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# Evaluating the Vegetation Recovery in the Damage Area of Wenchuan Earthquake Using MODIS Data

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Article

## Evaluating the Vegetation Recovery in the Damage Area of Wenchuan Earthquake Using MODIS Data

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**Abstract:** The catastrophic 8.0 Richter magnitude earthquake that occurred on 12 May 2008 in Wenchuan, China caused extensive damage to vegetation due to widespread landslides and debris flows. In the past five years, the Chinese government has implemented a series of measures to restore the vegetation in the severely afflicted area. How is the vegetation recovering? It is necessary and important to evaluate the vegetation recovery effect in earthquake-stricken areas. Based on MODIS NDVI data from 2005 to 2013, the vegetation damage area was extracted by the quantified threshold detection method. The vegetation recovery rate after five years following the earthquake was evaluated with respect to counties, altitude, fault zones, earthquake intensity, soil texture and vegetation types, and assessed over time. We have proposed a new method to obtain the threshold with vegetation damage quantitatively, and have concluded that: (1) The threshold with vegetation damage was 13.47%, and 62.09% of the field points were located in the extracted damaged area; (2) The total vegetation damage area was 475,688 ha, which accounts for 14.34% of the study area and was primarily distributed in the central fault zone, the southwest mountainous areas and along rivers in the Midwest region of the study area; (3) Vegetation recovery in the

damaged area was better in the northeast regions of the study area, and in the western portion of the Wenchuan-Maoxian fracture; vegetation recovery was better with increasing altitude; there is no obvious relationship between clay content in the topsoil and vegetation recovery; (4) Meadows recovered best and the worst recovery was in mixed coniferous broad-leaved forest; (5) 81,338 ha of vegetation in the damage area is currently undergoing degradation and the main vegetation types in the degradation area are coniferous forest (31.39%) and scrub (34.17%); (6) From 2009 to 2013, 41% has been restored to the level before the earthquake, 9% has not returned but 50% will continue to recover. The Chinese government usually requires five years as a period for post-disaster reconstruction. This paper could be regarded as a guidance for Chinese government departments, whereby additional investment is encouraged for vegetation recovery.

**Keywords:** vegetation damage; vegetation recovery; Wenchuan; earthquake; MODIS

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## 1. Introduction

A disturbance is a relatively discrete event that disrupts the structure of an ecosystem, community or population [1,2]. Currently, human activities and natural hazards are the primary disturbance factors for vegetation and ecosystems. Research on vegetation and ecosystem disturbance primarily centres on human activities [3–5], such as resource exploitation, construction [6] and urban expansion [7]. In addition, natural hazards also disturb ecosystem and vegetation groups, such as climate change [8,9], fire [10], flood [11], earthquake and draught [1].

Short-term earthquake damage and subsequent impact on the local ecosystem were analysed with respects to vegetation damage and recovery. Based on various studies, there are two ways to study vegetation damage and recovery. The first method is to calculate vegetation density, species diversity, basal area, *etc.* from field data and use statistical analysis to study vegetation damage and recovery [12,13]. This method is very precise but requires a large amount of manpower and requires more resources such as money and equipment. Because this method requires a long time for collection of observed data, it is not conducive to large-scale monitoring of vegetation recovery. The second method involves the use of indices, such as vegetation recovery rate [14–16], collapse rate [15] and landscape pattern metrics [17]. Statistical methods are used to obtain the regional spatial distribution patterns of vegetation damage and vegetation recovery change over time. This approach is suitable for rapidly and efficiently extracting damaged areas and evaluating vegetation damage and recovery in a disaster area. The data are available through a wide range of disaster surveys and long-term assessments. However, there are some problems: the threshold for extraction of vegetation damage area is experimental and strongly subject to randomness [14–16]; previous scholars have studied vegetation recovery over one or two years and did not have enough images to describe the yearly change of vegetation recovery [14,16,18].

Relative variables are often used to detect vegetation change and express vegetation information in remote sensing analysis and are categorized as the Normalized Differential Vegetation Index (NDVI) [15,16,18], Fractional Vegetation Coverage (FVC) [17], vegetation cover index [14,18], Gross

Primary Productivity (GPP) [19], *etc.* There are two types of methods for extracting vegetation damage: one is to use a change detection threshold [15,16], and the other is to employ image classification [18,20]. Of these, the former is more subjective, and the latter requires repeated image classification, the reliability of which seriously affects the precision of the extraction of the damaged area. There are also two ways to validate the extraction accuracy of the damaged area: one is to use images with higher spatial resolution to validate the image with lower resolution [17], and the other is to examine the extraction using field data [21]. The vegetation recovery can be characterised by the vegetation recovery rate [14–17], landscape pattern metrics [17,21], land cover variation [21], *etc.* At present, there are two main study events to examine earthquakes as a major disturbance factor; namely, the Jou-Jou earthquake that occurred in Central Taiwan in 1999 [14,15,18,20–22] and the Wenchuan earthquake that occurred on the Chinese Mainland in 2008 [7,16,17,23]. This paper utilizes previous variables such as Vegetation Damage Rate (VDR) [17] and Vegetation Recovery Rate (VRR) [14–17] to extract vegetation damage area and evaluate vegetation recovery. However, this paper emphasizes the vegetation recovery after five years and a synthetic and comprehensive analysis (considering more influence factors on vegetation recovery) is used.

The Wenchuan earthquake, which occurred on 12 May 2008, resulted in substantial damage to the local ecological environment and human infrastructure. The severely afflicted areas include not only key national areas for ecological function [7] but also water resource conservation zones for the Chengdu Plain [7] which is an important habitat for giant pandas and other endangered species [13]. This region is called the “Green Lung” of the middle and lower reaches of the Yangtze River for its wide range of forest cover [16], and it performs extremely important ecological functions, including the conservation of soil and water and biological diversity. Therefore, evaluating the ecological damage and recovery of this severely afflicted area is of great importance. This study is an improvement over previous studies, because (1) this is the first study to evaluate vegetation recovery on space and time in severely afflicted area after five years following the Wenchuan earthquake; (2) a new method to quantitatively determine the threshold is proposed to compensate for subjectivity [14–16]; and (3) for a disaster event such as an earthquake, six aspects (*i.e.*, altitude, fault zones, earthquake intensity, soil texture and vegetation types) are normally considered in analyses of vegetation recovery. This approach is synthetic and comprehensive. We tried to include some important aspects for post-disaster assessment in this study, especially for vegetation recovery assessment after the earthquake; (4) we took full advantage of the temporal continuity of the MODIS data to characterise vegetation recovery and changing features between 2009 and 2013 [14,16,18].

