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Can helmet design reduce the risk of concussion in football?

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Abstract

Of all sports, football accounts for the highest incidence of concussion in the US due to the large number of athletes participating and the nature of the sport. While there is general agreement that concussion incidence can be reduced through rule changes and teaching proper tackling technique, there remains debate as to whether helmet design may also reduce the incidence of concussion. A retrospective analysis was performed of head impact data collected from 1833 collegiate football players who were instrumented with helmet-mounted accelerometer arrays for games and practices. Data were collected between 2005 and 2010 from 8 collegiate football teams: Virginia Tech, University of North Carolina, University of Oklahoma, Dartmouth College, Brown University, University of Minnesota, Indiana University, and University of Illinois. Concussion rates were compared between players wearing Riddell VSR4 and Riddell Revolution helmets while controlling for the head impact exposure of each player. A total of 1,281,444 head impacts were recorded, from which 64 concussions were diagnosed. The relative risk of sustaining a concussion in a Revolution helmet compared with a VSR4 helmet was 46.1% (95% CI 28.1%-75.8%). When controlling for each player's exposure to head impact, a significant difference was found between concussion rates for players in VSR4 and Revolution helmets ($\chi^2 = 4.68$, p = 0.0305). This study illustrates that differences in the ability to reduce concussion risk exist between helmet models in football. Although helmet design may never prevent all concussions from occurring in football, evidence illustrates that it can reduce the incidence of this injury.

Keywords: traumatic brain injury, sports, concussion incidence, football, exposure, prevention

Sports-related concussions were once believed to only result in transient symptoms and neurocognitive impairment. However, recent research has suggested potential links between repetitive concussions and neurodegenerative processes in some athletes.^{6,12,13} This work has led to increased awareness and media attention on the possible longterm effects of sports-related concussions. Of all sports, football accounts for the highest incidence of concussion in the US due to the large number of athletes participating and the nature of the sport. While there is general agreement among experts that concussion incidence can be reduced through rule changes and teaching proper tackling technique, there remains debate as to whether helmet design may also reduce the incidence of concussion.^{4,11} This question is examined retrospectively by analyzing head impact exposure data collected from a population of collegiate football players equipped with 2 different helmet models.

Methods

Study Population and Data Collection

Between 2005 and 2010, a total of 1833 football players were instrumented with helmet-mounted accelerometer arrays (HIT System, Simbex).¹ Data were collected from 8 collegiate football teams: Virginia Tech, University of North Carolina, University of Oklahoma, Dartmouth College, Brown University, University of Minnesota, Indiana University, and University of Illinois. Data collection protocols were approved by each school's institutional review board. Head impact exposure data (severity, frequency, and impact location) were collected for all games and practices that the players participated in, and were paired with clinical data provided by team physicians (diagnosis of concussion). ^{2,3} Players were equipped with either a Riddell VSR4 or Riddell Revolution helmet (Fig. 1). Throughout the duration of data collection, a concussion was generally defined as an alteration in mental status resulting from a blow to the head reported by the player or observed by a team's medical staff. All concussions were diagnosed by a certified athletic trainer or team physician at each institution. Given that this is a retrospective analysis of existing data, diagnosis of concussion could not be biased toward a specific helmet type.

Statistical Analysis

Concussion incidence rates with 95% CIs were computed for each helmet model by normalizing the number of recorded impacts resulting in diagnosed concussion by the total number of recorded impacts. The relative risk of sustaining a concussion in a Revolution helmet compared with a VSR4 helmet and the associated 95% CIs were computed. The 99th percentile acceleration magnitudes resulting from impact were compared between helmet types by player position. A bootstrap technique was used to compute 95% CIs. In addition, the proportions



Riddell Revolution



Fig. 1. Exterior, interior, and cross-section of the Riddell VSR4 *(upper)* and Riddell Revolution *(lower)* helmets. The Revolution helmet has a greater offset and 40% thicker foam than the VSR4 helmet.

of concussed players by helmet model were computed with 95% CIs. The distributions of the number of impacts per player by helmet model were compared using a Wilcoxon rank-sum test. Furthermore, a Cochran-Mantel-Haenszel analysis was performed to examine the relationship between helmet model and outcome on a per player basis, while controlling for each player's head impact exposure, using an a priori significance threshold of p < 0.05.

