice site.' Coding exons are represented as white boxes and 5' UTR and 3'UTR are shown as black boxes. An alternative 3' extension to the first exon as suggested by UGENE is indicated in grey. The point mutation is located 2 bp downstream of this alternative exon. (B) Sequence of point mutation in the *asip1* locus. The first line shows the wild-type sequence

with the position of the point mutation marked with a black arrowhead. Next line shows the sequence of mutant *asip1(sa13992)*. (C) Genomic sequence obtained for wild-type fish. (D) Genomic sequence obtained for homozygous *asip1(sa13992)* fish.



Supplementary Figure 2. Adult dorso-ventral countershading in *asip1(sa13992)* **mutant.** Lateral (A, B), anterior-lateral (C, D), views of 180 dpf *asip1(sa13992)* mutant (A, C) and WT(B, D) zebrafish. (A, B) The pigment pattern of WT zebrafish is a striped pigment pattern with dark stripes and light interstripes. Each dark stripe is named with a code: two primary stripes are called 1D and 1V, and the two secondary stripes are named 2D and 2V. The *asip1(sa13992)* mutants display a more pronounced 3V dark stripe. Scale bar: (A,B) 5 mm, (CD) 10 mm.