## 2. Materials and Methods

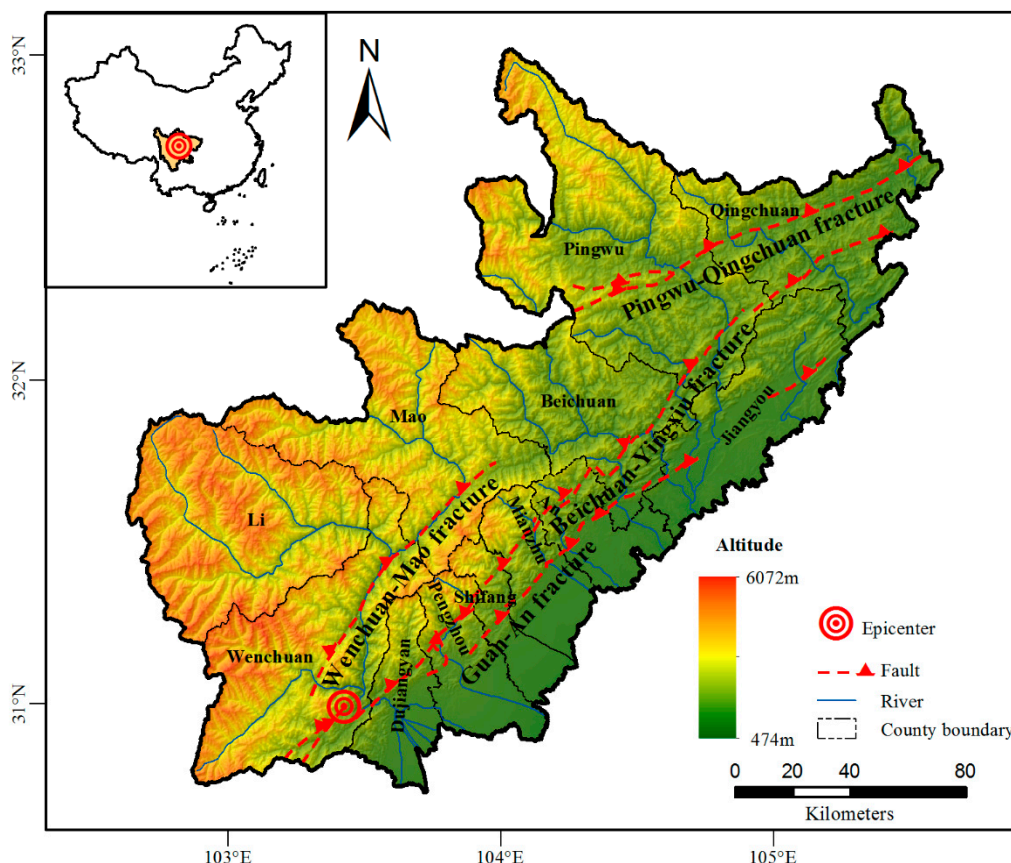
### 2.1. Study Area

The 8.0 Richter magnitude (China Earthquake Administration) Wenchuan earthquake occurred on 12 May 2008 and caused the most serious damage and had the widest impact of any earthquake in China since the establishment of the People’s Republic of China [24]. The earthquake caused an enormous number of casualties and many geological hazards, such as landslides, rock falls, debris flows, *etc.*, which substantially damaged buildings, infrastructure and the ecological environment [24]. The study

area for this paper involves 12 counties or cities seriously damaged by the earthquake, including Wenchuan, Dujiangyan, Pengzhou, Shifang, Mianzhu, Anxian, Jiangyou, Qingchuan, Pingwu, Beichuan, Maoxian and Lixian.

The intensity of the earthquake varied within an elliptical-shaped area centred on Wenchuan and Beichuan. The Wenchuan intensity-XI zone was distributed along Wenchuan-Dujiangyan-Pengzhou, and the Beichuan intensity-XI zone was distributed along Anxian-Beichuan-Pingwu. The XI, X and IX intensity zones converged in a long and narrow area, 300 km long and 50 km wide, in the northeast. Because the intensity-VI zone was small, it will not be discussed below.

The study area (Figure 1) is located in northern Sichuan Province in the transitional zone between the Qinghai-Tibet Plateau and the Sichuan Basin and covers an area of 33,147 km<sup>2</sup>. The terrain tilts from northwest to southeast. The lowest elevation is 474 m, while the highest elevation is 6072 m, a difference of 5598 m. This area has a complex terrain with deep valleys and steep mountains; therefore, landslides, debris flows and other geological hazards are likely. There are 20 rivers in this area, which provide abundant water resources. In addition, this area is the source of the Tuojiang River and the Fujiang River.



**Figure 1.** The severely damaged counties caused by Wenchuan earthquake, which is located in northern Sichuan province of China.

The Longmenshan fault zone extends across the study area from northeast to southwest, and three near-parallel fault zones extend in a northeast direction: the Wenchuan-Maoxian fracture (mountain fracture), the Yingxiu-Beichuan fracture (central fracture) and the Guan-An fracture (piedmont fracture) [25] (Figure 1). The Yingxiu-Beichuan and Guan-An fractures were triggered by the Wenchuan

earthquake at the same time. Beichuan County and Yingxiu Town, which suffered massive devastation during the earthquake, are located in along the Yingxiu-Beichuan fracture.

## 2.2. Materials

The data used in this paper include NDVI, SRTM, clay content, earthquake intensity and vegetation types.

The NDVI images used in this paper were taken by the MODIS sensor on the Terra satellite, which also provides NDVI images every 16 days at 250-m spatial resolution (MOD13Q1). These data can be used to monitor global vegetation conditions, display land cover and track changes [26].

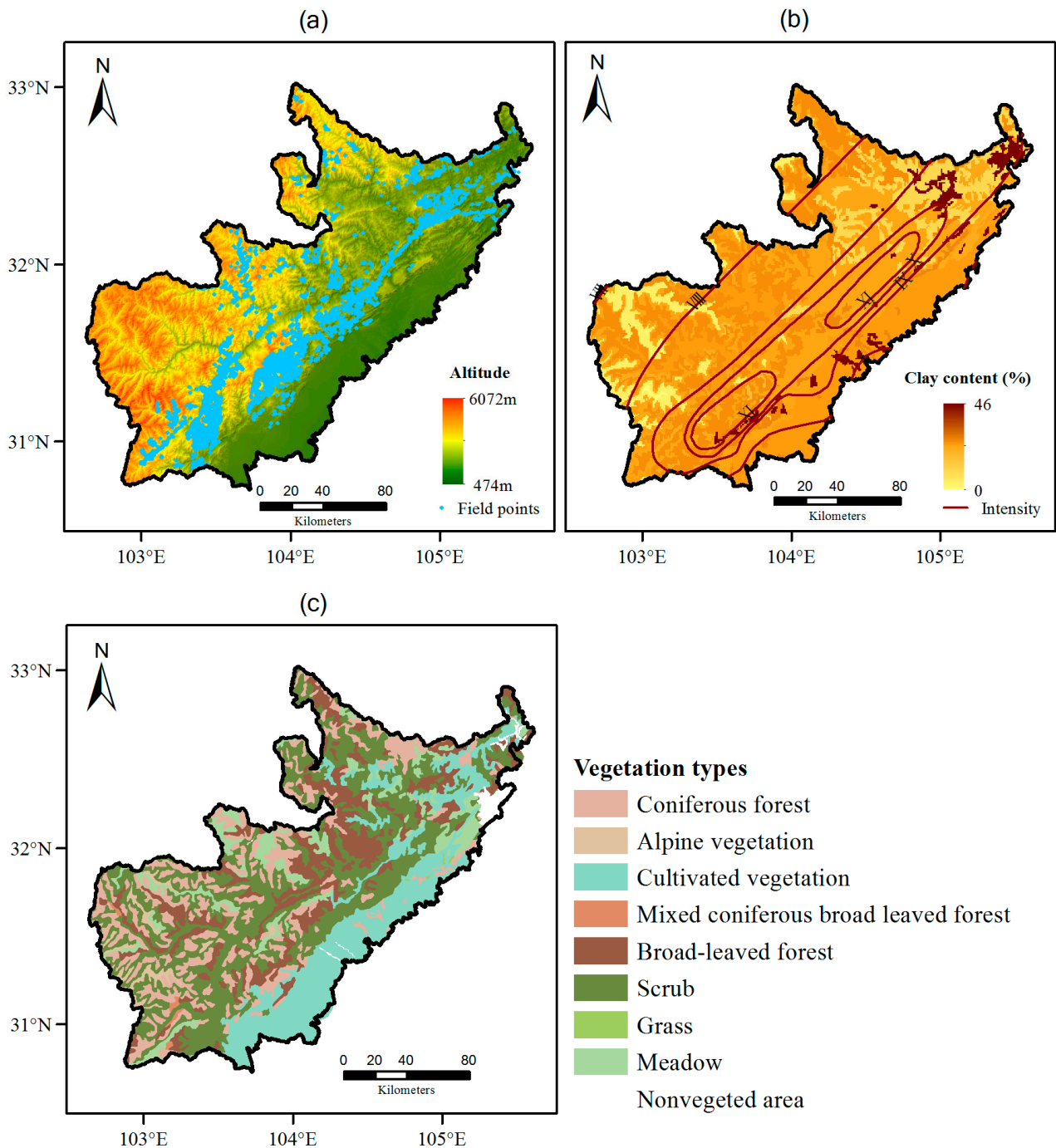
There are 12 bands for MOD13Q1, and two bands, NDVI and Pixel Reliability, were used in this study. The two NDVI and Pixel Reliability bands were extracted using MRT software, resampled from 231.7 m to 250 m and projected from SIN to an Albers Equivalent Conical Projection. NDVI was used to calculate the fractional vegetation coverage and the band called Pixel Reliability is used to evaluate data availability. The average of the images from 145 to 225 Julian days, collected between 12 May and the end of August each year from 2005 to 2013 was chosen to represent optimal vegetation growth for each year. The reasons for selecting this period of time are: (1) it concludes with the period immediately following the data of the Wenchuan earthquake (12 May) and was therefore suitable for comparing the vegetation for the years before and the years after the earthquake on 12 May 2008; (2) it was the period of the most luxuriant vegetation growth each year, thereby providing the best opportunity to observe the recovery of the vegetation. The Pixel Reliability band shows whether the pixel is useful or not (e.g., potential interference from cloud cover or snow cover). As determined by Pixel Reliability, images from 2009, 2010 and 2012 were influenced by various levels of cloud cover and required preprocessing. The images from 2011 and 2013 had very little cloud cover and did not require preprocessing. The cloud algorithm used in this study was the maximum value composites [27], and the procedure for its use was as follows: images from every two periods were compounded into one representative period, and the resulting image was obtained from the composite of the representative periods. The average cloud cover of the representative image between May and August in 2009 decreased from 19.83% to 6.75%, from 40.13% to 21.54% in 2010 and from 23.01% to 9.57% in 2012. The 2010 image was excluded due to the high level of cloud cover.