Results

A total of 1,281,444 head impacts were recorded, from which 64 concussions were diagnosed. There was a total of 322,725 head impacts to players wearing Riddell VSR4 helmets, including 27 concussions. Players in VSR4 helmets sustained 8.37 concussions (95% CI 5.70–12.2) per

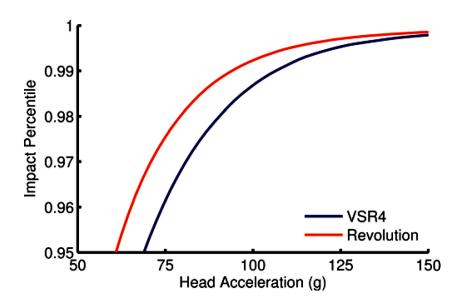


Fig. 2. Comparison of the top 5th percentile of head acceleration magnitudes experienced by players in Riddell VSR4 and Revolution helmets. Players in VSR4 helmets experienced high-magnitude impacts more frequently than players in Revolution helmets.

100,000 head impacts. There was a total of 958,719 impacts to players wearing Riddell Revolution helmets, including 37 concussions. Players in Revolution helmets sustained 3.86 concussions (95% CI 2.78–5.34) per 100,000 head impacts. The relative risk of sustaining a concussion in a Revolution helmet compared with a VSR4 helmet was 46.1% (95% CI 28.1%–75.8%). Overall, players in VSR4 helmets experienced high-magnitude impacts more frequently than players in Revolution helmets (Fig. 2). For each player position, the 99th percentile impact was greater for the VSR4 helmet than the Revolution helmet (Table 1).

Among all players, 3.34% (95% CI 2.60%-4.26%) sustained concussions. A lower percentage of players in Revolution helmets sustained concussions than players in VSR4 helmets, despite players in Revolution helmets experiencing significantly more impacts per season than players in VSR4 helmets (Z = -4.95, p < 0.0001). Of all players in VSR4 helmets, 4.47% (95% CI 3.04%-6.49%) sustained concussions. Of all players in Revolution helmets, 2.82% (95% CI 2.03%-3.89%) sustained concussions. When controlling for each player's exposure to head impact, a significant difference was found between concussion rates for players in VSR4 and Revolution helmets (χ^2 = 4.68, p = 0.0305).

Position [†]	VSR4 Helmets	Revolution Helmets
defensive back	101.6 (100.3-103.3)	99.1 (97.2-101.5)
defensive lineman	97.3 (95.2-99.2)	89.3 (88.5-89.8)
linebacker	99.4 (97.5-101.1)	96.2 (95.0-97.3)
offensive lineman	103.3 (101.4–105.2)	90.1 (89.5-90.7)
quarterback	122.7 (119.5-125.3)	112.5 (107.5-121.2)
running back	110.1 (107.8-112.2)	105.2 (103.4-107.0)
wide receiver	106.0 (104.3-108.4)	101.0 (98.7-103.5)

Table 1. Comparison of 99th percentile head accelerations for each helmet type by player position*

* All data given as 99th percentile head accelerations (g) and 95% CIs (in parentheses).

[†] For every player position, the VSR4 helmet group had a greater 99th percentile head acceleration value than the Revolution helmet group. This is a result of the Revolution helmet better modulating impact energy to reduce head acceleration relative to the VSR4 helmet.

Discussion

This retrospective analysis addresses the question of whether helmet design can reduce the incidence of concussion, and reports a 53.9% reduction in concussion risk associated with the Revolution helmet compared with the VSR4 helmet. This is the first study to show a significant difference in concussion risk between helmet models while utilizing a large cohort and controlling for the number of head impacts each player experienced. In 2006, Collins et al. observed more than 2000 high school players and reported that the Revolution helmet reduced the risk of concussion by 31% compared with other helmets.⁵ This study was limited because it did not account for impact exposure, and the age of non-Revolution helmets was unknown. In 2012, Rowson and Duma analyzed 9 years of head impact data collected from 308 players and reported that the Revolution helmet reduced the risk of concussion by 85% compared with the VSR4 helmet.¹⁶ That investigation addressed the previous limitations of the study of Collins et al. because helmet age was consistent, as each player had been provided with a new helmet of either model. Furthermore, the same team physician made each concussion diagnosis throughout the 9 years, and the head impact exposure of each player was controlled for.

The data presented in this study corroborate and expand upon previous reports of differences in concussion risk by helmet model using a large sample size, systematic medical care, regulated equipment, and the ability to control for the number of times each helmet was impacted. Each institution had a team physician and athletic trainers to monitor and evaluate players during games and practices. Furthermore, data were collected from institutions that take care of the helmets, and replaced helmets on a regular basis. Most importantly, exposure was quantified in terms of the number of head impacts experienced by instrumented players, which provides more valuable information related to the question of helmet design than the total number of players or athletic exposures.