The altitude data (Figure 2a) were derived from the Shuttle Radar Topography Mission (SRTM) [28] at 90-meter spatial resolution and used to research the relationship between the vegetation recovery and altitude.

The soil data (Figure 2b) were obtained from the Harmonized World Soil Database (HWSD) of FAO, at 1 km spatial resolution. The clay content of the soil was derived by HWSD and used to evaluate the relationship between the vegetation recovery and soil texture.

The earthquake intensity data (Figure 2b) were downloaded from the website of the China Earthquake Administration [29] and used to research the relationship between the vegetation recovery and earthquake intensity.

The vegetation types (Figure 2c) were obtained from the title *Vegetation of China and Its Geographic Pattern* [30] and used to research the relationship between the vegetation recovery and vegetation types.



**Figure 2.** Map shows different data sources used in this paper. (a) Altitude (SRTM) and field points. Field points are marked by researchers just after the earthquake; (b) Clay content (FAO) and earthquake intensity (China Earthquake Administration) (c) Vegetation types.

The field survey points from all counties in the study area (4751 points in total) were used to validate the precision of the extraction. These points, shown in Figure 2a, were geological hazard points marked by researchers immediately following the earthquake and included landslides, debris flows, collapses and unstable slopes.



### 2.3. Methods

#### 2.3.1. Calculation of Fractional Vegetation Coverage

The Dimidiate Pixel Model based on NDVI was widely applied to calculate *FVC* (Fractional Vegetation Coverage) because of the need for sample calculation and the independence of the field data collected during the study [31]. The *FVC* between May and August from 2005 to 2007 was stable; therefore, the average *FVC* between May and August over three years was computed to represent the pre-quake level of vegetation coverage. The vegetation coverage level immediately following the earthquake was expressed by the average *FVC* between May and August in 2008, and the vegetation coverage level after the earthquake was the average *FVC* between May and August for every year (2009–2013). Due to high levels of cloud cover in 2010, the image for this year was not included in the analysis.

#### 2.3.2. Extraction of Vegetation Damage Areas

Vegetation damage areas caused by the earthquake were the regions where *FVC* descended rapidly. In this study, vegetation damage areas were characterized by two conditions: slowly and naturally descending *FVC* and an abrupt decrease in *FVC* resulting from a burst factor (described as an earthquake in this paper). Some authors [14,15] have used the change detection threshold method to extract landslides, but they did not explain how to determine the threshold. Landslides can be detected by image classification [18], which requires complex computations and is dependent on field samples. This paper proposes a new method to quantitatively determine the threshold more efficiently with simple calculations.

The level of vegetation coverage before the earthquake is represented by  $FVC_0$ , and the vegetation coverage level after the earthquake is represented by  $FVC_1$ . Vegetation Damage Rate (*VDR*) can be expressed by:

$$VDR = \frac{FVC_0 - FVC_1}{FVC_0} \times 100\% \quad (1)$$

$VDR > 0$  indicates a decrease in *FVC*. Districts with decreasing *FVC* are composed of natural degeneration and an abrupt decrease in *FVC* caused by the earthquake; the observed limit between those components is the breakpoint. The pixel frequency distribution is described by the image histogram, where the curvature of each point indicates a pixel change. An increased curvature indicates more severe change, therefore, the breakpoint between natural degeneration and earthquake damage is the point where the curvature is maximal in the district of decreasing vegetation. In addition, because of disturbance from the image noise, there may be a pseudo breakpoint that is usually distributed on the two sides of the histogram. It can be excluded by standard deviation as follows: the average ( $\overline{FVC}$ ), maximum ( $FVC_{\max}$ ) and standard deviation ( $\sigma$ ) will be computed within the area of  $VDR > 0$  (the area of decreasing vegetation). Most of the data will fall within  $3\sigma$  ( $3\sigma$  principle in statistics), and the maximum curvature in the histogram can be measured in the open interval of  $(\overline{FVC}, \min\{\overline{FVC} + 3\sigma, FVC_{\max}\})$ . If the area with vegetation damage is more than 50% of the area being evaluated, the low end of the interval can be expressed as  $\max\{FVC_{\min}, \overline{FVC} - 3\sigma\}$ . The *VDR* that corresponds to the maximum curvature is the required threshold  $T$ .

$$\begin{cases} T = CUR^{-1}(\max\{CUR(VDR)\}), VDR \in (\overline{FVC}, \min\{\overline{FVC} + 3\sigma, FVC_{\max}\}) \\ CUR(VDR) = \left| \frac{f(VDR + 2) + f(VDR) - 2f(VDR + 1)}{\{1 + [f(VDR + 1) - f(VDR)]^2\}^{\frac{3}{2}}} \right| \end{cases} \quad (2)$$

where  $f(VDR)$  is the histogram function and  $CUR(VDR)$  is the curvature function of the  $VDR$  histogram.

If D-set is the vegetation damage area, the damage area can be expressed as:

$$D = \{VDR | VDR > T\} \quad (3)$$

### 2.3.3. Evaluation of Vegetation Recovery in the Damage Area

Before evaluate the vegetation recovery, the data were resampled at 250 m spatial resolution using the nearest neighbour interpolation for the consistency of space resolution and statistical cells, and because the spatial resolution of the most important NDVI data is 250 m.

Vegetation recovery can be expressed as vegetation recovery rate ( $VRR$ ) as originally applied by Lin *et al.* [14] in their research on vegetation recovery following the 1999 earthquake in Central Taiwan. The vegetation recovery rate can be expressed by:

$$VRR = \frac{FVC_1 - FVC_2}{FVC_1 - FVC_0} \times 100\% \quad (4)$$

where  $FVC_0$  is the vegetation coverage index before the earthquake,  $FVC_1$  is the vegetation coverage index immediately following the earthquake, and  $FVC_2$  is the vegetation coverage index for a specified period after the earthquake. Greater  $VRR$  means better vegetation recovery. According to previous studies in the literatures [15,16,18] and for the convenience of discussion,  $VRR$  can be divided into four types, as shown in Table 1.

**Table 1.** Vegetation Recovery Rate types.

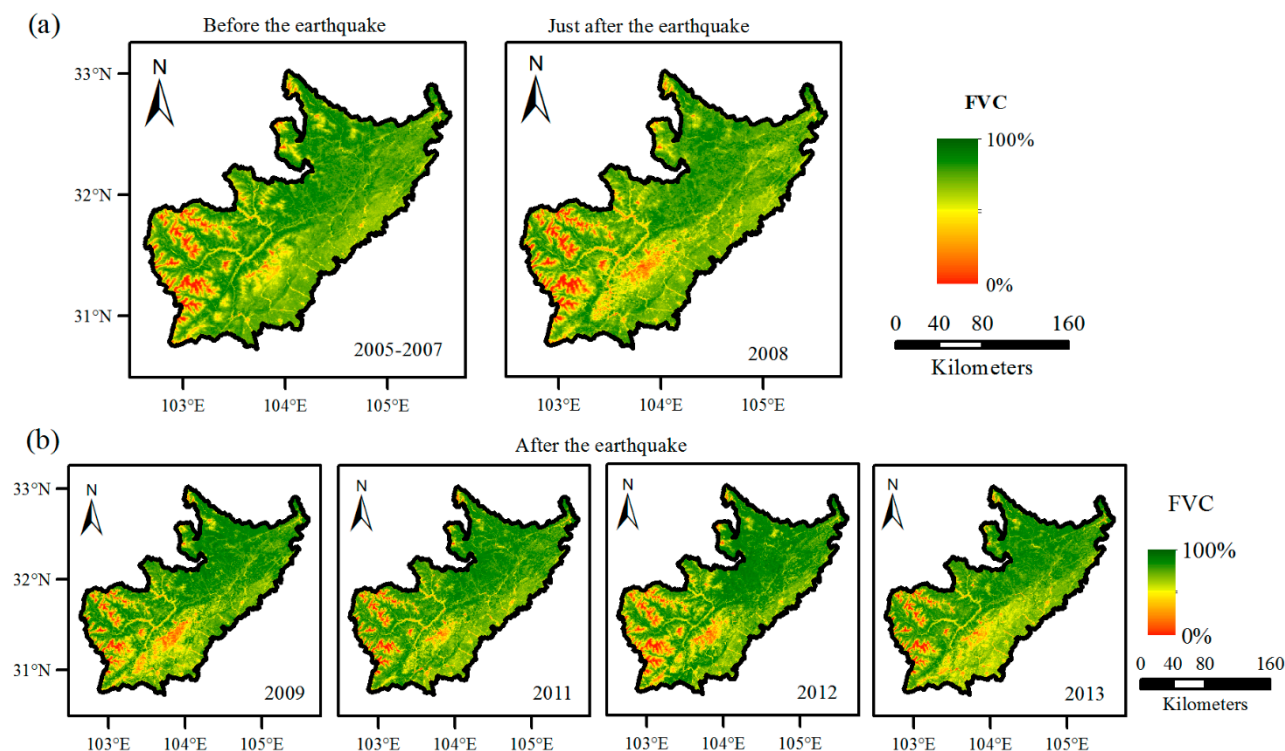
VRR	$(-\infty, 0]$	$(0, 50]$	$(50, 100]$	$(100, +\infty)$
Type	Not recovering	Recovering slightly	Recovering largely	Recovered fully

## 3. Results

### 3.1. FVC Variation in Severely Afflicted Areas

$FVC$  was determined for the periods before and after the Wenchuan earthquake and is presented in Figure 3.

In Figure 3, there is a long (its length is 250 km in length) and narrow (the minimum width is 2~4 km) zone of vegetation decreasing in a northeast direction in the severely afflicted area, especially in the central area where vegetation cover has noticeably decreased. Since 2009, vegetation cover has been gradually increasing, and the zone of decreasing vegetation caused by the earthquake is slowly disappearing ( $FVC$  in 2009 is increased by 5.33% from 2008). Evidently, the vegetation in the central portion of the severely afflicted area is also recovering.

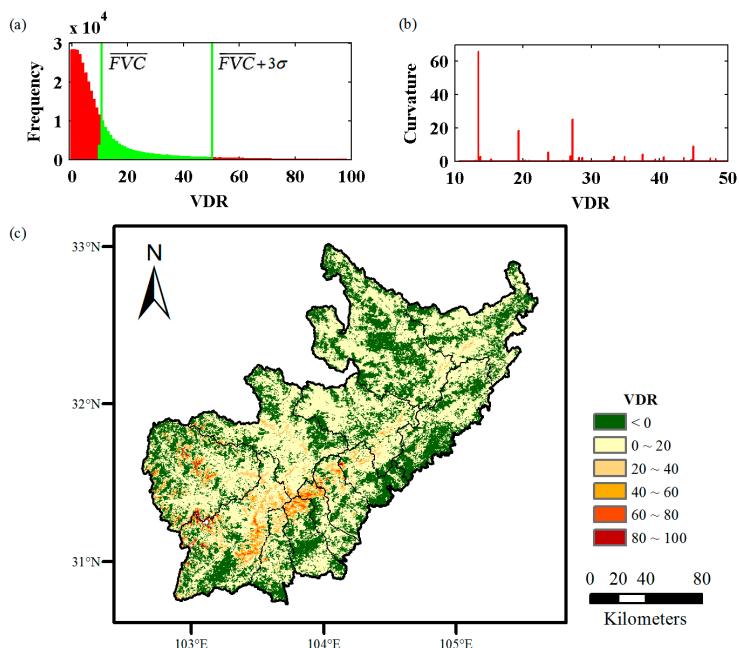


**Figure 3.** Spatial distribution of Fractional Vegetation Coverage (FVC) between May and August. Each image represents the average FVC between May and August in this year. **(a)** Average FVC before and just after the earthquake; **(b)** Average FVC after the earthquake. The FVC between May and August from 2005 to 2007 is stable, so the average FVC between May and August over three years is computed to represent the pre-quake level of vegetation coverage. The vegetation coverage level just after the earthquake is expressed by the average FVC between May and August in 2008, and the vegetation coverage level after the earthquake is the average FVC between May and August for every year (2009–2013). Due to too much cloud cover in 2010, the image for this year was not included in the analysis.

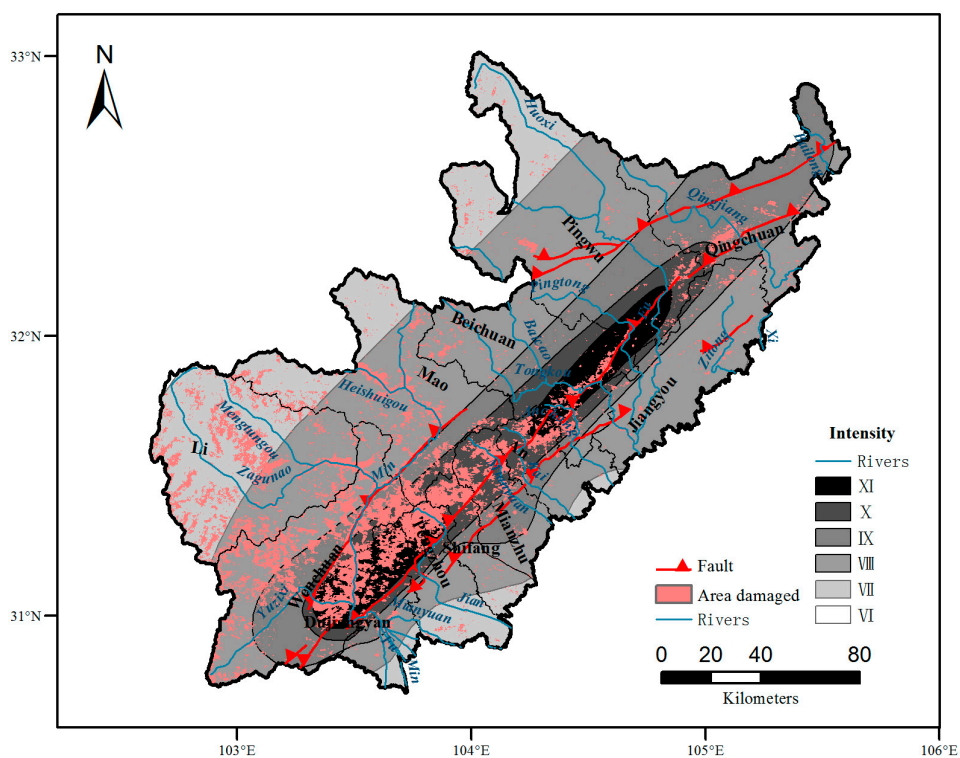
### 3.2. Extraction and Validation of the Vegetation Damage Area

Curvatures in the green section of Figure 4a are shown in Figure 4b. Most of the curvatures are small, and the maximum curvature is 66 as  $VDR$  is equal to 13.47%. Therefore, the threshold  $T$  corresponding to the maximum curvature is 13.47%. The area where  $T \geq 13.47\%$  indicates that the vegetation damage resulted from the earthquake, and the area where  $T < 13.47\%$  indicates that the vegetation damage is due to many factors, such as natural degeneration, unapparent impacts of the earthquake, etc.