It should be noted that this study is specific to diagnosed concussion rates and does not account for the suspected widespread underreporting of concussion. Previous studies have suggested that actual concussion rates are 2–10 times greater than diagnosed concussion rates.^{9,10} The diagnosed concussion rates reported in this study are consistent with those previously reported for Division I collegiate football players.7 The under-reporting rate was believed to be consistent among helmet models during the span of data collection. Furthermore, all teams included in this study were Division I collegiate football teams. While the distributions of VSR4 and Revolution helmets varied between teams, all teams played Division I competition and analyses of collected data were normalized by impact frequency. For these reasons, the analyses presented in this study are not believed to be sensitive to potential differences between teams. This experiment was not designed to be an epidemiology study, but rather this existing data set was identified by the authors as the best available data to address the question of whether helmet design can influence concussion incidence.

From a biomechanical standpoint, the difference in concussion risk between helmets is logical. A helmet modulates the energy transfer to the head during impact, which dictates the accelerations that the head will experience. These head accelerations result in transient intracranial pressure gradients and neural tissue strain responses, and are correlated with the risk of concussion.^{8,14} Not all helmets are designed equally in their ability to reduce the head accelerations resulting from impact. For matched impacts, the Revolution helmet results

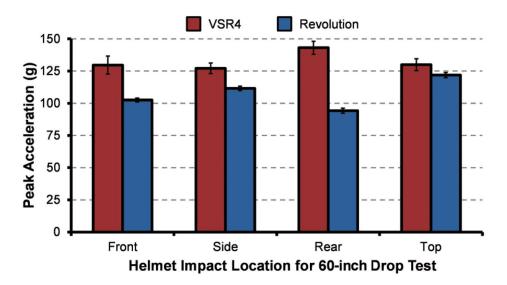


Fig. 3. Comparison of linear acceleration attenuation capability between Riddell VSR4 and Revolution helmets using previously developed laboratory testing methods.¹¹ The Revolution helmet better reduced linear head acceleration than the VSR4 helmet for each impact location from a 60-inch drop height. The Revolution helmet has also been shown to better reduce rotational accelerations compared with the VSR4 helmet.¹² These reductions in head acceleration are associated with a reduction in concussion risk.

in lower head accelerations than the VSR4 helmet (Fig. 3).^{15,17} This reduction of head acceleration in the Revolution helmet reduces the risk of concussion compared with the VSR4 helmet. This point is reinforced by the data shown in Table 1, which demonstrates that players in VSR4 helmets at every evaluated position experienced high-magnitude impacts more frequently than players in Revolution helmets, which represent the impacts that are most likely to result in concussion.

Conclusions

This exemplar comparison illustrates that differences in the ability to reduce concussion risk exist between helmet models in collegiate football. Helmet designs should be optimized to reduce head acceleration over the continuum of impacts experienced by football players. Helmet design may never prevent all concussions from occurring in football, but the evidence illustrates that it can reduce the incidence of this injury. **Disclosure** — This work was supported in part by grant nos. R01HD048638 and R01NS055020 from the NIH, grant nos. R01CE001254 and 5R49CE000196 from the Centers for Disease Control and Prevention, and grant nos. 07-04 and 14-19 from the National Operating Committee on Standards for Athletic Equipment (NOCSAE). The HIT System technology was developed in part under NIH grant no. R44HD40473. Joseph J. Crisco, Richard M. Greenwald, Jeffrey J. Chu, Jonathan G. Beckwith, and Simbex have a financial interest in the instruments (HIT System, Sideline Response System [Riddell]) that were used to collect the biomechanical data reported in this study. The remaining authors have no financial interests associated with this study.

Author contributions to the study and manuscript preparation include the following. *Conception and design:* Rowson, Duma, Greenwald. *Acquisition of data:* Rowson, Guskiewicz, Mihalik, Crisco, Wilcox, McAllister, Broglio, Schnebel, Anderson, Brolinson. *Analysis and interpretation of data:* Rowson, Duma, Greenwald, Beckwith, Maerlender. *Drafting the article:* Rowson, Duma. *Critically revising the article:* all authors. *Reviewed submitted version of manuscript:* all authors. *Approved the final version of the manuscript on behalf of all authors:* Rowson. *Statistical analysis:* Rowson. *Administrative/technical/material support:* Duma, Greenwald, Beckwith, Chu. *Study supervision:* Duma, Greenwald, Guskiewicz, Mihalik, Crisco, McAllister, Maerlender, Broglio, Schnebel, Anderson, Brolinson.

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