The area of vegetation damage is 475,688 ha, which accounts for 14.34% of the study area. It is mainly distributed between fault zones, along the rivers (such as Minjiang, Tongkou, Heishuigou and Yuzixi) and in the middle and southwest of the study area. In terms of administrative divisions, the damaged vegetation is concentrated around the boundary of Wenchuan, Dujiangyan, Pengzhou, Shifang, Mianzhu, Anxian, Beichuan, Maoxian and Lixian counties. (Figure 5).



**Figure 4.** Vegetation Damage Rate (*VDR*) after the earthquake. (a) *VDR* histogram in the declining vegetation area where *VDR* > 0; (b) curvature histogram for the interval of ( $\overline{FVC}$ ,  $\overline{FVC} + 3\sigma$ ); (c) post-earthquake *VDR* variability in study area.

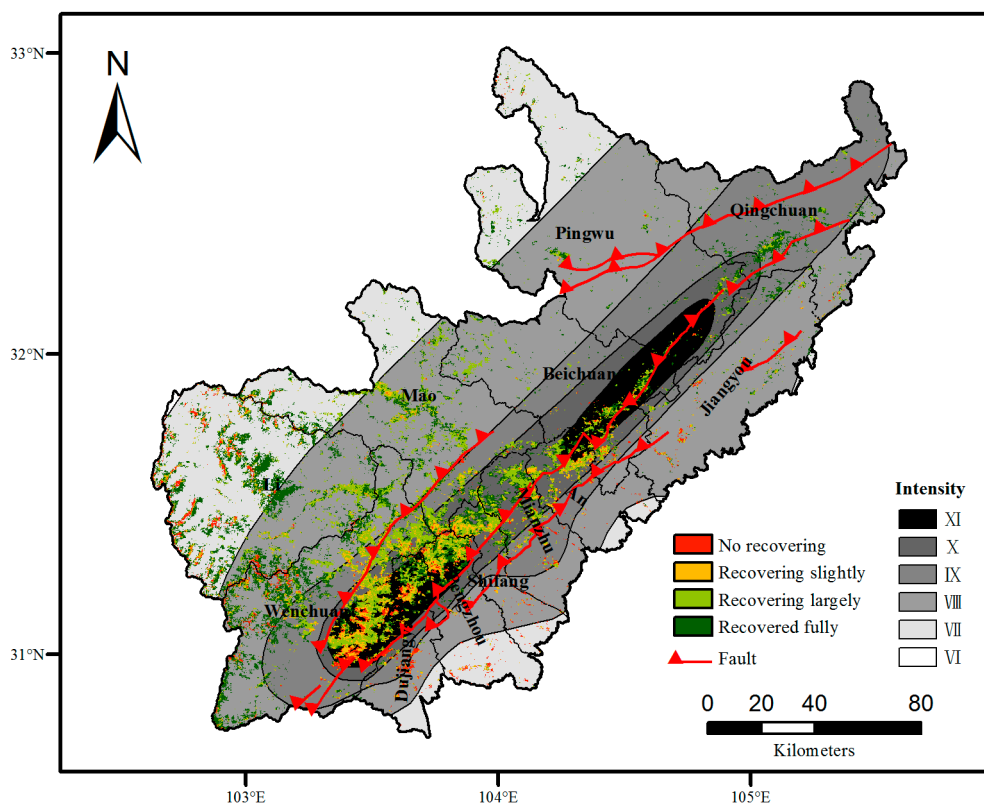


**Figure 5.** Map shows vegetation damage area.

To validate the extraction of the damaged vegetation in the study area, information for 4751 points was collected during a field survey (Figure 2a), including landslides, collapses, debris flows and other geological hazards. A total of 2950 points (62.09%) fell within the damage area extracted by method described above.

### 3.3. Vegetation Recovery in the Vegetation Damage Area

From 2009 to 2013, the vegetation in the damage area was gradually recovering. The recovery was manifested by the increase in vegetation that had fully recovered and in vegetation that had largely recovered, while the levels of vegetation with no recovery and vegetation with slight recovery decreased continually. Therefore, the average recovery rate or average recovery type is not a suitable indicator to describe the final status of vegetation recovery after five years. As a result, the final year of the study, 2013, was selected to represent the vegetation recovery status after five years (Figure 6).



**Figure 6.** Vegetation recovery in 2013.

#### 3.3.1. Differences in Vegetation Recovery by County

The vegetation recovery was highest in Qingchuan, and full vegetation recovery accounted for 72.69% of the damaged area in Qingchuan. The proportions of fully recovered vegetation in Lixian and Pingwu were also greater than 50%. However, the vegetation in Anxian County recovered poorly; only 19.09% of the damaged area had recovered. The proportion of vegetation not recovering was highest in Dujiangyan County where it accounted for 20.84%. The proportion of vegetation not recovering was lowest in Qingchuan and accounts for only 2.17%. In summary, vegetation recovery was highest in the counties of Qingchuan, Lixian, Pingwu and Jiangyou, most of which are located in the northeast of the study area, and vegetation recovery was poorest in the counties of Anxian, Dujiangyan, Shifang and Pengzhou, most of which are located in the east. A large area of vegetation recovery (59.21%) was identified in Lixian County, which is located in the southwest of the study area, but the county also included sites where vegetation had not recovered (11.60%). The areas where vegetation had fully

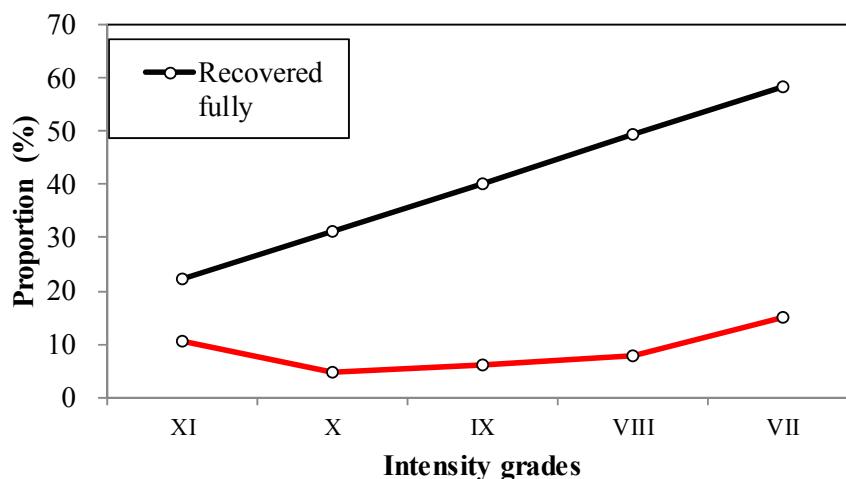
recovered were mostly concentrated in the northeast on the boundary with Qingchuan County, and areas where vegetation had not recovered were primarily type is mainly distributed in the southwest. (Figure 6 and Table 2).

**Table 2.** Differences in vegetation recovery by county.

County	Total Area (ha)	Vegetation Recovery Types				
		Damaged Area (ha)	Not Recovering (%)	Recovering Slightly (%)	Recovering Largely (%)	Recovered Fully (%)
Qingchuan	321,643	17,316	2.17	4.05	21.08	72.69
Lixian	432,007	95,766	11.60	8.04	21.15	59.21
Pingwu	595,201	18,548	3.88	5.44	35.01	55.67
Jiangyou	272,271	9991	16.07	15.63	27.31	40.99
Maoxian	389,930	60,710	3.68	9.66	46.48	40.18
Mianzhu	124,786	27,704	6.26	11.59	45.11	37.05
Wenchuan	408,621	138,140	8.25	17.23	38.41	36.11
Beichuan	308,516	24,285	6.24	13.02	49.90	30.85
Pengzhou	142,327	24,604	13.84	24.50	33.12	28.54
Shifang	82,214	18,048	9.26	23.63	41.57	25.54
Dujiangyan	121,027	22,798	20.84	22.57	32.56	24.03
Anxian	118,352	17,779	6.45	26.17	48.29	19.09

3.3.2. The Relationship between Vegetation Recovery and Earthquake Intensity

The proportion of the recovered fully type in the damage area was noticeably negatively associated with earthquake intensity. In areas where evidence of earthquake intensity increased (more heavily damaged in the damage area), vegetation recovery was slower. The level of recovered fully type was lowest in the intensity-XI (high intensity) zone, which indicates that only 22.15% had recovered to the level before the earthquake. The recovered fully type in the intensity-VII zone was highest, where 58.45% had recovered to the level before the earthquake. Vegetation with not recovering type accounted for 10.65% in the intensity-XI zone, and vegetation with not recovering type increased from 4.87% to 15.07% in intensity zones X to VII, respectively. (Figure 7 and Table 3).



**Figure 7.** The relationship between vegetation 7 recovery and earthquake intensity.

**Table 3.** The relationship between vegetation recovery and earthquake intensity.

Intensity	Total Area (ha)	Vegetation Recovery Types				
		Damage Area (ha)	Not Recovering (%)	Recovering Slightly (%)	Recovering Largely (%)	Recovered Fully (%)
XI	217,523	83,076	10.65	24.80	42.40	22.15
X	345,750	95,789	4.87	18.21	45.80	31.13
IX	648,980	63,497	5.96	13.74	40.15	40.15
VIII	1,429,477	150,025	7.89	8.39	34.50	49.23
VII	673,543	83,276	15.07	9.31	17.17	58.45
VI	1621	25	100.00	0.00	0.00	0.00

### 3.3.3. The Relationship between Vegetation Recovery and Fault Zones

There are two notable zones of vegetation recovery in the fault zones located in the study area (Figure 6): recovered fully type accounted for 54.09% in the western portion of the Wenchuan-Maoxian fracture where the proportion of recovered vegetation is the highest near the fault zones, and the district between the Beichuan-Yingxiu fracture and the Guan-Anxian fracture indicates the least recovered fully (23.41%).

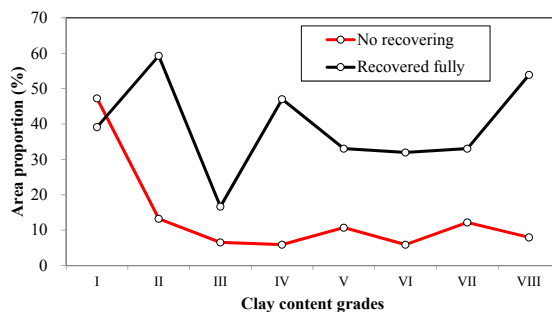
### 3.3.4. The Relationship between Vegetation Recovery and Soil Texture

The clay content in the topsoil of the study area was divided into eight grades using cluster analysis (Table 4).

The relationship between vegetation recovery and clay content in the topsoil is not obvious, but it was observed that the proportion of vegetation with no recovery trended down with increasing clay levels. When the clay content was 0%, the proportion of areas not recovering was 47.26%. When clay content was greater than 0%, the proportion of vegetation not recovering fluctuated slightly. (Figure 8).

**Table 4.** Clay grades in the topsoil and vegetation recovery.

Grade	Clay Content	Total Area (ha)	Vegetation Recovery Types				
			Damage Area (ha)	Not Recovering (%)	Recovering Slightly (%)	Recovering Largely (%)	Recovered Fully (%)
I	0	7099	3630	47.26	5.13	8.50	39.12
II	6–15	503,924	91,668	13.23	9.58	17.93	59.26
III	18–19	121,035	25,247	6.55	22.46	54.32	16.67
IV	20	978,085	136,466	5.94	13.02	34.02	47.02
V	21	636,578	82,080	10.72	13.44	42.77	33.06
VI	22	851,507	118,671	5.90	17.96	44.17	31.97
VII	23	107,063	11,132	12.18	16.05	38.70	33.07
VIII	39–46	111,603	6793	7.94	8.60	29.53	53.93



**Figure 8.** The relationship between vegetation recovery and clay content in the topsoil. Clay content is divided into eight grades using cluster analysis. Grade-I means the lowest and grade-VIII means the highest.

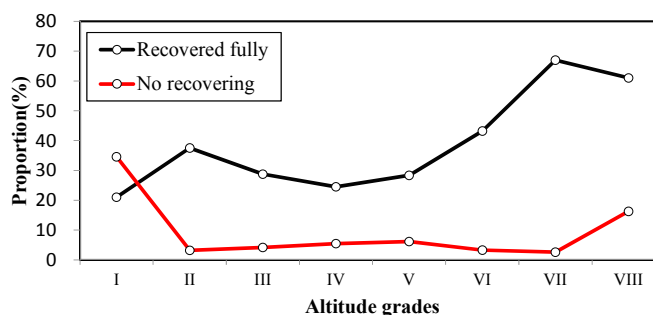
3.3.5. The Relationship between Vegetation Recovery and Terrain

Altitude was also divided into eight grades using cluster analysis (Table 5).

The proportions of vegetation with recovering type in low altitude areas and high altitude area were both high, at 34.57% and 16.25%, respectively. Between the altitude-II and altitude-VII levels, the proportion of the vegetation with not recovering type was very low, in the 3% to 6% range. The proportion of recovered fully vegetation was lowest (21%) at altitude-I and highest (66.95%) at altitude-VII (Figure 9).

**Table 5.** The relationship between vegetation recovery and altitude.

Grade	Altitude (m)	Total Area (ha)	Vegetation Recovery Types				
			Damage Area (ha)	Not Recovering (%)	Recovering Slightly (%)	Recovering Largely (%)	Recovered Fully (%)
I	474–864	589,388	28,869	34.57	21.20	23.23	21.00
II	865–1357	536,438	52,606	3.20	15.33	43.97	37.51
III	1358–1839	461,275	66,550	4.18	17.80	49.26	28.77
IV	1840–2363	370,913	70,219	5.44	18.50	51.59	24.47
V	2364–2898	367,694	54,288	6.16	16.44	49.07	28.33
VI	2899–3467	386,406	46,256	3.28	11.30	42.25	43.17
VII	3468–4071	343,600	50,269	2.60	8.12	22.33	66.95
VIII	4072–6072	261,181	10,6631	16.25	9.27	13.45	61.03



**Figure 9.** The relationship between vegetation recovery and altitude. Altitude is divided into eight grades using cluster analysis. Altitude-I means the lowest and altitude-VIII means the highest.



### 3.3.6. The Relationship between Vegetation Recovery and Vegetation Types

Scrub, cultivated vegetation and coniferous forest are the main vegetation types in the study area. They account for 35%, 19% and 18% of the study area, respectively. Their respective proportions of recovered fully vegetation were 39.49%, 33.51% and 42.15%. Their proportions of vegetation with not recovering were 7.65%, 26.01% and 4.25%, respectively. However, the recovered fully proportion was at the maximum for meadows, approximately 58.66% and was at minimum for grass, approximately 31.58%. The not recovering proportion was at the maximum for cultivated vegetation, approximately 26.01% and was at the minimum for grass, approximately 0.00% (Table 6).

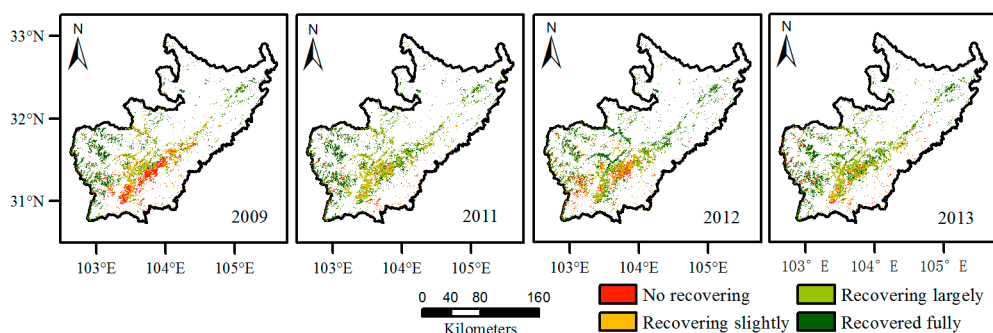
**Table 6.** The relationship between vegetation recovery and vegetation type.

Vegetation type	Total Area (ha)	Vegetation Recovery Types				
		Damage Area (ha)	Not Recovering (%)	Recovering Slightly (%)	Recovering Largely (%)	Recovered Fully (%)
Coniferous forest	598,391	90,153	4.25	12.67	40.93	42.15
broad-leaved forest	544,654	67,512	3.43	14.04	46.35	36.19
Mixed coniferous broad leaved forest	12,070	2288	0.00	9.89	57.14	32.97
Scrub	1,163,630	221,314	7.65	15.71	37.14	39.49
Grass	2534	119	0.00	15.79	52.63	31.58
Meadow	273,320	29,626	8.91	8.76	23.67	58.66
Alpine vegetation	68,893	31,964	22.77	9.48	13.72	54.03
cultivated vegetation	641,100	32,712	26.01	17.06	23.42	33.51
Non-vegetated area	12,302	0	0	0	0	0

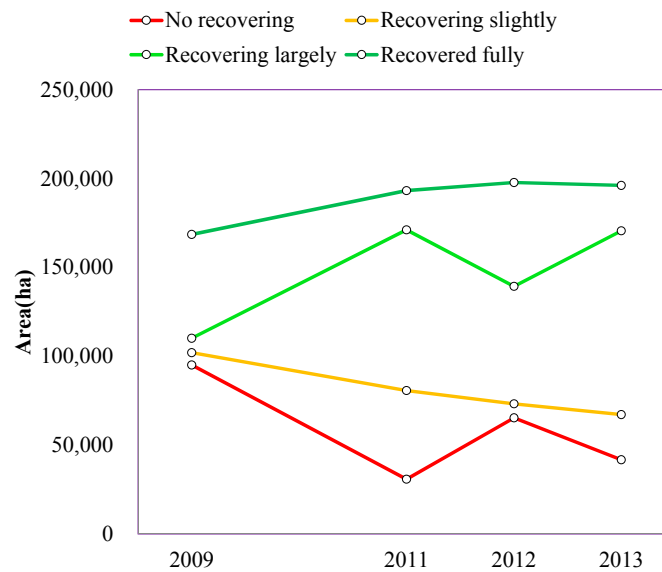
### 3.4. Temporal Variation of Vegetation Recovery in the Damage Area from 2009 to 2013

#### 3.4.1. Vegetation Recovery in the Damage Area

According to spatial distribution of vegetation recovery (Figure 10), the better vegetation recovery occurred in the northeast and southwest regions of the study area and the worse recovery was in the central and eastern regions. More digital information could be seen in Figure 11.



**Figure 10.** Vegetation recovery from 2009 to 2013. White areas mean vegetation is not damaged by the earthquake.



**Figure 11.** Area variation in vegetation recovery in the damaged area over time.

The damage area within the study area with no vegetation recovery declined from 95,094 ha in 2009 to 41,756 ha in 2013 with a reduction of 13,335 ha per year. The total area recovering slightly decreased from 101,963 ha in 2009 to 67,138 ha in 2013 at a rate of 8706 ha per year. The area in which vegetation had largely recovered increased from 110,106 ha in 2009 to 170,594 ha in 2013 with a reduction of 15,122 ha per year. Finally, the area that recovered fully increased from 168,525 ha in 2009 to 196,200 ha in 2013 at increments of 6919 ha per year (Figure 11).

In 2009, the proportions of the vegetation not recovering, vegetation recovering slightly, vegetation that had largely recovered and vegetation that recovered fully were 20%, 21%, 23% and 35%, respectively. By 2013, the proportions of the four types were 9%, 14%, 36% and 41%, respectively, which indicates that 41% of the vegetation had recovered to the level before the earthquake, 50% was recovering, and 9% showed no signs of recovery after five years. During the five years, the level of vegetation not recovering declined by 11%, the level of vegetation recovering slightly declined by 7%, the level of vegetation that had largely recovered increased by 13% and the vegetation that recovered fully increased by 6% (Table 7).

**Table 7.** The amount of variation (ha/year) and the rate of the vegetation recovery types per year between 2009 and 2013.

	2009		2013		Yearly Variation (ha/year)	Yearly Variation Rate (%)
	Area (ha)	Proportion (%)	Area (ha)	Proportion (%)		
Not recovering	95,094	19.99	41,756	8.78	-13,335	-14.02
Recovering slightly	101,963	21.43	67,138	14.11	-8706	-8.54
Recovering largely	110,106	23.15	170,594	35.86	15,122	13.73
Recovered fully	168,525	35.43	196,200	41.25	6919	4.11

In conclusion, between 2009 and 2013, the major trend in the severely afflicted earthquake area within the study area was a rapid decrease in vegetation not recovering and a rapid increase in vegetation that recovered fully.

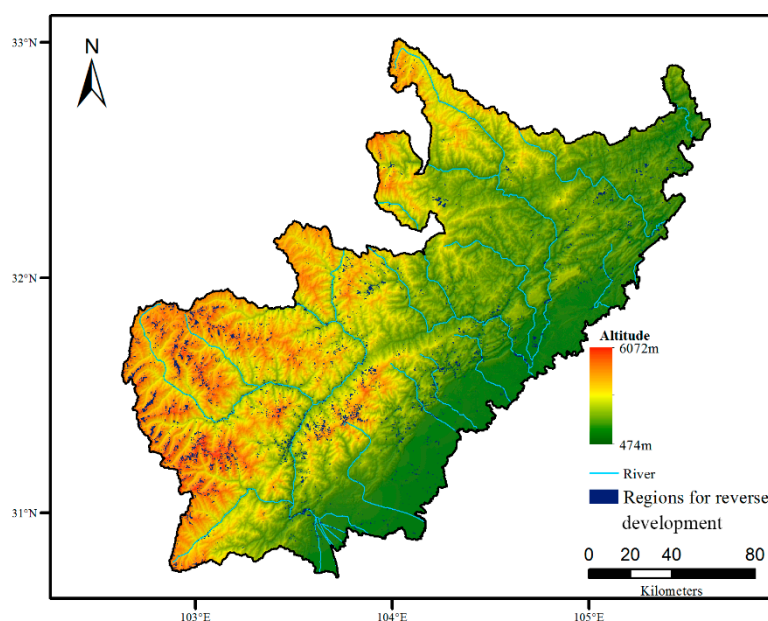
### 3.4.2. The Transition Matrix for Vegetation Recovery Types

From 2009 to 2013, the vegetation that had largely recovered indicated the highest rate of increase, at 13.73% per year. The vegetation not recovering indicated the highest rate of decrease at 14.02% per year. During the five-year period, the area of vegetation that had largely recovered reached 115,888 ha, of which 52,956 ha (45.69%) was transformed by vegetation that recovered slightly. The vegetation not recovering lost 76,394 ha during the five-year period, of which 28,806 ha (37.71%) became vegetation that had largely recovered, and 24,713 ha (32.35%) was transformed into vegetation that had recovered fully. The area of vegetation recovering slightly decreased by 81,856 ha, of which 52,956 ha (64.69%) became vegetation that had largely recovered. From 2009 to 2013, the most prominent transition of the vegetation in the damaged area was from vegetation not recovering and vegetation recovering slightly to vegetation that had largely recovered (Tables 7 and 8).

**Table 8.** The transition matrix of vegetation recovery types between 2009 and 2013 (ha).

		2013			
		Not Recovering	Recovering Slightly	Recovering Largely	Recovered Fully
2009	Not Recovering	18,700	22,875	28,806	24,713
	Recovering Slightly	6188	20,106	52,956	22,713
	Recovering Largely	4431	12,688	54,706	38,281
	Recovered Fully	12,438	11,469	34,125	110,494

However, 81,338 ha of vegetation is currently undergoing degradation, which accounts for 17.10% of the damaged area. These degradation areas are primarily distributed on ridges or along rivers (Figure 12). The primary vegetation types in these areas are coniferous forest (31.39%) and scrub (34.17%). Of the 81,338 ha, approximately half of the vegetation (34,125 ha or 41.95%) had been transformed from fully recovered vegetation to vegetation that had largely recovered.



**Figure 12.** Regions with reverse development from 2009 to 2013, where vegetation recovery rate is worse than before. These areas are mainly distributed on ridges or along rivers.

#### 4. Discussion

Vegetation damage rate (VDR) is used for describing earthquake-induced vegetation damage. It is assumed that curvature of VDR could reflect abrupt decreases in vegetation related to earthquakes. Based on this assumption, a new method is proposed to quantitatively extract vegetation damage areas. To validate this method, information from 4751 points was collected by field survey, and 2950 of the points (62.09%) were located within the damaged area. The locations of other points outside the damaged area may be due to the following reasons. (1) The landslides were so small (areas less than 250 m × 250 m) that MODIS could not recognize them; (2) The study area was located in mountainous regions covered with dense vegetation and some damaged regions may have been blocked due to the observation angle of the satellite; (3) The study area was covered by clouds that influence the extraction of landslides. Although the most effective monitoring of vegetation damage could have been achieved by using high resolution images such as Landsat TM or Quickbird, low levels of spatial coverage and high costs were the primary limitation. In this mountainous area, another consideration was cloud cover. Because of higher temporal resolution, it is easier for MODIS to remove cloud.

Similarly to Chou [18] and Lin [15], vegetation recovery rate (VRR) was used and was divided into four classes for vegetation recovery assessment. However, there were some differences as follows: (1) More aspects were synthesized during the study (*i.e.*, altitude, fault zones, earthquake intensity, soil texture and vegetation types); (2) Vegetation recovery was analysed over a longer time scale. Vegetation recovery was evaluated for the Wenchuan disaster area from 2009 to 2013, which corresponded to a five-year reconstruction period designated by the Chinese government. This information could provide a more realistic reference for the Chinese government department; (3) The transition matrix for vegetation recovery was applied. During the five-year study period, decreases in vegetation not recovering and recovering slightly promoted the increase of vegetation that largely recovered and vegetation that recovered fully. The most prominent transition was from the vegetation not recovering and vegetation recovering slightly to the vegetation that recovered largely. More interestingly, we also observed that 17.10% of the damaged area suffered degradation. These areas are primarily distributed on ridges or along rivers. This degradation may be caused by two reasons. One reason is that there is an insufficient water supply and poor topsoil on ridges. Another reason is that the Longmenshan Mountains have entered a new period of active geohazards following the Wenchuan [25]. Geohazards initiated by rainstorm will cause massive landslides and may block rivers and produce barrier lakes in five to 10 years [25]—an increase in erosion will occur between river banks and toe slopes.

Vegetation recovery observed in our study was primarily distributed in the northeast and southwest regions of the study area. In the northeast regions, such as Qingchuan and Pingwu, vegetation recovered more easily because the relief is much lower than other regions and soil is fertile. However, it is noteworthy that in the southwest regions, such as Lixian, the vegetation recovery rate was also high, even though it is a mountainous region. Another similar finding is that vegetation recovery was stronger with increasing altitude (Figure 9). This may have been caused by two reasons. One reason is that the vegetation of the high altitude areas was damaged more severely, which provides potential for better recovery [16]. Another reason is that the vegetation was primarily located on the hillsides where sufficient water could be supplied for herbs. In addition, the proportion of vegetation not recovering at high elevations was also high. This vegetation was located along the ridges where there was a lack of

water. Therefore, the vegetation along the ridges did not recover as fast as vegetation on the hillsides. Altitude is an important factor for plant growth, but it is not decisive and it must interact with other factors such as topography and water supply to affect vegetation growth. Lu [16] suggested that soil moisture is another important factor for plant growth. Nevertheless, according to our study (Figure 8), there is no obvious relationship between clay content in the topsoil and vegetation recovery. However, a high clay content was beneficial for achieving lower levels of vegetation with no recovery (Figure 8).

Vegetation type is another significant consideration. According to our study, herb areas such as meadows indicated the best vegetation recovery and they recovered better than woody plants. This confirms Liu's conclusions [19]. After Wenchuan earthquake, there has been sufficient precipitation for undersized vegetation (such as shrubs and herbs) under damaged trees which then became healthier [19]. However, recovery of woody plants will take more time. Therefore, herbs and scrub recovered better than woody plants. The proportion of full recovered grass was slightly lower (only approximately 31.58%). This proportion of recovery was low because the area of grass was small (accounting for 0.08% of the study area) and was influenced by random factors.

There are some uncertainties in vegetation recovery assessment. One uncertainty is due to cloud cover. Some data include levels of cloud cover that restrict the NDVI of vegetation and influences estimates of vegetation recovery rate. Although maximum value composite (MVC) and average value were used to limit cloud effects in our study, bias may also have been created if there was so much cloud cover in the image that we could not obtain enough clear-sky data. Another uncertainty was the *VRR*. Because *VRR* is not a normalized variable with a theoretical range from  $-\infty$  to  $+\infty$ , some abnormal high or low values will influence the results, which is the primary reason why *VRR* is classified in this paper. A third uncertainty is that the MODIS data had low space resolution and could not recognize the smaller damaged area.

## 5. Conclusion

This is the first study to evaluate the vegetation recovery on a spatial and temporal basis in the five years following the Wenchuan earthquake. For a disaster event such as an earthquake, six aspects (*i.e.*, altitude, fault zones, earthquake intensity, soil texture and vegetation types) are normally considered in analyses of vegetation recovery. Compared with previous studies, our study was comprehensive. We tried to include some important aspects for post-disaster assessment in this study, especially for vegetation recovery assessment after the earthquake. The Chinese government usually requires five years as a period for post-disaster reconstruction. This paper could be regarded as guidance for Chinese government departments whereby additional investment is needed for vegetation recovery.

Even though Zhang [13], Liu [19] and Cui [23] studied vegetation damage and recovery following the Wenchuan earthquake, they did not provide methods for extracting vegetation damage areas. Zhang [13] used field survey data that required a long period of time and thereby affected the timeliness of disaster relief. This paper uses a mathematical method to rapidly extract vegetation damaged areas. In terms of the techniques and methods, our method is similar to those described in [14–18,21,22]. We all use image difference technology. However, the referenced studies used experimental thresholds to extract vegetation damage areas. This approach is strongly subjective. For that reason, we have proposed a new method to numerically determine the threshold to extract vegetation damage areas with the goal

of reducing the effect of human error and providing more objective and stable results. Using this method resulted in the recognition of 62.09% of the geological hazard points with MODIS data.

The total area of vegetation damage from the Wenchuan earthquake was 475,688 ha, which accounted for 14.34% of our study area and was primarily distributed in the central fault zone, the southwest mountainous area and along rivers in the mid-west region of the study area. Vegetation recovery in damage areas was better in the northeast region of the study area, and in the western portion of the Wenchuan-Maoxian fracture; vegetation recovery was better with increasing altitude. The relationship between vegetation recovery and clay content in the topsoil is not obvious. The best vegetation recovery was for meadows and the worst recovery occurred in mixed coniferous broad leaved forests.

The best vegetation recovery occurred in the northeast and southwest regions of the study area and was better in the mid-west region, but the worst recovery was in the central and eastern regions. After five years of recovery in the severely afflicted area, recovering vegetation accounted for 40% of the damaged areas. The vegetation will continue to primarily transform from vegetation with no recovery to vegetation that has largely recovered, but will never fully recover. Some areas such as southeast proportion of the study area and the mountains have a high proportion of vegetation with no recovery. Since the earthquake, there have been many landslides, debris flows and other geological hazards in the disaster area. A total of 81,338 ha of vegetation is undergoing degradation. This area of degradation accounts for 17.10% of the damaged area. These areas are mainly distributed on ridges or along rivers, and the main vegetation types are coniferous forest (31.39%) and scrub (34.17%). These areas need to be studied as part of further research. In summary, approximately 41% of the vegetation in the damaged area recovered fully during the study period and it will take years to reach the vegetation level before the earthquake.

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### **Author Contributions**

Wei-Guo Jiang, Kai Jia, Jian-Jun Wu and Wen-Jie Wang conceived and designed the study. Wei-Guo Jiang and Kai Jia performed the experiments and wrote the paper. Wen-Jie Wang and Xiao-Fu Liu provided the conceptual advice and basic data. Zheng-Hong Tang served as scientific advisor. Wei-Guo Jiang, Kai Jia, Jian-Jun Wu, Zheng-Hong Tang, Wen-Jie Wang and Xiao-Fu Liu reviewed and edited the manuscript. All authors read and approved the manuscript.

### **Conflicts of Interest**

The authors declare no conflict of interest.

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